ALAMEDA County Transportation Commission

1333 Broadway, Suites 220 & 300 ▪ Oakland, CA 94612 ▪ PH: (510) 208-7400
www.AlamedaCTC.org

Commission Chair
Mark Green, Mayor – Union City

Commission Vice Chair
Scott Haggerty, Supervisor – District 1

AC Transit
Greg Harper, Director

Alameda County
Supervisors
Nadia Lockyer – District 2
Wilma Chan – District 3
Nate Miley – District 4
Keith Carson – District 5

BART
Thomas Blalock, Director

City of Alameda
Rob Bonta, Vice Mayor

City of Albany
Farid Javandel, Mayor

City of Berkeley
Laurie Capitelli, Councilmember

City of Dublin
Tim Sbranti, Mayor

City of Emeryville
Ruth Atkin, Councilmember

City of Fremont
Suzanne Chan, Vice Mayor

City of Hayward
Olden Henson, Councilmember

City of Livermore
Marshall Kamena, Mayor

City of Newark
Luis Freitas, Vice Mayor

City of Oakland
Councilmembers
Larry Reid
Rebecca Kaplan

City of Piedmont
John Chiang, Vice Mayor

City of Pleasanton
Jennifer Hosterman, Mayor

City of San Leandro
Joyce R. Starosciak, Councilmember

Executive Director
Arthur L. Dao

Alameda County Transportation Commission
meeting as a committee of the whole as the

FINANCE AND ADMINISTRATION COMMITTEE

MEETING NOTICE
Monday, May 9, 2011, 1:30 P.M.
1333 Broadway, Suite 300, Oakland, California 94612
(see map on last page of agenda)

Chair:	Vice Mayor John Chiang	
Vice Chair:	Councilmember Rebecca Kaplan	
Members:	Mayor Mark Green	Supervisor Scott Haggerty
	Supervisor Nadia Lockyer	Director Tom Blalock
	Supervisor Wilma Chan	Councilmember Beverly Johnson
	Councilmember Laurie Capitelli	
Staff Liaisons:	Patricia Reavey	
Executive Director:	Arthur L. Dao	
Interim Clerk of the Commission:	Gladys V. Parmelee	

AGENDA
Copies of Individual Agenda Items are Available on the:
Alameda CTC Website -- www.AlamedaCTC.org

1 Public Comment

Members of the public may address the Committee during "Public Comment" on any item not on the agenda. Public comment on an agenda item will be heard when that item is before the Committee. Only matters within the Committee's jurisdictions may be addressed. Anyone wishing to comment should make their desire known by filling out a speaker card and handling it to the Clerk of the Commission. Please wait until the Chair calls your name. Walk to the microphone when called; give your name, and your comments. Please be brief and limit comments to the specific subject under discussion. Please limit your comment to three minutes.

2 Consent Calendar

2A. Minutes of March 24, 2011 – **page 1** **A**

3 Financial Matters

3A. Consolidated FY2010-11 3rd Quarter Investment Report – **page 5** **A**

3B. Approval of 3rd Quarter Budget Update and Statement of Revenues and Expenditures for the Alameda County Transportation Improvement Authority – **page 17** **A**

3C. Approval of 3rd Quarter Budget Update and Statement of Revenues and Expenditures for the Alameda County Congestion Management Agency **page 21** **A**

4 Administrative Matters

4A. Approval of the Consolidated Annually Renewed Contracts and Authorization to Execute Contracts **– page 29** **A**

4B. Approval of Consultant Team Selected to Provide Media and Public Relations Services and Authorization to Execute a Contract **– page 33** **A**

4C. Approval of Consultant Team Selected to Provide Information Technology Services and Authorization to Execute a Contract **– page 35** **A**

4D. Approval of Community Advisory Committee Appointment Process Restructuring **– page 37** **A**

5 Staff and Committee Member Reports

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7 Adjournment/Next Meeting: June 13, 2011

Key: A- Action Item; I – Information Item; D – Discussion Item
(#) All items on the agenda are subject to action and/or change by the Committee.

PLEASE DO NOT WEAR SCENTED PRODUCTS SO INDIVIDULAS WITH ENVIRONMENTAL SENSITIVITIES MAY ATTEND

Glossary of Acronyms

ABAG Association of Bay Area Governments

ACCMA Alameda County Congestion Management Agency

ACE Altamont Commuter Express

ACTA Alameda County Transportation Authority (1986 Measure B authority)

ACTAC Alameda County Technical Advisory Committee

ACTC Alameda County Transportation Commission

ACTIA Alameda County Transportation Improvement Authority (2000 Measure B authority)

ADA Americans with Disabilities Act

BAAQMD Bay Area Air Quality Management District

BART Bay Area Rapid Transit District

BRT Bus Rapid Transit

Caltrans California Department of Transportation

CEQA California Environmental Quality Act

CIP Capital Investment Program

CMAQ Federal Congestion Mitigation and Air Quality

CMP Congestion Management Program

CTC California Transportation Commission

CWTP Countywide Transportation Plan

EIR Environmental Impact Report

FHWA Federal Highway Administration

FTA Federal Transit Administration

GHG Greenhouse Gas

HOT High occupancy toll

HOV High occupancy vehicle

ITIP State Interregional Transportation Improvement Program

LATIP Local Area Transportation Improvement Program

LAVTA Livermore-Amador Valley Transportation Authority

LOS Level of service

MTC Metropolitan Transportation Commission

MTS Metropolitan Transportation System

NEPA National Environmental Policy Act

NOP Notice of Preparation

PCI Pavement Condition Index

PSR Project Study Report

RM 2 Regional Measure 2 (Bridge toll)

RTIP Regional Transportation Improvement Program

RTP Regional Transportation Plan (MTC's Transportation 2035*)*

SAFETEA-LU Safe, Accountable, Flexible, Efficient Transportation Equity Act

SCS Sustainable Community Strategy

SR State Route

SRS Safe Routes to Schools

STA State Transit Assistance

STIP State Transportation Improvement Program

STP Federal Surface Transportation Program

TCM Transportation Control Measures

TCRP Transportation Congestion Relief Program

TDA Transportation Development Act

TDM Travel-Demand Management

TEP Transportation Expenditure Plan

TFCA Transportation Fund for Clean Air

TIP Federal Transportation Improvement Program

TLC Transportation for Livable Communities

TMP Traffic Management Plan

TMS Transportation Management System

TOD Transit-Oriented Development

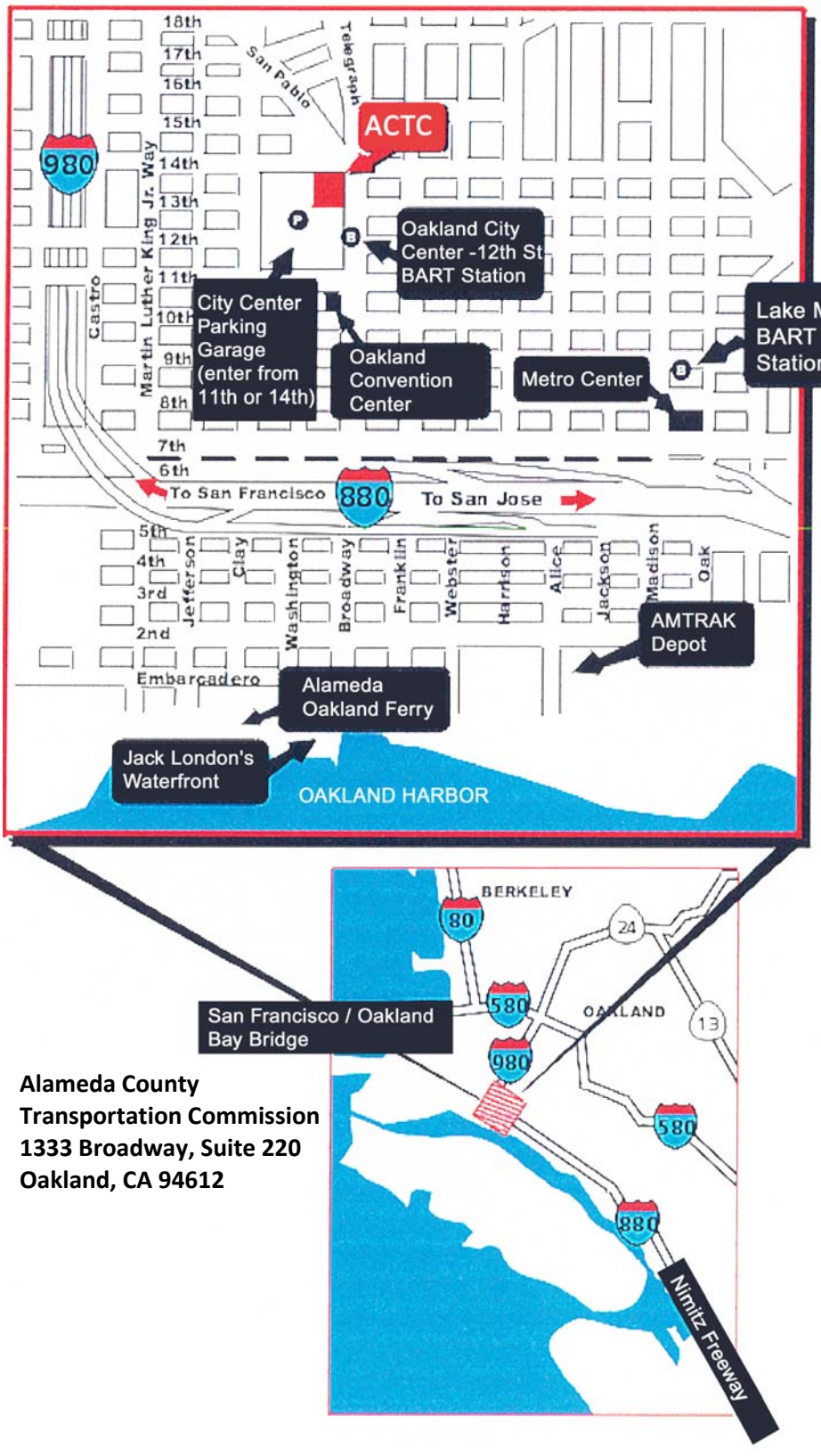
TOS Transportation Operations Systems

TVTC Tri Valley Transportation Committee

VHD Vehicle Hours of Delay

VMT Vehicle miles traveled

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Alameda County Transportation Commission
1333 Broadway, Suite 220
Oakland, CA 94612

Directions to the Offices of the Alameda County Transportation Commission:

1333 Broadway, Suite 220
Oakland, CA 94612

Public Transportation Access:

BART: City Center / 12th Street Station

AC Transit:
Lines 1,1R, 11, 12, 13, 14, 15, 18, 40, 51, 63, 72, 72M, 72R, 314, 800, 801, 802, 805, 840

Auto Access:

- Traveling South: Take 11th Street exit from I-980 to 11th Street
- Traveling North: Take 11th Street/Convention Center Exit from I-980 to 11th Street
- Parking:
  City Center Garage – Underground Parking, (Parking entrances located on 11th or 14th Street)

FINANCE AND ADMINISTRATION COMMITTEE
MINUTES OF APRIL 11, 2011
OAKLAND, CA

Vice Chair Rebecca Kaplan convened the meeting at 2:03 PM.

