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REQUEST FOR PROPOSALS ALAMEDA CTC RFP NO. R20-0001 PROFESSIONAL SERVICES FOR MULTIMODAL PERFORMANCE MONITORING OF THE ALAMEDA COUNTY TRANSPORTATION NETWORK

July 11, 2019

The Alameda County Transportation Commission (Alameda CTC) invites you to submit a proposal to provide professional services for Alameda CTC for the Multimodal Performance Monitoring of the Alameda County Transportation Network. The anticipated performance period is for an initial period of 12 months from January 1, 2020 to December 31, 2020 with an option for an additional monitoring cycle for calendar year 2022 (through December 31, 2022).

This contract will be funded with VRF funds, or such funds in combination with other local funds. As such, the Alameda CTC Local Business Contract Equity (LBCE) Program requirements will apply. The LBCE Program's goals for professional services are 70.0% for Local Business Enterprise (LBE) and 30.0% for Small Local Business Enterprise (SLBE).

Responses should be submitted in accordance with the requirements and instructions set forth in the Request for Proposals (RFP). The RFP documents have been posted on the RFP Web Page below. To sign up for optional email notifications of important updates regarding this RFP only, please use the Email Notifications and Pre-Proposal Meeting Registration Form below.

The optional Pre-Proposal Meeting is scheduled for Monday, July 22, 2019, at 3:00 p.m. Pacific Time (PT); use the below Email Notifications and Pre-Proposal Meeting Registration Form to register to attend.

Email Notifications and Pre-Proposal Meeting Registration Form:

https://forms.gle/VnHUM5K9DFffNFoJA

RFP Web Page:

https://www.alamedactc.org/contracting-opportunities

Proposals are due on Thursday, August 8, 2019, at 3:00 p.m. PT.

Should you have any questions, please email E.W. Cheng, the Sole Point of Contact for this RFP, at <u>echeng@alamedactc.org</u>. Thank you for your interest.

Sincerely,

Carolyn/Clevenger

Director of Planning

cc: E.W. Cheng, Senior Administrative Analyst

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Alameda County Transportation Commission

REQUEST FOR PROPOSALS

Alameda CTC RFP No. R20-0001

Professional Services for Multimodal Performance Monitoring of the Alameda County Transportation Network

Date Issued: Thursday, July 11, 2019

Alameda County Transportation Commission 1111 Broadway, Suite 800 Oakland, California 94607

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INTRODUCTION

Alameda County Transportation Commission (Alameda CTC) is a joint powers agency which plans, funds and delivers a broad spectrum of transportation projects and programs to enhance mobility throughout Alameda County, as the successor to three previous agencies: Alameda County Transportation Authority (ACTA), Alameda County Transportation Improvement Authority (ACTIA) and Alameda County Congestion Management Agency (ACCMA).

ACTA was created by the approval of Measure B by Alameda County voters in November 1986. Measure B authorized the imposition of a half-cent sales and use tax in the County for a period of 15 years, the proceeds of which were principally reserved for highway improvements, local transportation improvements, and transit funding in the County.

In November 2000, prior to the expiration of the first Measure B, the Alameda County Board of Supervisors placed a new Measure B on the ballot and Alameda County voters approved the measure with 81.5% support. The 2000 Measure B authorized the extension of the collection and expenditure of a half-cent sales tax for a period of 20 years, in order to address major transportation needs and congestion in Alameda County. ACTIA was instituted to administer the new Measure B funds, as detailed in the 2000 Transportation Expenditure Plan, including contract oversight, policy direction, financing, investment management, and coordinating projects with regional transit and transportation agencies and other Project Sponsors.

Although ACTA stopped collecting sales taxes in 2002, the agency continued its function to complete certain unfinished projects. On June 24, 2010, the ACTA Board adopted a resolution to transfer all of ACTA's assets, responsibilities, functions and liabilities to ACTIA, effective on July 1, 2010, and to terminate ACTA following the completion of the transfer process.

ACCMA was created in 1991 by a joint powers agreement between Alameda County and all its incorporated cities. ACCMA's goals, duties and composition enabled the local government to better address the complex problem of traffic congestion. ACCMA was responsible for planning, programming, and coordinating Federal, State, and Regional funds for transportation projects within Alameda County.

One such planning effort by the ACCMA evolved into a capital project, the Sunol Smart Carpool Lane Project. The Sunol Smart Carpool Lane Joint Powers Authority (Sunol JPA) was created in February 2006, pursuant to a Joint Exercise of Powers Agreement between ACCMA, ACTIA and the Santa Clara Valley Transportation Authority. The Sunol JPA was formed to plan, design and construct, and then administer the operation of a value pricing HOV program on the Sunol Grade segment of Interstate 680 in Alameda and Santa Clara Counties. The Sunol JPA began project operations when the I-680 Southbound Express Lane was opened to traffic on September 20, 2010. The Sunol JPA will also operate the I-680 Northbound Express Lane when the facility is opened to the general public in the near future. Alameda CTC serves as the Managing Agency for the Sunol JPA.

In early 2010, ACTIA, ACCMA, the County of Alameda, the fourteen incorporated cities within Alameda County, the Bay Area Rapid Transit District (BART), and the Alameda-Contra Costa Transit District each took actions required to create a new joint powers agency known as the Alameda CTC, which combined the roles of ACCMA and ACTIA.

On June 24, 2010, the Boards of ACTIA and ACCMA gave the final approval required to create the Alameda CTC. After a transition period required to accomplish certain administrative matters, at the close of business on February 29, 2012, ACTIA and ACCMA were both terminated, and Alameda

CTC was designated as the successor to both agencies. Alameda CTC is responsible for all of the functions and responsibilities previously held by ACTA, ACTIA and ACCMA along with certain additional powers as described in the joint powers agreement establishing the Alameda CTC. Combining forces as Alameda CTC allowed ACTIA and ACCMA to eliminate redundancies and create efficiencies in planning, programs and project delivery and streamlined legislative, policy and funding efforts.

On January 23, 2014, Alameda CTC approved the 2014 Transportation Expenditure Plan. The 30year Expenditure Plan will expand BART, bus and rail services within Alameda County, keep transit fares affordable for youth, seniors, and people with disabilities, provide traffic relief on city streets and highways using new technology, improve air quality, and create good quality, local jobs. On November 4, 2014, Alameda County voters approved Measure BB (supported by the 2014 Transportation Expenditure Plan), which will augment and extend the existing transportation sales tax (Measure B) and provide nearly \$8 billion to fund implementation of the 30-year Expenditure Plan. Alameda CTC is responsible for administering the 2014 Transportation Expenditure Plan, including contract oversight, policy direction, financing, investment management, and coordinating projects with regional transit and transportation agencies and other project sponsors.

General information about Alameda CTC can be obtained by visiting <u>www.alamedactc.org</u>.

SECTION I

1. GENERAL CONDITIONS

A. Governing Law

This RFP summarizes the applicable laws and governance; when in conflict, applicable State/Federal requirements shall apply. The contract and legal relations between the parties hereto shall be governed and construed in accordance with the laws of the State of California.

B. Examination of Proposal Documents

By submitting a proposal, the proposer represents that it has thoroughly examined and become familiar with the work required under this RFP, and that it is capable of performing the work identified in <u>Appendix A</u> (Required Scope of Work, Deliverables, and Staffing).

C. <u>Rights of Alameda CTC</u>

This RFP does not commit Alameda CTC to enter into a contract, nor does it obligate Alameda CTC to pay for any costs incurred in preparation and submission of the proposal in anticipation of a contract. Alameda CTC also reserves the right to transfer certain services covered by this RFP in-house, to be performed by staff.

Alameda CTC may investigate the qualifications of any proposer, require confirmation of information furnished by the proposer, and/or require additional evidence or qualifications to perform the work described in this RFP from any proposer.

Further, Alameda CTC reserves the right to do each of the following, in any manner necessary to serve the best interests of Alameda CTC and the citizens of Alameda County:

- 1. Reject any or all proposal submittals.
- 2. Cancel this RFP in whole or in part.
- 3. Issue one or more subsequent Requests for Qualifications and/or RFPs.
- 4. Postpone opening of proposals for its own convenience.
- 5. Remedy technical errors in the RFP process.
- 6. Negotiate with any, all, or none of the proposers responding to this RFP.
- 7. Waive informalities and irregularities in any proposal.
- 8. Request additional information from proposer.
- 9. Issue Addenda to this RFP.

D. Work Scope Modifications

Alameda CTC reserves the right to request changes to staff and/or scope of work proposed in any of the proposals and to enter negotiations with any of the proposers regarding their submittal.

E. Pre-award/Post-award Audit

Alameda CTC reserves the right to perform pre-award and/or post-award audits.

The proposer shall be aware that if a pre-award audit is to be performed, full cooperation with the auditors is expected. The pre-award audit recommendations shall be incorporated into the contract.

If Alameda CTC permits the contract to be awarded based on a post-award audit requirement, the proposer shall agree to the following contract language below:

CONSULTANT acknowledges that this AGREEMENT and the cost proposal are subject to a post award audit. Based on any post award audit recommendations, the cost proposal and/or the total compensation figure shall be adjusted to conform to the audit recommendations. CONSULTANT acknowledges and agrees that individual cost items identified in the audit report may be incorporated into this AGREEMENT. Refusal by CONSULTANT to incorporate interim audit or post award recommendations will be considered a breach of the AGREEMENT and cause for termination of the AGREEMENT.

