



Memorandum

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DATE: November 30, 2017

SUBJECT: Alameda CTC Annual Local Business Contract Equity Program Utilization Report for Fiscal Year 2016-17

RECOMMENDATION: Receive the Annual Local Business Contract Equity Program Utilization Report for payments processed between July 1, 2016 and June 30, 2017

Summary

This report provides an update of business utilization on active professional services and construction contracts with payments processed in Fiscal Year (FY) 2016-17. Business utilization is reported for Local Business Enterprise (LBE), Small Local Business Enterprise (SLBE), and Very Small Local Business Enterprise (VSLBE) firm participation on locally-funded contracts subject to the Local Business Contract Equity (LBCE) Program that were awarded and administered by Alameda CTC. Utilization data is also included for locally-funded contracts that are exempt from the LBCE Program due to having additional state, regional, or non-local funds, or being less than \$50,000 in contract value. Additionally, an update on the LBCE Program certification activities within the same timeframe is presented for informational purposes only.

LBCE Program Summary

For contracts subject to the LBCE Program, historical data over the past nine years reveals that a total of \$72.2 million or 87% of contract payments went to certified LBE firms, while \$32.8 million or 39% of contract payments went to certified SLBE firms, substantially exceeding LBCE Program goals (see Attachment B - Local Business Contract Equity Program Goals Attainment Summary for Contracts with LBCE Program Goals – FY2008-09 to FY2016-17). In the current reporting period there were a total of 36 active professional services contracts with LBCE Program goals. On these contracts, 88% of payments (\$11.7 million) went to certified LBE firms and 25% of payments (\$3.3 million) went to certified SLBE firms. There were no active construction contracts funded with local funds in FY2016-17. While the LBCE Program goal of 30% SLBE was nearly met (short by 5%) during this reporting period, the LBE goal of 70% was exceeded by 19%. This information is shown in the following Table 1.

| TABLE 1 – Contracts with LBCE Program Goals (70% for LBE; 30% for SLBE) | | | | | |
|-------------------------------------------------------------------------|---------------------|---------------------------------------------------------------|------------|------------|-----------|
| Contract Type | Number of Contracts | Payments in FY2016-17 (July 1, 2016 through June 30, 2017) | | | |
| | | Payment Amount | LBE | SLBE | VSLBE |
| Professional Services | 36 | \$13,348,171 | 88% | 25% | 2% |
| Construction | 0 | \$0 | n/a | n/a | n/a |
| All Industries | 36 | \$13,348,171 | 88% | 25% | 2% |

There were 22 active contracts exempt from the LBCE Program in this reporting period, of which 20 were in the professional services category and 2 were in the construction category. For contracts exempt from LBCE Program goals approximately 77% of payments (\$9.3 million) went to LBE certified firms and 6% of payments (\$0.7 million) went to SLBE certified firms. This information is shown in Table 2 below.

| TABLE 2 – Contracts Exempt from LBCE Program Goals | | | | | |
|----------------------------------------------------|---------------------|---------------------------------------------------------------|------------|-----------|-----------|
| Contract Type | Number of Contracts | Payments in FY2016-17 (July 1, 2016 through June 30, 2017) | | | |
| | | Payment Amount | LBE | SLBE | VSLBE |
| Professional Services | 20 | \$11,666,191 | 80% | 6% | 0% |
| Construction | 2 | \$516,390 | 0% | 0% | 0% |
| All Industries | 22 | \$12,182,580 | 77% | 6% | 0% |

Background

In 1989, a contract equity program for the procurement of professional services was established which set goals of 70% for LBE, 25% for Minority Business Enterprise (MBE), and 5% for Women Business Enterprise (WBE).

In 1995, a program for construction contracts that set overall participation goals of 60% for LBE, 33% for MBE, and 9% for WBE was approved. Those goals were based on a disparity study and extensive public input from both the prime and minority contracting communities. Specific goals were set for each construction contract, based on biddable items and the availability of local MBE and WBE firms.

As a result of the passage of Proposition 209 in 1996 and the United States Department of Transportation's issuance of the final ruling on the Disadvantaged Business Enterprise (DBE) program in 2000, the MBE/WBE program and goal requirements were suspended. In lieu of the suspended MBE/WBE program, two new programs were adopted: the LBE/SLBE Program for contracts funded with local dollars and the DBE program for contracts funded with federal dollars. In January 2008, a Revised LBE/SLBE Program was adopted and renamed as the LBCE Program.