1 Public Comment
There was no public comment.

2 Consent calendar
A motion to approve the consent calendar was made by Mayor Green; a second was made by Supervisor Haggerty. The motion passed 7-0.

3 Financial Matters
There was none this month.

4 Administrative Matters
There was none this month.

5 Contracts and Agreements Status Reports
5A. Approval of Consultant Team Selected to Provide Project Controls and Project Delivery Management Services and Authorization to Negotiate and Execute a Contract
Liz Brazil requested the Committee to recommend that the Commission approve the selection of Mofatt and Nichol (M&N) to provide consultant services for project controls and project delivery management services for the Alameda CTC and authorize the negotiation and execution of a contract for these services. Mayor Green made a motion to approve staff recommendation; a second was made by Supervisor Haggerty. The motion passed 7-0.

6 Staff and Committee Member Reports
Arthur Dao informed the Committee that the agency is hosting the East County Transportation Forum on April 21, 2011 at Dublin City Hall. There was no report from Committee members.

7 Adjournment/Next Meeting: April 11, 2011
The meeting ended at 2:13 PM. The next FAC meeting is on May 09, 2011 at 1:30 PM.

Attest by:

Gladys V. Parmelee
Interim Clerk of the Commission

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ALAMEDA
County Transportation Commission

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FINANCE AND ADMINISTRATION COMMITTEE MEETING

ROSTER OF MEETING ATTENDANCE
April 11, 2011
1:30 p.m.
1333 Broadway, Suite 300, Oakland, CA 94612

BOARD MEMBERS	Initials	ALTERNATES	Initials
Chair : John Chiang, – City of Piedmont			
Vice Chair: Rebecca Kaplan – City of Oakland			
Members:			
Rob Bonta – City of Alameda		Beverly Johnson – City of Alameda	
Scott Haggerty – County of Alameda, District 1		Bill Harrison – City of Fremont	
Nadia Lockyer – County of Alameda, District 2			
Wilma Chan – County of Alameda, District 3		Michael Gregory – City of San Leandro	
Tom Blalock - BART		Robert Franklin - BART	
Laurie Capitelli – City of Berkeley		Kriss Worthington – City of Berkeley	
Mark Green – City of Union City		Emily Duncan – City of Union City	
LEGAL COUNSEL			
Zack Wasserman – WRBD			
Neal Parish – WRBD			
Geoffrey Gibbs - GLG			
STAFF			
Arthur L. Dao – Executive Director			
Gladys Parmelee – Executive Assistant and Clerk of the Commission			
Patricia Reavey - Director of Finance			
Victoria Winn – Administrative Assistant III			

STAFF	Initials	STAFF	Initials
Tess Lengyel – Programs & Public Affairs Manager	TL	Yvonne Chan – Accounting Manager	
Beth Walukas – Manager of Planning		Arun Goel – Associate Transportation Engr.	AGG
Patricia Reavey – Director of Finance		Linda Adams – Executive Assistant	
Matt Todd - Manager of Programming	MT	Liz Brazil – Contracts Administrator	
Ray Akkawi – Manager of Project Delivery		Lei Lam – Senior Accountant	
Steve Haas – Senior Transportation Engineer		Sammy Ng – Senior Accountant	
John Hemiup – Senior Transportation Engineer		Patty Seu - Accountant	
Saravana Suthanthira - Senior Transportation Planner		Jacki Taylor – Programming Liaison	
Diane Stark - Senior Transportation Planner		Laurel Poeton – Engineering Assistant	
Vivek Bhat - Senior Transportation Engineer		Claudia Leyva - Admin Asst.	Claudia Leyva
Bijan Yarjani – Senior Transportation Engineer		Frank R. Furger – Executive Director, I-680 JPA	
		James O'Brien	JOB

	NAME	JURISDICTION/ ORGANIZATION	PHONE #	E-MAIL
1.	Bruce Zucsi	Moffatt & Nichol		
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Memorandum

DATE: April 20, 2011

TO: Finance and Administration Committee

FROM: Arthur L. Dao, Executive Director
Patricia M. Reavey, Director of Finance

SUBJECT: **Approval of the Consolidated FY2010-11 Third Quarter Investment Report**

Recommendation
It is recommended that the Committee approve the attached Alameda CTC Consolidated FY2010-11 3rd Quarter Investment Report (Attachment A).

Summary

- As of March 31, 2011, total cash and investments held by the Alameda CTC were $300.9 million. This total is down $26.7 million, or 8.1%, from the prior year-end balance of $327.6 million.

- The reduction in the ACTA balance of $4.6 million, or 2.5%, and the ACTIA balance of $17.1 million, or 14.1%, were primarily due to capital expenditures. The decrease in the ACCMA balance of $5.0 million, or 21.3%, was due to the need to cash flow capital project expenditures and a net drawdown in the Exchange Fund.

- Investment yields continue to decline with the return on investment for the Alameda CTC at 1.57% compared to the prior year return of 2.88%. However, interest was projected for the FY2010-11 budget at a rate of 1.00%.

- Based on current cash flow projections, ACTIA would require external financing by the 3rd quarter of FY2012-13 to satisfy capital project obligations.

- Attached is a detail list of investments managed by the ACTA and the ACTIA investment advisors. These managed investments remain compliant with the investment policy.

Attachments:
Attachment A - Investment Status Report
Attachment B - Detail of Investment Holdings (managed by PFM and Chandler)

Alameda CTC
Investment Status Report
As of March 31, 2011

ACTA	Pre-Audit Investment Balance	Interest Earned As of March 31, 2011 Interest earned	Approx. ROI	Budget @ 1%	Difference	Audited-FYE 2010 Investment Balance FYE June 30,2010	Interest earned FYE 2010
Checking UBOC	$ 99,665	$ 50	0.07%			$ 53,614	$ 193
State Treas. Pool (LAIF)	27,435,071	105,215	0.51%			17,858,450	99,664
Investment Advisors (1)	150,841,728	2,695,557	2.38%			165,070,857	5,731,340
ACTA Total	178,376,464	$ 2,800,823	2.09%	$ 875,000	$ 1,925,823	182,982,921	5,831,197
						Approx. ROI	*3.19%*

ACTIA	Pre-Audit Investment Balance	Interest Earned As of March 31, 2011 Interest earned	Approx. ROI	Budget @ 1%	Difference	Audited-FYE 2010 Investment Balance FYE June 30,2010	Interest earned FYE 2010
Community Bank (OPEB)(2)	$ 909,281	$ 527	0.08%			$ 929,128	$ 5,790
Checking UBOC	$ (598,311)	$ 1,257				$ 4,091,191	$ 3,148
State Treas. Pool (LAIF)	36,079,302	159,808	0.59%			49,999,050	266,298
State Treas. Pool (LAIF-ACTA)	20,016,634	34,595	0.23%				
AC Transit Loan (3)	-	68,624				7,040,371	759,036
Investment Advisors (1)	48,715,891	416,990	1.14%			60,189,855	2,467,234
ACTIA Total	104,213,516	$ 681,273	0.87%	$ 555,500	$ 125,773	121,320,467	3,495,716
						Approx. ROI	*2.88%*

ACCMA	Pre-Audit Investment Balance	Interest Earned As of March 31, 2011 Interest earned	Approx. ROI	Budget	Difference	Audited-FYE 2010 Investment Balance FYE June 30,2010	Interest earned FYE 2010
Wells Fargo Bank (Checking)	$ 2,644,046	$ -	0.00%			$ 2,072,659	$ -
State Treas. Pool (LAIF)	4,692,108	23,988	0.68%			10,117,784	26,556
TVTC Reserve (4)	6,180,825	22,758	0.49%			6,192,095	40,585
San Leandro Marina Reserve (4)	4,832,592	18,011	0.50%			4,939,236	32,681
ACCMA Total	$ 18,349,571	$ 64,758	0.47%	$ -	$ 64,758	$ 23,321,774	$ 99,822
						Approx. ROI	0.43%
ACTC TOTAL	$ 300,939,551	$ 3,546,854	1.57%	$ 1,430,500	$ 2,116,354	$ 327,625,162	$ 9,426,735

Notes:

(1) See attachments for detail of investment holdings managed by the Investment Advisors.

(2) The OPEB/Health Retirement account and related interest income is held in a irrevocable trust and does not appear on ACTIA's balance sheet.

(3) The Loan to AC Transit was carried with an interest rate of 6% plus 1% for admin fees. This loan has been repaid in full.

(4) Tri Valley Transportation Commission and San Leandro Marina project funds are invested in LAIF with interest accruing back to the specific project fund.

(5) All investments are marked to market on the financial statements at the end of the fiscal year per GASB 31 requirements.

