



Alameda County Transportation Commission
meeting as a committee of the whole as the

PROGRAMS AND PROJECTS COMMITTEE

MEETING NOTICE

Monday, February 14, 2011, 12:15 P.M.

**or immediately following the Planning, Policy, and Legislation
Committee (PPLC) Meeting, whichever occurs later
1333 Broadway, Suite 300, Oakland, California 94612**

Chair: Mayor Mark Green

Vice Chair: Supervisor Scott Haggerty

Members: Supervisor Nate Miley
Councilmember Ruth Atkin
Councilmember Larry Reid
Vice Mayor Suzanne Chan

Mayor Tim Sbranti

Mayor Farid Javandel

Vice Mayor Luis Freitas

Staff Liaisons: Matt Todd

Ray Akkawi

Executive Director: Arthur L. Dao

Clerk of the Commission: Gladys V. Parmelee

AGENDA

*Copies of Individual Agenda Items are Available on the
Alameda CTC Website – www.AlamedaCTC.org*

1 PUBLIC COMMENT

Members of the public may address the Committee during “Public Comment” on any item not on the agenda. Public comment on an agenda item will be heard when that item is before the Committee. Anyone wishing to comment should make their desire known to the Chair.

2 CONSENT CALENDAR

A

2A. Minutes of January 10, 2011 – **page 1**

3 PROGRAMS

A/D

3A. Update on Compliance Audits and Reports- **page 7**

3B. State Transportation Improvement Program (STIP) Extension Requests:

3B.1 Approve Allocation Deadline Extension for the City of Dublin Alamo Canal Regional Trail, I-580 Undercrossing Project – **page 9**

Commission Chair

Mark Green, Mayor - Union City

Commission Vice Chair

Scott Haggerty, Supervisor - District 1

AC Transit

Greg Harper, Director

Alameda County

Supervisors

Nadia Lockyer - District 2

Wilma Chan - District 3

Nate Miley - District 4

Keith Carson - District 5

BART

Thomas Blalock, Director

City of Alameda

Beverly Johnson, Councilmember

City of Albany

Farid Javandel, Mayor

City of Berkeley

Laurie Capitelli, Councilmember

City of Dublin

Tim Sbranti, Mayor

City of Emeryville

Ruth Atkin, Councilmember

City of Fremont

Suzanne Chan, Vice Mayor

City of Hayward

Olden Henson, Councilmember

City of Livermore

Marshall Kamena, Mayor

City of Newark

Luis Freitas, Vice Mayor

City of Oakland

Councilmembers

Larry Reid

Rebecca Kaplan

City of Piedmont

John Chiang, Vice Mayor

City of Pleasanton

Jennifer Hosterman, Mayor

City of San Leandro

Joyce R. Starosciak, Councilmember

Executive Director

Arthur L. Dao

- 3B.2 Approve Allocation Deadline Extension for the City of Oakland Coliseum BART Pedestrian Improvements Project – **page 17**
- 3B.3 Approve Approve Allocation Deadline Extension for the City of Berkeley Bay Trail Extension Segment One Project – **page 23**
- 3B.4 Approve Allocation Deadline Extension for the Alameda CTC/ACCMA I-580 San Leandro Landscape Project – **page 29**
- 3C. Monitoring Reports:
 - 3C.1 Approve State Transportation Improvement Program (STIP) At Risk Report – **page 35**
 - 3C.2 Approve Federal Surface Transportation/Congestion Mitigation and Air Quality (STP/CMAQ) Program At Risk Report – **page 41**
 - 3C.3 Approve CMA Exchange Program Quarterly Status Report – **page 61**
 - 3C.4 Approve Transportation Fund for Clean Air (TFCA) Program At Risk Report - **page 63**
- 3D. Approval of Measure B Grant Amendments
 - 3D.1 Authorization to Reinstate and Extend Paratransit Gap Grant for AC Transit New Freedom Grant Match Project – **page 69**
- 3E. Update on Countywide Safe Routes to Schools Program – **page 75**

4	PROJECTS	A/D
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- 4A. Approval to Extend Construction Management Contract for I-680 HOV/ Express Lane Project. – **page 87**
- 4B. Approval to Extend Construction Management Contract for I-580 Eastbound HOV Lane Project. – **page 89**
- 4C. Update on Construction Management Services for the I-80 Integrated Corridor Mobility Project/Project #3 Traffic Operations System Project and Project #6 San Pablo Corridor Arterial and Transit Improvement Project. – **page 91**

5	STAFF AND COMMITTEE MEMBER REPORTS	I
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6	ADJOURNMENT/NEXT MEETING: MARCH 14, 2011
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Key: A – Action Item; I – Information Item; D - Discussion Item
(#) All items on the agenda are subject to action and/or change by the Committee

*PLEASE DO NOT WEAR SCENTED PRODUCTS SO INDIVIDUALS WITH
ENVIRONMENTAL SENSITIVITIES MAY ATTEND*

Glossary of Acronyms

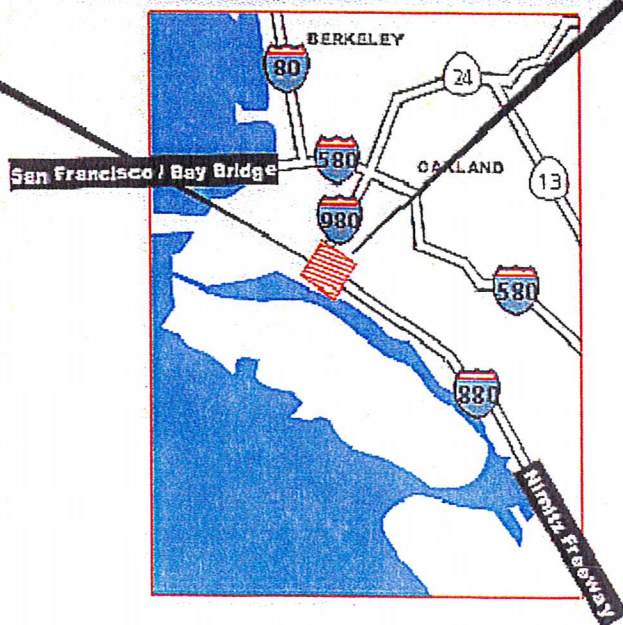
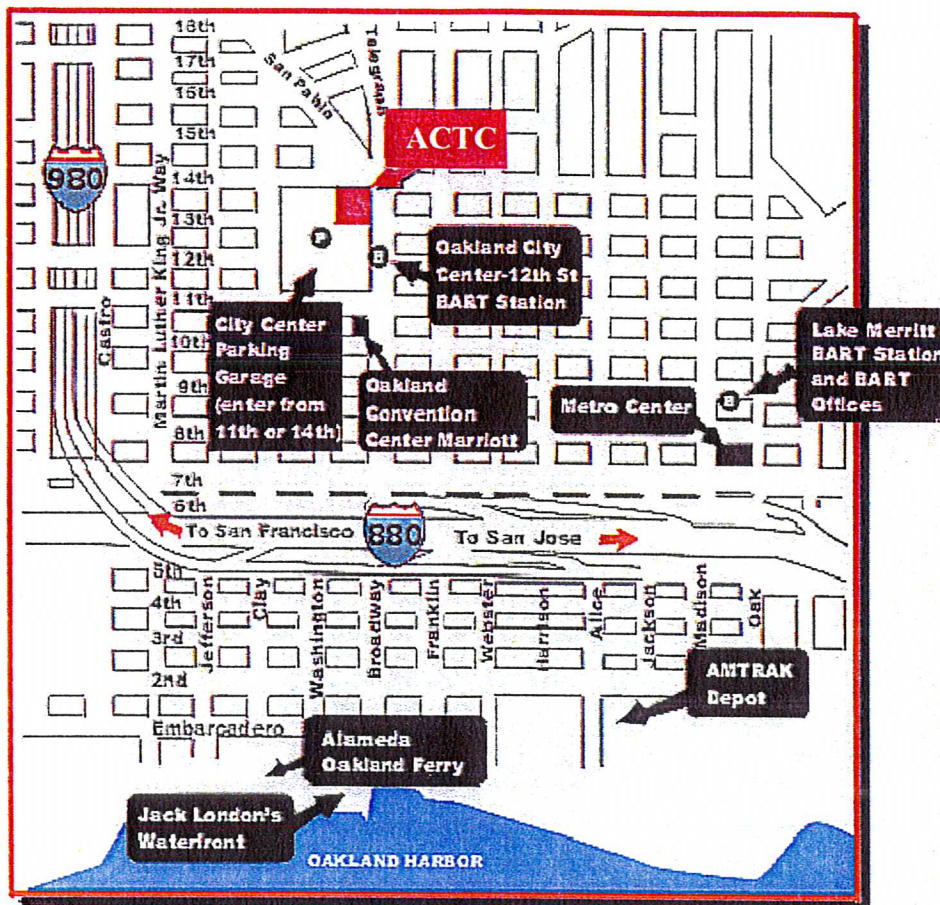
ABAG	Association of Bay Area Governments	MTS	Metropolitan Transportation System
ACCMA	Alameda County Congestion Management Agency	NEPA	National Environmental Policy Act
ACE	Altamont Commuter Express	NOP	Notice of Preparation
ACTA	Alameda County Transportation Authority (1986 Measure B authority)	PCI	Pavement Condition Index
ACTAC	Alameda County Technical Advisory Committee	PSR	Project Study Report
ACTC	Alameda County Transportation Commission	RM 2	Regional Measure 2 (Bridge toll)
ACTIA	Alameda County Transportation Improvement Authority (2000 Measure B authority)	RTIP	Regional Transportation Improvement Program
ADA	Americans with Disabilities Act	RTP	Regional Transportation Plan (MTC's Transportation 2035)
BAAQMD	Bay Area Air Quality Management District	SAFETEA-LU	Safe, Accountable, Flexible, Efficient Transportation Equity Act
BART	Bay Area Rapid Transit District	SCS	Sustainable Community Strategy
BRT	Bus Rapid Transit	SR	State Route
Caltrans	California Department of Transportation	SRS	Safe Routes to Schools
CEQA	California Environmental Quality Act	STA	State Transit Assistance
CIP	Capital Investment Program	STIP	State Transportation Improvement Program
CMAQ	Federal Congestion Mitigation and Air Quality	STP	Federal Surface Transportation Program
CMP	Congestion Management Program	TCM	Transportation Control Measures
CTC	California Transportation Commission	TCRP	Transportation Congestion Relief Program
EIR	Environmental Impact Report	TDA	Transportation Development Act
FHWA	Federal Highway Administration	TDM	Travel-Demand Management
FTA	Federal Transit Administration	TFCA	Transportation Fund for Clean Air
GHG	Greenhouse Gas	TIP	Federal Transportation Improvement Program
HOT	High occupancy toll	TLC	Transportation for Livable Communities
HOV	High occupancy vehicle	TMP	Traffic Management Plan
ITIP	State Interregional Transportation Improvement Program	TMS	Transportation Management System
LATIP	Local Area Transportation Improvement Program	TOD	Transit-Oriented Development
LAVTA	Livermore-Amador Valley Transportation Authority	TOS	Transportation Operations Systems
LOS	Level of service	TVTC	Tri Valley Transportation Committee
MTC	Metropolitan Transportation Commission	VHD	Vehicle Hours of Delay
		VMT	Vehicle miles traveled

ALAMEDA

County Transportation Commission

ACCM ■ 1333 Broadway, Suite 220 ■ Oakland, CA 94612 ■ PH: (510) 836-2560
ACTA ■ 1333 Broadway, Suite 300 ■ Oakland, CA 94612 ■ PH: (510) 893-3347

www.AlamedaCTC.org



Public Transportation Access

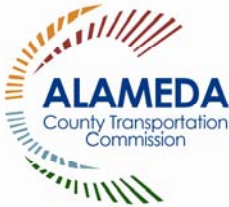
BART: City/Center 12th
Street Station

AC Transit:

Lines 1, 1R, 11, 12, 13, 14,
15, 18, 40, 51, 63, 72, 72M,
72R, 88, 314, 800, 801,
802, 805, 840

Auto Access

- Traveling South: Take 11th
Street exit from I-980 to
11th Street
- Traveling North: Take 11th
Street/Convention Center
Exit from I-980 to 11th
Street
- Parking:
City Center Garage –
Underground Parking,
enter from 11th or 14th
Street



PROGRAMS AND PROJECTS COMMITTEE
MINUTES OF JANUARY 10, 2011
OAKLAND, CALIFORNIA

The meeting was convened by Mayor Green at 12:37 p.m.

1. PUBLIC COMMENT

There was no public comment.

2. CONSENT CALENDAR

2A. Minutes of November 8, 2010

Councilmember Reid moved for the approval of the consent calendar; Mayor Javandel made a second. The motion passed 8-0.

3. Bicycle and Pedestrian Grant Funds:

3A1. Approval of Reallocation of Measure B Funds from the San Leandro Bay Trail Slough Bridge Project

Tess Lengyel requested the Committee to recommend that the Commission authorize the reallocation of \$975,000 in unused Measure B Bicycle and Pedestrian Countywide Discretionary Funds from the San Leandro Bay Trail Slough Bridge Project to the following: (1) Design costs for the San Leandro Bay Trail Slough Bridge (\$125,000); (2) East Bay Greenway for Preliminary Engineering, Environmental Clearance and Implementation Strategy to supplement and move forward the overall Measure B grant-funded project (\$485,500); (3) East Bay Greenway project, as needed to further the overall project, or if not needed for the overall project, then to further the implementation of the Greenway in the City of San Leandro (\$299,500); and (4) Matching funds for the federal grant for the Safe Routes to Schools BikeMobile project (\$65,000). She stated that the Bicycle and Pedestrian Advisory Committee (BPAC) made the same recommendation at its December 9, 2010 meeting, except they took no action on how to reallocate the \$299,500. She also said that the City of San Leandro supports these recommendations. A motion to approve staff recommendation was made by Councilmember Reid; a second was made by Supervisor Haggerty. The motion passed 8-0.

3A2. Approval of Measure B Funds for Bike to Work and Step into Life Promotions and Bicycle/Pedestrian Counts

Tess Lengyel requested the Committee to recommend that the Commission authorize the use of up to \$30,000 from Measure B Countywide Bicycle and Pedestrian Safety Funds to: (1) Contribute \$10,000 to the local and regional funding for Alameda County's 2011 Bike to Work Day promotion; (2) Contribute up to \$5,000 to leverage additional funding for promoting the new Step into Life pedestrian campaign; and (3) Conduct bicycle and pedestrian counts at a minimum of 30 locations throughout Alameda County in 2011 for \$15,000, as part of the annual countywide count program. She said that the BPAC concurred with this recommendation at its December 9, 2010

meeting. A motion to approve staff recommendation was made by Supervisor Haggerty; a second was made by Vice Mayor Freitas. The motion passed 8-0.

3B. Approval of Revised Lifeline Transportation Program

Diane Stark requested the Committee to recommend that the Commission approve: (1) an additional \$12,485 for AC Transit for the Lifeline Transportation Program; and (2) allow the Executive Director to approve up to \$50,000 of future budget adjustments for the Lifeline Program from the approved Lifeline program of projects, consistent with prior direction from the Commission. A motion to approve staff recommendation was made by Mayor Sbranti; a second was made by Supervisor Haggerty. The motion passed 8-0.

3C. Approval of Countywide Safe Routes to School (SR2S) Program Request for Proposals Release

Tess Lengyel requested the Committee to recommend that the Commission approve the release of a Countywide SR2S Program Request for Proposals (RFP), based on the following tasks: (1) Project Initiation and Management; (2) Communications and Outreach Strategy; (3) SR2S Grades K-8 Program; (4) SR2S High School Program; (5) SR2S Ridesharing/Carpool/Clean Air Program; (6) and Integration of BikeMobile Program into Alameda County SRS2S Program. Four main questions were raised during the discussion: (a) name and location of all schools with a current comprehensive SR2S program; (b) name and location of all schools that received technical assistance since the program began in July 2007; (c) Effectiveness of the SR2S program, as measured in surveys; and (d) major lessons learned while implementing SR2S program. A motion to approve staff recommendation was made by Mayor Javandel; a second was made by Supervisor Haggerty. The motion passed 8-0.

3D. Review Transportation Fund for Clean Air (TFCA) Program FY 2011/12 Call for Projects Information

Matt Todd requested the Committee to review information related to the TFCA FY 2011/12 Call for Projects. He stated that the call for projects will be released on January 13, 2011 and applications are due by February 11, 2011. The FY 2011/12 revenue estimates were released by the Air District in December 2010. The updated Fund Estimate available for projects is \$1,690,000, about \$40,000 (2.5%) less than the initial staff estimate for FY 2011/12. This item was for information only.

3E. Review of Project Study Report/PID Strategy for FY 2010/11

Vivek Bhat informed the Committee that the Alameda CTC has responded to Caltrans that it will participate in the Department's Pilot Project Initiation Document (PID) Reimbursement Program. He also said that staff has submitted the Alameda County projects that are requested to be considered under this program. This item was for information only.

4 PROJECTS

4A. Approval of Measure B Funding Allocation to the Construction Phase of the I-238 Widening Project and Authorization for Executive Director to execute the necessary Funding Agreements and/or Amendments to Funding Agreements to reflect the Allocation (ACTIA 21)

Stefan Garcia requested the Committee to recommend that the Commission approve the following actions related to the I-238 Widening Project (ACTIA 21): (1) Allocate the remaining allocation of Measure B Programmed Balance of \$2.003 million for the closeout of the construction phase on ACTIA Project 21; and (2) Authorize the Executive Director to execute all necessary funding agreements and/or amendments to funding agreements to reflect the allocation and make the funding available for payment of construction closeout costs. He added that the approval of the recommended allocation will result in a total of \$88.865 million of Measure B funds allocated to cover the Measure B share of the total project costs for all phases of the project, and it is within the total Measure B funding programmed to the project. Vice Mayor Freitas made a motion to approve staff recommendation; a second was made by Mayor Javandel. The motion passed 8-0

4B. Approval of Measure B Funding Allocation and Other Necessary Actions to Obtain Environmental Clearance for the ACTIA Measure B-funded I-880/Broadway-Jackson Interchange Improvement Project (ACTIA 10)

James O'Brien requested the Committee to recommend that the Commission approve the following actions to advance the ACTIA Measure B-funded I-880/Broadway-Jackson Interchange Improvement Project (ACTIA 10): (1) Allocate \$3.901 million of Measure B funds for the Preliminary Engineering/Environmental Clearance phase; (2) Authorize the Executive Director to execute a cooperative agreement with Caltrans for work associated with obtaining project approval and environmental clearance on a state highway system; and (3) Authorize the Executive Director to issue a Request for Proposal (RFP) for professional services to conduct all necessary engineering and environmental studies to obtain environmental clearance for the project. Councilmember Reid made a motion to approve staff recommendation; a second was made by Mayor Javandel. The motion passed 8-0.

4C. I-580 Eastbound Express Lane Project - Approval of the preparation and issuance of a Request for Proposals (RFP) to obtain a System Manager contract

Ray Akkawi requested the Committee to recommend that the Commission authorize staff to prepare and issue an RFP and proceed with the contract procurement process to obtain a System Manager contract for the I-580 Eastbound Express Lane Project. He said that staff will go back to the Commission for approval of a proposed consultant contract once it is negotiated. Supervisor Haggerty made a motion to approve staff recommendation; a second was made by Mayor Sbranti. The motion passed 8-0.

4D. I-580 Westbound Express Lane Project - Approval for Staff to Prepare and Release a Request for Proposals (RFP) to Prepare a Project Study Report

Stephen Haas requested the Committee to recommend that the Commission authorize staff to prepare and release an RFP and proceed with the contract procurement process to hire a consultant to prepare a Project Study Report (PSR) for conversion of the planned I-580 Westbound HOV lane to an Express Lane (HOT) facility. He said that staff will go back to the Commission for approval of a proposed consultant contract once it is negotiated. Supervisor Haggerty made a motion to approve staff recommendation; a second was made by Mayor Sbranti. The motion passed 8-0

4E. Approval of I-580 San Leandro Soundwall Project Contract Acceptance

Vivek Bhat requested the Committee to recommend that the Commission accept the completed construction contract with Gordon N. Ball, Inc. for the I-580 San Leandro Soundwall Project through the adoption of Resolution No. 11-002. He said that the requested action is contingent on the contractor's acceptance of the Proposed Final Estimate (PFE). Mayor Javandel made a motion to approve staff recommendation; a second was made by Vice Mayor Freitas. The motion passed 8-0.

5 STAFF AND COMMITTEE MEMBER REPORTS

Arthur Dao invited the Committee members to the following events: (a) ribbon cutting ceremony for LAVTA's Tri-Valley Rapid on January 13th in Livermore; (b) Central County Transportation Forum on January 20th at the Hayward City Hall; and (c) a reception dinner to celebrate ABAG's 50th anniversary and MTC's 40th anniversary to be held on January 21st at the Claremont Hotel in Oakland.

6 ADJOURNMENT/NEXT MEETING: FEBRUARY 14, 2011

Chair Green adjourned the meeting at 1:15 p.m. The next meeting is February 14, 2011.

Attest by:



Gladys V. Parmelee

Interim Clerk of the Commission

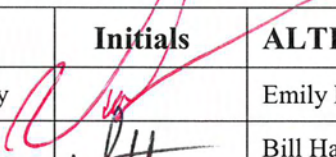
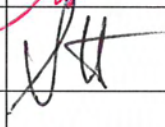
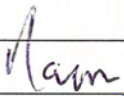

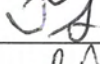
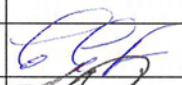
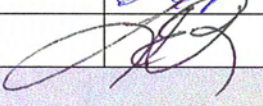
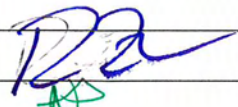


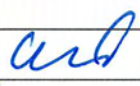




PROGRAMS AND PROJECTS COMMITTEE MEETING

ROSTER OF MEETING ATTENDANCE

January 10, 2011

12:15 p.m.

1333 Broadway, Suite 300, Oakland, CA 94612

BOARD MEMBERS		Initials	ALTERNATES	Initials
Chair :	Mark Green – City of Union City		Emily Duncan – City of Union City	
Vice Chair:	Scott Haggerty – County of Alameda, District 1		Bill Harrison – City of Fremont	
Members:				
	Nate Miley – County of Alameda, District 2			
	Farid Javandel – City of Albany		Peggy Thomsen – City of Albany	
	Tim Sbranti – City of Dublin		Kasie Hildenbrand – City of Dublin	Don Bidde is alt.
	Ruth Atkin – City of Emeryville	RA	Kurt Brinkman – City of Emeryville	
	– City of Fremont		Robert Wasserman – City of Fremont	
	Luis Freitas – City of Newark		Alberto Huezo – City of Newark	
	Larry Reid – City of Oakland		Patricia Kernighan – City of Oakland	
LEGAL COUNSEL				
Zack Wasserman – WRBD				
Neal Parish – WRBD				
Geoffrey Gibbs - GLG				
STAFF				
Arthur L. Dao – Executive Director				
Gladys Parmelee – Executive Assistant and Clerk of the Commission				
Matt Todd - Manager of Programming				
Ray Akkawi – Manager of Project Delivery				
Libby Hendrickson – Administrative Assistant III				

STAFF	Initials	STAFF	Initials
Patricia Reavey - Director of Finance		Anees Azad - Manager of Finance & Admin.	
Tess Lengyel - Programs & Public Affairs Manager		Beth Walukas - Manager of Planning	CAW
Yvonne Chan - Accounting Manager		Cyrus Minoofar - Manager of ITS	CM
Bijan Yarjani - Senior Transportation Engineer		Christina Muller - Administrative Manager	
Steve Haas - Senior Transportation Engineer	SH	Lei Lam - Senior Accountant	
John Hemiup - Senior Transportation Engineer		Arun Goel - Associate Transportation Engr.	AKG
Saravana Suthanthira - Senior Transportation Planner	SS	Linda Adams - Executive Assistant	
Diane Stark - Senior Transportation Planner	DS	Liz Brazil - Contracts Administrator	
Vivek Bhat - Senior Transportation Engineer.	VB	Jacki Taylor - Programming Liaison	
Claudia Leyva - Administrative Assistant III	CDL	Laurel Poeton - Engineering Assistant	
Vicki Winn - Administrative Assistant III			
Frank R. Furger - Executive Director, I-680 JPA		James O'Brien - ACTIA PCT	JB

NAME	JURISDICTION/ ORGANIZATION	PHONE #	E-MAIL
1. Stefan Garcia	ACTIA PCT	510 267 6127	sgarcia@actia2022.com
2. Keith F. Cooke	City of San Leandro	510-577-3439	kcooke@ci.san-leandro.ca.us
3. Rochelle Wheeler	consultant to ACTC	510-267-6121	rwheeler@actia2022.com
4. Brian Keck	TYLW	925-365-3960	BRIAN.KECK@TYLW.COM
5. TRICIA BAXTER	TYLW	925-365-3960	tbaxter@tylw.com
6. Hank Hauge	APM	925-849-3756	hhauger@acema.ca.gov
7. Dan Argel	ALC SOS#1	925-551-6995	dannargel@acema.org
8. CHARLIE CAMPBELL	Hwy. 138		
9. TRISH REAVEY	ACTIA	510-267-6130	preavey@actia2022.com
10. Kanda Raj	Kimley-Horn	925-398-4876	kanda.raj@kimley-horn.com
11. Pat Mossburg	Oak/Lang Reid	510-238-7573	pmossburg@oaklandnet.com
12. Sylvia Stadman	PAPCO/chaun	510 534-7038	ssstadmin@bma.org
13. Clara Sample	PAPCO	510-489-0363	
14. Sharon Powers	PAPCO	510-979-9660	
15. ANDREA GLERUM	JACOBS	510-457-0054	agglorum@jacobs.com
16. Nancy Whelan	NWC	415-896-6945	nancy@nw.com



Memorandum

TO: Programs and Projects Committee

DATE: February 14, 2011

FROM: Tess Lengyel, Programs and Public Affairs Manager

SUBJECT: Update on Fiscal Year 2009-2010 Measure B Funded Programs Audit and Compliance Reporting

Recommendation:

This is an information-only item to provide an overview and status update on jurisdiction/agency compliance for those that received Measure B pass-through funds for programs in fiscal year 2009–2010.