Revisions to the LBCE Program were aimed at increasing SLBE participation in all areas of agency contracting opportunities, particularly in construction contracting. The revised

program became effective for eligible Alameda CTC-led contracts as of February 2008 and for all eligible sponsor-led projects awarded after July 2008.

Utilization of local dollars is determined annually by collecting and analyzing financial data relative to the amounts paid to LBE, SLBE, VSLBE, and DBE prime and subcontractors in two contract categories:

- Professional Services – includes both administrative contracts to assist in the administration of Alameda CTC's projects and programs, as well as engineering services contracts to assist Alameda CTC in the development and delivery of its Capital Program.
- Construction – contracts in this group are specific to construction contracts awarded to builders of transportation facilities such as roadway and transit improvements.

Reporting Process

Data collection on all active and open contracts began on July 1, 2017, by surveying prime contractors and subcontractors for verification of payment amounts and other invoice details. For the current reporting period, 136 payment verification survey forms were sent to prime and subcontractors. Approximately 73% of the prime and subcontractors responded by completing and submitting survey forms.

Staff utilized a method of reporting similar to the prior period, which included an automated summary report of processed payments by vendor and LBCE Program utilization report generated from an in-house database.

The participation data and statistics, which serve as a basis for this report, have been independently reviewed and verified by L. Luster & Associates, Inc. (LL&A). As stated in the attached memorandum from LL&A, this report was found to be materially accurate and complete. (See Attachment C – Letter of Independent Review of Alameda CTC's Contract Equity Annual Utilization Report for the Period of July 1, 2016 through June 30, 2017).

Certification Update

| TABLE 3 – Certified Firms by Contract Types | | | | |
|------------------------------------------------------|------------------------|-------------------------|--------------|---------------------------------------------------|
| Contract Type | LBE¹ | SLBE² | VSLBE | # of Firms Certified this Reporting Period |
| Professional Services | 42 | 26 | 16 | 42 |
| Commodities/Vendors | 104 | 63 | 47 | 104 |
| Construction | 10 | 3 | 2 | 10 |
| Total | 156 | 92 | 65 | 156 |
| ¹ Includes SLBE and VSLBE certified firms | | | | |
| ² Includes VSLBE certified firms | | | | |

Fiscal Impact: There is no fiscal impact.

Attachments

- A. FY2016-17 Contract Equity Utilization Report
- B. LBCE Program Goals Attainment Summary for Contracts with LBCE Program Goals – FY2008-09 to FY2016-17
- C. Letter of Independent Review of Alameda CTC's Contract Equity Annual Utilization Report for the Period of July 1, 2016 through June 30, 2017

Staff Contact

[Seung Cho](#), Director of Budgets and Administration



Contract Equity Utilization Report

Fiscal Year: 2017

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Current Reporting Period Start Date: 7/1/2016

End Date: 6/30/2017

| Contract Number/Company Name | Contract Amount | Total Payment to Date | Payment Current Reporting Period | Goal Attainment (Current Reporting Period) | | | | Goal Attainment (Cumulative) | | | |
|------------------------------|-----------------|-----------------------|----------------------------------|--------------------------------------------|------|-------|-----|------------------------------|------|-------|-----|
| | | | | LBE | SLBE | VSLBE | DBE | LBE | SLBE | VSLBE | DBE |

Contract Type: PSA (Professional Services Agreement)

Goal Requirements for LBCE (70% for LBE and 30% for SLBE)

