



Meeting Notice

1111 Broadway, Suite 800, Oakland, CA 94607

• 510.208.7400

• www.AlamedaCTC.org

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Commission Vice Chair

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City of Union City

Mayor Carol Dutra-Vernaci

Executive Director

Arthur L. Dao

Independent Watchdog Committee

Monday, January 9, 2017, 5:30 p.m.

1111 Broadway, Suite 800

Oakland, CA 94607

Mission Statement

The mission of the Alameda County Transportation Commission (Alameda CTC) is to plan, fund, and deliver transportation programs and projects that expand access and improve mobility to foster a vibrant and livable Alameda County.

Public Comments

Public comments are limited to 3 minutes. Items not on the agenda are covered during the Public Comment section of the meeting, and items specific to an agenda item are covered during that agenda item discussion. If you wish to make a comment, fill out a speaker card, hand it to the clerk of the Commission, and wait until the chair calls your name. When you are summoned, come to the microphone and give your name and comment.

Recording of Public Meetings

The executive director or designee may designate one or more locations from which members of the public may broadcast, photograph, video record, or tape record open and public meetings without causing a distraction. If the Commission or any committee reasonably finds that noise, illumination, or obstruction of view related to these activities would persistently disrupt the proceedings, these activities must be discontinued or restricted as determined by the Commission or such committee (CA Government Code Sections 54953.5-54953.6).

Reminder

Please turn off your cell phones during the meeting. Please do not wear scented products so individuals with environmental sensitivities may attend the meeting.

Glossary of Acronyms

A glossary that includes frequently used acronyms is available on the Alameda CTC website at www.AlamedaCTC.org/app_pages/view/8081.

Location Map

★ Alameda CTC
1111 Broadway, Suite 800
Oakland, CA 94607

Alameda CTC is accessible by multiple transportation modes. The office is conveniently located near the 12th Street/City Center BART station and many AC Transit bus lines. Bicycle parking is available on the street and in the BART station as well as in electronic lockers at 14th Street and Broadway near Frank Ogawa Plaza (requires purchase of key card from bikelink.org).



Garage parking is located beneath City Center, accessible via entrances on 14th Street between 1300 Clay Street and 505 14th Street buildings, or via 11th Street just past Clay Street. To plan your trip to Alameda CTC visit www.511.org.

Accessibility

Public meetings at Alameda CTC are wheelchair accessible under the Americans with Disabilities Act. Guide and assistance dogs are welcome. Call 510-893-3347 (Voice) or 510-834-6754 (TTD) five days in advance to request a sign-language interpreter.



Meeting Schedule

The Alameda CTC meeting calendar lists all public meetings and is available at www.AlamedaCTC.org/events/upcoming/now.

Paperless Policy

On March 28, 2013, the Alameda CTC Commission approved the implementation of paperless meeting packet distribution. Hard copies are available by request only. Agendas and all accompanying staff reports are available electronically on the Alameda CTC website at www.AlamedaCTC.org/events/month/now.

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Independent Watchdog Committee Meeting Agenda Monday, January 9, 2017, 5:30 p.m.

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www.AlamedaCTC.org

Chair: Murphy McCalley
Vice Chair: Herb Hastings
Staff Liaison: Patricia Reavey
Public Meeting Coordinator: Angie Ayers

5:30 – 5:35 p.m.
Murphy McCalley

1. Call to Order and Roll Call

5:35 – 5:40 p.m.
Public

2. Public Comment

Page A/I*

5:40 – 5:45 p.m.
Murphy McCalley

3. Approval of November 14, 2016 IWC Meeting Minutes

1 A

5:45 – 6:45 p.m.
John Nguyen
Trinity Nguyen

4. Overview and Update on Delivery and Implementation of Measure B and Measure BB Projects and Programs

4.1. Measure B/Measure BB Programs Update 9 I

4.2. Measure B/Measure BB Capital Projects Update 17 I

6:45 – 7:00 p.m.
IWC Members

5. IWC Member Reports/Issues Identification

5.1. Chair's Report (Verbal) I

5.2. IWC Issues Identification Process and Form 27 I

7:00 – 7:30 p.m.
Staff

6. Staff Reports

6.1. Measure B/Measure BB Program FY2015-16 Compliance and Audit Reports Available on Alameda CTC Website: 31 I

http://www.alamedactc.org/app_pages/view/4135

6.2. IWC Calendar 33 I

6.3. IWC Roster 35 I

7:30 p.m.
Murphy McCalley

7. Adjournment

Next meeting: March 13, 2017

All items on the agenda are subject to action and/or change by the committee.

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Independent Watchdog Committee Meeting Minutes Monday, November 14, 2016, 5:30 p.m.

3.0

1111 Broadway, Suite 800, Oakland, CA 94607

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1. Welcome and Call to Order

Independent Watchdog Committee (IWC) Chair Murphy McCalley called the meeting to order at 5:30 p.m. The meeting began with introductions, and the chair confirmed a quorum. All IWC members were present, except the following: Cheryl Brown, Brian Lester, Glenn Nate and Robert Tucknott. Murphy welcomed new member Curtis Buckley.

2. Public Comment

There were no public comments.

3. Approval of July 11, 2016 IWC Meeting Minutes

A member requested a correction on item 13.3 to change "for information only" to "largely for information." Further discussion took place on item 13.3 regarding the possibility of Measure BB Affordable Student Transit Pass Program (ASTPP) funding being used for crossing guards. A suggestion was made that if ASTPP funding will not be used for crossing guards it should be put in writing.

Oscar Dominguez moved to approve the July 11, 2016 minutes with the above correction. Jo Ann Lew seconded the motion. The motion passed with the following votes:

Yes: Buckley, Dominguez, Dorsey, Hastings, Jones, Lew, McCalley, Piras, Saunders, Zukas
No: None
Abstain: None
Absent: Brown, Lester, Nate, Tucknott

4. Presentation of Draft Comprehensive Annual Financial Report (CAFR) for the Year Ended June 30, 2016

Ahmad Gharaibeh with Vavrinek, Trine, Day & Co (VTD) presented the Draft Comprehensive Annual Financial Report for the year ended June 30, 2016. The auditor reviewed Alameda CTC's financial highlights. The audit covered Measure B and Measure BB funds, as well as the limitation ratios required by the Transportation Expenditure Plans, which require that the total costs of administrative salaries and benefits do not exceed 1.0 percent of sales tax revenues. The Measure B administration costs cannot exceed 4.5 percent of Measure B sales tax revenues and Measure BB administration costs cannot exceed 4.0 percent of Measure BB sales tax revenues. The auditor reported that Alameda CTC received an unmodified, or clean, audit opinion for the year ended June 30, 2016.

Questions/feedback from members:

- Are the I-580 Express Lanes showing under Measure B and Measure BB assets? Patricia Reavey responded that I-580 Express Lanes capital improvement costs are showing up under Alameda CTC assets, because the agency is the owner and operator of the I-580 Express Lanes.

- Does VTD track the money spent outside of Alameda County? Ahmad responded that one of the tests VTD does for Measure B and Measure BB is to make sure that the expenses are compliant with the Transportation Expenditure Plans, which are exclusive to Alameda County. Just by the nature of Alameda CTC's business, Measure B and Measure BB expenses are for the benefit of transit and transportation-related projects in Alameda County. He noted that if the auditor sees an expense to an agency outside of Alameda County, the auditor will question that expense.
- Note 6 Exchange Program: Does the Exchange Program relate only to an exchange of State Transportation Improvement Program (STIP) funds? Patricia stated that before the merger, the Alameda County Transportation Improvement Authority had entered into agreements with the Alameda County Congestion Management Agency (ACCMA) to exchange STIP funds. She explained that Measure B or Measure BB funds could also be exchanged with another funding source through the Exchange Program. It was noted that local agencies may exchange STIP funds for Measure B and Measure BB funds. Patricia explained that Measure B and Measure BB funds must be allocated to a project in the Transportation Expenditure Plan for those funds to be used in an exchange, which eliminates the risk of agencies outside of Alameda County receiving Measure B or Measure BB funds.
- A concern was raised whether Measure B or Measure BB funds are used for the Exchange Program by agencies outside of Alameda County. It was noted that San Joaquin Regional Rail Commission (SJRRRC) and Santa Clara Valley Transportation Authority (VTA) are listed on the "Notes to Financial Statements" on page 41. Patricia responded that the list includes exchanges since inception of the program, and the two exchanges mentioned were completed prior to the creation of the Alameda CTC by the ACCMA when they programmed STIP funds in exchange for local funds. The ACCMA did not have access to Measure B funds.
- How does VTD make sure that clean funds are used for an exchange with an agency outside of Alameda County? Ahmad explained that an exchange of funds is an exchange of cash between one local government and another, and the nature of what can be spent is based on what is in the exchange agreement. Some exchange agreements are designed by MTC, and the funding is not coming from Alameda CTC resources; the identity of the cash has been changed slightly. These agreements are in compliance with Measure B and Measure BB.
- Is there a guarantee that Measure B and Measure BB funds are not used outside of Alameda County? Ahmad responded yes. Patricia mentioned that the list in Note 6 includes exchanges since inception of the exchange program, and VTA and SJRRRC entered into exchange agreements of STIP for local funds with ACCMA before the merger. ACCMA never had access to Measure B or Measure BB funds.
- When contracts are awarded, does VTD make sure that the corporations selected are in Alameda County? Patricia stated that procurement requirements are not addressed in the Transportation Expenditure Plans. However, Alameda CTC has adopted a Local Business Contract Equity Program (LBCE) which guides the procurement of professional services. She informed the committee that an LBCE report goes to the Commission annually. She said that the LBCE Program applies to

Measure B and Measure BB funds, and the Commission reviews the utilization of local dollars by collecting and analyzing financial data. Patricia explained that the business utilization reported for Local Business Enterprises, Small Local Business Enterprises, and Very Small Local Business Enterprises includes information on how much sales tax funds have been spent towards each type of enterprise in the given year. She noted that the report for last fiscal year was presented at the Finance and Administration Committee on November 14, 2016 and will be presented at the full Commission on December 1, 2016.