No action is requested.

Summary:

Measure B recipients submitted compliance audits and reports to Alameda CTC by year-end that document their Measure B expenditures for four types of programs: bicycle and pedestrian, local streets and roads, mass transit, and paratransit. The audits were due to Alameda CTC on December 27, 2010, and the compliance reports were due on December 31, 2010. Many of these agencies also receive grant funds from Alameda CTC.

Jurisdictions and agencies that receive Measure B funds are required to submit a hard copy and electronic version of these end-of-year reports annually, and to stay current on the following deliverables:

- Road miles served (not applicable to transit agencies)
- Population numbers (not applicable to all projects)
- Annual newsletter article
- Website coverage of the project
- Signage about Measure B funding

Compliance Status

Of the 19 agencies/jurisdictions, all are in compliance at this time. The Citizens Watchdog Committee reviewed the compliance audits and reports at its January 10, 2011 meeting, and submitted any questions to Alameda CTC staff. Staff also reviewed the compliance audits and reports, and sent

letters to these agencies/jurisdictions to confirm that they are currently in compliance, and to clarify or get more information on certain expenditures for reporting purposes.

The compliance audits and reports are available for review on the website at: http://www.actia2022.com/app_pages/view/32

The following table shows the dates each agency/jurisdiction submitted its required audit and compliance reports.

Fiscal Year 2009–2010 Compliance Submittal Dates				
Agency/Jurisdiction	Audit	Electronic Audit	Compliance Report	Electronic Report
AC Transit	12/23/2010	12/23/2010	12/30/2010	12/30/2010
BART	12/29/2010	12/23/2010	12/30/2010	12/28/2010
LAVTA	11/15/2010	11/17/2010 ¹	10/11/2010	11/17/2010
Alameda County Public Works Agency	12/23/2010	12/23/2010	12/29/2010	12/29/2010
Altamont Commuter Express	12/28/2010	12/27/2010	1/6/2011	1/4/2011
City of Alameda (with Ferries)	12/23/2010	1/7/2011	12/23/2010	1/7/2011
City of Albany	02/01/2011	02/01/2011	12/23/2010	12/21/2010
City of Berkeley	1/4/2011	1/4/2011	12/28/2010	12/27/2010
City of Dublin	12/23/2010	12/21/2010	12/23/2010	12/21/2010
City of Emeryville	12/20/2010	12/15/2010	12/20/2010	12/15/2010
City of Fremont	12/29/2010	12/27/2010	12/29/2010	12/27/2010
City of Hayward	12/21/2010	12/21/2010	12/21/2010	12/21/2010
City of Livermore	1/13/2011	1/10/2011	12/22/2010	12/21/2010
City of Newark	12/23/2010	12/22/2010	12/23/2010	12/20/2010
City of Oakland	12/23/2010	12/23/2010	12/30/2010	12/30/2010
City of Piedmont	12/20/2010	12/15/2010	12/20/2010	1/7/2011
City of Pleasanton	12/28/2010	12/27/2010	12/30/2010	12/28/2010
City of San Leandro	12/23/2010	12/22/2010	12/23/2010	12/22/2010
City of Union City/Union City Transit	1/3/2011	1/4/2011	12/20/2010	12/17/2010

¹ PDF file was too large to email; staff downloaded it from the LAVTA website.

Compliance Summary Report

Alameda CTC staff is currently developing a comprehensive compliance summary report that compares Alameda CTC allocations in fiscal year 2009-2010 to the expenditures in that time frame by agencies/jurisdictions. The report gives an overview of the bike/ped, local streets and roads, mass transit, and paratransit programs that Measure B funds, and provides a detailed analysis on the phases and types of Measure B-funded projects throughout Alameda County. Staff plans to present the draft report to Alameda CTC's Citizens Watchdog Committee in March 2010 and to the Commission in April 2010.

Fiscal Impacts:

There are no fiscal impacts at this time.



Memorandum

DATE: February 1, 2011

TO: Programs and Projects Committee

FROM: Matt Todd, Manager of Programming
Alameda County Technical Advisory Committee (ACTAC)

SUBJECT: STIP Extension Request – Approve Allocation Deadline Extension for the City of Dublin Alamo Canal Regional Trail, I-580 Undercrossing Project

Recommendation

It is recommended the Commission approve the Request for Time Extension to the June 30, 2011 allocation deadline for the City of Dublin Alamo Canal Regional Trail, I-580 Undercrossing Project.

Discussion

The City of Dublin requests an 18-month time extension to the allocation deadline from June 30, 2011 to December 31, 2012 for the \$1,021,000 of STIP-TE programmed for the Construction phase.

The timely use of funds provisions enacted by SB 45 are intended to encourage local and regional agencies to accurately program, monitor and deliver STIP projects in a timely manner. Per the STIP Guidelines, the CTC may grant a one-time extension to each of the allocation, expenditure, award, and completion deadlines only if it finds that an unforeseen and extraordinary circumstance beyond the control of the responsible agency has occurred that justifies the extension. The extension will not exceed the period of delay directly attributed to the extraordinary circumstance and will in no event be for more than 20 months.

The current, ongoing delay to project delivery is based primarily on the scope of the environmental studies required for NEPA approval, which in turn has delayed subsequent project delivery milestones related to right of way certification and PS&E. Originally, the City expected to have the required NEPA approval by the end of December 2010, which would have allowed adequate time to secure the right of way certification, prepare the final PS&E, and submit a request for CTC allocation in time for a CTC vote before June 2011. The scope of the environmental studies required for Caltrans approval has expanded significantly from what the City originally envisioned due to Caltrans requiring that the City consult formally with the US Fish & Wildlife Service (USFWS) related to the project impact(s) on the habitat of the California Red-Legged Frog as well as prepare other studies. The Biological Opinion appears to be the critical path item on the current project schedule.

Based on the assumption that the USFWS will issue the Biological Opinion by the end of March 2012, we estimate we can secure NEPA approval by the end of June 2012. With NEPA approval in hand on July 1, 2012, we can secure the right of way certification and finalize the PS&E by September 2012 and submit our request for allocation package for a CTC allocation vote in December 2012.

Upon Alameda CTC approval, MTC concurrence will be requested. If received, the request will be forwarded on to Local Assistance for signature and placement on the May CTC agenda.

Attachment

Attachment A - STIP Time Extension Request



CITY OF DUBLIN

100 Civic Plaza, Dublin, California 94568

Website: <http://www.ci.dublin.ca.us>

January 21, 2011

Mr. Nam Nguyen
 District Local Assistance Engineer
 Caltrans, Office of Local Assistance
 P. O. Box 23660, Oakland, CA 94623-0660

PPNO: _____
 Project #: RPSTPLE-5432(014)
 EA: 04-9258431
Alamo Canal Trail Project
City of Dublin
Alameda County
 Assembly District: 18th
 Senate District: 9th

RE: Request for Time Extension for Allocation of STIP funds

Dear Mr. Nguyen:

We request that the California Transportation Commission (CTC) approve our request for a time extension for the allocation of STIP funds for the abovementioned project. The Alamo Canal Trail project was adopted into the 2010 STIP in June 2010 and construction funds are currently programmed in Year 2011.

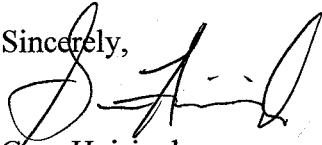
The current, ongoing delay to project delivery is based primarily on the scope of the environmental studies required for NEPA approval, which in turn has delayed subsequent project delivery milestones related to right of way certification and PS&E. Initially, we were unable to begin the NEPA process through Caltrans Local Assistance until the federal funding got approved in June 2010. We expected to have the required NEPA approval by the end of December 2010, which would have allowed us to submit our request for CTC allocation vote before the deadline of June 2011. However, the scope of environmental studies required for Caltrans approval has expanded significantly from what we envisioned initially, and the City does not have any control over the level of effort that Caltrans requires for NEPA approval. Most notably, Caltrans has required that the City consult formally with the US Fish & Wildlife Service related to the project impact(s) on the habitat of the California Red-Legged Frog. That consultation is continuing today, and predicting the timeframe for USFWS to issue the Biological Opinion is the basis of the extension being requested at this time. Other studies required by Caltrans that were not envisioned include a Historic Property Survey Report and Archeological Survey Report, but the Biological Opinion appears to be the critical path item on the current project schedule.

Based on the assumption that the USFWS will issue the Biological Opinion by the end of March 2012, we estimate we can secure NEPA approval by the end of June 2012. Following NEPA approval in June 2012, we can secure the right of way certification and finalize the PS&E by September 2012, and submit our request for CTC allocation vote in December 2012.

The eighteen month extension being requested represents the difference between the original target date for NEPA of December 2010 and the current estimated NEPA date (based on the assumption described above) of June 2012, and the correlating delay to the required allocation vote from June 2011 until December 2012.

An application for extension has been completed and attached to this letter. Please call Ferd Del Rosario at (925) 833-6637 if you have any questions regarding this request.

Sincerely,

A handwritten signature in black ink, appearing to read 'G. Huisingsh', written over the word 'Sincerely,'.

Gary Huisingsh
Public Works Director

Copy: Jacki Taylor, ACTC
Attachment

G:\CIP\Alamo Canal Trail under I-580\CMA-TE Application\Request for Extension Cover Letter



CITY OF DUBLIN

100 Civic Plaza, Dublin, California 94568

Website: <http://www.ci.dublin.ca.us>

REQUEST FOR TIME EXTENSION LOCAL STIP PROJECTS

To: Mr. Nam Nguyen
District Local Assistance Engineer
Caltrans, Office of Local Assistance
P. O. Box 23660, Oakland, CA 94623-0660

Date : January 21, 2011

PPNO: _____
PROJECT #: RPSTPLE-5432(014)
EA: 04-9258431
Alamo Canal Trail Project
City of Dublin
Alameda County
Assembly District: 18th
Senate District: 9th

Dear Mr. Nguyen:

We request that the California Transportation Commission (CTC) approve a request for a time extension for this project.

A. Project description:

In Dublin and Pleasanton: This project will construct a segment of the Alamo Canal Trail underneath Interstate 580 to close a gap between the section of the Alamo Canal Trail located in Dublin and Centennial Trail located in Pleasanton south of I-580. Also included in the project is a 542-foot reinforced concrete retaining wall along the east side of the trail, and a cut-off wall below the pavement of the trail structural section. The project also includes installation of a trail lighting system and fencing. The following is a summary of the programmed amount by phase:

- Construction - \$1,021,000

B. Project element for which extension requested: (check appropriate box)

☒ Allocation* ☐ Expenditure ☐ Award ☐ Completion
(contract acceptance)

C. Phase (component) of project: (check appropriate box or boxes)

☐ Environmental
Studies &
Permits ☐ Plans, Specs. &
Estimate ☐ Right of
Way ☒ Construction*

D. Allocation and deadline summary

Allocation Date By Phase (if applicable)	Allocated Amount By Phase (if applicable)	Original Deadline	Number of Months of Extension Requested	Extended Deadline
	\$1,021,000	June 30, 2011	18 months	Dec. 30, 2012

E. Reason for project delay

The current, ongoing delay to project delivery is based primarily on the scope of the environmental studies required for NEPA approval, which in turn has delayed subsequent project delivery milestones related to right of way certification and PS&E. In addition to the expanded scope of the environmental studies, the initiation of the NEPA process was delayed. The RIP-TE funds were the first federal funds programmed to the project. We were unable to begin the NEPA process through Caltrans Local Assistance until the federal funding was approved in the TIP. The TIP amendment for the federal funding was not approved until June 2010. We were ready to begin the NEPA process (notwithstanding the Local Assistance requirement that the federal funds be approved in the TIP) at the time we submitted the application for the 2010 STIP development, i.e. late 2009.

Originally, we expected to have the required NEPA approval by the end of December 2010, which would have allowed adequate time to secure our right of way certification, prepare the final PS&E, and submit our request for CTC allocation package in the March-April 2011 timeframe for a CTC vote before the deadline of June 2011.

The scope of the environmental studies required for Caltrans approval has expanded significantly from what we envisioned originally, and the City does not have any control over the level of effort that Caltrans requires for NEPA approval. Most notably, Caltrans has required that the City consult formally with the US Fish & Wildlife Service related to the project impact(s) on the habitat of the California Red-Legged Frog. That consultation is continuing today, and predicting the timeframe for USFWS to issue the Biological Opinion is the basis of the extension being requested at this time. Other studies required by Caltrans that were not envisioned include a Historic Property Survey Report and Archeological Survey Report, but the Biological Opinion appears to be the critical path item on the current project schedule.

The City has continued to work diligently on as many activities as possible concurrent with the environmental studies, but the NEPA approval is a prerequisite to both right of way certification and final PS&E. Based on the assumption that the USFWS will issue the Biological Opinion by the end of March 2012, we estimate we can secure NEPA approval by the end of June 2012. With NEPA approval in hand on July 1, 2012, we can secure the right of way certification and finalize the PS&E by September 2012 and submit our request for allocation package for a CTC allocation vote in December 2012.

The eighteen month extension being requested represents the difference between the original target date for NEPA of December 2010 and the current estimated NEPA date (based on the assumption described above) of June 2012, and the correlating delay to the required allocation vote from June 2011 until December 2012.

The abovementioned tasks cost approximately \$15,000 and are being paid with local funds. Construction cost is anticipated to increase 2 to 4% and the extra cost will come from the construction contingency fund. The Alamo Canal Trail project was adopted into the 2010 STIP and construction funds are currently programmed in Year 2011.

F. Status of project milestones/revised project milestones

1) Completion of Environmental Document:

CEQA – Categorical Exemption approve on November 14, 2006

NEPA – Categorical Exclusion with required Technical Studies:

-Original target date of approval: December 2010

-Revised target date of approval: June 2012 (assumed issuance of Biological Opinion by the USFWS in March 2012)

2) Right of Way Certification:

Original target date of approval by Caltrans: January 2011

Revised target date of approval by Caltrans: September 2012.

3) Construction:

Original target date of advertisement: March 2011

Revised target date of advertisement: February 2013

G. Timely Use of Funds

We request that the CTC approve this request at the May 2011 meeting, or by _____.

H. Local Agency Certification:

This Request for Time Extension has been prepared in accordance with the *Procedures for Administering Local Grant Projects in the State Transportation Improvement Program (STIP)*. I certify that the information provided in the document is accurate and correct. I understand that if the required information has not been provided this form will be returned and the request may be delayed. Please advise us as soon as the time extension has been approved. You may direct any questions to Ferd Del Rosario at (925) 833-6637.

Signature _____ Title: Public Works Director Date: January 21, 2011

Agency/Commission: _____

I. Regional Transportation Planning Agency/County Transportation Commission Concurrence:


Concurred

Signature _____ Title: _____ Date: _____

Agency/CTC _____

J. Caltrans District Local Assistance Engineer Acceptance:

I have reviewed the information submitted on the Request for Time Extension and agree it is complete and has been prepared in accordance with the *Procedures for Administering Local Grant Projects in the State Transportation Improvement Program*.

Signature  Title: Public Works Director Date: 1/21/11

Attachments:

Distribution: (1) Original -DLAE (2) Copy- Division of Local Assistance, STIP Coordinator
(3) Copy - RTPA/County Transportation Commission



Memorandum

DATE: February 1, 2011

TO: Programs and Projects Committee

FROM: Matt Todd, Manager of Programming
Alameda County Technical Advisory Committee (ACTAC)

SUBJECT: **STIP Extension Request – Approve Allocation Deadline Extension for the City of Oakland Coliseum BART Pedestrian Improvements Project**

Recommendation

It is recommended the Commission approve the Request for Time Extension to the June 30, 2011 allocation deadline for the City of Oakland Coliseum BART Pedestrian Improvements Project.

Discussion

The City of Oakland requests a 6-month time extension to the allocation deadline from June 30, 2011 to December 31, 2011 for the \$885,000 of STIP-TE programmed for the Construction phase.

The timely use of funds provisions enacted by SB 45 are intended to encourage local and regional agencies to accurately program, monitor and deliver STIP projects in a timely manner. Per the STIP Guidelines, the CTC may grant a one-time extension to each of the allocation, expenditure, award, and completion deadlines only if it finds that an unforeseen and extraordinary circumstance beyond the control of the responsible agency has occurred that justifies the extension. The extension will not exceed the period of delay directly attributed to the extraordinary circumstance and will in no event be for more than 20 months.

The reason for the extension request is to provide time to complete the NEPA and ROW certification process. The City has provided extensive responses to the NEPA review with a number of technical memos prepared by City staff. Nonetheless, it appears that the State of California may still require additional time to verify the Cultural, Historic and Archeological studies with the State Historic Preservation Office (SHPO). In addition, the City is working on the Right of Way Certification element and is currently waiting for feedback and/or an indication from UPRR of the timeframe required to secure the necessary UPRR permit. A time extension will provide the time to complete the ROW Certification for the project. Due to the NEPA and ROW Certification considerations, staff is requesting an extension of six (6) months to implement the project allocation.

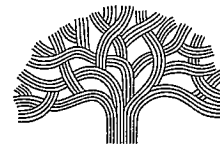
Upon Alameda CTC approval, MTC concurrence will be requested. If received, the request will be forwarded on to Local Assistance for signature and placement on the May CTC agenda.

Attachments

Attachment A - STIP Time Extension Request

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CITY OF OAKLAND



DALZIEL BUILDING • 250 FRANK H. OGAWA PLAZA, SUITE 5313 • OAKLAND, CALIFORNIA 94612-2034

Community and Economic Development Agency
Redevelopment Division

(510) 238-3015
FAX (510) 238-3691
TDD (510) 238-3254

January 24, 2011

Tiep Dang
District Local Assistance Engineer
Caltrans District 4, Office of Local Assistance
111 Grand Avenue
Oakland, CA 94623-0660

Dear Mr. Dang:

RE: PPNO:2103A
Project No.: RPSTPL- 5012(107)
EA: CE (Pending)
Coliseum BART Plaza and Pedestrian Areas
City of Oakland; Alameda County
Assembly District 16th
Senate District: 9th

We request that the California Transportation Commission (CTC) approve a request for a time extension for this project. The reason for the extension request is to provide time to complete the NEPA and ROW certification review process. The City has provided extensive responses to the NEPA review with a number of technical memos prepared by City staff. Nonetheless, it appears that the State of California may still require time to verify the Cultural, Historic and Archeological review component with the State Historic Preservation Office (SHPO). In addition, we are working on the Right of Way Certification elements. We have an executed certification, but are working to permit the improvement with both UPRR and BART, the co-applicant on the STIP grant. While we view the ROW work here as procedural, a time extension would assist the City of Oakland and provide the time to execute the ROW for the project. Due to the NEPA and ROW considerations, staff is requesting an extension of six (6) months to implement the project allocation.

E. Reason for project delay

The RIP-TE funds were the first federal funds programmed to the project. At the time of programming, we assumed the NEPA process would be straightforward given the nature of the proposed improvements and our evaluation related to CEQA. We were unable to begin the NEPA process through Caltrans Local Assistance until we had the federal funding approved in the TIP. While the project was adopted into the 2010 STIP, the TIP amendment for the federal funding was not approved until June 2010. We were ready to begin the NEPA process (notwithstanding the Local Assistance requirement that the federal funds be approved in the TIP) at the time we submitted the application for the 2010 STIP development, i.e. February/March 2010.

As detailed design progressed and the impacts on the UPRR right of way were identified, we initiated discussions with UPRR about a permit for the work affecting their right of way. We are currently in discussions with UPRR. The 100% PS&E has been discussed with UPRR, and we are waiting for feedback and/or an indication of the timeframe required to secure the permit necessary to secure our Right of Way Certification required for our Construction Allocation Vote.

The project has experienced compounding delays beyond the control of the City of Oakland. First, the delay waiting for the TIP amendment to be approved so the NEPA process could begin, followed by the unpredictable timeframe for negotiating with UPRR to secure the required permit.

At the time of programming, we expected to be in a position to submit a complete request for allocation package in the March 2011 timeframe. We understood that we would also need to be ready to submit a complete request for authorization to proceed with construction package in order to get our E-76 and to be ready to advertise as soon after the CTC vote as possible so we could meet the award deadline following the allocation.

While we have been able to make up most of the delay, our current project schedule includes two key assumptions related to preparing complete request packages for the allocation and authorization: 1) NEPA approval by 3/31/11 (based on outstanding comments/requirements from Caltrans); and 2) UPRR permit approval by the end of July 2011 (including some contingency time). The controlling assumption is the UPRR permit which will allow us to complete our Right of Way Certification for Caltrans approval (the ability for sponsors to certify the right of way for off-system projects has been rescinded by Caltrans, i.e. LAPM Exhibit 13-A deleted). If we have the permit by the end of July 2011, we can submit our Right of Way Certification for Caltrans approval during August 2011, and be ready to submit our request for allocation and authorization packages by the end of September, which should make us ready for an allocation at the December 2011 CTC meeting.

The six month extension being requested represents the difference between the original deadline of June 30, 2011 and December 31, 2011 to allow for a vote at the December 2011 CTC meeting.

I. Regional Transportation Planning Agency/County Transportation Commission Concurrence:

Concurred

Signature _____ Title: _____
Date: _____

Agency/CTC _____

J. Caltrans District Local Assistance Engineer Acceptance:

I have reviewed the information submitted on the Request for Time Extension and agree it is complete and has been prepared in accordance with the Procedures for Administering Local Grant Projects in the State Transportation Improvement Program.

Signature _____ Title: _____
Date: _____

Attachments:

Distribution: (1) Original -DLAE (2) Copy- Division of Local Assistance, STIP Coordinator
(3) Copy - RTPA/County Transportation Commission

This project was initially adopted into the 2010 STIP.

F. Status of project milestones/revised project milestones

1) Completion of Environmental Document:

CEQA - Notice of exemption signed and filed 2010.

NEPA - Categorical Exemption pending, field review completed Jan. 12, 2011.

2) Right of Way Certification:

Certification Form B information has been prepared as requested. Oakland signed 13-B ROW Certification and the permits to enter are in process.

3) Construction: Project will be advertised by the Oakland upon CTC approval in FY 2011.

G. Timely Use of Funds

We request that the CTC approve this request at the May 2011 meeting.

H. Local Agency Certification:

This Request for Time Extension has been prepared in accordance with the Procedures for Administering Local Grant Projects in the State Transportation Improvement Program (STIP). I certify that the information provided in the document is accurate and correct. I understand that if the required information has not been provided this form will be returned and the request may be delayed. Please advise us as soon as the time extension has been approved. You may direct any questions to Jay Musante, Project Manager at 238-6658.

Signature

Date:

Title:

Agency/Commission:



Memorandum

DATE: February 1, 2011

TO: Programs and Projects Committee

FROM: Matt Todd, Manager of Programming
Alameda County Technical Advisory Committee (ACTAC)

SUBJECT: STIP Extension Request – Approve Allocation Deadline Extension for the City of Berkeley Bay Trail Extension Segment One Project

Recommendation

It is recommended the Commission approve of the Request for Time Extension to the June 30, 2011 allocation deadline for the Berkeley Bay Trail Extension Segment One Project.