| | | | | | | | | | | | |
|----------------------------------------------------------------|-----------------|-----------------|----------------|---------|---------|---------|---------|---------|---------|---------|---------|
| A05-0004 - URS Corporation | \$15,750,000.00 | \$15,641,845.04 | \$854,298.86 | 97.45% | 19.97% | 11.58% | 19.97% | 91.50% | 29.29% | 6.30% | 17.81% |
| A10-0026 - HQE, Inc. | \$1,055,659.00 | \$1,050,026.22 | \$16,670.38 | 100.00% | 40.95% | 40.95% | 40.95% | 100.00% | 61.79% | 60.91% | 61.79% |
| A11-0058 - Vavrinek, Trine, Day & Co., LLP | \$539,500.00 | \$347,500.00 | \$51,000.00 | 100.00% | 0.00% | 0.00% | 0.00% | 100.00% | 0.00% | 0.00% | 0.00% |
| A12-0035 - The PFM Group | \$300,000.00 | \$25,831.08 | \$21,431.08 | 0.00% | 0.00% | 0.00% | 0.00% | 0.00% | 0.00% | 0.00% | 0.00% |
| A13-0004 - GenSpring Family Offices | \$470,000.00 | \$313,689.01 | \$87,373.31 | 0.00% | 0.00% | 0.00% | 0.00% | 0.00% | 0.00% | 0.00% | 0.00% |
| A13-0026 - Cambridge Systematics | \$1,449,833.64 | \$1,449,833.64 | \$15,878.06 | 100.00% | 0.00% | 0.00% | 0.00% | 100.00% | 2.06% | 2.06% | 2.06% |
| A13-0089 - Parsons Brinckerhoff | \$1,500,000.00 | \$1,477,999.03 | \$712,627.70 | 94.64% | 12.16% | 12.16% | 5.86% | 92.81% | 14.44% | 14.44% | 9.36% |
| A14-0002 - MV Transportation, Inc. | \$140,000.00 | \$54,163.03 | \$7,863.96 | 100.00% | 0.00% | 0.00% | 0.00% | 100.00% | 0.00% | 0.00% | 0.00% |
| A14-0011 - Fehr & Peers Associates | \$799,999.00 | \$799,976.95 | \$277,344.71 | 100.00% | 30.79% | 30.79% | 21.81% | 100.00% | 22.02% | 22.02% | 8.47% |
| A14-0018 - L. Luster & Associates | \$300,000.00 | \$282,385.00 | \$27,970.00 | 100.00% | 100.00% | 100.00% | 100.00% | 100.00% | 100.00% | 100.00% | 100.00% |
| A14-0023 - Nelson/Nygaard Consulting Associates | \$760,500.00 | \$696,775.29 | \$101,701.56 | 100.00% | 20.99% | 0.00% | 20.99% | 99.62% | 30.98% | 0.00% | 30.98% |
| A14-0051 - HNTB | \$4,900,000.00 | \$2,004,806.97 | \$477,385.35 | 97.81% | 3.80% | 3.28% | 3.48% | 96.84% | 23.76% | 10.05% | 24.61% |
| A14-0052 - AECOM Technical Services, Inc. | \$4,640,624.00 | \$3,889,991.69 | \$2,992,688.41 | 99.82% | 27.72% | 0.00% | 7.69% | 99.86% | 24.36% | 0.00% | 6.49% |
| A15-0009 - Koff & Associates Inc | \$75,000.00 | \$47,801.64 | \$160.00 | 100.00% | 100.00% | 0.00% | 100.00% | 100.00% | 100.00% | 0.00% | 100.00% |
| A15-0044 - Novani, LLC | \$70,500.00 | \$19,500.00 | \$4,875.00 | 0.00% | 0.00% | 0.00% | 76.92% | 0.00% | 0.00% | 0.00% | 76.92% |
