MEASURE B AND MEASURE BB

Annual Program Compliance Report

Reporting Period - Fiscal Year 2022-23

AGENCY CONTACT INFORMATION						
Agency Name:	SAN JOAQUIN REGIONAL RAIL COMMISSION					
Date:	12/19/2023					
Primary Point of Co	ontact					
Name:	ZITA RODRIGUEZ					
Title:	SENIOR ACCOUNTANT					
Phone:	209-994-6243					
Email:	ZITA@ACERAIL.COM					

Agency's Certification of True and Accurate Reporting by Submission

By submitting this Compliance Report to the Alameda County Transportation Commission, the submitting agency certifies the compliance information reported is true and complete to the best of their knowledge, and the dollar figures in the agency's Audited Financial Statement <u>matches</u> <u>exactly</u> to the revenues and expenditures reported herein.

Additionally, for the 2000 Measure B and 2014 Measure BB Direct Local Distribution (DLD) funds, pursuant to the California Public Utilities Code 180001 (e), funds generated by the transportation sales tax are to be used to supplement and not replace existing local revenues used for transportation purposes. By submit this report, the agency confirms that DLD funds are supplementing and not replacing existing local revenues used for transportation purposes.

Program Compliance Report Structure

This Reporting Form is broken into the following sections for the Measure B and BB Direct Local Distribution Programs applicable to the recipient agency.

- * Cover Agency Contact
- * General Compliance Reporting for all programs
- * Table 1 Summary of Revenue, Expenditures, and Changes in Fund Balance
- * Table 2 Detailed Summary of Expenditures and Accomplishments

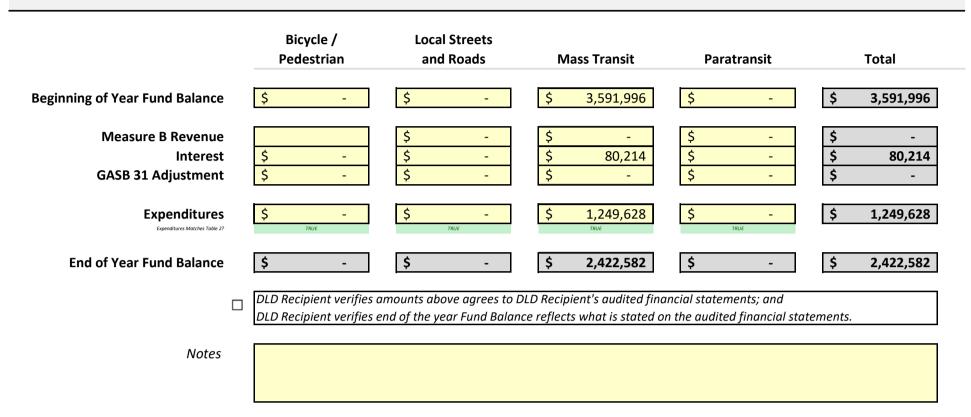
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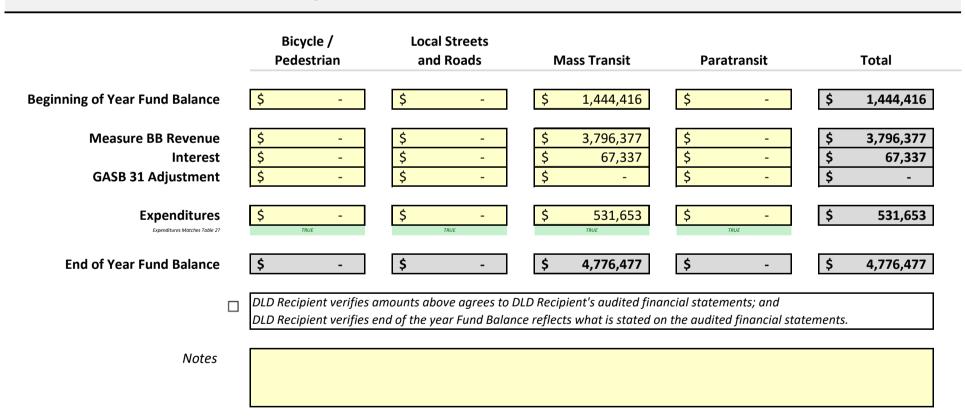
TABLE 1: SUMMARY OF REVENUE, EXPENDITURES, AND CHANGES IN FUND BALANCE

DIRECTIONS: Complete the sections below based on the Measure B and BB Audited Financial Statements, for the applicable DLD programs for your agency. Values must match financial statements and total reported expenditures on Table 2.

A. 2000 MEASURE B Direct Local Distribution Programs



B. 2014 MEASURE BB Direct Local Distribution Programs



C. TIMELY USE OF FUNDS MONITORING

Policy: RECIPIENT may not hold an end of fiscal year fund balance of greater than four-times their annual DLD revenue received for that same fiscal year, by respective Measure B and Measure BB Program. The Cities of Albany, Emeryville, and Piedmont are excluded from this requirement.

Measure B RECIPIENT must expend all Measure B DLD funds and all interest earned thereon by June 30, 2026.

This autopopulated section provides a tool to monitor a RECIPIENT's compliance to this policy.

		Maximum Allowed		Current Balance			
	Total Annual	Balance	Current DLD	Over / Under Maximum Allowed			
	Revenue	(4x Annual)	Balance				
	(A)	(B) = (A) * 4	(C)	(D) = (C) - (B)			
Measure BB	\$ 3,796,377	\$ 15,185,508	\$ 4,776,477	\$ (10,409,031)			
Measure B	Measure B Balance must	be exhausted June 30, 2026.	\$ 2,422,582				

Exemption Requests: RECIPIENT must demonstrate that extraordinary circumstances have occurred, and provide a timely expenditure plan that would justify the exemption.

