

**MEASURE B AND MEASURE BB  
Annual Program Compliance Report  
Reporting Fiscal Year 2018-2019**

**AGENCY CONTACT INFORMATION**

Agency Name: **San Joaquin Regional Rail Commission ( SJRRC- ACE)**

Date:

**Primary Point of Contact**

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**Agency's Certification of True and Accurate Reporting by Submission**

By submitting this Compliance Report to the Alameda County Transportation Commission, the submitting agency certifies the compliance information reported is true and complete to the best of their knowledge, and the dollar figures in the agency's Audited Financial Statement matches exactly to the revenues and expenditures reported herein.

Additionally, for the 2000 Measure B and 2014 Measure BB Direct Local Distribution (DLD) funds, pursuant to the California Public Utilities Code 180001 (e), funds generated by the transportation sales tax are to be used to supplement and not replace existing local revenues used for transportation purposes. By submit this report, the agency confirms that DLD funds are supplementing and not replacing existing local revenues used for transportation purposes.

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**Program Compliance Report Structure**

This Reporting Form is broken into the following sections for the Measure B and BB Direct Local Distribution Programs applicable to the recipient agency.

- \* **Cover - Agency Contact**
- \* **General Compliance Reporting for all programs**
- \* **Table 1 - Summary of Revenue, Expenditures, and Changes in Fund Balance**
- \* **Table 2 - Detailed Summary of Expenditures and Accomplishments**

**MEASURE B AND MEASURE BB  
Annual Program Compliance Report Fiscal Year 2018-2019**

**TABLE 1: SUMMARY OF REVENUE, EXPENDITURES, AND CHANGES IN FUND BALANCE**

*DIRECTIONS: Complete the sections below based on the Measure B and BB Audited Financial Statements, for the applicable DLD programs for your agency. Values must match financial statements and total reported expenditures on Table 2.*

**A. 2000 MEASURE B Direct Local Distribution Programs**

	Bicycle / Pedestrian	Local Streets and Roads	Mass Transit	Paratransit	Total
<b>Beginning of Year Fund Balance</b>	\$ -	\$ -	\$ 377,857	\$ -	\$ 377,857
<b>Revenue</b>	\$ -	\$ -	\$ 3,300,936	\$ -	\$ 3,300,936
<b>Interest</b>	\$ -	\$ -	\$ 19,171	\$ -	\$ 19,171
<b>Expenditures</b> <small>Expenditures Matches Table 2?</small>	\$ - <small>TRUE</small>	\$ - <small>TRUE</small>	\$ 2,383,376 <small>TRUE</small>	\$ - <small>TRUE</small>	\$ 2,383,376
<b>End of Year Fund Balance</b>	\$ -	\$ -	\$ 1,314,588	\$ -	\$ 1,314,588

Notes

**B. 2014 MEASURE BB Direct Local Distribution Programs**

	Bicycle / Pedestrian	Local Streets and Roads	Mass Transit	Paratransit	Total
<b>Beginning of Year Fund Balance</b>	\$ -	\$ -	\$ 5,000	\$ -	\$ 5,000
<b>Revenue</b>	\$ -	\$ -	\$ 1,585,177	\$ -	\$ 1,585,177
<b>Interest</b>	\$ -	\$ -	\$ 7,353	\$ -	\$ 7,353
<b>Expenditures</b> <small>Expenditures Matches Table 2?</small>	\$ - <small>TRUE</small>	\$ - <small>TRUE</small>	\$ 1,592,530 <small>TRUE</small>	\$ - <small>TRUE</small>	\$ 1,592,530
<b>End of Year Fund Balance</b>	\$ -	\$ -	\$ 5,000	\$ -	\$ 5,000

Notes

**Transit Direct Local Distribution Program  
Reporting Period - Fiscal Year 2018-19**

**GENERAL COMPLIANCE REPORTING**

1. What is the agency's average on-time performance goal/target? 95% Percent
2. What is the agency's average on-time performance for the year? 80.68% Percent

3. If your agency's actual average on-time performance for the year is less than the agency's on-time performance goal/target explain what actions are being taken to improve performance?

Positive Train Control has been the major issue to reach target on-time performance. The agency continues regular conference calls/meetings with Union Pacific (UPRR), Caltrain and Federal Railroad Administration (FRA) to address the issues and come up with fixes as the system evolves. Platform extension for Alameda and San Joaquin County Stations is in the design phase. The extended platforms will accommodate trainsets up to 10 cars. The added length will allow faster boarding and deboarding, reduce delays and consequently improve On-Time performance. This is required to accommodate continued increasing ridership.