Holdings Report

As of 3/31/11

CUSIP	Security Description	Par Value/Units	Purchase Date Book Yield	Cost Value Book Value	Mkt Price Mkt YTM	Market Value Accrued Int.	% of Port. Gain/Loss	Moody S&P	Term (yrs) Duration
AGENCY									
31398ARH7	FNMA Note 3.375% Due 5/19/2011	3,150,000.00	04/09/2009 1.44 %	3,275,574.75 3,157,868.91	100.44 0.04 %	3,164,004.90 38,981.25	3.95 % 6,135.99	Aaa AAA	0.13 0.13
3133XQQQ8	FHLB Note 2.625% Due 5/20/2011	825,000.00	01/08/2009 1.69 %	842,715.22 826,008.18	100.34 0.10 %	827,833.88 7,880.47	1.03 % 1,825.70	Aaa AAA	0.14 0.14
3134A4FM1	FHLMC Note 6% Due 6/15/2011	2,500,000.00	11/06/2008 2.99 %	2,687,222.50 2,514,780.72	101.23 0.03 %	2,530,675.00 44,166.67	3.17 % 15,894.28	Aaa AAA	0.21 0.21
3133XRCW8	FHLB Note 3.375% Due 6/24/2011	3,225,000.00	05/28/2010 0.57 %	3,320,927.63 3,245,767.84	100.71 0.27 %	3,248,055.53 29,327.34	4.04 % 2,287.69	Aaa AAA	0.23 0.23
3133XRRU6	FHLB Note 3.625% Due 7/1/2011	2,500,000.00	01/08/2009 1.81 %	2,609,580.00 2,511,042.95	100.90 0.02 %	2,522,530.00 22,152.78	3.14 % 11,487.05	Aaa AAA	0.25 0.25
31331VJ80	FFCB Note 5.375% Due 7/18/2011	2,500,000.00	06/16/2008 3.98 %	2,599,910.00 2,509,599.89	101.57 0.10 %	2,539,177.50 27,248.26	3.16 % 29,577.61	Aaa AAA	0.30 0.30
3137EAAF6	FHLMC Note 5.25% Due 7/18/2011	2,500,000.00	01/08/2009 1.64 %	2,722,120.00 2,526,074.96	101.54 0.06 %	2,538,552.50 26,614.58	3.16 % 12,477.54	Aaa AAA	0.30 0.30
3133XHPH9	FHLB Note 4.875% Due 11/18/2011	2,500,000.00	06/04/2009 1.35 %	2,711,595.00 2,554,551.84	102.87 0.32 %	2,571,625.00 45,026.04	3.22 % 17,073.16	Aaa AAA	0.64 0.62
31331GKY4	FFCB Note 2% Due 1/17/2012	1,475,000.00	11/16/2009 1.14 %	1,502,218.18 1,485,013.26	101.33 0.32 %	1,494,620.45 6,063.89	1.85 % 9,607.19	Aaa AAA	0.80 0.79
880591DT6	Tennessee Valley Authority Note 6.79% Due 5/23/2012	2,125,000.00	06/17/2008 4.25 %	2,317,763.00 2,181,228.15	107.24 0.44 %	2,278,858.50 51,302.22	2.87 % 97,630.35	Aaa AAA	1.15 1.10
3137EAAZ2	FHLMC Note 4.625% Due 10/25/2012	2,500,000.00	07/09/2008 3.99 %	2,562,070.00 2,522,682.47	106.22 0.63 %	2,655,445.00 50,104.17	3.33 % 132,762.53	Aaa AAA	1.57 1.50
3133XP2W3	FHLB Note 3.375% Due 2/27/2013	2,500,000.00	07/09/2008 4.02 %	2,432,425.00 2,472,139.78	104.82 0.82 %	2,620,412.50 7,968.75	3.24 % 148,272.72	Aaa AAA	1.92 1.85
880591CW0	Tennessee Valley Authority Note 6% Due 3/15/2013	4,000,000.00	Various 3.45 %	4,407,900.00 4,183,727.29	109.78 0.94 %	4,391,088.00 10,666.67	5.42 % 207,360.71	Aaa AAA	1.96 1.87
31398ASD5	FNMA Note 3.875% Due 7/12/2013	1,700,000.00	04/09/2009 2.24 %	1,811,683.20 1,759,982.02	106.50 0.99 %	1,810,477.90 14,455.90	2.25 % 50,495.88	Aaa AAA	2.28 2.18
880591DW9	Tennessee Valley Authority Note 4.75% Due 8/1/2013	4,000,000.00	Various 2.35 %	4,411,330.00 4,211,369.56	108.31 1.13 %	4,332,344.00 31,666.67	5.38 % 120,974.44	Aaa AAA	2.34 2.21
31398A4A7	FNMA Callable Note 1X 9/27/11 1.2% Due 9/27/2013	1,750,000.00	09/10/2010 1.20 %	1,750,000.00 1,750,000.00	99.55 1.39 %	1,742,046.25 233.33	2.15 % (7,953.75)	Aaa AAA	2.50 2.06
31331GCS6	FFCB Note 3.875% Due 10/7/2013	2,300,000.00	02/04/2009 2.89 %	2,397,934.00 2,352,844.15	106.74 1.15 %	2,454,905.00 43,077.08	3.08 % 102,060.85	Aaa AAA	2.52 2.37
3133XSAE8	FHLB Note 3.625% Due 10/18/2013	2,000,000.00	03/03/2009 2.69 %	2,080,600.00 2,044,427.83	105.93 1.25 %	2,118,660.00 32,826.39	2.65 % 74,232.17	Aaa AAA	2.55 2.41
3134A4UK8	FHLMC Note 4.875% Due 11/15/2013	2,500,000.00	01/08/2009 2.44 %	2,776,632.50 2,649,797.05	109.53 1.17 %	2,738,350.00 46,041.67	3.43 % 88,552.95	Aaa AAA	2.63 2.45
Total Agency		**46,550,000.00**	**2.48 %**	**49,220,200.98 47,458,906.85**	**0.61 %**	**48,579,661.91 535,804.13**	**60.53 % 1,120,755.06**	**Aaa AAA**	**1.33 1.25**

Holdings Report

As of 3/31/11

CUSIP	Security Description	Par Value/Units	Purchase Date Book Yield	Cost Value Book Value	Mkt Price Mkt YTM	Market Value Accrued Int.	% of Port. Gain/Loss	Moody S&P	Term (yrs) Duration
FDIC INSURED US CORPORATE									
69351CAA1	PNC Funding Corp FDIC Guaranteed Note 1.875% Due 6/22/2011	2,675,000.00	05/27/2010 0.58 %	2,711,495.03 2,682,772.97	100.39 0.14 %	2,685,429.83 13,792.97	3.33 % 2,656.86	Aaa AAA	0.23 0.22
91160HAA5	US Bancorp FDIC Guaranteed Note 2.25% Due 3/13/2012	3,210,000.00	03/10/2009 2.25 %	3,209,614.80 3,209,878.04	101.80 0.35 %	3,267,674.07 3,611.25	4.03 % 57,796.03	Aaa AAA	0.95 0.94
38146FAA9	Goldman Sachs FDIC Guaranteed Note 3.25% Due 6/15/2012	2,000,000.00	12/08/2008 2.83 %	2,027,720.00 2,009,535.51	103.31 0.49 %	2,066,184.00 19,138.89	2.57 % 56,648.49	Aaa AAA	1.21 1.18
06050BAA9	Bank of America Corp FDIC Guaranteed Note 3.125% Due 6/15/2012	2,100,000.00	12/19/2008 2.01 %	2,178,477.00 2,127,272.15	103.11 0.53 %	2,165,347.80 19,322.92	2.69 % 38,075.65	Aaa AAA	1.21 1.18
949744AC0	Wells Fargo & Company FDIC Guaranteed Note 2.125% Due 6/15/2012	1,900,000.00	03/25/2009 2.13 %	1,899,506.00 1,899,814.28	101.91 0.53 %	1,936,301.40 11,888.19	2.40 % 36,487.12	Aaa AAA	1.21 1.19
36967HAV9	GE Capital Corp FDIC Guaranteed Note 2.125% Due 12/21/2012	2,180,000.00	06/03/2009 2.10 %	2,182,071.00 2,181,009.85	102.36 0.74 %	2,231,500.32 12,868.06	2.77 % 50,490.47	Aaa AAA	1.73 1.69
481247AM6	JP Morgan Chase FDIC Guaranteed Note 2.125% Due 12/26/2012	3,250,000.00	04/22/2009 2.04 %	3,260,075.00 3,254,777.91	102.46 0.70 %	3,329,803.75 18,224.83	4.13 % 75,025.84	Aaa AAA	1.74 1.70
Total FDIC Insured US Corporate		**17,315,000.00**	**1.96 %**	**17,468,958.83 17,365,060.71**	**0.49 %**	**17,682,241.17 98,847.11**	**21.91 % 317,180.46**	**Aaa AAA**	**1.18 1.15**
MONEY MARKET FUND FI									
431114701	Highmark Govt Money Market Fund	4,204,302.44	Various 0.02 %	4,204,302.44 4,204,302.44	1.00 0.02 %	4,204,302.44 0.00	5.18 % 0.00	Aaa AAA	0.00 0.00
Total Money Market Fund FI		**4,204,302.44**	**0.02 %**	**4,204,302.44 4,204,302.44**	**0.02 %**	**4,204,302.44 0.00**	**5.18 % 0.00**	**Aaa AAA**	**0.00 0.00**
US TREASURY									
912828FD7	US Treasury Note 4.875% Due 4/30/2011	2,000,000.00	11/29/2007 3.15 %	2,110,703.13 2,002,574.49	100.38 0.30 %	2,007,578.00 40,939.23	2.52 % 5,003.51	TSY TSY	0.08 0.08
912828FK1	US Treasury Note 5.125% Due 6/30/2011	2,000,000.00	Various 3.47 %	2,109,414.06 2,007,618.98	101.23 0.21 %	2,024,688.00 25,766.58	2.53 % 17,069.02	TSY TSY	0.25 0.25
912828FS4	US Treasury Note 4.625% Due 8/31/2011	1,800,000.00	04/03/2008 2.30 %	1,936,054.69 1,816,664.23	101.84 0.20 %	1,833,046.20 7,239.13	2.27 % 16,381.97	TSY TSY	0.42 0.42
912828KA7	US Treasury Note 1.125% Due 12/15/2011	1,500,000.00	11/16/2009 0.90 %	1,506,919.09 1,502,355.05	100.62 0.25 %	1,509,316.50 4,960.51	1.87 % 6,961.45	TSY TSY	0.71 0.71
912828GQ7	US Treasury Note 4.5% Due 4/30/2012	1,200,000.00	10/04/2007 4.18 %	1,215,703.13 1,203,727.61	104.48 0.35 %	1,253,719.20 22,674.03	1.57 % 49,991.59	TSY TSY	1.08 1.05

