

**MEASURE B AND MEASURE BB
Annual Program Compliance Report
Reporting Period - Fiscal Year 2020-21**

AGENCY CONTACT INFORMATION

Agency Name: **San Joaquin Regional Rail Commission (SJRRC - ACE)**

Date: 12/30/2021

Primary Point of Contact

Name: Zita Rodriguez

Title: Senior Accountant

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Agency's Certification of True and Accurate Reporting by Submission

By submitting this Compliance Report to the Alameda County Transportation Commission, the submitting agency certifies the compliance information reported is true and complete to the best of their knowledge, and the dollar figures in the agency's Audited Financial Statement matches exactly to the revenues and expenditures reported herein.

Additionally, for the 2000 Measure B and 2014 Measure BB Direct Local Distribution (DLD) funds, pursuant to the California Public Utilities Code 180001 (e), funds generated by the transportation sales tax are to be used to supplement and not replace existing local revenues used for transportation purposes. By submit this report, the agency confirms that DLD funds are supplementing and not replacing existing local revenues used for transportation purposes.

Program Compliance Report Structure

This Reporting Form is broken into the following sections for the Measure B and BB Direct Local Distribution Programs applicable to the recipient agency.

- * **Cover - Agency Contact**
- * **General Compliance Reporting for all programs**
- * **Table 1 - Summary of Revenue, Expenditures, and Changes in Fund Balance**
- * **Table 2 - Detailed Summary of Expenditures and Accomplishments**

**MEASURE B AND MEASURE BB
Annual Program Compliance Report**

TABLE 1: SUMMARY OF REVENUE, EXPENDITURES, AND CHANGES IN FUND BALANCE

DIRECTIONS: Complete the sections below based on the Measure B and BB Audited Financial Statements, for the applicable DLD programs for your agency. Values must match financial statements and total reported expenditures on Table 2.

A. 2000 MEASURE B Direct Local Distribution Programs

	Bicycle / Pedestrian	Local Streets and Roads	Mass Transit	Paratransit	Total
Beginning of Year Fund Balance	\$ -	\$ -	\$ 1,249,433	\$ -	\$ 1,249,433
Revenue	\$ -	\$ -	\$ 3,366,096	\$ -	\$ 3,366,096
Interest	\$ -	\$ -	\$ 8,299	\$ -	\$ 8,299
Expenditures <small>Expenditures Matches Table 2?</small>	\$ - <small>#REF!</small>	\$ - <small>#REF!</small>	\$ 1,908,565 <small>TRUE</small>	\$ - <small>#REF!</small>	\$ 1,908,565
End of Year Fund Balance	\$ -	\$ -	\$ 2,715,263	\$ -	\$ 2,715,263

Notes

B. 2014 MEASURE BB Direct Local Distribution Programs

	Bicycle / Pedestrian	Local Streets and Roads	Mass Transit	Paratransit	Total
Beginning of Year Fund Balance	\$ -	\$ -	\$ -	\$ -	\$ -
Revenue	\$ -	\$ -	\$ 1,622,420	\$ -	\$ 1,622,420
Interest	\$ -	\$ -	\$ 1,465	\$ -	\$ 1,465
Expenditures <small>Expenditures Matches Table 2?</small>	\$ - <small>#REF!</small>	\$ - <small>#REF!</small>	\$ 1,598,168 <small>TRUE</small>	\$ - <small>#REF!</small>	\$ 1,598,168
End of Year Fund Balance	\$ -	\$ -	\$ 25,717	\$ -	\$ 25,717

Notes

**Transit Direct Local Distribution Program
Reporting Period - Fiscal Year 2020-21**

GENERAL COMPLIANCE REPORTING

1. What is the agency's average on-time performance goal/target? 95 Percent
2. What is the agency's average on-time performance for the year? 90.86 Percent

3. If your agency's actual average on-time performance for the year is less than the agency's on-time performance goal/target explain what actions are being taken to improve performance?

Working with our host railroads on slotting (timing) of opposing freight train movements in/on the same corridor.

- 4a. How much of the balance identified here is encumbered into active contracts and projects?

Encumbered value should be less than or equal to the available balance.

		\$ Encumbered	
MB Balance	\$ 2,715,263	\$ 2,715,263	
MBB Balance	\$ 25,717	\$ 25,717	
Total	\$ 2,740,980	\$ 2,740,980	

- 4b. Why is there a fund balance? *Indicate N/A, if not applicable.*

Measure B and BB Revenues for fiscal year 20/21 were 47% higher than the budget and Baseline Service Plan. Carryover balance from prior year was not fully expended due to costs for capital maintenance being lower than expected and other funding sources available for capital maintenance project.