- Would unrestricted funds be analogous to funds that haven't been programmed? Patricia said unrestricted funds relates to the fund balance in the General Fund. The fund balance in the other funds are restricted for either projects or programs.
- How were the administrative costs allocated between Measure B and Measure BB for salaries and benefits? Patricia responded that every year, Alameda CTC creates the budget with a projection of administrative costs and how employees will spend their time. From the projection, Alameda CTC develops a work breakdown/ resource breakdown structure and places a percentage of staff's time to various categories including Measure B or Measure BB, if applicable, to determine an allocation figure for time spent on general administration. Alameda CTC employees complete timesheets and place their time in the various categories based on where they actually spend their time and that is where their time is charged.
- Are the administrative expenditures the actual salaries and benefits for Measure B and Measure BB? Patricia responded yes, actual salaries and benefits for Measure B and Measure BB are included in administrative expenditures.
- How long will Alameda CTC pay Measure B debt service for the bonds? Patricia responded that all payments must be complete by the end of the measure, which is March 31, 2022. Interest payments are due semi-annually on March 1 and September 1 of each year and principal payments are due annually on March 1 beginning in fiscal year 2016-17. The final principal and interest payment is due on March 1, 2022.
- Does VTD audit Alameda CTC's financial database or are hard copies provided for the audit? Ahmad responded that financial information is in hard copy form, and the auditors trace the information back to external entities for confirmation. Ahmad noted that VTD is required by audit standards to verify information with external evidence. In the past year, Alameda CTC changed its accounting system, and the auditor looked at the controls with respect to the financial system. The auditor reviewed the segregation of functions and duties, safe guards in the process and system, and security. For example, one control that the auditor reviews is disbursements of accounts payable: vendor-related disbursements and payroll checks. The auditor reviews segregation of functions and duties by reviewing the multiple layers of approvals required by the agency to perform payroll functions, wire transfers, etc. In addition to paperwork requiring two signatures, two employees must approve a wire transfer in the banking system in order for a wire to be released. They have been set up for security purposes with a dual control requirement.

- Are Measure B and Measure BB for capital expenses only? Patricia responded that Measure B and Measure BB funds more than just capital projects. She said that the largest percentage of funds in the transportation expenditure plans go towards programs which includes direct local distributions which are passed through to the member agencies or expended on a discretionary basis on programs.
- How would one find the bonds and what are they called? Patricia responded that there is a website called Electronic Municipal Market Access (EMMA), and the bonds are called Alameda County Transportation Commission Sales Tax Revenue Bonds (Limited Tax Bonds) Series 2014 (CA). Patricia also explained the origin of sales taxes for Measure B and Measure BB.
- What is the process for the Commission's approval of the CAFR? Patricia responded that the FAC and the Audit Committee have already reviewed the draft CAFR. The draft CAFR will go to the full Commission for review and hopefully approval at their December 1, 2016 meeting.

5. IWC Annual Report Outreach Summary and Publication Cost Update

Patricia Reavey gave an update on the publishing and outreach efforts for the 14th IWC *Annual Report to the Public*. She summarized the work Alameda CTC did, which was based on the direction of the IWC, to produce and distribute the report, as well as to place print and online banner advertisements in the media. Patricia discussed the new elements for informing the public by advertising on Livermore Amador Valley Transit buses (LAVTA), Union City buses, and San Francisco Bay Area Rapid Transit District (BART); and the additional online advertisements in Sing Tao Daily and Vision Hispana. She referred the committee to page 17 in the packet to discuss cost. Patricia informed the committee that the estimated cost presented to the IWC in July 2016 was \$43,729 and the actual cost was \$47,600; however, the publication costs did not exceed the \$50,000 budget. Patricia noted that the report actual costs were higher than originally projected due to the design of the additional print and online advertisements. The Committee discussed the effectiveness/interest of the report via social media. Patricia responded that the increase in the online page views and click throughs between 2015 and 2016 were significant.

6. Review of IWC Bylaws

The committee discussed their concerns regarding the definitions of the terms "monitor" and "oversee" in the IWC bylaws. The IWC agreed to address the language in the bylaws at the July 2017 meeting.

7. IWC Member Reports/Issues Identification

7.1. Chair Report: Executive Summary Investigation Regarding Measure BB

Murphy McCalley reminded the committee that this agenda item is a follow up of the allegations made by Jason Bezis. He further explained that IWC member Bob Tucknott submitted the Issues Form to the IWC. Murphy reiterated that the Commission proposed hiring an independent legal counsel outside of Wendel Rosen to review the allegations raised by Jason Bezis. The independent legal counsel generated a 67-page report that the Commission reviewed. The Commission requested that legal counsel generate an Executive Summary to share with the public. The committee raised questions regarding the following items missing from the report: Firm name, investigator name and date. Patricia noted that she'll look into the missing information and notify the IWC via email.

7.2. IWC Issues Identification Process and Form

Murphy McCalley informed the committee that this is a standing item to keep members informed of the process of submitting issues/concerns that they want to come before the committee.

7.3 Issues Discussion: Issues Discussion: City of Fremont, City of Newark, and City of Oakland Direct Local Distribution Reserves

Harriette Saunders submitted this Issues Form. She stated that as an IWC and Paratransit Advisory and Planning Committee member, she is interested in knowing how the Cities of Fremont, Newark, Union City and Oakland are able to receive discretionary Paratransit funds ("Gap Grants") when the cities have high program reserves. Patricia proposed that the IWC review the compliance reports that will be received at the end of December 2016 and put on the website in January to see how the cities are using their paratransit funds.

The committee had the following questions regarding the submitted Issues Form:

- How do you define large reserves?
- Are the reserves for Measure B, Measure BB or both?
- Which reserve is large (capital fund, operational fund, or undesignated fund)?
- Which program is in question (Local Streets and Roads, Bicycle and Pedestrian, or Paratransit)?

Patricia responded that the above questions will be addressed as part of the review of the Annual Program Compliance Reports starting in January 2017.

8. Staff Reports

8.1. IWC Calendar

The committee calendar of meetings and activities is in the agenda packet for review purposes.

8.2. IWC Roster

The committee roster is in the agenda packet for review purposes.

9. Adjournment

The meeting adjourned at 7:30 p.m. The next meeting is scheduled for January 9, 2017 at the Alameda CTC offices.

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INDEPENDENT WATCHDOG COMMITTEE

November 14, 2016

ROSTER OF MEETING ATTENDANCE

Present	IWC Member	Appointed By
	Brown, Cheryl (waive)	Alameda Labor Council AFL-CIO
	Buckley, Curtis	Bike East Bay
	Dominguez, Oscar	East Bay Economic Development Alliance
	Dorsey, Cynthia	Alameda County Mayors' Conference, District 5
	Hastings, Herb, Vice Chair	Paratransit Advisory and Planning Committee
	Jones, Steven	Alameda County Mayors' Conference, District 1
	Lester, Brian	Alameda County, District 1 Supervisor Scott Haggerty
	Lew, Jo Ann	Alameda County Mayors' Conference, District 2
	McCalley, Murphy, Chair	Alameda County, District 4 Supervisor Nate Miley
	Nate, Glenn	Alameda County, District 2 Supervisor Richard Valle
	Piras, Pat (waive)	Sierra Club
	Price, Barbara	Alameda County Taxpayers Association
	Saunders, Harriette	Alameda County Mayors' Conference, District 3
	Tucknott, Robert	Alameda County Mayors' Conference, District 4
	Zukas, Hale	Alameda County, District 5 Supervisor Keith Carson

STAFF

Present	Staff/Consultants	Title
	Tess Lengyel	Deputy Executive Director of Planning and Policy
	Patricia Reavey	Deputy Executive Director of Finance
	John Nguyen	Senior Transportation Planner
	Trinity Nguyen	Senior Transportation Engineer
	Tamara Halbritter	Senior Project Manager, Consultant
	Angie Ayers	Public Meeting Coordinator, Consultant

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Memorandum

4.1

1111 Broadway, Suite 800, Oakland, CA 94607

• PH: (510) 208-7400

• www.AlamedaCTC.org

DATE: December 30, 2016

SUBJECT: Measure B and Measure BB Programs Update

RECOMMENDATION: Receive an update on Alameda CTC's Measure B and Measure BB Programs.