F. Conflict of Interest

By submitting a proposal, proposer represents and warrants that, for the term of the resulting contract, no member, officer or employee of Alameda CTC, or of a public body within Alameda County or member or delegate to the Congress of the United States, during his/her tenure or for one year thereafter, shall have any direct interest in any such contract or any direct or material benefit arising therefrom, as defined in California Government Code Sections 1090 et seq. and 87100 et seq. Prohibited interests include interests of immediate family members, domestic partners, and their respective employers or prospective employers.

The proposer further warrants and represents that it presently has no interest and agrees that it will not acquire any interest that would present a conflict of interest under California Government Code Sections 1090 et seq. or 87100 et seq. during the performance of services under any contract resulting from this RFP and that it will not knowingly employ any person having such an interest. Violation of this provision may result in the contract being deemed void and unenforceable.

Proposers must provide a list of any potential conflicts of interest in working for Alameda CTC. This must include, but is not limited to, a list of your firm's clients who are cities in Alameda County, the County of Alameda, and/or transit or transportation agencies that operate and/or have projects in Alameda County, and a brief description of work for these clients. Identify any other clients that would pose a potential conflict of interest as well as a brief description of work you provide to these clients. This list must include all potential conflicts of interest within the year prior to the release of this RFP as well as current and future commitments to other projects.

On the contract resulting from this RFP, consultant key team members may be required to submit a California Fair Political Practices Commission (FPPC) Form 700: Statement of Economic Interests documenting potential financial conflicts of interest. For additional information, proposers should refer to the FPPC website at <u>www.fppc.ca.gov/Form700.html</u>.

After award, the winning proposer shall take all reasonable measures to preclude the existence or development of an organizational conflict of interest in connection with work performed under the agreement resulting from this and other Alameda CTC solicitations. An organizational conflict of interest occurs when, due to other activities, relationships, or contracts, a firm or person is unable, or potentially unable, to render impartial assistance or advice to Alameda CTC; a firm or person's objectivity in performing the contract work is or might be impaired; or a firm or person has an unfair competitive advantage in proposing for award of a contract as a result of information gained in performance of this or some other project.

Proposer shall not engage the services of any subconsultant or vendor on any work related to this RFP if the subconsultant or vendor, or any employee of the subconsultant or vendor, has an actual or apparent organizational conflict of interest related to work or services contemplated under this RFP.

If, at any time during the term of the contract resulting from this RFP, the consultant becomes aware of an organizational conflict of interest in connection with the work performed under such contract, the consultant shall immediately provide Alameda CTC with written notice of the facts and circumstances giving rise to this organizational conflict of interest. The consultant shall immediately notify Alameda CTC of any organizational conflict of interest, whether actual or apparent, in connection with any political campaign activities engaged by or involving its firm that is, or may be, related to and/or otherwise impact: the Alameda CTC, its governing body, its projects and programs and/or its delivery of such project and programs. The consultant's written notice will also propose alternatives for addressing or eliminating the organizational conflict of interest.

G. Levine Act – Government Code Section 84308

As part of the Fair Political Practices Act that applies to elected officials who serve on appointed bodies such as the Alameda CTC Commission, the Levine Act prohibits any Alameda CTC Commissioners or Alternates who have received more than \$250 within the previous twelve months from a contributor from participating in or influencing the decision on awarding a contract with Alameda CTC. The Levine Act also requires a Commissioner or Alternate who has received such a contribution to disclose the contribution on the record of the proceeding. In addition, Commissioners are prohibited from soliciting or accepting a contribution from a party applying for a contract while the matter of awarding the contract is pending before Alameda CTC or for three months following the date of a final decision concerning the contract.

Contributors must disclose on the record any contribution of more than \$250 which they have made to any Alameda CTC Commissioner or Alternate within the twelve-month period preceding submission of their proposal. This duty applies to your company, any member of your team, any agents for you or other team members, and the major shareholders of any closed corporation, which are part of your team.

If you have made a contribution which needs to be disclosed, you must provide written notice of the date, amount and receipt of the contribution(s) to Alameda CTC's Executive Director. This information must be included in Levine Act Statement (<u>Appendix C</u>) in the submitted proposal.

H. Public Contract Code

In accordance with Public Contract Code Sections 10162, 10232, 10285, and 2202-2208, the proposer shall include a Public Contract Code Statement in its Letter of Transmittal in the form specified in <u>Section II.2.B</u>.

I. Public Records

The RFP and any material submitted by a proposer in response to this RFP are subject to public inspection under the California Public Records Act (Government Code 6250 et seq.) unless exempt by law. The proposals will remain confidential until any resulting contract has been awarded and executed. Each proposer should give specific attention to the identification of those portions of its proposal that it considers to be confidential, proprietary commercial information or trade secrets, and provide justification as to why such materials, upon request, should not be disclosed by Alameda CTC under the Act.

If Alameda CTC receives a request pursuant to the Public Records Act for information marked by the proposer as "Trade Secret" or "Business Secret," and the requester takes legal action seeking release of the materials it believes do not constitute trade secret information, by submitting a proposal, proposer agrees to indemnify, defend and hold harmless Alameda CTC, its officers, agents and employees, from any judgment, fines, penalties, and award of attorney's fees awarded against the Alameda CTC in favor of the party requesting the information, and any and all costs connected with that defense. This obligation to indemnify survives the Alameda CTC's award of the contract. Proposer agrees that this indemnification survives as long as the trade secret information is in the Alameda CTC's possession, which includes a minimum retention period for such documents.

Any language purporting to render the entire proposal or the cost proposal confidential or proprietary will be regarded as ineffective and will be deemed non-responsive.

J. Withdrawal of Proposal Submittal

A proposer may withdraw its proposal at any time before the expiration of the time for submission of proposal submittals as provided in this RFP by delivering to the Sole Point of Contact identified in <u>Section II.1.D</u> (Sole Point of Contact) a written request for withdrawal signed by, or on behalf of, the proposer's binding official as identified in the <u>Section II.2.B</u> (Letter of Transmittal) included within the proposal.

K. Proposal Protest Procedure

A proposer who submits, or who plans to submit, a proposal may protest pursuant to the protest procedures applicable to this RFP in accordance with this **Section I.1.K**. Any attempted protest which fails to comply with this section shall be deemed to be an invalid protest and automatically denied.

- 1. Protests based on the content of the RFP shall be filed with the Sole Point of Contact within five (5) calendar days after the RFP is issued. Alameda CTC shall issue a written decision on the protest prior to opening the proposals.
- 2. Protests alleging a denial of due process or fundamental unfairness in the determination of the short list of most qualified firms shall be filed with the Sole Point of Contact within five (5) calendar days after such determination has been made available to the proposer.
- 3. Protests alleging a denial of due process or fundamental unfairness in the determination of the top-ranked firm for contract award shall be filed with the Sole Point of Contact within five (5) calendar days after such determination has been made available to the proposer.
- 4. Any protest shall contain a full and complete written statement specifying in detail the grounds of the protest and the facts supporting the protest.
- 5. If a protest is timely filed with the Sole Point of Contact, Alameda CTC staff (potentially including consultants to Alameda CTC) will promptly initiate an investigation of the grounds of the protest. All proposers shall cooperate with any inquiries from Alameda CTC's staff and consultants relating to the protest.
- 6. At the conclusion of its investigation, Alameda CTC staff shall prepare a written report, including a decision regarding the disposition of the protest, and provide a copy of such report to the protestor. The staff decision shall be final and conclusive unless the protestor requests a hearing pursuant to Item 7 below within five (5) calendar days after issuance of the report.

7. In the case of protests based on the content of the RFP (Item 1 above), protesters may request to appear and be heard before the Planning, Policy and Legislative Committee (PPLC) and/or the Commission prior to the opening of proposals. In the case of protests alleging a denial of due process or fundamental unfairness in the selection process (Items 2 and 3 above), protesters may request to appear and be heard before one or more of the following, as determined by the Chair of the Commission in his or her discretion: (i) the Chair and/or Vice-Chair of the Commission, (ii) the PPLC and/or the Commission, or (iii) a committee appointed by the Chair of the Commission. The individual or body conducting the hearing shall make a determination and take final action on the protest.

L. Equal Employment Opportunity

Proposer shall not, on the grounds of race, color, sex, gender, sexual orientation, gender identity, gender expression, age, religion, creed, national origin, ancestry, physical handicap, medical condition, genetic information, military/veteran status, or marital status either discriminate or permit discrimination against any employee or applicant for employment in any manner prohibited by Federal, State or local laws. In the event of proposer non-compliance, Alameda CTC may cancel, terminate or suspend the contract in whole or in part. Proposer may also be declared ineligible for further contracts with Alameda CTC.

