



**REQUEST FOR QUALIFICATIONS
ALAMEDA CTC RFQ NO. R23-0005
ON-CALL PLANNING AND POLICY
PROFESSIONAL SERVICES**

November 3, 2022

The Alameda County Transportation Commission (Alameda CTC) is seeking a statement of qualifications (SOQ) from qualified consultants to provide on-call planning and policy professional services for Alameda CTC. The anticipated performance period is for an initial period of three (3) years from May 2023 to April 2026, with options for up to two (2) additional years of service.

This contract will be funded with Vehicle Registration Fee (VRF) Revenue, Measure B, and/or Measure BB funds, or such funds in combination with other local funds. As such, the Alameda CTC Local Business Contract Equity (LBCE) Program will apply. The LBCE Program's goals for professional services are 70.0% for Local Business Enterprise (LBE) firms and 30.0% for Small Local Business Enterprise (SLBE) firms. This contract is not subject to Disadvantaged Business Enterprise Program requirements.

Responses should be submitted in accordance with the requirements and instructions set forth in this Request for Qualifications (RFQ). The RFQ documents have been posted on the RFQ Web Page below. To sign up for optional email notifications of important updates regarding this RFQ only, please use the RFQ Registration Form below.

The Optional Online Pre-Proposal Meeting is scheduled for Tuesday, November 15, 2022, at 1:00 p.m. Pacific Time (PT); use the below RFQ Registration Form to register and the Pre-Proposal Meeting Link to attend.

SOQ submittals are due on Thursday, December 8, 2022, at 3:00 p.m. PT.

RFQ Web Page: www.alamedactc.org/contracting-opportunities

RFQ Registration Form: <https://forms.gle/Z8G2n9ohJ4DX2v7J7>

Pre-Submittal Meeting Link:

<https://us06web.zoom.us/j/86384103418?pwd=RWINdnorWjljYVdlYzgwZSt1TVlyUT09>

Reference Questionnaire: <https://forms.gle/JsnFVBKc2S8BNGvf9>

Should you have any questions, please email Valerie Vijil, the Sole Point of Contact for this RFQ, at vvijil@alamedactc.org. Thank you for your interest.

Sincerely,

A handwritten signature in blue ink that reads "Carolyn Clevenger".

Carolyn Clevenger
Deputy Executive Director of Planning and Policy

cc: Valerie Vijil, Senior Administrative Analyst

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Alameda County Transportation Commission

REQUEST FOR QUALIFICATIONS

Alameda CTC RFQ No. R23-0005

On-call Planning and Policy

Professional Services

Date Issued: Thursday, November 3, 2022

Alameda County Transportation Commission

1111 Broadway, Suite 800

Oakland, California 94607

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INTRODUCTION

The Alameda County Transportation Commission (Alameda CTC) is a joint powers agency which plans, funds and delivers a broad spectrum of transportation projects and programs to enhance mobility throughout Alameda County, as the successor to three previous agencies: Alameda County Transportation Authority (ACTA), Alameda County Transportation Improvement Authority (ACTIA) and Alameda County Congestion Management Agency (ACCMA).

ACTA was created by the approval of Measure B by Alameda County voters in November 1986. Measure B authorized the imposition of a half-cent sales and use tax in the County for a period of 15 years, the proceeds of which were principally reserved for highway improvements, local transportation improvements, and transit funding in the County.

In November 2000, prior to the expiration of the first Measure B, the Alameda County Board of Supervisors placed a new Measure B on the ballot and Alameda County voters approved the measure with 81.5% support. The 2000 Measure B authorized the extension of the collection and expenditure of a half-cent sales and use tax for a period of 20 years, in order to address major transportation needs and congestion in Alameda County. ACTIA was instituted to administer the new Measure B funds, as detailed in the 2000 Transportation Expenditure Plan, including contract oversight, policy direction, financing, investment management, and coordinating projects with regional transit and transportation agencies and other Project Sponsors.

Although ACTA stopped collecting sales taxes in 2002, the agency continued its function to complete certain unfinished projects. On June 24, 2010, the ACTA Board adopted a resolution to transfer all of ACTA's assets, responsibilities, functions and liabilities to ACTIA, effective on July 1, 2010, and to terminate ACTA following the completion of the transfer process.

ACCMA was created in 1991 by a joint powers agreement between Alameda County and all its incorporated cities. ACCMA's goals, duties and composition enabled the local government to better address the complex problem of traffic congestion. ACCMA was responsible for planning, programming, and coordinating Federal, State, and Regional funds for transportation projects within Alameda County.

One such planning effort by the ACCMA evolved into a capital project, the Sunol Smart Carpool Lane Project. The Sunol Smart Carpool Lane Joint Powers Authority (Sunol JPA) was created in February 2006, pursuant to a Joint Exercise of Powers Agreement between ACCMA, ACTIA and the Santa Clara Valley Transportation Authority. The Sunol JPA was formed to plan, design and construct, and then administer the operation of a value pricing HOV program on the Sunol Grade segment of Interstate 680 in Alameda and Santa Clara Counties. The Sunol JPA began project operations when the I-680 Southbound Express Lane was opened to traffic on September 20, 2010. The Sunol JPA will also operate the I-680 Northbound Express Lane when the facility is opened to the general public for revenue operations in the near future. Alameda CTC serves as the Managing Agency for the Sunol JPA.

In early 2010, ACTIA, ACCMA, the County of Alameda, the fourteen incorporated cities within Alameda County, the Bay Area Rapid Transit District (BART), and the Alameda-Contra Costa Transit District each took actions required to create a new joint powers agency known as Alameda CTC, which combined the roles of ACCMA and ACTIA.

On June 24, 2010, the Boards of ACTIA and ACCMA gave the final approval required to create Alameda CTC. After a transition period required to accomplish certain administrative matters, at the

close of business on February 29, 2012, ACTIA and ACCMA were both terminated, and Alameda CTC was designated as the successor to both agencies. Alameda CTC is responsible for all of the functions and responsibilities previously held by ACTA, ACTIA and ACCMA along with certain additional powers as described in the joint powers agreement establishing Alameda CTC. Combining forces as Alameda CTC allowed ACTIA and ACCMA to eliminate redundancies and create efficiencies in planning, programs and project delivery and streamlined legislative, policy and funding efforts.

On November 2, 2010, Alameda County voters approved Measure F, authorizing ACCMA to administer the proceeds from a Vehicle Registration Fee (“VRF”), starting with registrations effective July 2011 with collection beginning in May 2011.

On January 23, 2014, Alameda CTC approved the 2014 Transportation Expenditure Plan. The 30-year Expenditure Plan was designed to expand BART, bus and rail services within Alameda County, keep transit fares affordable for youth, seniors, and people with disabilities, provide traffic relief on city streets and highways using new technology, improve air quality, and create good quality, local jobs. On November 4, 2014, Alameda County voters approved Measure BB (supported by the 2014 Transportation Expenditure Plan), which augments and extends the existing transportation sales tax (Measure B) and provides nearly \$8 billion to fund implementation of the 30-year Expenditure Plan. Alameda CTC is responsible for administering the 2014 Transportation Expenditure Plan, including contract oversight, policy direction, financing, investment management, and coordinating projects with regional transit and transportation agencies and other project sponsors.

General information about Alameda CTC can be obtained by visiting www.alamedactc.org.

SECTION I

1. GENERAL CONDITIONS

A. **Governing Law**

This RFQ summarizes the applicable laws and governance; when in conflict, applicable State/Federal requirements shall apply. The resulting contract and legal relations between the parties hereto shall be governed and construed in accordance with the laws of the State of California.

B. **Examination of SOQ Documents**

By submitting an SOQ, the proposer represents that it has thoroughly examined and become familiar with the work required under this RFQ, and that it is capable of performing the work identified in [Appendix A](#) (Preliminary Scope of Services).

C. **Rights of Alameda CTC**

This RFQ does not commit Alameda CTC to enter into a contract, nor does it obligate Alameda CTC to pay for any costs incurred in preparation and submission of the SOQ in anticipation of a contract. Alameda CTC also reserves the right to transfer certain services covered by this RFQ in-house, to be performed by staff.

Alameda CTC may investigate the qualifications of any proposer, require confirmation of information furnished by the proposer, and/or require additional evidence or qualifications to perform the work described in this RFQ from any proposer.

Further, Alameda CTC reserves the right to do each of the following, in any manner necessary to serve the best interests of Alameda CTC and the citizens of Alameda County:

1. Reject any or all SOQ submittals.
2. Cancel this RFQ in whole or in part.
3. Issue one or more subsequent Requests for Qualifications and/or Requests for Proposals.
4. Postpone opening of SOQs for its own convenience.
5. Remedy technical errors in the RFQ process.
6. Negotiate with any, all, or none of the proposers responding to this RFQ.
7. Waive informalities and irregularities in any SOQ.
8. Request additional information from proposer.
9. Issue Addenda to this RFQ.

D. **Work Scope Modifications**

Alameda CTC reserves the right to request changes to staff and/or scope of work proposed in any of the SOQs and to enter negotiations with any of the proposers regarding their submittal. This procurement utilizes the One-Step RFQ method for establishing one on-call contract through a single solicitation.

E. **Pre-Award/Post-Award Audit**

Alameda CTC reserves the right to perform pre-award and/or post-award audits.

The proposer shall be aware that if a pre-award audit is to be performed, full cooperation with the auditors is expected. The pre-award audit recommendations shall be incorporated into the contract.

If Alameda CTC permits the resulting contract to be awarded based on a post-award audit requirement, the proposer shall agree to the following contract language below:

Consultant acknowledges that this Agreement and the cost proposal are subject to a post award audit. Based on any post award audit recommendations, the cost proposal and/or the total compensation figure shall be adjusted to conform to the audit recommendations.

Consultant acknowledges and agrees that individual cost items identified in the audit report may be incorporated into this Agreement. Refusal by Consultant to incorporate interim audit or post award recommendations will be considered a breach of the Agreement and cause for termination of the Agreement.

F. Conflict of Interest

By submitting an SOQ, proposer represents and warrants that, for the term of the resulting contract, no member, officer or employee of Alameda CTC, or of a public body within Alameda County or member or delegate to the Congress of the United States, during their tenure or for one year thereafter, shall have any direct interest in any such contract or any direct or material benefit arising therefrom, as defined in California Government Code Sections 1090 *et seq.* and 87100 *et seq.* Prohibited interests include interests of immediate family members, domestic partners, and their respective employers or prospective employers.