Discussion

The City of Berkeley requests a 12-month time extension to the allocation deadline from June 30, 2011 to June 30, 2012 for the \$1,928,000 of STIP-TE programmed for the Construction phase.

The timely use of funds provisions enacted by SB 45 are intended to encourage local and regional agencies to accurately program, monitor and deliver STIP projects in a timely manner. Per the STIP Guidelines, the CTC may grant a one-time extension to each of the allocation, expenditure, award, and completion deadlines only if it finds that an unforeseen and extraordinary circumstance beyond the control of the responsible agency has occurred that justifies the extension. The extension will not exceed the period of delay directly attributed to the extraordinary circumstance and will in no event be for more than 20 months.

As of 2009, the Bay Trail Extension Segment One Project at the Berkeley Marina was ready for construction using state and local funds. All project documents were completed, including environmental documents, construction documents, and permits. In mid-2009, state funding for the project was drastically reduced and the City looked to federal STIP-TE transportation funding. On September 20, 2010, MTC staff notified the City that TE funding had been approved.

While the City had environmentally cleared the project in 2004 in accordance with CEQA requirements, it is now in the process of obtaining NEPA clearance. The initial expectation was that the NEPA approval would be finalized by December 2010. This would have allowed sufficient time to obtain the Right of Way Certification by February 2011 and submit the allocation request package for a May 2011 CTC allocation. Due to the numerous stakeholders associated with the project, however, it now appears that the NEPA process may not be complete and approved by Caltrans until December 2011, initially anticipated in December 2010. As a result, the City of Berkeley requests an extension of twelve months to the allocation deadline from June 30, 2011 to June 30, 2012.

Upon Alameda CTC approval, MTC concurrence will be requested. If received, the request will be forwarded on to Local Assistance for signature and placement on the May CTC agenda.

Attachments

Attachment A - STIP Time Extension Request

REQUEST FOR TIME EXTENSION LOCAL STIP PROJECTS



City of Berkeley
Parks Recreation & Waterfront Department
2180 Milvia Street, Berkeley, CA 94704
Tel: (510) 981-6700 • TDD: (510) 981-6903 • Fax: (510) 981-6710
E-Mail: wrogers@ci.berkeley.ca.us
Website: <http://www.ci.berkeley.ca.us>

To:
Tiep Dang
District 4 Local Assistance Engineer
Caltrans, Office of Local Assistance
111 Grand Street
Oakland, CA 94612

Date: January 31, 2011
PPNO: 2100G
PROJECT #: _____
EA: _____
Berkeley Bay Trail Extension Segment One
Project
County: Alameda
Assembly District: 14
Senate District: 9

Dear Mr. Dang:

We request that the California Transportation Commission approve a request for a time extension for this project.

A. Project Description:

The project involves the construction of Segment One of the Bay Trail Extension, which includes 0.51 miles (2,700 linear feet) of a class 1 asphalt multi-use bicycle/pedestrian trail; and a bicycle and pedestrian bridge over Strawberry Creek

B. Project element for which extension requested: (check appropriate box)

☒ Allocation* ☐ Expenditure ☐ Award ☐ Completion
(contract acceptance)

C. Phase (component) of project: (check appropriate box or boxes)

☐ Environmental ☐ Plans, Specs. ☐ Right of ☒ Construction*
Studies & Permits & Estimate Way

D. Allocation and deadline summary

Allocation Date By Phase (if applicable)	Allocated Amount By Phase (if applicable)	Original Deadline	Number of Months of Extension Requested	Extended Deadline
		June 30, 2011	12	June 30, 2012

E. Reason for project delay

As of 2009, the Bay Trail Extension Segment One Project at the Berkeley Marina was ready for construction using state and local funds. All project documents were completed, including environmental documents, construction documents, and permits. During the planning phase, a comprehensive CEQA process was conducted and resulted in the adoption of the environmental document titled *Initial Study / Mitigated Negative Declaration for the Bay Trail at the Berkeley Marina* in 2004. During the design phase, all required authorizations for the project were obtained from the following stakeholder agencies: East Bay Regional Parks District; California State Parks; the Regional Water Quality Control Board; The San Francisco Bay Conservation and Development Commission (BCDC); the U.S. Coast Guard; and a U.S. Army Corps of Engineers (ACOE) nationwide permit that included National Wildlife Service concurrence.

In mid-2009, state funding for the project was drastically reduced and the City looked to federal STIP-TE transportation funding. On September 20, 2010, MTC staff notified the City that TE funding had been approved.

While the City had environmentally cleared the project in accordance with CEQA requirements and had coordinated with federal stakeholder agencies (U.S. Army Corps of Engineers, U.S. Coast Guard, and the National Marine Fisheries Service), it is now in the process of obtaining NEPA clearance.

City staff has been working closely with Caltrans Local Assistance, and the initial expectation was that the NEPA approval would be finalized by December 2010. This would have allowed sufficient time to obtain the Right of Way Certification by February 2011 and submit the allocation request package for a May 2011 CTC allocation. Due to the numerous stakeholders associated with the project, however, it now appears that the NEPA process may not be complete and approved by Caltrans until December 2011.

As a result, the City of Berkeley requests an extension of twelve months, to June 30, 2012.

F. Status of project milestones/revised project milestones**1) Completion of Environmental Document:**

CEQA – An Initial Study / Mitigated Negative Declaration was adopted on January 27, 2004.
NEPA – NEPA Clearance is expected by December 2011.

2) Right of Way Certification:

Scheduled to be completed by February 2012.

3) Construction:

The original advertisement date was scheduled for March 1, 2011. The new advertisement date is scheduled for July 2012. Construction will be completed by March 2013.

G. Timely Use of Funds:

We request that the CTC approve this request at the May 19/20, 2011 meeting.

H. Local Agency Certification:

This Request for Time Extension has been prepared in accordance with the *Procedures for Administering Local Grant Projects in the State Transportation Improvement Program (STIP)*. I certify that the information provided in the document is accurate and correct. I understand that if the required information has not been provided this form will be returned and the request may be delayed. Please advise us as soon as the time extension has been approved. You may direct any questions to:

Deborah Chernin at (510) 981-6715
(name) (phone number)

Signature _____ Title: Principal Planner Date: _____

Agency/Commission: City of Berkeley – Parks Recreation & Waterfront Department

I. Regional Transportation Planning Agency/County Transportation Commission Concurrence:

Concurred:

Signature _____ Title: _____ Date: _____

Agency/CTC: _____

J. Caltrans District Local Assistance Engineer Acceptance:

I have reviewed the information submitted on the Request for Time Extension and agree it is complete and has been prepared in accordance with the *Procedures for Administering Local Grant Projects in the State Transportation Improvement Program*.

Signature _____ Title: _____ Date: _____

Attachments:

Distribution: (1) Original – DLAE
(2) Copy – Division of Local Assistance, STIP Coordinator

(3) Copy – RTPA/County Transportation Commission



Memorandum

DATE: February 1, 2011

TO: Programs and Projects Committee

FROM: Matt Todd, Manager of Programming
Alameda County Technical Advisory Committee (ACTAC)

RE: **STIP Extension Request – Approve Allocation Deadline Extension for the Alameda CTC/ACCMA I-580 San Leandro Landscape Project**

Recommendation

It is recommended the Commission approve the Request for Time Extension to the June 30, 2011 allocation deadline for the Alameda County Congestion Management Agency I-580 San Leandro Landscape Project.

Discussion

The Alameda County Congestion Management Agency (ACCMA) requests a 5-month time extension to the allocation deadline from June 30, 2011 to November 30, 2011 for the \$350,000 of STIP-TE programmed for the Construction phase.

The timely use of funds provisions enacted by SB 45 are intended to encourage local and regional agencies to accurately program, monitor and deliver STIP projects in a timely manner. Per the STIP Guidelines, the CTC may grant a one-time extension to each of the allocation, expenditure, award, and completion deadlines only if it finds that an unforeseen and extraordinary circumstance beyond the control of the responsible agency has occurred that justifies the extension. The extension will not exceed the period of delay directly attributed to the extraordinary circumstance and will in no event be for more than 20 months.

The I-580 San Leandro Landscape is currently in the design (PS&E) stage. The landscape project is a follow on contract to the recently completed I-580 San Leandro Soundwall Project. The design of the landscape project is dependent on the final configuration of the project area following the soundwall construction. At the time of the STIP-TE programming, the estimated contract completion date for the soundwall project was June 2010. The actual completion date for the soundwall construction (contract currently being closed out) was November 2010 due to extra working days allowed per contract change orders and more than anticipated bad weather days. The delay to the completion of the soundwall construction translates directly to the delay to completing the PS&E for the landscape project, i.e. five months. The final PS&E is required for the Request for Allocation Package, and therefore an extension of five months is hereby requested.

Upon Alameda CTC approval, MTC concurrence will be requested. If received, the request will be forwarded on to Local Assistance for signature and placement on the May CTC agenda.

Attachment

Attachment A - STIP Time Extension Request

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ALAMEDA COUNTY
CONGESTION MANAGEMENT AGENCY

1333 BROADWAY, SUITE 220 • OAKLAND, CA 94612 • PHONE: (510) 836-2560 • FAX: (510) 836-2185
E-MAIL: mail@accma.ca.gov • WEB SITE: accma.ca.gov

**REQUEST FOR TIME EXTENSION
LOCAL STIP PROJECTS**

To: Val Chauhan
District 4 Local Assistance Engineer
Caltrans, Office of Local Assistance
111 Grand Avenue
Oakland, CA 94623-0660

Date : 1/24/11

PPNO: 139F
PROJECT #: _____
EA: _____
I-580 Landscape Project
City of San Leandro
Alameda
Assembly District: 18
Senate District: 09, 10

Dear Mr. Chauhan:

We request that the California Transportation Commission (CTC) approve a request for a time extension for this project.

A. Project description:

Landscaping and Irrigation work along I-580 in the City of San Leandro from PM 33.5 to PM 34.6 between Estudillo Avenue and 141st Street

CONSTRUCTION : \$350,000.00

B. Project element for which extension requested: (check appropriate box)

☒ Allocation* ☐ Expenditure ☐ Award ☐ Completion
(contract acceptance)

C. Phase (component) of project: (check appropriate box or boxes)

☐ Environmental Studies & Permits ☐ Plans, Specs. & Estimate ☐ Right of Way ☒ Construction*

D. Allocation and deadline summary

Allocation Date By Phase (if applicable)	Allocated Amount By Phase (if applicable)	Original Deadline	Number of Months of Extension Requested	Extended Deadline
		June 30,2011	5 Months	November 30, 2011

E. Reason for project delay

The I-580 San Leandro Landscape is currently in the design (PS&E) stage. The landscape project is a follow on contract to the recently completed I-580 San Leandro Soundwall Project. The design of the landscape project is dependent on the final configuration of the project area following the soundwall construction. At the time of the STIP-TE programming, the estimated contract completion date for the soundwall project was June 2010. The actual completion date for the soundwall construction (contract currently being closed out) was November 2010 due to extra working days allowed per contract change orders and more than anticipated bad weather days. The delay to the completion of the soundwall construction translates directly to the delay to completing the PS&E for the landscape project, i.e. five months. The final PS&E is required for the Request for Allocation Package, and therefore an extension of five months is hereby requested.

STIP History:

This is the first extension request for this project. The I-580 San Leandro Landscape Project was adopted into the 2010 STIP and construction funds are currently programmed in the Year 2011. No additional costs are anticipated due to the delay.

F. Status of project milestones/revised project milestones

1) Completion of Environmental Document:

CEQA – Categorical Exemption dated 6/12/01 revalidated on 1/28/09.

NEPA – Categorical Exemption dated 6/12/01 revalidated on 1/28/09.

2) Right of Way Certification:

No change to the expected right of way certification date. We anticipate a “No Right of Way” certification by April 1, 2011.

3) Construction:

January 2012

G. Timely Use of Funds

We request that the CTC approve this request at the May 11 & 12, 2011 meeting.

H. Local Agency Certification:

This Request for Time Extension has been prepared in accordance with the *Procedures for Administering Local Grant Projects in the State Transportation Improvement Program (STIP)*. I certify that the information provided in the document is accurate and correct. I understand that if the required information has not been provided this form will be returned and the request may be delayed. Please advise us as soon as the time extension has been approved. You may direct any questions to

_____ at _____
(name) (phone number)

Signature_____ Title:_____ Date:_____

Agency/Commission: _____

I. Regional Transportation Planning Agency/County Transportation Commission Concurrence:

Concurred

Signature_____ Title:_____ Date:_____

Agency/CTC _____

J. Caltrans District Local Assistance Engineer Acceptance:

I have reviewed the information submitted on the Request for Time Extension and agree it is complete and has been prepared in accordance with the *Procedures for Administering Local Grant Projects in the State Transportation Improvement Program*.

Signature_____ Title:_____ Date:_____

Attachments:

Distribution: (1) Original -DLAE (2) Copy- Division of Local Assistance, STIP Coordinator
(3) Copy - RTPA/County Transportation Commission



ALAMEDA COUNTY
CONGESTION MANAGEMENT AGENCY

1333 BROADWAY, SUITE 220 • OAKLAND, CA 94612 • PHONE: (510) 836-2560 • FAX: (510) 836-2185
E-MAIL: mail@accma.ca.gov • WEB SITE: accma.ca.gov

Mr. Val Chauhan
District Local Assistance Engineer
Caltrans, Office of Local Assistance
P.O.Box 23660,
Oakland, CA 94623-0660

January 26, 2011

PPNO: _____
Project #: _____
EA: _____
I-580 Landscape Project
City of San Leandro
Alameda
Assembly District: 18
Senate District: 09, 10

RE: Request for Time Extension for Allocation of STIP funds

Dear Mr. Chauhan:

We request that the California Transportation Commission (CTC) approve our request for a time extension for the allocation of STIP funds for the abovementioned project. The original target date of advertisement is delayed by 5 months to November 2011. Since the revised advertisement date is past the June 30, 2011 fund allocation request deadline, we are requesting an extension for the allocation of STIP funds.

Reason for Delay:

The I-580 San Leandro Landscape is currently in the design (PS&E) stage. The landscape project is a follow on contract to the recently completed I-580 San Leandro Soundwall Project. The design of the landscape project is dependent on the final configuration of the project area following the soundwall construction. At the time of the STIP-TE programming, the estimated contract completion date for the soundwall project was June 2010. The actual completion date for the soundwall construction (contract currently being closed out) was November 2010 due to extra working days allowed per contract change orders and more than anticipated bad weather days. The delay to the completion of the soundwall construction translates directly to the delay to completing the PS&E for the landscape project, i.e. five months. The final PS&E is required for the Request for Allocation Package, and therefore an extension of five months is hereby requested.

An application for extension has been completed and attached to this letter. Please call Vivek Bhat at (510) 350-2323 if you have any questions regarding this request.

Sincerely,

Matt Todd
Manager of Programming

cc: Vivek Bhat, Senior Transportation Engineer, Alameda CTC
Jacki Taylor, Project Monitoring and Programming Liaison, Alameda CTC



Memorandum

DATE: February 1, 2011

TO: Programs and Projects Committee

FROM: Matt Todd, Manager of Programming
Alameda County Technical Advisory Committee (ACTAC)

SUBJECT: Approve State Transportation Improvement Program (STIP) At Risk Report

Recommendation

It is recommended the Commission approve the attached STIP At Risk Report, dated January 31, 2011.

Summary

The Report includes a total of 35 STIP projects being monitored for compliance with the STIP "Timely Use of Funds" provisions. Red zone projects are considered at a relatively high risk of non-compliance with the provisions. Yellow zone projects are considered at moderate risk, and Green zone at low risk.

Information

The report is based on the information made available to the CMA's project monitoring team. This information stems from the project sponsors as well as other funding agencies such as Caltrans, MTC and the CTC.

The report segregates projects into Red, Yellow, and Green zones. The criteria for determining the project zones are listed near the end of the report. The durations included in the criteria are intended to provide adequate time for project sponsors to perform the required activities to meet the deadline(s). The risk zone associated with each risk factor is indicated in the tables following the report. Projects with multiple risk factors are listed in the zone of higher risk.

The CMA requests copies of certain documents related to the required activities to verify that the deadlines have been met. Typically, the documentation requested are copies of documents submitted by the sponsor to other agencies involved with transportation funding such as Caltrans, MTC, and the CTC. The one exception is the documentation requested for the "Complete Expenditures" deadline which does not have a corresponding requirement from the other agencies. Sponsors must provide documentation supported by their accounting department as proof that the Complete Expenditures deadline has been met.

Attachment

Attachment A - STIP At Risk Report

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STIP At Risk Report
2010 STIP-Locally Sponsored Alameda County Projects

Status Date: January 31, 2011

Red Zone Projects

Index	PP No.	Sponsor	Project Title							
	Source	Prog'd Amount (\$x 1,000)	Phase	FY	Req'd Activity	Date Req'd By	Zone	Notes	Prev Zone	
1	2009A	AC Transit	Maintenance Facilities Upgrade							
	RIP	\$3,705	Con	06/07	Complete Expend	Note 1	R	\$3,705K Alloc'd 9/7/06 12-Mo Ext App'd Jan 10	R	
2	0139F	ACCMA	Rt 580, Landscaping, San Leandro Estudillo Ave - 141st							
	RIP-TE	\$350	Con	10/11	Allocate Funds	6/30/11	R	Added into 2010 STIP Extension Req pending	Y	
3	2179	ACCMA	Planning, Programming and Monitoring ¹							
	RIP	\$1,409	Con	08/09	Complete Expend	6/30/11	R	\$1,409 Alloc'd 7/24/08	Y	
	RIP	\$1,209	Con	09/10	Complete Expend	6/30/12	G	\$1,209 Alloc'd 7/9/09		
	RIP	\$1,948	Con	10/11	Complete Expend	6/30/13	G			
	RIP	\$1,947	Con	11/12	Allocate Funds	6/30/12	G			
	RIP	\$1,993	Con	12/13	Allocate Funds	6/30/13	G			
4	0016U	ACTIA	I-580 Castro Valley I/C Improvements							
	RIP	\$7,315	Con	07/08	Accept Contract	6/26/11	R	\$7.315M Alloc'd 3/12/08	Y	
5	2009L	Alameda Co.	Vasco Road Safety Improvements							
	RIP	\$4,600	Con	07/08	Accept Contract	7/29/11	R	\$4.6M Alloc'd 2/14/08 Contract Awd 7/29/08	Y	
6	2100F	Alameda Co.	Grove Wy sidewalk improvements, Meekland-Haviland							
	RIP-TE	\$1,150	Con	10/11	Allocate Funds	6/30/11	R	Added into 2010 STIP May '11 Allocation planned	Y	
7	1014	BART	BART Transbay Tube Seismic Retrofit							
	RIP	\$38,000	Con	07/08	Complete Expend	6/3/11	R	\$38M Alloc'd 9/5/07	R	
8	2008B	BART	MacArthur BART renovate & enhance entry plaza							
	RIP-TE	\$954	Con	10/11	Allocate Funds	6/30/11	R	Added into 2010 STIP Mar '11 Allocation planned	Y	
9	2103A	Oakland	Coliseum BART pedestrian improvements							
	RIP-TE	\$885	Con	10/11	Allocate Funds	6/30/11	R	Added into 2010 STIP Extension Req pending May '11 Allocation planned	Y	
10	2100G	Berkeley	Berkeley Bay Trail Extension, Phase 1							
	RIP-TE	\$1,928	Con	10/11	Allocate Funds	6/30/11	R	Added into 2010 STIP Extension Req pending	Y	
11	2100H	Dublin	Alamo Canal Regional Trail, Rt 580 undercrossing							
	RIP-TE	\$1,021	Con	10/11	Allocate Funds	6/30/11	R	Added into 2010 STIP Extension Req pending	Y	
12	2110	Union City	Union City Intermodal Station							
	RIP	\$4,600	Con	07/08	Accept Contract	5/13/11	R	\$4.6M Alloc'd 9/5/07	Y	
	RIP	\$720	Con	05/06	Accept Contract	5/13/11	R	\$720K Alloc'd 11/9/06		
	RIP-TE	\$5,307	Con	05/06	Accept Contract	5/13/11	R	\$5,307K Alloc'd 11/9/06		
	RIP-TE	\$2,000	Con	06/07	Accept Contract	5/13/11	R	\$2,000K Alloc'd 11/9/06		
	RIP	\$9,787	Con	06/07	Accept Contract	5/13/11	R	\$9,787K Alloc'd 11/9/06 6-Mo Ext App'd 9/23/10		
	RIP	\$715	Con	11/12	Allocate Funds	6/30/12	G			

2010 STIP-Locally Sponsored Alameda County Projects

Red Zone Projects (Cont.)

Index	PP No.	Sponsor	Project Title						
	Source	Prog'd Amount (\$x 1,000)	Phase	FY	Req'd Activity	Date Req'd By	Zone	Notes	Prev Zone
13	2110A	Union City	Union City Intermodal Stn, Ped Enhanc PH 2 & 2A						
	RIP-TE	\$3,000	Con	10/11	Allocate Funds	6/30/11	R	Added into 2010 STIP May '11 Allocation planned	Y

Yellow Zone Projects

Index	PP No.	Sponsor	Project Title						
	Source	Prog'd Amount (\$x 1,000)	Phase	FY	Req'd Activity	Date Req'd By	Zone	Notes	Prev Zone
14	2009W	Berkeley	Ashby BART Station Intermodal Imps						
	RIP	\$4,614	Con	07/08	Accept Contract	12/26/11	Y	\$4,614 Alloc'd 6/26/08	G
	RIP	\$1,500	Con	09/10	Accept Contract	12/26/11	Y	AB 3090 app'd 8/28/08 \$1.5M Alloc'd 9/10/09	

Green Zone Projects

Index	PP No.	Sponsor	Project Title						
	Source	Prog'd Amount (\$x 1,000)	Phase	FY	Req'd Activity	Date Req'd By	Zone	Notes	Prev Zone
15	2009B	AC Transit	SATCOM Expansion						
	RIP	\$1,000	Con	06/07	Accept Contract	Note 2	G	\$1,000K Alloc'd 9/7/06	G
16	2009C	AC Transit	Berkeley/Oakland/San Leandro Corridor MIS						
	RIP	\$2,700	Env	06/07	Final Invoice/Report	Note 2	NA	\$2,700K Alloc'd 4/26/07	G
17	2009D	AC Transit	Bus Component Rehabilitation						
	RIP	\$4,500	Con	06/07	Accept Contract	Note 2	G	\$4.5M Alloc'd 7/20/06	G
18	2009I	AC Transit	New Bus Component Rehabilitation Project						
	RIP	\$7,738	Con	07/08	Accept Contract	Note 3	G	\$7,738 Alloc'd 5/29/08	G
19	2009Q	AC Transit	Bus Purchase						
	RIP	\$14,000	Con	06/07	Accept Contract	Note 2	G	\$14M Alloc'd 10/12/06	G
20	2009X	AC Transit	Zero Emission Bus Project						
	RIP	\$7,810	Con	07/08	Accept Contract	Note 3	G	\$7.81M Alloc'd 9/20/07	G
21	0016O	ACCMA	I-680 SB HOT Lane Accommodation						
	RIP	\$8,000	Con	07/08	Accept Contract	6/26/12	G	\$8M Alloc'd 6/26/08 42 months for Accept App'd by CTC	G
22	0044C	ACCMA	I-880 Reconstruction, 29th to 23rd						
	RIP	\$2,000	PSE	10/11	Complete Expend	6/30/13	G		G
23	0062E	ACCMA	I-80 Integrated Corridor Mobility						
	RIP	\$954	Env	07/08	Final Invoice/Report		NA	\$954 Alloc'd 9/5/07 Contra Costa RIP Expenditures Comp	G

2010 STIP-Locally Sponsored Alameda County Projects

Green Zone Projects (cont.)