Proposer and its subconsultants shall take affirmative action to ensure that applicants are employed, and that employees are treated during their employment, without regard to their race, religion, color, creed, sex, gender, sexual orientation, gender identity, gender expression, age, genetic information, military/veteran status, ancestry, physical handicap, medical condition, or national origin. Such action shall include, but not be limited to the following: employment, upgrading, demotion or transfer; recruitment or recruitment advertising; layoff or termination; rates of pay or other forms of compensation; and selection for training, including apprenticeship. Proposer and its subconsultants shall post in conspicuous places, available to all employees and applicants for employment, a notice setting forth the following provisions [29 U.S.C. § 623, 42 U.S.C. § 2000, 42 U.S.C. § 6102, 42 U.S.C. § 12112, 42 U.S.C. § 12132, 49 U.S.C. § 5332, 29 CFR Part 1630, 41 CFR Parts 60 et seq.].

M. Subconsultants

- 1. Nothing contained in this RFP and the resulting contract or otherwise, shall create any contractual relation between Alameda CTC and any subconsultants, and no subcontract shall relieve the proposer of his/her responsibilities and obligations hereunder. The proposer agrees to be as fully responsible to Alameda CTC for the acts and omissions of its subconsultants and of persons either directly or indirectly employed by any of them as it is for the acts and omissions of persons directly employed by the proposer. The proposer's obligation to pay its subconsultants is an independent obligation from Alameda CTC's obligation to make payments to the proposer.
- 2. Any subcontract in excess of \$25,000, entered into as a result of this RFP, shall contain all the provisions stipulated in this RFP and resulting contract to be applicable to subconsultants.
- 3. Proposer shall pay its subconsultants within ten (10) calendar days from receipt of each payment made to the proposer by Alameda CTC.
- 4. Any substitution of subconsultants must be approved in writing by Alameda CTC's Project Manager in advance of assigning work to a substitute subconsultant.

2. CONTRACT REQUIREMENTS

The selected proposer will be required to sign Alameda CTC's standard form professional services contract. The Alameda CTC Sample Professional Services Contract is available on the Alameda CTC website at <u>www.alamedactc.org/contracting-forms</u> and is incorporated herein as if attached. Alameda CTC reserves the right to substitute and/or modify the Alameda CTC Sample Professional Services Contract prior to contract execution with acceptance by both parties.

A. Exceptions to the Alameda CTC Sample Professional Services Contract

As noted above, proposers shall be prepared to accept the terms and conditions of Alameda CTC's standard form contract. If a proposer desires to take exception to the terms of the standard form contract, the proposer shall provide the following information as a section of the proposal identified as Exceptions to the Alameda CTC Sample Professional Services Contract Form (Appendix C):

- 1. Proposer shall clearly identify each proposed change to the contract, including all relevant Exhibits and Attachments.
- 2. Proposer shall furnish the reasons therefore as well as specific recommendations for alternative language.

Only exceptions that are included in the submitted proposals will be considered. No additional exceptions after proposal submissions will be considered during contract negotiations. Substantial exceptions to the contract may be determined by Alameda CTC, at its sole discretion, to be unacceptable and Alameda CTC will proceed with negotiations with the next highest ranked firm. See <u>Section II.3.F</u> (Award).

B. Invoicing Requirements

The selected proposer will be required to submit invoice forms as specified in Alameda CTC's standard professional services contract including any revisions.

C. Insurance Requirements

The selected proposer will be required to maintain insurance coverage, during the term of the contract, at the levels described in <u>Appendix E</u> (Insurance Requirement Form). Proposer agrees to provide the required certificates of insurance providing verification of the minimum insurance requirements listed in <u>Appendix E</u>, within five (5) days of Alameda CTC's notice to firm that it is the successful proposer. Such insurance shall add Alameda CTC and its respective officers, employees and agents, as additional insured. Requests for waivers to Alameda CTC's insurance requirements should be submitted with the proposal using the form provided in <u>Appendix E</u>. Alameda CTC will review any insurance exception requests from the selected proposer, and issue waivers at its discretion if the changes requested are determined to be acceptable. Compliance with all material insurance requirements will be assumed.

D. Cooperation

After contract award, the selected consultant shall carry out the instructions as received from Alameda CTC, which may include cooperation with Alameda CTC staff and members of its governing body (the Commission).

E. Identification of Documents

All reports and other documents completed as part of the resulting contract shall recognize the appropriate participating funds. Specific details will be provided to the successful proposer.

3. LOCAL BUSINESS CONTRACT EQUITY PROGRAM

This contract will be funded in whole or in part by Alameda CTC VRF, Measure B and/or Measure BB funds, or such funds in combination with other local funds, so consequently Alameda CTC's Local Business Contract Equity (LBCE) Program will apply. The LBCE Program establishes goals for award of professional service contracts to firms located within Alameda County. For professional services, the LBCE Program has a Local Business Enterprise (LBE) goal of 70.0% and a Small Local Business Enterprise (SLBE) goal of 30.0%. Further details regarding this program, including requirements and forms, can be found on the Alameda CTC website at <u>www.alamedactc.org/contract-equity</u>, as well as lists of all currently certified LBE, SLBE, and Very Small Local Business Enterprise (VSLBE) firms. It is the responsibility of qualified firms which desire to work on contracts subject to the LBCE Program to ensure that they are appropriately certified by Alameda CTC on a timely basis. The prime contractor will be required to report LBE, SLBE, and VSLBE utilization with each invoice for payment.

Alameda CTC's Certification Team is available to assist firms in meeting the LBE and SLBE goals:

Contact:	Certification Team	Mailing Address:	Alameda CTC
Telephone:	(510) 208-7460		c/o Certification Team
Fax:	(510) 893-6489		1111 Broadway, Suite 800
Email:	certification@alamedactc.org		Oakland, California 94607

All questions regarding certification should be directed to the Certification Team. Alameda CTC, upon the recommendation of the Certification Team, shall determine if the applicant meets the certification requirements. Certification applications should be submitted to the Certification Team at the above address. All firms utilized to meet an LBE and/or SLBE goal must be certified by the time of proposal submission, and proof of certification must be submitted with the proposal. Applications for LBE and/or SLBE certification will not be accepted with the proposal.

SECTION II

1. **RFP INFORMATION**

A. <u>RFP Description</u>

Alameda CTC intends to retain a professional services consultant or consultant team to provide roadway and transit speed data collection and analysis to determine their level of service as well as bicycle and pedestrian counts as part of the Multimodal Performance Monitoring of the Alameda County Transportation Network. Auto and transit data collection and performance monitoring will occur in spring of 2019 while bicycle and pedestrian counts and analysis will occur in the fall of 2019.

B. Scope of Work

1. Scope of Work, Deliverables, and Staffing – The work to be performed under contract based on this RFP is described in <u>Appendix A</u> (Required Scope of Work, Deliverables, and Staffing) attached hereto and hereby incorporated herein.

- 2. **Period of Performance –** The anticipated period of performance for this contract will be for an initial period of 12 months from January 1, 2020 to December 31, 2020 with an option for an additional monitoring cycle for calendar year 2022 (through December 31, 2022).
- 3. **Method of Payment –** Compensation for this contract is anticipated to be based on specific rates of compensation and/or lump sum by task, but is subject to the terms and conditions established as a result of negotiations.

C. <u>Reference Materials</u>

Refer to <u>Appendix B</u> for reference materials (e.g., project reports, maps, diagrams, etc.).

D. Sole Point of Contact

Email inquiries (where permissible under the terms of this RFP) and proposal submissions shall be directed to the Sole Point of Contact. The Sole Point of Contact for all purposes of this procurement is as follows:

Name:	E.W. Cheng	Mailing Address:	Alameda CTC
Title:	Senior Administrative Analyst		1111 Broadway, Suite 800
Telephone:	(510) 208-7420		Oakland, California 94607
Email:	echeng@alamedactc.org		

E. Pre-Proposal Meeting (Optional)

An optional, but recommended, pre-proposal meeting will be held at the Alameda CTC offices located at 1111 Broadway, Suite 800, Oakland, California as indicated in <u>Table 1</u> (RFP Schedule).

Prospective proposers do not have to attend this pre-proposal meeting in order to submit a proposal to this RFP; however, attendance by potential proposers and subconsultants is highly recommended and strongly encouraged.

Consultants planning to attend are requested to register for the pre-proposal meeting using the Email Notifications and Pre-Proposal Meeting Registration Form identified in the cover letter of this RFP by 5:00 p.m. the day prior to the pre-proposal meeting.

F. Addenda/Clarifications

It is the proposer's responsibility to check for and review all addenda issued and posted by Alameda CTC on the RFP Web Page identified in the cover letter of this RFP. Proposers may sign up for email notifications of important updates about this RFP, as well as register for the preproposal meeting, using the Email Notifications and Pre-Proposal Meeting Registration Form identified in the cover letter of this RFP.

Explanations or clarifications desired by potential proposers regarding the meaning or interpretation of the RFP may be requested in writing by or before the questions submission deadline; please email to the Sole Point of Contact (as identified in <u>Section II.1.D</u>) with subject line "Questions – Alameda CTC RFP No. R20-0001." Responses to questions submitted by the deadline that may have a material impact on the proposal will be posted on the RFP Web Page identified in the cover letter of this RFP, as well as provided to firms registered to receive email notifications of important updates about this RFP.

