



## Alameda County Technical Advisory Committee Meeting Agenda Thursday, September 9, 2021, 1:30 p.m.

Due to the statewide stay at home order and the Alameda County Shelter in Place Order, and pursuant to the Executive Order issued by Governor Gavin Newsom (Executive Order N-08-21), the Alameda County Technical Advisory Committee will not be convening at its Committee Room but will instead move to a remote meeting.

Members of the public wishing to submit a public comment may do so by emailing Angie Ayers at [aayers@alamedactc.org](mailto:aayers@alamedactc.org) by 5:00 p.m. the day before the scheduled meeting. Submitted comments will be read aloud to the Committee and those listening telephonically or electronically; if the comments are more than three minutes in length the comments will be summarized. Members of the public may also make comments during the meeting by using Zoom's "Raise Hand" feature on their phone, tablet or other device during the relevant agenda item, and waiting to be recognized by the Chair. If calling into the meeting from a telephone, you can use "Star (\*) 9" to raise/ lower your hand. Comments will generally be limited to three minutes in length, or as specified by the Chair.

Committee Chair: Tess Lengyel

Staff Liaison: [Gary Huisingh](#)

Clerk: [Vanessa Lee](#)

### Location Information:

Virtual Meeting Information: <https://us06web.zoom.us/j/86410376570?pwd=dUxxTE1uWmFKOGxXd3VyWi8vUW5VUT09>  
Webinar ID: 864 1037 6570  
Passcode: 597605

For Public Access Dial-in Information: (669) 900-6833  
Webinar ID: 864 1037 6570  
Passcode: 597605

To request accommodation or assistance to participate in this meeting, please contact Angie Ayers, at least 48 hours prior to the meeting date at: [aayers@alamedactc.org](mailto:aayers@alamedactc.org)

## Meeting Agenda

1. Call to Order

2. Introductions/Roll Call

3. Public Comment

4. Consent Calendar	Page/Action
4.1. <a href="#">Approve the July 8, 2021 ACTAC Meeting Minutes</a>	1 A
4.2. <a href="#">Alameda County Federal Inactive Projects Update</a>	5 I
5. Planning / Programs / Monitoring	
5.1. <a href="#">Approve the 2022 State Transportation Improvement Program - Programming Principles and Schedule</a>	11 A
6. Member Reports	
7. Staff Reports	
8. Adjournment	

**Next Meeting:** Thursday, October 7, 2021

Notes:

- All items on the agenda are subject to action and/or change by the Commission.
- To comment on an item not on the agenda (3-minute limit), submit a speaker card to the clerk.
- Call 510.208.7450 (Voice) or 1.800.855.7100 (TTY) five days in advance to request a sign-language interpreter.
- If information is needed in another language, contact 510.208.7400. Hard copies available only by request.
- Call 510.208.7400 48 hours in advance to request accommodation or assistance at this meeting.
- Meeting agendas and staff reports are available on the [website calendar](#).
- Alameda CTC is located near 12th St. Oakland City Center BART station and AC Transit bus lines. [Directions and parking information](#) are available online.



## Alameda CTC Schedule of Upcoming Meetings September and October 2021

**Commission Chair**  
Mayor Pauline Russo Cutter  
City of San Leandro

**Commission Vice Chair**  
Councilmember John Bauters  
City of Emeryville

**AC Transit**  
Board President Elsa Ortiz

**Alameda County**  
Supervisor David Haubert, District 1  
Supervisor Richard Valle, District 2  
Supervisor Wilma Chan, District 3  
Supervisor Nate Miley, District 4  
Supervisor Keith Carson, District 5

**BART**  
Vice President Rebecca Saltzman

**City of Alameda**  
Mayor Marilyn Ezy Ashcraft

**City of Albany**  
Councilmember Rochelle Nason

**City of Berkeley**  
Councilmember Lori Droste

**City of Dublin**  
Mayor Melissa Hernandez

**City of Fremont**  
Mayor Lily Mei

**City of Hayward**  
Mayor Barbara Halliday

**City of Livermore**  
Mayor Bob Woerner

**City of Newark**  
Councilmember Luis Freitas

**City of Oakland**  
Councilmember At-Large  
Rebecca Kaplan  
Councilmember Sheng Thao

**City of Piedmont**  
Councilmember Jen Cavanaugh

**City of Pleasanton**  
Mayor Karla Brown

**City of Union City**  
Mayor Carol Dutra-Vernaci

**Executive Director**  
Tess Lengyel

### Commission and Committee Meetings

Time	Description	Date
2:00 p.m.	Alameda CTC Commission Meeting	September 23, 2021 October 28, 2021
9:00 a.m.	Multi-Modal Committee (MMC)	October 11, 2021
10:00 a.m.	Programs and Projects Committee (PPC)	
11:30 a.m.	Planning, Policy and Legislation Committee (PPLC)	
4:00 p.m.	Alameda CTC Audit Committee	October 28, 2021

### Advisory Committee Meetings

1:30 p.m.	Alameda County Technical Advisory Committee (ACTAC)	October 7, 2021
5:30 p.m.	Bicycle and Pedestrian Advisory Committee (BPAC)	October 21, 2021
1:30 p.m.	Paratransit Advisory and Planning Committee (PAPCO)	October 25, 2021

Due to the statewide stay at home order and the Alameda County Shelter in Place Order, and pursuant to the Executive Order issued by Governor Gavin Newsom (Executive Order N-08-21), the Commission will not be convening at its Commission Room but will instead move to a remote meeting.