Summary

This is an informational item on the status of Measure B and Measure BB Programs. Alameda CTC is responsible for administering local funds collected from the 2000 Measure B and 2014 Measure BB transportation sales tax programs. Collectively, the sales tax programs generate approximately \$260 million annually to support capital transportation improvements, roadway maintenance, and transit and paratransit operations within Alameda County.

Alameda CTC distributes Measure B and Measure BB funds through two avenues:

- 1) Direct Local Distributions (DLDs) - Monthly formula allocations distributed to eligible local jurisdictions and transit agencies.
- 2) Reimbursements - Payments made on a reimbursement basis after work is performed; i.e. capital projects and discretionary funded improvements.

Alameda CTC returns over half of all revenues collected through the Measure B and Measure BB sales tax programs back to the twenty local jurisdictions and transit agencies as DLD funds. In fiscal year 2015-2016 (FY2015-16), of the approximately \$258.3 million in net Measure B and Measure BB sales tax funds collected, DLD recipients received approximately \$141.9 million in distributions - \$72.0 million in Measure B and \$69.9 million in Measure BB.

DLD recipients use their allocations to implement locally prioritized transportation improvements that improve local access, safety, transit connectivity, infrastructure preservation and long-term system reliability. Recipient's DLD funded projects include bicycle/pedestrian safety and gap closures, street resurfacing and maintenance, transit operations, and transportation services for seniors and people with disabilities. DLD recipients are required to submit an end-of-year report to describe the specific expenditures and program achievements as part of the Annual Program Compliance Report. The FY2015-16 DLD Program Compliance Reports will be brought to the Commission in late-Spring 2017.

Background

Since the start of the 2000 Measure B and 2014 Measure BB programs through the end of FY2015-16, Alameda CTC has distributed \$930.9 million in DLD funds to local recipients (Attachment A – Historical Direct Local Distributions by Fund Program).

The Measure B/BB transportation sales tax programs are distributed by formula from Alameda CTC to the fourteen cities, the County, and five transit agencies serving Alameda County. Measure B/BB DLDs are flexible funding sources that allow Alameda CTC and local jurisdictions to address a variety of Alameda County's transportation needs. Recipients may use their DLD local street and road (local transportation) funds to implement traditional roadway improvements such as pavement maintenance and rehabilitation, and also to fund bicycle/pedestrian enhancements, and transit operations.

Alameda CTC's DLD funding distribution by program category for FY2015-16 is depicted in Table 1 below.

Table 1: Direct Local Distributions (FY2015-16) <i>(dollars in millions)</i>			
DLD Programs	MB	MBB	Total
<i>Local Streets and Roads (Local Transportation)</i>	\$28.6	\$26.1	\$54.7
Mass Transit	\$27.1	\$28.1	\$55.2
Special Transportation for Senior and People with Disabilities (<i>Paratransit</i>)	\$11.5	\$11.8	\$23.3
Bicycle and Pedestrian Safety	\$4.8	\$3.9	\$8.7
TOTAL	\$72.0	\$69.9	\$141.9

On average, DLD recipients expend less than the amount of DLD funds they receive each year. As a result, fund balances across the DLD program have increased with recipients building reserves for future and larger capital improvements. Per DLD recipients' FY2014-15 audited financial statements, there is a collective fund balance of \$54.6 million in DLD fund reserves made up of \$42.1 million of Measure B and \$12.4 million of Measure BB funds (Attachment B).

In December 2015, Alameda CTC updated the DLD Timely Use of Funds Policy to encourage the expeditious expenditure of DLD funds. This policy states that a Recipient shall not carry a fiscal year ending fund balance greater than 40 percent of DLD revenue received for that same fiscal year for four consecutive fiscal years. Through the Annual Program Compliance Reporting process, Alameda CTC will monitor the fund balances for adherence to the policy. On September 15, 2016 Alameda CTC held an Annual Program Compliance Workshop and reminded all recipients of their reporting, fund balance and timely expenditure requirements. Alameda CTC anticipates that DLD fund balances will significantly decrease over the next few years as a result of this policy.

Alameda CTC, in conjunction with the Independent Watchdog Committee, will review recipients' audited financial statements and compliance reports for the FY2015-16 as part of the Annual Program Compliance Review process beginning in January 2017. In late-Spring 2017, Alameda CTC will provide a status update on DLD fund balances, recipients' program compliance, and DLD accomplishments as part of the program compliance summary report to the Commission.

Other Programs – Discretionary Programs

Alameda CTC distributes discretionary Measure B and Measure BB funds through several grant programs for bicycle/pedestrian, transit, paratransit, freight, technology, and community developments related projects. Currently, the programming of all local discretionary funding is being coordinated as part of Alameda CTC's Comprehensive Investment Plan (CIP). The CIP is a programming and allocation document that establishes a financial investment strategy for funding under Alameda CTC's purview and targets available funding to go towards transportation priorities in Alameda County.

On September 1, 2016 Alameda CTC initiated a call for project nominations with a request for eligible recipients to submit projects and programs ready for implementation between FY2017-18 through FY2021-22. Approximately \$100M in local Measure B and Measure BB funds are being coordinated and programmed in conjunction with other Alameda CTC administered funding including the Federal One Bay Area Grant Cycle 2 (OBAG 2) and the Transportation Fund for Clean Air (TFCA) Programs. The project nomination process closed on October 31, 2016. Alameda CTC is currently evaluating the project nominations and will recommend a draft program for these discretionary funds to the Commission in late-Spring 2017. Projects selected are expected to support countywide transportation goals and will include a range of capital projects, planning studies, transit operations, and outreach activities.

Fiscal Impact: There is no fiscal impact.

Attachments

- A. Historical Direct Local Distributions by Fund Program
- B. Measure B/BB Direct Local Distribution Fund Balances

Staff Contact

[John Nguyen](#), Senior Transportation Planner

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**Measure B and Measure BB
Historical Direct Local Distributions**

Fiscal Year	Measure B	Measure BB	Total
FY 01/02	\$12,006,000		\$12,006,000
FY 02/03	\$49,455,451		\$49,455,451
FY 03/04	\$53,086,000		\$53,086,000
FY 04/05	\$54,404,793		\$54,404,793
FY 05/06	\$59,357,051		\$59,357,051
FY 06/07	\$61,176,456		\$61,176,456
FY 07/08	\$62,543,374		\$62,543,374
FY 08/09	\$54,501,184		\$54,501,184
FY 09/10	\$50,808,873		\$50,808,873
FY 10/11	\$56,693,936		\$56,693,936
FY 11/12	\$60,556,173		\$60,556,173
FY 12/13	\$64,812,051		\$64,812,051
FY 13/14	\$66,662,145		\$66,662,145
FY 14/15	\$69,516,036	\$13,429,323	\$82,945,359
FY 15/16	\$72,008,976	\$69,875,475	\$141,884,451
Total	\$847,588,499	\$83,304,798	\$930,893,297

Notes:

Fiscal Year is July 1st to June 30th.

The starting year of fund distributions for each program began on April 1st of the respective starting year of each program.

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**Measure B and Measure BB
Direct Local Distribution Fund Balances**

(As of the start of Fiscal Year 2015-16)

Jurisdiction:	Measure B	Measure BB	Total
AC Transit	\$6,573,949	\$5,843,198	\$12,417,146
BART	\$0	\$0	\$0
LAVTA	\$0	\$0	\$0
WETA	\$2,298,655	\$125,391	\$2,424,046
ACE	\$2,176,303	\$34,890	\$2,211,193
Alameda County	\$2,339,106	\$506,146	\$2,845,252
City of Alameda	\$3,069,434	\$389,207	\$3,458,640
City of Albany	\$378,642	\$88,307	\$466,949
City of Berkeley	\$1,946,435	\$634,434	\$2,580,869
City of Dublin	\$668,205	\$95,140	\$763,345
City of Emeryville	\$672,281	\$61,006	\$733,287
City of Fremont	\$2,200,657	\$599,542	\$2,800,199
City of Hayward	\$1,607,990	\$610,287	\$2,218,276
City of Livermore	\$1,226,372	\$209,473	\$1,435,845
City of Newark	\$606,561	\$123,198	\$729,759
City of Oakland	\$11,072,392	\$2,343,116	\$13,415,508
City of Piedmont	\$115,585	\$79,133	\$194,718
City of Pleasanton	\$1,530,777	\$208,325	\$1,739,102
City of San Leandro	\$3,346,899	\$327,542	\$3,674,441
City of Union City	\$302,117	\$159,884	\$462,001
Total	\$42,132,358	\$12,438,217	\$54,570,575

Notes:

The table above reflects total fund balances from the Measure B/BB Direct Local Distribution Recipients' FY 2014-15 Audited Financial Statements. Thus, the FY 2014-15 Ending Fund Balance contained in these reports is the starting fund balance for FY 2015-16.