The proposer further warrants and represents that it presently has no interest and agrees that it will not acquire any interest that would present a conflict of interest under California Government Code Sections 1090 *et seq.* or 87100 *et seq.* during the performance of services under any contract resulting from this RFQ and that it will not knowingly employ any person having such an interest. Violation of this provision may result in the contract being deemed void and unenforceable.

Proposers must provide a list of any potential conflicts of interest in working for Alameda CTC. This must include, but is not limited to, a list of your firm’s clients who are cities in Alameda County, the County of Alameda, and/or transit or transportation agencies that operate and/or have projects in Alameda County, and a brief description of work for these clients. Identify any other clients that would pose a potential conflict of interest as well as a brief description of work you provide to these clients. This list must include all potential conflicts of interest within the year prior to the release of this RFQ as well as current and future commitments to other projects.

On the contract resulting from this RFQ, consultant key team members may be required to submit a California Fair Political Practices Commission (FPPC) Form 700: Statement of Economic Interests documenting potential financial conflicts of interest. For additional information, proposers should refer to the FPPC website at www.fppc.ca.gov/Form700.html.

After award, the successful proposer shall take all reasonable measures to preclude the existence or development of an organizational conflict of interest in connection with work performed under the agreement resulting from this and other Alameda CTC solicitations. An organizational conflict of interest occurs when, due to other activities, relationships, or contracts, a firm or person is unable, or potentially unable, to render impartial assistance or advice to Alameda CTC; a firm or person’s objectivity in performing the contract work is or might be impaired; or a firm or person has an unfair competitive advantage in proposing for award of a contract as a result of information gained in performance of this or some other project.

Proposer shall not engage the services of any subconsultant or vendor on any work related to this RFQ if the subconsultant or vendor, or any employee of the subconsultant or vendor, has an actual or apparent organizational conflict of interest related to work or services contemplated under this RFQ.

The successful proposer selected through this RFQ may represent Alameda CTC in managing and reviewing invoices of other Alameda CTC consultants, subconsultants, contractors, subcontractors, and vendors. Alameda CTC must avoid situations where the successful proposer is placed in a position of actual or apparent conflict of interest. However, Alameda CTC recognizes that there are a limited number of firms, many of which are small and/or local businesses, with the expertise required both for participation on this contract and for participation on Alameda CTC's projects and programs, and Alameda CTC does not want to unfairly limit the ability of Alameda CTC or its funding partners to select the most appropriate consultant firms for future work.

Accordingly, subject to a review on a case-by-case basis by Alameda CTC's Executive Director or designee, the selected prime consultant and the members of its team will be eligible to submit bids for future contracting opportunities that are either awarded directly by Alameda CTC, or awarded by any project sponsor using Alameda CTC sales tax or other funding. While, as detailed above, the successful proposer shall take all reasonable measures to preclude the existence or development of an organizational conflict of interest, the main purpose of the Executive Director's review will be to confirm that neither the selected prime consultant nor any member of the consultant team could be placed in a position where, in performing the scope of the contract resulting from this RFQ, that individual or firm would be responsible for reviewing its own work for a specific project. If the selected consultant or any member of the consultant team is awarded a subsequent contract, and is then in position to review its own work on that subsequent contract, such consultant shall be responsible for adjusting the team members' responsibilities or finding a replacement firm to both ensure continuity of such services and avoid actual and apparent conflicts of interest, at the consultant's own cost.

If, at any time during the term of the contract resulting from this RFQ, the consultant becomes aware of an organizational conflict of interest in connection with the work performed under such contract, the consultant shall immediately provide Alameda CTC with written notice of the facts and circumstances giving rise to this organizational conflict of interest. The consultant shall immediately notify Alameda CTC of any organizational conflict of interest, whether actual or apparent, in connection with any political campaign activities engaged by or involving its firm that is, or may be, related to and/or otherwise impact: Alameda CTC, its governing body, its projects and programs and/or its delivery of such project and programs. The consultant's written notice will also propose alternatives for addressing or eliminating the organizational conflict of interest.

G. Public Records

The RFQ and any material submitted by a proposer in response to this RFQ are subject to public inspection under the California Public Records Act (Government Code 6250 *et seq.*) unless exempt by law. The SOQs will remain confidential until any resulting contract has been awarded and executed. Each proposer should give specific attention to the identification of those portions of its SOQ that it considers to be confidential, proprietary commercial information or trade secrets, and provide justification as to why such materials, upon request, should not be disclosed by Alameda CTC under the Act.

If Alameda CTC receives a request pursuant to the Public Records Act for information marked by the proposer as "Trade Secret" or "Business Secret," and the requester takes legal action seeking

release of the materials it believes do not constitute trade secret information, by submitting an SOQ, proposer agrees to indemnify, defend and hold harmless Alameda CTC, its officers, agents and employees, from any judgment, fines, penalties, and award of attorney's fees awarded against Alameda CTC in favor of the party requesting the information, and any and all costs connected with that defense. This obligation to indemnify survives Alameda CTC's award of the contract. Proposer agrees that this indemnification survives as long as the trade secret information is in Alameda CTC's possession, which includes a minimum retention period for such documents.

Any language purporting to render the entire SOQ or the cost proposal confidential or proprietary will be regarded as ineffective and will be deemed non-responsive.

H. Withdrawal of SOQ Submittal

A proposer may withdraw its SOQ at any time before the expiration of the time for submission of SOQ submittals as provided in this RFQ by delivering to the Sole Point of Contact identified in [Section II.1.D](#) (Sole Point of Contact) a written request for withdrawal signed by, or on behalf of, the proposer's binding official as identified in the [Section II.2.B](#) (Letter of Transmittal) included within the SOQ.

I. SOQ Protest Procedure

A proposer who submits, or who plans to submit, an SOQ may protest pursuant to the protest procedures applicable to this RFQ in accordance with this **Section I.1.J**. Any attempted protest which fails to comply with this section shall be deemed to be an invalid protest and automatically denied.

1. Protests based on the content of the RFQ shall be filed with the Sole Point of Contact within five (5) calendar days after the RFQ is issued. Alameda CTC shall issue a written decision on the protest prior to opening the SOQs.
2. Any respondent who submits an SOQ and is then notified that it did not meet the minimum qualifications or was found to be non-responsive may file a protest with the Alameda CTC within five (5) calendar days after the date the respondent receives notification.
3. Protests alleging a denial of due process or fundamental unfairness in the determination of the short list of most qualified firms shall be filed with the Sole Point of Contact within five (5) calendar days after such determination has been made available to the proposer.
4. Protests alleging a denial of due process or fundamental unfairness in the determination of the top-ranked firm for contract award shall be filed with the Sole Point of Contact within five (5) calendar days after such determination has been made available to the proposer.
5. Any protest shall contain a full and complete written statement specifying in detail the grounds of the protest and the facts supporting the protest.
6. If a protest is timely filed with the Sole Point of Contact, Alameda CTC staff (potentially including consultants to Alameda CTC) will promptly initiate an investigation of the grounds of the protest. All proposers shall cooperate with any inquiries from Alameda CTC's staff and consultants relating to the protest.
7. At the conclusion of its investigation, Alameda CTC staff shall prepare a written report, including a decision regarding the disposition of the protest, and provide a copy of such report to the protestor. The staff decision shall be final and conclusive unless the protestor requests a hearing pursuant to Item 7 below within five (5) calendar days after issuance of the report.

8. In the case of protests based on the content of the RFQ (Item 1 above), protesters may request to appear and be heard before the Planning, Policy and Legislative Committee (PPLC) and/or the Commission prior to the opening of SOQs. In the case of protests alleging a denial of due process or fundamental unfairness in the selection process (Items 2 and 3 above), protesters may request to appear and be heard before one or more of the following, as determined by the Chair of the Commission at their discretion: (i) the Chair and/or Vice-Chair of the Commission, (ii) the PPLC and/or the Commission, or (iii) a committee appointed by the Chair of the Commission. The individual or body conducting the hearing shall make a determination and take final action on the protest.

J. Equal Employment Opportunity

Proposer and its subconsultants shall not, on the basis of race, religious creed, color, national origin, ancestry, physical disability, mental disability, medical condition, genetic information, marital status, sex, gender, gender identity, gender expression, age, sexual orientation, or military and veteran status deny benefits to any person nor unlawfully discriminate, harass, or allow harassment against any employee or applicant for employment in any manner prohibited by Federal, State or local laws. In the event of non-compliance, Alameda CTC may cancel, terminate or suspend the contract in whole or in part. The proposer may also be declared ineligible for further contracts with Alameda CTC.

Proposer and its subconsultants shall take affirmative action to ensure that the evaluation and treatment of their employees and applicants for employment are free from such discrimination and harassment. Such action shall include, but not be limited to the following: employment, upgrading, demotion or transfer, recruitment or recruitment advertising, layoff or termination. Proposer and its subconsultants shall post in conspicuous places, available to all employees and applicants for employment, a notice setting forth the following provisions [29 USC § 623, 42 USC § 2000, 42 USC § 6102, 42 USC § 12112, 42 USC § 12132, 49 USC § 5332, 29 CFR Part 1630, 41 CFR Parts 60 *et seq.*].

K. Subconsultants

1. For the purposes of this RFQ, “subconsultants” also refers to all firms, other than the prime consultant, proposed for performing work or incurring costs on the resulting contract; this includes all subconsultants, subcontractors and/or vendors, of any tier.
2. Nothing contained in this RFQ and the resulting contract or otherwise, shall create any contractual relationship between Alameda CTC and any subconsultants, and no subcontract shall relieve the proposer of their responsibilities and obligations hereunder. The proposer agrees to be as fully responsible to Alameda CTC for the acts and omissions of its subconsultants and of persons either directly or indirectly employed by any of them as it is for the acts and omissions of persons directly employed by the proposer. The proposer’s obligation to pay its subconsultants is an independent obligation from Alameda CTC’s obligation to make payments to the proposer.
3. Any subcontract entered into as a result of this RFQ shall contain all the provisions stipulated in this RFQ and resulting contract to be applicable to subconsultants.
4. Proposer shall pay its subconsultants within ten (10) calendar days from receipt of each payment made to the proposer by Alameda CTC.
5. Any substitution of subconsultants must be approved in writing by the Alameda CTC Contract Manager in advance of assigning work to a substitute subconsultant.

L. Public Contract Code

In accordance with Public Contract Code Sections 10162, 10232, 10285, and 2202-2208, the proposer shall include a Public Contract Code Statement in its Letter of Transmittal as identified in Section II.2.B.

M. Prevailing Wage and Department of Industrial Relations Registration Requirements

The State of California’s General Prevailing Wage Rates are not applicable to this contract.