Meeting materials, directions and parking information are all available on the [Alameda CTC website](http://www.AlamedaCTC.org). Meetings subject to change.



## **Alameda County Technical Advisory Committee Fiscal Year 2021-2022**

### **Member Agencies**

AC Transit

BART

City of Alameda

City of Albany

City of Berkeley

City of Dublin

City of Emeryville

City of Fremont

City of Hayward

City of Livermore

City of Newark

City of Oakland

City of Piedmont

City of Pleasanton

City of San Leandro

City of Union City

County of Alameda

### **Other Agencies**

Chair, Alameda CTC

ABAG

ACE

BAAQMD

Caltrans

CHP

LAVTA

MTC

Port of Oakland

Union City Transit

WETA



**1. Call to Order**

Gary Huisingsh called the meeting to order. Mr. Huisingsh provided instructions to the Committee regarding technology procedures, including administering public comments during the meeting.

**2. Roll Call**

Roll call was conducted and all members were present with the exception of Kevin Connolly, Lt. Austin Danmeier, Anthony Fournier, Johnny Jaramillo, Matt Maloney, and John Xu.

**3. Public Comment**

There were no public comments.

**4. Consent Calendar**

**4.1. Approval of June 10, 2021, ACTAC Meeting Minutes**

**4.2. Alameda County Federal Inactive Projects Update**

*Farid Javandel made a motion to approve the consent calendar. Donna Lee seconded the motion. The motion passed with the following roll call votes:*

Yes: Ameri, Ayupan, Bhatia, Evans, Fried, Huisingsh, Imai, Izon, Javandel, Larsen, Lee, Marquises, Nair, Ng, Novenario, Ripperda, Radiah, Wheeler, Yeamans

No: None

Abstain: Chiu, Raphael

Absent: Connolly, Danmeier, Fournier, Jaramillo, Maloney, Xu

**5. Programs/Projects/Monitoring**

**5.1. Approve the 2022 Comprehensive Investment Plan**

Gary Huisingsh introduced John Nguyen to present this item. Mr. Nguyen recommended the Commission approve the 2022 Comprehensive Investment Plan (CIP), which included incorporating the following:

- \$54.7M in previously approved programming actions occurring after the current 2020 CIP Update was approved (May 28, 2020)
- \$53.2M in new programming and allocation recommendations consisting of Measure B, Measure BB, Vehicle Registration Fee (VRF), Transportation Fund for Clean Air (TFCA), and MTC's Safe and Seamless Quick-Strike Program funds
- \$35.4M in allocations of previously programmed funds
- Technical CIP adjustments including reprogramming, deprogramming, and documenting exchanges.

- Authorize Executive Director or designee to execute Project Funding Agreements related to CIP allocation recommendations.

Mr. Nguyen outlined the 2022 Comprehensive Investment Plan (CIP), which serves as a document for programming and allocation of Alameda CTC administered funds. The goal of the CIP is to advance countywide vision and goals, improve project delivery, and strategically program Alameda CTC's administered funds for maximum returns of the County's investments. Mr. Nguyen noted that the final 2022 CIP included \$141.6M in programming for FYs 2021-22 through 2025-26, with \$107.7 in allocations during the first two years of the CIP.

*Farid Javandel made a motion to approve this item. Donna Lee seconded the motion. The motion passed with the following roll call votes:*

Yes: Ameri, Ayupan, Bhatia, Evans, Fried, Huisingh, Imai, Izon, Javandel, Larsen, Lee, Marquises, Nair, Ng, Novenario, Ripperda, Radiah, Wheeler, Yeamans  
No: None  
Abstain: None  
Absent: Connolly, Danmeier, Fournier, Jaramillo, Maloney, Xu

#### **5.2. Local Business Contract Equity Program Update**

Gary Huisingh introduced Erika Cheng, Manager of Procurement and Information Technology, who provided the Committee with an update on the Local Business Contract Equity (LBCE) Program Reporting Requirements and Procedures. Ms. Cheng noted that this information will enhance Project Sponsors' understanding of the updated program procedures and requirements, and Alameda CTC's expectations, resulting in improved LBCE program operations, increased compliance with program requirements, and augmented ability to deliver contracting opportunities to local, small local, and very small local Alameda County firms. She stated that Alameda CTC is required to submit an LBCE Utilization Report to its Commission on an annual basis. This report provides an update of business utilization on active professional services and construction contracts funded with Vehicle Registration Fee, Measure B, and Measure BB funds administered by Alameda CTC. Ms. Cheng noted that Project Sponsors would no longer be required to report LBCE firm participation with their request for reimbursement submittals starting this month. Project Sponsors will use the new form located in the packet.