| A16-0027 - Nelson/Nygaard Consulting Associates | \$2,000,000.00 | \$754,680.55 | \$443,592.37 | 97.28% | 18.14% | 0.00% | 18.14% | 98.40% | 14.89% | 0.00% | 14.89% |
| A16-0075 - HNTB | \$1,000,000.00 | \$230,721.66 | \$150,544.49 | 100.00% | 0.00% | 0.00% | 0.00% | 100.00% | 0.00% | 0.00% | 0.00% |
| A17-0003 - CirclePoint | \$224,933.00 | \$164,335.96 | \$101,398.33 | 100.00% | 0.00% | 0.00% | 0.00% | 100.00% | 20.43% | 0.00% | 17.21% |
| A17-0004 - Jacobs Engineering Group, Inc. | \$13,000,000.00 | \$4,851,054.04 | \$3,277,195.93 | 99.95% | 22.95% | 0.00% | 15.64% | 99.74% | 26.15% | 0.00% | 18.29% |
| A17-0005 - Nelson/Nygaard Consulting Associates | \$596,254.00 | \$272,454.01 | \$151,685.02 | 98.02% | 0.00% | 0.00% | 0.00% | 94.73% | 0.00% | 0.00% | 0.00% |
| A17-0006 - L. Luster & Associates, Inc. | \$492,615.00 | \$262,947.10 | \$201,307.10 | 100.00% | 100.00% | 0.00% | 100.00% | 100.00% | 100.00% | 0.00% | 100.00% |
| A17-0007 - Koff & Associates Inc | \$75,000.00 | \$23,948.55 | \$22,868.50 | 100.00% | 100.00% | 0.00% | 0.00% | 100.00% | 100.00% | 0.00% | 0.00% |
| A17-0010 - H.T. Harvey & Associates Ecological Cons | \$66,317.00 | \$29,215.08 | \$2,866.02 | 0.00% | 0.00% | 0.00% | 0.00% | 0.00% | 0.00% | 0.00% | 0.00% |
| A17-0021 - Novani, LLC | \$573,200.00 | \$279,711.34 | \$177,150.00 | 0.00% | 0.00% | 0.00% | 100.00% | 0.00% | 0.00% | 0.00% | 100.00% |
| A17-0035 - VSCE, Inc. | \$1,315,988.00 | \$558,397.04 | \$401,167.94 | 100.00% | 100.00% | 0.00% | 100.00% | 100.00% | 100.00% | 0.00% | 100.00% |
| A17-0036 - DMR Management Consultants, Inc. | \$735,375.00 | \$345,150.00 | \$253,237.50 | 0.00% | 0.00% | 0.00% | 0.00% | 0.00% | 0.00% | 0.00% | 0.00% |
| A17-0037 - Sidhu Consulting, LLC | \$732,375.00 | \$390,600.00 | \$273,600.00 | 100.00% | 100.00% | 0.00% | 100.00% | 100.00% | 100.00% | 0.00% | 100.00% |
| A17-0038 - Axis Consulting Engineers | \$724,500.00 | \$375,750.00 | \$266,850.00 | 0.00% | 0.00% | 0.00% | 100.00% | 0.00% | 0.00% | 0.00% | 100.00% |
| A17-0039 - Chwen Siripocanont | \$727,900.00 | \$379,800.00 | \$280,800.00 | 0.00% | 0.00% | 0.00% | 0.00% | 0.00% | 0.00% | 0.00% | 0.00% |
| A17-0042 - Associated Right of Way Services, Inc | \$124,594.00 | \$43,334.08 | \$25,467.28 | 0.00% | 0.00% | 0.00% | 0.00% | 0.00% | 0.00% | 0.00% | 0.00% |
| A17-0052 - Malik Transportation and Management Solutions, Inc. | \$262,200.00 | \$194,994.00 | \$130,134.00 | 0.00% | 0.00% | 0.00% | 0.00% | 0.00% | 0.00% | 0.00% | 0.00% |