Index	PP No. Source	Sponsor Prog'd Amount (\$x 1,000)	Project Title Phase	FY	Req'd Activity	Date Req'd By	Zone	Notes	Prev Zone
24	2100K	ACCMMA	I-880 Landscape/Hardscape Improvements in San Leandro						
	RIP-TE	\$400	PSE	09/10	Complete Expend	6/30/12	G	\$400K Alloc'd 6/30/10	R
25	0081D	ACTA	Rte 84 Expressway - Fremont and Union City						
	RIP	\$9,300	Con	14/15	Allocate Funds	6/30/15	G		G
26	2009N	Alameda	Tinker Avenue Extension						
	RIP	\$4,000	Con	07/08	Accept Contract	3/17/12	G	\$4M Alloc'd 9/25/08 Contract Awd 3/17/09	G
27	2009P	BART	Ala. Co. BART Station Renovation						
	RIP	\$3,000	Con	07/08	Accept Contract	10/30/12	G	\$3M Alloc'd 12/11/08 4-Mo Ext App'd June 09	G
	RIP	\$248	PSE	07/08				\$248 Alloc'd 9/5/07 Expend. Complete	
28	2009Y	BART	Ashby BART Station Concourse/Elevator Imps						
	RIP-TE	\$1,200	Con	07/08	Accept Contract	1/22/12	G	\$1,200 Alloc'd 6/26/08	G
29	2103	BART	Oakland Airport Connector						
	RIP	\$20,000	Con	10/11	Accept Contract	9/1/14	G	App'd into STIP and allocated 9/23/10 Awarded Oct 2010	R
30	2014U	GGBHTD	SF Golden Gate Bridge Barrier						
	RIP	\$12,000	Con	11/12	Allocate Funds	6/30/12	G		G
31	2009K	LAVTA	Satellite Bus Operating Facility (Phases 1 & 2)						
	RIP	\$4,000	Con	11/12	Allocate Funds	6/30/12	G		G
	RIP	\$1,500	Con	06/07	Final Invoice/Report	NA		Contract Accepted	
32	2100	MTC	Planning, Programming and Monitoring ¹						
	RIP	\$113	Con	09/10	Complete Expend	6/30/12	G	\$113 Alloc'd 7/9/09	G
	RIP	\$113	Con	10/11	Complete Expend	6/30/11	NA	10/11 Expenditures Comp.	
	RIP	\$114	Con	11/12	Allocate Funds	6/30/12	G		
	RIP	\$114	Con	12/13	Allocate Funds	6/30/13	G		
	RIP	\$118	Con	13/14	Allocate Funds	6/30/14	G		
	RIP	\$122	Con	14/15	Allocate Funds	6/30/15	G		
33	1022	Oakland	Rte. 880 Access at 42nd Ave./High St., APD						
	RIP	\$5,990	R/W	07/08	Complete Expend	2/29/12	G	\$5.990M Alloc'd 12/13/07 20-Mo Ext App'd May	G
34	2100C1	Oakland	MacArthur Transit Hub Improvement, 40th St						
	RIP-TE	\$193	Con	07/08	Final Invoice/Report		NA	Alloc App'd 7/26/07	G
35	2100E	Oakland	7th St. / West Oakland TOD						
	ARRA-TE	\$1,300	Con	09/10	Accept Contract	9/30/12	G	\$1,300 Obligated 8/5/09 Contract Awd 2009	G

Notes:

- The "Date Req'd By" for the required activity is before the status date of this report. Sponsor is working with Caltrans, MTC and Alameda CTC to expedite the required activity and/or satisfy the requirement.
- Transit projects receiving State-only funds are subject to project specific requirements in agreements with Caltrans (Federal funds are typically transferred to FTA grant).
- Project reported as complete and will be removed from report.

STIP At Risk Report
2010 STIP-Locally Sponsored Alameda County Projects

Status Date: January 31, 2011

2010 STIP -Timely Use of Funds Provisions

The At Risk Report monitors the STIP Timely Use of Funds Provisions included in the current STIP Guidelines as adopted by the CTC. The current Timely Use of Funds Provisions are as follows:

Required Activity	Description
Allocation	For all phases, by the end (June 30th) of the fiscal year programmed in the STIP.
Construction Contract Award ¹	Within six (6) months of allocation.
Accept Contract	Within 36 months of contract award.
Complete Expenditures	For Env, PSE, & R/W funds, costs must be expended by the end of the second FY following the FY in which the funds were allocated.
Final Invoice (Final Report of Expenditures)	For Env, PSE, & R/W funds, within 180 days (6 months) after the FY in which the expenditure occurred. For Con funds, within 180 Days (6 months) of contract acceptance.

Zone Criteria

The At Risk Report utilizes the deadlines associated with each required activity of the STIP Timely use of Funds Provisions to assign a zone of risk. The following zone criteria was developed for each of these risk zones (Red, Yellow, & Green). For the Final Invoice, this activity is tracked but no zone of risk is assigned.

Required Activity	Criteria Timeframes for Required Activities		
	Red Zone	Yellow Zone	Green Zone
Allocation -Env Phase	within four months	within four (4) to eight (8) months	All conditions other than Red or Yellow Zones
Allocation -PS&E Phase	within six months	within six (6) to ten (10) months	All conditions other than Red or Yellow Zones
Allocation -Right of Way Phase	within eight months	within eight (8) to twelve (12) months	All conditions other than Red or Yellow Zones
Allocation -Construction Phase	within eight months	within eight (8) to twelve (12) months	All conditions other than Red or Yellow Zones
Construction Contract Award	within six months	NA	All conditions other than Red or Yellow Zones
Accept Contract	within six months	within six (6) to twelve (12) months	All conditions other than Red or Yellow Zones
Complete Expenditures	within eight months	within eight (8) to twelve (12) months	All conditions other than Red or Yellow Zones
Final Invoice (Final Report of Expenditures)	NA	NA	NA

Other Zone Criteria

Yellow Zone	STIP /TIP Amendment pending
Red Zone	Extension Request pending

Notes:



Memorandum

DATE: February 3, 2011

TO: Programs and Projects Committee

FROM: Matt Todd, Manager of Programming
Alameda County Technical Advisory Committee (ACTAC)

SUBJECT: Approve Federal STP/CMAQ Program At Risk Report

Recommendation

It is recommended the Commission approve the attached Federal STP/CMAQ Program At Risk Report, dated January 31, 2011.

Summary

The report includes 46 locally sponsored federally funded projects segregated by “zone.” Red zone projects are considered at a relatively high risk of non-compliance with the provisions of MTC’s Resolution 3606, the Regional STP/CMAQ Project Delivery Policy. Yellow zone projects are considered at moderate risk, and Green zone at low risk.

Information

The report is based on the information made available to the Alameda CTC’s project monitoring team. This information stems from the project sponsors as well as other funding agencies such as MTC and Caltrans Local Assistance.

The report is intended to identify activities required to comply with the requirements set forth in MTC’s Resolution 3606, the Regional STP/CMAQ Project Delivery Policy–Revised (as of July 23, 2008). Per Resolution 3606, projects programmed with funding in federal FY 2010/11, the deadline to submit the request for authorization is February 1, 2011 and the obligation deadline is April 30, 2011.

The report segregates projects into Red, Yellow, and Green zones. The criteria for determining the project zones are listed in Appendix A of the report. The durations included in the criteria are intended to provide adequate time for project sponsors to perform the required activities to meet the deadline(s). A project may have multiple risk factors that indicate multiple zones. The risk zone associated with each risk factor is indicated in the tables. Projects with multiple risk factors are listed in the zone of higher risk. Appendix B provides details related to the deadlines associated with each of the Required Activities used to determine which zone of risk a project is assigned to. Appendix C provides the date of the last invoice for projects with obligated funds. The deadline for submitting the environmental package one year in advance of the obligation deadline for right of way or construction capital funding is tracked and reported, but is not affiliated with any zone of risk.

Attachment

Attachment A - Federal STP/CMAQ Program At Risk Report

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Federal At Risk Report

Status Date: January 31, 2011

Federally Funded - Locally Sponsored Alameda County Projects

Red Zone Projects

Index	TIP ID	Sponsor	Project Title	Req'd Activity	Date	Zone	Notes	Prev Zone
	Source	Prog'd Amount (\$x 1,000)	Phase	FY	Req'd By			
1	ALA110033	ACCMA	Alameda County Safe Routes to School					
	CMAQ	\$2,289	Con	10/11	Req Field Review	Note 1	G App'd into TIP 1/6/11	NA
					Submit Req for Auth	02/01/11	G Req sub'd 1/21/11	
					Obligate Funds	04/30/11	R	
	STP	\$400	Con	10/11	Submit Req for Auth	02/01/11	G Req sub'd 1/21/11	
					Obligate Funds	04/30/11	R	
2	ALA110025	Alameda	Alameda - Otis Drive Rehabilitation					
	STP	\$837	Con	10/11	Submit Req for Auth	02/01/11	R Field Review held Dec.'10	
					Obligate Funds	04/30/11	R	
3	ALA030002	Ala County	Vasco Road Safety Imps. Phase 1A					
	STP	\$2,250	Con	07/08	Advertise Contract	02/28/11	R \$2,250 Obligated 8/31/10	Y
					Award Contract	05/31/11	R	
					Submit First Invoice	08/31/11	G	
					Liquidate Funds	08/31/16	G	
4	ALA110026	Ala County	Alameda Co - Central Unincorporated Pavement Rehab					
	STP	\$50	PE	10/11	Req Field Review	Note 1	R App'd into TIP 1/6/11	NA
					Submit Req for Auth	02/01/11	R	
					Obligate Funds	04/30/11	R	
	STP	\$1,071	Con	11/12	Submit Req for Auth	02/01/11	G	
					Obligate Funds	04/30/12	G	
5	ALA110009	Ala CTC	Bike Repair and Encouragement Vehicle					
	CMAQ	\$442	PE	10/11	Req Field Review	Note 1	G App'd into TIP 12/30/10	NA
					Submit Req for Auth	02/01/11	G Req sub'd 1/21/11	
					Obligate Funds	04/30/11	R	
	CMAQ	\$58	Con	10/11	Submit Req for Auth	02/01/11	G Req sub'd 1/21/11	
					Obligate Funds	04/30/11	R	
6	ALA110039	Albany	Albany - Pierce Street Pavement Rehabilitation					
	STP	\$117	Con	10/11	Req Field Review	Note 1	R App'd into TIP 1/6/11	NA
					Submit Req for Auth	02/01/11	R	
					Obligate Funds	04/30/11	R	
7	ALA110032	BART	Downtown Berkeley BART Plaza/Transit Area Imps.					
	CMAQ	\$706	PE	10/11	Req Field Review	Note 1	R App'd into TIP 1/6/11	NA
					Submit Req for Auth	02/01/11	R	
					Obligate Funds	04/30/11	R	
	CMAQ	\$1,099	Con	10/11	Submit Req for Auth	02/01/11	R	
					Obligate Funds	04/30/11	R	

Red Zone Projects (cont.)

Index	TIP ID	Sponsor	Project Title	Source	Prog'd Amount (\$x 1,000)	Phase	FY	Req'd Activity	Date Req'd By	Zone	Notes	Prev Zone
8	ALA110038	BART	BART - West Dublin BART Station Ped Access Imps									
		CMAQ		\$21	PE	10/11		Req Field Review	Note 1	R	App'd into TIP 1/6/11	NA
								Submit Req for Auth	02/01/11	R		
								Obligate Funds	04/30/11	R		
		CMAQ		\$839	Con	10/11		Submit Req for Auth	02/01/11	R		
								Obligate Funds	04/30/11	R		
9	ALA110007	Berkeley	City of Berkeley Transit Action Plan - TDM									
		CMAQ		\$1,999	PE	10/11		Req Field Review	Note 1	R	App'd into TIP 12/30/10	NA
								Submit Req for Auth	02/01/11	R		
								Obligate Funds	04/30/11	R		
		CMAQ		\$10	Con	10/11		Submit Req for Auth	02/01/11	R		
								Obligate Funds	04/30/11	R		
10	ALA110022	Berkeley	Berkeley - Sacramento St Rehab - Dwight to Ashby									
		STP		\$955	Con	10/11		Submit Req for Auth	02/01/11	R	Field Review held 1/25/11	
								Obligate Funds	04/30/11	R		
11	ALA110034	Dublin	West Dublin BART Golden Gate Drive Streetscape									
		CMAQ		\$67	PE	10/11		Obligate Funds	04/30/11	R	Field Review held 1/25/11 PE Req submitted 1/25/11	
		CMAQ		\$580	Con	11/12		Submit Req for Auth	02/01/12	G		
								Obligate Funds	04/30/12	G		
12	ALA110012	Fremont	Fremont CBD/Midtown Streetscape									
		CMAQ		\$1,600	Con	10/11		Submit Req for Auth	02/01/11	R	Field Review held 12/8/10	
								Obligate Funds	04/30/11	R		
13	ALA110018	Fremont	Fremont Various Streets Pavement Rehabilitation									
		STP		\$3,138	Con	10/11		Obligate Funds	04/30/11	R	Field Review held 11/16/10 Auth Req sub'd 1/31/11	
14	ALA110019	Hayward	Hayward Various Arterials Pavement Rehab									
		STP		\$1,336	Con	10/11		Submit Req for Auth	02/01/11	R	Field Review held 1/24/11	
								Obligate Funds	04/30/11	R		
15	ALA110035	Hayward	South Hayward BART Area/Dixon Street Streetscape									
		CMAQ		\$536	PE	10/11		Req Field Review	Note 1	R	App'd into TIP 1/6/11	NA
								Submit Req for Auth	02/01/11	R		
								Obligate Funds	04/30/11	R		
		CMAQ		\$1,682	Con	11/12		Submit Req for Auth	02/01/12	G		
								Obligate Funds	04/30/12	G		
16	ALA110015	Livermore	Livermore Downtown Lighting Retrofit									
		CMAQ		\$176	Con	10/11		Req Field Review	Note 1	R	App'd into TIP 1/6/11	NA
								Submit Req for Auth	02/01/11	R		
								Obligate Funds	04/30/11	R		

Red Zone Projects (cont.)

Index	TIP ID	Sponsor	Project Title	Req'd Activity	Date	Zone	Notes	Prev Zone
	Source	Prog'd Amount (\$x 1,000)	Phase	FY	Req'd By			
17	ALA110023	Livermore	Livermore - 2011 Various Arterials Rehab					
	STP	\$1,028	Con	10/11	Req Field Review	Note 1	R App'd into TIP 1/6/11	NA
					Submit Req for Auth	02/01/11	R	
					Obligate Funds	04/30/11	R	
18	ALA110006	Oakland	Various Streets Resurfacing and Bikeway Facilities					
	STP	\$560	PE	10/11	Submit Req for Auth	02/01/11	R Req for Auth sub'd 2/1/11	
					Obligate Funds	04/30/11	R	
	CMAQ	\$435	Con	11/12	Submit Req for Auth	02/01/12	G	
	STP	\$3,057	Con	11/12	Submit Req for Auth	02/01/12	G	
					Obligate Funds	04/30/12	G	
19	ALA110014	Oakland	Oakland - MacArthur Blvd Streetscape					
	CMAQ	\$1,700	Con	10/11	Req Field Review	Note 1	R App'd into TIP 1/6/11	NA
					Submit Req for Auth	02/01/11	R	
					Obligate Funds	04/30/11	R	
20	ALA110021	Pleasanton	Pleasanton Various Streets Pavement Rehab					
	STP	\$876	Con	10/11	Req Field Review	Note 1	R App'd into TIP 1/6/11	NA
					Submit Req for Auth	02/01/11	R	
					Obligate Funds	04/30/11	R	
21	ALA110031	Pleasanton	Pleasanton - Foothill/I-580/IC Bike/Ped Facilities					
	CMAQ	\$709	Con	10/11	Req Field Review	Note 1	R App'd into TIP 1/6/11	NA
					Submit Req for Auth	02/01/11	R TIP Amend Pending	
					Obligate Funds	04/30/11	R Con being moved to 11/12	
22	ALA110020	San Leandro	San Leandro - Marina Blvd Rehabilitation					
	STP	\$807	Con	10/11	Req Field Review	Note 1	R App'd into TIP 1/6/11	NA
					Submit Req for Auth	02/01/11	R Field Review held 1/27	
					Obligate Funds	04/30/11	R	
23	ALA110017	Union City	Union City - Dyer Street Rehabilitation					
	STP	\$861	Con	10/11	Submit Req for Auth	02/01/11	R Field Review held 1/18/11	
					Obligate Funds	04/30/11	R	
24	ALA110036	Union City	Union City BART East Plaza Enhancements					
	CMAQ	\$4,450	Con	10/11	Req Field Review	Note 1	R App'd into TIP 1/6/11	NA
					Submit Req for Auth	02/01/11	R	
					Obligate Funds	04/30/11	R	

Yellow Zone Projects

Index	TIP ID	Sponsor	Project Title	Phase	FY	Req'd Activity	Date	Zone	Notes	Prev Zone
	Source	Prog'd Amount (\$x 1,000)					Req'd By			
25	ALA110030	Albany	Albany - Buchanan Bicycle and Pedestrian Path							
	CMAQ	\$1,702	Con	11/12	Req Field Review	04/30/11	Y	App'd into TIP 1/6/11		NA
					Submit Req for Auth	02/01/12	G			
					Obligate Funds	04/30/12	G			
26	ALA110024	Dublin	Dublin Citywide Street Resurfacing							
	STP	\$547	Con	11/12	Req Field Review	04/30/11	Y	App'd into TIP 1/6/11		NA
					Submit Req for Auth	02/01/12	G			
					Obligate Funds	04/30/12	G			
27	ALA110013	Livermore	Iron Horse Trail Extension in Downtown Livermore							
	CMAQ	\$1,566	Con	11/12	Req Field Review	04/30/11	Y	App'd into TIP 1/6/11		NA
					Submit Req for Auth	02/01/12	G			
					Obligate Funds	04/30/12	G			
28	ALA110037	Livermore	Livermore Village Streetscape Infrastructure							
	CMAQ	\$2,500	Con	11/12	Req Field Review	04/30/11	Y	App'd into TIP 1/6/11		NA
					Submit Req for Auth	02/01/12	G			
					Obligate Funds	04/30/12	G			
29	ALA110016	Newark	Newark - Cedar Blvd and Jarvis Ave Pavement Rehab							
	STP	\$682	Con	11/12	Req Field Review	04/30/11	Y	App'd into TIP 1/6/11		NA
					Submit Req for Auth	02/01/12	G			
					Obligate Funds	04/30/12	G			
30	TBD	Oakland	Various Intersections							
	HSIP	\$81	Con	11/12	Request Field Review	04/30/11	Y	Note 2		NA
					Submit Req for Auth	02/01/12	G			
					Obligate Funds	04/30/12	G	CT Deadline 9/30/12		
					Project Close Out	09/30/14	G			
31	TBD	Oakland	West Grand at Market, Macarthur at Fruitvale & Market at 55th							
	HSIP	\$223	Con	11/12	Request Field Review	04/30/11	Y	Note 2		NA
					Submit Req for Auth	02/01/12	G			
					Obligate Funds	04/30/12	G	CT Deadline 9/30/12		
					Project Close Out	09/30/14	G			
32	ALA110029	Oakland	Oakland Foothill Blvd Streetscape							
	CMAQ	\$2,200	Con	11/12	Req Field Review	04/30/11	Y	App'd into TIP 1/6/11		NA
					Submit Req for Auth	02/01/12	G			
					Obligate Funds	04/30/12	G			
33	ALA110010	Port	Shore Power Initiative							
	CMAQ	\$3,000	Con	11/12	Req Field Review	04/30/11	Y	App'd into TIP 12/30/10		NA
					Submit Req for Auth	02/01/12	G			
					Obligate Funds	04/30/12	G			

Yellow Zone Projects (cont.)

Index	TIP ID	Sponsor	Project Title							
	Source	Prog'd Amount	Phase	FY	Req'd Activity	Date	Zone	Notes	Prev	
		(\$x 1,000)				Req'd By			Zone	
34	ALA110028	Union City	Union City Blvd Corridor Bicycle Imp. Phase 1							
	CMAQ	\$860	Con	11/12	Req Field Review	04/30/11	Y	App'd into TIP 1/6/11	NA	
					Submit Req for Auth	02/01/12	G			
					Obligate Funds	04/30/12	G			

Green Zone Projects

Index	TIP ID	Sponsor	Project Title							
		Source	Prog'd Amount	Phase	FY	Req'd Activity	Date	Zone	Notes	Prev
			(\$x 1,000)				Req'd By			Zone
35	TBD	Ala. County	Remove Permanent Obstacle along Shoulder (Foothill Road)							
		HSIP	\$58	PE	08/09	Project Close Out	09/30/14	G	PE Auth 2/23/09	NA
						Liquidate Funds	02/23/15	G	Note 2	
		HSIP	\$427	Con	11/12	Submit Req for Auth	01/01/12	G		
						Obligate Funds	03/31/12	G		
36	TBD	Ala. County	Install Traffic Signal and Provide Frontage Improvements (Castro Valley Blvd. and Wisteria St.)							
		HSIP	\$58	PE	09/10	Project Close Out	03/31/14	G	PE Auth 8/14/09	NA
						Liquidate Funds	08/14/15	G	Note 2	
		HSIP	\$640	Con	11/12	Submit Req for Auth	01/01/12	G		
						Obligate Funds	03/31/12	G		
37	TBD	Ala. County	Fairview Elementary School Vicinity Improvements							
		SRTS	\$77	PE	08/09	Project Close Out	03/31/14	G	PE Auth 1/29/09	NA
						Liquidate Funds	01/29/15	G	Note 2	
		SRTS	\$508	Con	11/12	Submit Req for Auth	01/01/12	G		
						Obligate Funds	03/31/12	G		
38	TBD	Ala. County	Patterson Pass Road Widen or Improve Shoulder							
		HRRR	\$717	Con	12/13	Req Field Review	04/30/12	G	High Risk Rural Roads	NA
						Submit Req for Auth	02/01/13	G		
						Obligate Funds	04/30/13	G		
39	TBD	Ala County	Marshall Elementary School Vicinity Improvements							
		SRTS	\$50	PE	09/10	Project Close Out	09/30/14	G	PE Auth 12/7/10	NA
						Liquidate Funds	12/07/16	G	Note 2	
		SRTS	\$450	Con	11/12	Submit Req for Auth	02/01/12	G		
						Obligate Funds	04/30/12	G		

Green Zone Projects (cont.)

Index	TIP ID	Sponsor Source	Project Title Prog'd Amount (\$x 1,000)	Phase	FY	Req'd Activity	Date Req'd By	Zone	Notes	Prev Zone		
40	TBD	Fremont		Install Median Barrier, Install Raised Median and Improve Delineation (Mowry)								
				HSIP	\$35	PE	07/08	Project Close Out	03/31/14	G	PE Auth 11/28/07	NA
								Liquidate Funds	11/28/13	G	Note 2	
				HSIP	\$164	Con	11/12	Submit Req for Auth	01/01/12	G		
								Obligate Funds	03/31/12	G		
41	TBD	Fremont		Replace Concrete Poles with Aluminum in Median (Paseo Parkway)								
				HSIP	\$35	PE	09/10	Project Close Out	03/31/14	G	PE Auth 4/8/09	NA
								Liquidate Funds	04/08/15	G	Note 2	
				HSIP	\$264	Con	11/12	Submit Req for Auth	01/01/12	G		
								Obligate Funds	03/31/12	G		
42	TBD	Fremont		Paseo Padre Parkway - Walnut to Washington								
				HSIP	\$23	PE	09/10	Project Close Out	12/01/14	G	PE Auth 11/18/10	NA
								Liquidate Funds	11/18/16	G	Note 2	
				HSIP	\$120	Con	12/13	Submit Req for Auth	09/01/12	G		
								Obligate Funds	12/01/12	G		
43	TBD	Fremont		Walnut Avenue from Fremont to Parkhurst & Argonaut Way from Parkhurst to Mowry								
				HSIP	\$59	PE	09/10	Project Close Out	12/01/14	G	PE Auth 11/22/10	NA
								Liquidate Funds	11/22/16	G	Note 2	
				HSIP	\$459	Con	12/13	Submit Req for Auth	09/01/12	G		
								Obligate Funds	12/01/12	G		
44	TBD	Oakland		Multiple School (5 Schools) Improvements Along Major Routes								
				SRTS	\$118	PE	09/10	Project Close Out	03/31/14	G	PE Auth 1/26/10	NA
								Liquidate Funds	01/26/16	G	Note 2	
				SRTS	\$802	Con	11/12	Submit Req for Auth	01/01/12	G		
								Obligate Funds	03/31/12	G		
45	TBD	Oakland		Multiple School (5 Elem. + 1 Middle) Vicinity Improvements								
								Project Close Out	03/31/12	G	Note 2	NA
				SRTS	\$110	PE	07/08	Liquidate Funds	03/02/14	G	PE Auth 3/2/08	
				SRTS	\$590	Con	11/12	Liquidate Funds	11/22/16	G	Con Auth 11/22/10	
46	ALA110027	San Leandro		San Leandro Downtown-BART Pedestrian Interface								
				CMAQ	\$312	PE	10/11	Obligate Funds	04/30/11	G	E-76 issued 12/21/10	
				CMAQ	\$4,298	Con	11/12	Submit Req for Auth	02/01/12	G		
								Obligate Funds	04/30/12	G		

Notes:

- 1 MTC Reso 3606 deadline is before the status date of this report. Sponsor is working with Caltrans, MTC and Alameda CTC to expedite/complete required activity.
- 2 Projects in the Safe Routes to School (SRTS), Highway Safety Improvement Program (HSIP), and High Risk Rural Roads (HR3) programs administered by Caltrans are subject to requirements set forth for each program by Caltrans. This report overlays the MTC Reso 3606 requirements and, if a conflict exists, shows the earlier requirement. Amounts obligated for PE are shown and any remaining programmed amount is assumed to be moved to FY 11/12 for Con.

