

**MEASURE B AND MEASURE BB
Annual Program Compliance Report
Reporting Fiscal Year 2017-2018**

AGENCY CONTACT INFORMATION

Agency Name: San Francisco Bay Area Water Emergency Transportation Authority

Date: 12/10/2018

Primary Point of Contact

Name: Lynne Yu

Title: Finance and Administration Manager

Phone: (415) 364-3193

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Agency's Certification of True and Accurate Reporting by Submission

By submitting this Compliance Report to the Alameda County Transportation Commission, the submitting agency certifies the compliance information reported is true and complete to the best of their knowledge, and the dollar figures in the agency's Audited Financial Statement matches exactly to the revenues and expenditures reported herein.

Program Compliance Report Structure

This Reporting Form is broken into the following sections for the Measure B and BB Direct Local Distribution Programs applicable to the recipient agency.

- * **Cover - Agency Contact**
- * **General Compliance Reporting for all programs**
- * **Table 1 - Summary of Revenue, Expenditures, and Changes in Fund Balance**
- * **Table 2 - Detailed Summary of Expenditures and Accomplishments**

**MEASURE B AND MEASURE BB
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TABLE 1: SUMMARY OF REVENUE, EXPENDITURES, AND CHANGES IN FUND BALANCE

DIRECTIONS: Complete the sections below based on the Measure B and BB Audited Financial Statements, for the applicable DLD programs for your agency. Values must match financial statements and total reported expenditures on Table 2.

A. 2000 MEASURE B Direct Local Distribution Programs

	Bicycle / Pedestrian	Local Streets and Roads	Mass Transit	Paratransit	Total
Beginning of Year Fund Balance	\$ -	\$ -	\$ 942,696	\$ -	\$ 942,696
Revenue	\$ -	\$ -	\$ 1,122,020	\$ -	\$ 1,122,020
Interest	\$ -	\$ -	\$ 8,048	\$ -	\$ 8,048
Expenditures <small>Expenditures Matches Table 2?</small>	\$ - <small>TRUE</small>	\$ - <small>TRUE</small>	\$ 586,075 <small>TRUE</small>	\$ - <small>TRUE</small>	\$ 586,075
End of Year Fund Balance	\$ -	\$ -	\$ 1,486,689	\$ -	\$ 1,486,689

Notes

B. 2014 MEASURE BB Direct Local Distribution Programs

	Bicycle / Pedestrian	Local Streets and Roads	Mass Transit	Paratransit	Total
Beginning of Year Fund Balance	\$ -	\$ -	\$ 104,279	\$ -	\$ 104,279
Revenue	\$ -	\$ -	\$ 729,401	\$ -	\$ 729,401
Interest	\$ -	\$ -	\$ 2,578	\$ -	\$ 2,578
Expenditures <small>Expenditures Matches Table 2?</small>	\$ - <small>TRUE</small>	\$ - <small>TRUE</small>	\$ - <small>TRUE</small>	\$ - <small>TRUE</small>	\$ -
End of Year Fund Balance	\$ -	\$ -	\$ 836,258	\$ -	\$ 836,258

Notes

**Transit Direct Local Distribution Program
Reporting Period - Fiscal Year 2017-18**

GENERAL COMPLIANCE REPORTING

1. What is the agency's average on-time performance goal/target?

95

 Percent
2. What is the agency's average on-time performance for the year?

96.3

 Percent

3. If your agency's actual average on-time performance for the year is less than the agency's on-time performance goal/target explain what actions are being taken to improve performance?

N/A

- 4a. How much of the balance identified here is encumbered into active contracts and projects?

		\$ Encumbered
MB Balance	\$ 1,486,689	\$ 336,289
MBB Balance	\$ 836,258	\$ -
Total	\$ 2,322,947	\$ 336,289

- 4b. Explain why the program has a fund balance, and how the agency plans to expend the balances down.

Indicate N/A, if not applicable.

WETA's FY2017/18 Capital program included two vessel refurbishment projects that are partially funded with MB and/or MBB funds. The completion of the first project, Mid-Life Refurbishment of the M/V Peralta, has been delayed until Fall 2018. Therefore, about \$340,000 in MB funds are encumbered to complete the project. The start of the second project, Quarter-Life Refurbishment of the M/V Scorpio, is delayed until late 2018. Project will start as soon as the M/V Peralta is back in service.