Contract Equity Utilization Report

Fiscal Year: 2017

Current Reporting Period Start Date: 7/1/2016

End Date: 6/30/2017

| Contract Number/Company Name | Contract Amount | Total Payment to Date | Payment Current Reporting Period | Goal Attainment (Current Reporting Period) | | | | Goal Attainment (Cumulative) | | | |
|----------------------------------------------------------------------------------------------------------------|-----------------|-----------------------|----------------------------------|--------------------------------------------|---------------|--------------|---------------|------------------------------|---------------|--------------|--------------|
| | | | | LBE | SLBE | VSLBE | DBE | LBE | SLBE | VSLBE | DBE |
| A17-0057 - VSCE, Inc. | \$525,000.00 | \$191,894.24 | \$116,907.54 | 100.00% | 100.00% | 0.00% | 100.00% | 100.00% | 100.00% | 0.00% | 100.00% |
| A17-0071 - VSCE, Inc. | \$530,400.00 | \$175,312.50 | \$85,297.50 | 100.00% | 100.00% | 0.00% | 100.00% | 100.00% | 100.00% | 0.00% | 100.00% |
| AA07-0001 - TY Lin International/CCS | \$19,684,919.00 | \$17,135,074.33 | \$1,191,851.40 | 94.98% | 8.80% | 0.00% | 6.25% | 82.84% | 20.98% | 0.00% | 10.93% |
| Total PSA (Professional Services Agreement) - Goal Requirements for LBCE (70% for LBE and 30% for SLBE) | | | | 89.38% | 24.88% | 2.43% | 20.98% | 88.14% | 25.99% | 4.62% | 5.06% |
| Exempt from Goal Requirements | | | | | | | | | | | |
| A07-011.BKF.PH2 - BKF | \$15,350,780.00 | \$15,340,541.16 | \$20,520.98 | 100.00% | 21.85% | 0.00% | 21.85% | 98.16% | 24.20% | 0.00% | 8.99% |
| A10-0008 - S&C Engineers | \$2,025,750.00 | \$2,013,177.83 | \$73,408.18 | 100.00% | 100.00% | 0.00% | 0.00% | 86.46% | 86.06% | 0.00% | 0.00% |
| A11-0038 - Delcan Corporation | \$7,375,523.00 | \$6,730,776.31 | \$1,955,880.00 | 0.00% | 0.00% | 0.00% | 0.00% | 4.14% | 0.00% | 0.00% | 0.00% |
| A11-0039 - Kimley-Horn and Associates, Inc. | \$2,896,870.00 | \$2,557,216.74 | \$727,497.84 | 100.00% | 0.00% | 0.00% | 0.00% | 100.00% | 0.00% | 0.00% | 0.00% |
| A12-0027 - Nelson/Nygaard Consulting Associates | \$350,970.00 | \$312,822.71 | \$37,308.79 | 100.00% | 0.00% | 0.00% | 0.00% | 96.53% | 0.00% | 0.00% | 0.00% |
| A12-0028 - Aegis ITS, Inc. | \$1,050,000.00 | \$428,901.39 | \$27,704.95 | 0.00% | 0.00% | 0.00% | 0.00% | 0.00% | 0.00% | 0.00% | 0.00% |
| A13-0016 - Platinum Advisors, LLC | \$300,000.00 | \$250,000.00 | \$65,000.00 | 0.00% | 0.00% | 0.00% | 0.00% | 0.00% | 0.00% | 0.00% | 0.00% |
| A13-0017 - CJ Lake, LLC | \$315,000.00 | \$248,742.34 | \$60,957.72 | 0.00% | 0.00% | 0.00% | 0.00% | 0.00% | 0.00% | 0.00% | 0.00% |
| A13-0092 - ETC - Electronic Transaction Consultants | \$4,137,500.00 | \$3,947,459.08 | \$926,466.60 | 100.00% | 0.00% | 0.00% | 0.00% | 86.91% | 0.00% | 0.00% | 0.00% |
| A14-0001 - Wilson, Sparling & Associates, Inc. | \$999,519.00 | \$657,964.10 | \$114,055.69 | 12.20% | 0.00% | 0.00% | 0.00% | 14.38% | 0.00% | 0.00% | 0.42% |
| A15-0035 - WMH Corporation | \$10,225,405.00 | \$10,119,244.69 | \$6,685,276.52 | 100.00% | 9.50% | 0.00% | 7.71% | 100.00% | 8.54% | 0.00% | 6.87% |
| A15-0043 - ETC - Electronic Transaction Consultants | \$3,000,000.00 | \$1,619,748.50 | \$826,833.50 | 100.00% | 0.00% | 0.00% | 0.00% | 100.00% | 0.00% | 0.00% | 0.00% |
| A16-0070 - East Bay Bicycle Coalition | \$25,000.00 | \$25,000.00 | \$25,000.00 | 0.00% | 0.00% | 0.00% | 0.00% | 0.00% | 0.00% | 0.00% | 0.00% |
| A17-0009 - S&C Engineers | \$24,820.00 | \$8,454.76 | \$8,454.76 | 100.00% | 0.00% | 0.00% | 0.00% | 100.00% | 0.00% | 0.00% | 0.00% |
| A17-0011 - Moore Iacofano Goltsman, Inc. | \$25,000.00 | \$12,602.22 | \$6,292.65 | 100.00% | 0.00% | 0.00% | 0.00% | 100.00% | 0.00% | 0.00% | 0.00% |
| A17-0072 - RHA, LLC | \$28,605.00 | \$21,503.35 | \$21,503.35 | 0.00% | 0.00% | 0.00% | 100.00% | 0.00% | 0.00% | 0.00% | 100.00% |
| A99-0003 - WSP USA, Inc. | \$8,340,000.00 | \$8,043,190.62 | \$46,887.04 | 1.31% | 0.00% | 0.00% | 0.00% | 82.20% | 16.74% | 0.02% | 3.44% |
| Total PSA (Professional Services Agreement) - Exempt from Goal Requirements | | | | 80.20% | 6.13% | 0.00% | 4.66% | 79.93% | 14.63% | 0.00% | 1.03% |
| Total PSA (Professional Services Agreement) | | | | 85.08% | 16.10% | 1.29% | 13.33% | 84.13% | 20.44% | 2.36% | 3.09% |