G. <u>RFP Schedule</u>

Any reference in this RFP to a specific time shall refer to Pacific Time. All dates and times are subject to change at the discretion of Alameda CTC.

TABLE 1: RFP SCHEDULE

ACTIVITY	DATE/TIME
RFP issued.	July 11, 2019
Optional Pre-Proposal Meeting held at Alameda CTC offices. By 5:00 p.m. the day before the meeting, please register using the Email Notifications and Pre-Proposal Meeting Registration Form identified in the cover letter of this RFP.	July 22, 2019 3:00 p.m.
Deadline for proposers to submit questions. All questions must be directed by email to the Sole Point of Contact.	July 24, 2019 1:00 p.m.
Final Addendum issued, if necessary. Proposers may sign up for RFP email notifications using the Email Notifications and Pre-Proposal Meeting Registration Form identified in the cover letter of this RFP.	July 31, 2019 3:00 p.m.
Due Date for Proposal and References Forms. LATE SUBMISSIONS WILL NOT BE ACCEPTED. References Forms must be submitted by references directly to the Sole Point of Contact via email.	August 8, 2019 3:00 p.m.
Anticipated Proposal Review. Selection Review Panel evaluates proposals and develops short list of firms to interview, if necessary.	September 4, 2019
Interviews, if necessary.	September 12, 2019
Anticipated Final Evaluation and Determination of Top-Ranked Firm.	September 17, 2019
Anticipated Contract Commencement.	December 31, 2019

2. PROPOSAL CONTENT AND FORMAT

A. <u>General Instructions</u>

By the proposal due date, interested proposers shall submit:

- **Technical Proposal** (written proposal, **without cost proposal or cost elements**): Six (6) hard copies of the complete proposal, along with electronic files of the complete proposal in text (i.e., DOC/DOCX or RTF) **and** PDF formats.
- **Cost Proposal (sealed separately** from the technical proposal and labeled "Cost Proposal Attachment," as identified in <u>Section II.2.E.5</u>): One (1) hard copy of the complete cost proposal, along with electronic files of the complete proposal in source (i.e., XLS/XLSX) and PDF formats.

Electronic files may be submitted on CD or USB flash drive. Proposers who submit their proposals by mail should allow sufficient mailing and internal delivery time to ensure timely receipt by the Alameda CTC. Late submittals will not be accepted. Submissions transmitted by facsimile or email will not be accepted.

The hard copies, the CD or USB flash drive, and a separate sealed cost proposal envelope, must be submitted in a sealed envelope or container—stating, on the outside, the proposer's name,

address, telephone number; the RFP number and title; and proposal due date—and delivered to the Sole Point of Contact at the mailing address identified in <u>Section II.1.D</u> (Sole Point of Contact).

Proposals must be typed with a minimum 12-point font and submitted on $8\frac{1}{2}$ " x 11" paper with at least 1" margins, using a single method of fastening. The font requirement applies to all text except for charts, tables and graphs. When appropriate, double-sided printing is encouraged. Graphs, diagrams or organizational charts could be shown on 11" x 17" paper. 11" x 17" paper will be counted as two pages per side or four pages if double-sided. Proposals shall not exceed 20 pages (10 if double-sided), excluding sections or content as indicated in Table 2: Page Limit Requirements.

Proposal content and completeness are most important. Clarity, organization, and conciseness are essential and will be considered in assessing the proposer's capabilities. Proposals should only contain relevant information pertaining to the response of this RFP; marketing materials should not be included, unless appropriate. When organizing required forms and certifications, please collate by form type rather than by firm; e.g., an appendix for Levine Act Statement forms from all firms in alphabetical order by firm name. If there is any reason that specific items are incomplete or not provided, proposers must include a justification for each item explaining why it was incomplete or not provided.

SECTION OR CONTENT	INCLUDED IN PAGE LIMIT
Proposal Covers	_
Letter of Transmittal (Section II.2.B)	_
Title Page (Section II.2.C)	_
Table of Contents (Section II.2.D)	-
Proposal Content (Section II.2.E) (Exceptions listed below)	\checkmark
Proposal Content (Section II.2.E) (Exceptions to page limit)	
• Detailed Schedule (<u>Section II.2.E.1</u>)	
• Resources Form (<u>Appendix F</u>)	—
• Cost Proposal (Section II.2.E.5)	
Performance Measures (Section II.2.F)	\checkmark
References (<u>Section II.2.G</u>)	_
Required Forms and Certifications (Section II.2.H)	_
Resumes	_
	Note: Resumes may be provided in an appendix to the proposal but may not exceed 2 pages each.
Design Samples (Optional and as applicable)	_
Writing Samples (Optional and as applicable)	-

TABLE 2: PAGE LIMIT REQUIREMENTS

B. Letter of Transmittal

An officer authorized to bind the proposer's firm must sign the transmittal letter. The transmittal letter must include the following content and language:

- 1. Full list of the project team (including proposer and all subconsultants, subcontractors and/or vendors, of any tier) and detail any proposed joint venture arrangements such as revenue/profit sharing:
 - a. Company (LBE, SLBE, and/or VSLBE status, if any) arrangements detail (if any)
- 2. Selection Process Lead (the primary point of contact during the selection process):
 - a. English honorific prefix (e.g., Mr./Ms.), Name, Title
 - b. Mailing address
 - c. Telephone number
 - d. Email address
- 3. Public Contract Code Statement:

Our firm (Prime Proposer), as the prime proposer, hereby certifies under penalty of perjury under the laws of the State of California and the United States that neither Prime Proposer nor any subconsultant and/or subcontractor hereunder, nor any firm affiliated with Prime Proposer or any subconsultant and/or subcontractor hereunder, is (i) potentially subject to rejection of any bid pursuant to the provisions of Public Contract Code ("PCC") section 10162; (ii) unable to truthfully sign a statement in the form required by PCC section 10232; (iii) suspended or potentially subject to suspension pursuant to PCC section 10285.1; (iv) ineligible to bid on a contract with a public entity for goods and services of \$1 million or more pursuant to PCC sections 2202-2208; or (v) not in compliance with any federal, state, and local laws and ordinances applicable to the work. Prime Proposer further represents and warrants that no portion of the work under the resulting agreement shall be subcontracted to or otherwise performed by any subconsultant and/or subcontractor that meets any of the criteria set forth in subsections (i)-(v) above.

4. This letter is signed by an officer that is authorized to bind the proposer's firm contractually and includes the following language:

This proposal is a binding offer to contract with Alameda CTC according to the requirements of this RFP for a period of one hundred twenty (120) days from the proposal due date.

C. Title Page

The title page must include the RFP number and title, the proposer's firm and contact information (local address and telephone number), contact person and contact information (name, email, and telephone number), and the date.

D. <u>Table of Contents</u>

Provide a table of contents that includes a clear identification of the material by section and page number.

E. Proposal Content

Proposals must contain the following sections:

1. **Understanding the Required Scope of Work.** By presentation of a well-conceived work plan, this section of the proposal shall (i) establish that the proposer understands Alameda CTC's objectives and work requirements and (ii) describe the proposer's ability to satisfy those

objectives and requirements. Additional items included that are not specifically requested in the RFP must be clearly described as "optional tasks" in this section and should also be included as separate line items in the cost proposal.

- a. Succinctly describe the proposed approach for addressing the required work, outlining the activities that would be undertaken in completing the various tasks and specifying who would perform them. Include any underlying assumptions.
- b. Provide a timetable for completing all work specified in <u>Appendix A</u> (Required Scope of Work, Deliverables and Staffing). Include, as a proposal appendix, a Detailed Schedule to support each task contained in the Scope of Work and to demonstrate your firm's ability to provide services in an effective manner. The Detailed Schedule should show the expected sequence of tasks and subtasks, including durations for the performance of each task, subtask, milestones, submittal dates and review periods for each submittal.
- c. Demonstrate understanding of the key risks and challenges for delivering the project, and discuss risk mitigation measures.
- d. Identify key deliverables.
- e. List all applicable standards required for the proposed services.
- 2. **Expertise.** This section shall describe how your firm's expertise will be practically applied to fulfill the Scope of Work, including how the team will implement the contract, areas of consideration, and the rationale for the implementation approach.
 - a. Provide representation of work performed by your firm that are similar in nature to this project or elements of this project.
 - b. Discuss specific qualifications and technical expertise of the firm.
 - c. Identify any technical and/or procedural innovations that have been used successfully on other projects which may facilitate the performance of services and which may not have been specifically stated in this RFP.
 - d. Provide overall comprehensive approach for implementing and managing the full Scope of Work.
- 3. Management Plan. This section shall describe methods of project management.
 - a. Describe methods of planning, scheduling, and delivering tasks. Discuss coordination meeting strategies and how the team will provide up-to-date and accurate information to Alameda CTC for the duration of the contract.
 - b. Describe how management of the team members and subconsultants will be handled. Discuss management of budgetary controls and avoiding exceeding resources allocated for specific tasks.
- 4. **Staffing Plan and Availability.** This section shall include an organizational chart and identify key team members and the firm(s) associated with such team members.
 - a. Designate the principal-in-charge and the project manager who will serve as Alameda CTC's key contacts throughout the duration of the contract, and identify the key personnel for your proposed team.
 - b. Describe the qualifications and expertise of the proposed team, including all subconsultants, in providing services for clients comparable to Alameda CTC. Full

resumes may be included in the proposal appendices with the expectation that key personnel will remain the same throughout the duration of the contract.