Holdings Report

As of 3/31/11

CUSIP	Security Description	Par Value/Units	Purchase Date Book Yield	Cost Value Book Value	Mkt Price Mkt YTM	Market Value Accrued Int.	% of Port. Gain/Loss	Moody S&P	Term (yrs) Duration
US TREASURY									
912828HG8	US Treasury Note 3.875% Due 10/31/2012	1,225,000.00	08/27/2008 2.88 %	1,272,616.41 1,243,078.62	105.19 0.58 %	1,288,594.65 19,931.63	1.61 % 45,516.03	TSY TSY	1.59 1.52
Total US Treasury		**9,725,000.00**	**2.81 %**	**10,151,410.51** **9,776,018.98**	**0.30 %**	**9,916,942.55** **121,511.11**	**12.37 %** **140,923.57**	**TSY** **TSY**	**0.60** **0.58**
TOTAL PORTFOLIO		**77,794,302.44**	**2.27 %**	**81,044,872.76** **78,804,288.98**	**0.52 %**	**80,383,148.07** **756,162.35**	**100.00 %** **1,578,859.09**	**Aaa** **AAA**	**1.14** **1.08**
TOTAL MARKET VALUE PLUS ACCRUED						**81,139,310.42**			



Managed Account Detail of Securities Held

For the Month Ending **March 31, 2011**

ALAMEDA CNTY TRANSPORTATION AUTHORITY - 03068500

Security Type/Description Dated Date/Coupon/Maturity	CUSIP	Par	S&P Rating	Moody's Rating	Trade Date	Settle Date	Original Cost	YTM at Cost	Accrued Interest	Amortized Cost	Market Value
U.S. Treasury Bond / Note											
US TREASURY NOTES DTD 02/15/2009 1.375% 02/15/2012	912828KC3	4,800,000.00	TSY	TSY	03/02/09	03/03/09	4,816,875.00	1.25	8,204.42	4,805,065.34	4,845,561.60
US TREASURY NOTES DTD 04/15/2009 1.375% 04/15/2012	912828KK5	3,065,000.00	TSY	TSY	05/04/09	05/07/09	3,064,760.55	1.38	19,450.96	3,064,917.06	3,097,804.70
US TREASURY NOTES DTD 06/30/2010 0.625% 06/30/2012	912828NS5	1,110,000.00	TSY	TSY	03/15/11	03/16/11	1,114,249.22	0.33	1,743.96	1,114,104.27	1,112,863.80
US TREASURY NOTES DTD 03/31/2011 0.750% 03/31/2013	**912828QL7**	**1,500,000.00**	**TSY**	**TSY**	**03/31/11**	**04/01/11**	**1,499,121.09**	**0.78**	**30.74**	**1,499,121.09**	**1,498,830.00**
Security Type Sub-Total		**10,475,000.00**					**10,495,005.86**	**1.13**	**29,430.08**	**10,483,207.76**	**10,555,060.10**
U.S. Government Supported Corporate Debt											
CITIBANK NA (FDIC) NOTE DTD 09/22/2009 1.250% 09/22/2011	17314JAP8	3,000,000.00	AAA	Aaa	02/24/10	02/25/10	3,022,869.00	0.76	937.50	3,006,926.61	3,015,612.00
SOVEREIGN BANK (FDIC) GLOBAL NOTE DTD 12/22/2008 2.750% 01/17/2012	846042AA7	1,000,000.00	AAA	Aaa	12/17/08	12/22/08	999,050.00	2.78	5,652.78	999,743.58	1,019,632.00
BANK OF AMERICA CORP (FDIC) GLOBAL MTN DTD 12/04/2008 3.125% 06/15/2012	06050BAA9	1,000,000.00	AAA	Aaa	12/15/08	12/18/08	1,020,390.00	2.51	9,201.39	1,007,237.79	1,031,118.00
JOHN DEERE CAPITAL CORP (FDIC) GL MTN DTD 12/19/2008 2.875% 06/19/2012	24424DAA7	2,050,000.00	AAA	Aaa	12/16/08	12/19/08	2,045,264.50	2.94	16,698.96	2,048,299.87	2,109,398.75
JPMORGAN CHASE & CO (FDIC) GLOBAL NOTE DTD 12/22/2008 2.125% 06/22/2012	481247AE4	1,000,000.00	AAA	Aaa	12/18/08	12/22/08	999,500.00	2.14	5,843.75	999,820.84	1,019,798.00
PNC FUNDING CORP (FDIC) GLOBAL NOTE DTD 12/22/2008 2.300% 06/22/2012	69351CAC7	2,350,000.00	AAA	Aaa	12/17/08	12/22/08	2,347,250.50	2.34	14,863.75	2,349,012.74	2,400,917.45
Security Type Sub-Total		**10,400,000.00**					**10,434,324.00**	**2.05**	**53,198.13**	**10,411,041.43**	**10,596,476.20**
Federal Agency Bond / Note											

Managed Account Detail of Securities Held

For the Month Ending **March 31, 2011**

ALAMEDA CNTY TRANSPORTATION AUTHORITY - 03068500

Security Type/Description Dated Date/Coupon/Maturity	CUSIP	Par	S&P Rating	Moody's Rating	Trade Date	Settle Date	Original Cost	YTM at Cost	Accrued Interest	Amortized Cost	Market Value
Federal Agency Bond / Note											
FHLB GLOBAL BONDS DTD 06/12/2009 1.625% 07/27/2011	3133XTXH4	2,750,000.00	AAA	Aaa	02/24/10	02/25/10	2,790,727.50	0.58	7,944.44	2,759,252.84	2,763,505.25
FHLB GLOBAL BONDS DTD 08/27/2008 3.625% 09/16/2011	3133XS4S4	2,440,000.00	AAA	Aaa	02/24/10	02/25/10	2,552,240.00	0.65	3,685.42	2,473,127.93	2,479,923.28
FNMA GLOBAL NOTES DTD 03/02/2010 1.000% 04/04/2012	31398AH54	1,000,000.00	AAA	Aaa	03/25/10	03/30/10	996,280.00	1.19	4,916.67	998,123.72	1,006,264.00
FHLB TAP BONDS DTD 05/05/2005 4.375% 06/08/2012	3133XBT39	2,780,000.00	AAA	Aaa	08/05/08	08/06/08	2,812,292.48	4.04	38,176.74	2,790,545.37	2,894,594.38
FFCB BONDS DTD 10/15/2008 3.650% 10/15/2012	31331GDH9	4,690,000.00	AAA	Aaa	10/07/08	10/15/08	4,691,547.70	3.64	78,935.31	4,690,621.89	4,904,389.28
FANNIE MAE GLOBAL NOTES DTD 10/08/2010 0.500% 10/30/2012	31398A4T6	4,575,000.00	AAA	Aaa	10/25/10	10/26/10	4,580,124.00	0.44	9,594.79	4,579,030.48	4,562,254.05
FHLMC GLOBAL NOTES DTD 12/02/2009 1.375% 01/09/2013	3137EACG2	2,380,000.00	AAA	Aaa	02/11/11	02/14/11	2,400,634.60	0.91	7,454.03	2,399,229.97	2,406,239.50
FHLMC GLOBAL REFERENCE NOTES DTD 01/17/2003 4.500% 01/15/2013	3134A4SA3	5,625,000.00	AAA	Aaa	03/25/10	03/30/10	6,058,575.00	1.66	53,437.50	5,905,138.11	5,998,618.13
FHLMC GLOBAL NOTES DTD 03/04/2010 1.625% 04/15/2013	3137EACJ6	4,000,000.00	AAA	Aaa	03/03/10	03/04/10	3,994,400.00	1.67	29,972.22	3,996,325.60	4,061,128.00
FHLB NOTES DTD 11/18/2010 0.875% 12/27/2013	313371UC8	4,200,000.00	AAA	Aaa	12/22/10	12/23/10	4,159,638.00	1.20	9,595.83	4,163,231.73	4,148,083.80
Security Type Sub-Total		**34,440,000.00**					**35,036,459.28**	**1.70**	**243,712.95**	**34,754,627.64**	**35,224,999.67**
Corporate Note											
GENERAL ELECTRIC CAPITAL CORP (FLOAT) DTD 12/06/2006 0.390% 06/06/2011	36962GZ80	2,250,000.00	AA+	Aa2	05/13/09	05/18/09	2,079,243.23	5.28	608.59	2,233,835.60	2,250,533.25
WELLS FARGO & COMPANY GLOBAL SR NOTES DTD 08/29/2006 5.300% 08/26/2011	949746NJ6	2,000,000.00	AA-	A1	09/01/06	09/07/06	2,003,260.00	5.26	10,305.56	2,000,297.58	2,037,890.00

Managed Account Detail of Securities Held

For the Month Ending **March 31, 2011**

ALAMEDA CNTY TRANSPORTATION AUTHORITY - 03068500

Security Type/Description Dated Date/Coupon/Maturity	CUSIP	Par	S&P Rating	Moody's Rating	Trade Date	Settle Date	Original Cost	YTM at Cost	Accrued Interest	Amortized Cost	Market Value
Corporate Note											
GENERAL ELEC CAP CORP GLOBAL SR MTN DTD 02/15/2002 5.875% 02/15/2012	36962GXS8	1,500,000.00	AA+	Aa2	02/29/08	03/05/08	1,598,040.00	4.06	11,260.42	1,523,023.71	1,569,411.00
WELLS FARGO & COMPANY GLOBAL SR NOTES DTD 01/31/2008 4.375% 01/31/2013	949746NY3	1,000,000.00	AA-	A1	08/26/08	08/29/08	959,600.00	5.41	7,413.19	982,133.85	1,053,304.00
Security Type Sub-Total		**6,750,000.00**					**6,640,143.23**	**5.02**	**29,587.76**	**6,739,290.74**	**6,911,138.25**
Managed Account Sub-Total		**62,065,000.00**					**62,605,932.37**	**2.03**	**355,928.92**	**62,388,167.57**	**63,287,674.22**
Securities Sub-Total		**$62,065,000.00**					**$62,605,932.37**	**2.03%**	**$355,928.92**	**$62,388,167.57**	**$63,287,674.22**
Accrued Interest											**$355,928.92**
Total Investments											**$63,643,603.14**

Bolded items are forward settling trades.