#### **5.3. Bi-County Travel Demand Model Development Update**

Gary Huisingh introduced Kristen Villanueva to present this item. Ms. Villanueva provided an update on developing a new travel demand model to use in Alameda and Contra Costa counties called the Bi-County Model. She stated that the new model builds upon the Metropolitan Transportation Commission's (MTC's) travel demand model which is an activity-based model. She informed the Committee that the staff report in the packet provides more detail on the

approach and the schedule for developing this model. She noted that previous significant updates to the model were guided by agency staff through a Technical Working Group (TWG). Ms. Villanueva stated that the development of the Bi-County Model would require input data from jurisdictions and agencies in Alameda and Contra Costa counties. The model will be developed over the next 18 months and, as in the past, the TWG will guide the process and review the key milestones. She noted that the TWG role is vital in the development process to check the accuracy of the validation of the model and provide advice on model features. Ms. Villanueva noted that the TWG members would reflect staff from Planning and/or the Public Works Departments in both counties. Ms. Villanueva asked ACTAC members to email her at [kvillanueva@alamedactc.org](mailto:kvillanueva@alamedactc.org) if they are interested in volunteering for the TWG.

**5.4. New Web Resources: Safe System and SB 743 and Vehicle Miles Traveled Tool**

Gary Huisingsh introduced Kristen Villanueva to present this item. Ms. Villanueva informed the Committee of two new resources on the Alameda CTC website related to recent ACTAC Information Exchange items: The Safe System Approach and the Alameda County Vehicle Miles Traveled Reduction Estimator Tool. Additional information and links to both resources are available in the staff report.

**6. Members Report**

Donna Lee informed ACTAC that based on increasing ridership, BART is committed to restoring 15-minute service in September.

**7. Staff Report**

Carolyn Clevenger informed that Alameda CTC emailed ACTAC Members that the draft Plan Bay Area 2050 is out for public comment until July 20, 2021. As a part of PBA 2050, MTC/ABAG released a supplemental draft Equity Analysis Report. The supplemental report includes a compilation of equity aspects of the strategies and projects within the draft PBA 2050 and has analyses on investments and plan outcomes with respect to equity. The report also includes identifying, defining, and mapping historically underserved populations in the Bay Area - called Equity Priority Communities (EPCs) (formerly referred to as Communities of Concern). The report presents demographic trends of EPCs and has used updated American Community Survey data to map the boundaries of these communities. While the methodology did not change, the updated ACS data results in changes to the boundaries of EPCs including some new and some removed EPCs; Alameda CTC wanted to bring these changes to the attention of our member jurisdictions.

**8. Adjournment**

The meeting adjourned at 3:30 p.m. The next meeting is scheduled for September 9, 2021.

*This page intentionally left blank*





**DATE:** September 2, 2021

**TO:** Alameda County Technical Advisory Committee

**FROM:** Vivek Bhat, Director of Programming and Project Controls  
Jacki Taylor, Senior Program Analyst

**SUBJECT:** Alameda County Federal Inactive Projects Update

## Recommendation

ACTAC members are requested to review the current Caltrans Inactive Projects list (Attachment A), which identifies federal funding at risk for deobligation due to delayed invoicing. For the identified projects, sponsors are requested to take the actions required to keep the funding obligation active and in compliance with Caltrans requirements. This is an information item.

## Summary

Federal regulations require local agencies receiving federal funds to regularly invoice against each federal obligation. Caltrans maintains a list of inactive obligations and projects are added to the list when there has been no invoice activity for the past six months. If Caltrans does not receive an invoice during the subsequent six-month period the project's federal funds will be at risk for deobligation by the Federal Highway Administration (FHWA). ACTAC members are requested to review the latest inactive projects list (Attachment A), which identifies the federal funds at risk and the actions required to avoid deobligation. Local agencies are expected to regularly submit invoices and close out projects in a timely manner. To reduce the occurrence of inactive projects, local agencies are encouraged to implement quarterly invoicing. Project sponsors with inactive projects are to work directly with Caltrans Local Assistance to clear the inactive invoicing status, submit inactive justification forms, and provide periodic status updates to Alameda CTC programming staff until projects are removed from the Caltrans report.

## Background

In response to FHWA's requirements for processing inactive obligations, Caltrans Local Assistance proactively manages federal obligations, as follows:

- If Caltrans has not received an invoice for obligated funds in over six months, the project will be deemed inactive and added to the list of Federal Inactive

Obligations. The list is posted on the Caltrans website and updated weekly: <https://dot.ca.gov/programs/local-assistance/projects/inactive-projects>. If the inactive list indicates a written justification is due to Caltrans, download the justification form template from this same link.