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Memorandum

4.2

1111 Broadway, Suite 800, Oakland, CA 94607

• PH: (510) 208-7400

• www.AlamedaCTC.org

DATE: December 30, 2016

SUBJECT: Alameda CTC Measure B and Measure BB Capital Projects Update

RECOMMENDATION: Receive an update on the Alameda CTC's Measure B and Measure BB Capital Projects.

Summary

This is an informational item on the status of Measure B and Measure BB Capital Projects. Alameda CTC is responsible for administering local funds collected from the 2000 Measure B and 2014 Measure BB transportation sales tax programs.

In 2000, Alameda County voters approved a half-cent sales tax to fund transportation programs and projects throughout the County. Approximately 40 percent of the Measure B net sales tax revenues are earmarked for specific capital projects as set forth in the 2000 Transportation Expenditure Plan.

On November 4, 2014, Alameda County voters approved the 2014 Transportation Expenditure Plan (2014 TEP), Measure BB, authorizing the extension of the existing transportation sales tax and augmenting it by one-half percent to fund projects and programs. Approximately 35 percent of the Measure BB net sales tax revenues is identified for capital investments.

This report includes all capital projects that are funded by Measure B and Measure BB. This update discusses the overall status of each of these projects and the major milestones achieved in 2016.

Background

The Measure B and BB capital program consists of 62 active projects; 51 projects spanning various stages of delivery including, Scoping, Preliminary Engineering/Environmental, Design, and Construction, and 11 projects in the close out phase (see Attachment A). In addition to performing project management oversight (PMO) for the 25 projects implemented by jurisdictions within Alameda County, Alameda CTC provides Project Management (PM) and delivery for projects which require multi-jurisdictional coordination and/or have significant regional impacts.

Measure B has provided a consistent source of vital transportation funding to numerous capital projects in Alameda County. The Measure B capital program alone has leveraged

approximately \$3 billion in external funding sources which equates to about four times the \$786.5 million programmed capital projects funding from Measure B. Alameda CTC has executed 98 percent of the Measure B capital investments and successfully moved projects through the development, design, right-of-way and construction phases. In 2006, this local funding source was critical to securing over \$447 million in state Prop 1B Bond funding and created thousands of much needed construction jobs in Alameda County during the recent recession.

Alameda CTC continues its mission to expand access and improve mobility, and with the successful passage of Measure BB in November 2014, this new critical local funding stream will extend and augment the previous programs to provide an additional \$8 billion in transportation program and project investments over the next 30 years. Approximately 35 percent (\$2.8 billion) is identified for capital projects.

The Commission's actions since the passage of Measure BB have created a pipeline of projects that will not only achieve the benefits identified in the 2014 TEP, but also will create a steady stream of projects at various stages of project readiness to compete for future funding opportunities as they are made available. Specifically, in June 2015, the Commission approved Measure BB funds for scoping to jumpstart the project evaluation and selection process. In March 2016, the Commission approved 20 multi-jurisdictional and regionally significant projects for project delivery and implementation by Alameda CTC. Most recently, contained within the FY2016 CIP update which the Commission approved in July 2016, over \$140 million of allocations were specified for capital projects ready for the construction phase. As funding from grants such as The Fostering Advancements in Shipping and Transportation for the Long-term Achievement of National Efficiencies (FASTLANE) grant, established by the Fixing America's Surface Transportation Act (FAST Act) and the Transportation Investment Generating Economic Recovery (TIGER) become available, Alameda CTC will be poised to compete aggressively for these funds.

2000 Measure B: Of the committed \$786.5 million for 2000 Measure B capital projects, 98 percent of the funds have been allocated.

Significant 2000 Measure B achievements include:

- Implemented the first Rapid Bus Service and Bus Rapid Transit in the East Bay;
- Widened I-238 to six lanes;
- Widened southbound I-680 and implemented the first Bay Area Express Lane;
- Built the new Isabel Ave Interchange and added carpool lanes along I-580;
- Widened Route 84 to expressway standards;
- Provided for local street and road improvements in Oakland, Newark, San Leandro and Hayward;
- Extended BART to Warm Springs to connect to San Jose;

- Constructed the Oakland Airport Connector between BART and Oakland International Airport;
- Converted carpool lanes to express lanes along I-580; and
- Implemented major innovative traffic relief technology on 22 miles of I-80.
- Measure B funding programmed for emerging projects was successfully utilized to secure \$447 million in Prop 1B Bond funds towards the delivery of \$1.14 billion in highway projects in Alameda County collectively termed the I-Bond Highway Program (see Attachment B). Seven projects have been opened to the public. Two remaining projects are currently in construction and completion is anticipated by 2018.

Significant Prop 1B achievements include:

- 100 percent of Prop 1B bond funding committed to Alameda CTC projects has been allocated;
- Constructed the new Isabel Ave – Route 84/I-580 Interchange;
- Added carpool lanes along I-580 in both the eastbound and westbound directions and currently converting to a new express lane facility;
- Widened Route 84 to four and six lanes between Jack London and Concannon Boulevards;
- Constructed carpool lanes in the southbound direction along I-880 from Hegenberger Road to Marina Boulevard and reconstructed the Davis St. and Marina Blvd. interchange/overcrossings; and
- Implemented improvements at Marina Blvd. to facilitate increased demand generated by the new Kaiser Hospital development which opened in spring 2014.

2014 Measure BB: On November 6, 2014, Alameda County voters approved the reauthorization and augmentation of the local funding stream ensuring continued vital investments in transportation programs and capital improvements. The sales tax authorized by Measure BB is guided by the 2014 TEP and will remain in effect for a total of 30 years. It will generate an estimated \$8 billion to fund essential transportation investments throughout Alameda County. Approximately 35 percent (\$2.8 billion) is identified for capital projects.

Significant 2014 Measure BB achievements include:

- In June 2015, the Commission approved the first allocations of Measure BB funds which included scoping phase allocations for various 2014 TEP categories for a total of \$6.55 million. These funds allowed jurisdictions to refine project scope, cost and schedule for future applications and further project development.

- In March 2016, the Commission approved the Capital Projects Delivery Plan (CPDP), a portfolio of regionally significant, multi-jurisdictional projects to be implemented by Alameda CTC.
- In July 2016, the Commission approved a \$755 million two-year allocation plan, with \$173 million allocated specifically for 21 named capital projects and 5 discretionary capital programs.
- Measure BB funding has been critical in advancing capital projects in the 2014 TEP beyond the scoping phase. Fifty-four million dollars has been allocated to eight capital projects in the environmental and design phases and \$116 million has been allocated to 12 projects ready for construction.

Significant capital project milestones achieved in 2016:

1. **I-580 Express Lanes Project (Project Nos. 1373.003 and 1373.001):** Lanes were opened for operations in February 2016.
2. **East Bay Greenway (Coliseum BART to 85th Avenue – Segment 7A) (Project No. 1379.001):** Alameda CTC accepted the project in April 2016. Alameda CTC is in the process of procuring a contractor to perform the path maintenance and will subsequently be responsible for managing the ongoing maintenance contract.
3. **I-580 Soundwall Landscape Project (Project No. 1384.001):** The construction contract was accepted by the Commission in April 2016.
4. **Iron Horse Transit Route (Project No. 1195.000):** Construction began July 2016.
5. **Downtown Oakland Streetscape Improvements (Project No. 1190.000):** Latham square was completed in July 2016. The final phase, Old Oakland Streetscape (vicinity of Washington Street and 8th Street) will be advertised late 2016 with construction anticipated to begin Spring 2017.
6. **Telegraph Avenue Corridor Bus Rapid Transit (Project No. 1193.001):** AC Transit held a ground breaking ceremony on August 26, 2016 for the third and final bid package. Revenue collection is targeted for November 2017.
7. **I-80 Integrated Corridor Mobility (ICM) Project – (Project No. 1387.000-.006):** Due to the complexity of the project, implementation was phased under seven separate contracts. Project elements were initially activated in July 2016 with full activation completed on September 19, 2016.
8. **BART Warm Springs Extension (Project No. 1188.000):** The testing phase began in Summer 2016. Upon completion of testing and acceptance by the California Public Utilities Commission, revenue operations will commence.

9. **Route 92 / Clawiter-Whitesell Interchange and Reliever Route (Project No. 1201.000):** Construction activities for the first phase began in spring 2015 and the project was substantially completed December 2016. A “soft” opening is anticipated in January 2017.

Additional project details are available on the Alameda CTC website:
(http://www.alamedactc.org/app_pages/view/4681).

Fiscal Impact: There is no fiscal impact.