Holdings Report

As of 3/31/11

CUSIP	Security Description	Par Value/Units	Purchase Date Book Yield	Cost Value Book Value	Mkt Price Mkt YTM	Market Value Accrued Int.	% of Port. Gain/Loss	Moody S&P	Term (yrs) Duration
AGENCY									
31331YG46	FFCB Note 2.625% Due 4/21/2011	1,210,000.00	04/01/2010 0.51 %	1,236,674.45 1,211,400.23	100.14 0.11 %	1,211,689.16 14,116.67	4.50 % 288.93	Aaa AAA	0.06 0.06
31398AWQ1	FNMA Note 1.375% Due 4/28/2011	1,000,000.00	06/22/2010 0.40 %	1,008,195.00 1,000,716.07	100.10 0.08 %	1,000,971.00 5,843.75	3.70 % 254.93	Aaa AAA	0.08 0.07
31359MJH7	FNMA Note 6% Due 5/15/2011	1,835,000.00	04/01/2010 0.56 %	1,945,492.69 1,847,004.14	100.72 0.10 %	1,848,224.85 41,593.33	6.94 % 1,220.71	Aaa AAA	0.12 0.12
31398ARH7	FNMA Note 3.375% Due 5/19/2011	1,500,000.00	01/08/2009 1.63 %	1,560,225.00 1,503,361.40	100.44 0.04 %	1,506,669.00 18,562.50	5.60 % 3,307.60	Aaa AAA	0.13 0.13
3133XQQQ8	FHLB Note 2.625% Due 5/20/2011	1,500,000.00	01/08/2009 1.69 %	1,532,209.50 1,501,833.06	100.34 0.10 %	1,505,152.50 14,328.13	5.58 % 3,319.44	Aaa AAA	0.14 0.14
31331JPX5	FFCB Note 0.5% Due 6/1/2011	1,000,000.00	06/22/2010 0.45 %	1,000,504.00 1,000,089.63	100.07 0.10 %	1,000,666.00 1,666.67	3.68 % 576.37	Aaa AAA	0.17 0.17
3133XR4U1	FHLB Note 3.125% Due 6/10/2011	1,920,000.00	04/01/2010 0.59 %	1,977,262.08 1,929,300.11	100.53 0.37 %	1,930,118.40 18,500.00	7.15 % 818.29	Aaa AAA	0.19 0.19
3134A4FM1	FHLMC Note 6% Due 6/15/2011	1,410,000.00	Various 2.64 %	1,495,439.22 1,419,413.08	101.23 0.03 %	1,427,300.70 24,910.00	5.33 % 7,887.62	Aaa AAA	0.21 0.21
31331YY46	FFCB Note 3.9% Due 6/20/2011	750,000.00	06/28/2010 0.44 %	775,252.50 755,674.72	100.83 0.10 %	756,251.25 8,206.25	2.81 % 576.53	Aaa AAA	0.22 0.22
3137EABN8	FHLMC Note 3.875% Due 6/29/2011	1,000,000.00	06/22/2010 0.45 %	1,034,750.00 1,008,336.25	100.92 0.10 %	1,009,223.00 9,902.78	3.74 % 886.75	Aaa AAA	0.25 0.24
3133XRRU6	FHLB Note 3.625% Due 7/1/2011	1,500,000.00	01/08/2009 1.81 %	1,565,748.00 1,506,625.77	100.90 0.02 %	1,513,518.00 13,291.67	5.60 % 6,892.23	Aaa AAA	0.25 0.25
31331VJ80	FFCB Note 5.375% Due 7/18/2011	1,000,000.00	06/16/2008 3.98 %	1,039,964.00 1,003,839.96	101.57 0.10 %	1,015,671.00 10,899.31	3.77 % 11,831.04	Aaa AAA	0.30 0.30
3137EAAF6	FHLMC Note 5.25% Due 7/18/2011	1,400,000.00	01/08/2009 1.64 %	1,524,387.20 1,414,601.98	101.54 0.06 %	1,421,589.40 14,904.17	5.27 % 6,987.42	Aaa AAA	0.30 0.30
3133XHPH9	FHLB Note 4.875% Due 11/18/2011	1,000,000.00	10/28/2008 3.78 %	1,031,172.00 1,006,458.06	102.87 0.32 %	1,028,650.00 18,010.42	3.84 % 22,191.94	Aaa AAA	0.64 0.62
Total Agency		**18,025,000.00**	**1.44 %**	**18,727,275.64 18,108,654.46**	**0.12 %**	**18,175,694.26 214,735.65**	**67.50 % 67,039.80**	**Aaa AAA**	**0.21 0.21**
FDIC INSURED US CORPORATE									
69351CAA1	PNC Funding Corp FDIC Guaranteed Note 1.875% Due 6/22/2011	900,000.00	05/27/2010 0.58 %	912,278.70 902,615.20	100.39 0.14 %	903,509.10 4,640.63	3.33 % 893.90	Aaa AAA	0.23 0.22
91160HAA5	US Bancorp FDIC Guaranteed Note 2.25% Due 3/13/2012	1,225,000.00	03/10/2009 2.25 %	1,224,853.00 1,224,953.46	101.80 0.35 %	1,247,009.58 1,378.13	4.58 % 22,056.12	Aaa AAA	0.95 0.94
38146FAA9	Goldman Sachs FDIC Guaranteed Note 3.25% Due 6/15/2012	800,000.00	12/08/2008 2.83 %	811,088.00 803,814.20	103.31 0.49 %	826,473.60 7,655.56	3.06 % 22,659.40	Aaa AAA	1.21 1.18

Holdings Report

As of 3/31/11

CUSIP	Security Description	Par Value/Units	Purchase Date Book Yield	Cost Value Book Value	Mkt Price Mkt YTM	Market Value Accrued Int.	% of Port. Gain/Loss	Moody S&P	Term (yrs) Duration
FDIC INSURED US CORPORATE									
06050BAA9	Bank of America Corp FDIC Guaranteed Note 3.125% Due 6/15/2012	800,000.00	12/19/2008 2.01 %	829,896.00 810,389.39	103.11 0.53 %	824,894.40 7,361.11	3.05 % 14,505.01	Aaa AAA	1.21 1.18
949744AC0	Wells Fargo & Company FDIC Guaranteed Note 2.125% Due 6/15/2012	740,000.00	03/25/2009 2.13 %	739,807.60 739,927.67	101.91 0.53 %	754,138.44 4,630.14	2.79 % 14,210.77	Aaa AAA	1.21 1.19
Total FDIC Insured US Corporate		**4,465,000.00**	**1.96 %**	**4,517,923.30** **4,481,699.92**	**0.40 %**	**4,556,025.12** **25,665.57**	**16.82 %** **74,325.20**	**Aaa** **AAA**	**0.95** **0.93**
MONEY MARKET FUND FI									
431114701	Highmark Govt Money Market Fund	1,222,209.07	Various 0.02 %	1,222,209.07 1,222,209.07	1.00 0.02 %	1,222,209.07 0.00	4.49 % 0.00	Aaa AAA	0.00 0.00
Total Money Market Fund FI		**1,222,209.07**	**0.02 %**	**1,222,209.07** **1,222,209.07**	**0.02 %**	**1,222,209.07** **0.00**	**4.49 %** **0.00**	**Aaa** **AAA**	**0.00** **0.00**
US TREASURY									
912828LF5	US Treasury Note 1.125% Due 6/30/2011	1,000,000.00	06/28/2010 0.37 %	1,007,542.41 1,001,854.69	100.25 0.15 %	1,002,461.00 2,828.04	3.69 % 606.31	TSY TSY	0.25 0.25
912828FS4	US Treasury Note 4.625% Due 8/31/2011	1,000,000.00	07/10/2008 2.77 %	1,055,237.73 1,007,326.47	101.84 0.20 %	1,018,359.00 4,021.74	3.75 % 11,032.53	TSY TSY	0.42 0.42
912828FU9	US Treasury Note 4.5% Due 9/30/2011	1,000,000.00	07/10/2008 2.79 %	1,052,190.85 1,008,077.16	102.14 0.21 %	1,021,406.00 122.95	3.75 % 13,328.84	TSY TSY	0.50 0.50
Total US Treasury		**3,000,000.00**	**1.98 %**	**3,114,970.99** **3,017,258.32**	**0.19 %**	**3,042,226.00** **6,972.73**	**11.19 %** **24,967.68**	**TSY** **TSY**	**0.39** **0.39**
TOTAL PORTFOLIO		**26,712,209.07**	**1.52 %**	**27,582,379.00** **26,829,821.77**	**0.17 %**	**26,996,154.45** **247,373.95**	**100.00 %** **166,332.68**	**Aaa** **AAA**	**0.34** **0.34**
TOTAL MARKET VALUE PLUS ACCRUED						**27,243,528.40**			