- Caltrans will notify local agencies the first time a project becomes inactive.
- If Caltrans does not receive an invoice within the following six months (12 months without invoicing), Caltrans will deobligate the unexpended balances. The deobligation process is further detailed in [FHWA's Obligation Funds Management Guide](#), which states that project costs incurred after deobligation are not considered allowable costs for federal participation and are therefore ineligible for future federal reimbursement.

It is the responsibility of local agencies to work in collaboration with their DLAE to ensure projects are removed from the inactive list and avoid deobligation.

### **Regional Requirements**

The Metropolitan Transportation Commission (MTC) Regional Project Delivery Policy, MTC Resolution 3606, states that *"Agencies with projects that have not been invoiced against at least once in the previous six months or have not received a reimbursement within the previous nine months have missed the invoicing /reimbursement deadlines and are subject to restrictions placed on future regional discretionary funds and the programming of additional federal funds in the federal TIP until the project receives a reimbursement."* Additionally, MTC may delay the obligation of currently programmed regional discretionary funding to a future year. Thus, agencies with inactive projects must resolve their inactive status promptly to avoid restrictions on future federal funds. MTC actively monitors inactive obligations and periodically contacts project sponsors for status updates. MTC encourages Local Agencies to invoice more frequently than the 6-month minimum and preferably on a quarterly basis.

### **Invoice Submittal**

Due to COVID-19, Caltrans has temporarily exempted its requirement for wet signatures on invoice documents in order to process for payment. Until further notice, Districts will be accepting scanned copies of invoices. Local Assistance Procedures Manual (LAPM) forms, including Exhibit 5-A Local Agency Invoice form can be found [here](#).

### **Next Steps**

ACTAC members are requested to ensure timely invoicing against each federal obligation and work directly with Local Assistance to resolve invoicing issues. Sponsors with inactive projects are requested to provide periodic status updates to Alameda CTC until the projects are removed from the report. Email updates to Jacki Taylor, [JTaylor@alamedactc.org](mailto:JTaylor@alamedactc.org).