Appendix A Federal At Risk Report Zone Criteria Required Activities per Resolution 3606 (Revised July 23, 2008)			
Required Activities Monitored by CMA ¹	Criteria Timeframes for Required Activities		
	Red Zone	Yellow Zone	Green Zone
Request Project Field Review	Project in TIP for more than nine (9) months, or obligation deadline for Con funds within 15 months.	Project in TIP for less than nine (9) months, and obligation deadline for Con funds more than 15 months away.	All conditions other than Red or Yellow Zones
Submit Environmental Package	NA	NA	NA
Approved DBE Program and Methodology	NA	NA	NA
Submit Request for Authorization (PE)	within three (3) months	within three (3) to six (6) months	All conditions other than Red or Yellow Zones
Submit Request for Authorization (R/W)	within four (4) months	within four (4) to nine (9) months	All conditions other than Red or Yellow Zones
Submit Request for Authorization (Con)	within six (6) months		All conditions other than Red or Yellow Zones
Obligation/ FTA Transfer	within two (2) months	within two (2) to four (4) months	All conditions other than Red or Yellow Zones
Advertise Construction	within four (4) months	within four (4) to six (6) months	All conditions other than Red or Yellow Zones
Award Contract	within six (6) months	within six (6) to nine (9) months	All conditions other than Red or Yellow Zones
Award into FTA Grant	within two (2) months	within two (2) to four (4) months	All conditions other than Red or Yellow Zones
Submit First Invoice	within two (2) months	within two (2) to four (4) months	All conditions other than Red or Yellow Zones
Liquidate Funds	within four (4) months	within four (4) to nine (9) months	All conditions other than Red or Yellow Zones Move to Appendix D
Project Closeout	within four (4) months	within four (4) to nine (9) months	All conditions other than Red or Yellow Zones
Other Zone Criteria			
Red Zone	Projects with funds programmed in the same FY for both a project development phase (i.e. Env or PSE) and a capital phase (i.e. R/W or Con) without the project development phase(s) obligated.		
Yellow Zone	Projects with an Amendment to the TIP pending.		
Notes: ¹ See Appendix B for more information about the Required Activities and Resolution 3606.			

Appendix B
Definitions of the Required Activities per Resolution 3606 (As revised July 23, 2008)

Index	Definition	Deadline
1	Req Proj Field Rev	
	Per MTC Resolution 3606-Revised, "Implementing agencies are required to request a field review from Caltrans Local Assistance within 12 months of approval of the project in the TIP ¹ , but no less than 12 months prior to the obligation deadline of construction funds. This policy also applies to federal-aid projects in the STIP. The requirement does not apply to projects for which a field review would not be applicable, such as FTA transfers, regional operations projects and planning activities. Failure for an implementing agency to make a good-faith effort in requesting and scheduling a field review from Caltrans Local Assistance within twelve months of programming into the TIP could result in the funding being reprogrammed and restrictions on future programming and obligations. Completed field review forms must be submitted to Caltrans in accordance with Caltrans Local Assistance procedures."	12 months from approval in the TIP ¹ , but no less than 12 months prior to the obligation deadline of construction funds.
2	Sub ENV package	
	Per MTC Resolution 3606-Revised, "Implementing agencies are required to submit a complete environmental package to Caltrans for all projects (except those determined Programmatic Categorical Exclusion as determined by Caltrans at the field review), twelve months prior to the obligation deadline for right of way or construction funds. This policy creates a more realistic time frame for projects to progress from the field review through the environmental and design process, to the right of way and construction phase. If the environmental process, as determined at the field review, will take longer than 12 months before obligation, the implementing agency is responsible for delivering the complete environmental submittal in a timely manner. Failure to comply with this provision could result in the funding being reprogrammed. The requirement does not apply to FTA transfers, regional operations projects or planning activities."	12 months prior to the obligation deadline for RW or Con funds. (No change)
3	Approved DBE Prog	
	Per MTC Resolution 3606-Revised, "Obligation of federal funds may not occur for contracted activities (any combination of environmental/ design/ construction/ procurement activities performed outside the agency) until and unless an agency has an approved DBE program and methodology for the current federal fiscal year. Therefore, agencies with federal funds programmed in the TIP must have a current approved DBE Program and annual methodology (if applicable) in place prior to the fiscal year the federal funds are programmed in the TIP. STP/CMAQ funding for agencies without approved DBE methodology for the current year are subject to redirection to other projects after March 1. Agencies should begin the DBE process no later than January 1 to meet the March 1 deadline. Projects advanced under the Expedited Project Selection Process (EPSP) must have an approved DBE program and annual methodology for the current year (if applicable) prior to the advancement of funds."	Approved program and methodology in place prior to the FFY the funds are programmed in the TIP.
4	Sub Req for Auth	
	Per MTC Resolution 3606-Revised, "In order to ensure funds are obligated or transferred to FTA in a timely manner, the implementing agency is required to deliver a complete funding obligation / FTA Transfer request package to Caltrans Local Assistance by February 1 of the year the funds are listed in the TIP. Projects with complete packages delivered by February 1 of the programmed year will have priority for available OA, after ACA conversions that are included in the Obligation Plan. If the project is delivered after February 1 of the programmed year, the funds will not be the highest priority for obligation in the event of OA limitations, and will compete for limited OA with projects advanced from future years. Funding for which an obligation/ FTA transfer request is submitted after the February 1 deadline will lose its priority for OA, and be viewed as subject to reprogramming."	February 1 of FY in which funds are programmed in the TIP.

Appendix B
Definitions of the Required Activities per Resolution 3606 (As revised July 23, 2008)

Index	Definition	Deadline
5	Obligate Funds/ Transfer to FTA	
	Per MTC Resolution 3606-Revised, "STP and CMAQ funds are subject to an obligation/FTA transfer deadline of April 30 of the fiscal year the funds are programmed in the TIP. Implementing agencies are required to submit the completed request for obligation or FTA transfer to Caltrans Local Assistance by February 1 of the fiscal year the funds are programmed in the TIP, and receive an obligation/ FTA transfer of the funds by April 30 of the fiscal year programmed in the TIP. For example, projects programmed in FY 2007-08 of the TIP have an obligation/FTA transfer request submittal deadline (to Caltrans) of February 1, 2008 and an obligation/FTA transfer deadline of April 30, 2008. Projects programmed in FY 2008-09 have an obligation request submittal deadline (to Caltrans) of February 1, 2009 and an obligation/FTA transfer deadline of April 30, 2009. No extensions will be granted to the obligation deadline."	April 30 of FY in which funds are programmed in the TIP.
6	Execute PSA	
	Per MTC Resolution 3606, "The implementing agency must execute and return the Program Supplement Agreement (PSA) to Caltrans in accordance with Caltrans Local Assistance procedures. The agency must contact Caltrans if the PSA is not received from Caltrans within 60 days of the obligation. This requirement does not apply to FTA transfers. Agencies that do not execute and return the PSA to Caltrans within the required Caltrans deadline will be unable to obtain future approvals for any projects, including obligation and payments, until all PSAs for that agency, regardless of fund source, meet the PSA execution requirement. Funds for projects that do not have an executed PSA within the required Caltrans deadline are subject to de-obligation by Caltrans."	Within 60 days of receipt of the PSA from Caltrans, and within six months from the actual obligation date. ²
7	Advertise Contract /Award Contract/Award into FTA Grant	
	Per MTC Resolution 3606-Revised, "For the Construction (CON) phase, the construction/equipment purchase contract must be advertised within 6 months of obligation and awarded within 9 months of obligation. However, regardless of the advertisement and award deadlines, agencies must still meet the invoicing deadline for construction funds. Failure to advertise and award a contract in a timely manner could result in missing the subsequent invoicing and reimbursement deadline, resulting in the loss of funding. Agencies must submit the notice of award to Caltrans in accordance with Caltrans Local Assistance procedures, with a copy also submitted to the applicable CMA. Agencies with projects that do not meet these award deadlines will have future programming and OA restricted until their projects are brought into compliance. For FTA projects, funds must be approved/ awarded in an FTA Grant within one federal fiscal year following the federal fiscal year in which the funds were transferred to FTA."	Advertised within 6 months of obligation and awarded within 9 months of obligation. FTA Grant Award: Within 1 year of transfer to FTA.
8	Submit First Invoice / Next Invoice Due	
	Per MTC Resolution 3606-Revised, "Funds for each federally funded (Environmental (ENV/ PA&ED), Preliminary Engineering (PE), Final Design (PS&E) and Right of Way (R/W) phase and for each federal program code within these phases, must be invoiced against at least once every six months following obligation. Funds that are not invoiced at least once every 12 months are subject to de-obligation. There is no guarantee that funds will be available to the project once de-obligated. Funds for the Construction (CON) phase, and for each federal program code within the construction phase, must be invoiced and reimbursed against at least once within 12 months of the obligation, and then invoiced at least once every 6-months there after. Funds that are not invoiced and reimbursed at least once every 12 months are subject to de-obligation by FHWA. There is no guarantee that funds will be available to the project once de-obligated. If a project does not have eligible expenses within a 6-month period, the agency must provide a written explanation to Caltrans Local Assistance for that six-month period and submit an invoice as soon as practicable to avoid missing the 12-month invoicing and reimbursement deadline. Agencies with projects that have not been invoiced against and reimbursed within a 12-month period, regardless of federal fund source, will have restrictions placed on future programming and OA until the project is properly invoiced. Funds that are not invoiced and reimbursed against at least once every 12 months are subject to de-obligation by FHWA."	For Con phase: Once within 12 months of Obligation and then once every 6 months thereafter, for each federal program code. For all other phases: Once within 6 months following Obligation and then once every 6 months thereafter, for each phase and federal program code.

Appendix B**Definitions of the Required Activities per Resolution 3606 (As revised July 23, 2008)**

Index	Definition	Deadline
8a	Inactive Projects	
	Per MTC Resolution 3606-Revised, "Most projects can be completed well within the state's deadline for funding liquidation or FHWA's ten-year proceed-to-construction requirement. Yet it is viewed negatively by both FHWA and the California Department of Finance for projects to remain inactive for more than twelve months. It is expected that funds for completed phases will be invoiced immediately for the phase, and projects will be closed out within six months of the final project invoice. Funds that are not invoiced and reimbursed at least once every 12 months are subject to de-obligation by FHWA. There is no guarantee the funds will be available to the project once de-obligated."	Funds must be invoiced and reimbursed against once every 12 months to remain active.
9	Liquidate Funds	
	Per MTC Resolution 3606-Revised, "Funds must be liquidated (fully expended, invoiced and reimbursed) within six years of obligation. California Government Codes 16304.1 and 16304.3 places additional restrictions on the liquidation of federal funds. Generally, federal funds must be liquidated (fully expended, invoiced and reimbursed) within 6 state fiscal years following the fiscal year in which the funds were appropriated. Funds that miss the state's liquidation/ reimbursement deadline will lose State Budget Authority and will be de-obligated if not re-appropriated by the State Legislature, or extended (for one year) in a Cooperative Work Agreement (CWA) with the California Department of Finance. This requirement does not apply to FTA transfers."	Funds must be liquidated within six years of obligation.
10	Estimated Completion Date/Project Closeout	
	<p>Per MTC Resolution 3606-Revised, "Implementing Agencies must fully expend federal funds on a phase one year prior to the estimated completion date provided to Caltrans. At the time of obligation, the implementing agency must provide Caltrans with an estimated completion date for that project phase. Any un-reimbursed federal funds remaining on the phase after the estimated completion date has passed, is subject to project funding adjustments by FHWA. Projects must be properly closed out within six months of final project invoice. Projects must proceed to construction within 10 years of federal authorization of the initial phase. Federal regulations require that federally funded projects proceed to construction within 10 years of initial federal authorization of any phase of the project.</p> <p>Furthermore, if a project is canceled, or fails to proceed to construction in 10 years, FHWA will de-obligate any remaining funds, and the agency is required to repay any reimbursed funds. If a project is canceled as a result of the environmental process, the agency does not have to repay reimbursed costs for the environmental activities. However, if a project is canceled after the environmental process is complete, or a project does not proceed to construction within 10 years, the agency is required to repay all reimbursed federal funds. Agencies with projects that have not been closed out within 6 months of final invoice will have future programming and OA restricted until the project is closed out or brought back to good standing by providing written explanation to Caltrans Local Assistance, the applicable CMA and MTC."</p>	<p>Est. Completion Date: For each phase, fully expend federal funds 1 year prior to date provided to Caltrans.</p> <p>Project Close-out: Within 6 months of final project invoice.</p>
Notes: <p>¹ Approval in the TIP: For administrative/ minor TIP Amendments it is the date of Caltrans approval. For formal TIP Amendments, it is the date of FHWA approval.</p> <p>² Per DOT letter from Caltrans Local Assistance to MPOs, regarding "Procedural Changes in Managing Obligations", dated 9/15/05.</p>		

Appendix C**Date of Most Recent Invoice on Record at CMA**

Project Sponsors are required to submit an invoice at least once every six months following obligation for each phase for which federal funds have been obligated (per MTC Resolution 3606 - Revised 7/23/08), with the exception of the first invoice for the construction phase which must be submitted within 12 months following obligation. Funds that are not invoiced and reimbursed against at least once every 12 months are subject to de-obligation by FHWA.

Project Sponsors are requested to provide the CMA with copies of excerpts from invoices showing the invoice number, date, amount, and the signature of the agency representative (i.e. the CMA does not need copies of the entire invoice package).

Index	TIP ID/ Sponsor Project	Source	Prog'd Amount (\$x 1,000)	Phase	FY	Obligation Date	Date of Most Recent Invoice on Record at CMA	Months ¹ Since Most Recent Invoice on Record at CMA
C1	ALA070042/ ACCMA I-880 SB HOV Lane	CMAQ STP	\$6,979 \$801	PE PE	07/08 09/10	12/19/07 9/21/10	4/28/10 11/24/10	Note 2 3
C2	ALA10032/ ACCMA I-580 San Leandro Estudillo Noise Barrier	STP	\$7,262	Con	08/09	3/27/09	11/30/10	3
C3	ALA050018/ ACCMA Grand/MacArthur Bus Improvements	CMAQ	\$500	Con	06/07	5/22/08	9/7/10	5
C4	ALA030002/ Ala. County Vasco Road Safety Imps., Phase 1	STP	\$3,900 \$9,350	R/W Con	04/05 07/08	6/29/05 6/20/08	11/26/07 5/27/10	Note 2 9
C5	ALA050072/ Ala. County Castro Vly Blvd. Rehab - Foothill to Stanton	STP	\$83 \$758	PSE Con	06/07 08/09	6/26/07 7/23/09	5/6/10 5/6/10	Note 2 9
C6	ALA070040/ Ala. County Hampton Rd Streetscape Improvement	CMAQ	\$2,999	Con	08/09	6/17/09	6/23/10	8
C7	ALA050082/ Dublin East Dublin BART Station Corridor	CMAQ CMAQ	\$2,587 \$489	Con PE	08/09 06/07	3/9/09 4/12/07	3/16/10 3/16/10	11 Note 2
C8	ALA070037/Fremont Bay Street Streetscape and Parking Project	CMAQ	\$1,570	Con	08/09	1/21/09	1/14/2010	13
C9	ALA070038/ Livermore Downtown Ped Transit Connection	CMAQ	\$140 \$1,060	PE Con	07/08 08/09	11/16/07 3/30/09	5/10/10 5/10/10	Note 2 9
C10	ALA070059/ Livermore Downtown Pedestrian Improvements	CMAQ	\$845	Con	08/09	4/8/09	7/26/10	7
C11	ALA050021/ Oakland Oakland Street Resurfacing Program	STP	\$825	Con	05/06	6/21/06	9/23/10	5
C12	ALA050023/ Oakland Rehabilitation on Various Streets	STP STP	\$1,573 \$2,486	Con Con	05/06 07/08	6/21/06 4/11/08	6/9/10 6/9/10	Note 2 8
C13	ALA050039/ Oakland MacArthur Transit Hub Imps	CMAQ CMAQ	\$200 \$996	PE Con	05/06 06/07	3/30/06 3/20/07	2/26/10 10/12/10	Note 2 4
C14	ALA050080/ Oakland 7th St., W. Oakland Transit Village Imps.	CMAQ STP ARRA	\$320 \$2,330 \$1,300	PE Con Con	07/08 08/09 08/09	11/5/07 8/5/09 8/5/09	04/02/10 6/15/10 6/15/10	10 8 8
C15	ALA070011 Oakland 66th Ave. Streetscape Improvement Project	CMAQ	\$1,230	Con	08/09	3/30/09	9/14/10	5
C16	ALA070027 Oakland W. Oakland Bay Trail: Mandela Pkwy	CMAQ	\$770	Con	06/07	3/19/07	7/16/10	7

Appendix C (cont.)**Date of Most Recent Invoice on Record at CMA**

Project Sponsors are required to submit an invoice at least once every six months following obligation for each phase for which federal funds have been obligated (per MTC Resolution 3606 - Revised 7/23/08), with the exception of the first invoice for the construction phase which must be submitted within 12 months following obligation. Funds that are not invoiced and reimbursed against at least once every 12 months are subject to de-obligation by FHWA.

Project Sponsors are requested to provide the CMA with copies of excerpts from invoices showing the invoice number, date, amount, and the signature of the agency representative (i.e. the CMA does not need copies of the entire invoice package).

Index	TIP ID/ Sponsor Project	Source	Prog'd Amount (\$x 1,000)	Phase	FY	Obligation Date	Date of Most Recent Invoice on Record at CMA	Months ¹ Since Most Recent Invoice on Record at CMA
C17	ALA070039 Oakland Oakland Waterfront Bay Trail	CMAQ	\$899	Con	07/08	4/16/08	9/22/10	5
C18	ALA050069/ San Leandro Washington Ave Rehab - Creek to I-880	STP	\$49 \$442	PE Con	06/07 07/08	3/5/07 5/7/08	5/7/09 8/9/10	Note 2 6
C19	ALA050078/ San Leandro Bay Trail Bridge at Oyster Bay Slough	CMAQ	\$750	Con	08/09	12/19/08	3/8/10	11
C20	ALA070048/ San Leandro San Leandro ATMS Upgrade	CMAQ	\$184	Con	07/08	4/2/08	12/13/10	2
C21	ALA050070/ Union City Alvarado-Niles Pavement Rehabilitation	STP STP	\$5 \$421	PE Con	07/08 08/09	4/4/08 1/21/09	1/6/09 9/14/2009	Note 2 17

Notes: ¹ Partial months are rounded up to full months (i.e. 4 months and 1 day = 5 months).

² The programmed amount for this phase has been fully invoiced.

³ Final Invoice submitted by Sponsor.

Appendix D**Projects with Liquidate Funds as the Next Required Activity****Or with Funds Obligated for Transfer to FTA**

Most projects are completed in advance of the "Liquidate Funds" deadline which is six years following obligation. When Liquidate Funds becomes the next required activity being tracked by the Alameda CTC monitoring team, the monitoring team is dependent on the project sponsor to submit documentation when the project is complete. If the sponsor does not submit anything to the Alameda CTC when the project is completed, the monitoring program will track the project until the Liquidate Funds deadline. In order to keep the number of projects in the "Zone" sections of the report to a minimum, projects for which Liquidate Funds is the next required activity will be moved to Appendix D. If the project monitoring team receives documentation that the project has been closed out in the federal aid system, the project will be shown as completed in the next report and then removed from the report in subsequent reports. If the project monitoring team does not receive any documentation about project closeout, the Liquidate Funds requirement will move the project into the Yellow Zone and subsequently the Red Zone in accordance with Appendix A. Projects with funds obligated for transfer to FTA are treated in a similar fashion, however the project monitoring team does not track activities required by FTA Grant Agreements.

Index	TIP ID	Sponsor	Project Title						
	Source	Prog'd Amount (\$x 1,000)	Phase	FY	Req'd Activity	Date Req'd By	Zone	Notes	
D1	ALA010034	AC Transit	Maintenance Facilities Upgrade						
	STP	\$4,000	Con	07/08				Obligated for Transfer to FTA Grant	
D2	ALA010063	AC Transit	Acquire 416 Bus Catalyst Devices						
	CMAQ	\$68	Con	04/05				Obligated for Transfer to FTA Grant	
D3	ALA050017	AC Transit	Enhanced Bus - Telegraph/Int'l/East 14th						
	CMAQ	\$35,000	Con	08/09				Obligated for Transfer to FTA Grant	
D4	ALA070047	AC Transit	Travel Choice -Berkeley						
	CMAQ	\$216	Con	07/08				Obligated for Transfer to FTA Grant	
D5	ALA070055	AC Transit	Bike Racks for New Buses						
	CMAQ	\$100	Con	07/08				Obligated for Transfer to FTA Grant	
D6	ALA010032	ACCMA	I-580 San Leandro Estudillo Noise Barrier						
	STP	\$7,262	Con	08/09	Liquidate funds	03/27/15	G	\$7,262 Obligated 3/27/09 Contract Awd 5/28/09	
D7	ALA050018	ACCMA	Grand/MacArthur Bus Improvements						
	CMAQ	\$500	Con	06/07	Liquidate Funds	05/22/14	G	\$500 Obligated 5/22/08	
D8	ALA050036	ACCMA	SMART Corridors Operations & Management						
	CMAQ	\$283	Con	06/07	Liquidate Funds	01/27/15	G	\$283 Obligated 1/27/09	
	STP	\$135	Con	05/06	Liquidate Funds	09/07/12	G	\$135 Obligated 9/7/06	
	CMAQ	\$518	Con	07/08	Liquidate Funds	07/03/14	G	\$518 Obligated 7/3/08	
D9	ALA070020	ACCMA	I-580 (Tri-Valley) Corridor - EB HOV/HOT Lanes						
	I-580 EB HOT Conversion								
	ARRA	\$7,500	PE		Liquidate Funds	11/27/15	G	Contract Awarded 3/25/10 \$7.5M Obligated 11/27/09 System Integrator in PE2	
	I-580 EB HOV/HOT Lanes								
	CMAQ	\$6,161	Con	08/09	Liquidate Funds	04/09/15	G	\$6,161 Obligated 12/19/08 Funds De-Obligated 2/4/09 Re-Obligated 4/9/09 Caltrans Adminstering Funds	

Appendix D (cont.)**Projects with Liquidate Funds as the Next Required Activity
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Index	TIP ID	Sponsor	Project Title						
	Source	Prog'd Amount (\$x 1,000)	Phase	FY	Req'd Activity	Date Req'd By	Zone	Notes	
D10	ALA070041	ACCMA	I-80 Integrated Corridor Mobility						
	CMAQ	\$3,243	PE	07/08	Liquidate funds	07/10/14	G	\$3,243 Obligated 7/10/08	
D11	ALA070042	ACCMA	I-880 SB HOV Lanes -Marina to Hegenberger						
	CMAQ	\$6,979	PE	07/08 08/09	Liquidate funds	12/19/13	G	\$4M obligated 12/19/07 STP to CMAQ 4/18/08 \$2.781M added 4/15/09 \$198 of STP to CMAQ	
	CMAQ	\$801	PE	09/10	Liquidate funds	12/19/13	G	\$801 Obligated 9/21/10	
D12	ALA050009	ACTIA	I-580 Castro Valley Interchange Improvements						
	STP	\$1,000	Con	07/08	Liquidate Funds	04/28/14	G	\$1,000 Obligated 4/28/08	
D13	ALA070025	Alameda	City of Alameda Signal Coordination						
	CMAQ	\$59	Con	06/07	Liquidate Funds	05/31/13	G	\$59 Obligated 5/31/07 Force Account	
D14	ALA070049	Alameda	Signal Coordination: 8th St, Otis Dr., & Park St.						
	CMAQ	\$138	Con	07/08	Liquidate Funds	04/18/14	G	\$138 Obligated 4/18/08	
D15	ALA030002	Ala County	Vasco Road Safety Imps. Phase 1						
	STP	\$9,350	Con	07/08	Liquidate Funds	06/20/14	G	\$9,350 Obligated 6/20/08 Contract Awarded 7/29/08	G
	STP	\$3,900	R/W	04/05	Liquidate Funds	06/29/11		\$3,900 Obligated 6/29/05 R/W Phase drawn down	
D16	ALA050072	Ala County	Castro Valley Blvd Pavement Rehabilitation -Foothill Blvd. to Stanton Ave.						
	STP	\$758	Con	08/09	Liquidate Funds	07/23/15	G	\$758 Obligated 7/23/09 advertised 8/7/09	G
	STP	\$83	PSE	06/07	Liquidate Funds	06/26/13	G	\$83 Obligated 6/26/07	
D17	ALA070040	Ala County	Hampton Rd Streetscape Improvement						
	CMAQ	\$2,999	Con	08/09	Liquidate Funds	06/17/15	G	\$2,999 Obligated 6/17/09	G