Managed Account Detail of Securities Held

For the Month Ending **March 31, 2011**

ALAMEDA CNTY TRANSPORTATION IMPROV AUTH - 03068510

Security Type/Description Dated Date/Coupon/Maturity	CUSIP	Par	S&P Rating	Moody's Rating	Trade Date	Settle Date	Original Cost	YTM at Cost	Accrued Interest	Amortized Cost	Market Value
Federal Agency Bond / Note											
FHLMC GLOBAL REFERENCE NOTES DTD 06/13/2008 3.875% 06/29/2011	3137EABN8	4,765,000.00	AAA	Aaa	03/31/10	04/01/10	4,954,504.05	0.66	47,186.74	4,802,322.82	4,808,947.60
FHLMC GLOBAL REFERENCE NOTES DTD 06/13/2008 3.875% 06/29/2011	3137EABN8	9,670,000.00	AAA	Aaa	06/28/10	06/29/10	9,999,553.60	0.46	95,759.86	9,750,649.15	9,759,186.41
Security Type Sub-Total		**14,435,000.00**					**14,954,057.65**	**0.52**	**142,946.60**	**14,552,971.97**	**14,568,134.01**
Managed Account Sub-Total		**14,435,000.00**					**14,954,057.65**	**0.52**	**142,946.60**	**14,552,971.97**	**14,568,134.01**
Securities Sub-Total		**$14,435,000.00**					**$14,954,057.65**	**0.52%**	**$142,946.60**	**$14,552,971.97**	**$14,568,134.01**
Accrued Interest											**$142,946.60**
Total Investments											**$14,711,080.61**

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ALAMEDA County Transportation Commission

Memorandum

DATE: April 25, 2011

TO: Finance and Administration Committee

FROM: Arthur L. Dao, Executive Director
Patricia M. Reavey, Finance Director

SUBJECT: **Approval of Third Quarter Budget Update and Statement of Revenues and Expenditures for the Alameda County Transportation Improvement Authority**

Recommendations:
It is recommended that the Commission approve:

- The FY 2010-11 3rd Quarter Budget Update (see Attachment A) which includes a transfer of $300,000 of budget funds from the General Fund to the ACTC Fund
- Statement of Revenues and Expenditures as of March 31, 2011 (see Attachment B)

Summary:
3rd Quarter Budget Update

- The Revised Budget reflects a $12 million increase in sales tax revenues, from $90 million to $102 million, approved by the Commission in January 2011, a $20,000 increase in the equipment budget approved in December 2010 and an increase in the ACTIA Capital Budget of $4.4 million approved in February.
- The Revised Budget also proposes a transfer of $300,000 of budget funds from the General Fund to the ACTC Fund to accurately reflect how Commission related expenses are actually being coded versus how they were budgeted.

Statement of Revenues and Expenditures

- As of March 31, 2011, the ACTIA fund balance was $292.0 million which is better than 3rd quarter budget by $50.6 million or 21.3%. This positive variance is primarily due to delays in projects with large Measure B commitments from ACTIA and ACTA projects.
- Revenues were $82.3 million, which is higher than 3rd quarter budget by $3.1 million or 3.9%.

- Expenditures were $93.1 million, which is lower than 3rd quarter budget by $47.5 million or 33.8%.

- The ACTIA Salary and Benefits Limitation ratio of 0.70% and Administrative Cost Limitation ratio of 2.37% were calculated based on actual expenditures and were found to be in compliance with the requirements of 1.00% and 4.50%, respectively.

Discussion
The format of the 3rd quarter financial statements allows for the budget and actual costs to be broken out by fund. The activity in each section, be it budget, revised budget or actuals all represents the change in fund balance by that specific fund. This format also segregates the request for a budget revision on the budget update document from the actual Statement of Revenues and Expenditures with a comparison to the 3rd quarter budget so that year-to-date actuals and variances from budget can be reviewed without getting lost in the budget adjustment process.

Fiscal Impact
The approval of this item will move $300,000 from the General Operating Fund to the ACTC Operating Fund with no net effect on the FY 2010-11 budget.

Attachments
Attachment A - The 3rd Quarter Budget Update
Attachment B - The Statement of Revenues and Expenditures

Quarterly Budget Update
Fiscal Year 2010-11

	General Fund ACTC	General Fund ACTIA	Capital Projects	Special Purpose	Pass-Through	CWTP Fund	ACTA Project Fund	Inter-Agency Adjustments	Consolidated Total
ADOPTED BUDGET BY FUND									
Beginning Fund Balance	$ -	$ 14,622,538	$ 87,350,878	$ 11,592,946	$ -	$ -	$ 185,157,984	$ -	$ 298,724,346
REVENUES									
Sales Tax (Net)		4,050,000	34,465,950	3,070,782	48,413,268				90,000,000
Interest Income		125,196	831,712	154,092			1,750,000		2,861,000
Federal, State & Local Funds	159,250		728,677			776,834		(936,084)	728,677
Other Income		112,253							112,253
Total Revenues	159,250	4,287,449	36,026,339	3,224,874	48,413,268	776,834	1,750,000	(936,084)	93,701,930
EXPENDITURES	159,250	4,124,602	85,695,790	10,641,911	48,413,268	776,834	27,708,064	(936,084)	176,583,635
Ending Fund Balance	$ -	$ 14,785,385	$ 37,681,427	$ 4,175,909	$ -	$ -	$ 159,199,920	$ -	$ 215,842,641
REVISED BUDGET BY FUND									
Beginning Fund Balance	$ -	$ 14,622,538	$ 87,350,878	$ 11,592,946	$ -	$ -	$ 185,157,984	$ -	$ 298,724,346
REVENUES									
Sales Tax (Net)		4,590,000	39,061,416	3,480,214	54,868,370				102,000,000
Interest Income		125,196	831,712	154,092			1,750,000		2,861,000
Federal, State & Local Funds	459,250		728,677			776,834		(1,236,084)	728,677
Other Income		112,253							112,253
Total Revenues	459,250	4,827,449	40,621,805	3,634,306	54,868,370	776,834	1,750,000	(1,236,084)	105,701,930
EXPENDITURES	459,250	4,144,602	90,103,955	10,641,911	54,868,370	776,834	27,708,064	(1,236,084)	187,466,902
Ending Fund Balance	$ -	$ 15,305,385	$ 37,868,728	$ 4,585,341	$ -	$ -	$ 159,199,920	$ -	$ 216,959,374
BUDGET ADJUSTMENTS									
REVENUES									
Sales Tax (Net)	-	540,000	4,595,466	409,432	6,455,102	-	-	-	12,000,000
Federal, State & Local Funds	300,000	-	-	-	-	-	-	(300,000)	-
EXPENDITURES	300,000	20,000	4,408,165	-	6,455,102	-	-	(300,000)	10,883,267
Net Change	$ -	$ 520,000	$ 187,301	$ 409,432	$ -	$ -	$ -	$ -	$ 1,116,733

Statement of Revenues and Expenditures
Fiscal Year 2010-11
As of March 31, 2011

	General Fund ACTC	General Fund ACTIA	Capital Projects Fund	Special Purpose Funds	Pass-Through	CWTP Fund	ACTA Project Fund	Inter-Agency Adjustments	Consolidated Total
ACTUALS AS OF MARCH 31, 2011									
Beginning Fund Balance	$ -	$ 14,622,538	$ 87,350,878	$ 11,592,946	$ -	$ -	$ 185,157,984	$ -	$ 298,724,346
REVENUES									
Sales Tax (Net)		3,535,657	30,088,828	2,680,800	42,264,871				78,570,157
Interest Income		94,132	498,445	75,954			2,383,728		3,052,259
Federal, State & Local Funds	299,108		668,903			543,882		(842,990)	668,903
Other Income		56,820							56,820
Total Revenues	299,108	3,686,610	31,256,176	2,756,754	42,264,871	543,882	2,383,728	(842,990)	82,348,138
EXPENDITURES	299,108	2,703,790	33,393,003	2,871,664	42,264,871	543,882	11,883,319	(842,990)	93,116,647
Ending Fund Balance	$ -	$ 15,605,358	$ 85,214,051	$ 11,478,036	$ -	$ -	$ 175,658,393	$ -	$ 287,955,837
3rd QUARTER BUDGET BY FUND									
Beginning Fund Balance	$ -	$ 14,622,538	$ 87,350,878	$ 11,592,946	$ -	$ -	$ 185,157,984	$ -	$ 298,724,346
REVENUES									
Sales Tax (Net)	-	3,442,500	29,296,062	2,610,161	41,151,278	-	-	-	76,500,000
Interest Income	-	93,897	623,784	115,569	-	-	1,312,500	-	2,145,750
Federal, State & Local Funds	344,438	-	546,508	-	-	582,626	-	(927,063)	546,508
Other Income	-	84,190	-	-	-	-	-	-	84,190
Total Revenues	344,438	3,620,587	30,466,354	2,725,730	41,151,278	582,626	1,312,500	(927,063)	79,276,448
EXPENDITURES	344,438	3,108,452	67,577,966	7,981,433	41,151,278	582,626	20,781,048	(927,063)	140,600,177
Ending Fund Balance	$ -	$ 15,134,673	$ 50,239,266	$ 6,337,242	$ -	$ -	$ 165,689,436	$ -	$ 237,400,617
VARIANCE									
REVENUES									
Sales Tax (Net)	-	93,157	792,766	70,639	1,113,594	-	-	-	2,070,157
Interest Income	-	235	(125,339)	(39,615)	-	-	1,071,228	-	906,509
Federal, State & Local Funds	(45,330)	-	122,395	-	-	(38,743)	-	84,073	122,395
Other Income	-	(27,370)	-	-	-	-	-	-	(27,370)
Total Revenues	(45,330)	66,023	789,822	31,024	1,113,594	(38,743)	1,071,228	84,073	3,071,691
EXPENDITURES	(45,330)	(404,662)	(34,184,963)	(5,109,769)	1,113,594	(38,743)	(8,897,729)	84,073	(47,483,529)
Net Variance	$ -	$ 470,685	$ 34,974,785	$ 5,140,793	$ -	$ -	$ 9,968,957	$ -	$ 50,555,220

Memorandum

DATE: **April 26, 2011**

TO: Finance and Administration Committee

FROM: Patricia Reavey, Director of Finance
Yvonne Chan, Accounting Manager

SUBJECT: **Approval of the ACCMA Fiscal Year 2010-11 Third Quarter Budget Update, Operating Statement of Revenues and Expenditures, Project Expenditure Report and Transportation for Clean Air and Exchange Program Activity Reports for the Quarter Ended March 31, 2011 and Year-to-Date**

Recommendations
It is recommended that the Commission approve the FY2010-11 Budget Update, the attached Operating Statement of Revenues and Expenditures, Project Expenditure Report and Transportation for Clean Air and Exchange Program Activity Reports for the quarter ended March 31, 2011.