Attachments

- A. Alameda CTC Measure B and BB Active Capital Projects Summary
- B. Proposition 1B Bond Summary

Staff Contact

[Trinity Nguyen](#), Director of Project Delivery

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Measure B and BB Active Capital Projects Summary																	
Index	AlaCTC Project No.	Project Name	Project Sponsor	Implementation Method ¹	Program ²	Program No. ²	Current Phase ³	Construction Schedule ⁴		Funding ⁵							Total Funding (All Sources)
								Begin	End	Sales Tax Funds		Leveraged Funds					
										2000 MB (ACTIA)	2014 MBB ⁸	Federal	State	Regional	Other Local	Other	
1	1429.000	Alameda to Fruitvale BART Rapid Bus	AC Transit	PMO	2014 MBB	TEP 14	Scoping	TBD	TBD	0.0	0.1	0.0	0.0	0.0	0.0	0.0	0.1
2	1430.000	Grand/MacArthur BRT	AC Transit	PMO	2014 MBB	TEP 15	Scoping	TBD	TBD	0.0	0.1	0.0	0.0	0.0	0.0	0.0	0.1
3	1431.000	College/Broadway Corridor Transit Priority	AC Transit	PMO	2014 MBB	TEP 16	Scoping	TBD	TBD	0.0	0.1	0.0	0.0	0.0	0.0	0.0	0.1
4	1432.000	Irvington BART Station	Fremont	PMO	2014 MBB	TEP 17	Scoping	TBD	TBD	0.0	2.7	0.0	0.0	0.0	0.0	0.0	2.7
5	1433.000	Bay Fair Connector/BART METRO	BART	PMO	2014 MBB	TEP 18	Scoping	TBD	TBD	0.0	0.1	0.0	0.0	0.0	0.0	0.0	0.1
6	1437.000	Union City Intermodal Station	Union City	PMO	2014 MBB	TEP 20	Scoping	TBD	TBD	0.0	0.1	0.0	0.0	0.0	0.0	0.0	0.1
7	1439.000	Oakland Broadway Corridor Transit	Oakland	PMO	2014 MBB	TEP 24	Scoping	TBD	TBD	0.0	0.6	0.0	0.3	0.0	0.0	0.0	0.9
8	1213.005	Studies for Congested Segments/Locations on the CMP Network	Alameda CTC	PM	2000 MB	ACTIA 27E	Scoping	N/A	N/A	0.6	0.0	0.0	0.0	0.0	0.0	0.0	0.6
9	1450.000	I-680 Sunol Express Lanes - Northbound & Southbound (SR84 to Alcosta)	Alameda CTC	PM	2014 MBB	TEP 35.x	Scoping	N/A	N/A	0.0	5.0	0.0	0.0	0.0	0.0	0.0	5.0
10	1382.000	I-680/I-880 Cross Connector Studies (Study Only)	Alameda CTC	PM	2000 MB	ACTIA 22	Scoping	N/A	N/A	1.2	0.0	0.0	1.0	0.0	0.3	0.0	2.5
11	1448.000	I-580/I-680 Interchange Improvements (Study)	Alameda CTC	PM	2014 MBB	TEP 33	Scoping	TBD	TBD	0.0	0.9	0.0	0.0	0.0	0.0	0.0	0.9
12	1451.000	I-880 NB HOV/HOT Extension from A Street to Hegenberger	Alameda CTC	PM	2014 MBB	TEP 36	Scoping	TBD	TBD	0.0	0.1	0.0	0.0	0.0	0.0	0.0	0.1
13	1453.000	I-880 Whipple Road/Industrial Parkway Southwest Interchange Improvements	Alameda CTC	PM	2014 MBB	TEP 38	Scoping	TBD	TBD	0.0	0.8	0.0	0.0	0.0	0.0	0.0	0.8
14	1454.000	I-880 Industrial Parkway Interchange Improvements	Alameda CTC	PM	2014 MBB	TEP 39	Scoping	TBD	TBD	0.0	0.8	0.0	0.0	0.0	0.0	0.0	0.8
15	TBD	SR262 (Mission Blvd) Cross Connector	Alameda CTC	PM	2014 MBB	TEP 40.x	Scoping	TBD	TBD	0.0	1.5	0.0	0.0	0.0	0.0	0.0	1.5
16	TBD	University AvenueMultimodal Corridor Project	Alameda CTC	PM	2014 MBB	TEP 26.x	Scoping	TBD	TBD	0.0	1.5	0.0	0.0	0.0	0.0	0.0	1.5
17	TBD	San Pablo (SR 123) Multimodal Corridor Project	Alameda CTC	PM	2014 MBB	TEP 26.x	Scoping	TBD	TBD	0.0	3.0	0.0	0.0	0.0	0.0	0.0	3.0
18	TBD	Telegraph Multimodal Corridor	Alameda CTC	PM	2014 MBB	TEP 26.x	Scoping	TBD	TBD	0.0	2.3	0.0	0.0	0.0	0.0	0.0	2.3
19	TBD	I-580 Freeway Corridor Management System	Alameda CTC	PM	2014 MBB	TEP 26.x	Scoping	TBD	TBD	0.0	5.0	0.0	0.0	0.0	0.0	0.0	5.0
20	TBD	I-880 Winton Ave Interchange	Alameda CTC	PM	2014 MBB	TEP 40.x	Scoping	TBD	TBD	0.0	1.5	0.0	0.0	0.0	0.0	0.0	1.5
21	1212.000	I-580 Corridor/BART to Livermore Studies (Study Only)	BART	PMO	2000 MB	ACTIA 26A	PE/Environmental	TBD	TBD	6.7	0.0	0.0	1.1	8.6	0.2	0.0	16.6
22	1445.000	I-80 Ashby Interchange Improvements	Alameda CTC	PM	2014 MBB	TEP 30	PE/Environmental	TBD	TBD	0.0	52.0	0.0	0.0	0.0	0.0	0.0	52.0
23	1442.000	7th Street Grade Separation and Port Arterial Improvements	Alameda CTC	PM	2014 MBB	TEP 27.x	PE/Environmental	TBD	TBD	0.0	33.0	0.0	0.0	0.0	0.0	0.0	33.0
24	1444.000	I-80 Gilman Interchange Improvements	Alameda CTC	PM	2014 MBB	TEP 29	PE/Environmental	TBD	TBD	0.0	24.0	1.1	0.0	0.0	0.3	0.0	25.4
25	1457.001	East Bay Greenway - Lake Merritt to South Hayward	Alameda CTC	PM	2014 MBB	TEP 42.x	PE/Environmental	TBD	TBD	0.0	3.5	0.0	0.0	0.0	0.0	0.0	3.5
26	1196.000	Oakland/Alameda Freeway Access Project (Formerly I-880/Broadway-Jackson)	Alameda CTC	PM	2000 MB	ACTIA 10/TEP 37	PE/Environmental	TBD	TBD	8.1	75.0	0.0	0.0	0.0	2.5	0.0	85.6
27	1386.000	Route 84 - Pigeon Pass to I-680 & SR84/I-680	Alameda CTC	PM	2014 MBB	ACTIA 08B	PE/Environmental	TBD	TBD	1.0	122.0	0.0	0.0	0.0	2.9	0.0	125.9
28	1211.001	Dumbarton Corridor Improvements (Central Ave Overpass)	Newark	PMO	2000 MB	ACTIA 25	PS&E (Design)	Jul 2017	Sep 2018	15.8	0.0	0.0	0.0	0.0	0.6	3.6	20.0
29	1205.000	East 14th St/Hesperian Blvd/150th St Intersection Improvement	San Leandro	PMO	2000 MB	ACTIA 19	PS&E (Design)	Aug 2020	Feb 2021	3.2	0.0	0.0	0.0	0.0	1.3	0.0	4.5
30	1210.003	Route 84 Expressway - Landscaping	Alameda CTC	PM	2000 MB	ACTIA 24	PS&E (Design)	Dec 2017	Dec 2019	4.1	0.0	0.0	0.0	0.0	0.0	0.0	4.1
31	1369.000	I-680 Sunol Express Lanes - Northbound (Auto Mall Parkway to SR84) ⁹	Alameda CTC	PM	2000 MB	ACTIA 08/TEP 35.x	PS&E (Design)	Sept 2017	Jul 2019	14.5	35.0	32.6	20.9	0.0	0.0	105.0	208.0
32	1364.005	10I-680 Sunol Express Lanes - Southbound (Conversion to continuous access) ¹⁰	Alameda CTC	PM	2014 BB	TEP 35.x	PS&E (Design)	Sept 2017	Jul 2019	0.0	5.0	0.0	0.0	0.0	0.0	15.0	20.0
33	1376.003	I-880 Southbound HOV Lane Landscaping/Hardscaping	Alameda CTC	PM	2000 MB*	ACTIA 27C	PS&E (Design)	Nov 2017	Nov 2018	0.1	0.0	0.5	0.4	0.0	0.4	0.0	1.4
34	TBD	14th Ave Streetscape (3 phases) from E. 8th to Highland Hospital	Oakland	PMO	2014 MBB	TEP 26.x	PS&E (Design)	Jul 2017	Jul 2019	0.0	6.8	0.0	0.0	0.0	0.0	0.0	6.8
35	TBD	I-880/42nd-High Street Access Improvements	Oakland	PMO	2014 MBB	TEP 26.x	PS&E (Design)	June 2018	June 2021	0.0	10.6	0.0	7.7	0.0	0.1	0.0	18.4
36	1195.000	Iron Horse Transit Route (Dougherty Road Widening)	Dublin	PMO	2000 MB	ACTIA 09	Construction	Jul 2016	Jun 2018	6.3	0.0	0.0	0.0	0.0	6.0	0.0	12.3
37	1193.001	Telegraph Avenue Corridor Transit Project (East Bay Bus Rapid Transit)	AC Transit	PMO	2000 MB	ACTIA 07A/TEP 13	Construction	Nov 2014	Nov 2017 ⁶	11.5	10.0	81.4	13.6	60.6	0.3	5.2	182.5
38	1441.001	San Leandro Local Streets Rehabilitation	San Leandro	PMO	2014 MBB	TEP 26.x	Construction	Various	Various	0.0	3.0	0.0	0.0	0.0	0.0	0.0	3.0
39	1187.000	Altamont Commuter Express Rail	SJRRRC	PMO	2000 MB	ACTIA 01	Construction	Various	Various	13.2	0.0	123.1	155.3	0.0	182.6	0.0	474.2
40	1190.000	Downtown Oakland Streetscape Improvement	Oakland	PMO	2000 MB	ACTIA 04	Construction	Sep 2007	Mar 2018	6.4	0.0	0.0	0.4	0.0	2.4	0.3	9.5
41	1201.000	Route 92/Clawiter - Whitesell Interchange and Reliever Route	Hayward	PMO	2000 MB	ACTIA 15	Construction	Mar 2015	Oct 2016	27.0	0.0	0.0	0.0	0.0	3.4	0.0	30.4
42	TBD	Hesperian Blvd Corridor Improvement (A St - I-880)	Alameda County	PMO	2014 MBB	TEP 26.x	Construction	Sept 2016	Mar 2018	0.0	7.0	0.0	0.0	0.0	17.6	0.0	24.6
43	TBD	Dublin Widening, WB from 2 to 3 Lns (Sierra Ct-Dougherty Rd)	Dublin	PMO	2014 MBB	TEP 26.x	Construction	Dec 2016	Oct 2018	0.0	3.0	0.0	0.0	0.0	4.1	0.0	7.1
44	TBD	Mission Blvd. Phases 2 & 3 (Complete Streets)	Hayward	PMO	2014 MBB	TEP 26.x	Construction	Apr 2017	Jan 2019	0.0	21.5	0.0	0.0	0.0	21.9	0.0	43.4
45	TBD	Oakland Army Base Infrastructure Improvements - Truck Parking	Oakland	PMO	2014 MBB	TEP 26.x	Construction	Jul 2017	Jun 2019	0.0	5.0	0.0	0.0	0.0	28.0	0.0	33.0
46	TBD	Oakland Army Base Roadway Infrastructure	Oakland	PMO	2014 MBB	TEP 26.x	Construction	Oct 2013	Jun 2018	0.0	41.0	1.6	174.8	0.0	43.4	0.0	260.8
47	1188.000	BART Warm Springs Extension	BART	PMO	2000 MB	ACTIA 02	Construction (SI)	Sep 2009	Jun 2016 ⁶	214.5	0.0	0.0	236.4	297.0	19.1	0.0	767.0
48	1210.002	Route 84 Expressway - South Segment	Alameda CTC	PM	2000 MB	ACTIA 24	Construction	Oct 2015	Nov 2017	71.9	10.0	0.0	10.0	0.0	10.0	3.5	105.4
49	1367.000	I-880 North Safety and Operational Improvements at 23rd and 29th	Alameda CTC	PM	2000 MB*	ACTIA 27C	Construction	Jul 2014	Sept 2018	4.9	0.0	1.8	79.9	12.3	6.6	0.0	105.7

