

**VEHICLE REGISTRATION FEE
Annual Program Compliance Report
Reporting Period - Fiscal Year 2021-22**

AGENCY CONTACT INFORMATION

Agency Name: **City of Oakland**

Date: 12/30/2022

Primary Point of Contact

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Agency's Certification of True and Accurate Reporting by Submission

By submitting this Compliance Report to the Alameda County Transportation Commission, the submitting agency certifies the compliance information reported is true and complete to the best of their knowledge, and the dollar figures in the agency's Audited Financial Statement matches exactly to the revenues and expenditures reported herein.

Program Compliance Report Structure

This Reporting Form is broken into the following sections for the Vehicle Registration Fee Direct Local Distribution Programs applicable to the recipient agency.

- * **Cover - Agency Contact**
- * **General Compliance Reporting for all programs**
- * **Table 1 - Summary of Revenue, Expenditures, and Changes in Fund Balance**
- * **Table 2 - Detailed Summary of Expenditures and Accomplishments**

**VEHICLE REGISTRATION FEE
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TABLE 1: SUMMARY OF REVENUE, EXPENDITURES, AND CHANGES IN FUND BALANCE

DIRECTIONS: Complete the sections below based on the Measure B and BB Audited Financial Statements, for the applicable DLD programs for your agency. Values must match financial statements and total reported expenditures on Table 2.

A. VRF Direct Local Distribution Programs

	Local Streets and Roads	Total
Beginning of Year Fund Balance	\$ 2,186,994	\$ 2,186,994
Revenue	\$ 1,694,342	\$ 1,694,342
Interest	\$ (27,467)	\$ (27,467)
Expenditures <small>Expenditures Matches Table 2?</small>	\$ 1,246,668 <small>TRUE</small>	\$ 1,246,668
End of Year Fund Balance	\$ 2,607,201	\$ 2,607,201

Notes

Negative interest value is due to unrealized loss in the market.

B. TIMELY USE OF FUNDS MONITORING

Policy: RECIPIENT may not hold an end of fiscal year fund balance of greater than four-times their annual DLD revenue received for that same fiscal year. The Cities of Albany, Emeryville, and Piedmont are excluded from this requirement.

RECIPIENT found to be non-compliant with this requirement (over the allowable maximum balance) must return the equal amount of DLD funds that exceeds the maximum allowable end of fiscal year fund balance to Alameda CTC, as approved by the Commission.

This section provides a tool to monitor a RECIPIENT's compliance to this policy.

	Total Annual Revenue (A)	Maximum Allowed Balance (4x Annual) (B) = (A) * 4	Current DLD Balance (C)	Current Balance Over / Under Maximum Allowed (D) = (C) - (B)
VRF Program	\$ 1,694,342	\$ 6,777,368	\$ 2,607,201	\$ (4,170,167)

Exemption Requests: RECIPIENT must demonstrate that extraordinary circumstances have occurred, and provide a timely expenditure plan that would justify the exemption.

For Exemption consideration, answer the follow:

- 1) Explain and justify why there is a excess balance beyond the maximum allowed.**
- 2) Describe an Expenditure Plan, activities, and estimated timeframe to draw down balances.**

N/A

**Local Streets and Roads (LSR) Direct Local Distribution Program
Reporting Period - Fiscal Year 2021-22**

GENERAL COMPLIANCE REPORTING

1a. What is agency's 2021 Pavement Condition Index (PCI)?

PCI =

54

Use same PCI reported to MTC for their Pavement Condition Rpt.

<https://mtc.ca.gov/operations/programs-projects/streets-roads-arterials/pavement-condition-index>

1b. What is the basis for your PCI number if not from MTC Report - <https://mtc.ca.gov/operations/programs-projects/streets-roads-arterials/pavement-condition-index?>

[The City completed a PCI survey \(funded by MTC\) during summer 2021. Based on this survey, the updated average Citywide PCI is 58. This City has presented the data at this link.](#)

1c. If your PCI fell below a score of 60 (fair condition), specify what corrective actions are being implemented to increase the PCI?

Additionally, if your agency's PCI has been consistently under 60 in the past three years, explain why.

Indicate N/A, if not applicable.

[Oakland passed a bond measure in 2016 with \\$350 million devoted to transportation, with the vast majority intended for resurfacing. The City's 2021 PCI survey found the average PCI to be 58, up from 55 in 2012. Some additional key findings of the survey include:](#)

- [28% of local streets are now in good or excellent condition, up from just 16% in 2018.](#)
- [The share of local streets in poor condition declined for the first time in 10 years, from 60% to 53%.](#)
- [Now nearly 75% of major streets are in good or excellent condition, up from 62% in 2018.](#)
- [Overall, the average PCI for arterials is now 74, up from 67 in 2012.](#)

[With the passage of the Measure U bond measure, the City can also now fund and implement the adopted 2022 5-Year Paving Plan, which proposes to program more than 350 miles of streets for both rehabilitation and preventative maintenance during the 5-year plan duration. For more information, please visit the linked 5-Year Paving Plan webpage.](#)

2a. How much of the program fund balance is encumbered into active contracts/projects?

Encumbered value should be less than or equal to the available balance.

		\$ Encumbered
VRF Balance	\$ 2,607,201	\$ 313,086
Total	\$ 2,607,201	\$ 313,086

2b. Why is there a fund balance? Indicate N/A, if not applicable.

Staff vacancies in the City's maintenance teams continue to be the primary driver of the VRF fund balance. While the City's hiring processes are undergoing ongoing delays due to HR understaffing, staff turnover, and limited recruitment lists, the City is actively continuing to seek to fill staff vacancies in FY 22-23.