Summary/Discussion
Operating Budget Update

The operating budget has been affected by several significant items this fiscal year, the first of which is severance and settlement costs for which no budget was projected. The second item includes the various merger related expenses which are mostly made up of legal and other consulting costs and with no historical information available would have been very difficult to quantify during the budget season last year. The third item which has affected the budget is the significant delay in ACCMA sponsored projects. A delay in projects has a negative impact on the operating budget in two ways. First, it causes more salaries and benefits to be charged against the operating budget instead of project budgets and reduces the recovery for overhead costs from project budgets. Second, staff members continue to be paid even if they are not directly working on projects. While staff has made every effort to be diligent in cost savings where ever possible, the unexpected items mentioned have all had a negative impact on the operating budget for FY2010-11.

Expenditure needs have been projected out for the next few months through the end of the fiscal year to determine the changing demands on the operating budget due to unbudgeted and unexpected cost year-to-date and expected going forward. It is currently proposed that the operating budget be increased by $652,000 as detailed below in order to meet expenditure demands for the balance of the fiscal year to be funded with CMA TIP program funds which were originally set aside in the CMA TIP fund for economic uncertainty. The projections through the end of the fiscal year have been

based on the most recent month's information to most accurately reflect the current status of project billings.

Budget Adjustments include:

Salaries and Benefits	$339,000
Severances/Settlements	277,000
Merger Related Costs	40,000
Other Savings to realize in balance of budget	(4,000)
Total Operating Budget Increase	$652,000

Cost reduction measures are being taken to control costs in the next fiscal year both in negotiations for consolidated new contracts and in the effective utilization of the combined staff.

Project Budget Update

For the most part, the project budget needs for the current fiscal year have declined due to delays. However, some more active projects have moved at a quicker pace than originally projected and require additional appropriation authority of $560,800 including:

- The Travel Model Support planning project has a budget of $45,000 which was included with the Congestion Management Program budget of $468,100 through the fiscal year-end. This project requires additional appropriation authority of $98,800 for a total budget of $143,800. The additional authority requested is for work approved in the prior year, but was not included in the FY2010-11 budget. This budget adjustment will be funded with MTC Planning funds.

- The Vehicle Registration Fee (VRF) Project was not originally allocated a budget, but will require $66,000 of appropriation authority through the fiscal year-end to fund the work being done to implement the VRF program. This budget adjustment will be funded through VRF revenues which are anticipated to begin collections in May 2011.

- The I-580 Sound Walls Design project has a budget of $2,985,000, but is expected to require $5,000 of additional appropriation authority for a total budget of $2,990,000 through the fiscal year-end. This budget adjustment will be funded through the CMA-TIP program.

- The I-680 Corridor Coordination was not originally allocated a budget, but will require $38,000 of appropriation authority through the fiscal year-end. These costs were included in CMA-TIP programming for the I-680 Corridor that the Board has already approved. This budget adjustment will be funded through the CMA-TIP program.

- The Ardenwood Park and Ride Lot project has a budget of $10,100, but will require $47,000 of additional appropriation authority for a total budget of $57,100 through the fiscal year-end. This project has been completed so this will fund the final project costs as they come through. This budget adjustment will be funded with RM2 funds.

- The I-580 East Bound HOV Lane project has a budget of $279,500, but will require $280,000 of additional appropriation authority for a total budget of $559,500 through the fiscal year-end. The increase in budget required is primarily due to the ACCMA reimbursement to Caltrans for capital work completed on the project which will be reimbursed to the ACCMA from RM2 funding. This project has been completed so this budget will fund any final project costs.

- The I-580 Corridor Environmental Mitigation project has a budget of $319,200, but will require 26,000 of additional appropriation authority for a total budget of $345,200 through the fiscal year-end. This budget adjustment will be funded with RM2 funds.

Operating Statement of Revenues and Expenditures

As of March 31, 2011, the ACCMA actual administrative expenses exceed the 75% target of budget by 12.5%. Operating expenditures exceed operating revenues by $403,186.

- Salaries and Benefits costs together are 88.69% of budget due to personnel changes related to the Alameda CTC merger as well as a decrease in project related allocations of Salaries and Benefits caused by a delay in several projects.

- Other Merger Related costs and Severances together are 162.83% of budget due to unbudgeted expenditures such as severance.

- Business Insurance costs are 128.21% of budget due to an unbudgeted expense incurred for the purchase of Directors and Officers insurance and Employment Practices Liability insurance policies.

- Tenant Improvements/Capital Outlay cost are 166.05% of budget due to unbudgeted expenses incurred to purchase equipment and install combined ACCMA and ACTIA phone and computer network systems and to improve sound system in the board room.

Project Expenditure Report

Three quarters of the way through FY2010-11, projects have incurred only 35% of projected costs for the fiscal year due to delays on projects. Historically, ACCMA has obtained appropriation authority for projects for each fiscal year on a use or lose basis. Next year, the plan is to move to a rolling capital project budget methodology. Rolling budgets will take unused project spending authority and roll it to the next fiscal year on a project by project basis. Capital project budgets will be brought to the Board for approval annually along with the operating budget. Requests for project budget decreases will only occur as needed when there is a real change in the project such as when there is a reduction in scope. Requests for project budget increases will occur on an as needed basis such as when projects are moving along quicker than anticipated in the original budget or if there is an increase in scope.

TFCA and Exchange Fund Activity Reports

The TFCA and Exchange Fund Activity Reports have been included to show the revenues, expenditures and the program balance as of March 31, 2011.

Fiscal Impact

The approval of this item will increase the operating budget for FY2010-11 by $652,000 and the project budget by $560,800.

Attachments

Attachment A: Statement of Operating Revenues and Expenditures for the Quarter Ended March 31, 2011 and YTD
Attachment B: Project Expenditure Report for the Quarter Ended March 31, 2011and YTD
Attachment C: Statement of TFCA Program Revenues and Expenditures for the Quarter Ended March 31, 2011 and YTD
Attachment D: Statement of Exchange Program Revenues and Expenditures for the Quarter Ended March 31, 2011 and YTD

ALAMEDA COUNTY CONGESTION MANAGEMENT AGENCY
OPERATING STATEMENT OF REVENUES AND EXPENDITURES
For the Quarter Ended March 31, 2011

Description	QTR Ended 3/31/2011 Actual	Year to date Actual	Adopted Annual Budget	% Used
Beginning Fund Balance	$ -	$ 139,280	$ 139,280	
Fees - City of Alameda	8,559	25,676	34,234	75.00%
Fees - City of Oakland	47,072	141,215	188,286	75.00%
Fees - City of Piedmont	1,272	3,816	5,088	75.00%
Fees - City of Pleasanton	7,669	23,008	30,677	75.00%
Fees - City of San Leandro	9,307	27,920	37,226	75.00%
Fees - City of Union City	8,033	24,099	32,132	75.00%
Fees - Alameda County	118,408	355,223	473,630	75.00%
Fees - City of Albany	1,917	5,752	7,669	75.00%
Fees - City of Berkeley	11,946	35,839	47,785	75.00%
Fees - City of Dublin	4,378	13,133	17,510	75.00%
Fees - City of Emeryville	876	2,627	3,502	75.00%
Fees - City of Fremont	23,878	71,635	95,513	75.00%
Fees - City of Hayward	16,528	49,584	66,112	75.00%
Fees - City of Livermore	8,973	26,920	35,893	75.00%
Fees - City of Newark	5,020	15,061	20,081	75.00%
Indirect Charges from Capital & Programming	580,252	1,665,078	2,238,978	74.37%
Total Revenues	**854,086**	**2,486,581**	**3,334,316**	**74.58%**
Salaries and Wages	400,212	948,500	1,184,212	80.10%
Employee Benefits	249,536	680,599	652,633	104.29%
Severance	-	145,208	-	
Other Merger related costs	37,070	180,445	200,000	90.22%
Office Supplies	5,849	14,029	20,000	70.14%
Office Expenses	247	12,565	20,000	62.83%
Computer Support	21,150	52,354	80,000	65.44%
Website Services	2,788	11,457	12,000	95.48%
Rent	113,149	326,532	420,000	77.75%
Business Insurance	1,260	38,464	30,000	128.21%
Prof Services - Legal	29,383	72,613	100,000	72.61%
Prof Services - Audit/Acctg.	925	30,915	32,000	96.61%
Postage/Delivery	3,022	6,791	7,500	90.54%
Advertising	1,350	1,350	10,000	13.50%
Telephone Expenses	14,737	48,953	70,000	69.93%
Equipment Lease/Reproduction	18,074	43,808	40,000	109.52%
Meeting Food/Meals	4,519	5,957	7,000	85.10%
Misc. Expenses	1,497	1,771	2,500	70.83%
Transportation	7,460	13,201	40,000	33.00%
Travel	3,383	6,161	35,000	17.60%
Training	6,020	10,073	5,000	201.46%
Special Events	1,900	7,429	10,000	74.29%
EDAB Membership	-	5,000	5,000	100.00%
DBE/LBE/SBE	3,587	10,427	25,000	41.71%
Software Licenses	313	4,661	7,500	62.14%
Consultant-General	-	12,783	100,000	12.78%
Tenant Improvements/Capital Outlay	4,502	33,210	20,000	166.05%
Legislative Advocacy	25,661	74,861	108,000	69.32%
Board Meeting Per Diems	41,085	68,318	60,000	113.86%
Various Unfunded Project Costs	5,531	21,334	-	
Total Expenditures	**1,004,211**	**2,889,767**	**3,303,345**	**87.48%**
Revenues Less Expenditures	**$ (150,124)**	**$ (403,186)**	**$ 30,971**	
Ending Fund Balance		**$ (263,906)**	**$ 170,251**	

ALAMEDA COUNTY CONGESTION MANAGEMENT AGENCY
PROJECT EXPENDITURE REPORT
For the Quarter Ended March 31, 2011 and YTD