- c. Proposers must also complete a Resources Form (Appendix F) and include as a proposal appendix.
- Cost Proposal. Proposer shall submit, in hard copy and electronic files (i.e., XLS/XLSX and PDF formats), the following in one separately sealed envelope clearly labeled "Cost Proposal Attachment," per all requirements of Cost Proposal Requirements Certification (<u>Appendix</u> <u>D</u>):
 - a. The overall price and budget, showing the level of effort and cost breakdown by tasks identified in the scope.
 - The budget should include an estimate of hours for each task and subtask, for all team members, along with their billing rates.
 - Billing rates must be broken down into direct salary, fringe, overhead, and profit rates, if any. Note that the profit and escalation rates for this contract may not exceed 8% and 3%, respectively.
 - Non-labor expenses (direct expenses) must be itemized and broken down to show quantity and unit price.
 - b. Provide cost breakdown by subconsultants, if any, and goal attainability, based on current certification at time of proposal submission.
 - The LBCE worksheet of the cost proposal must be accurate and consistent with the Breakdown of Costs and Expense Detail worksheets and will be the basis for determining the percentage of participation toward each goal, excluding costs for any optional task(s) and/or phase(s).
 - Only the value of the work actually performed by LBE, SLBE and/or VSLBE firms will be counted towards the applicable goals. Alameda CTC will count expenditures to LBE, SLBE and/or VSLBE firms that perform a Commercially Useful Function per the proposal. This includes the cost of equipment, supplies, and materials.
 - c. Any additional tasks that are not specifically requested in the RFP must be clearly described as "optional tasks" and shown as On-Call Tasks line items.

The top-ranked proposer will be required to participate in negotiations which may result in revisions to the proposal. The cost and method of payment will be based on specific rates of compensation and/or lump sum by task, but is subject to the terms and conditions established as a result of negotiations.

Additionally, prior to award of a contract, the successful proposer may be required to submit the firm's most recent complete financial statements, including footnotes and auditor's opinion or other financial instrument that would establish the firm's ability to complete the obligations of the contract resulting from this solicitation.

F. <u>Performance Measures</u>

Provide a list of proposed performance measures that could be used during the course of the contract for Alameda CTC to evaluate the firm's deliverables and services performed. If selected,

these will be negotiated with Alameda CTC during contract negotiations and final performance measures will be incorporated into a professional services contract.

G. <u>References</u>

Using the References Form (<u>Appendix C</u>), for each key staff member, provide a minimum of three (3) references related to previous projects similar to this project, or elements of this project, on which the key staff member had significant involvement within the past five (5) years. None of the references shall be from Alameda CTC. Project fact sheets and resumes may be provided in an appendix to the proposal and will not count toward the page-count limit.

The References Form is available at or from the RFP Web Page identified in the cover letter of this RFP and is incorporated herein as if attached. No modification to the form is allowed, other than entering the information requested. The prime proposer shall complete Part A of the References Form and include Part A with its proposal in hard copy and electronic files.

The proposer is responsible for communicating to the referenced party the instructions and requirements for this process as outlined in this RFP, including the deadline for which References Forms are due to the Sole Point of Contact via email, as indicated in <u>Table 1</u> (RFP Schedule).

H. Forms and Certifications

Proposers **must** provide completed forms in their submittal as required in Table 3 and the RFP <u>Appendices</u>. Please collate by form type rather than by firm; e.g., an appendix for Levine Act Statement forms from all firms in alphabetical order by firm name.

FORMS AND CERTIFICATIONS	SEPARATELY SEALED ENVELOPE	REQUIRED FOR PRIME CONSULTANT	REQUIRED FOR SUB- CONSULTANT
Section I.3 – LBE, SLBE, and/or VSLBE Proof of Certification	_	\checkmark	\checkmark
 Section II.2.B – Letter of Transmittal: Full list of the project team Selection Process Lead Public Contract Code Statement 120-Day Binding Offer Statement Signed by an authorized officer 	_	\checkmark	_
 Section II.2.G – References Form Part A is submitted with proposal and submitted to the reference 	_	\checkmark	If Applicable
Appendix C – Exceptions to the Alameda CTC Sample Professional Services Contract Form		Optional	_
Appendix C – Levine Act Statement	_	\checkmark	\checkmark
 Appendix C – Cost Proposal Form B (1-5): B1: Breakdown of Costs B2: Expense Detail (Direct Expenses) B3: Summary B4: LBCE B5: Prime Consultant and 	\checkmark	\checkmark	✓ Include in Prime Consultant's Cost Proposal Form B.

TABLE 3: REQUIRED FORMS AND CERTIFICATIONS

Alameda County Transportation Commission REQUEST FOR PROPOSALS – Alameda CTC RFP No. R20-0001

Subconsultant Contact Information			
Appendix C – Caltrans LAPM Exhibit 10-K (Annual Certification of Indirect Costs and Financial Management System)	\checkmark	\checkmark	\checkmark
Appendix D – Cost Proposal Requirements Certification		\checkmark	_
Appendix E – Insurance Requirement Form	-	\checkmark	\checkmark
 <u>Appendix F</u> – Resources Form in its entirety: Key Staff Availability Firm Participation Summary Task Resource Summary Alameda CTC Contracts Summary Potential Conflicts of Interest 		\checkmark	✓ Include in Prime Consultant's Resources Form.

3. PROPOSAL EVALUATION/CRITERIA

A. <u>Review for General Responsiveness</u>

Alameda CTC staff, in consultation with Alameda CTC legal counsel if deemed necessary, will conduct an initial review of the proposals for general responsiveness and compliance with requirements of this RFP. Proposals failing to satisfy the requirements in this RFP will not be considered.

Any proposal that does not include enough information to permit the Selection Review Panel to rate the proposals in any one of the evaluation factors listed below will be considered non-responsive. A proposal that fails to include one or more items requested in <u>Section II.2</u> (Proposal Content and Format), may be considered complete and generally responsive, if evaluation in every criterion is possible.

Alameda CTC reserves the right to request additional information from responsible and responsive proposers prior to evaluation.

B. Proposal Evaluation

A Selection Review Panel, which may be comprised of staff from Alameda CTC and representatives from outside agencies, will evaluate responsive proposals. The Selection Review Panel will then establish a short list of the most qualified firms based on the following Proposal Criteria, and schedule interviews with the firms on the short list, if deemed necessary.

C. <u>Proposal Criteria – 100 Points Possible</u>

The result of the selection process will be a recommendation that Alameda CTC award the contract to the top-ranked proposer, as determined by the Selection Review Panel. The following criteria and point system will be used to evaluate the proposals:

	CRITERIA	
1.	Understanding the Required Scope of Work. Understanding of the RFP	30
	objectives, project needs, appropriate deliverables, schedule in accordance with	
	the Scope of Work, and key risks and challenges as well as approach and	

	mitigation measures.	
2.	Expertise. Qualifications and technical expertise of the proposer in performing related work; proposer's experience in working with public agencies and familiarity with the related process; and method of approach.	20
3.	Management Plan. Methods and strength of project management, including project communication, schedule and budget control, and quality assurance and quality control.	20
4.	Staffing Plan and Availability. Appropriate staffing and organization of proposed team; qualifications and technical expertise of the team, particularly the project manager; key personnel's level of involvement in performing related work; and assessments by client references as available. Capacity and ability to provide quality personnel in a timeframe that meets the needs of the contract and project.	20
5.	Ability to Meet or Exceed Applicable LBE and SLBE Goals – As further described in <u>Section I.3</u> (Local Business Contract Equity Program) this RFP and the resulting contract are subject to the LBCE Program established by Alameda CTC. The percentage of participation toward each goal shall be based on the cost proposal, excluding costs for any optional task(s) and/or phase(s). Such excluded costs may be shown as On-Call Tasks/Deliverables line items in the submitted Cost Proposal Form B.	10 (5 for each goal)
	Total:	100

D. Proposer Interviews

Based on the initial technical scoring of the proposals, Alameda CTC, at its discretion, may select proposers from the short list for an interview. Final scoring to select the top-ranked proposer will be based on the interview criteria below and will not include the initial scoring of the technical proposals. If the Selection Review Panel determines that interviews are not necessary, proposers will be ranked based on the scoring of the technical proposals. The principal-in-charge and key team members should plan to attend the interview.