Measure B and BB Active Capital Projects Summary																	
Index	AlaCTC Project No.	Project Name	Project Sponsor	Implementation Method ¹	Program ²	Program No. ²	Current Phase ³	Construction Schedule ⁴		Funding ⁵							Total Funding (All Sources)
										Sales Tax Funds		Leveraged Funds					
								Begin	End	2000 MB (ACTIA)	2014 MBB ⁸	Federal	State	Regional	Other Local	Other	
50	1387.000	I-80 Integrated Corridor Mobility Project	Alameda CTC	PM	2000 MB*	ACTIA 27B	Construction (SI)	Jun 2011	May 2016	2.6	0.0	3.2	65.7	1.2	6.0	0.0	78.7
51	1373.003	I-580 Express (HOT) Lanes	Alameda CTC	PM	2000 MB	ACTIA 26B	Construction (SI)	Jun 2014	Jan 2016	30.0	0.0	8.5	0.0	4.1	10.8	1.7	55.0
52	1368.004	I-580 Eastbound HOV Lane - Segment 3 with Auxiliary Lane	Alameda CTC	PM	2000 MB*	ACTIA 26.x	Project Closeout	Nov 2012	Dec 2015	0.7	0.0	0.2	25.1	5.9	1.6	6.9	40.4
53	1372.004	I-580 Westbound HOV Lane - East Segment	Alameda CTC	PM	2000 MB*	ACTIA 14B/23.x	Project Closeout	Nov 2012	Mar 2016	4.4	0.0	6.3	63.1	8.7	0.4	0.0	82.9
54	1372.005	I-580 Westbound HOV Lane - West Segment	Alameda CTC	PM	2000 MB*	ACTIA 14B/26.x	Project Closeout	Oct 2012	Dec 2015	1.8	0.0	0.1	52.7	5.8	0.6	0.0	61.0
55	1376.001	I-880 Southbound HOV Lane - South Segment	Alameda CTC	PM	2000 MB*	ACTIA 18B	Project Closeout	Sep 2012	Dec 2015	0.9	0.0	5.1	52.8	0.0	11.0	0.0	69.8
56	1376.002	I-880 Southbound HOV Lane - North Segment	Alameda CTC	PM	2000 MB*	ACTIA 18B	Project Closeout	Nov 2012	Mar 2015	0.3	0.0	2.7	29.8	0.0	3.9	0.0	36.7
57	1210.000	Route 84 Expressway - North Segment	Alameda CTC	PM	2000 MB	ACTIA 24	Project Closeout	Mar 2012	Jun 2014	20.5	0.0	0.0	16.1	0.0	0.0	0.0	36.6
58	1364.004	I-680 Sunol Express Lanes - Southbound	Alameda CTC	PM	2000 MB	ACTIA 08A	Project Closeout	Oct 2008	Apr 2012	19.7	0.0	5.4	8.0	0.0	8.0	0.0	41.1
59	1209.000	Isabel Avenue - Route 84/I-580 Interchange	Alameda CTC	PM	2000 MB*	ACTIA 23	Project Closeout	Jan 2009	Mar 2012	25.1	0.0	11.3	44.4	0.0	32.4	0.0	113.2
60	1198.000	I-580/Castro Valley Interchange Improvements	Alameda CTC	PM	2000 MB	ACTIA 12	Project Closeout	Jun 2008	Jun 2011	11.5	0.0	1.9	4.8	0.0	0.0	0.0	18.2
61	1371.000	I-580 Corridor Right of Way Preservation	Alameda CTC	PM	2000 MB	ACTIA 26.x	Project Closeout	N/A	N/A	3.0	0.0	0.0	4.7	111.0	0.0	0.0	118.7
62	1211.000	Dumbarton Corridor Improvements (Study Only)	San Mateo	PMO	2000 MB	ACTIA 25	Project Closeout	N/A	N/A	3.6	0.0	0.0	0.0	0.0	0.0	0.0	3.6
PROJECTS IN CLOSE OUT TOTAL										91.5	0.0	33.0	301.4	131.3	57.9	6.9	622.1
ACTIVE PROJECTS TOTAL										443.5	489.7	253.7	767.3	383.8	370.9	134.3	2,843.1
PROGRAM TOTAL										\$ 534.4	\$ 489.7	\$ 286.8	\$ 1,068.7	\$ 515.1	\$ 428.8	\$ 141.1	\$ 3,465.3
Notes:																	
1. Project Management (PM), Project Management Oversight (PMO)																	
2. *Part of Prop 1B; "x" reflects a grouped capital subproject.																	
3. The current phase shown is based on available information as of the date of this update. The Project Closeout phase indicates that construction is complete and the facility is in use by the public while project financial and other closeout requirements are being satisfied.																	
4. Construction schedules shown are subject to change based on project delivery activities. Begin Construction date shown is typically the expected contract award date.																	
5. The funding amounts shown are subject to change based on programming and allocation activities by various funding agencies other than the Alameda CTC.																	
6. End Construction dates for BART or AC Transit capital projects reflect the point at which revenue service is estimated to begin.																	
7. Project Closeout for the I-580/Castro Valley Interchange Improvements Project (ACTIA 12) includes a separate, follow on contract to fulfill a three-year plant maintenance obligation to Caltrans.																	
8. Funding shown for 2014 TEP named Capital Projects reflects only allocated funds -not the total TEP amount.																	
9. Under "Other" funding source \$105M loan from 2000MB to be paid back from future toll revenues.																	
10. Under "Other" funding source, \$15M loan from 2000MB to be paid back from future toll revenues.																	