Contract Type: CC (Construction Contract)

Exempt from Goal Requirements

| | | | | | | | | | | | |
|-----------------------------------|-----------------|-----------------|--------------|-------|-------|-------|-------|-------|-------|-------|-------|
| A11-0026 - Steiny & Company, Inc. | \$11,259,616.36 | \$10,832,530.18 | \$291,820.93 | 0.00% | 0.00% | 0.00% | 0.00% | 0.00% | 0.00% | 0.00% | 0.00% |
| A12-0019 - Telegra, Inc. | \$4,540,542.82 | \$4,500,479.66 | \$224,568.75 | 0.00% | 0.00% | 0.00% | 0.00% | 0.00% | 0.00% | 0.00% | 0.00% |



Contract Equity Utilization Report

Fiscal Year: 2017

Current Reporting Period Start Date: 7/1/2016

End Date: 6/30/2017

| Contract Number/Company Name | Contract Amount | Total Payment to Date | Payment Current Reporting Period | Goal Attainment (Current Reporting Period) | | | | Goal Attainment (Cumulative) | | | |
|-------------------------------------------------------------------------|-----------------|-----------------------|----------------------------------|--------------------------------------------|-------|-------|-------|------------------------------|-------|-------|-------|
| | | | | LBE | SLBE | VSLBE | DBE | LBE | SLBE | VSLBE | DBE |
| Total CC (Construction Contract) - Exempt from Goal Requirements | | | | | | | | | | | |
| | \$15,800,159.18 | \$15,333,009.84 | \$516,389.68 | 0.00% | 0.00% | 0.00% | 0.00% | 0.00% | 0.00% | 0.00% | 0.00% |
| Total CC (Construction Contract) | | | | | | | | | | | |
| | \$15,800,159.18 | \$15,333,009.84 | \$516,389.68 | 0.00% | 0.00% | 0.00% | 0.00% | 0.00% | 0.00% | 0.00% | 0.00% |

Contract Type: LA (Letter Agreement)

Goal Requirements for LBCE (for LBE and for SLBE)

| | | | | | | | | | | | |
|-----------------------------------------------------------------------------------------|--------------|--------------|--------------|---------|-------|-------|-------|---------|-------|-------|-------|
| L16-0002 - Renne Sloan Holtzman Sakai | \$75,000.00 | \$73,213.81 | \$40,934.76 | 0.00% | 0.00% | 0.00% | 0.00% | 0.00% | 0.00% | 0.00% | 0.00% |
| L17-0034 - Mott MacDonald, Inc. | \$80,000.00 | \$71,768.15 | \$71,768.15 | 100.00% | 0.00% | 0.00% | 0.00% | 100.00% | 0.00% | 0.00% | 0.00% |
| Total LA (Letter Agreement) - Goal Requirements for LBCE (for LBE and for SLBE) | | | | | | | | | | | |
| | \$155,000.00 | \$144,981.96 | \$112,702.91 | 63.68% | 0.00% | 0.00% | 0.00% | 49.50% | 0.00% | 0.00% | 0.00% |

Exempt from Goal Requirements

| | | | | | | | | | | | |
|--------------------------------------------------------------------|-------------|-------------|-------------|---------|-------|-------|-------|---------|-------|-------|-------|
| L17-0012 - Bay Area Council Economic Institute | \$20,000.00 | \$20,000.00 | \$20,000.00 | 0.00% | 0.00% | 0.00% | 0.00% | 0.00% | 0.00% | 0.00% | 0.00% |
| L17-0013 - Vali Cooper & Associates, Inc. | \$24,830.84 | \$11,096.87 | \$11,096.87 | 100.00% | 0.00% | 0.00% | 0.00% | 100.00% | 0.00% | 0.00% | 0.00% |
| L17-0014 - City of San Pablo | \$6,100.00 | \$6,045.11 | \$6,045.11 | 0.00% | 0.00% | 0.00% | 0.00% | 0.00% | 0.00% | 0.00% | 0.00% |
| Total LA (Letter Agreement) - Exempt from Goal Requirements | | | | | | | | | | | |
| | \$50,930.84 | \$37,141.98 | \$37,141.98 | 29.88% | 0.00% | 0.00% | 0.00% | 29.88% | 0.00% | 0.00% | 0.00% |