- 4c. Specify any large planned uses of fund balances within this program and their status i.e. planned or underway.

Project Title	Brief Project Description	DLD Amount	Project Status
Quarter-Life Refurbishment of the M/V Scorpio	This project provides for a general refurbishment of the M/V Scorpio. This project is necessary to achieve full useful life of the vessel.	\$ 700,000	Planned
Vessel Replacement for the M/V Bay Breeze	This project will design and construct a replacement vessel for the M/V Bay Breeze currently utilized in the Alameda services. The M/V Bay Breeze will reach the end of its economic useful life of 25 years in 2019.	\$ 3,800,000	Planned
		\$ -	
		\$ -	
		\$ -	

5. Confirm all expenditures were governing body approved (Yes/No).

Yes

6. Confirm the completion of the publicity requirements in the table below (Yes/No).

	Measure B	Measure BB	Copy of Article, website, signage Attached?	If applicable, briefly explain why the publicity requirement wasn't completed.
Article	Yes	Yes	Yes	
Website	Yes	Yes	Yes	https://sanfranciscobayferry.com/measure-b-funding
Signage	No	No	No	The M/V Peralta has been in the shipyard since December 2017. Sign will be posted on the vessel when it is returned to service in November 2018.

Transit Direct Local Distribution Program
Reporting Period - Fiscal Year 2017-18

TABLE 2: DETAILED SUMMARY OF EXPENDITURES AND ACCOMPLISHMENTS

Provide a detailed summary of Measure B and BB Expenditures for the reporting fiscal year.
- Expenditure total must correspond to your Audited Financial Statements and Table 1 values.

No.	Project Category <i>(Drop-down Menu)</i>	Project Phase <i>(Drop-down Menu)</i>	Project Type <i>(Drop-down Menu)</i>	Project Name	Project Description/Benefits	Quantity Completed in FY 17-18	Units for Quantity <i>(Drop-down Menu)</i>	Additional description on units or expanded detail on expenditures, performance, accomplishments	Measure B DLD Expenditures	Measure BB DLD Expenditures	Other Fund Expenditures	Total Cost
1	Ferry	Construction	Equipment/New Vehicles	Mid-Life Refurbishment of the M/V Peralta	To ensure safe and reliable operation and to achieve the full service life, a mid-life refurbishment of the M/V Peralta is required. This project consists of the refurbishment of the passenger cabin, exterior painting, and replacement of steering system, control systems and navigation electronics. This project will also address the excessive vibrations affecting plating near the rudder stock and skeg areas of the hull.	In Progress	Other	Vessel refurbishment	\$ 586,075	\$ -	\$ 2,343,831	\$ 2,929,906
2									\$ -	\$ -	\$ -	\$ -
3									\$ -	\$ -	\$ -	\$ -
4									\$ -	\$ -	\$ -	\$ -
5									\$ -	\$ -	\$ -	\$ -
6									\$ -	\$ -	\$ -	\$ -
7									\$ -	\$ -	\$ -	\$ -
8									\$ -	\$ -	\$ -	\$ -
9									\$ -	\$ -	\$ -	\$ -
10									\$ -	\$ -	\$ -	\$ -
11									\$ -	\$ -	\$ -	\$ -
12									\$ -	\$ -	\$ -	\$ -
13									\$ -	\$ -	\$ -	\$ -
14									\$ -	\$ -	\$ -	\$ -
15									\$ -	\$ -	\$ -	\$ -
16									\$ -	\$ -	\$ -	\$ -
TOTAL									\$ 586,075	\$ -	\$ 2,343,831	\$ 2,929,906
Match to Table 1?									TRUE	TRUE		



DLD Recipient Self-Certification

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Confirmation of Use of Direct Local Distribution Funds

For the 2000 Measure B and 2014 Measure BB Direct Local Distribution (DLD) funds, pursuant to the California Public Utilities Code 180001 (e), funds generated by the transportation sales tax are to be used to supplement and not replace existing local revenues used for transportation purposes.

The *San Francisco Bay Area Water Emergency Transportation Authority* confirms that DLD funds are supplementing and not replacing existing local revenues used for transportation purposes.

A handwritten signature in blue ink, appearing to read "Lynne Yu", is written over a horizontal line.

Lynne Yu

Finance and Administration Manager

12/10/2018

Date