2c. Specify any large planned uses of fund balances within this program and their status i.e. planned or underway.

Project Title	Brief Project Description	DLD Amount	Project Status
Streets & Sidewalks Maintenance / Streetlights and Traffic Signal Maintenance	Staffing and materials for in-house street/streetlight maintenance/paving	\$ 2,052,887	Underway
Paving Program	Implementation of 5-Year Paving Plan; funds augment Measure KK/U funds	\$ 179,263	Underway
HSIP Cycle 9 - Foothill Blvd/MacArthur Blvd	Installation of bulb-outs; pedestrian median refuge islands; crosswalk enhancements; RRFBs,	\$ 148,298	Underway
Montclair Village Antioch Court Pedestrian Improvements	Pedestrian improvements on Antioch Ct between Mountain Blvd and Antioch St	\$ 135,943	Underway
Project Portfolio Management (PPM) System Development	Development of PPM system to manage the City's capital projects	\$ 82,360	Underway

**Local Streets and Roads (LSR) Direct Local Distribution Program
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GENERAL COMPLIANCE REPORTING

Lakeside Green Street	Complete Streets project at Lake Merritt, in closeout	\$	8,449	Underway
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3. Confirm all expenditures were governing body approved (Yes/No).

Yes

Local Streets and Roads (LSR) Direct Local Distribution Program
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GENERAL COMPLIANCE REPORTING

4. Confirm the completion of the publicity requirements in the table below (Yes/No).

	VRF	Copy of article, website, signage attached?	If applicable, briefly explain why the publicity requirement wasn't completed.
Article	Yes	Yes	N/A
Website	Yes	Yes	N/A
Signage	Yes	Yes	N/A

Local Streets and Roads Direct Local Distribution Program

Reporting Period - Fiscal Year 2021-22

TABLE 2: DETAILED SUMMARY OF EXPENDITURES AND ACCOMPLISHMENTS

Provide a detailed summary of VRF Expenditures for the reporting fiscal year. Performance reporting/quantity complete and other fund expenditures should be consistent with reporting data sent to other agencies (regional/state/federal reporting).
 - Expenditure total must correspond to your Audited Financial Statements and Table 1 values

No.	Project Category <i>(Drop-down Menu)</i>	Project Phase <i>(Drop-down Menu)</i>	Project Type <i>(Drop-down Menu)</i>	Primarily Capital or Administrative Expenditure?	Project Name	Project Description/Benefits	Quantity Completed in FY 21-22	Units for Quantity <i>(Drop-down Menu)</i>	Additional description on units or expanded detail on expenditures, performance, accomplishments	Equity Priority Community Proximity	VRF DLD Expenditures
1	Streets/Rds	Maintenance	Staffing	Capital	Streets and Sidewalks Maintenance	Staff costs for street and sidewalk maintenance/state of good repair	1	Other	Partial staff costs and O&M expenses for 39 FTE city crew for street and sidewalk maintenance, including 6.9 miles of minor street repair, 18,535 potholes repaired, 46 curb ramps installed/replaced, 255 linear ft curb and gutter repair, 1,333 units (sq. ft) of sidewalk repair, & 17,300 linear ft of gutter cleaning	1. Direct (in EPC)	\$ 295,249
2	Streets/Rds	Maintenance	Staffing	Capital	Electrical Division - Streetlights and Traffic Signal Maintenance	Staff costs for maintenance of street lights and traffic signals/Maintain state of good repair for City assets	1	Other	Partial staff costs and O&M expenses for 8 FTE and 1 part-time staff for engineering, administration, and maintenance of approximately 38,000 streetlights, 600+ traffic signals, 80+ pedestrian flashing beacons (RRFBs), and 20+ warning lights. Completed 2824 electrical-related work orders and converted approximated 40 light fixtures to LED	2. Proximate (w/in 1-mile)	\$ 601,895
3	Bike/Ped	Project Closeout	Streetscape / Complete	Capital	OBAG 1 - Lakeside Green Streets Project	Improved bike & ped connection to Lake Merritt, complete streets improvements, protected bike lanes/bicyclist and pedestrian safety	1	Other	Closeout/contract payments for completed project and final inspection of the planting and irrigation system.	2. Proximate (w/in 1-mile)	\$ 73,284
4	Other	Other	Other	Administrative	Capital Improvement Program Project Portfolio Management System	Development of web-based project portfolio management system to manage citywide capital projects	1	Other	Web-based application in development; City staff had weekly meetings with the vendor beginning March 2022. Estimated completion in spring 2023.	2. Proximate (w/in 1-mile)	\$ 85,481
5	Bike/Ped	Construction	Pedestrian Improvements	Capital	Montclair Village Antioch Court Pedestrian Improvements	Pedestrian improvements on Antioch Ct between Mountain Blvd and Antioch St; proposed work consists of installing new sidewalks, crosswalks, curb ramps, new streetlights, landscape planting, bike racks, AC overlay, pavement marking & striping.	1	Other	Construction started 02/2022; to be completed in spring 2023	2. Proximate (w/in 1-mile)	\$ 190,758
Percentage of Capital vs Administrative Costs				92%							
a. Total Capital				\$ 970,428							
b. Total Administrative				\$ 85,481							
TOTAL										\$ 1,246,668	
Match to Table 1?										TRUE	

If your agency did not expend greater than 50% of total costs on Capital Investments, explain how capital investments will increase in the future over Program Administration (outreach, staffing, administrative support). Indicate N/A if not applicable.

N/A