Alameda County Projects		Total Project Cost (x million)	Total Prop 1B Bond Funds Allocated (x million)	Total Other Fund Sources (x million)	Prop 1B Program Type	Construction Schedule
Highway						
1	I-580 Eastbound HOV Lane - Greenville to Hacienda Corridor	\$146.2	\$72.9	\$73.3	CMIA/STIP	07/2008 - 04/2016
2	I-580 Westbound HOV Lane - Greenville to Foothill Corridor	\$143.9	\$82.3	\$61.6	CMIA	11/2012 - 10/2016
3	I-580/Isabel Interchange	\$123.5	\$45.1	\$78.4	CMIA	06/2009 - 04/2012
4	I-580 Express Lanes	\$54.3	\$0.0	\$54.3	-	06/2014 - 02/2016*
5	I-680 Sunol SB HOV/HOT/ Lane and SI	\$231.0	\$8.0	\$223.0	STIP	10/2008 - 04/2012
6	Route 84 Expressway Widening Corridor	\$142.0	\$16.1	\$125.9	CMIA	03/2012 - 11/2017
7	I-80 Integrated Corridor Mobility (include. San Pablo TLSP)	\$78.8	\$65.2	\$13.6	CMIA, TLSP	04/2011 - 03/2016
8	I-880 SB HOV Lane Extension - Hegenberger to Marina Corridor	\$114.9	\$82.6	\$32.3	CMIA	09/2012 - 03/2016
9	I-880 North Safety and Operational Improvements at 23rd and 29th Avenues	\$105.8	\$75.0	\$30.8	TCIF/STIP	04/2014 - 09/2018
Sub-total		\$1,140.4	\$447.2	\$693.2		
Transit						
10	BART to Warm Springs	\$767.0	99.1	667.8	SLPP	06/2011 - 12/2015
11	BART Oakland Airport Connector	\$484.1	\$20.0	464.1	SLPP	11/2010 - 11/2014
Sub-total		\$1,251.1	\$119.1**	\$1,131.9		
Implemented by Others						
12	I-580 Truck Climbing Lane	\$44.9	\$44.9	\$0	TCIF	06/2012 - 06/2016
13	Outer Harbor Intermodal Terminal	\$499.2	\$242.1	\$257.1	TCIF	01/2010 - 12/2019
Sub-total		\$544.1	\$287.0	\$257.1		
Total		\$2,935.6	\$853.4	\$2,082.2		

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Independent Watchdog Committee Issues Identification Process

Summary

This issues identification process outlines the responsibilities of the Independent Watchdog Committee (IWC) and identifies the process for IWC members and members of the public to bring issues of concern to the IWC and for IWC to address issues identified on "IWC Issues Forms" (attached).

IWC Responsibilities

The Independent Watchdog Committee is charged with the following as written in the 2000 and 2014 Transportation Expenditure Plans approved by voters.

The Independent Watchdog Committee is appointed pursuant to Measure B and Measure BB to review all expenditures of the Measure B transportation sales tax, to review and oversee all expenditures and performance measures, as appropriate, of the Measure BB transportation sales tax and to monitor Measure B and Measure BB projects and programs. This committee reports directly to the public and has the following responsibilities:

- Hold public hearings and issue reports, on at least an annual basis, to inform Alameda County residents about how the sales tax funds are being spent. The hearings are open to the public and must be held in compliance with the Brown Act, California's open meeting law, with information announcing the hearings well-publicized and posted in advance.
- Have full access to Alameda CTC's independent auditor and have the authority to request and review specific information regarding use of the sales tax funds and to comment on the auditor's reports.
- Publish an independent annual report, including any concerns the committee has about audits it reviews. The report will be published in local newspapers and will be made available to the public in a variety of forums to ensure access to this information.
- Provide a balance of viewpoints, geography, age, gender, ethnicity and income status, to represent the different perspectives of the residents of the county.

Review Process

The purpose for the review of projects and programs by the IWC is to report to the public on findings. To this end, the tasks for the IWC to focus on during review include: 1) proper expenditure of Measure B and Measure BB funds; 2) the timely delivery of projects per contract agreements; and 3) compliance with the projects

IWC Issues Identification Process

or programs as defined in the voter-approved 2000 and 2014 Transportation Expenditure Plans.

During the review process, IWC members will adhere to the following procedures:

1. Issues raised on an IWC Issues Form regarding Measure B or Measure BB expenditures and/or contract compliance on a project or program may be eligible to be pursued through a request for the project or program sponsor to appear before the IWC. Issues raised by members of the public regarding Measure B and/or Measure BB expenditures must be submitted in writing either to the IWC chair, vice-chair or to the committee at an IWC meeting.
2. Before requesting that staff respond to an issue or calling on a project or program sponsor to appear before the IWC, an IWC member must submit an IWC Issues Form to the IWC chair or vice-chair for placement on the agenda at the next IWC meeting. Issues submitted by a member of the public must be handled in the same manner.
3. The IWC must approve by an affirmative vote the method taken to address an issue identified on an IWC Issues Form, whether originally presented by an IWC member or a member of the public.
4. The IWC may establish a subcommittee, when necessary, to address the issue, question, or concern raised on an IWC Issues Form.
5. The IWC or subcommittee should consider the resources listed below, when addressing an issue raised on an IWC Issues Form.

The reviews are expected to be organized, thorough and efficient, and may result in a clear recommendation for further action, if needed.

Resources for IWC (not all inclusive)

- Adopted 2000 and 2014 Transportation Expenditure Plans
- Up-to-date list of project/program sponsors contacts
- Alameda CTC staff responsible for oversight of the project/program or other expenditures
- Information about public hearings, recent discussions, or news clippings provided by Alameda CTC staff to the IWC by mail or at meetings
- Other Alameda CTC advisory committees (for example, Paratransit Advisory and Planning Committee or Bicycle and Pedestrian Advisory Committee chairpersons may be called on to address an issue)
- Alameda CTC independent auditor and Comprehensive Annual Financial Reports
- Alameda CTC General Counsel

INDEPENDENT WATCHDOG COMMITTEE ISSUES FORM

Alameda County Transportation Commission (Alameda CTC)
1111 Broadway, Suite 800
Oakland, California 94607
Phone: 510-208-7400; Fax: 510-893-6489

The Independent Watchdog Committee (IWC) is tasked with the review of Measure B expenditures and Measure BB expenditures and performance measures. This form allows for formal documentation of potential issues of concern regarding the expenditure of Measure B and/or Measure BB funds and Measure BB performance measures. A concern should be submitted to the IWC if an issue directly relates to the potential misuse of Measure B or Measure BB funds, non-compliance with the 2000 and/or 2014 Transportation Expenditure Plans approved by voters, or an issue with Measure BB performance measures. Only current IWC members may use this form (an issue brought forward by the public would have to be championed by an IWC member and brought forward to the IWC on an IWC Issues Form by the IWC member).

Date: _____

Name: _____

Email Address: _____

Governmental Agency of Concern (include name of agency and all individual contacts from list of project/program sponsor contacts):

Agency/Contact's Phone Number: _____

Agency's Address: _____

City _____ **Zip Code:** _____

Indicate applicable measure: ☐ Measure B ☐ Measure BB

Indicate the type of Measure B and/or Measure BB expenditure to which this concern relates (please check one):

☐ Capital Project ☐ Program ☐ Program Grant ☐ Administration

On the next page, please explain in detail the nature of your concern and how it came to your attention. Include the name of the project or program, dates, times, and places where the issues of which you have concerns took place (use additional sheets when necessary).

Date: _____

Time: _____

Location: _____

Project: _____

Program: _____

Action Taken: Please list other parties or agencies you have contacted in an attempt to more fully understand this issue and any actions you have taken.



Memorandum

6.1

1111 Broadway, Suite 800, Oakland, CA 94607

• PH: (510) 208-7400

• www.AlamedaCTC.org

DATE: December 30, 2016

SUBJECT: IWC Program Compliance Reporting Update

RECOMMENDATION: Receive an update on Fiscal Year 2015-16 Program Compliance Reporting Review Process

Summary

This item provides an update on the Annual Program Compliance Process and review structure for Measure B and Measure BB Direct Local Distributions (DLD) funds.

Each year, DLD recipients must submit to Alameda CTC Audited Financial Statements and compliance reports that summarize the DLD revenues received and expended during the fiscal year as part of the Annual Program Compliance Process. The Independent Watchdog Committee (IWC) reviews Measure B/BB expenditures of DLD funds by examining the recipients' submittals for compliance with the 2000 Measure B and 2014 Measure BB Transportation Expenditure Plans. This year's compliance reporting period covers activities in fiscal year 2015-16 (FY2015-16), from July 1, 2015 to June 30, 2016.