Appendix D (cont.)**Projects with Liquidate Funds as the Next Required Activity
Or with Funds Obligated for Transfer to FTA**

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Index	TIP ID	Sponsor	Project Title		Req'd Activity	Date	Zone	Notes	
	Source	Prog'd Amount (\$x 1,000)	Phase	FY		Req'd By			
D18	ALA050065	BART	Ed Roberts Campus						
	CMAQ	\$2,000	Con	07/08				Obligated for Transfer to FTA Grant 8/1/08	
D19	ALA070034	BART	Ashby BART Station / Ed Roberts Campus						
	CMAQ	\$1,386	Con	08/09				Obligated for Transfer to FTA Grant 8/1/08	
D20	ALA070051	BART	BART Station Electronic Bike Lockers, Phase 2						
	CMAQ	\$130	Con	08/09				Obligated for Transfer to FTA Grant 7/14/09	
D21	ALA050073	Berkeley	University Ave Reconstruction						
	STP	\$630	Con	08/09	Liquidate funds	02/05/15	NA	Final Invoiced Paid 3/22/10	G
D22	ALA050059	Caltrans	SR 13 Median Landscaping						
	STP	\$500	Con	06/07	Liquidate Funds	05/15/13	G	\$400 Obligated 5/15/07	G
	STP	\$100	Con	08/09	Liquidate Funds	01/13/15	G	\$100 Obligated 1/13/09	
D23	ALA050082	Dublin	East Dublin BART Station Corridor Enhancements						
	CMAQ	\$2,587	Con	08/09	Liquidate Funds	03/09/15	G	Contract Awarded 5/19/09 \$2,587 Obligated 3/9/09 Combined w/ALA050083	G
	CMAQ	\$489	PE	06/07	Liquidate Funds	04/12/13	G	\$489 Obligated 4/12/07	
D24	ALA050022	Fremont	Rehab on Various Sts						
	STP	\$2,172	Con	05/06	Liquidate Funds	06/13/12	G	\$2,172 Obligated 6/13/06	
	STP	\$2,850	Con	06/07	Liquidate Funds	05/30/13	G	\$2,850 Obligated 5/30/07	
D25	ALA070037	Fremont	Bay Street Streetscape and Parking Project						
	CMAQ	\$1,570	Con	08/09	Liquidate Funds	01/21/15	G	\$1,570 Obligated 1/21/09	G
D26	ALA070050	Fremont	Mowry Ave Arterial Management						
	CMAQ	\$419	Con	07/08	Liquidate Funds	09/15/14	G	\$419 Obligated 9/15/08	G
D27	ALA050025	Hayward	Hesperian Blvd Rehab						
	STP	\$713	Con	05/06	Liquidate Funds	06/27/12	G	\$713 Obligated 6/27/06	
	STP	\$8	Env	05/06	Liquidate Funds	02/15/12	G	\$8 Obligated 2/15/06	

Appendix D (cont.)**Projects with Liquidate Funds as the Next Required Activity
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Index	TIP ID	Sponsor	Project Title						
	Source	Prog'd Amount (\$x 1,000)	Phase	FY	Req'd Activity	Date Req'd By	Zone	Notes	
D28	ALA050056	Hayward	West A Street Rehab						
	STP	\$117	Con	05/06	Liquidate Funds	06/27/12	G	\$117 Obligated 6/27/06	
	STP	\$5	Env	05/06	Liquidate Funds	02/15/12	G	\$5 Obligated 2/15/06	
D29	ALA050071	Hayward	Rehab on Various Streets (Arterial Pavement Rehab)						
	STP	\$776	Con	07/08	Liquidate Funds	03/26/14	G	\$835 Obligated 3/26/08	G
	STP	\$104	PE	06/07	Liquidate Funds	04/03/13	G	\$104 Obligated 4/3/07 E-76 Rev to \$45 3/26/08	
D30	ALA030015	LAVTA	Acquire 25 Bus Catalyst Devices						
	CMAQ	\$175	Con	04/05				Obligated for Transfer to FTA Grant	
D31	ALA030017	LAVTA	Exp. Bus -Route 70 & Subscript. Routes						
	CMAQ	\$89	Con	04/05				Obligated for Transfer to FTA Grant	
D32	ALA070028	LAVTA	ACE Station Shuttle Services						
	CMAQ	\$88	Con	06/07				Obligated for Transfer to FTA Grant	
D33	ALA070029	LAVTA	E. Dublin/ Pleasanton BART Station Shuttle						
	CMAQ	\$102	Con	06/07				Obligated for Transfer to FTA Grant	
D34	ALA050054	Livermore	East Ave Rehab (Hillcrest to Loyola)						
	STP	\$158	Con	05/06	Liquidate Funds	05/01/12	G	\$158 Obligated 5/1/06	
D35	ALA050024	Livermore	South Vasco Rd Rehab						
	STP	\$300	Con	05/06	Liquidate Funds	05/01/12	G	\$300 Obligated 5/1/06	
D36	ALA050068	Livermore	Murrieta Blvd Pavement Rehabilitation						
	STP	\$486	Con	06/07	Liquidate Funds	04/27/13	G	Final Invoice Sub'd 1/17/07	
D37	ALA070038	Livermore	Downtown Livermore Ped Transit Connection						
	CMAQ	\$1,060	Con	08/09	Liquidate Funds	03/30/15	G	\$888 Obligated 3/30/09 Contract Awarded 7/13/09	
	CMAQ	\$140	PE	07/08	Liquidate Funds	11/16/13	G	\$140 obligated 11/16/07	

Appendix D (cont.)**Projects with Liquidate Funds as the Next Required Activity
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Index	TIP ID	Sponsor	Project Title		Req'd Activity	Date	Zone	Notes
	Source	Prog'd Amount (\$x 1,000)	Phase	FY		Req'd By		
D38	ALA070059	Livermore	Downtown Pedestrian Improvements					
	CMAQ	\$845	Con	08/09	Liquidate Funds	04/08/15	G	\$845 Obligated 4/8/09 Contract Awd 10/12/09
D39	ALA010021	Oakland	City of Oakland Street Resurfacing Program					
	STP	\$825	Con	05/06	Liquidate Funds	06/21/12	G	\$825 Obligated 6/21/06
D40	ALA030007	Oakland	Coliseum Transit Hub (San Leandro St. btwn 73rd & 66th Ave)					
		\$89	Con	06/07	Liquidate Funds	01/17/13	G	\$89K Obligated 1/17/07 CE determination 5/26/04
D41	ALA050023	Oakland	Rehab on Various Sts					
	STP	\$2,486	Con	07/08	Liquidate Funds	04/11/14	G	\$2,486 Obligated 4/11/08 Contract Awd 1/6/09
	STP	\$1,573	Con	05/06	Liquidate Funds	06/21/12	G	\$1,573 Obligated 6/21/06
D42	ALA050039	Oakland	MacArthur Transit Hub Improvement Project					
	CMAQ	\$996	Con	06/07 07/08	Liquidate Funds	03/30/13	G	\$681 Obligated 3/30/07 \$215 Obligated 9/5/07 \$100 Obligated 6/11/08
	CMAQ	\$200	PE	05/06	Liquidate Funds	03/30/12	G	\$200 Obligated 3/30/06
D43	ALA050080	Oakland	7th St, W. Oakland Transit Village Imps					
	ARRA-TE	\$1,300	Con					\$1,300 Obligated 8/5/09
	STP	\$2,330	Con	08/09	Liquidate Funds	08/05/15	G	\$2,330 Obligated 1/21/09 Re-Obligated 8/5/09
					Liquidate Funds	08/05/15	G	Contract Awarded 12/8/09
	CMAQ	\$320	PE	07/08	Liquidate Funds	11/05/13	G	\$320 Obligated 11/5/07
D44	ALA070011	Oakland	66th Avenue Streetscape Improvement Project					
	CMAQ	\$1,230	Con	08/09	Liquidate Funds	03/30/15	G	\$1,230 Obligated 3/30/09
D45	ALA070027	Oakland	W. Oakland Bay Trail: Mandela Pkwy & 8th Street					
	CMAQ	\$770	Con	06/07	Liquidate Funds	03/19/13	G	\$770 Obligated 3/19/07

Appendix D (cont.)**Projects with Liquidate Funds as the Next Required Activity
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Index	TIP ID	Sponsor		Project Title		Date Req'd By	Zone	Notes
		Source	Prog'd Amount (\$x 1,000)	Phase	FY			
D46	ALA070039	Oakland	Oakland Waterfront Bay Trail					
	CMAQ		\$899	Con	07/08	Liquidate Funds	04/16/14	G \$599 Obligated 4/16/08 \$300 Obligated 7/11/08
D47	ALA050026	San Leandro	Washington Ave Rehab					
	STP		\$30	PSE	04/05	Liquidate Funds	02/24/11	G \$30 Obligated 2/24/05
	STP		\$445	Con	05/06	Liquidate Funds	03/24/12	G \$455 Obligated 3/24/06
D48	ALA050055	San Leandro	Floresta Blvd Street Rehab					
	STP		\$185	Con	05/06	Liquidate Funds	03/24/12	G \$185 Obligated 3/24/06
D49	ALA070030	San Leandro	Traffic Signal System Improvements					
	CMAQ		\$100	Con	06/07	Liquidate Funds	04/30/13	G \$100 Obligated 4/30/07
D50	ALA050069	San Leandro	Washington Ave Rehab -San Lorenzo Creek to I-880 O/C					
	STP		\$442	Con	07/08	Liquidate Funds	05/07/14	G \$442 Obligated 5/7/08
	STP		\$49	PE	06/07	Liquidate Funds	03/05/13	G \$49 Obligated 3/5/07
D51	ALA050078	San Leandro	Bay Trail Bridge at Oyster Bay Slough					
	CMAQ		\$750	Con	08/09	Liquidate funds	12/19/14	G \$750 Obligated 12/19/08
D52	ALA070048	San Leandro	San Leandro ATMS Upgrade					
	CMAQ		\$184	Con	07/08	Liquidate Funds	04/02/14	G \$184 Obligated 4/2/08 Force Account
D53	ALA990015	Union City	UC Intermodal Station					
	CMAQ		\$124	Con	07/08			Obligated for Transfer to FTA Grant 2/6/08
	CMAQ		\$1,702	Con	07/08			Obligated for Transfer to FTA Grant 1/25/08
	CMAQ		\$3,024	Con	05/06			Obligated for Transfer to FTA Grant 7/10/06



Memorandum

DATE: February 1, 2011

TO: Programs and Projects Committee

FROM: Matt Todd, Manager of Programming
Alameda County Technical Advisory Committee (ACTAC)

SUBJECT: Approve CMA Exchange Program Quarterly Status Report

Recommendation

It is recommended the Commission approve the CMA Exchange Program Quarterly Status Report, dated January 31, 2011.

Information

The CMA Exchange Program provides funding for the projects programmed in the CMA Transportation Improvement Program (CMATIP), a local fund source administered by the Alameda CTC. The report contains a listing of all of the projects in the CMA Exchange Program, along with the current status of each exchange. No additional exchange revenue has been received since the October 2010 report.

Attachment

Attachment A – CMA Exchange Program Quarterly Status Report

CMA Exchange Projects - Quarterly Status Report
Status Date: January 31, 2010

Index	CMA Exchange Project Number	Sponsor	Project	Exchange Fund Source	Exchange Amount	Amount Rec'd (as of 12/17/10)	Amount Remaining (to be rec'd)	Estimated Payback Date (full amount)	Agreement Status ¹	Notes
1	Ex 1	AC Transit	Bus Rehabilitation	STIP-RIP	\$ 20,182,514	\$ 20,182,514	\$ -	Done	E	
2	Ex 2	AC Transit	Bus Component Rehab	STP	\$ 4,000,000	\$ 4,000,000	\$ -	Done	E	
3	Ex 3	AC Transit	Bus Component Rehab	STIP-RIP	\$ 4,500,000	\$ 4,500,000	\$ -	Done	E	
4	Ex 15	AC Transit	Bus Rehabilitation	STIP-RIP	\$ 6,378,000	\$ 4,728,844	\$ 1,649,156	12/31/10	E	
5	Ex 18	Ala. County	Vasco Rd. Safety Improvements	STP	\$ 7,531,000		\$ 7,531,000	12/31/15	D	
6	Ex 19	Ala. County	ARRA LSR Project	ARRA	\$ 1,503,850		\$ 1,503,850	12/31/10	D	
7	Ex 16	ACTIA	I-580 Castro Valley I/C Imps	STP	\$ 1,000,000		\$ 1,000,000	12/31/10	D	
8	Ex 17	ACTIA	I-580 Castro Valley I/C Imps	STIP-RIP	\$ 1,300,000		\$ 1,300,000	12/31/10	D	
9	Ex 4	BART	Seismic Retrofit	STIP-RIP	\$ 8,100,000	\$ 8,100,000	\$ -	Done	E	
10	Ex 5	Berkeley	Street Resurfacing	STP	\$ 259,560	\$ 259,560	\$ -	Done	E	
11	Ex 6	Dublin	Tassajara Interchange	STIP-RIP	\$ 4,230,000	\$ 4,230,000	\$ -	Done	E	
12	Ex 7	Fremont	Street Rehabilitation	STIP-RIP	\$ 2,196,900	\$ 2,196,900	\$ -	Done	E	
13	Ex 8	Fremont	Street Resurfacing	STP	\$ 858,000	\$ 858,000	\$ -	Done	E	
14	Ex 14	Fremont	Street Overlay -13 Segments	STP	\$ 1,126,206	\$ 1,126,206	\$ -	Done	E	
15	Ex 20	Fremont	ARRA LSR Project	ARRA	\$ 1,802,150	\$ 1,802,150	\$ -	Done	E	
16	Ex 9	Livermore	Isabel Interchange	STIP-RIP	\$ 3,600,000	\$ 3,600,000	\$ -	Done	E	
17	Ex 10	MTC	East Dublin County BART	STP	\$ 750,000	\$ 750,000	\$ -	Done	E	
18	Ex 11	Union City	UC Intermodal Station	STIP-RIP	\$ 9,314,000	\$ 1,813,153	\$ 7,500,847	12/31/10	E	
					Totals:	\$ 78,632,180	\$ 58,147,327	\$ 20,484,853		

Notes:

- ¹ E = Agreement Executed
- A = Agreement Amendment in Process
- D = Agreement Draft Form
- N = Agreement Not Initiated



Memorandum

DATE: February 1, 2011

TO: Programs and Projects Committee

FROM: Matt Todd, Manager of Programming
Alameda County Technical Advisory Committee (ACTAC)

SUBJECT: Approve Transportation Fund for Clean Air (TFCA) Program At Risk Report

Recommendation

It is recommended the Commission approve the TFCA At Risk Report, dated January 31, 2011.

Summary

The report includes the currently active and recently completed projects programmed with Alameda County TFCA Program Manager funds. The report segregates a total of 26 projects into Red, Yellow, and Green zones based on upcoming project delivery milestones. The four "Red Zone" projects have required activities due within the next four months. The remaining 22 projects are listed under the report's "Green Zone" and have required activities that are due in eight months or more. There are no "Yellow Zone" projects for this report. Six projects are listed as completed and will be removed from the next report.

The funding agreements for the projects approved for the FY 2010/11 program were provided to sponsors in November and a fully-executed agreement will be due by February 17, 2011. If a funding agreement for a FY 10/11 project has yet to be executed, it has been included in the report's Red Zone.

Attachment

Attachment A – TFCA Program Manager Fund At Risk Report

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TFCA County Program Manager Fund

At Risk Report

Report Date: January 31, 2011

Project No.	Sponsor	Project Title	Balances	Required Activity	Date Due	Activity Completed (Date or Y/N)	Notes
RED ZONE (Milestone deadline within 4 months)							
10ALA01	Alameda County	Fairmont Campus to BART Shuttle (FY 10/11)	TFCA Award	Agreement Executed	2/17/11		Funding agreement to be executed by 2/17/11. Signed agreement has been received from sponsor.
			\$ 110,000.00	Project Start	Mar-11		
			TFCA Expended	Final Reimbursement	12/31/13		
			\$ -	FMR	Jan-13		
				Expend Deadline Met?	10/28/12		
10ALA03	Fremont	Signal Retiming: Paseo Padre parkway and Auto Mall Parkway	TFCA Award	Agreement Executed	2/17/11		Funding agreement to be executed by 2/17/11.
			\$ 210,000.00	Project Start	Mar-11		
			TFCA Expended	Final Reimbursement	12/31/13		
			\$ -	FMR	Jan-13		
				Expend Deadline Met?	10/28/12		
10ALA05	Oakland	Broadway Shuttle - Extended Service	TFCA Award	Agreement Executed	2/17/11		Funding agreement to be executed by 2/17/11. Signed agreement has been received from sponsor.
			\$ 166,880.00	Project Start	Mar-11		
			TFCA Expended	Final Reimbursement	12/31/13		
			\$ -	FMR	Jan-13		
				Expend Deadline Met?	10/28/12		
10ALA06	Oakland	Webster/Franklin Bikeway Project	TFCA Award	Agreement Executed	2/17/11		Funding agreement to be executed by 2/17/11. Signed agreement has been received from sponsor.
			\$ 90,000.00	Project Start	Mar-11		
			TFCA Expended	Final Reimbursement	12/31/13		
			\$ -	FMR	Jan-13		
				Expend Deadline Met?	10/28/12		
GREEN ZONE (Milestone deadline beyond 7 months)							
07ALA06	BART	Multi-Jurisdiction Bike Locker Project	TFCA Award	Agreement Executed	1/1/08	3/8/08	Expenditures not complete Expenditure deadline Dec '11 (2nd extension app'd 10/28/10) FMR Due Jan '12
			\$ 275,405.00	Project Start	2/1/08	Feb-08	
			TFCA Expended	Final Reimbursement	12/31/11		
			\$ -	FMR	Jan-12		
				Expend Deadline Met?	12/22/11		
08ALA01	ACCMA	Webster Street Corridor Enhancements Project	TFCA Award	Agreement Executed	1/8/09	12/16/08	Expenditures not complete Expenditure deadline Dec '11 (Extension approved 10/28/10) FMR Due Oct '11
			\$ 420,000.00	Project Start	Jan-09	Jun-09	
			TFCA Expended	Final Reimbursement	12/31/11		
			\$ -	FMR	Oct-11		
				Expend Deadline Met?	12/22/11		
08ALA02	BART	Castro Valley BART Station Bicycle Lockers	TFCA Award	Agreement Executed	1/31/09	2/12/09	Expenditures not complete Expenditure deadline Dec '11 (Extension approved 10/28/10) FMR Due Jan '12
			\$ 66,500.00	Project Start	Jan-09	Jan-09	
			TFCA Expended	Final Reimbursement	12/31/11		
			\$ -	FMR	Jan-12		
				Expend Deadline Met?	12/22/11		
08ALA03	Berkeley	9th Street Bicycle Boulevard	TFCA Award	Agreement Executed	1/8/09	1/14/09	Expenditures not complete Expenditure deadline Dec '11 (Extension approved 10/28/10) FMR Due Oct '11
			\$ 247,316.00	Project Start	Jan-09	Jan-09	
			TFCA Expended	Final Reimbursement	12/31/11		
			\$ -	FMR	Oct-11		
				Expend Deadline Met?	12/22/11		
08ALA05	ACCMA	Oakland San Pablo Avenue TSP/Transit Improvement Project	TFCA Award	Agreement Executed	NA	8/22/08	Expenditure deadline Dec '10 Expenditures complete Final Invoice to be received FMR Due Feb '13 (2-year post-project reporting required)
			\$ 174,493.00	Project Start	Apr-09	Jul-09	
			TFCA Expended	Final Reimbursement	12/31/11		
			\$ -	FMR	Feb-13		
				Expend Deadline Met?	12/22/10	Yes	
08ALA11	LAVTA	Route 10 BRT TSP and Queue Jumper Improvements	TFCA Award	Agreement Executed	1/8/09	11/19/08	Expenditure deadline Dec '10 Expenditures complete Final Invoice received Jan'11 FMR Due Mar '11
			\$ 444,722.00	Project Start	Jul-09	Jul-09	
			TFCA Expended	Final Reimbursement	12/31/11		
			\$ 417,485.74	FMR	Mar-11		
				Expend Deadline Met?	12/22/10	Yes	
09ALA01	ACCMA	Webster St SMART Corridors	TFCA Award	Agreement Executed	1/7/10	7/7/09	Expenditures not complete Expenditure deadline Jan '12 FMR Due Mar '12
			\$ 400,000.00	Project Start	Oct-09	Jul-09	
			TFCA Expended	Final Reimbursement	12/31/13		
			\$ -	FMR	Mar-12		
				Expend Deadline Met?	01/13/12		

**TFCA County Program Manager Fund
At Risk Report**

Report Date: January 31, 2011

Project No.	Sponsor	Project Title	Balances	Required Activity	Date Due	Activity Completed (Date or Y/N)	Notes
GREEN ZONE (Milestone deadline beyond 7 months), continued							
09ALA02	Alameda County	Fairmont Campus to BART Shuttle (FY 09/10)	TFCA Award	Agreement Executed	1/7/10	1/5/10	Expenditures not complete Expenditure deadline Jan '12 FMR Due Mar '12
			\$ 170,000.00	Project Start	Mar-10	Apr-10	
			TFCA Expended	Final Reimbursement	12/31/13		
			\$ 53,592.00	FMR	Mar-12		
				Expend Deadline Met?	01/13/12		
09ALA04	Berkeley	Citywide Bicycle Parking Program	TFCA Award	Agreement Executed	1/7/10	1/5/10	Expenditures not complete Expenditure deadline Jan '12 FMR Due Mar '12
			\$ 46,887.00	Project Start	Mar-10	Jul-10	
			TFCA Expended	Final Reimbursement	12/31/13		
			\$ -	FMR	Mar-12		
				Expend Deadline Met?	01/13/12		
09ALA05	Fremont	South Fremont Arterial Management	TFCA Award	Agreement Executed	1/7/10	12/03/09	Expenditures not complete Expenditure deadline Jan '12 FMR Due Mar '12
			\$ 232,000.00	Project Start	Jan-10	Nov-09	
			TFCA Expended	Final Reimbursement	12/31/13		
			\$ 155,075.95	FMR	Mar-12		
				Expend Deadline Met?	01/13/12		
09ALA07	AC Transit	Easy Pass Transit Incentive Program	TFCA Award	Agreement Executed	1/7/10	12/03/09	Expenditures not complete Expenditure deadline Jan '12 FMR Due Mar '12
			\$ 350,000.00	Project Start	Sep-09	Nov '09	
			TFCA Expended	Final Reimbursement	12/31/13		
			\$ -	FMR	Mar-12		
				Expend Deadline Met?	01/13/12		
09ALA08	ACCMA	Guaranteed Ride Home Program (FYs 09/10 & 10/11)	TFCA Award	Agreement Executed	1/7/10	7/7/09	Expenditures not complete Expenditure deadline Jan '12 FMR Due Mar '12
			\$ 280,000.00	Project Start	Nov-09	Nov-09	
			TFCA Expended	Final Reimbursement	12/31/13		
			\$ -	FMR	Mar-12		
				Expend Deadline Met?	01/13/12		
09ALA10	ACCMA	Bike to Work Day Marketing and Survey	TFCA Award	Agreement Executed	1/7/10	7/7/09	Expenditures not complete Expenditure deadline Jan '12 FMR Due Mar '12
			\$ 96,000.00	Project Start	Mar-10	Mar-10	
			TFCA Expended	Final Reimbursement	12/31/13		
			\$ -	FMR	Mar-12		
				Expend Deadline Met?	01/13/12		
10ALA02	Alameda CTC	I-80 Corridor Arterial Management	TFCA Award	Agreement Executed	2/17/11	07/09/10	Expenditures not complete Expenditure deadline Oct '12 FMR Due Jan '13
			\$ 100,000.00	Project Start	Mar-11		
			TFCA Expended	Final Reimbursement	12/31/13		
			\$ -	FMR	Jan-13		
				Expend Deadline Met?	10/28/12		
10ALA04	Hayward	Traffic Signal Controller Upgrade and Synchronization	TFCA Award	Agreement Executed	2/17/11	01/26/11	Expenditures not complete Expenditure deadline Oct '12 FMR Due Jan '13
			\$ 614,000.00	Project Start	Mar-11		
			TFCA Expended	Final Reimbursement	12/31/13		
			\$ -	FMR	Jan-13		
				Expend Deadline Met?	10/28/12		
10ALA07	Pleasanton	Pleasanton Trip Reduction Program (FY 10/11)	TFCA Award	Agreement Executed	2/17/11	01/05/11	Expenditures not complete Expenditure deadline Oct '12 FMR Due Jan '13
			\$ 52,000.00	Project Start	Mar-11		
			TFCA Expended	Final Reimbursement	12/31/13		
			\$ -	FMR	Jan-13		
				Expend Deadline Met?	10/28/12		
10ALA08	AC Transit	TravelChoice-New Residents (TCNR)	TFCA Award	Agreement Executed	2/17/11	01/05/11	Expenditures not complete Expenditure deadline Oct '12 FMR Due Jan '13
			\$ 165,000.00	Project Start	Mar-11		
			TFCA Expended	Final Reimbursement	12/31/13		
			\$ -	FMR	Jan-13		
				Expend Deadline Met?	10/28/12		
10ALA09	LAVTA	BART to Downtown Pleasanton - Route 8 (FY 10/11)	TFCA Award	Agreement Executed	2/17/11	12/15/10	Expenditures not complete Expenditure deadline Oct '12 FMR Due Jan '13
			\$ 96,860.00	Project Start	Mar-11		
			TFCA Expended	Final Reimbursement	12/31/13		
			\$ -	FMR	Jan-13		
				Expend Deadline Met?	10/28/12		

