

**MEASURE B AND MEASURE BB
Annual Program Compliance Report
Reporting Fiscal Year 2017-2018**

AGENCY CONTACT INFORMATION

Agency Name: **San Joaquin Regional Rail Commission (SJRRC- ACE)**

Date: 12/20/2018

Primary Point of Contact

| | |
|---------------|--------------------|
| Name: | Angela Miller |
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Agency's Certification of True and Accurate Reporting by Submission

By submitting this Compliance Report to the Alameda County Transportation Commission, the submitting agency certifies the compliance information reported is true and complete to the best of their knowledge, and the dollar figures in the agency's Audited Financial Statement matches exactly to the revenues and expenditures reported herein.

Program Compliance Report Structure

This Reporting Form is broken into the following sections for the Measure B and BB Direct Local Distribution Programs applicable to the recipient agency.

- * **Cover - Agency Contact**
- * **General Compliance Reporting for all programs**
- * **Table 1 - Summary of Revenue, Expenditures, and Changes in Fund Balance**
- * **Table 2 - Detailed Summary of Expenditures and Accomplishments**

**MEASURE B AND MEASURE BB
Annual Program Compliance Report Fiscal Year 2017-2018**

TABLE 1: SUMMARY OF REVENUE, EXPENDITURES, AND CHANGES IN FUND BALANCE

DIRECTIONS: Complete the sections below based on the Measure B and BB Audited Financial Statements, for the applicable DLD programs for your agency. Values must match financial statements and total reported expenditures on Table 2.

A. 2000 MEASURE B Direct Local Distribution Programs

| | Bicycle / Pedestrian | Local Streets and Roads | Mass Transit | Paratransit | Total |
|---|------------------------------|------------------------------|-------------------------------------|------------------------------|--------------|
| Beginning of Year Fund Balance | \$ - | \$ - | \$ 1,159,643 | \$ - | \$ 1,159,643 |
| Revenue | \$ - | \$ - | \$ 3,049,594 | \$ - | \$ 3,049,594 |
| Interest | \$ - | \$ - | \$ 12,276 | \$ - | \$ 12,276 |
| Expenditures <small>Expenditures Matches Table 2?</small> | \$ - <small>#REF!</small> | \$ - <small>#REF!</small> | \$ 3,843,656 <small>TRUE</small> | \$ - <small>#REF!</small> | \$ 3,843,656 |
| End of Year Fund Balance | \$ - | \$ - | \$ 377,857 | \$ - | \$ 377,857 |

Notes

Measure B will be expended to reach the baseline service plan limits after the use of Measure BB revenue. We will utilize these amounts for

B. 2014 MEASURE BB Direct Local Distribution Programs

| | Bicycle / Pedestrian | Local Streets and Roads | Mass Transit | Paratransit | Total |
|---|------------------------------|------------------------------|-------------------------------------|------------------------------|--------------|
| Beginning of Year Fund Balance | \$ - | \$ - | \$ 2,829 | \$ - | \$ 2,829 |
| Revenue | \$ - | \$ - | \$ 1,458,802 | \$ - | \$ 1,458,802 |
| Interest | \$ - | \$ - | \$ 308 | \$ - | \$ 308 |
| Expenditures <small>Expenditures Matches Table 2?</small> | \$ - <small>#REF!</small> | \$ - <small>#REF!</small> | \$ 1,456,939 <small>TRUE</small> | \$ - <small>#REF!</small> | \$ 1,456,939 |
| End of Year Fund Balance | \$ - | \$ - | \$ 5,000 | \$ - | \$ 5,000 |

Notes

We will continue to expend Measure BB funding to minimal levels so that fund balance rollover into the next year for capital projects will or

**Transit Direct Local Distribution Program
Reporting Period - Fiscal Year 2017-18**

GENERAL COMPLIANCE REPORTING

- | | | |
|---|--------|---------|
| 1. What is the agency's average on-time performance goal/target? | 95% | Percent |
| 2. What is the agency's average on-time performance for the year? | 88.71% | Percent |

3. If your agency's actual average on-time performance for the year is less than the agency's on-time performance goal/target explain what actions are being taken to improve performance?

With the increase in ridership ACE has added cars to the trainsets to accommodate the added passenger, however, the longer trains are not able to open all the passenger car doors as 3 cars are they are not on the platform. This is causing delays as passengers have to move to car with open door to exit the train. ACE is currently in the design phase in extending the platform lengths Alameda County and San Joaquin Counties to accommodate trainsets up to 10 cars. The added platform lengths will allow passenger train door to open at Stations and reduce station dwell time and improve On-Time Performance. Construction of the Platform extensions is estimated to begin in Fall 2019 on all Stations in Alameda County.

- 4a. How much of the balance identified here is encumbered into active contracts and projects?

| | | \$ Encumbered |
|--------------|-------------------|-------------------|
| MB Balance | \$ 377,857 | \$ 377,857 |
| MBB Balance | \$ 5,000 | \$ 5,000 |
| Total | \$ 382,857 | \$ 382,857 |

- 4b. Explain why the program has a fund balance, and how the agency plans to expend the balances down.
Indicate N/A, if not applicable.