N. Proposers’ Responsibility for Completeness and Accuracy of SOQ and Exhibits

Each proposer is fully responsible for ensuring that the entirety of its SOQ, including but not limited to (i) all forms and certifications required pursuant to [Section II.2.H](#), and (ii) any portion of said SOQ, forms, or certifications prepared or submitted by any proposed subconsultants, is complete and accurate.

O. Ex Parte Communication Prohibited

Alameda CTC recognizes that adherence to procedures ensuring fairness is essential to the maintenance of public confidence in the value and soundness of Alameda CTC’s procurement processes. Therefore, any communication (whether in person, by telephone, or through electronic means), other than at a public meeting or as instructed in this RFQ (“ex parte communications”), between a proposer or any subconsultant, and their respective employees, agents or representatives, and Alameda CTC’s Commissioners, Alternates, staff, agents, general counsel, contractors, or other representatives during the procurement process is strictly prohibited, except as otherwise provided herein.

1. Ex parte communications are prohibited from the issuance date of this RFQ, through contract award, until the contract has been fully executed by Alameda CTC and the selected proposer.
2. Exceptions. This requirement shall not prohibit:
 - a. Communications specifically authorized by this RFQ between a proposer and the Sole Point of Contact.
 - b. Pre-Bid and Pre-Proposal Meetings, and procurement interviews.
 - c. Communications between Alameda CTC staff and representatives of the selected proposer following contract award regarding (i) negotiation of final contract or amendment terms, work scope, and budget; (ii) collection and verification of administrative matters such as proof of insurance and other required forms; and (iii) execution and delivery of the signed contract.
 - d. The filing and processing of (i) a written protest to any proposed award, to be made pursuant to the SOQ Protest Procedure contained herein; (ii) an appeal of any decision made pursuant to the LBCE Program, following the procedures outlined therein; or (iii) an appeal of any decision or determination with respect to Disadvantaged Business Enterprise (DBE) matters, following procedures applicable thereto.
 - e. Addressing the Commission or a Standing Committee at public meetings.
 - f. Contacts between Alameda CTC’s staff and its consultants or contractors in regard to any work being performed on Alameda CTC projects or programs unrelated to this RFQ.

3. Violation of the restrictions set forth above shall be grounds to: disqualify the violator from the award of the contract, void any award to or contract with the violator based on this RFQ, and/or temporarily suspend or permanently debar the violator from future contracts with Alameda CTC.

2. CONTRACT REQUIREMENTS

The selected proposer will be required to sign Alameda CTC's standard form professional services contract. The Alameda CTC Sample Professional Services Contract is available on the Alameda CTC website at www.alamedactc.org/contracting-forms and is incorporated herein as if attached. Alameda CTC reserves the right to substitute and/or modify the Alameda CTC Sample Professional Services Contract prior to contract execution with acceptance by both parties.

A. Exceptions to the Alameda CTC Sample Professional Services Contract

As noted above, proposers shall be prepared to accept the terms and conditions of Alameda CTC's standard form contract. If a proposer desires to take exception to the terms of the standard form contract, the proposer shall provide the following information as a section of the SOQ identified as Exceptions to the Alameda CTC Sample Professional Services Contract Form ([Appendix C](#)):

1. Proposer shall clearly identify each proposed change to the contract, including all relevant Exhibits and Attachments.
2. Proposer shall furnish the reasons therefore as well as specific recommendations for alternative language.

Only exceptions that are included in the submitted SOQs will be considered. No additional exceptions after SOQ submissions will be considered during contract negotiations. Substantial exceptions to the contract may be determined by Alameda CTC, at its sole discretion, to be unacceptable and Alameda CTC will proceed with negotiations with the next highest ranked firm. See [Section II.3.F](#) (Award).

B. Invoicing Requirements

The selected proposer will be required to submit invoice forms as specified in Alameda CTC's standard professional services contract including any revisions.

C. Insurance Requirements

The selected proposer will be required to maintain insurance coverage, during the term of the contract, at the levels described in [Appendix E](#) (Insurance Requirement Form). Proposer agrees to provide the required certificates of insurance providing verification of the minimum insurance requirements listed in [Appendix E](#), within ten (10) calendar days of the execution of the contract arising from this RFQ. Requests for waivers to Alameda CTC's insurance requirements should be submitted with the SOQ using the form provided in [Appendix E](#). Alameda CTC will review any insurance exception requests from the selected proposer, and issue waivers at its discretion if the changes requested are determined to be acceptable. Compliance with all material insurance requirements will be assumed.

D. Costs and Financial Documentation Requirements

The selected proposer and all subconsultants (as defined Section I.1.K) shall provide the cost and financial documents for the contract, if requested by Alameda CTC, within ten (10) business days

of Alameda CTC’s notice to firm that it is the successful proposer, including, but not limited to, the following forms or documents related to such forms:

- W-9 Form for the selected prime proposer only.
- Independent Auditors’ Report (for indirect cost rate audits), or if unavailable, an indirect cost rate schedule may be acceptable.
- Payroll registers for all individuals performing work.
- Financial Document Review (FDR) Request Form
- Certification of Indirect Costs and Financial Management System Form for the indirect cost rate for the most recent Fiscal Period (one-year accounting period); i.e., 01/01/2021-12/31/2021 or 07/01/2021-06/30/2022, whichever is later. The date range format of MM/DD/YYYY-MM/DD/YYYY must be provided in the Fiscal Period field, per the definition of fiscal period on the form.

The proposer should be able to provide the documents if selected.

E. Cooperation

After contract award, the selected consultant shall carry out the instructions as received from Alameda CTC, which may include cooperation with Alameda CTC staff and members of its governing body (the Commission).

F. Identification of Documents

All reports and other documents completed as part of the resulting contract shall recognize the appropriate participating funds. Specific details will be provided to the successful proposer.

3. LOCAL BUSINESS CONTRACT EQUITY PROGRAM

This contract will be funded in whole or in part by Alameda CTC VRF, Measure B and/or Measure BB funds, or such funds in combination with other local funds; consequently, Alameda CTC’s Local Business Contract Equity (LBCE) Program will apply. The LBCE Program establishes goals for award of professional service contracts to firms located within Alameda County. For professional services, the LBCE Program has a Local Business Enterprise (LBE) goal of 70.0% and a Small Local Business Enterprise (SLBE) goal of 30.0%.

Further details regarding this program can be found on the Alameda CTC website at www.alamedactc.org/contract-equity, as well as lists of all currently certified LBE, SLBE, and Very Small Local Business Enterprise (VSLBE) firms. It is the responsibility of firms which desire to have participation counted toward the LBCE Program goals to ensure that they are appropriately certified by Alameda CTC on a timely basis. The prime contractor will report LBE, SLBE, and VSLBE utilization with each invoice for payment as applicable.

Alameda CTC’s Certification Team is available to assist firms in meeting the LBE and SLBE goals:

Certification Team
(510) 208-7460
certification@alamedactc.org

All questions regarding certification should be directed to the Certification Team. Certification applications should be submitted to the Certification Team at the above email address. All firms

utilized to meet an LBE and/or SLBE goal must be certified by the time of SOQ submission, and proof of certification must be submitted with the SOQ. Applications for LBE and/or SLBE certification will not be accepted with the SOQ.

SECTION II

1. RFQ INFORMATION

A. RFQ Description

Alameda CTC is seeking one consultant team to provide technical planning and program management services. Such services include but are not limited to updates to the countywide transportation plan, countywide planning studies and initiatives, general planning and engineering studies and technical assistance, data analysis and visualization, graphics and GIS support, travel forecasting, travel demand model maintenance support, rail and transit planning, equity planning and engagement, project identification and early project development, program management support and technical grant writing services.

B. Preliminary Scope of Services

1. **Preliminary Scope of Services** – The preliminary scope of work to be performed under any contract resulting from this RFQ is described in [Appendix A](#) (Preliminary Scope of Services) attached hereto and hereby incorporated herein.
2. **Period of Performance** – The anticipated period of performance for this contract will be for an initial period of three (3) years from May 5, 2023 to April 30, 2026, with the option to continue for two (2) additional years of service through April 30, 2028.
3. **Method of Payment** – Compensation for this contract is anticipated to be based on specific rates of compensation and/or lump sum by task, but is subject to the terms and conditions established as a result of negotiations.

C. Qualifications

1. **Minimum Qualifications.** For work areas listed in [Appendix A](#) (Preliminary Scope of Services), SOQs must demonstrate that the proposer team meets the Minimum Qualifications to be eligible for consideration for this contract; per Section II.3.A, respondents failing to meet the Minimum Qualifications will not be considered:
 - a. Experience with at least one (1) project within the past five (5) years where proposer provided the support services described in [Appendix A](#) (Preliminary Scope of Services) for each work area.
 - a. The Project Manager must be either a professional planner, economist, or analyst as evidenced by educational background and relevant job experiences and/or a licensed engineer (Civil or Traffic). The Project Manager must also have at least five (5) years of overall project and contract management experience.
2. **Desired Qualifications.** The following qualifications are not required in order for a proposer to be determined eligible for consideration, but proposers will be scored on these qualifications under **SOQ Evaluation/Criteria** ([Section II.3](#)).

- a. Experience with at least two (2) projects within the past five (5) years where proposer provided the support services described in [Appendix A](#) (Preliminary Scope of Services) for each work area.
- b. Project Manager experience managing large teams of subconsultants.
- c. Project Manager experience contracting with Alameda CTC.
- d. Experience working with Alameda CTC partner agencies (jurisdictions, transit agencies).
- e. Experience providing strategic guidance to public sector clients.

D. Reference Materials

Refer to [Appendix B](#) for reference materials (e.g., project reports, maps, diagrams, etc.).

E. Sole Point of Contact

The Sole Point of Contact for all purposes of this procurement is as follows. Email inquiries (where permissible under the terms of this RFQ) and SOQ submissions shall be directed to the Sole Point of Contact:

Name: Valerie Vijil
Title: Senior Administrative Analyst
Email: vvijil@alamedactc.org
Telephone: (510) 208-7491

F. Pre-Submittal Meeting (Optional)

An optional, but recommended, pre-submittal meeting will be held online as indicated in [Table 1](#) (RFQ Schedule).

Prospective proposers do not have to participate in this pre-submittal meeting in order to submit an SOQ to this RFQ; however, participation by potential proposers and subconsultants is highly recommended and strongly encouraged.

Consultants planning to participate are requested to register for the pre-submittal meeting using the RFQ Registration Form identified in the cover letter of this RFQ by 5:00 p.m. the day prior to the pre-submittal meeting. Participants may join the pre-submittal meeting using the Pre-Submittal Meeting Link identified in the cover letter of this RFQ.