**Fiscal Impact:** There is no fiscal impact. This is an information item.

### **Attachment:**

- A. Alameda County Federal Inactive Projects List, dated 8/17/21

Alameda County Inactive Obligations

Updated by Caltrans 8/17/2021

Project Balances > \$50,000

Updated on 08/17/2021

Project Number	Status	Agency Action Required	Agency	Project Description	Potential Deobligation Date	Latest Date	Earliest Authorization Date	Latest Payment Date	Last Action Date	Months of No Activity	Total Cost Amount	Obligation Amount	Expenditure Amount	Unexpended Balance
5933141	Inactive	Invoice under review by Caltrans. Monitor for progress.	Alameda County	PALOMARES RD. BETWEEN PALO VERDE RD. AND HIGHWAY 84 & REDWOOD RD. BETWEEN MARCIEL RD. AND CAMINO ALTA MIRA IN CASTRO VALLEY, ALAMEDA COUNTY	12/8/2021	12/8/2020	8/2/2017	12/8/2020	12/8/2020	7	\$566,200	\$376,200	\$66,525	\$309,675
5057042	Inactive	Final invoice under review by Caltrans. Monitor for progress.	Berkeley	IN BERKELEY: PEDESTRIAN ACCESS THROUGHOUT THE BERKELEY MARINA. CONSTRUCT CLASS I MULTI-USE TRAIL	10/28/2021	10/28/2020	1/24/2018	10/28/2020	10/28/2020	9	\$599,698	\$483,925	\$316,589	\$167,336
5050047	Inactive	Invoice returned to agency. Contact DLAE.	Hayward	WANTON AVE. - HESPERIAN BLVD TO SANTA CLARA ST. REHAB PAVEMENT, UPGRADE CURB RAMPS AND STREETLIGHTS.	6/23/2021	6/23/2020	6/23/2020		6/23/2020	13	\$101,200	\$88,000	\$0	\$88,000
5050041	Inactive	Project is inactive. Funds at risk. Invoice immediately. Provide status to DLAE/ submit inactive justification form.	Hayward	INDUSTRIAL BLVD. - CLAWITER RD. TO 659 FT. SOUTH OF DEPOT RD. PAVEMENT REHABILITATION	4/10/2020	4/11/2019	1/23/2014	4/11/2019	4/11/2019	27	\$1,538,563	\$1,335,000	\$1,266,235	\$68,765
5012037	Inactive	Invoice overdue. Contact DLAE.	Oakland	LAKE MERRITT CHANNEL BRIDGE (BR.NO.33C-0030) REPLACE BRIDGE (PER SEISMIC STRATEGY)	11/24/2021	11/24/2020	3/1/1998	11/24/2020	11/24/2020	8	\$31,446,836	\$27,595,632	\$26,279,636	\$1,315,996
5012028	Inactive	Invoice under review by Caltrans. Monitor for progress.	Oakland	23RD AVE BR 33C0148, CAMPUS DR BR 33C0238 & COLISEUM WAY BR 33C0253 SEISMIC RETROFIT	5/14/2021	5/14/2020	9/1/1996	5/14/2020	1/7/2021	14	\$3,312,953	\$2,897,545	\$2,278,206	\$619,339
5012134	Inactive	Project is inactive. Funds at risk. Invoice immediately. Provide status to DLAE/ submit inactive justification form.	Oakland	7TH STREET FROM WOOD ST TO PERALTA ST. ROAD DIET, BICYCLE LANES, SIDEWALK ENHANCEMENT, AND PEDESTRIAN AMENITIES	4/9/2021	4/9/2020	4/6/2017	4/9/2020	4/9/2020	15	\$3,744,000	\$3,288,000	\$3,222,240	\$65,760
5041045	Inactive	Invoice returned to agency. Contact DLAE.	San Leandro	IN SAN LEANDRO AT THE INTERSECTION OF DAVIS ST AND CARPENTIER ST. INSTALL PEDESTRIAN ACTIVATED HAWK SIGNAL, ACCESSIBLE PEDESTRIAN	11/27/2019	11/27/2018	4/21/2017	11/27/2018	4/20/2021	32	\$292,655	\$254,405	\$37,655	\$216,750
5933160	Future	Invoice ASAP to avoid inactivity.	Alameda County	FOOTHILL BLVD FROM 164TH TO JOHN DR. REHABILITATE PAVEMENT	3/29/2022		3/29/2021		3/29/2021	4	\$2,460,905	\$2,171,000	\$0	\$2,171,000
5933146	Future	Invoice under review by Caltrans. Monitor for progress.	Alameda County	UNINCORPORATED ALAMEDA COUNTY: VARIOUS ROADWAYS: STANLEY BLVD, BRUNS RD, AND KELSO RD. ROAD REHABILITATION	2/5/2022	2/5/2021	5/16/2018	2/5/2021	2/5/2021	5	\$2,489,750	\$1,874,915	\$109,036	\$1,765,879
5933157	Future	Invoice under review by Caltrans. Monitor for progress.	Alameda County	VASCO ROAD: DALTON AVENUE TO MM 3.00 IN UNINCORPORATED ALAMEDA COUNTY. PAVEMENT REHABILITATION AND SAFETY IMPROVEMENT.	3/11/2022	3/11/2021	1/31/2020	3/11/2021	3/11/2021	4	\$1,134,916	\$926,964	\$18,189	\$908,775
5933142	Future	Invoice under review by Caltrans. Monitor for progress.	Alameda County	FAIRMONT DRIVE BETWEEN LAKE CHABOT ROAD AND 2700 FAIRMONT DRIVE INSTALL GUARDRAILS.	1/7/2022	1/7/2021	7/28/2017	1/7/2021	1/7/2021	6	\$1,185,300	\$908,800	\$128,269	\$780,531
5933154	Future	Invoice under review by Caltrans. Monitor for progress.	Alameda County	CROW CANYON ROAD, PALOMARES ROAD, NORTH VASCO ROAD, AND ALTAMONT PASS ROAD IN UNINCORPORATED ALAMEDA COUNTY WIDEN THE PAVED	2/5/2022	2/5/2021	11/19/2019	2/5/2021	2/5/2021	5	\$334,940	\$301,430	\$15,405	\$286,025