Total LA (Letter Agreement)

| | | | | | | | | | | | |
|--|--------------|--------------|--------------|--------|-------|-------|-------|--------|-------|-------|-------|
| | \$205,930.84 | \$182,123.94 | \$149,844.89 | 55.30% | 0.00% | 0.00% | 0.00% | 45.50% | 0.00% | 0.00% | 0.00% |
|--|--------------|--------------|--------------|--------|-------|-------|-------|--------|-------|-------|-------|

Contract Type: PSFA (Project Specific Funding Agreement)

Exempt from Goal Requirements

| | | | | | | | | | | | |
|---------------------------------------------------|-----------------|-----------------|----------------|--------|-------|-------|-------|--------|-------|-------|-------|
| 2003-02 - City of Oakland | \$6,358,000.00 | \$4,476,831.04 | \$1,791,242.00 | 69.94% | 0.42% | 0.00% | 0.00% | 78.49% | 8.15% | 0.00% | 0.00% |
| A08-0048 - Bay Area Rapid Transit | \$6,316,531.60 | \$6,316,449.83 | \$654,622.67 | 12.37% | 0.00% | 0.00% | 0.00% | 24.77% | 2.50% | 0.00% | 0.00% |
| A12-0050 - City of Hayward | \$26,437,000.00 | \$26,436,999.99 | \$5,028,962.53 | 0.00% | 0.00% | 0.00% | 0.00% | 9.17% | 1.67% | 0.87% | 0.80% |
| A14-0049 - Alameda County Public Works Agency | \$100,000.00 | \$93,790.12 | \$48,090.31 | 0.00% | 0.00% | 0.00% | 0.00% | 0.00% | 0.00% | 0.00% | 0.00% |
| A16-0003 - Bay Area Rapid Transit | \$100,000.00 | \$84,552.77 | \$84,552.77 | 0.00% | 0.00% | 0.00% | 0.00% | 0.00% | 0.00% | 0.00% | 0.00% |
| A16-0004 - City of Fremont | \$100,000.00 | \$86,771.08 | \$86,771.08 | 86.42% | 0.00% | 0.00% | 0.00% | 86.42% | 0.00% | 0.00% | 0.00% |
| A16-0010 - City of Union City | \$100,000.00 | \$50,533.72 | \$50,533.72 | 0.00% | 0.00% | 0.00% | 0.00% | 0.00% | 0.00% | 0.00% | 0.00% |
| ACTC_A10-013 - Alameda County Public Works Agency | \$215,000.00 | \$211,945.47 | \$918.89 | 0.00% | 0.00% | 0.00% | 0.00% | 0.00% | 0.00% | 0.00% | 0.00% |



Contract Equity Utilization Report

Fiscal Year: 2017

Current Reporting Period Start Date: 7/1/2016

End Date: 6/30/2017

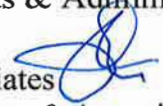

| Contract Number/Company Name | Contract Amount | Total Payment to Date | Payment Current Reporting Period | Goal Attainment (Current Reporting Period) | | | | Goal Attainment (Cumulative) | | | |
|----------------------------------------------------------------------------------------|------------------|-----------------------|----------------------------------|--------------------------------------------|--------|-------|-------|------------------------------|--------|-------|-------|
| | | | | LBE | SLBE | VSLBE | DBE | LBE | SLBE | VSLBE | DBE |
| Total PSFA (Project Specific Funding Agreement) - Exempt from Goal Requirements | | | | | | | | | | | |
| | \$39,726,531.60 | \$37,757,874.02 | \$7,745,693.97 | 18.19% | 0.10% | 0.00% | 0.00% | 20.07% | 2.55% | 0.61% | 0.00% |
| Total PSFA (Project Specific Funding Agreement) | | | | | | | | | | | |
| | \$39,726,531.60 | \$37,757,874.02 | \$7,745,693.97 | 18.19% | 0.10% | 0.00% | 0.00% | 20.07% | 2.55% | 0.61% | 0.00% |
| Total for All Contracts | | | | | | | | | | | |
| | \$188,346,549.26 | \$160,371,852.67 | \$33,244,166.41 | 68.04% | 12.05% | 0.97% | 9.96% | 60.96% | 14.25% | 1.72% | 2.06% |