For Exemption consideration, answer the follow:

1) Explain and justify why there is a excess balance beyond the maximum allowed.

2) Describe an Expenditure Plan, activities, and estimated timeframe to draw down balances.

Transit Direct Local Distribution Program Reporting Period - Fiscal Year 2022-23

GENERAL COMPLIANCE REPORTING

1. What is the agency's average on-time performance goal/target?

What is the agency's average on-time performance for the year?

2.

90%	Percent
86.64%	Percent

3. If your agency's actual average on-time performance for the year is less than the agency's on-time performance goal/target explain what actions are being taken to improve performance?

We have met with our host railroads, and they have improved areas of concern that caused delays during inclement weather the previous winter and spring. We continue to monitor and meet with our hosts regularly to address reoccurring delays as they arise.

4a. How much of the balance identified here is encumbered into active contracts and projects?

Encumbered value should be less than or equal to the available balance.

	\$ Encumbered				
MB Balance	\$	2,422,582	\$	879,043	
MBB Balance	\$	4,776,477	\$	-	
Total	\$	7,199,059	\$	879,043	

4b. Why is there a fund balance? *Indicate N/A, if not applicable.*

Measure B & BB revenues for fiscal year 22/23 were 133% higher than the budget and Baseline Service Plan. Carryover balances were not fully expended due to costs for capital maintenance being lower than expected and other funding sources available for capital maintenance project.

4c. Specify any large planned uses of fund balances within this program and their status i.e. planned or underway.

Project Title	Brief Project Description	DLD Amount	Project Status
Capital Maintenance	Under the Capital Trackage Rights Agreement, the SJRRC has an annual obligation of \$4,000,000.00 for Capital Maintenance	\$ 141,975	Underway

5. Confirm all expenditures were governing body approved (Yes/No).

Article Website Signage Yes

6. Confirm the completion of the publicity requirements in the table below (Yes/No).

Measure B	Measure BB	Copy of article, website, signage attached?	If applicable, briefly explain why the publicity requirement wasn't completed.
Yes	Yes	Yes	
Yes	Yes	Yes	
Yes	Yes	Yes	

Transit Direct Local Distribution Program Reporting Period - Fiscal Year 2022-23

TABLE 2: DETAILED SUMMARY OF EXPENDITURES AND ACCOMPLISHMENTS

- Expenditure total must correspond to your Audited Financial Statements and Table 1 values. Project Units for Project Project Additio Quantity Quantity Category Phase Туре Completed in expand (Drop-down (Drop-down (Drop-down Menu) (Drop-down Menu) No. Project Name Project Description/Benefits FY 22-23 perfo Menu) Menu) ACE Service Operations Provide rail service for San Joaquin, 1 Rail Operations Operations Number of The repo Alameda and Santa Clara Counties People/Passen is from ACE service gers route. B passengers stations transported-20,107 474,498 19,534 Alameda County 45,689 Stations-48,738 134,068 134,068 2 Rail Other Other Adminstrative Fee Administrative services provided by Other As invoid (ACTC) attenda 1 meeting fees. 3 Rail Other Other Audit Fees Audit Services Provided by Kemper Other Audit se 1 4 Rail Maintenance Other Maintenance of Alameda County stations. Grounds keeping and clean up of Other 3 Station 2 Pleasan Alameda Co. Stations 5 Rail Sunol Quiet Zone Modification of existing rail crossings Construction Other Other Constru to comply with the FRA prerequisit but to a requirements for establishing a quiet revision Prelimin zone. project, 1 Main Str Coordin for the f of the pi 6 Rail Maintenance Other Capital Access Fee Annual capital access usage charge Other for Union Pacific Railroad Company 1 Engineering services for Sunol 7 Rail Construction Other Reserve Wayside Other Alameda County Quad Gate Signal 1 Project

Provide a detailed summary of Measure B and BB Expenditures for the reporting fiscal year. Performance reporting/quantity complete and other fund expenditures should be consistent with reporting data sent to other agencies (regional/state/federal reporting).

tional description on units or nded detail on expenditures, formance, accomplishments	Equity Priority Community Proximity	Meas DLD E	ure B Expenditures	asure BB Expenditures	er Fund enditures	Tota	l Cost
ported number of passengers n total boardings on the entire Boardings for the Alameda ns are as follows: Vasco- Livermore- Livermore- Pleasanton- Fremont- S Total- 68	3. None (Not near EPC)	\$	1,061,324	\$ 495,476	 41,654,728	\$	43,211,528
oiced by ACTC. Invoice is for dance of the ACE Service board ngs and all associated service	3. None (Not near EPC)	\$	15,000	\$ 15,000	\$ -	\$	30,000
services	3. None (Not near EPC)	\$	6,615	\$ 6,615	\$ -	\$	13,230
ions Vasco, Livermore and anton	3. None (Not near EPC)	\$	14,562	\$ 14,562	\$ -	\$	29,124
ruction has been completed address public concerns, ons need to be made. hinary Design for the revised ct, Four Quadrant Gates on Street Sunol, is complete. lination with Alameda County e final design and construction project is ongoing.	3. None (Not near EPC)	\$	-	\$ -	\$ -	\$	-
	3. None (Not near EPC)	\$	151,430	\$ -	\$ -	\$	151,430
	3. None (Not near EPC)	\$	697	\$ -	\$ -	\$	697
TOTAL	1	\$	1,249,628	\$ 531,653	\$ 41,654,728	\$	43,436,009
Match to Table 1?			TRUE	TRUE			