**Alameda County Inactive Obligations**

Updated by Caltrans 8/17/2021

Project Balances > \$50,000

Updated on 08/17/2021

Project Number	Status	Agency Action Required	Agency	Project Description	Potential Deobligation Date	Latest Date	Earliest Authorization Date	Latest Payment Date	Last Action Date	Months of No Activity	Total Cost Amount	Obligation Amount	Expenditure Amount	Unexpended Balance
6480021	Future	Invoice under review by Caltrans. Monitor for progress.	Alameda County Transportation Commission	BUBLIN BLVD: NORTH CANYON PARKWAY FROM FALLON RD TO DOOLAN RD DUBLIN BLVD. -NORTH CANYON PARKWAY FROM FALLON RD. TO CROAK RD. CONSTRUCT SIX-	3/16/2022	3/16/2021	5/7/2020	3/16/2021	3/16/2021	4	\$6,754,176	\$539,940	\$2,189	\$537,751
5050046	Future	Invoice ASAP to avoid inactivity.	Hayward	MAIN STREET FROM MCKEEVER AVENUE TO D STREET REDUCE ROADWAY FROM 4 TO 2 LANES, CONSTRUCT BIKE LANES, WIDEN SIDEWALKS AND ADD COMPLETE	2/24/2022	2/24/2021	1/14/2019	2/24/2021	3/24/2021	5	\$350,000	\$175,000	\$1,119	\$173,881
5012147	Future	Invoice under review by Caltrans. Monitor for progress.	Oakland	ON BANCROFT AVE. FROM HAVENSCOURT BLVD AND 98TH AVE. INSTALL HAWKS AND RRFBS AT 11 LOCATIONS ALONG THE CORRIDOR; INSTALL SIGNAL MAST	3/9/2022	3/9/2021	10/13/2017	3/9/2021	3/9/2021	4	\$4,322,000	\$3,257,174	\$775,374	\$2,481,800
5012152	Future	Invoice under review by Caltrans. Monitor for progress.	Oakland	HIGH STREET FROM SAN LEANDRO STREET TO PORTER STREET CONSTRUCT CROSSING ENHANCEMENTS, SIGNAL PLACEMENT IMPROVEMENTS, AND	2/9/2022	2/9/2021	10/13/2017	2/9/2021	7/15/2021	5	\$1,700,064	\$1,580,570	\$365,506	\$1,215,064
5012140	Future	Invoice ASAP to avoid inactivity.	Oakland	SHATTUCK AVE AT 49TH ST, 51ST, 59TH, ALCATRAZ AVE; AND CLAREMONT AVE BETWEEN TELEGRAPH AVE AND CLIFTON ST. SIGN AND STRIPE ROAD DIET WITH	2/5/2022	2/5/2021	12/15/2016	2/5/2021	2/5/2021	5	\$1,363,072	\$1,221,072	\$202,166	\$1,018,906
5012148	Future	Invoice under review by Caltrans. Monitor for progress.	Oakland	IN OAKLAND AT 27 LOCATIONS: 701&777 PANORAMIC WAY, 5727 SHEPHERD CANYON, 7535 CLAREMONT AVE, 5895 SKYLINE BLVD, 10701 GOLF LINK RD, 5700	2/5/2022	2/5/2021	10/13/2017	2/5/2021	2/5/2021	5	\$1,198,204	\$1,003,570	\$152,579	\$850,991
5012103	Future	Invoice returned to agency. Contact DLAE.	Oakland	ADELINE STREET BRIDGE OVER UPRR AMTRAK, BRIDGE# 33C0028 SEISMIC RETROFIT	3/18/2022	3/18/2021	5/4/2011	3/18/2021	3/18/2021	4	\$846,000	\$748,964	\$399,147	\$349,817

**Alameda County Inactive Obligations**

Updated by Caltrans 8/17/2021  
Project Balances < \$50,000

Updated on 08/17/2021

Project Number	Status	Agency Action Required	Agency	Project Description	Potential Deobligation Date	Latest Date	Earliest Authorization Date	Latest Payment Date	Last Action Date	Months of No Activity	Total Cost Amount	Obligation Amount	Expenditure Amount	Unexpended Balance
5322055	Inactive	Project is inactive. Funds at risk. Invoice immediately. Provide status to DLAE/ submit inactive justification form.	Fremont	FREMONT CITY CENTER & FREMONT BART STATION MULTIMODAL IMPROVMENTS	10/8/2021	10/8/2020	3/12/2015	10/8/2020	10/8/2020	9	\$3,285,974	\$2,095,586	\$2,068,180	\$27,406
5101029	Inactive	Project is inactive. Funds at risk. Invoice immediately. Provide status to DLAE/ submit inactive justification form.	Pleasanton	CITY OF PLEASANTON: 5 BRIDGES, 33C0454, 33C0099, 33C0453, 33C0461, AND 33C0462. BRIDGE PREVENTIVE MAINTENANCE PROJECT	9/11/2021	9/11/2020	12/19/2015	9/11/2020	9/11/2020	10	\$1,575,426	\$134,532	\$131,090	\$3,442
5041049	Future	Invoice ASAP to avoid inactivity.	San Leandro	THE INTERSECTION OF WICKS BLVD AND MANOR BLVD. INSTALL SOUTHBOUND AND NORTHBOUND LEFT-TURN SIGNALS; UPGRADE	3/26/2022	3/26/2021	9/6/2019	3/26/2021	3/26/2021	4	\$41,500	\$37,350	\$1,511	\$35,839

**Color Key**

- Project is inactive for more than 12 months and is carried over from last quarter inactive project list. **Provide status to DLAE/ submit inactive justification form, as indicated.**
- Invoice / Final invoice is under review
- Project is in final voucher process. District can contact Final voucher unit to verify and get an update.
- Invoice is returned and agency needs to contact DLAE to resubmit the invoice.
- Invoice Overdue. Agency needs to provide justification to DLAE.