Local Business Contract Equity (LBCE) Program Goals Attainment Summary
for Contracts with LBCE Program Goals
FY2008-09 to FY2016-17

| Contract Type | Reporting Period | Number of Contracts | Total \$ | LBE \$ | LBE % | SLBE \$ | SLBE % |
|-----------------------------------------------------|------------------|---------------------|---------------------|---------------------|------------|---------------------|------------|
| Professional Services | FY 2008-09 | 84 | \$14,671,927 | \$12,954,839 | 88% | \$6,531,596 | 45% |
| | FY 2009-10 | 74 | \$14,561,106 | \$13,393,718 | 92% | \$7,775,840 | 53% |
| | FY 2010-11 | 80 | \$13,365,337 | \$11,848,462 | 89% | \$5,611,082 | 42% |
| | FY 2011-12 | 55 | \$5,538,448 | \$4,146,151 | 75% | \$2,139,857 | 39% |
| | FY 2012-13 | 33 | \$6,994,351 | \$5,052,417 | 72% | \$2,875,224 | 41% |
| | FY 2013-14 | 25 | \$3,780,242 | \$2,995,804 | 79% | \$1,687,257 | 45% |
| | FY 2014-15 | 24 | \$4,729,816 | \$4,369,404 | 92% | \$1,246,779 | 26% |
| | FY 2015-16 | 22 | \$6,219,416 | \$5,691,388 | 92% | \$1,688,572 | 27% |
| | FY 2016-17 | 36 | \$13,348,171 | \$11,746,390 | 88% | \$3,284,985 | 25% |
| Subtotal for Professional Services Contracts | | | 83,208,814 | 72,198,571 | 87% | 32,841,192 | 39% |
| Construction | FY 2008-09 | 7 | 479,672 | 414,389 | 86% | 278,066 | 58% |
| | FY 2009-10 | 0 | - | - | 0% | - | 0% |
| | FY 2010-11 | 0 | - | - | 0% | - | 0% |
| | FY 2011-12 | 2 | 43,173 | - | 0% | - | 0% |
| | FY 2012-13 | 1 | 58,220 | - | 0% | - | 0% |
| | FY 2013-14 | 1 | 90,526 | - | 0% | - | 0% |
| | FY 2014-15 | 0 | - | - | 0% | - | 0% |
| | FY 2015-16 | 0 | - | - | 0% | - | 0% |
| | FY 2016-17 | 0 | - | - | 0% | - | 0% |
| Subtotal for Construction Contracts | | | 671,591 | 414,389 | 62% | 278,066 | 41% |
| Total (All Industries) | | | \$83,880,405 | \$72,612,960 | 87% | \$33,119,257 | 39% |

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To: Seung Cho, Director of Budgets & Administration, Alameda CTC

From: Joan Quillio, L. Luster & Associates 
Brooklyn Moore-Green, L. Luster & Associates 

CC: Patricia Reavey, Deputy Executive Director of Finance & Administration, Alameda CTC
Dr. Laura Luster, L. Luster & Associates

Date: September 22, 2017

RE: Independent Review of Alameda County Transportation Commission's Contract Equity Annual Utilization Report for the period July 1, 2016 through June 30, 2017

L. Luster & Associates (LLA) has reviewed Alameda CTC payment and vendor data provided by Alameda CTC staff for the period July 1, 2016 through June 30, 2017.

LLA staff was given full access to the Project Control System (PCS) database, as well as contract/agreement files, payment invoices, and responses to vendor survey. LLA utilized these resources in conducting a thorough review to provide quality control and to assure data integrity for all payments made to vendors on contracts with Local Business Contract Equity goals within the above referenced period. Additionally, LLA followed up with respondent vendors to ensure that all vendor concerns were addressed and issues resolved.

LLA met with staff and presented its findings. Staff satisfactorily responded to all issues identified and presented.

Having completed the review process, L. Luster & Associates finds no material defects in the Alameda CTC Contract Equity Annual Utilization Report for the period July 1, 2016 through June 30, 2017.

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