**TFCA County Program Manager Fund
At Risk Report**

Report Date: January 31, 2011

Project No.	Sponsor	Project Title	Balances	Required Activity	Date Due	Activity Completed (Date or Y/N)	Notes
GREEN ZONE (Milestone deadline beyond 7 months), continued							
10ALA10	LAVTA	BART/Hacienda Business Park Shuttle - Route 9 (FY 10/11)	TFCA Award	Agreement Executed	2/17/11	12/15/10	Expenditures not complete Expenditure deadline Oct '12 FMR Due Jan '13
			\$ 60,380.00	Project Start	Mar-11		
			TFCA Expended	Final Reimbursement	12/31/13		
			\$ -	FMR	Jan-13		
				Expend Deadline Met?	10/28/12		
10ALA11	LAVTA	ACE Shuttle Service - Route 53 (FYs 10/11 & 11/12)	TFCA Award	Agreement Executed	2/17/11	12/15/10	Expenditures not complete Expenditure deadline Oct '12 FMR Due Jan '13
			\$ 70,677.00	Project Start	Mar-11		
			TFCA Expended	Final Reimbursement	12/31/13		
			\$ -	FMR	Jan-13		
				Expend Deadline Met?	10/28/12		
10ALA12	LAVTA	ACE/BART Shuttle Service - Route 54 (FYs 10/11 & 11/12)	TFCA Award	Agreement Executed	2/17/11	12/15/10	Expenditures not complete Expenditure deadline Oct '12 FMR Due Jan '13
			\$ 72,299.00	Project Start	Mar-11		
			TFCA Expended	Final Reimbursement	12/31/13		
			\$ -	FMR	Jan-13		
				Expend Deadline Met?	10/28/12		
10ALA13	San Leandro	San Leandro Links (FY 10/11)	TFCA Award	Agreement Executed	2/17/11	01/05/11	Expenditures not complete Expenditure deadline Oct '12 FMR Due Jan '13
			\$ 66,605.00	Project Start	Mar-11		
			TFCA Expended	Final Reimbursement	12/31/13		
			\$ -	FMR	Jan-13		
				Expend Deadline Met?	10/28/12		
Completed Projects (will be removed from the next monitoring report)							
07ALA03	County of Alameda	Class II Bicycle Lanes: Wente Street	TFCA Award	Agreement Executed	1/1/08	4/21/08	Expenditures complete FMR Received Oct '10
			\$ 150,000.00	Project Start	10/01/07	Apr-08	
			TFCA Expended	Final Reimbursement	12/31/11	Dec-10	
			\$ 150,000.00	FMR	Mar-11	Oct-10	
				Expend Deadline Met?	12/26/10	Yes	
08ALA04	Oakland	Bay Trail Gap Closure, Fruitvale to Park Street Bridge	TFCA Award	Agreement Executed	1/8/09	11/19/08	Expenditures complete FMR Received Sept '10
			\$ 125,000.00	Project Start	Jan-09	Nov-08	
			TFCA Expended	Final Reimbursement	12/31/11	Oct-10	
			\$ 125,000.00	FMR	Oct-10	Sep-10	
				Expend Deadline Met?	12/22/10	Yes	
08ALA07	San Leandro	San Leandro LINKS (FY 08/09-09/10)	TFCA Award	Agreement Executed	1/8/09	12/12/08	Expenditures complete FMR Received Oct '10
			\$ 165,000.00	Project Start	Dec-08	Sep-08	
			TFCA Expended	Final Reimbursement	12/31/11	May-10	
			\$ 165,000.00	FMR	Oct-10	Oct-10	
				Expend Deadline Met?	12/22/10	Yes	
08ALA10	LAVTA	ACE Shuttle Service-Route 54 (FY 08/09-09/10)	TFCA Award	Agreement Executed	1/8/09	11/19/08	Expenditures complete FMR Received Oct '10
			\$ 84,950.00	Project Start	Nov-08	Oct-08	
			TFCA Expended	Final Reimbursement	12/31/11	Dec-10	
			\$ 84,950.00	FMR	Oct-10	Oct-10	
				Expend Deadline Met?	12/22/10	Yes	
09ALA06	Pleasanton	Trip Reduction Program (FY 09/10)	TFCA Award	Agreement Executed	1/7/10	12/03/09	Expenditures complete FMR Received Oct '10
			\$ 47,000.00	Project Start	Dec-09	Dec-09	
			TFCA Expended	Final Reimbursement	12/31/13	Dec-10	
			\$ 47,000.00	FMR	Mar-11	Oct '10	
				Expend Deadline Met?	01/13/12	Yes	
09ALA09	LAVTA	Route 9 Operating Assistance (FY 09/10)	TFCA Award	Agreement Executed	1/7/10	11/16/09	Expenditures complete FMR Received Oct '10
			\$ 86,133.00	Project Start	Nov-09	Nov-09	
			TFCA Expended	Final Reimbursement	12/31/13	Dec-10	
			\$ 86,133.00	FMR	Mar-12	Oct-10	
				Expend Deadline Met?	01/13/12	Yes	

Report Milestone Notes

Agmt Executed = Date TFCA Agreement executed

Project Start = Date of project initiation

FMR = Date Final Monitoring Report received by CMA

Exp. Deadline Met? = Expenditures completed before deadline (Yes/No)

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Memorandum

T0: Programs and Projects Committee

FROM: Arthur L. Dao, Executive Director
Tess Lengyel, Programs and Public Affairs Manager

DATE: February 7, 2011

SUBJECT: Authorization to Reinstate and Extend Paratransit Gap Grant for AC Transit New Freedom Grant Match Project

Recommendation

Staff recommends that the Alameda County Transportation Commission reinstate and extend the Measure B Paratransit Gap Grant agreement with Alameda Contra Costa Transit District (AC Transit) for the New Freedom Fund Grant Match project (Agreement No. A08-0026). This action will not change the grant funding amount.

Summary

The grant agreement for AC Transit's Paratransit Gap grant agreement for the New Freedom Fund Grant Match expired December 31, 2010. AC Transit's funding agreement from MTC, providing 80 percent of the project funds, was delayed. However, MTC granted an extension through August 2012. In addition, the CCCTA staff assigned to this project retired, and no staff is currently identified for this project; however, AC Transit will identify a replacement in the near future.

A draft of the consultant request for proposals (RFP) is complete, and AC Transit anticipates finalizing and issuing the RFP during this grant reporting period.

This recommendation will allow the Project Sponsor, AC Transit, to complete all requisite task deliverables by August 31, 2012, and subsequently begin project close-out activities. The Project Sponsor requests a grant agreement expiration date of December 31, 2012

Fiscal Impacts

There are no fiscal impacts at this time.

Attachment

Attachment A: 3D.1.1 A08-0026_Formal_Amendment_Request_No1.pdf

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Alameda-Contra Costa Transit District

January 6, 2011

Ms. Tess Lengyel
Alameda CTC Programs and Public Affairs Manager
1333 Broadway, Suite 300
Oakland, CA 94612

Subject: Request No. *1* for Formal Amendment to Grant Agreement No. *A08-0026* for
New Freedom Fund Grant Match

Dear Ms. Lengyel:

We hereby request to formally amend the grant agreement in the subject line as per Section IV Part 8 of said agreement. We have attached the appropriate exhibits to reflect our requested change(s) as follows:

Attached (Yes or No)	Supporting Information for Change Request	
Yes	Exhibit A	Written Explanation for Change Request (<i>Required</i>)
No	Exhibit B	Revised Attachment A: Project Description and Task Breakdown
No	Exhibit C	Revised Attachment B: Task Budgets and Other Funding
Yes	Exhibit D	Revised Attachment C: Task Deliverables and Due Dates, and Project Milestone Schedule
No	Exhibit E	Revised Attachment D: Project Performance Measures
Yes	Exhibit F	Signature Page

We have initialed each of the exhibits showing the change requests, and signed the signature page at the end of this document. We understand that the Alameda County Transportation Commission (Alameda CTC) will review our requested changes and, if agreeable, will initial each exhibit, in addition to providing a full signature on the final signature page, and return copies of the approved exhibits. The approved exhibits signed by both parties will supercede any prior exhibits on file at Alameda CTC.

If you have any questions or need additional information please contact *Kate Miller* at kmiller@actransit.org or (510) 891-4859.

Sincerely,

Kate Miller
Manager,
Capital Development, Legislation & Grants

WRITTEN EXPLANATION FOR CHANGE REQUEST

Project Sponsor: AC Transit

Project Title: New Freedom Fund Grant Match Project

Project Number: A08-0026

Reason for Change: AC Transit is requesting reinstatement of this grant, and an extension through December 2012. The funding agreement from MTC providing 80 percent of the project funds was delayed. The CCCTA staff assigned to this project retired, and no staff is currently identified for this project. However, AC Transit will identify a replacement in the near future. The draft request for proposals (RFP) is complete. AC Transit plans to finalize and issue the RFP in the next grant reporting period. AC Transit requested and received an extension from MTC until August 2012.

Initials:



Sponsor/Recipient

1/12/11

Date

Alameda CTC Executive Director

Date

Alameda CTC Legal

Date

Alameda CTC Finance Director

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**REVISED ATTACHMENT C
TASK DELIVERABLES, DELIVERABLE DUE DATES,
AND MILESTONE SCHEDULE**

Project Sponsor: AC Transit

Project Title: New Freedom Fund Grant Match Project

Project Number: A08-0026

Project Task Deliverables and Due Dates: The following Revised Table C-1 is intended to replace the current, approved Table C-1 in its entirety.

Table C-1: Task Deliverables and Due Dates			
Task No. (from Table A-1)	Deliverable	Previously Approved Deliverable Due Date	Revised Deliverable Due Date
1	Copy of executed agreement with AC Transit and Metropolitan Transportation Commission	August 31, 2009	August 31, 2009
1	Documentation of Award of Contract (including a signed contract and a copy of the RFP) for Consultant	October 31, 2009	September 30, 2011
2	Copy of detailed inventory and database	September 30, 2010	August 31, 2012
3	Copy of Summary of Coordination Options Report	September 30, 2010	August 31, 2012
4	Presentation to the Alameda CTC Paratransit Advisory and Planning Committee (PAPCO)	September 30, 2010	September 30, 2012
4	Final Report / Final Invoice	December 31, 2010	December 31, 2012

(Hit 'Tab' in last cell to expand Table) ▲

Note: Project Sponsor shall provide Alameda CTC with not less than 10 days advance notice of any public meetings or events related to implementation of this grant.

Initials 

Sponsor/Recipient

1/12/11

Date

Alameda CTC Executive Director

Date

Alameda CTC Legal

Date

Alameda CTC Finance Director

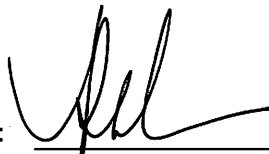
Date

The signatures below confirm that the Alameda CTC has reviewed each exhibit showing requested changes, and these exhibits supercede any prior exhibits on file at Alameda CTC.

All other terms and conditions of the original agreement remain in full force and effect.

Sponsor/Grant Recipient
AC Transit:

Alameda County Transportation Commission
(Alameda CTC):

By:  1/12/11

Kate Miller
Manager,
Capital Development, Legislation
& Grants
Date

By: _____
Arthur L. Dao
Executive Director
Date

Approved as to Legality:

By: _____
Wendel, Rosen, Black & Dean LLP
Alameda CTC Legal Counsel
Date

Reviewed as to Budget/Financial Controls:

By: _____
Patricia Reavy
Finance Director
Date

Attest:

By: _____
Alameda CTC Clerk
Date

Initials:  1/12/11

Sponsor/Recipient
Date

Alameda CTC Executive Director
Date

Alameda CTC Legal
Date

Alameda CTC Finance Director
Date



Memorandum

DATE: February 3, 2011

TO: Project and Programs Committee

FROM: Arthur L. Dao, Executive Director
Tess Lengyel, Programs and Public Affairs manager

SUBJECT: Update on Countywide Safe Routes to School (SR2S) Program

Recommendation

This is an information item only.

At the January 27th Commission meeting, it was requested to bring information contained in one of the consent items back to the Committee for discussion in February to provide the opportunity to discuss the current implementation of the Safe Routes to Schools Program in Alameda County. Four main areas of interest regarding how the current program is performing were raised and each one is addressed in Attachment A:

- 1) Name and location of all schools with a current comprehensive SR2S program.
- 2) Name and location of all schools that received technical assistance (but not a full comprehensive program) since the program began in July 2007.
- 3) Effectiveness of the SR2S program, as measured in surveys.
- 4) Major lessons learned while implementing the SR2S program.

Summary

Alameda CTC is administering a two-year Bicycle and Pedestrian Grant for the Alameda County Safe Routes to Schools Program, which will conclude on June 30, 2011. At that time, the program will continue through funding from MTC for the implementation of a countywide SR2S program. A consultant selection process will be underway in spring 2011 to select a team to implement the MTC-funded program, with the local match from the Alameda CTC, beginning July 1, 2011.

Discussion

Alameda CTC has funded the Alameda County Safe Routes to Schools Program over two consecutive two-year grant cycles. The original grant began in 2007 and was focused on North and Central County to begin the program, and the subsequent grant began in 2009 and serves the entire county. Beginning July 2011, MTC is providing \$3.22 million in Congestion Mitigation and Air Quality (CMAQ) funds to Alameda CTC for the Alameda County SR2S program. This funding is being matched with \$420,000 in Measure B Bicycle and Pedestrian Safety Funds, bringing the total program budget to \$3.64 million.

Attachment

Attachment A - Overview and effectiveness of current TransForm-operated SR2S program

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Summary of Alameda Countywide Safe Routes to Schools Program operated by TransForm

This attachment addresses questions raised by the Alameda CTC Programs and Projects Committee members at the January 2011 meeting regarding the reach and effectiveness of the current TransForm-operated Countywide Safe Routes to Schools program. The primary intent of these questions is to ensure that the new program operator selected through the upcoming SR2S RFP process will build on the lessons learned and successes of the current program.

The following questions were raised and each one is addressed in this attachment:

- 1) Name and location of all schools reached by the SR2S program, including those with comprehensive programs and those who've received support (see Attachment B1).
- 2) Major lessons learned while implementing the SR2S program (below).
- 3) Effectiveness of the SR2S program, as measured in surveys (below).

A summary of the progress made on meeting the current Alameda CTC grant performance measures is included as Attachment B2.

SCHOOLS REACHED BY SR2S PROGRAM

The current TransForm-operated Alameda Countywide SR2S program began in July 2007, with a major Measure B bicycle and pedestrian grant. This first two-year grant established SR2S programs in 50 schools in North and Central County (primarily Berkeley, Oakland and Unincorporated Areas). The second two-year grant, which began in July 2009 and will end this June 2011, had the goal of expanding the program throughout the county and establishing programs in 90 schools.

As of January 2011, almost 150 schools around the county have been touched by the SR2S program, and 92 schools have comprehensive programs, which are designed to meet the unique needs of each school, and include regular contact and support by TransForm staff, resources to maintain an ongoing SR2S program throughout the year, program evaluation, along with a selection of the following efforts: bicycle safety education, puppet show assemblies, walk audits, trainings for students, staff, and parents, walking school buses, and monthly Walk to School Days. The remaining 56 schools have received varying degrees of technical assistance. Attachment B1 includes a list of all of these schools.

LESSONS LEARNED

TransForm SR2S staff provided this list of the major lessons learned from direct implementation of the SR2S program over three and a half years, including working with school districts, cities, teachers, parents, students and elected officials, as well as some data from focused surveys.

1. **Long-term investments** in Safe Routes to Schools programs are required to achieve success as defined by mode shift and emissions reduction.
2. **Safe Routes to Schools is a multifaceted program requiring a variety of partners** working in a collaborative fashion. Elected officials, city and county staff, law enforcement, school district administrators, parents, and community organizations all play a role in the success or failure of the program. It takes time, sometimes more than a year, to build the necessary trust to establish successful partnerships, particularly in low-income communities.

3. **The SR2S program needs to tailor its messages and solutions to each unique community** in the County. Distance from school is the most important factor in whether children walk or bike to school. From our sampling of schools countywide, 47% live a half mile or less from school, and 32% live one mile or more from school. With the elimination of school busing in most Alameda County communities, it is essential that we strongly address carpooling and transit solutions. Alameda County is diverse, with some cities maintaining mostly neighborhood schools while others have shifted to broader enrollment areas.
4. SR2S has to be **flexible and able to respond to issues outside of our control**; major cuts in city, state, and school district funding present huge challenges at this point in time. For example, many cities and districts are eliminating school buses and crossing guards. The lack of crossing guards is a large barrier to walking for many families, and without alternative systems in place those losing access to school buses will head straight into cars.
5. Making progress in low-income schools also requires a **multicultural staff with second-language capabilities and cultural competency for all staff**. Engaging parents and maintaining programs in low-income schools almost always requires a significantly greater time commitment from staff than working in higher-income, well-resourced schools.
6. **Safe Routes to Schools (SR2S) is, first and foremost, a behavioral change program** that more closely fits into a public health behavioral change framework than the traditional “5 E’s” model promulgated through traditional SR2S teachings. Education and encouragement are not sufficient to change deeply embedded and complex personal behaviors: **Engagement is an essential sixth “E.”**
7. **Achieving mode shift requires the establishment of structural systems** that will allow individuals to try and eventually integrate new behaviors on a regular basis. The walking school bus program, frequent/regular walk and roll to school days, in-class bicycle education linked to safe bicycle storage at the school – These systemwide practices are will shift modes toward more active transportation. Occasional school-wide events are not sufficient to change behavior on an ongoing basis.
8. **Understanding the specific barriers for parents at each school is essential** to crafting a meaningful and effective program. Much of the traditional SR2S messaging focuses on health and environmental benefits, yet these issues are seldom mentioned as concerns by parents. Rather, personal and traffic safety; time and convenience are paramount for today's parents and solutions which speak to these issues must be presented if we are to achieve change.
9. There is no nationwide consensus on appropriate mode shift targets for Safe Routes to Schools programs, nor are there appropriate timelines within which to determine mode shift goals, due to the relative youth of the Safe Routes to Schools movement and its evaluation tools and methodologies. As the program grows in Alameda County, we will need to **set ambitious, yet realistic mode shift goals and targets**.
10. In Alameda County, we **have achieved an overall mode shift of 10% away from cars**, including carpooling and transit increases. For both our first grant cycle and midway through our second, we have achieved a 4 to 6% increase in walking, with the remainder of the 10% made up through other non-single-family vehicle modes. We are learning that the schools that have been in the program for at least 18 months, have a **solid school champion/volunteer leader, and have principal support**, are schools where we can hope to see a mode shift of 4 to 10%.

PROGRAM EFFECTIVENESS

The SR2S program surveys students, parents and teachers in a variety of ways to determine the effectiveness of the overall program. Data is currently available for the first three years of the program (June 2007 through June 2010), and further data and data analysis will be provided at the end of the current grant period (June 2011).

In each school year, students at a limited number of schools are surveyed in the Fall and in the Spring to determine changes in how students get to and from school. The SR2S program uses a survey developed by the National Center for Safe Routes to Schools, the same one used throughout the country. The student surveys are time-intensive and are therefore not conducted at every school, but rather at a representative sample of schools.

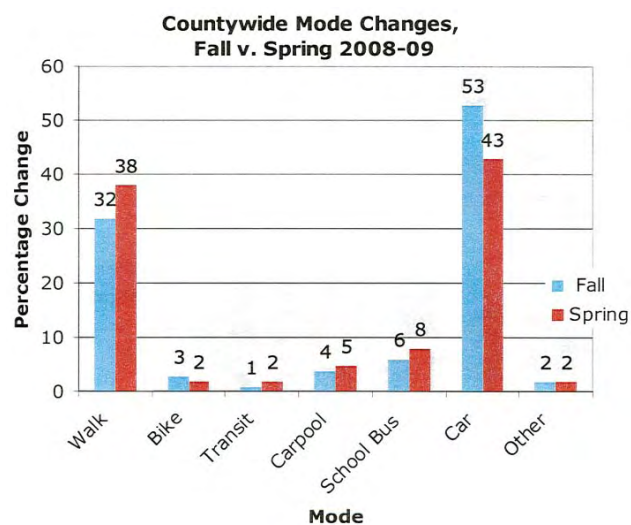
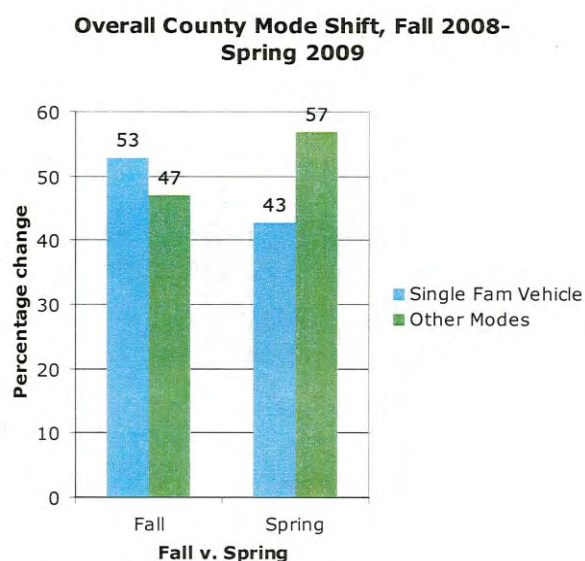
Student Survey Data: FY 2008-2009

In FY 2008-2009 (which was the second year of the first grant), ten schools (representing 3,465 students) were surveyed out of the fifty schools with comprehensive programs. These schools were all in North and Central County, since that is where the program operated during the first grant.

During this fiscal year, the SR2S program increased walking and other non-single family vehicle travel to school by 10%, increasing mode share from 47% to 57% countywide. Single family vehicle use declined from 53% in the fall to just 43% in the spring.

In addition, the percentage of children walking to school increased by 6% countywide. The remainder of the mode shift was made up in other areas, especially carpooling and bus riding.