*This page intentionally left blank*



**DATE:** September 2, 2021

**TO:** Alameda County Technical Advisory Committee

**FROM:** Vivek Bhat, Director of Programming and Project Controls  
Jacki Taylor, Senior Program Analyst

**SUBJECT:** Approve the 2022 State Transportation Improvement Program - Programming Principles and Schedule

## Recommendation

It is recommended that the Commission approve the programming principles and schedule for the development of the Alameda County 2022 State Transportation Improvement Program (STIP) project list.

## Summary

The STIP is a multi-year capital improvement program of transportation projects on and off the State Highway System, funded with revenues from the State Highway Account and other funding sources administered by the California Transportation Commission (CTC), including Senate Bill 1 (SB 1). The 2022 STIP will cover Fiscal Years (FYs) 2022-23 through 2026-27. Based on the State's 2022 STIP Fund Estimate, approximately \$22.035 million of new programming capacity is anticipated for Alameda County of which \$15.87 million is anticipated to be available for programming to projects.

As part of the overall STIP programming process, Alameda CTC is to adopt and forward a program of STIP projects to the Metropolitan Transportation Commission (MTC) for inclusion in MTC's 2022 Regional STIP program (2022 RTIP). As the Regional Transportation Planning Agency (RTPA) for the nine-county Bay Area, MTC is responsible for developing the regional priorities for the RTIP. MTC approves the region's RTIP and submits it to the CTC for inclusion in the STIP. Staff is recommending Commission approval of the proposed programming principles (Attachment A) and schedule (Attachment B) for the development of the Alameda County 2022 STIP project list.

## Background

The STIP is a multi-year capital improvement program of transportation projects on and off the State Highway System that is administered by the CTC and funded with revenues from the State Highway Account and other State and federal funding sources, including SB 1.

The STIP is composed of two sub-elements with 75% of the STIP funds reserved for the Regional Transportation Improvement Program (RTIP) and 25% for the Interregional Transportation Improvement Program (ITIP).

Senate Bill 45 (SB 45) was signed into law in 1996 and had significant impacts on the regional transportation planning and programming process. The statute delegated major funding decisions to the local level and allows the Congestion Management Agencies/County Transportation Agencies (CMAs/CTAs) to have a more active role in selecting and programming transportation projects. SB 45 changed the transportation funding structure and modified the transportation programming cycle, program components, and expenditure priorities.

Each STIP cycle, Alameda CTC adopts and forwards a program of STIP projects to MTC. As the Regional Transportation Planning Agency (RTPA) for the nine-county Bay Area, MTC is responsible for developing the regional priorities for the RTIP. MTC approves the region's RTIP and submits it to the CTC for inclusion in the STIP. Caltrans is responsible for developing the ITIP.

## **Development of the 2022 STIP**

### ***2022 STIP Fund Estimate***

The biennial State Transportation Improvement Program (STIP) programming process begins with the development of the STIP Fund Estimate (FE), approved by the CTC. The STIP Fund Estimate serves as the basis for determining the county shares for the STIP and the amounts available for programming each fiscal year during the five-year STIP period. Typically, the county shares represent the amount of new STIP funding available for programming in the last two years of the new STIP period.

Historically, the amount of funding available to Alameda County in a given STIP cycle has varied anywhere from \$0 to highs in the \$200 million range. Although the passage of SB 1 has added some stability to the STIP revenue, the Final 2022 STIP Fund Estimate approved at the August 2021 CTC meeting indicates \$22.035 million new programming capacity is available for Alameda County in FYs 2025-26 or 2006-27. As indicated below, when adjustments for prior commitments and program administration are considered, the balance available for programming to projects is estimated to be \$15.87 million:

#### 2022 STIP - Alameda County Fund Estimate:

\$ 22.035 M	2022 STIP Fund Estimate for Alameda County
-\$ 5.063 M	Payback of STIP funding advanced to ACTC by MTC through 2020 STIP for I-680 Southbound Express Lane from SR-84 to Alcosta Blvd project
-\$ 0.355 M	STIP Administration funds for MTC
<u>-\$ 0.747 M</u>	<u>STIP Administration funds for Alameda CTC</u>
\$ 15.870 M	2022 STIP Funding Available to Program

The Final 2022 STIP Fund Estimate and Guidelines were adopted by the CTC in August. MTC's Regional 2022 STIP Policies and Fund Estimate are anticipated to be released and



adopted in September 2021 and could potentially include adjustments to the Regional STIP Fund Estimate.

### ***Draft 2022 STIP Principles***

In preparation for the development the Alameda County 2022 STIP project list, the Commission is requested to approve a set of principles by which the Alameda County share of the 2022 STIP will be programmed (Attachment A). The proposed principles for the development of the 2022 STIP are consistent with the State's 2022 STIP Guidelines and the goals and objectives of the Alameda CTC's near-term strategic planning and programming documents, the Countywide Transportation Plan and the Comprehensive Investment Plan. Other key project prioritization principles include:

- Ability of a project to demonstrate readiness to meet applicable STIP programming, allocation and delivery requirements, including obtaining federal National Environmental Policy Act (NEPA)
- Potential to leverage external funding such as Senate Bill 1 (SB1) funding
- A complete funding plan for the phase for which STIP funding is requested

### **Next Steps**

Due to the condensed programming schedule for the 2022 STIP, the Alameda County STIP project list needs to be adopted by October 2021. MTC is anticipated to approve the regional list of projects by December 2021. Based on this schedule and proposed STIP principles, Alameda CTC will request project nominations/information for STIP eligible projects in early September. Following the staff assessment of the eligibility and readiness of project nominations, a draft project list will be presented to the Commission in October 2021.