The reports are due at the end of December, and subsequently posted on the Alameda CTC website http://www.alamedactc.org/app_pages/view/4135.

Alameda CTC, in conjunction with the IWC, will review the submitted materials to determine program compliance. The review process begins in January with Alameda CTC staff performing a precursory review of the submittals to verify completion and consistency of data across the reports. In March, the IWC will receive a compliance review orientation to kick-off the IWC's official review of the submittals. The program compliance review schedule is included at the end of this report.

In June, a summary report will be provided to the IWC and Commission to report on the recipients' program compliance.

Background

In 2000, voters approved Measure B which extended Alameda County's half-cent transportation sales tax to 2022. In 2014, voter approved the extension of Measure B and its augmentation by one-half percent through 2045. Annually, over half of the net

Measure B/BB revenues are allocated by formula to twenty local agencies as Direct Local Distribution (DLD) funds. Funding agreements between the recipients and Alameda CTC authorize the flow of DLD funds to the recipients, and describe the eligible usage within their bicycle and pedestrian, local transportation, mass transit, and paratransit programs.

The Annual Program Compliance process serves as Alameda CTC's monitoring mechanism to verify the recipient's compliance with the Measure B/BB expenditure plans and funding agreements. DLD recipients are required to submit audited financial statements and program compliance reports at the end of December to confirm Measure B/BB annual receipts and to summarize the fiscal year's expenditures. The IWC reviews the reported expenditures for program compliance and uses the financial data contained in these reports to prepare the IWC Annual Report to the Public.

The audited financial statements and compliance reports for the FY2015-16 reporting period are due on December 30, 2016. The recipients' reports will be subsequently posted online on Alameda CTC's website: http://www.alamedactc.org/app_pages/view/4135.

The Annual Program Compliance review begins in January when Alameda CTC staff examine the financial statements and compliance reports for accurate completion of the forms. In March, the IWC will begin its official review of the expenditures and will note any questions for the recipients that will then be consolidated by the Alameda CTC and forwarded to the recipients. In April, recipients will respond to IWC comments, and their responses will be provided to the IWC in June.

In June, the IWC and Commission will receive a program compliance summary report that includes the recipients' revenues, expenditures, and accomplishments for FY2015-16.

The program compliance schedule is described below.

Dates	Action
By Dec. 30, 2016	Audited Financial Statements & Program Compliance Reports Due
January 2017	Staff posts recipients reports to the Alameda CTC website
January 9, 2017	IWC receives update on the Program Compliance Process
Mid-January 2017	Alameda CTC reviews submissions for reporting compliance
February 2017	Alameda CTC staff verifies and finalizes recipients' submissions
March 2017	IWC receives Compliance Reporting orientation to assist members in their review of DLD expenditures.
April 2017	DLD recipients respond to comments
June 2017	IWC receives a summary Program Compliance Report and recipients' responses to comments.

Fiscal Impact: There is no fiscal impact.

Staff Contact

[John Nguyen](#), Senior Transportation Planner

IWC FY2016-17 Calendar of Meetings Activities
IWC FY2016-17 Calendar of Meetings Activities
on the second Monday of the month from 5:30 to 7:30 p.m.
at Alameda CTC Offices

Categories	Monday, July 11, 2016	Monday, November 14, 2016	Monday, January 09, 2017	Monday, March 13, 2017	Monday, July 10, 2017
IWC Annual Report	<ul style="list-style-type: none"> • IWC photo for Annual Report • Public Hearing on IWC Annual Report (substantially final) • Finalize IWC Annual Report and Publication Costs • IWC Annual Report Press Release 	<ul style="list-style-type: none"> • IWC Annual Report Outreach Summary and Publication Cost Update 		<ul style="list-style-type: none"> • Establish IWC Annual Report Subcommittee to create and finalize IWC Annual Report (Subcommittee meets April through June) 	<ul style="list-style-type: none"> • IWC photo for Annual Report • Public Hearing on IWC Annual Report (substantially final) • Finalize IWC Annual Report and Publication Costs • IWC Annual Report Press Release
Measure B and Measure BB Projects and Programs	<ul style="list-style-type: none"> • Issues Identification Process • IWC Projects and Programs Watchlist Next Steps 	<ul style="list-style-type: none"> • Issues Identification Process 	<ul style="list-style-type: none"> • Overview/Update on Measure B and Measure BB Projects and Programs • Issues Identification Process 	<ul style="list-style-type: none"> • Projects and Programs Watchlist (members sign up for projects and programs)(staff to send letters to jurisdictions in July to keep IWC informed) • Issues Identification Process 	<ul style="list-style-type: none"> • Issues Identification Process • IWC Projects and Programs Watchlist Next Steps
Measure B and Measure BB Compliance and Audited Financial Reports	<ul style="list-style-type: none"> • Measure B and Measure BB Program Compliance Report Summary • Independent Auditor Work Plan 	<ul style="list-style-type: none"> • Presentation of FY2015-16 Comprehensive Annual Financial Report by Independent Auditor 	<ul style="list-style-type: none"> • Measure B and Measure BB FY2015-16 Compliance and Audit Reports available on Alameda CTC Website (raw data, not yet reviewed by staff) 	<ul style="list-style-type: none"> • Measure B and Measure BB Audit Report and Program Compliance Report Review Orientation/Workshop • Measure B and Measure BB FY2015-16 Compliance and Audit Reports Forwarded to IWC for Review 	<ul style="list-style-type: none"> • Measure B and Measure BB Program Compliance Report Summary • Independent Auditor Work Plan
Organizational/Standing Reports	<ul style="list-style-type: none"> • Election of IWC Officers for FY2016-17 • Approve IWC FY2016-17 Annual Calendar/Work Plan • Discussion of IWC Bylaws • IWC Member Reports • Staff Responses to IWC Members Requests for Information • IWC Budget 	<ul style="list-style-type: none"> • IWC Member Reports • Staff Responses to IWC Members Requests for Information 	<ul style="list-style-type: none"> • IWC Member Reports • Staff Responses to IWC Members Requests for Information 	<ul style="list-style-type: none"> • IWC Member Reports • Staff Responses to IWC Members Requests for Information 	<ul style="list-style-type: none"> • Election of IWC Officers for FY2017-18 • Approve IWC FY2017-18 Annual Calendar/Work Plan • IWC Member Reports • Staff Responses to IWC Members Requests for Information • IWC Budget

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Alameda County Transportation Commission
Independent Watchdog Committee
Roster - Fiscal Year 2016-2017

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	Title	Last	First	City	Appointed By	Term Began	Re-apptmt.	Term Expires	Mtgs Missed Since July '16
1	Mr.	McCalley, Chair	Murphy	Castro Valley	Alameda County Supervisor Nate Miley, D-4	Feb-15		Feb-17	0
2	Mr.	Hastings, Vice Chair	Herb	Dublin	Paratransit Advisory and Planning Committee	Jul-14		N/A	0
3	Ms.	Brown	Cheryl	Oakland	Alameda Labor Council (AFL-CIO)	Apr-15		N/A	2
4	Mr.	Buckley	Curtis	Berkeley	Bike East Bay	Oct-16		N/A	0
5	Mr.	Dominguez	Oscar	Oakland	East Bay Economic Development Alliance	Dec-15		N/A	0
6	Ms.	Dorsey	Cynthia	Oakland	Alameda County Mayors' Conference, D-5	Jan-14	Jan-16	Jan-18	0
7	Mr.	Jones	Steven	Dublin	Alameda County Mayors' Conference, D-1	Dec-12	Jan-15	Jan-17	0
8	Mr.	Lester	Brian	Pleasanton	Alameda County Supervisor Scott Haggerty, D-1	Sep-13	Jan-16	Jan-18	2
9	Ms.	Lew	Jo Ann	Union City	Alameda County Mayors' Conference, D-2	Oct-07	Dec-15	Dec-17	0
10	Mr.	Naté	Glenn	Union City	Alameda County Supervisor Richard Valle, D-2	Jan-15		Jan-17	1
11	Ms.	Piras	Pat	San Lorenzo	Sierra Club	Jan-15		N/A	0
12	Ms.	Saunders	Harriette	Alameda	Alameda County Mayors' Conference, D-3	Jul-09	Jul-16	Jul-18	0
13	Mr.	Tucknott	Robert A.	Livermore	Alameda County Mayors' Conference, D-4	Jun-14	Jul-16	Jul-18	2
14	Mr.	Zukas	Hale	Berkeley	Alameda County Supervisor Keith Carson, D-5	Jun-09	Jun-16	Jun-18	0
15		Vacancy			Alameda County Supervisor Wilma Chan, D-3				

Alameda County Transportation Commission
Independent Watchdog Committee
Roster - Fiscal Year 2016-2017

16		Vacancy			Alameda County Taxpayers Association				
17		Vacancy			League of Women Voters				