G. Addenda/Clarifications

It is the proposer’s responsibility to check for and review all addenda issued and posted by Alameda CTC on the RFQ Web Page identified in the cover letter of this RFQ. Proposers may sign up for email notifications of important updates about this RFQ, as well as register for the pre-submittal meeting, using the RFQ Registration Form identified in the cover letter of this RFQ.

Explanations or clarifications desired by potential proposers regarding the meaning or interpretation of the RFQ may be requested by the questions submission deadline, in writing via email to the Sole Point of Contact (as identified in [Section II.1.D](#)) with subject line “Questions – Alameda CTC RFQ No. R23-0005.” Responses to questions submitted by the deadline that may have a material impact on the SOQ will be posted on the RFQ Web Page identified in the cover letter of this RFQ, as well as provided to firms registered to receive email notifications of important updates about this RFQ.

H. RFQ Schedule

Any reference in this RFQ to a specific time shall refer to Pacific Time. All dates and times are subject to change at the discretion of Alameda CTC.

TABLE 1: RFQ SCHEDULE

ACTIVITY	DATE/TIME
RFQ issued.	November 3, 2022
Optional Pre-Submittal Meeting held online. By 5:00 p.m. the day before the meeting, please register using the RFQ Registration Form and join using the Pre-Submittal Meeting Link, both identified in the cover letter of this RFQ.	November 15, 2022 1:00 p.m.
Deadline for proposers to submit questions. All questions must be directed by email to the Sole Point of Contact.	November 17, 2022 3:00 p.m.
Final Addendum issued, if necessary. Proposers may sign up for RFQ email notifications using the RFQ Registration Form identified in the cover letter of this RFQ.	December 1, 2022
Due Date for SOQ submittal and Reference Questionnaires. LATE SUBMISSIONS WILL NOT BE ACCEPTED. References shall submit the web-based Reference Questionnaire (identified in the cover letter of this RFQ) by or before this deadline.	December 8, 2022 3:00 p.m.
Anticipated Submittal Review. Selection Review Panel evaluates SOQs and develops short list of firms to interview, if necessary.	January 17, 2023
Interviews, if necessary.	January 26, 2023
Anticipated Final Evaluation and Determination of Top-Ranked Firm.	January 27, 2023
Contract Award Request to the Alameda CTC Commission	April 11, 2023
Anticipated Contract Commencement.	May 5, 2023

2. SOQ CONTENT AND FORMAT

A. General Instructions

By the SOQ due date, interested proposers shall submit:

- **Technical SOQ** (written SOQ, **without cost proposal or cost elements**): the complete set of electronic files comprising the SOQ in PDF format, except the Resources Form ([Appendix D](#)) which shall be provided in source (i.e., XLS/XLSX) **and** PDF formats. All PDF documents must be formatted with Optical Character Recognition to ensure text is searchable and may be copied.
- **Cost Proposal (in a separate digital folder** from the technical SOQ and labeled “Cost Proposal,” as identified in [Section II.2.E.5](#)): the complete set of electronic files comprising the cost proposal in source (i.e., XLS/XLSX) **and** PDF formats.

Electronic files shall be sent via email attachment or file sharing service. Proposers can send up to 20 MB in email attachments per email. If the email being sent has more than one email attachment, the email attachments cannot add up to more than 20 MB. Proposers may send multiple emails. Files should be clearly named. Proposers should allow sufficient delivery time to ensure timely

receipt by Alameda CTC. Late submittals will not be accepted. Submissions transmitted by facsimile or hard copies will not be accepted.

The files must be submitted to the Sole Point of Contact at the email address identified in [Section II.1.D](#) (Sole Point of Contact) in an email with a subject line containing the RFQ number and title and with the body containing the proposer’s name, address, and telephone number.

SOQs must be typed with a minimum 12-point font and submitted on 8½” x 11” pages with at least 1” margins. The font requirement applies to all text except for charts, tables and graphs. Graphs, diagrams or organizational charts could be shown on 11” x 17” pages. An 8½” x 11” page counts as one page and an 11” x 17” page counts as two pages. SOQs shall not exceed 16 pages, excluding sections or content as indicated in [Table 2](#) (Page Limit Requirements).

SOQ content and completeness are most important. Clarity, organization, and conciseness are essential and will be considered in assessing the proposer’s capabilities. SOQs should only contain relevant information pertaining to the response of this RFQ; marketing materials should not be included, unless appropriate. If there is any reason that specific items are incomplete or not provided, proposers must include a justification for each item explaining why it was incomplete or not provided.

TABLE 2: PAGE LIMIT REQUIREMENTS

SECTION OR CONTENT	INCLUDED IN PAGE LIMIT
SOQ Cover Page(s)	–
Letter of Transmittal (Section II.2.B)	–
Title Page (Section II.2.C)	–
Table of Contents (Section II.2.D)	–
SOQ Content (Section II.2.E) (Exceptions listed below)	✓
SOQ Content (Section II.2.E) (Exceptions to page limit) <ul style="list-style-type: none"> • Resources Form (Appendix D) • Cost Proposal (Section II.2.E.5) • Project Experience Summary (Section II.2.E.4.c) 	The Project Experience Summary may be provided in an appendix to the SOQ but may not exceed one page per project.
Performance Measures (Section II.2.F)	✓
References (Section II.2.G)	–
Required Forms and Certifications (Section II.2.H)	–
Resumes	– Resumes may be provided in an appendix to the SOQ but may not exceed 2 pages each.
Design Samples (Optional and as applicable)	–
Writing Samples (Optional and as applicable)	–

B. Letter of Transmittal

An officer authorized to bind the proposer’s firm must sign the transmittal letter. The transmittal letter must include the following content and language:

1. Full list of the team (including proposer and all subconsultants, subcontractors and/or vendors, of any tier) and detail any proposed joint venture arrangements such as revenue/profit sharing:

- a. *Company (LBE, SLBE, and/or VSLBE status, if any) – arrangements detail (if any)*
2. Selection Process Lead (the primary point of contact during the selection process):
 - a. *Name and Title*
 - b. *Mailing address*
 - c. *Telephone number*
 - d. *Email address*
3. Public Contract Code Statement (**no modification to this statement is allowed**):

Our firm (the “Prime Proposer”), hereby certifies under penalty of perjury under the laws of the State of California and the United States that neither Prime Proposer nor any subconsultant and/or subcontractor hereunder, nor any firm affiliated with Prime Proposer or any subconsultant and/or subcontractor hereunder, is (i) potentially subject to rejection of any bid pursuant to the provisions of Public Contract Code (“PCC”) section 10162; (ii) unable to truthfully sign a statement in the form required by PCC section 10232; (iii) suspended or potentially subject to suspension pursuant to PCC section 10285.1; (iv) ineligible to bid on a contract with a public entity for goods and services of \$1 million or more pursuant to PCC sections 2202-2208; or (v) not in compliance with any federal, state, and local laws and ordinances applicable to the work. Prime Proposer further represents and warrants that no portion of the work under the resulting agreement shall be subcontracted to or otherwise performed by any subconsultant and/or subcontractor that meets any of the criteria set forth in subsections (i)-(v) above.

4. Requirements Statement that proposer shall provide any and all information and documents for proposer and all subconsultants proposed to perform work and/or incur costs, as may be required and/or requested by Alameda CTC, including, but not limited to, requirements under RFQ Section I.2 (Contract Requirements).
5. This letter is signed by an officer that is authorized to bind the proposer’s firm contractually and includes the following language:

This SOQ is a binding offer to contract with Alameda CTC according to the requirements of this RFQ for a period of one hundred twenty (120) calendar days from the SOQ due date.

6. Provide a checklist of minimum qualifications met by the proposer as detailed under Minimum Qualifications ([Section II.1.C.1](#)):
 - Experience with at least one (1) project within the past five (5) years where proposer provided the support services described in [Appendix A](#) (Preliminary Scope of Services) for each work area.
 - Project Manager is either a professional planner, economist, or analyst as evidenced by educational background and relevant job experiences and/or a licensed engineer (Civil or Traffic). The Project Manager has at least five (5) years of overall project and contract management experience.

C. Title Page

The title page must include the RFQ number and title, the proposer’s firm and contact information (local address and telephone number), contact person and contact information (name, email, and telephone number), and the date.

D. Table of Contents

Provide a table of contents that includes a clear identification of the material by section and page number.

E. SOQ Content

SOQs must contain the following sections:

1. **Understanding the Preliminary Scope of Services.** This section of the SOQ shall (i) establish that the proposer understands Alameda CTC’s objectives and work requirements and (ii) describe the proposer’s ability to satisfy those objectives and requirements. Additional items included that are not specifically requested in the RFQ must be clearly described as “optional tasks” in this section.
 - a. Succinctly describe the proposed approach for addressing the required work and the rationale for the implementation approach. Outline the activities that would be undertaken in completing the various tasks (including subtasks) and specify who would perform them. Include any underlying assumptions.
 - b. Demonstrate understanding of, and identify, the key risks and challenges for delivering the Preliminary Scope of Services, and discuss risk mitigation measures for the aforementioned.
2. **Qualifications and Expertise.** This section shall describe how your firm’s expertise will be practically applied to fulfill the Preliminary Scope of Services, including areas of consideration and how your firm will implement the contract.
 - a. Provide representation of work performed by your firm that are similar in nature to this scope or elements of this scope, including as described in the Qualifications section above.
 - b. Discuss specific qualifications and technical expertise of the firm.
 - c. Identify any technical and/or procedural innovations that have been used successfully in past instances which may facilitate the performance of services and which may not have been specifically stated in this RFQ.
3. **Management Plan.** This section shall describe methods of management.
 - a. Describe methods of planning, scheduling, and delivering work. Discuss coordination meeting strategies and how the team will provide up-to-date and accurate information to Alameda CTC for the duration of the contract.
 - b. Describe how management of the team members and subconsultants will be handled. Discuss management of budgetary controls and avoiding exceeding resources allocated for specific tasks.
4. **Staffing Plan and Availability.** This section shall include an organizational chart and identify key team members. Key team members, also referred to as “key personnel” herein, denotes individuals from any firm on the proposer team that have key roles and responsibilities and are expected to remain the same throughout the duration of the contract.
 - a. Designate (i) the principal-in-charge and the project manager who will serve as Alameda CTC’s key contacts throughout the duration of the contract, and (ii) the key team members for your proposed team. The Project Manager must be either a professional planner, economist, or analyst as evidenced by educational background

and relevant job experiences and/or a licensed engineer (Civil or Traffic). The Project Manager must also have at least five (5) years of overall project and contract management experience.