E. <u>Proposer Interview Criteria – 100 Points Possible</u>

The interview, if applicable, will be evaluated by a Selection Review Panel using the following criteria and point system:

	CRITERIA	MAXIMUM POINTS
1.	Understanding the Required Scope of Work.	25
2.	Expertise.	20
3.	Management Plan.	15
4.	Staffing Plan and Availability.	20
5.	Ability to Meet or Exceed Applicable LBE and SLBE Goals , as further described in <u>Section I.3</u> ; the percentage of participation toward each goal will be as calculated under Section II.3.C (Proposal Criteria).	10 (5 for each goal)

TABLE 5: INTERVIEW CRITERIA

6.	Effectiveness of Interview. Overall interview discussions and presentation.	10
	Total:	100

F. Award

The selection of the consultants shall be fair, open, and competitive using a qualifications-based selection process, and will be based on clearly stated objectives identified in this RFP and on demonstrated competence, professional qualifications, experience, and capabilities to perform the required scope of services identified in <u>Appendix A</u> (Required Scope of Work, Deliverables, and Staffing). Proposals will be evaluated and scored for accuracy and completeness of submittal and against the qualifications and other requirements listed in this RFP.

The Selection Review Panel will recommend award to the highest ranked proposers based on the final scoring from the Proposer Interview Criteria in <u>Section II.3.E</u> and will not include the initial scoring of the technical proposals. If the Selection Review Panel determines that interviews are not necessary, proposers will be ranked based on the scoring of the technical proposals. The top-ranked firm may be required to submit a revised cost proposal and/or technical or other revisions to its proposal as a result of negotiations with Alameda CTC. If negotiations with the top-ranked proposer are ultimately unsuccessful, or if the proposer declines the work offered, then negotiations will proceed with the second highest ranked proposer from the proposal list, and so forth until a proposer is selected.

APPENDICES

A. REQUIRED SCOPE OF WORK, DELIVERABLES, AND STAFFING	21
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C. REQUIRED FORMS INCORPORATED BY REFERENCE	29
D. COST PROPOSAL REQUIREMENTS CERTIFICATION	30
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A. REQUIRED SCOPE OF WORK, DELIVERABLES, AND STAFFING

Alameda CTC performs monitoring of major roadways and transit networks in Alameda County, called Congestion Management Program (CMP) network, biennially in the spring of even numbered years. CMP roads are monitored for Level of Service (LOS), per CMP legislation. The most recent 2017 CMP document can be found in <u>Appendix B</u>. In addition to the roadway auto LOS and transit performance, bicycle and pedestrian counts are also collected at selected intersections across the county. The multimodal performance data on the CMP network and bicycle and pedestrian counts inform planning and policy decisions and key findings are combined with other system performance data into fact sheets used for advocacy. Each fact sheet describes key trends identified from the most recent available data. Table A-1 below shows the details on all of the multimodal monitoring elements. The most recent 2018 Level of Service Monitoring Report is also available in <u>Appendix B</u>.

CMP Network Category	Distance Monitored
Freeways	140 miles
HOV/Express Lanes	98 miles (each direction included separately)
Ramps and Special Segments	23 connections
Highways and Principal Arterials	99 miles
Other Arterials	314 miles
Bridges	10 miles
Transit Routes	146 miles
Bike/Ped Count Locations	
Bike/Pedestrian/Scooter Counts	150 intersections

 Table A-1 – Alameda CTC CMP Multimodal Monitoring Elements

This study has three key elements to monitor the categories listed in **Table A-1**:

- Auto LOS Monitoring Data Collection and Analysis on the CMP roadway network
- Transit Data Collection and Analysis on the 146 miles of transit routes as above
- Bicycle/Pedestrian Data Collection and Analysis for 150 intersections

The Alameda County CMP roadway network consists of a Tier 1 and Tier 2 networks. The Tier 1 network is monitored for the purposes of legislative conformity during the PM peak-period (4-6 PM) and informational purposes during the AM peak-period (7-9 AM) and includes 140 miles of freeways, 99 miles of highways and principal arterial roads and Ramps and Special Segments. The Tier 2 network is monitored for informational purposes during both the AM and PM peak-periods and includes 314 miles of arterial roads. Additionally, freeways during the weekend peak period (1-3 PM) and Express and High-occupancy Vehicle Lanes for the PM (4-6 PM) and AM (7-9 AM) peak periods are monitored for informational purposes.

Ultimately, this study produces a concise report that summarizes CMP roadway auto speed and LOS trends, lists and visualizes CMP segment-level auto and transit performance, summarizes bicycle and pedestrian activity and trends, and identifies deficient CMP Tier-1 roadway segments pursuant to state legislation. The most recent monitoring was performed in spring of 2018.

Task 1: Implementation Plan

The selected consultant will develop an implementation plan that will specify the methodology to be used for collecting, analyzing, and presenting auto and transit data as well as bicycle and pedestrian counts during the spring 2020 monitoring period. For auto LOS, Alameda CTC currently uses commercial auto speed data using INRIX, which is available from MTC. However, MTC has recently switched to providing INRIX XD data. Therefore, the Implementation Plan and data collection and analysis methodology should detail the steps required to download, process, and analyze the INRIX XD data and identify any issues (either logistically or technically) from switching to the recent INRIX XD data from previously used INRIX TMC data to ultimately report data on each CMP roadway segment. It will specify the methodology used to assign and collect the INRIX XD data. The implementation plan will also specify planning and logistics for conducting floating car surveys where commercial speed data is not available, particularly for Tier 1 roads, and producing the draft and final reports and accompanying spreadsheets and maps.

The selected consultant's implementation plan will include a list of proposed construction and maintenance projects within Alameda County during the data collection period (March 1 to May 31). To develop this list, the selected consultant will monitor government websites, social media, construction project websites and the Caltrans Performance Measurement System (PeMS) lane closure database and information from the local jurisdictions (with the assistance of Alameda CTC).

As a part of the 2017 CMP, Alameda CTC identified 146 miles of roadway segments (individual routes shown in **Table A-2**) to be monitored for transit vehicle performance along major corridors covered by the Alameda-Contra Costa Transit District (AC Transit), and Livermore Amador Valley Transit Authority (LAVTA). The selected consultant should review the methodology for evaluating transit performance for 146 miles of roadways and conduct transit performance monitoring similar to the 2018 monitoring effort. Based on the implementation plan and discussion with the transit agencies, Alameda CTC will send out letters requesting needed data from AC Transit and LAVTA.

The selected consultant will also develop an implementation plan to collect bicycle and pedestrian count data in September and October 2020, which will be consistent with Alameda CTC's established Bicycle and Pedestrian Count Program. This plan should also include defined procedures for counting micromobility devices like scooters as part of the manual count program, but may also explore using available private data from the regional bikeshare program and/or micromobility enterprises.

The selected consultant should develop an implementation plan which clearly outlines all data collection issues with a plan to address them, as well as a clear plan to publish the results of the data collection and analysis as both a report, and online.

Alameda		7.2	
	AC Transit 51A/51B	Webster/Posey Tubes, Webster St, Atlantic Ave, Santa Clara Ave, Park St, Fruitvale Ave	
	AC Transit 20/21	Webster/Posey Tubes, Atlantic Ave, Park St	
Uninc. Alameda County		7.9	
	AC Transit 40	East 14 th St	
	AC Transit 97	Hesperian Blvd	

Table A-2: Transit Monitoring Network by Jurisdiction and Transit Route

Alameda County Transportation Commission

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LAVTA 10R	Stanley Blvd	
LAVTA 30R	I-580	
Albany		2.0
AC Transit 72/72M/72R	San Pablo Ave	
AC Transit 18	Solano Ave	
Berkeley		14.1
AC Transit 72/72M/72R	San Pablo Ave	
AC Transit 18	Solano Ave, Shattuck Ave, MLK Jr. Way	
AC Transit 6	University Ave, Shattuck Ave, Telegraph Ave	
AC Transit 51A/51B	University Ave, Shattuck Ave, College Ave	
AC Transit F	Shattuck Ave, Adeline St	
Dublin		4.9
LAVTA 30R	Dublin Blvd, I-580	
Emeryville		1.2
AC Transit 72/72M/72R	San Pablo Ave	
AC Transit F	40 th St, Shellmound St	
AC Transit 57/NL	40 th St, Shellmound St	
Fremont		17.4
AC Transit 99	Decoto Rd, Fremont Blvd, Walnut Ave	
Dumbarton Service (U, DB, DB1)	Mission Blvd	
Hayward		10.3
AC Transit 97	Hesperian Blvd	
AC Transit 99	Mission Blvd	
AC Transit 10	Mission Blvd	
Livermore		9.5
LAVTA 10R	Stanley Blvd, Railroad Ave	
LAVTA 30R	North Canyon Pkwy, Portola Ave, N. Livermore Ave, East Ave	
Newark		2.3
Dumbarton Service (U, DB, DB1)	SR-84	
Oakland		51.8
AC Transit 72/72M/72R	San Pablo Ave, 20 th St, Broadway	
AC Transit 18	MLK Jr. Way, Broadway, 11 th St, 12 th St, 1 st Ave, Park Blvd	

Alameda County Transportation Commission

AC Transit 6	Telegraph Ave, Broadway	
AC Transit 51A/51B College Ave, Broadway, Webster/Posey Tubes		
AC Transit F Adeline St, 40 th St, Shellmound St		
AC Transit 57/NL	AC Transit 57/NL 40 th St, MacArthur Blvd, Santa Clara Ave, Grand Ave, 20 th St, Foothill Blvd	
AC Transit 40	11 th St, 12 th St, Foothill Blvd, 15 th St, Bancroft Ave	
AC Transit 1	11 th St, 12 th St, International Blvd	
AC Transit 20/21	Webster/Posey Tubes, Fruitvale Ave	
AC Transit 10	Mission Blvd	
Pleasanton		5.1
LAVTA 10R	Owens Dr, Santa Rita Rd, Stanley Blvd	
San Leandro		4.1
AC Transit 40	Bancroft Ave	
AC Transit 10	East 14 th St	
AC Transit 97	Hesperian Blvd	
AC Transit 99	East 14 th St	
Union City		8.2
AC Transit 97	Union City Blvd, Alvarado Blvd, Alvarado-Niles Blvd, Decoto Rd	
AC Transit 99/10	Mission Blvd, Decoto Rd	
Dumbarton Service (U, DB, DB1)	Decoto Rd	
	Total	146.0

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Task 1 Schedule:

• Implementation Plan: January 2020

Task 1 Deliverables:

1. Implementation Plan for Auto, Transit, and Bicycle and Pedestrian Counts data collection and analysis schedule and methodology including approach for publication of the results in both printed and digital format for the web.