Key Findings: Student Surveys, Fall 2008 versus Spring 2009 Results from 10 Schools and 3,465 Students

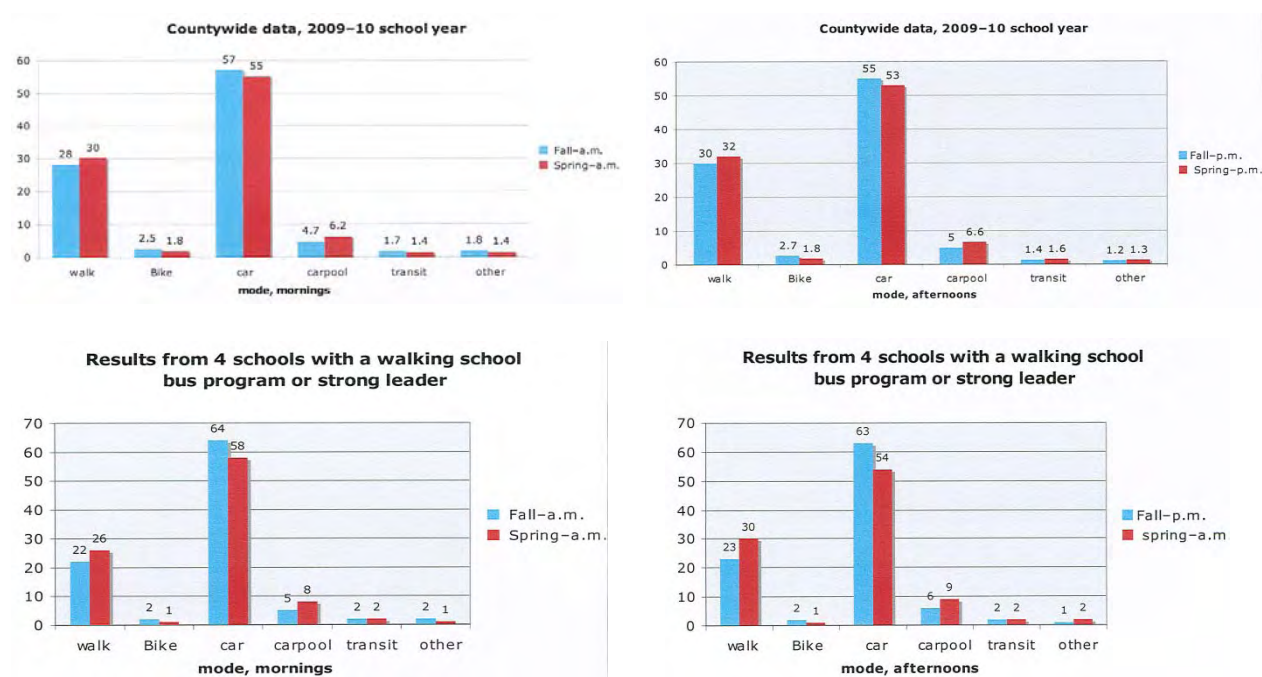


Student Survey Data: FY 2009-2010

In FY 2009-2010 (which was the first year of the second grant), eleven elementary schools (representing 4,334 students) were surveyed out of the approximately 84 schools with comprehensive programs. These schools were located around the county.

Countywide, the survey showed a 2% increase in walking to school in both the morning and the afternoon, which corresponded to a 2% decrease in driving with the exception of carpooling, which showed a small increase. The rate of bicycling declined from fall to spring.

Key Findings: Student Surveys, Fall 2009 versus Spring 2010



As seen in the graphs immediately above and the table below, SR2S's four "champion schools" (schools with more established programs, walking school bus programs, or a strong parent champion) – Berkeley Arts Magnet, Glenview, Madison and Roosevelt – showed higher mode shifts toward walking and carpooling in comparison to other, newer schools.

Mode Shift for "Champion Schools"

Mode	Morning	Afternoon
Walking	4% increase	7% increase
Carpooling	3% increase	3% increase
Single-family vehicle trips	6% decrease	9% decrease

Parent Survey Data: Fiscal Year 2009-2010

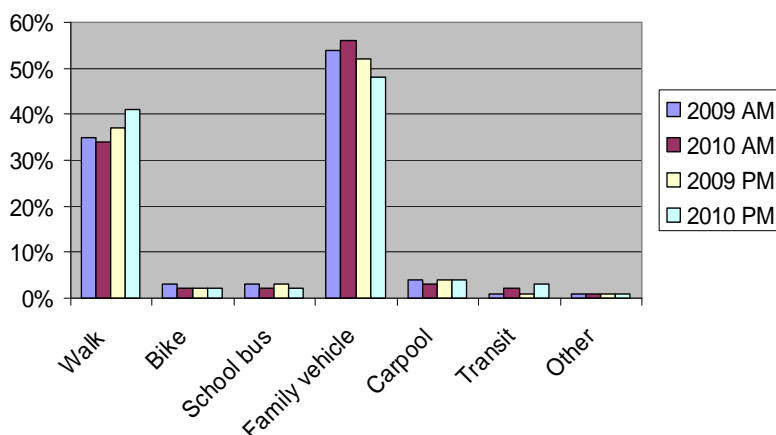
Each year, parents are surveyed in both the Fall and Spring at the same schools sites where the student surveys are conducted. While the intent of these surveys is primarily to identify parent concerns which are used to design the program, the surveys also provide a way to evaluate the overall program.

Some of the findings from the Fiscal Year 2009-2010 surveys include:

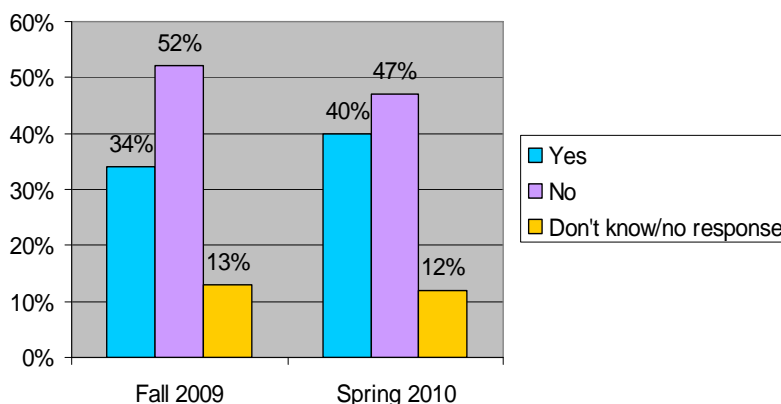
- Of parents who live less than a quarter-mile from school, 35% still drive their children to and from school. *These parents are prime targets for the walking school bus program.*
- Those who live over two miles from school are prime candidates for carpooling. Many are not aware that the carpools exist and/or assume there are few parents who live as far away from school as they do. *Publication of the feasibility and benefits of carpooling for this population is an area for focus.*

Data from two additional pertinent questions from the FY 2009-2010 parent surveys is as follows:

1) On most days, how does your child arrive and leave for school?



2) Has your child asked you for permission to walk or bike to/from school in the last year?



Data on Teachers' Response to the Puppet Show: *A Breath of Fresh Air*

A Breath of Fresh Air is considered one of TransForm's most effective programs and it is offered at all schools with a comprehensive program. The teachers are surveyed regarding their perceived effectiveness of the puppet show.

BEFORE: When asked to rate the level of knowledge of pedestrian and bicycle safety concepts, their students had before the presentation, teachers gave their students an average score of 3.75 out of 7.0, or slightly below average.

AFTER: After the show, teachers rated their students' knowledge had increased to a score of 6, which is above average and close to "full knowledge."

Data on Bicycle Education for Middle School Students

The *Drive your Bike* training program is offered at eight middle schools participating in the Safe Routes to Schools program. Cycles of Changes operates and evaluates the program.

Before any program begins, students take a 14-question, multiple-choice test on bicycle and traffic safety concepts. After the program, students take the exact same test again. Test results during the second half of fiscal year 2009-2010 show significant improvement in bicycle and traffic safety knowledge gained from the program. Of the 1,629 students who participated in the Drive Your Bike training in Spring 2010, the average student scored 56% on the pre-test and 82% on the post-test, showing a 26% improvement.

Alameda County
Safe Routes to Schools Program
Schools with Comprehensive Programs (C) or schools that have received Technical Assistance (T) since July 2007

School District/City	Name of School	Comprehensive Program (C) or Technical Assistance (T)
Alameda Unified School District	Amelia Earhart Elementary	C
Alameda Unified School District	Bay Farm Elementary	C
Alameda Unified School District	Chipman Middle	C
Alameda Unified School District	Edison Elementary	C
Alameda Unified School District	Franklin Elementary	C
Alameda Unified School District	Haight Elementary	C
Alameda Unified School District	Lincoln Middle	C
Alameda Unified School District	Lum Elementary	C
Alameda Unified School District	Otis Elementary	C
Alameda Unified School District	Paden Elementary	C
Alameda Unified School District	Ruby Bridges Elementary	C
Alameda Unified School District	Washington Elementary	C
Alameda Unified School District	Wood Middle	C
Albany Unified School District	Albany Middle School	C
Albany Unified School District	Cornell Elementary School	C
Albany Unified School District	Marin Elementary School	C
Albany Unified School District	Ocean View Elementary School	C
Berkeley Unified School District	Berkeley Arts Magnet	C
Berkeley Unified School District	Cragmont Elementary School	C
Berkeley Unified School District	Emerson Elementary School	C
Berkeley Unified School District	Jefferson Elementary School	C
Berkeley Unified School District	John Muir Elementary School	C
Berkeley Unified School District	King Middle School	C
Berkeley Unified School District	Le Conte Elementary	C
Berkeley Unified School District	Malcolm X Elementary	C
Berkeley Unified School District	Oxford Elementary School	C
Berkeley Unified School District	Rosa Parks Elementary	C
Berkeley Unified School District	Thousand Oaks Elementary School	C
Berkeley Unified School District	Washington Elementary School	C
Castro Valley Unified School District	Castro Valley Elementary	C
Castro Valley Unified School District	Stanton Elementary	C
Dublin Unified School District	Dublin Elementary	C
Dublin Unified School District	Murray Elementary	C
Hayward Unified School District	Cherryland Elementary	C
Hayward Unified School District	Longwood Elementary	C
Livermore Valley Joint Unified School District	Jackson Avenue Elementary	C
Livermore Valley Joint Unified School District	Junction Avenue K-8 School	C
Livermore Valley Joint Unified School District	Portola Elementary	C
Livermore Valley Joint Unified School District	Marilyn Avenue Elementary	C
Newark Unified School District	Newark Junior High	C
New Haven Unified School District	Alvarado Elementary	C
New Haven Unified School District	Cesar Chavez Middle School	C
New Haven Unified School District	Eastin Elementary	C
New Haven Unified School District	Emanuele Elementary	C
New Haven Unified School District	Hillview Crest Elementary	C
New Haven Unified School District	Kitayama Elementary	C
New Haven Unified School District	Pioneer Elementary	C
New Haven Unified School District	Searles Elementary	C
Oakland Unified School District	Acorn Woodland Elementary	C
Oakland Unified School District	Allendale Elementary	C
Oakland Unified School District	Berkley Maynard Academy Elementary	C
Oakland Unified School District	Bridges Academy	C
Oakland Unified School District	Brookfield Village Elementary	C
Oakland Unified School District	Chabot Elementary	C
Oakland Unified School District	Coliseum College Prep Academy (CCPA) at Havenscourt	C
Oakland Unified School District	Community United (CUES) at Lockwood	C
Oakland Unified School District	Crocker Highlands	C
Oakland Unified School District	Education for Change- Cox Academy	C
Oakland Unified School District	Education for Change-Achieve Academy	C
Oakland Unified School District	EnCompass Academy	C
Oakland Unified School District	Esperanza Elementary	C
Oakland Unified School District	Franklin Elementary	C
Oakland Unified School District	Fred T. Korematsu Discovery Academy	C

Alameda County
Safe Routes to Schools Program
Schools with Comprehensive Programs (C) or schools that have received Technical Assistance (T) since July 2007

School District/City	Name of School	Comprehensive Program (C) or Technical Assistance (T)
Oakland Unified School District	Fruitvale Elementary	C
Oakland Unified School District	Futures at Lockwood	C
Oakland Unified School District	Glenview Elementary	C
Oakland Unified School District	Global Family School	C
Oakland Unified School District	International Community School	C
Oakland Unified School District	Learning Without Limits	C
Oakland Unified School District	Manzanita Community School	C
Oakland Unified School District	Manzanita SEED	C
Oakland Unified School District	Montclair Elementary	C
Oakland Unified School District	Parker Elementary	C
Oakland Unified School District	Peralta Elementary	C
Oakland Unified School District	Reach Academy	C
Oakland Unified School District	Roots International Academy	C
Oakland Unified School District	Sequoia Elementary	C
Oakland Unified School District	Sobrante Park Elementary	C
Oakland Unified School District	Think College Now	C
Pleasanton Unified School District	Mohr Elementary	C
Pleasanton Unified School District	Valley View Elementary	C
San Leandro Unified School District	McKinley Elementary	C
San Leandro Unified School District	James Madison Elementary	C
San Leandro Unified School District	Roosevelt Elementary	C
San Leandro Unified School District	Washington Elementary	C
San Leandro Unified School District	Wilson Elementary	C
San Leandro Unified School District	Bohannon Middle School	C
San Lorenzo Unified School District	Colonial Acres Elementary	C
San Lorenzo Unified School District	Edendale Middle School	C
San Lorenzo Unified School District	Grant Elementary	C
San Lorenzo Unified School District	Hillside Elementary	C
San Lorenzo Unified School District	Lorenzo Manor Elementary	C
San Lorenzo Unified School District	Washington Manor Middle School	C
Alameda Unified School District	NEA Charter Elementary	T
Berkeley Unified School District	Archway School	T
Berkeley Unified School District	Longfellow Middle School	T
Berkeley Unified School District	Montessori Family School	T
Berkeley Unified School District	Willard Middle School	T
Castro Valley Unified School District	Jensen Ranch Elementary	T
Castro Valley Unified School District	Marshall Elementary	T
Castro Valley Unified School District	Palomares Elementary	T
Dublin Unified School District	Fredericksen Elementary	T
Hayward Unified School District	Fairview Elementary	T
Hayward Unified School District	Harder Elementary	T
Hayward Unified School District	Strobridge Elementary	T
Newark Unified School District	Alvarado Middle School	T
Oakland Unified School District	ASCEND School	T
Oakland Unified School District	Bella Vista Elementary	T
Oakland Unified School District	Bently Independent School	T
Oakland Unified School District	Bret Harte Middle School	T
Oakland Unified School District	Carl Munck Elementary	T
Oakland Unified School District	Cleveland Elementary	T
Oakland Unified School District	Edna Brewer Middle School	T
Oakland Unified School District	Emerson Elementary	T
Oakland Unified School District	Frick Middle School	T
Oakland Unified School District	Garfield Elementary	T
Oakland Unified School District	Grass Valley Elementary	T
Oakland Unified School District	Hillcrest Elementary	T
Oakland Unified School District	Hoover Elementary	T
Oakland Unified School District	Horace Mann Elementary	T
Oakland Unified School District	Howard Elementary	T
Oakland Unified School District	Joaquin Miller Elementary	T
Oakland Unified School District	Kaiser Elementary	T
Oakland Unified School District	La Escuelita Elementary	T
Oakland Unified School District	Lakeview Elementary	T
Oakland Unified School District	Laurel Elementary	T

Alameda County
Safe Routes to Schools Program
Schools with Comprehensive Programs (C) or schools that have received Technical Assistance (T) since July 2007

School District/City	Name of School	Comprehensive Program (C) or Technical Assistance (T)
Oakland Unified School District	Lincoln Elementary	T
Oakland Unified School District	Madison Middle School	T
Oakland Unified School District	Martin Luther King Jr. Elementary	T
Oakland Unified School District	Maxwell Park Elementary	T
Oakland Unified School District	Melrose Leadership Academy	T
Oakland Unified School District	Oakland Technical High School	T
Oakland Unified School District	Pacific Boychoir Academy	T
Oakland Unified School District	Patton Academy of Christian Education	T
Oakland Unified School District	Piedmont Avenue Elementary	T
Oakland Unified School District	Redwood Heights Elementary	T
Oakland Unified School District	Roosevelt Middle School	T
Oakland Unified School District	St. Paul's Episcopal School	T
Oakland Unified School District	Thornhill Elementary	T
Piedmont Unified School District	Beach Elementary School	T
Piedmont Unified School District	Havens Elementary School	T
Piedmont Unified School District	Wildwood Elementary School	T
Pleasanton Unified School District	Alisal Elementary	T
Pleasanton Unified School District	Donlon Elementary	T
Pleasanton Unified School District	Fairlands Elementary	T
Pleasanton Unified School District	Hearst Elementary	T
Pleasanton Unified School District	Lydiksen Elementary	T
San Leandro Unified School District	St. Leander School	T

Schools participating in Comprehensive Program: 92
Schools offered Technical Assistance: 56

Note: Many of the schools categorized as receiving Technical Assistance during the first three years of the program have become involved in the Comprehensive Program, thus the discrepancy in numbers.

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Memorandum

DATE: February 1, 2011

TO: Programs and Projects Committee

FROM: Ray Akkawi, Manager of Project Delivery

SUBJECT: Southbound I-680 High Occupancy Vehicle Lane/ Express Lane Project -- Approval to Amendment No. 1 to the Construction Management Contract with S&C Engineers, Inc., to extend Contract Expiration Date

Recommendation

It is recommended that the Commission approve Amendment No. 1 to the construction services contract with S&C Engineers, Inc., to extend the contract expiration date from September 30, 2010 to December 31, 2011. The contract time extension is needed to allow the Commission to continue to provide construction support to Caltrans in the administration of the on-going construction contract for the Southbound I-680 HOV/Express Lane Project.

Approval of the contract expiration date will not increase the contract budget and will not have a fiscal impact.

Summary

The Department of Transportation is administering the construction of the civil elements of the I-680 HOV/Express Lane project. The executed construction cooperative agreement between the Alameda County Congestion Management Agency (now the Commission) and Caltrans requires the ACCMA to provide construction management support to Caltrans in the administration of the construction contract. Specifically, Caltrans requested that the Commission to provide construction management support in the area of construction cost and schedule controls, as well as construction claim analysis. S&C Engineers, under contract with the Commission, has been providing those critical services.

Discussion/Background

The I-680 Southbound Express Lane project has two components; the civil elements which widens the existing freeway from Route 84 in the City of Pleasanton to Route 237 in the City of Milpitas, and the Electronic Toll System element that provides the software and electronics necessary to collect traffic data, calculate the dynamic tolling price, and communication with the California Highway Patrol, Toll Data Center, and the Customer Service Center resides at the Bay Area Toll Authority. The ACCMA administers the ETS contract.

At the request of Caltrans, the ACCMA Board at its meeting on June 26, 2008, authorized the Executive Director to execute the necessary contract with a construction management consultant

firm to provide construction support. The ACCMA executed a contract with S&C Engineers in the amount of \$159,174, to provide a schedule and claim analyst to support Caltrans in administering the three construction contracts for the I-680 HOV/Express Lane project. The Express Lane was opened in September 2010, but construction activities have not been completed. S&C Engineers contract time expired last September with a \$63,665 balance in the contract. Caltrans requested to extend the time of this contract until December 31, 2011, to coincide with the completion of the construction contract. Extending the time of the contract will allow Caltrans to use the services of the schedule and claim analyst should there be a schedule issue or a claim that needs to be analyzed.

Staff is recommending the Commission authorize the Executive Director to amend the time of S&C Engineers contract to December 31, 2011.

Fiscal Impacts

There will be no impact to the approved ACCMA budget by this action. This action will amend the time of an existing contract.



Memorandum

DATE: February 1, 2011

TO: Programs and Projects Committee

FROM: Stephen D. Haas, Project Manager
Ray Akkawi, Manager of Project Delivery

SUBJECT: I-580 Eastbound High Occupancy Vehicle Lane Project -- Approval of Amendment No. 1 to the Construction Management Services Contract with S&C Engineers, Inc., to Extend Construction Management Contract Expiration Date

Recommendation

It is recommended that the Commission approve Amendment No. 1 to the construction management contract with S&C Engineers, Inc., to extend the contract expiration date to May 31, 2011. S&C Engineers provides support to Caltrans staff managing the construction contract for the I-580 Eastbound HOV Lane Widening Project - Segment 2.

Approval of the contract expiration date will not increase the contract budget and will have no fiscal impact.

Summary

The Department of Transportation is responsible for administering the I-580 Eastbound HOV Lane Widening Project construction contracts. The Construction Cooperative Agreement between the Alameda County Congestion Management Agency (now the Alameda CTC) and Caltrans require the ACCMA to participate in the construction contract administration of the project. The ACCMA has been providing this construction support resource to Caltrans by a contract with Jacobs Field Services and S&C Engineers. The current contract with S&C has expired without using all of the budgeted funds. Caltrans has requested that the contract with S&C be extended so that S&C staff will be available to assist with as-built preparation and contract closeout.

Discussion/Background

On March 27, 2008, the ACCMA Board authorized the execution of a professional services contract to provide construction support for the I-580 eastbound HOV Lane Widening Projects. A contract was executed with Jacobs for Segment 1 in September 2008 and that work is complete. A contract in the amount of \$193,854 was executed with S&C Engineers for Segment 2 in July 2010; this contract expired on December 31, 2010 with \$45,068.86 remaining. The HOV lane has opened, but construction work has not been completed and Caltrans has requested that the contract with S&C be extended so that S&C staff will be available to assist with contract

closeout and as-built preparation. This contract utilizes CMIA funds and they are not eligible for use on other ACTC projects.

Staff recommends that the Commission authorize the Executive Director to amend S&C Engineers' contract to extend the contract time.

Fiscal Impacts

Approval of the requested action will have no impact on the approved ACCMA budget. This action will extend contract time only.



Memorandum

Date: February 1, 2010

To: Programs and Projects Committee

From: John Hemiup, Project Manager

Subject: **I-80 Integrated Corridor Mobility Project -- Update on the Contract Procurement Process to Obtain a Construction Management Services Contract**

Recommendations

This is an information item only and no action is requested. In July 2009, the Alameda County Congestion Management Agency Board authorized the former Executive Director to negotiate and execute a construction management services contract for the above subject project. This staff report is to provide an update on the contract procurement process.

Discussion

The I-80 ICM Project will reduce congestion and delays in the 22-mile I-80 corridor and San Pablo Avenue from Emeryville to the Carquinez Bridge through the deployment of intelligent transportation system (ITS) and transportation operation system (TOS), without physically adding capacity through widening of the corridor. This \$93 million project is funded with the Statewide Proposition 1B bond funds (\$76.7 million), and a combination of funding from Alameda and Contra Costa counties sales tax programs, as well as federal and other local and regional funds. The I-80 ICM Project has been divided into seven sub-projects in order to stage the delivery of contracts, take advantage of the good construction bidding climate of recent years, and minimize project delivery risk to these projects by narrowing each contract's scope. The seven projects are:

- Project #1: Software & Systems Integration
- Project #2: Specialty Material Procurement
- Project #3: Traffic Operations Systems (TOS)
- Project #4: Adaptive Ramp Metering (ARM)
- Project #5: Active Traffic Management (ATM)
- Project #6: San Pablo Corridor Arterial and Transit Improvement Project
- Project #7: Richmond Parkway Transit Center

The Commission staff has been working very closely with the California Transportation Commission (CTC) and Caltrans on the delivery of this regionally significant project. As the result of this partnership, last month, in January, the CTC allocated over \$23 million in State bond funds for the implementation of Project No. 3 and Project No. 6.

Under an agreement with Caltrans, the Alameda CTC is responsible for the construction administration and management of the Projects 1, 2, 3, and 6. Thus, at its meeting on July 23, 2009, the ACCMA Board authorized the Executive Director to negotiate and execute a Construction Management Services Contract for the project. Subsequently, a request for proposal was issued on November 30, 2010. The deadline proposal submission was January 13, 2011. A pre-proposal meeting was held at the ACTC offices on December 16, 2010. This pre-proposal meeting was well attended by 31 people representing 25 firms. Proposals were received from 7 teams:

- Jacobs Engineering (Oakland, CA)
- S & C Engineers (Oakland, CA)
- Harris & Associates (Oakland, CA)
- Alta Vista Solutions (Emeryville, CA)
- Hill International (Oakland, CA)
- Ghirardelli and Associates (Oakland, CA)
- B & K Construction (San Francisco, CCA)

An independent selection panel composed of professional staff representing major stakeholders of the project – Alameda CTC, Caltrans, Contra Costa Transportation Authority (CCTA), City Of Oakland, and West Contra Costa Transportation Advisory Committee (WCCTAC) -- evaluated the proposals. Four firms were then shortlisted and interviewed on February 2, 2011 by the panel. The four firms were:

- Jacobs Engineering
- S & C Engineering
- Harris & Associates
- Ghirardelli and Associates

The panel has ranked the interviewed firms, and by unanimous decision, selected S&C Engineers, Inc., as the top ranked firm for contract negotiations. Staff is planning to inform the full Commission of the contract budget at the Commission meeting later this month.

Fiscal Impacts:

The revenues and costs associated with this project will be funded through State Infrastructure Bond Program (Proposition 1B) and are included in the approved ACCMA budget.