- b. Describe the qualifications and expertise of the proposed team, including all subconsultants, in providing services for clients comparable to Alameda CTC. Provide sufficient detail to describe each individual’s specific roles/responsibilities for this contract, a description of the benefits the person brings to the team, and their availability over the duration of this contract as to provide assurance to their ability to perform the requested services in a responsive and timely manner. Full resumes for key team members may be included in the SOQ appendices. Key team members are expected to remain the same throughout the duration of the contract.
- c. Provide, as a Project Experience Summary appendix, a succinct description (one page maximum per project, which is excluded from the Page Limit Requirements under Table 2 for the overall SOQ) of any previous projects used to meet Minimum and Desired Qualifications. Indicate the project title, year(s) and duration of engagement, proposer’s budget, sponsoring agency and sponsor project manager, and roles played by individuals proposed for this project. Include a summary table identifying which projects apply to the work areas of [Appendix A](#). Please use the following format:

Project Experience Summary Map to Work Area

No.	Project Name	1. Contract Administration & Management	2. Transportation Policy and Strategy Implementation	3. Outreach Support	4. CTP and Major Planning Initiatives	5. Multimodal Corridor Studies, Plans, and Project Analyses	6. Technical Analyses & Modeling Support	7. Program Management Support
1	<i>Example Project A</i>	X	X		X			
2	<i>Example Project B</i>	X		X			X	
3	<i>Example Project C</i>	X						X
4	<i>Etc.</i>							X

- d. Proposers must also complete a Resources Form ([Appendix D](#)) and include as an SOQ appendix. For firms with multiple offices, SOQs must identify all locations from which resources are anticipated to be used.
5. **Cost Proposal.** Proposer shall submit, in XLS/XLSX **and** PDF formats, the following in a separate digital folder clearly labeled “Cost Proposal”:
- a. The cost by firm and accordingly, the total cost which will be committed to firms used towards meeting the LBE and/or SLBE goals, if any, using the Budget by Firm table.

- b. The hourly billing rates by individual personnel, with key personnel identified, using the Hourly Rate Schedule table.

The top-ranked proposer will be required to participate in negotiations which may result in revisions to the proposal. Also see Section II.1.B(3) (Method of Payment).

Additionally, prior to award of a contract, the successful proposer may be required to submit the firm's most recent complete financial statements, including footnotes and auditor's opinion or other financial instrument that would establish the firm's ability to complete the obligations of the contract resulting from this solicitation.

F. Performance Measures

Provide a list of proposed performance measures that could be used during the course of the contract for Alameda CTC to evaluate the firm's deliverables and services performed. If selected, these will be negotiated with Alameda CTC during contract negotiations and final performance measures will be incorporated into a professional services contract.

G. References

Proposer shall ensure that Alameda CTC receives a minimum of three (3) completed Reference Questionnaires from appropriate client references for each key team member on the SOQ, related to previous work similar to this scope, or elements of this scope, on which the key team member had significant involvement within the past five (5) years. None of the references shall be from Alameda CTC. The proposer is responsible for communicating to the referenced party the instructions and requirements for this process as outlined in this RFQ, including the deadline for which Reference Questionnaires (identified in the cover letter of this RFQ) are due to be submitted online, as indicated in [Table 1](#) (RFQ Schedule).

The proposer shall additionally include, as an appendix to the SOQ, reference information for previous work similar to this scope, or elements of this scope, on which its key team members had significant involvement within the past five (5) years, including but not limited to the following:

1. Name of Agency (for which work was performed)
2. Contact Person's:
 - a. Name
 - b. Telephone Number
 - c. Timeframe (year the work was done)
3. Work Description
4. Duration of Contract (i.e., months or years, start and end dates)
5. Specific Work Conducted
6. Total Contract Amount
7. Key Team Member's Role
8. Attachments (optional; i.e., any other reference materials, such as project fact sheets or resumes)

Such appendices will not count toward the page count limit.

H. Forms and Certifications

Proposers **must** provide completed forms in their submittal as required in Table 3 and the RFQ [Appendices](#). If there is any reason that specific items are incomplete or not provided, proposers must include a justification for each item explaining why it was incomplete or not provided.

TABLE 3: REQUIRED FORMS AND CERTIFICATIONS

FORMS AND CERTIFICATIONS	SEPARATE DIGITAL FOLDER	REQUIRED FOR PRIME CONSULTANT	REQUIRED FOR SUBS*
Section I.3 – LBE, SLBE, and/or VSLBE Proof of Certification	–	✓	✓
Section II.2.B – Letter of Transmittal: <ul style="list-style-type: none"> • Full list of the team • Selection Process Lead • Public Contract Code Statement • 120-Day Binding Offer Statement • Signed by an authorized officer • List services provided • List all minimum qualifications met 	–	✓	–
Section II.2.G – Reference Information Note that Reference Questionnaires should be received by Alameda CTC directly, and are thus not part of the SOQ submittal.	–	✓	If Applicable
Appendix C – Exceptions to the Alameda CTC Sample Professional Services Contract Form	–	Optional	–
Appendix C – Cost Proposal Form for On-call Planning and Policy Professional Services	✓	✓	✓ Include in Prime Consultant’s Cost Proposal Form
Appendix C – Costs and Financial Documentation: <ul style="list-style-type: none"> • W-9 Form (selected prime proposer only). • Independent Auditors’ Report, or if unavailable, an indirect cost rate schedule may be acceptable. • Certified payroll registers. • Certification of Indirect Costs and Financial Management System Form 	–	Selected Prime Consultant to submit within ten (10) business days of Alameda CTC’s notice to Prime Consultant that it is the successful proposer if requested	Selected Prime Consultant to submit within ten (10) business days of Alameda CTC’s notice to Prime Consultant that it is the successful proposer if requested
Appendix D – Resources Form in its entirety: <ul style="list-style-type: none"> • Key Personnel Availability • Firm Participation Summary • Task Resource Summary • Alameda CTC Contracts Summary • Potential Conflicts of Interest 	–	✓	✓ Include in Prime Consultant’s Resources Form

FORMS AND CERTIFICATIONS	SEPARATE DIGITAL FOLDER	REQUIRED FOR PRIME CONSULTANT	REQUIRED FOR SUBS*
Appendix E – Insurance Requirement Form	–	✓	✓ Include in Prime Consultant’s Insurance Requirement Form
* Required for subs (subconsultant, subcontractor, vendor, etc.) of any tier.			

3. SOQ EVALUATION/CRITERIA

A. Review for Satisfaction of Minimum Qualifications and General Responsiveness

Alameda CTC staff, in consultation with Alameda CTC legal counsel if deemed necessary, will conduct an initial review of the SOQs for responsiveness to ensure that each SOQ meets the requirements of this RFQ, including the minimum qualifications set out in [Section II.1.D](#) (Minimum Qualifications). SOQs failing to satisfy the requirements set forth in this RFQ will not be considered. Respondents failing to meet the Minimum Qualifications will not be considered.

Any SOQ that does not include enough information to permit the Selection Review Panel to rate the SOQs in any one of the evaluation factors listed below will be considered non-responsive. An SOQ that fails to include one or more items requested in [Section II.2](#) (SOQ Content and Format), may be considered complete and generally responsive, if evaluation in every criterion is possible.

Alameda CTC reserves the right to request additional information from **responsible and responsive** proposers prior to evaluation.

B. SOQ Evaluation

A Selection Review Panel, which may be comprised of staff from Alameda CTC and representatives from outside agencies, will evaluate responsive SOQs. The Selection Review Panel will then establish a short list of the most qualified firms based on the following SOQ Criteria, and the Sole Point of Contact shall schedule interviews with the firms on the short list, if deemed necessary.

Alameda CTC reserves the right not to convene interviews, but to make a selection on the basis of written SOQs alone. Furthermore, Alameda CTC reserves the right to accept or reject any and all submitted SOQs, to waive minor irregularities, and to request additional information from the firms at any stage of the evaluation process.

C. SOQ Criteria – 100 Points Possible

The product of the SOQ evaluation process will be a recommendation that Alameda CTC award the contract to the top-ranked proposer, as determined by the Selection Review Panel. The following criteria and point system will be used to evaluate and rank the responsive SOQs meeting the minimum qualifications:

TABLE 4: SOQ CRITERIA

SOQ CRITERIA	MAXIMUM POINTS
1. Understanding the Objectives and Work Requirements. Understanding of the RFQ objectives, needs, appropriate deliverables, and key risks and challenges as well as approach and mitigation measures.	20
2. Qualifications and Expertise. Qualifications and technical expertise of the respondent in performing related work; respondent’s experience with public agencies and familiarity with the related process; and assessments by client references as available. Depth of staff resources to work on tasks with varying complexities.	40
3. Management Approach. Methods and strengths of management, including communication, schedule and budget control, and quality assurance and quality control.	15
4. Capacity and Availability. Capacity and ability to provide quality personnel in a timeframe that meets the needs of Alameda CTC.	15
5. Ability to Meet or Exceed Applicable LBE and SLBE Goals – As further described in Section I.3 (Local Business Contract Equity Program) this RFQ and any and all resulting contracts are subject to the LBCE Program established by Alameda CTC. The percentage of participation toward each goal shall be based on the cost proposal, excluding costs for any optional task(s). and/or phase(s). Such excluded costs may be added as an optional or on-call tasks/deliverables line items in the submitted Cost Proposal Form.	10 (5 for each goal)
Total:	100

Firms’ hourly rates will not be a factor in the evaluation. However, Alameda CTC reserves the right, after the firms have been ranked, to decline to enter into a contract with a firm whose rates are unreasonable at Alameda CTC’s sole discretion, and/or negotiate with the eligible firms.

D. Proposer Interviews

If the Selection Review Panel determines that interviews are not necessary, respondents will be ranked based on the Selection Review Panel’s evaluation of the technical SOQs. Otherwise, the Selection Review Panel will establish a short list of respondents based on the evaluation and ranking of the SOQs, and Alameda CTC will select respondents from the short list for an interview. The final evaluation to select the top-ranked respondents will be based solely on the interview criteria below and will not include the initial evaluation or ranking based on the SOQ criteria above. The principal-in-charge, project manager and key team members should be the lead in the interview process in presenting the qualifications of the team.