The revenues came in higher than the the amounts stated in the Baseline Service Plan (BSP) plan which is used as basis for our budget. The fund balance will be used for capital maintenance for 18/19.

- 4c. Specify any large planned uses of fund balances within this program and their status i.e. planned or underway.

| Project Title | Brief Project Description | DLD Amount | Project Status |
|---------------------|---|-----------------|----------------|
| Sunol Quiet Zone | Modification of existing rail crossings to comply with the FRA prerequisite requirements for establishing a quiet zone. | \$ 800,000.00 | Underway |
| Capital Maintenance | Under the Capital Trackage Rights Agreement the SJRRC has an annual obligation of \$4,000,000 for Capital Maintenance | \$ 2,000,000.00 | Underway |
| | | \$ - | |
| | | \$ - | |
| | | \$ - | |

5. Confirm all expenditures were governing body approved (Yes/No). Yes

6. Confirm the completion of the publicity requirements in the table below (Yes/No).

| | Measure B | Measure BB | Copy of Article, website, signage Attached? | If applicable, briefly explain why the publicity requirement wasn't completed. |
|---------|-----------|------------|---|--|
| Article | Yes | Yes | Yes | |
| Website | Yes | Yes | Yes | |
| Signage | Yes | Yes | Yes | |

Transit Direct Local Distribution Program
Reporting Period - Fiscal Year 2017-18

TABLE 2: DETAILED SUMMARY OF EXPENDITURES AND ACCOMPLISHMENTS

Provide a detailed summary of Measure B and BB Expenditures for the reporting fiscal year.
- Expenditure total must correspond to your Audited Financial Statements and Table 1 values.

| No. | Project Category <i>(Drop-down Menu)</i> | Project Phase <i>(Drop-down Menu)</i> | Project Type <i>(Drop-down Menu)</i> | Project Name | Project Description/Benefits | Quantity Completed in FY 17-18 | Units for Quantity <i>(Drop-down Menu)</i> | Additional description on units or expanded detail on expenditures, performance, accomplishments | Measure B DLD Expenditures | Measure BB DLD Expenditures | Other Fund Expenditures | Total Cost |
|--------------------------|---|--|---|---|---|-----------------------------------|---|---|-------------------------------|--------------------------------|----------------------------|--------------|
| 1 | Rail | Operations | Operations | ACE Service Operations | Provide rail service for San Joaquin, Alameda and Santa Clara Counties | 1,398,954 Passengers | Number of People/Passengers | The reported number of passengers is from total boardings on the entire route. Boardings for the Alameda stations are as follows: Vasco - 65,505 Livermore -75,708 Pleasanton - 212,934 Fremont - 124,936 Total - 479,083 | \$ 2,628,878 | \$ 1,435,939 | \$ - | \$ 4,064,817 |
| 2 | Rail | Maintenance | Operations | Capitalized Track Maintenance | Under Capital trackage agreement SJRRC has a capital maintenance obligation of \$4,000,000. | 1 | Other (describe in Column J) | Clear the tracks of brush and vegetation | \$ 1,104,128 | \$ - | \$ - | \$ 1,104,128 |
| 3 | Rail | Other | Other | Administrative Fee | Administrative services provided by (ACTC) | 1 | Other (describe in Column J) | As invoiced by ACTC. Invoice is for attendance of the ACE Service board meetings and all associated service fees. | \$ 15,000 | \$ 15,000 | \$ - | \$ 30,000 |
| 4 | Rail | Other | Other | Audit Fees | Audit Services Provided by Kemper | 1 | Other (describe in Column J) | Audit services | \$ 7,300 | \$ 6,000 | \$ - | \$ 13,300 |
| 5 | Rail | Maintenance | Operations | Maintenance of Alameda County stations. | Grounds keeping and clean up of Alameda Co. Stations | 3 | Other (describe in Column J) | 3 Stations Vasco, Livermore and Pleasanton | \$ 30,000 | \$ - | \$ - | \$ 30,000 |
| 6 | Rail | Construction | Safety Improvements | Sunol Quiet Zone | Modification of existing rail crossings to comply with the FRA prerequisite requirements for establishing a quiet zone. | 1 | Other (describe in Column J) | Project is currently under construction | \$ 58,350 | \$ - | \$ - | \$ 58,350 |
| TOTAL | | | | | | | | | \$ 3,843,656 | \$ 1,456,939 | \$ - | \$ 5,300,595 |
| Match to Table 1? | | | | | | | | | TRUE | TRUE | | |



DLD Recipient Self-Certification

1111 Broadway, Suite 800, Oakland, CA 94607

• 510.208.7400

• www.AlamedaCTC.org

Confirmation of Use of Direct Local Distribution Funds

For the 2000 Measure B and 2014 Measure BB Direct Local Distribution (DLD) funds, pursuant to the California Public Utilities Code 180001 (e), funds generated by the transportation sales tax are to be used to supplement and not replace existing local revenues used for transportation purposes.

The San Joaquin Regional Rail Commission confirms that DLD funds are supplementing and not replacing existing local revenues used for transportation purposes.



Nila Cordova

Director of Fiscal and Administrative
Services


Date