- 4a. How much of the balance identified here is encumbered into active contracts and projects?

*Encumbered value should be less than or equal to the available balance.*

	\$	\$ Encumbered
MB Balance	1,314,588	1,314,588
MBB Balance	5,000	5,000
<b>Total</b>	<b>1,319,588</b>	<b>1,319,588</b>

- 4b. Why is there a fund balance? *Indicate N/A, if not applicable.*

The revenues came in higher than the amounts stated in the Baseline Service Plan (BSP) plan which is used as basis for our budget. The fund balance will be used for capital projects for FY19/20.

- 4c. Specify any large planned uses of fund balances within this program and their status i.e. planned or underway.

Project Title	Brief Project Description	DLD Amount	Project Status
Sunol Quiet Zone Quad Gates	Modification of existing rail crossings to comply with the FRA prequisit requirements for establishing a quiet zone.	\$ 555,835	Underway
Capital Maintenance	Under the Capital Trackage Rights Agreement the SJRRC has an annual obligation of \$4,400,000 for Capital Maintenance.	\$ 1,000,000	Underway
		\$ -	
		\$ -	
		\$ -	

5. Confirm all expenditures were governing body approved (Yes/No). Yes

6. Confirm the completion of the publicity requirements in the table below (Yes/No).

	Measure B	Measure BB	Copy of article, website, signage attached?	If applicable, briefly explain why the publicity requirement wasn't completed.
Article	Yes	Yes	Yes	N/A
Website	Yes	Yes	Yes	N/A
Signage	Yes	Yes	Yes	N/A

Transit Direct Local Distribution Program  
Reporting Period - Fiscal Year 2018-19

**TABLE 2: DETAILED SUMMARY OF EXPENDITURES AND ACCOMPLISHMENTS**

Provide a detailed summary of Measure B and BB Expenditures for the reporting fiscal year.  
- Expenditure total must correspond to your Audited Financial Statements and Table 1 values.

No.	Project Category <i>(Drop-down Menu)</i>	Project Phase <i>(Drop-down Menu)</i>	Project Type <i>(Drop-down Menu)</i>	Project Name	Project Description/Benefits	Quantity Completed in FY 18-19	Units for Quantity <i>(Drop-down Menu)</i>	Additional description on units or expanded detail on expenditures, performance, accomplishments	Measure B DLD Expenditures	Measure BB DLD Expenditures	Other Fund Expenditures	Total Cost
1	Rail	Operations	Operations	ACE Service Operations	Provide rail service for San Joaquin, Alameda and Santa Clara Counties	ACE service passengers transported- 1,506,203 Alameda County Stations- 508,076	Number of People/Passengers	The reported number of passengers is from total boardings on the entire route. Boardings for the Alameda stations are as follows: Vasco- 65,339 Livermore- 82,404 Pleasanton- 222,884 Fremont- 137,449 <b>Total- 508,076</b>	\$ 2,167,547	\$ 1,556,365	\$ -	\$ 3,723,912
2	Rail	Maintenance	Operations	Capitalized Track Maintenance	Under capital trackage agreement SJRRC has a capital maintenance obligation of \$4,000,000.	1	Other	Clear the tracks of brush and vegetation, roadbed stabilization and other track maintenance needed.	\$ 170,624	\$ -	\$ -	\$ 170,624
3	Rail	Other	Other	Administrative Fee	Administrative services provided by (ACTC)	1	Other	As invoiced by ACTC. Invoice is for attendance of the ACE Service board meetings and all associated service fees.	\$ 15,000	\$ 15,000	\$ -	\$ 30,000
4	Rail	Other	Other	Audit Fees	Audit Services Provided by Kemper	1	Other	Audit services	\$ 6,665	\$ 6,165	\$ -	\$ 12,830
5	Rail	Maintenance	Other	Maintenance of Alameda County stations.	Grounds keeping and clean up of Alameda Co. Stations	3	Other	3 Stations Vasco, Livermore and Pleasanton	\$ 15,000	\$ 15,000	\$ -	\$ 30,000
6	Rail	Construction	Other	Sunol Quiet Zone	Modification of existing rail crossings to comply with the FRA prerequisite requirements for establishing a quiet zone.	1	Other	Project is currently under construction	\$ 8,540	\$ -	\$ -	\$ 8,540
<b>TOTAL</b>									\$ 2,383,376	\$ 1,592,530	\$ -	\$ 3,975,906
<b>Match to Table 1?</b>									TRUE	TRUE		