E. Interview Criteria – 100 Points Possible

The interview, if applicable, will be evaluated and ranked by the Selection Review Panel using the following criteria and point system:

TABLE 5: INTERVIEW CRITERIA

INTERVIEW CRITERIA	MAXIMUM POINTS
1. Understanding the Objectives and Work Requirements.	15
2. Qualifications and Expertise.	30
3. Management Approach.	20
4. Capacity and Availability. Capacity and ability to provide quality personnel in a timeframe that meets the needs of Alameda CTC.	15
5. Effectiveness of Interview. Overall interview discussions and presentation.	10
6. Ability to Meet or Exceed Applicable LBE and SLBE Goals, as further described in Section I.3 ; the percentage of participation toward each goal will be as calculated under Section II.3.C (SOQ Criteria).	10 (5 for each goal)
Total:	100

F. Award

The selection of the consultants shall be fair, open, and competitive using a qualifications-based selection process, and will be based on clearly stated objectives identified in this RFQ and on demonstrated competence, professional qualifications, experience, and capabilities to perform the scope of services identified in **Appendix A** (Preliminary Scope of Services). Proposers will be evaluated based on the accuracy and completeness of their submittal and against the qualifications and other requirements listed in this RFQ.

The Selection Review Panel will recommend award to the proposer with the highest average ranking based on the Selection Review Panel’s evaluation using the Proposer Interview Criteria in [Section II.3.E](#), if applicable, and will not include the initial evaluation or ranking of the technical SOQs. If the Selection Review Panel determines that interviews are not necessary, proposers will be ranked based on the technical SOQs. The top-ranked firm may be required to submit a revised cost proposal and/or technical or other revisions to its SOQ as a result of negotiations with Alameda CTC. If negotiations with the top-ranked proposer are ultimately unsuccessful, or if the proposer declines the work offered, then negotiations will proceed with the second highest ranked proposer from the SOQ list, and so forth.

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A. PRELIMINARY SCOPE OF SERVICES

SUMMARY

To support major planning efforts, policy development and technical analysis, with an emphasis on advancing equity, safety, and sustainability throughout the county, Alameda CTC intends to contract with one consultant team to provide as-needed professional planning and policy support services including, but not limited to:

- Countywide planning studies and initiatives
- General planning and engineering studies
- Data analysis and technical support
- Travel demand modeling support
- Multimodal corridor planning and technical implementation studies
- Project identification and development
- Clean energy and environmental strategy development
- Public outreach and support and training facilitations
- Program management support
- Technical grant writing services

The successful proposer team shall be organized and staffed appropriately to deliver quality and timely work products, effectively and efficiently provide a wide variety of planning and policy support services for all elements listed in this Scope of Work, manage the entire team across multiple, simultaneous task orders, and meet contract equity goals related to utilization of local and small local businesses.

BACKGROUND

The mission of Alameda CTC is to plan, fund and deliver transportation programs and projects that expand access and improve mobility to foster a vibrant and livable Alameda County. Since 2018, a team of consultants have successfully supported this mission through an on-call contract. Examples of work completed through this arrangement include the 2020 Countywide Transportation Plan, the New Mobility Framework, multiple Comprehensive Multimodal Corridor Plans, all products related to the Equity Initiative, and the Countywide Bikeways Network. Documents related to these efforts are included for reference in Attachment B. Additionally, on-call consultants have supplemented the agency's capacity for visual communications, mapping, and travel demand forecasting.

Through this RFQ for Professional Services for On-call Planning and Policy Services, Alameda CTC may contract with qualified consultants to provide technical services in support of the agency's next set of major planning, policy, and program management efforts. All services will be authorized by Task Order as needs develop to ensure flexibility and tailored work products. Task Orders will be initiated and developed according to the Task Order Process described in the Alameda CTC Sample Professional Services Contract, and include at a minimum, a detailed description of the work to be performed, a completion date for performance, a maximum payment amount, payment terms (deliverables-based or time and materials) and subconsultant participation. The initial contract will be for three years, with the option to renew up to the five-year agency limit on a single RFQ for a support services contract.

ON-CALL SERVICES

Specific deliverables will be defined as task orders are developed. The following work areas to be provided by the Consultant under this On-call Planning and Policy Services Agreement are anticipated to include, but not necessarily be limited to:

1. Contract Administration & Management: This contract will cover a wide variety of task orders, and entails oversight to ensure work is administered and managed accordingly. The Consultant shall be expected to:
 - a. Designate one consultant point-of-contact to manage on-call contract administration
 - b. Develop & execute task orders in a timely fashion as they arise, working effectively with subconsultants as appropriate
 - c. Create a competitive team to deliver a wide variety of work, and provide suitable substitute and/or replacement consultant team members in a timely manner as needed
 - d. Develop a 6-month work plan for tasks developed under this contract, as well as a detailed implementation schedule for all contract deliverables
 - e. Monitor invoices and report on contract performance and budget progress to Alameda CTC
 - f. Respond to staff requests for authorizing new work through task orders
 - g. Meet with Alameda CTC as needed to manage contract administration, and attend and present at public meetings as requested

2. Transportation Policy and Strategy Implementation: Alameda CTC's next set of planning efforts will further advance strategy implementation of the 2020 Countywide Transportation Plan (CTP) and regional and state goals from Plan Bay Area 2050 (PBA 2050) and the state's Climate Action Plan for Transportation Infrastructure (CAPTI). Technical services supported in this category are likely to include project-specific equity analyses and internal agency equity initiatives support, various safety analyses, emissions reductions and vehicle miles traveled (VMT) analyses, workshop and training facilitation, bus and passenger rail service analyses, clean fueling infrastructure and policy studies, climate resiliency plans and policy development, and housing, economic and land use analyses. Examples of work under this category include, but are not limited to:
 - a. Provide technical assistance for the following:
 - i. Countywide High-Injury Network (HIN) and Safe Systems Planning
 - ii. Countywide Bicycle Network Implementation
 - iii. Vehicle Miles Traveled (VMT) Tool and GHG Assessments
 - iv. Zero emission and clean fueling assessments for freight and passenger vehicles
 - b. Develop and advance implementation areas across the 2020 CTP's 25 strategies and near-term actions described in Chapter 5 that most align with PBA 2050 and CAPTI strategies
 - c. Support the implementation of Alameda CTC's Equity Initiative

3. Outreach Support Services: The planning work conducted under the on-call contract will require community engagement in line with the agency's Equity Initiative and a forthcoming Inclusive Engagement Guide. Examples of work under this category include but are not limited to:
 - a. Develop project-specific equitable engagement approaches

- b. Recruit and conduct specialized engagement with target community members from traditionally marginalized groups
 - c. Partner with Community Based Organizations for inclusive engagement as the need arises during development of Task Orders
 - d. Produce outreach materials (i.e. maps, flyers, presentations, etc.) and create online engagement tools such as surveys and interactive websites
 - e. Provide special events production & staffing support (i.e. conduct listening sessions, moderate focus groups, conduct intercept surveys, and facilitate workshops)
 - f. Provide translation services and conduct engagement in multiple languages
 - g. Prepare and present summary findings
4. CTP and Major Planning Initiatives: The On-call consultants will support major planning initiatives including the next major update of the CTP, which is set to be developed in the 2024-2026 timeframe. The on-call contract will be used to support this update and will likely include services that support planning and policy preparation ahead of plan development. Staff anticipate that the next CTP will update agency priorities from the 2020 CTP, advance key policy recommendations of PBA 2050 and CAPTI, and include additional technical analysis related to emissions reduction, land use and equity, and safety. The CTP is expected to require Consultant support including, but not limited to:
- a. CTP project management
 - b. Conduct public outreach (potentially multiple rounds) including a major emphasis on outreach in Equity Priority Communities such as listening sessions or other engagement techniques
 - c. Update needs assessment and strategies aligned with PBA 2050 and CAPTI
 - d. Conduct targeted modal assessments to support recommendations, which may include some combination of the following:
 - i. Transit assessments to identify pandemic recovery opportunities and advance MTC's Transit Transformation Action Plan, first-mile/last-mile strategies, fare policy, network and service improvements, and transit market analyses (i.e. express bus market analyses), and the identification of capital improvements and associated operating costs
 - ii. Freight and passenger rail system planning, operations and policy analysis, and connections between services and other modes
 - iii. Managed lane, pricing, and tolling feasibility and initial planning studies, including lane conversions and cost-benefit analyses of tolling or roadway pricing schemes
 - e. Conduct assessments of Priority Development Areas and analysis to support implementation of MTC's Transit Oriented Communities Policy
 - f. Support project evaluation and project prioritization, including updating the CTP 10-Year project list
 - g. Perform quantitative evaluation of CTP recommendations including estimating change in VMT, a racial equity assessment, and other metrics
 - h. Develop draft and final plan graphics, documents, etc.
5. Multimodal Corridor Studies, Plans, and Project Analyses: This on-call contract may support the next set of corridor plans and projects around Alameda County, including initial corridor analysis and identification as well as smaller multimodal corridor planning

- initiatives. Services in this category may include travel market analyses and ridership forecasting, complete streets and safety planning, multimodal corridor plan development, financial analyses and initial order of magnitude project cost estimates, and high-level identification and evaluation of potential multimodal capital and operational improvements and strategies to improve overall transportation system performance. The Consultant may provide technical planning expertise, data analysis and assessments to:
- a. Develop major arterials scoping and support multimodal corridor projects:
 - i. Analysis for multimodal corridor projects
 - ii. Update and/or develop Comprehensive Multimodal Corridor Plans (CMCPs) and Design Alternatives Analyses (DAAs)
 - b. Support project identification & development, including:
 - i. Initial project alternatives identification & initial project scoping
 - ii. Feasibility studies & environmental strategy
 - iii. Conceptual engineering
 - iv. Preliminary cost estimates & identification of risks & contingencies
 - c. Support general planning and project-specific needs by:
 - i. Attending and developing meeting minutes as requested
 - ii. Providing report production, layout and technical editing support
 - iii. Presenting material to various stakeholders, including the Commission as requested
6. Technical Analyses & Modeling Support: The consultant may provide technical data analysis support and travel demand modeling expertise, including but not limited to conducting various data and mapping analyses, developing tables, graphs and other data visualizations, and developing and maintaining mapping and model resources on behalf of Alameda CTC. The consultants may also assist with technical grant writing and grant support.
- a. Travel Modeling:
 - i. Maintain and update the Bi-County Travel Demand Model, a new activity-based model that is designed to forecast travel in Alameda and Contra Costa counties, as needed. Two reference documents are provided in Appendix B.
 1. Maintain GUI and update inputs and user manual as required
 2. Requires knowledge of CUBE, Python, and familiarity with OpenStreetsMap
 - ii. Provide modeling expertise to support local applications of the Bi-County Model, and support staff in responding to modeling inquiries
 - b. Mapping:
 - i. Develop and maintain geodatabase and webmap files (e.g. Alameda CTC Comprehensive Investment Plan project database) in ArcGIS
 - ii. Miscellaneous mapping, geospatial analysis, and graphics support
 - c. Support preparation of grant applications for Alameda CTC capital projects, planning initiatives, and programs. Example fund sources requiring grants include the Active Transportation Program, One Bay Area Grant Regional Program, Solutions for Congested Corridors Program, Trade Corridors Enhancement Program, and various federal funding opportunities as they become available.