Task 2: Level of Service Monitoring on CMP Roadways

The CMP statute requires that Level of Service (LOS) standards on the CMP roadway network be established and periodically monitored as one of the elements of the CMP. The Alameda County CMP process requires biennial monitoring of LOS on the CMP roadway network. The CMP network contains 553 miles of roadways (freeways and arterials), 98 miles of express lanes, 23 ramp connectors, and 10 miles of bridges.

Monitoring is undertaken by measuring the average speed of traffic over a specific length of roadway. Based on the average speed, LOS is assigned to each roadway segment using adopted standards based on the HCM. Speeds are calculated from travel time data that is obtained from either commercially-available speed data or floating car surveys from the field. Commercial speed data has been obtained through INRIX since the 2014 monitoring cycle. A copy of the most recent LOS Monitoring Study, the 2018 LOS Monitoring Report, is available in <u>Appendix B</u>.

The selected consultants will perform LOS monitoring of the CMP network from March 1, 2020 to May 30, 2020. The CMP network will be the same as in the current adopted CMP, which is included in the 2018 LOS Monitoring Report. The consultant will follow the respective standard data collection procedures as adopted in the 2018 LOS Monitoring Study for collecting both floating car runs travel time data and commercially available speed data, identified in Task 1 (Implementation Plan). Floating car runs will only be needed for Tier 1 segments with inadequate coverage from commercially available speed data.

Task 2 Schedule:

• Auto LOS data collection and analysis consistent with the Implementation Plan-March 2020 – May 2020.

Task 2 Deliverables:

- 1. Draft data spreadsheets for both commercial travel time data and floating car runs periodically throughout the data collection period for presenting to the Committees, along with associated maps
- 2. Draft and final synthesized reports with analysis results and trends in an easy to understand format including spreadsheets and maps

Subtask 2.1: GPS Floating Car Speed Surveys

Commercial speed data is not available for a selected portion of the monitoring network such as high occupancy vehicles (HOV) lanes, which needs lane-specific speed, a few ramp connectors and several other Tier 1 CMP roadways. The selected consultant will not be required to conduct floating car runs on parts of the Tier 2 network with insufficient coverage. Similar to 2018, some CMP ramp segments and HOV lanes will be monitored with floating car surveys. The selected consultant will gather raw GPS tracks for each individual travel time run and calculate the average, using a formula for space mean speed following the Alameda CTC spreadsheets.

For these segments, six mid-week floating car surveys will be undertaken on days that represent average weekday conditions. The selected consultant will make an effort to ensure that runs are not bunched on the same day of the week or at the same time.

For all Tier 1 CMP segments that are newly designated as an LOS-F that are not exempt from being identified as deficient, the selected consultant will conduct two additional travel time surveys for the entire route to confirm the LOS designation.

Subtask 2.1 Schedule:

- Data Collection: March May 2020
- Additional floating car runs on LOS-F segments: June 2020 before schools close for summer

Subtask 2.1Deliverables:

1. Draft and final data spreadsheets

Task 3: Transit Performance Monitoring on CMP Roadways

The selected consultant will collect and report transit performance on the 146-mile CMP Transit Monitoring Network. Transit performance, at a minimum, should include measurements of average bus speed, the ratio of average bus speed to average auto speed, and the ratio of peak period to offpeak period average bus speeds; however, additional measurements as appropriate may be identified in Task 1 (Implementation Plan).

The selected consultant will utilize GIS and other data processing tools to calculate the previously listed transit performance metrics by CMP/transit segment, along two preliminary corridors to test the proposed transit monitoring methodology.

The selected consultant work with Alameda CTC to finalize the methodology outlined for transit monitoring in Task 1 (Implementation Plan) and resolve any potential ambiguities due to differences in the data formats between transit agencies and based on issues identified on the two preliminary corridors. The selected consultant will also work with Alameda CTC to confirm and document any assumptions required to successfully conduct the transit monitoring. Potential assumptions include identifying applicable threshold for transit route/bus stop pair spatial coverage along a CMP segment or identifying a threshold for temporal coverage/sample size for applicable CMP segments.

The selected consultant will collect transit data for a period in the spring of 2020, consistent with the auto LOS monitoring period.

Task 3 Schedule:

• Transit LOS data collection consistent with the Implementation Plan-March 2020 – May 2020.

Task 3 Deliverables:

- 1. Draft data spreadsheets for transit performance data periodically throughout the data collection period for presenting to the Committees, along with maps
- 2. Draft and final reports including spreadsheets and maps

Task 4: Active Transportation and Manual Count Program

Alameda CTC has an existing manual count program through which 150 locations across Alameda County are counted on an annual basis. Each location is counted for a 2-hour PM period (4-6 PM) and either a 2-hour midday period (12-2 PM) or a 2-hour school period (2-4 PM). Counts are conducted using in-person observation according to the National Pedestrian and Bicycle Documentation Project methodology. Bicycle counts are turning movement counts conducted in 15-minute increments, with supplementary information on the number of riders without helmets, contraflow (wrong-way) riders, and bicycles traveling on the sidewalk also obtained. Pedestrian counts are intersection leg crossing tallies conducted in 15-minute increments, with no additional information obtained. The implementation plan will also detail procedures for counting scooters. Counts are conducted by paid professionals in September or October.

The selected consultant will collect data according to the Implementation Plan (Task 1) from September-October 2020. The selected consultant will check data for reasonableness and will be responsible for re-counting any locations with accuracy issues at no cost to Alameda CTC. The selected consultant will submit raw data in Excel spreadsheet form, summary tables and charts, and a narrative summary of trends and findings suitable for inclusion in Alameda CTC reports. Raw data and reporting from previous manual count program cycles can be found in <u>Appendix B</u>.

Task 4 Schedule:

• Manual Count Program data collection – September-October 2020

Task 4 Deliverables:

- 1. Raw count data in Excel spreadsheet form
- 2. Charts/graphs and narrative summary of data for publication

Task 5: Print and Web Publication

Alameda CTC has prepared LOS Monitoring reports every other year since CMP legislation was passed in 1991. In 2018, Alameda CTC prepared the first multimodal monitoring report expanding on this effort by adding transit performance to the existing auto LOS data. Previous versions of Alameda CTC's LOS Monitoring Reports have been more than 200 pages long including the data spreadsheets.

Starting with the 2020 monitoring period covered by the resulting contract, Alameda CTC intends to develop a more concise reporting format for the monitoring effort, which highlights on data collection, key trends for all modes with final data published online and publicly available in an easy to understand and navigable format.

The selected consultant will work with Alameda CTC to develop a new, shorter, reporting format and process for publishing the data collected in Tasks 2, 3, and 4 online.

Task 5 Schedule:

- Draft Auto and Transit Reports June 2020
- Draft Bike, Ped and Scooter Reports November 2020
- Publish Auto and Transit Reports July 2020
- Publish Bike, Ped, and Scooter Reports December 2020
- Publish data online May 2020 December 2020

Task 5 Deliverables:

- 1. Multimodal Performance Reports in hardcopy and digital formats
- 2. Web-published performance data

B. REFERENCE MATERIALS

The following information and documents related to this RFP are incorporated herein as if attached:

- 2017 Congestion Management Program <u>https://www.alamedactc.org/wp-</u> <u>content/uploads/2018/11/2017</u> Alameda County CMP.pdf
- 2018 Level of Service Monitoring Report <u>https://www.alamedactc.org/wp-</u> <u>content/uploads/2019/02/Rpt_2018_LOS_Monitoring_Final_20190214-1.pdf</u>
- 3. Bicycle/Pedestrian Manual Count Program https://www.alamedactc.org/programs-projects/bicycle-and-pedestrian/bicycle-pedestriancount-program/

C. REQUIRED FORMS INCORPORATED BY REFERENCE

The following forms are required unless noted otherwise, incorporated herein as if attached, and available at <u>www.alamedactc.org/contracting-forms</u>:

- 1. Exceptions to the Alameda CTC Sample Professional Services Contract Form (optional)
- 2. Levine Act Statement Form
- 3. References Form
- 4. Cost Proposal Form B
- 5. Caltrans LAPM Exhibit 10-K (Annual Certification of Indirect Costs and Financial Management System)

D. COST PROPOSAL REQUIREMENTS CERTIFICATION

IF PROPOSER IS UNABLE TO PROVIDE THE DOCUMENTS LISTED BELOW, PROPOSER SHOULD **NOT** SUBMIT A PROPOSAL TO ALAMEDA CTC.