For projects selected for the 2022 STIP, supporting documentation required by MTC is expected to include: MTC Complete Streets Checklist, STIP Project Programming Request (PPR) form, project performance measures analysis, Final Project Study Report (PSR) or PSR Equivalent, Resolution of Local Support, and STIP Certification of Assurances.

The final 2022 STIP is scheduled to be adopted by the CTC in March 2022.

**Fiscal Impact:** There is no fiscal impact associated with the requested item.

### **Attachments:**

- A. Draft Principles for the Development of the Alameda County 2022 STIP Project List
- B. 2022 STIP Development Schedule

*This page intentionally left blank*

**Principles for the Development of the Alameda County 2022 STIP Project List**

- It is anticipated that any new funding programmed in the 2022 STIP will be made available in FYs 2025-26 and/or 2026-27.
- Previously-approved commitments for STIP programming will be considered during the development of the 2022 STIP project list.
- Sponsors of currently programmed STIP projects will be required to provide updated project scope, status, schedule, cost and funding information.
- Any project considered for funding must be consistent with the Countywide Transportation Plan and satisfy all STIP programming requirements.
- Projects recommended for STIP funding must demonstrate readiness to meet applicable STIP programming, allocation and delivery requirements and deadlines, including federal requirements.
- Consideration of the following are proposed for the required project prioritization for the development of the 2022 STIP project list:
  - The principles and objectives set forth in the Alameda CTC Comprehensive Investment Plan;
  - Projects that can leverage funds from other SB1 and Regional programs;
  - Previous commitments for STIP programming approved by the Alameda CTC;
  - The degree to which a proposed project, or other activity intended to be funded by transportation funding programmed by the Alameda CTC, achieves or advances the goals and objectives included in the Countywide Transportation Plan; and
  - The degree to which a proposed project has viable project implementation strategies that are based on current project-specific project delivery information provided by applicants, including:
    - Readiness for the current/requested project delivery phase;
    - The status of environmental clearance, including federal National Environmental Policy Act (NEPA).
    - The project cost/funding plan by phase, including demonstration of a complete funding plan for the phase for which STIP funding is requested;
    - The potential for phasing of initial segment(s) which are fully-funded and provide independent benefit; and
    - Potential impediments, i.e. risks, to successful project implementation in accordance with the proposed project delivery schedule.

*This page intentionally left blank*

## Draft 2022 STIP Development Schedule

Alameda CTC Activity	Date	MTC/ CTC Activity
	May 2021	<ul style="list-style-type: none"> <li>• CTC approves STIP Fund Estimate Assumptions</li> </ul>
	June 2021	<ul style="list-style-type: none"> <li>• CTC releases draft STIP Fund Estimate and Guidelines</li> <li>• MTC releases Draft Regional STIP (RTIP) Schedule</li> </ul>
	July 2021	<ul style="list-style-type: none"> <li>• CTC holds STIP Fund Estimate and Guidelines Workshop</li> </ul>
	August 2021	<ul style="list-style-type: none"> <li>• CTC adopts final STIP Fund Estimate and Guidelines</li> </ul>
<ul style="list-style-type: none"> <li>• Approve 2022 STIP Principles</li> <li>• Request project nominations / information and develop Draft 2022 STIP program recommendation</li> </ul>	September 2021	<ul style="list-style-type: none"> <li>• MTC releases Draft Regional STIP (RTIP) Policies and Procedures</li> <li>• MTC approves Final RTIP Policies and Procedures</li> </ul>
<ul style="list-style-type: none"> <li>• Submit Draft 2022 STIP project list &amp; Complete Streets Checklists by October 6<sup>th</sup></li> <li>• Draft 2022 STIP recommendation to Committees and Commission</li> </ul>	October 2021	
<ul style="list-style-type: none"> <li>• Submit Final 2022 STIP project list and supporting documentation to MTC by November 1<sup>st</sup></li> </ul>	November 2021	<ul style="list-style-type: none"> <li>• MTC releases Draft 2022 RTIP</li> </ul>
	December 2021	<ul style="list-style-type: none"> <li>• MTC approves and submits 2022 RTIP to CTC</li> </ul>
	March 2022	<ul style="list-style-type: none"> <li>• CTC adopts 2022 STIP</li> </ul>

*This page intentionally left blank*