7. Program Management Support: Alameda CTC directly administers a number of programs, such as the Safe Routes to Schools program and the Student Transit Pass Program. The Consultant may provide technical program delivery support services to support program operations and program administration.
 - a. Review program funding requirements (e.g. grant program agreements and requirements, Disadvantaged Business Enterprise participation for federally funded programs, or the Local Business Contract Equity Program for locally funded programs)
 - b. Assist with preparation of required program documentation and reporting

B. REFERENCE MATERIALS

The following information and documents related to this RFQ are incorporated herein as if attached:

I. Alameda CTC Work Products

1. **2020 Countywide Transportation Plan (CTP)**

https://www.alamedactc.org/wp-content/uploads/2021/02/2020_CTP_Final.pdf

2. **2020 CTP Strategies & Near-Term Actions (CTP Chapter 5)**

https://www.alamedactc.org/wp-content/uploads/2022/11/2020_CTP_Final_Chapter5.pdf

3. **2020 CTP Webpage**

<https://www.alamedactc.org/planning/countywidetransportationplan/>

4. **2020 Community Based Transportation Plan**

https://www.alamedactc.org/wp-content/uploads/2021/02/Final_2020CBTP.pdf

5. **New Mobility Roadmap**

<https://www.alamedactc.org/planning/technology/>

6. **North County Core Connections CMCP**

<https://www.alamedactc.org/north-alameda-county-core-connections-cmcp/>

7. **Advancing Equity in Alameda County**

https://www.alamedactc.org/wp-content/uploads/2022/03/AlamedaCTC_Advancing_Equity_in_AlamedaCo_RPT_20211220.pdf

8. **Alameda CTC Equity Statement**

https://legistarweb-production.s3.amazonaws.com/uploads/attachment/pdf/1561145/8.1_COMM_Equity_Statement_20220922.pdf

9. **Alameda County Active Transportation & Safety Plans**

<https://www.alamedactc.org/planning/countywide-bicycle-and-pedestrian-plans/>

10. Alameda CTC Safe Systems Planning

<https://www.alamedactc.org/planning/safe-system/>

11. Countywide Bikeways Network

https://legistarweb-production.s3.amazonaws.com/uploads/attachment/pdf/1389134/8.4_COMM_Countywide_Bike_Network_20220526v.pdf

12. Countywide Bicycle & Pedestrian High Injury Networks

https://www.alamedactc.org/wp-content/uploads/2019/06/RPT_CATP_Book-2_20190625.pdf#page=45

13. Bi-County Travel Demand Model

https://legistarweb-production.s3.amazonaws.com/uploads/attachment/pdf/1607966/5.1_BPAC_Bi-County_Model_Update_20221020.pdf

14. Bi-County Travel Demand Model Technical Specifications

https://www.alamedactc.org/wp-content/uploads/2022/11/CCTA_ACTC_Bi-County_Model.pdf

15. VMT Tool

<https://www.alamedactc.org/planning/sb743-vmt/>

II. Regional & State References

1. MTC Plan Bay Area (PBA) 2050 Strategies

https://www.planbayarea.org/sites/default/files/documents/PBA50_Implementation_Plan_Briefs_Oct2021.pdf

2. MTC Transit Oriented Communities (TOC) Policy

<https://mtc.ca.gov/planning/land-use/transit-oriented-communities-toc-policy>

3. MTC Transit Transformation Action Plan

https://mtc.ca.gov/sites/default/files/documents/2021-09/Transit_Action_Plan_1.pdf

4. Climate Action Plan for Transportation Infrastructure (CAPTI)

<https://calsta.ca.gov/-/media/calsta-media/documents/capti-july-2021-a11y.pdf>

C. REQUIRED FORMS INCORPORATED BY REFERENCE

If proposer is unable to provide in its SOQ the documents denoted with an asterisk (*) below, proposer should **NOT** submit an SOQ to Alameda CTC:

- Items denoted with an asterisk (*) shall be provided in the SOQ.
- Items denoted with an obelisk (†) shall be provided after selection as top-ranked firm.

The following forms are incorporated herein as if attached, and available at www.alamedactc.org/contracting-forms:

1. Exceptions to the Alameda CTC Sample Professional Services Contract Form (optional)
2. Costs and Financial Documentation† – The selected proposer and all subconsultants (as defined in RFQ Section I.1.K) shall provide cost and financial documents as requested within ten (10) business days of Alameda CTC’s notice to firm that it is the successful proposer, including, but not limited to, the following forms or documents related to such forms:
 - W-9 Form (<https://www.irs.gov/forms-pubs/about-form-w-9>).
 - Independent Auditors’ Report (for indirect cost rate audits), or if unavailable, an indirect cost rate schedule may be acceptable.
 - Certified payroll registers.
 - Certification of Indirect Costs and Financial Management System Form for the indirect cost rate for the most recent Fiscal Period (one-year accounting period); i.e., 01/01/2021-12/31/2021 or 07/01/2021-06/30/2022, whichever is later. The date range format of MM/DD/YYYY-MM/DD/YYYY must be provided in the Fiscal Period field, per the definition of fiscal period on the form.
3. Cost Proposal Form*†
 - The Cost Proposal Form must be completed in its entirety for prime consultant and all subconsultants.

D. RESOURCES FORM

*****PRIME PROPOSER MUST SUBMIT A RESOURCES FORM*****

The Resources Form is available at or from the RFQ Web Page identified in the cover letter of this RFQ and is incorporated herein as if attached. The completed form should include the details for all team members (prime proposer and subconsultants/subcontractors if any). The prime proposer shall submit the following in XLS/XLSX **and** PDF formats:

1. **Key Personnel Availability.** Provide sufficient detail to describe each individual's specific roles/responsibilities for this contract, a description of the benefits the person brings to the team, and their availability over the duration of this contract as to provide assurance to their ability to perform the requested services in a responsive and timely manner.
2. **Firm Participation Summary.** For firms with multiple offices, SOQ must identify all locations from which resources are anticipated to be used. Clearly include a listing of any lawsuit or litigation and the result of that action resulting from (a) any services provided by the proposer or by its subconsultants where litigation is still pending or has occurred within the last five years or (b) any type of project where claims or settlements were paid by the proposer or its insurers within the last five years.
3. **Task Resource Summary.** A table of estimated hours by task and firm (prime proposer and all subconsultants) should be provided, including the percentage of the total contract hours that each firm will spend on the contract. Total estimated hours should be provided for each task and for each firm.
4. **Alameda CTC Contracts Summary.** Provide a summary of all contracts that members of your team (including subconsultants) have held with Alameda CTC in the past three years.
5. **Potential Conflicts of Interest.** Proposers must provide a list of any potential conflicts of interest in working for Alameda CTC. This must include, but is not limited to, a list of your firm's clients who are cities in Alameda County, the County of Alameda, and/or transit or transportation agencies that operate and/or have projects in Alameda County, and a brief description of work for these clients. Identify any other clients that would pose a potential conflict of interest as well as a brief description of work you provide to these clients. This list must include all potential conflicts of interest within the year prior to the release of this RFQ as well as current and future commitments to other projects.

E. INSURANCE REQUIREMENT FORM

*****PRIME PROPOSER MUST COMPLETE AND SUBMIT THIS FORM*****

Part A – Certification

The selected consultant and its subconsultants as defined in **Part C** under the resulting contract shall, at such firms’ own expense, obtain and maintain in effect at all times the types of insurance, as identified in **Part C**, against claims, damages and losses due to injuries to persons or damage to property or other losses that may arise in connection with the performance of work under this contract. Any firm unable to meet any of the required minimum insurance coverages listed in **Part C** must complete **Part B** to request for exception to the such insurance requirements. **IF SUCH EXCEPTIONS ARE NOT REQUESTED IN PART B WITH THE PROPOSAL, COMPLIANCE WITH THE INSURANCE REQUIREMENTS WILL BE ASSUMED AND WAIVERS WILL NOT BE CONSIDERED AT A LATER TIME.** This form must be completed and submitted in its entirety.

By signing below, you acknowledge and agree to provide the required Proof of Insurance providing verification of the minimum insurance requirements listed in Part C within ten (10) calendar days of the execution of the resulting contract and prior to performing any work under such contract.	
Alameda CTC RFQ No.:	R23-0005
Authorized Signature:	
Name and Title:	
Prime Company Name:	
Date:	

Part B – Insurance Exception Request

Identify the name of each firm (i.e., prime or subconsultants) and the specific insurance provision for which an exception is being sought, if any, and the requested revision(s) in the table below.

Firm Name	Insurance Provision	Requested Insurance Exception

Part C – Insurance Requirements for the Resulting Agreement (AGREEMENT)

The following additional insured and insurance coverage is required for this AGREEMENT:

- Commercial General Liability – \$1,000,000 combined single limit per occurrence with \$2,000,000 general aggregate, and \$1,000,000 Personal & Advertising Injury.
- Workers’ Compensation as required by law, and Employer’s Liability – \$1,000,000 each accident, \$1,000,000 for each employee for bodily injury by disease, and \$1,000,000 overall limit for bodily injury by disease.
- Unmanned Aerial Vehicle Insurance (as applicable; see **Paragraph 6**) – \$1,000,000 combined single limit per occurrence.
- Professional Liability (as applicable; see **Paragraph 4**) – \$2,000,000
- Automobile Liability – \$1,000,000 combined single limit per occurrence.
- Umbrella or Excess Liability Insurance – \$1,000,000
- Deductible Maximum (all policies) – \$50,000 deductible or self-insured retention.
- Additional Insured – ALAMEDA CTC and its respective officers, employees and agents.
- Cyber and Privacy Insurance (if checked, **Paragraph 7** applies hereto) – **\$1,000,000**

The insurance requirements for this AGREEMENT, including for coverage limits and additional insured, are described herein. CONSULTANT and its subconsultants, vendors, and subcontractors of all tiers (excluding providers of products or services classified as direct expenses in this AGREEMENT) (collectively referenced as “subconsultants” herein) shall carry and maintain all such insurance coverage throughout the entire term of this AGREEMENT, except as may be specified elsewhere in this appendix. Requests for waivers to any of the insurance requirements set forth in this AGREEMENT with respect to the CONSULTANT or any subconsultant shall be submitted in writing to ALAMEDA CTC prior to the start of work or costs incurred by the CONSULTANT or such subconsultant. ALAMEDA CTC will review any insurance exception requests and may issue written approval of such waivers at its discretion. All policies will be issued by insurers with a current A.M. Best’s rating of A or better, with a Financial Size Category of VIII or better. The insurance requirements as to the types of limits of insurance coverage, to be maintained by CONSULTANT and its subconsultants, are not intended to and shall not in any manner limit or qualify the liabilities and obligations otherwise assumed by CONSULTANT pursuant hereto, including, but not limited to, liability assumed pursuant to indemnification.