- 1. Cost Proposal Form B (see <u>Appendix C</u>) for prime consultant and all subconsultants, B1-B5 completed in its entirety as applicable.
- 2. Caltrans LAPM Exhibit 10-K (Annual Certification of Indirect Costs and Financial Management System; see <u>Appendix C</u>) for prime consultant and all subconsultants. The most recent Fiscal Year End (FYE) Indirect Cost Rate (ICR) must be submitted; e.g., the 2018 FYE ICR must be submitted unless only the 2017 FYE ICR is available.
- 3. List of all subconsultants and/or vendors with contact information, including: (a) firm name (LBE, SLBE, and/or VSLBE status); (b) contact name, title; (c) mailing address; (d) telephone number; and (e) email address.

All forms and documents are available at or from the RFP Web Page identified in the cover letter of this RFP and are incorporated herein as if attached.

PLEASE CERTIFY THAT THE COST PROPOSAL ATTACHMENT COMPLIES WITH THE REQUIREMENTS LISTED ABOVE BY PROVIDING THE PROPOSER'S AUTHORIZED SIGNATURE BELOW:

AUTHORIZED SIGNATURE

DATE

NAME AND TITLE

COMPANY NAME

COMPANY ADDRESS

E. INSURANCE REQUIREMENT FORM

Part A – Minimum Insurance Coverages

The selected consultant shall, at its own expense, obtain and maintain in effect at all times the following types of insurance against claims, damages and losses due to injuries to persons or damage to property or other losses that may arise in connection with the performance of work under this contract, placed with insurers with a current A.M. Best's rating of A or better, with a Financial Size Category of VIII or better.

Yes (√)	Please certify by checking the boxes at left that required coverages will be provided within five (5) days of Alameda CTC's notice to firm that it is the successful proposer.
	<u>Commercial General Liability Insurance</u> for Bodily Injury and Property Damage liability, covering the operations of the selected consultant and its officers, agents, and employees and with limits of liability which shall not be less than \$1,000,000 combined single limit per occurrence with a general aggregate liability of not less than \$2,000,000 , and Personal & Advertising Injury liability with a limit of not less than \$1,000,000 . Expense for Indemnitee's defense costs shall be outside of policy limits and such policy shall be issued on a Duty to Defend Primary Occurrence Form.
	Alameda CTC, Sunol JPA*, BATA*, FHWA*, CHP*, MTC*, Caltrans* and their respective commissioners, directors, officers, representatives, agents and employees are to be named as additional insureds. Such insurance as afforded by this endorsement shall be primary as respects any claims, losses or liability arising directly or indirectly from the consultant's operations. (*If applicable)
	Business Automobile Insurance for all automobiles owned, used or maintained by the selected consultant and its officers, agents and employees, including but not limited to owned, leased, non-owned and hired automobiles, with limits of liability which shall not be less than \$1,000,000 combined single limit per occurrence.
	Alameda CTC, Sunol JPA*, BATA*, FHWA*, CHP*, MTC*, Caltrans* and their respective commissioners, directors, officers, representatives, agents and employees are to be named as additional insureds. Such insurance as afforded by this endorsement shall be primary as respects any claims, losses or liability arising directly or indirectly from the consultant's operations. (*If applicable)
	<u>Umbrella Insurance</u> in the amount of \$1,000,000 providing excess limits over Employer's Liability, Automobile Liability, and Commercial General Liability Insurance.
	Workers' Compensation Insurance in the amount required by the applicable laws, and Employer's Liability insurance with a limit of not less than \$1,000,000 per employee and \$1,000,000 per occurrence, and any and all other coverage of the selected consultant's employees as may be required by applicable law. Such policy shall contain a Waiver of Subrogation endorsement in favor of Alameda CTC. Such Workers Compensation & Employers Liability may be waived, if, and only for as long as, the consultant is a sole proprietor with no employees.
	Errors and Omissions Professional Liability Insurance in an amount no less than \$2,000,000 . If such policy is written on a "Claims-Made" (rather than an "occurrence") basis, the selected consultant agrees to maintain continuous coverage in effect from the date of the commencement of services to at least three (3) years beyond the termination or completion of services or until expiration of any applicable statute of limitations, whichever is longer. The policy shall provide coverage for all work performed by the selected consultant and any work performed or conducted by any subconsultant working for or performing services on behalf of the consultant. No contract or contract between the selected consultant and any subconsultant shall relieve the consultant of the responsibility for providing this Errors & Omissions or Professional Liability coverage for all work performed by the consultant working on behalf of the consultant on the project.

Deductibles: Any deductibles or self-insurance retentions over **\$50,000** are subject to the approval of Alameda CTC.

Notice of Termination: All policies shall provide that the insurance carrier shall give written notice to Alameda CTC at least 30 days prior to cancellation, non-renewal or material change of coverage in the policy or policies, and shall provide notice of such change to Alameda CTC and any other additional insured.

Additional Provisions: Each policy or policies of insurance described in <u>Commercial General Liability</u> <u>Insurance</u>, above shall include an endorsement providing that such insurance is primary insurance and no insurance of Alameda CTC will be called on to contribute to a loss.

Certificates of Insurance: Promptly on execution of this contract and prior to commencement of any work hereunder, the selected consultant shall deliver to Alameda CTC Certificates of Insurance verifying the aforementioned coverages. Such certificates shall make reference to all provisions and endorsements referred to above and shall be signed on behalf of the insurer by an authorized representative thereof. Such certificates shall include information identifying the contract (i.e., services provided, project name, and the RFP number). The consultant agrees, upon written request by Alameda CTC, to furnish copies of such policies or endorsements required under the contract prior to the effective date of the contract.

Disclaimer: The foregoing requirements as to the types of limits of insurance coverage to be maintained by the selected consultant are not intended to and shall not in any manner limit or qualify the liabilities and obligations otherwise assumed by consultant pursuant hereto, including, but not limited to, liability assumed pursuant to indemnification.

Subconsultants and Subcontractors: The selected consultant shall require each of its subconsultants and subcontractors to provide the aforementioned coverages, unless waiver of such coverage requirements are approved in writing by Alameda CTC's Deputy Executive Director of Finance and Administration.

NOTE: If you were unable to check "Yes" for any of the required minimum insurance coverages listed above in Part A, submit Part B to a request for exception to the appropriate insurance requirement(s) and submit with the proposal. IF SUCH OBJECTIONS ARE NOT BROUGHT TO ALAMEDA CTC'S ATTENTION IN A TIMELY MANNER, COMPLIANCE WITH THE INSURANCE REQUIREMENTS WILL BE ASSUMED.

Part B – Insurance Exception Request

For any item not checked "Yes" in Part A, identify the specific insurance provision for which an exception is being sought and the requested revision(s) in the table below.

Insurance Provision	Insurance Exception Request and Justification

F. RESOURCES FORM

PRIME PROPOSER MUST SUBMIT A RESOURCES FORM

The Resources Form is available at or from the RFP Web Page identified in the cover letter of this RFP and is incorporated herein as if attached. The completed form should include the details for all team members (prime proposer and subconsultants/subcontractors if any). The prime proposer shall submit the following in hard copy and electronic files (i.e., XLS/XLSX **and** PDF formats):

- 1. **Key Staff Availability.** Provide sufficient detail to describe each individual's specific roles/responsibilities for this contract, a description of the benefits the person brings to the team, and their availability over the duration of this contract as to provide assurance to their ability to perform the requested services in a responsive and timely manner. List the name and company for all clients utilized as a reference under the References Form for each key staff.
- 2. Firm Participation Summary. For firms with multiple offices, proposals must identify all locations from which resources are anticipated to be used. Clearly include a listing of any lawsuit or litigation and the result of that action resulting from (a) any services provided by the proposer or by its subconsultants where litigation is still pending or has occurred within the last five years or (b) any type of project where claims or settlements were paid by the proposer or its insurers within the last five years. Firms providing services subject to prevailing wage requirements must include proof of registration with the DIR.
- 3. **Task Resource Summary.** A table of estimated hours by task and firm (prime proposer and all subconsultants) should be provided, including the percentage of the total contract hours that each firm will spend on the contract. Total estimated hours should be provided for each task and for each firm.
- 4. Alameda CTC Contracts Summary. Provide a summary of all contracts that members of your team (including subconsultants) have held with Alameda CTC in the past three years.
- 5. Potential Conflicts of Interest. Proposers must provide a list of any potential conflicts of interest in working for Alameda CTC. This must include, but is not limited to, a list of your firm's clients who are cities in Alameda County, the County of Alameda, and/or transit or transportation agencies that operate and/or have projects in Alameda County, and a brief description of work for these clients. Identify any other clients that would pose a potential conflict of interest as well as a brief description of work you provide to these clients. This list must include all potential conflicts of interest within the year prior to the release of this RFP as well as current and future commitments to other projects.