- 4c. Specify any large planned uses of fund balances within this program and their status i.e. planned or underway.

Project Title	Brief Project Description	DLD Amount	Project Status
Sunol Quiet Zone Quad Gates	Modification of existing rail crossings to comply with the FRA prequisit requirements for establishing a quiet zone.	\$ 475,000	Underway
Capital Maintenance	Under the Capital Trackage Rights Agreement the SJRRC has an annual obligation of \$4,000,000 for Capital Maintenance.	\$ 1,971,980	Underway
Newark-Albrae Siding Connection	The project involves connecting two sidings to create a second main track that will permit double track opertions.	\$ 294,000	Planned
		\$ -	
		\$ -	

5. Confirm all expenditures were governing body approved (Yes/No).

Yes

6. Confirm the completion of the publicity requirements in the table below (Yes/No).

	Measure B	Measure BB	Copy of article, website, signage attached?	If applicable, briefly explain why the publicity requirement wasn't completed.
Article	Yes	Yes	Yes	
Website	Yes	Yes	Yes	
Signage	Yes	Yes	Yes	

Transit Direct Local Distribution Program
Reporting Period - Fiscal Year 2020-21

TABLE 2: DETAILED SUMMARY OF EXPENDITURES AND ACCOMPLISHMENTS

Provide a detailed summary of Measure B and BB Expenditures for the reporting fiscal year. Performance reporting/quantity complete and other fund expenditures should be consistent with reporting data sent to other agencies (regional/state/federal reporting).
- Expenditure total must correspond to your Audited Financial Statements and Table 1 values.

No.	Project Category <i>(Drop-down Menu)</i>	Project Phase <i>(Drop-down Menu)</i>	Project Type <i>(Drop-down Menu)</i>	Project Name	Project Description/Benefits	Quantity Completed in FY 20-21	Units for Quantity <i>(Drop-down Menu)</i>	Additional description on units or expanded detail on expenditures, performance, accomplishments	Measure B DLD Expenditures	Measure BB DLD Expenditures	Other Fund Expenditures	Total Cost
1	Rail	Operations	Operations	ACE Service Operations	Provide rail service for San Joaquin, Alameda and Santa Clara Counties	ACE service passengers transported- 160,007 Alameda County Stations- 40,243	Number of People/Passengers	The reported number of passengers is from total boardings on the entire route. Boardings for the Alameda stations are as follows: Vasco- 4,349 Livermore- 4,789 Pleasanton- 8,531 Fremont- 22,574 Total- 40,243	\$ 1,838,430	\$ 1,562,108	\$ 28,112,928	\$ 31,513,466
2	Rail	Other	Other	Administrative Fee	Administrative services provided by (ACTC)	1	Other	As invoiced by ACTC. Invoice is for attendance of the ACE Service board meetings and all associated service fees.	\$ 15,510	\$ 15,510	\$ -	\$ 31,020
3	Rail	Other	Other	Audit Fees	Audit Services Provided by Kemper	1	Other	Audit services	\$ 5,550	\$ 5,550	\$ -	\$ 11,100
4	Rail	Maintenance	Other	Maintenance of Alameda County stations.	Grounds keeping and clean up of Alameda Co. Stations	3	Other	3 Stations Vasco, Livermore and Pleasanton	\$ 15,000	\$ 15,000	\$ -	\$ 30,000
5	Rail	Construction	Other	Sunol Quiet Zone	Modification of existing rail crossings to comply with the FRA prerequisite requirements for establishing a quiet zone.	1	Other	Construction has been completed but to address public concerns, revisions need to be made. Preliminary Design for the revised project, Four Quadrant Gates on Main Street Sunol, is complete. Coordination with Alameda County for the final design and construction of the project is ongoing.	\$ 34,075	\$ -	\$ -	\$ 34,075
6									\$ -	\$ -	\$ -	\$ -
7									\$ -	\$ -	\$ -	\$ -
8									\$ -	\$ -	\$ -	\$ -
9									\$ -	\$ -	\$ -	\$ -
10									\$ -	\$ -	\$ -	\$ -
11									\$ -	\$ -	\$ -	\$ -
12									\$ -	\$ -	\$ -	\$ -
13									\$ -	\$ -	\$ -	\$ -
14									\$ -	\$ -	\$ -	\$ -
15									\$ -	\$ -	\$ -	\$ -
16									\$ -	\$ -	\$ -	\$ -
TOTAL									\$ 1,908,565	\$ 1,598,168	\$ 28,112,928	\$ 31,619,661
Match to Table 1?									TRUE	TRUE		