1. Commercial General Liability Insurance. CONSULTANT and each subconsultant shall carry and maintain occurrence-based Commercial General Liability Insurance and maintain aggregate limits of liability equal to or greater than the minimum coverage for such insurance shown in this appendix. Such insurance shall name ALAMEDA CTC (and, if so directed by ALAMEDA CTC, the SUNOL JPA, CALTRANS, or other permitting or responsible agencies as identified by ALAMEDA CTC), and their respective officers, employees and agents, as additional insureds. The policy shall include an endorsement providing that such insurance is primary and non-contributory with respect to any insurance or self-insurance that is carried and maintained by ALAMEDA CTC or additional insured. Such insurance shall include, but shall not be limited to, (a) protection against claims arising from bodily and personal injury (including death resulting therefrom) and damage to property arising from work under this AGREEMENT performed by CONSULTANT or subconsultant, its agents, representatives, or employees, and (b) blanket contractual liability on all written contracts, including this AGREEMENT or the subcontract, as applicable.

2. Automobile Liability Insurance. CONSULTANT and each subconsultant shall carry and maintain occurrence-based Automobile Liability Insurance with limits equal to or greater than the minimum coverage for such insurance shown in this appendix for all automobiles owned, used or maintained by the CONSULTANT or subconsultant and its officers, agents and employees, including but not limited to owned, leased, non-owned and hired automobiles (ISO form CA 0001 covering any auto, code 1). Such insurance shall name ALAMEDA CTC (and, if so directed by ALAMEDA CTC, the SUNOL JPA, CALTRANS, or other permitting or responsible agencies as identified by ALAMEDA CTC), and their respective officers, employees and agents, as additional insureds. The policy shall include an endorsement providing that such insurance is primary and non-contributory with respect to any insurance or self-insurance that is carried and maintained by ALAMEDA CTC or additional insured. Such automobile liability coverage may be, but is not required to be, provided as part of the liability insurance described above.

3. Umbrella Insurance. CONSULTANT and each subconsultant shall carry and maintain Umbrella Insurance with limits equal to or greater than the minimum coverage for such insurance shown in this appendix, providing excess limits over Employer’s Liability, Automobile Liability, Commercial General Liability, and Unmanned Aerial Vehicle (UAV) Insurance (if applicable).

4. Professional Liability Insurance. CONSULTANT and each subconsultant performing professional services under this AGREEMENT shall carry and maintain Professional Liability Insurance for errors and omissions in an amount equal to or greater than the minimum coverage shown in this appendix. If such policy is written on a “Claims-Made” (rather than an “occurrence”) basis, the insuring party shall maintain continuous coverage in effect for the term of this AGREEMENT and for at least three (3) years beyond the termination or completion of services or until expiration of any applicable statute of limitations, whichever is longer. As used in this Paragraph 4, “professional services” means design, engineering, planning, legal, information technology, and similar services requiring specialized skills, knowledge, or a professional license. Upon request, ALAMEDA CTC will advise as to whether any particular service hereunder represents professional services requiring such coverage.

5. Workers’ Compensation Insurance. CONSULTANT and each subconsultant shall carry and maintain Workers’ Compensation Insurance as required by California law, covering all work performed by CONSULTANT under the AGREEMENT, and all personnel performing services under the AGREEMENT. CONSULTANT and each subconsultant shall carry and maintain Employer’s Liability Insurance in an amount equal to or greater than the minimum coverage shown in this appendix, and any and all other coverage of its employees as may be required by applicable law. Such policy shall contain a Waiver of Subrogation endorsement in favor of ALAMEDA CTC. Such Workers’ Compensation Insurance and Employer’s Liability Insurance may be waived, if, and only for as long as, CONSULTANT or subconsultant, as applicable is a sole proprietor with no employees.

6. Unmanned Aerial Vehicle (UAV) Insurance. If CONSULTANT or any subcontractor, or anyone working on their behalf, utilizes any unmanned aircraft, aerial vehicle or drone (each a “UAV”) as part of the services performed under this AGREEMENT, CONSULTANT or the applicable subcontractor shall carry and maintain, or cause the operator of the UAV to carry and maintain, occurrence-based UAV Insurance and maintain aggregate limits of liability equal to or greater than the minimum coverage for such insurance shown in this appendix. Such insurance shall name ALAMEDA CTC (and, if so directed by ALAMEDA CTC, the SUNOL JPA, CALTRANS, or other permitting or responsible agencies as identified by ALAMEDA CTC), and their respective officers, employees and agents, as additional insureds. The policy shall include an endorsement providing that

such insurance is primary and non-contributory with respect to any insurance or self-insurance that is carried and maintained by ALAMEDA CTC or other additional insured. Such insurance shall include, but shall not be limited to, (a) protection against claims arising from bodily and personal injury (including death resulting therefrom) and damage to property arising from use of UAVs under this AGREEMENT, (b) protection against claims arising from violation of privacy injury arising from use of UAVs under this AGREEMENT, and (c) blanket contractual liability on all written contracts, including this AGREEMENT or the applicable subcontract. UAV Insurance may be provided under Commercial General Liability Insurance if such policy contains a separate endorsement for such UAV coverage. Any entity or individual who operates a UAV as part of performing services under this AGREEMENT must be properly certified and registered with the Federal Aviation Administration (“FAA”) and follow all applicable FAA rules and regulations.

7. Cyber and Privacy Insurance. If the applicable box is checked in the above table hereof, this **Paragraph 7** shall apply to this AGREEMENT. CONSULTANT and any subconsultant handling Personally Identifiable Information (as defined herein) under this AGREEMENT shall maintain cyber risk coverages including network and internet security liability coverage, privacy liability coverage, first party privacy coverage, and media coverage. Such insurance shall include coverage for liability arising from theft, dissemination, and/or use of confidential information or information that can be linked to a specific individual, including but not limited to, bank and credit card account information or personal information, such as name, address, social security numbers, protected health information or other similar information (collectively, “Personally Identifiable Information”), stored or transmitted in electronic form. If such policy is written on a claims-made (rather than an occurrence) basis, CONSULTANT and such subconsultant shall maintain continuous coverage in effect for the term of this AGREEMENT and for at least one (1) year beyond the termination or completion of services.

8. Deductible. A deductible or self-insured retention is permissible on all policies, provided that such deductible shall not exceed the amount shown in this appendix. Further, if any insurance policy includes a self-insured retention, nothing shall prevent any of the parties to this AGREEMENT from satisfying or paying the self-insured retention. If any insurance policy states that the self-insured retention must be paid by a named insured as a precondition of the insurer’s liability (or which has the effect of providing that payments of the self-insured retention by others, including additional insureds or insurers, do not serve to satisfy the self-insured retention), such provisions must be modified by special endorsement so as to not apply to the additional insured coverage required by this AGREEMENT.

9. Proof of Insurance.

(a) CONSULTANT’s Insurance. CONSULTANT shall provide insurance certificates and policy endorsements (collectively, “PROOF OF INSURANCE”) evidencing CONSULTANT’s policies described in this **Article I, Section E** to ALAMEDA CTC within ten (10) calendar days of the execution of this AGREEMENT. Neither CONSULTANT nor any of its subconsultants shall perform any work under this AGREEMENT prior to ALAMEDA CTC’s receipt of all required proof of insurance for CONSULTANT.

(b) Subconsultants’ Insurance. CONSULTANT shall provide PROOF OF INSURANCE with respect to professional liability coverage for each subconsultant required to carry such insurance under this AGREEMENT not less than ten (10) calendar days prior to any work being performed by such subconsultant. Notwithstanding the foregoing, CONSULTANT need not provide PROOF OF INSURANCE for any subconsultant(s) whose aggregate anticipated compensation under

this AGREEMENT is less than the greater of (i) fifty thousand dollars (\$50,000) or (ii) five percent (5%) of the aggregate compensation payable hereunder.

(c) PROOF OF INSURANCE Standards. All PROOF OF INSURANCE shall provide for not less than thirty (30) calendar days' prior written notice to ALAMEDA CTC of any cancellation, non-renewal or material change of coverage in the policy or policies, and shall further provide that ALAMEDA CTC will not be responsible for any premiums or assessments on any policy. At least five (5) business days prior to the expiration date of any policy of insurance carried by CONSULTANT or any subconsultant for which CONSULTANT must provide PROOF OF INSURANCE hereunder, CONSULTANT shall provide PROOF OF INSURANCE confirming that the policy has been extended or a replacement policy has been obtained. If any PROOF OF INSURANCE is not provided in a timely manner as provided in this **Paragraph 9**, ALAMEDA CTC shall withhold twenty-five percent (25%) of all payments made to CONSULTANT until such document(s) are provided to ALAMEDA CTC.

10. Maintenance of Insurance. If CONSULTANT fails to maintain all insurance required by this AGREEMENT, ALAMEDA CTC, at its option, may order the CONSULTANT to suspend work at CONSULTANT's expense until such time as CONSULTANT provides PROOF OF INSURANCE to ALAMEDA CTC confirming that all required insurance policies are in effect. If any subconsultant fails to maintain the professional liability insurance required by this AGREEMENT, ALAMEDA CTC, at its option, may order the CONSULTANT to suspend work by said subconsultant at CONSULTANT's expense until such time as CONSULTANT provides PROOF OF INSURANCE to ALAMEDA CTC as evidence that the subconsultant's professional liability insurance policy is in effect.

11. Subconsultants' Insurance Requirements. The provisions of this appendix are applicable to all subconsultants hereunder, regardless of tier and subcontract amount. Except as provided in **Paragraph 9** above, CONSULTANT is solely responsible for ensuring that each subconsultant carries and maintains insurance which meets the above specifications, or confirming that each subconsultant has been added to the CONSULTANT's applicable policy as an additional name insured if said policy allows such addition, prior to such subconsultant performing any work under this AGREEMENT, and thereafter so long as such subconsultant is performing work under this AGREEMENT. Any failure to properly monitor all subconsultants' insurance coverage will constitute negligence on the part of CONSULTANT and subject to CONSULTANT's indemnity obligations pursuant to **Article I, Section D** of the AGREEMENT.