CAPITAL IMPROVEMENT PROGRAM	Project Number	Quarter Ended 03/31/11	Year to Date Actual	Revised Annual Budget FY2010/11
MTC Partnership	113	$ 673	$ 673	$ 80,600
MTC General Support	200	12,244	12,244	91,000
Congestion Management Program	201	76,913	137,992	423,100
Countywide Transportaion Plan	202	70,756	127,408	788,800
MTC Lifeline Transportation Program	203	2,621	2,621	11,000
Travel Model Support (Planning)	209	68,217	68,217	45,000
I-680 SB HOT Lane	210	534,720	2,698,343	4,325,900
Transportation and Land Use Work Program	219	37,250	45,566	535,000
Guaranteed Ride Home Program	224	28,581	58,461	140,000
Countywide Bicycle Plan Update	239.1	19,977	20,085	38,000
Bike to Work Day	240	16,786	26,369	63,600
I-80 Gilman Street Interchange Improvements	265	14,825	14,825	396,400
I-80 Central: Rail and Trade Corridor	266	-	-	585,000
Bus Rapid Transit Corridor Enhancement	267	-	-	590,000
Central Alameda County Freeway Study	277	732	1,596	1,070,000
Vehicle Registration (Future VRF Revenues)	282	25,283	49,747	-
MTC Funding & Programming - Planning	303	130,485	134,852	190,000
TFCA Programming, Monitoring & Adminstration	314	18,951	19,203	91,000
Altamont Commuter Express	320	3,437	4,609	15,000
CMA TIP / STIP Monitor	334	167,866	263,405	2,155,000
SMART Corridors - Operations & Maintenance	345	46,935	166,808	980,500
I-680 Sounthbound HOV Lane	372	949,687	4,661,085	4,963,800
I-580 Soundwalls Design	374	885,642	2,672,252	2,985,000
I-680 Corridor Coordination (CMA TIP)	375	37,631	37,631	-
Ardenwood Park & Ride Lot	403	31,359	35,255	10,100
I-880 N Safety and Operational Improvements at 23rd/29th	410	479,889	479,889	4,980,000
Center to Center	415	14,068	177,900	205,000
I-580 WB Implementation of Ramp Meter	416	13,135	29,270	112,500
I-580 EB HOV Lane	420.0	309,515	581,712	279,500
I-580 Traffic Management Plan	420.1	-	42,295	90,000
I-580 Corridor Environmental Mitigation	420.3	-	340,451	319,200
I-580 EB HOT Lane	420.4	213,690	677,808	3,276,800
I-580 EB Aux Lane Project	420.5	13,296	191,079	1,183,200
I-580 Corridor ROW Preservation	423.0	1,342,468	2,756,795	2,990,500
I-580 WB HOV Lane	424.0	147,018	646,332	4,496,300
I-580 WB HOT Lane Project	424.1	7,009	11,215	581,800
I-880 SB HOV Lane Extension Hegenberger to Marina	430	397,864	1,495,963	3,409,700
Webster Street SMART Corridor	440	61,899	238,385	1,261,800
I-680/I-880 Cross connector - PSR	470	1,621	27,735	315,000
San Pablo Ave TSP/Transit Improvement	478	16,603	122,000	122,000
I-80 Integrated Corridor Mobility	491	172,996	1,480,993	13,957,700
I-880 Marina Blvd. PSR	650	9,522	49,125	672,000
Total Project Expenditures:		**$ 6,382,164**	**$ 20,608,193**	**$ 58,826,800**

Note: Grant funding for projects is received on a reimbursement basis as expenditures are incurred, therefore revenues for projects will be accrued if not already received and revenues will be equal to project expenditures on the year-end financial statements.

ALAMEDA COUNTY CONGESTION MANAGEMENT AGENCY
TRANSPORTATION FUND FOR CLEAN AIR ACTIVITY REPORT
For the Quarter Ended March 31, 2011

FISCAL YEAR	PREVIOUS BALANCE	QUARTER ENDED 3/31/2011	PROGRAM BALANCE
Unexpended Funds as of June 30, 2000	$ 6,313,045	$ -	$ 6,313,045
(per BAAQMD audited statement)			
FISCAL YEAR 2000/01 - 2009/10			
PROGRAM REVENUES	18,295,179	-	18,295,179
INTEREST INCOME	897,651	-	897,651
EXPENDITURES	(21,919,522)	-	(21,919,522)
Balance as of 6/30/2010	**$ 3,586,353**	**$ -**	**$ 3,586,353**
FISCAL YEAR 2010/11			
PROGRAM REVENUES	926,856	-	926,856
INTEREST INCOME	10,457	4,424	14,881
EXPENDITURES:			
City of Alameda - G	-	-	-
City of Albany - G	-	-	-
City of Berkeley - G	-	-	-
City of Dublin - G	-	-	-
City of Emeryville - G	-	-	-
City of Fremont - G	-	-	-
City of Hayward - G	-	-	-
City of Oakland - G	-	-	-
City of Pleasanton - G	-	(79,932)	(79,932)
City of Piedmont - G	-	-	-
City of San Leandro - G	-	-	-
City of Livermore - G	-	-	-
City of Newark - G	-	-	-
City of Union City - G	-	-	-
County of Alameda - G	-	(150,000)	(150,000)
Discretionary:			
AC Transit	-	-	-
ACCMA - SMART Corr.	(99,985)	(141,876)	(241,861)
LAVTA	-	-	-
CMA Administrative Cost	-	-	-
CMA Guaranteed Ride Home	-	-	-
City of Oakland	-	-	-
Misc. Expenses	(40)	(10)	(50)
BALANCE AS OF MARCH 31, 2011	**$ 4,423,641**	**$ (367,394)**	**$ 4,056,246**

ALAMEDA COUNTY CONGESTION MANAGEMENT AGENCY
EXCHANGE PROGRAM ACTIVITY REPORT
For the Quarter Ended March 31, 2011

	PREVIOUS BALANCE	QUARTER ENDED 3/31/2011	PROGRAM BALANCE
FISCAL YEAR 2001/02 - 2009/10			
EXCHANGE REVENUES	$ 60,042,773	$ -	$ 60,042,773
INTEREST INCOME	5,008,357	-	5,008,357
EXPENDITURES	(50,740,377)	-	(50,740,377)
Balance as of 6/30/2010	**$ 14,310,753**	**$ -**	**$ 14,310,753**
FISCAL YEAR 2010/11			
EXCHANGE REVENUES	-	1,649,157	1,649,157
INTEREST INCOME	7,358	1,749	9,107
EXPENDITURES:			
Alameda County CMA	(489,647)	(1,264,225)	(1,753,872)
City of Oakland	-	(57,590)	(57,590)
City of Piedmont	-	-	-
City of Alameda	-	-	-
City of San Leandro	-	-	-
City of Berkeley	-	-	-
City of Fremont	-	-	-
City of Emerville	-	-	-
City of Newark	-	(901,000)	(901,000)
Union City	-	-	-
AC Transit	-	-	-
City Car Share	-	-	-
BART	-	-	-
Misc. Expenses	(70)	(10)	(80)
BALANCE AS OF MARCH 31, 2011	**$ 13,828,394**	**$ (571,919)**	**$ 13,256,475**

* Note: Of the $13.3 million program balance, $10.4 million has been loaned to the General Fund over the last couple of years to cashflow project expenditures. In April, an additional $2.1 million was reimbursed to the Exchange Fund leaving an available cash balance of $5.0 million in April, 2011.

ALAMEDA County Transportation Commission

Memorandum

DATE: April 20, 2011

TO: Finance and Administration Committee

FROM: Arthur L. Dao, Executive Director
Liz Brazil, Contract Compliance and Outreach Analyst

SUBJECT: **Approval of the Consolidated Annually Renewed Contracts and Authorization to Execute Contracts**

Recommendation:
Staff recommends approval of the consolidated annually renewed contracts with current consultants and authorization for the Executive Director to execute these contracts for Fiscal Year 2011-12.

Summary:
Annually renewed consultant services are required by the Alameda County Transportation Commission (Alameda CTC) to support Measure B and Countywide Congestion Management and Transportation programs and projects. The overall total amount of the contracts proposed at this time is **$6,896,990**, which is lower than FY 2010-11 by **$1,811,667**. Even with the added scope for some consultant contracts, there has been an overall reduction in costs of approximately **21** percent for FY 2011-12. These totals do not include the financial audit contract which will be solicited at a later date.

Background:
On an annual basis, the former Alameda County Transportation Improvement Authority (ACTIA) contracted with 21 consultant firms to provide support services in the administration of the Measure B Sales Tax Program. Similarly, the former Alameda County Congestion Management Agency (ACCMA) annually contracted with 11 consultant firms for its administrative services.

The merger of these two agencies and the creation of the Alameda CTC allows the Commission to have one single group of annually renewed contracts. The Alameda CTC is committed to contracting for efficient, effective and economical consultant services in order to save taxpayer dollars and provide a sustainable agency to better serve the residents of Alameda County.

In January 2011, the Commission approved the plan for consolidation of these services and for staff to review each of the predecessor agencies annually renewed contracts when possible and as appropriate. Staff negotiated contracts to eliminate duplicated services and redundancies, reduced contracted services to reflect completion of projects and programs, adjusted contracted level of efforts and resources to align with Commission priorities and consolidated contracted services with internal resources and expertise. These efforts were done to ensure the continuation of high quality services in areas that support the newly formed Commission.

The consolidated annually renewed contracts for FY 2011-12 listed in Table I below are effective as of July 1, 2011.

Table I

	Name of Services	Current Consultant	Consultant for FY 2011-12	Budget for FY 2010-11 (CMA & ACTIA)	Proposed Budget for FY 2011-12
1	Accounts Payables Services	Patel & Associates		$50,000	0
2	Bicycle and Pedestrian Coordination Services	Wheeler Planning	Wheeler Planning	$266,000	$280,640
3	Construction Contracts Technical Assistance Services	Anue Management Group	Anue Management Group	$60,000	$10,000
4	Emergency Wheelchair and Hospital Transportation Services	MV Transportation	MV Transportation	$50,000	$50,000
5	Federal Legislative Advocacy Services	Simon & Co. and CJ Strategies	Simon & Co. and CJ Strategies	$78,000	$63,000
6	Independent Financial Auditing Services[1]	Maze and Kevin Harper Associates	TBD	$88,570	TBD
7	Human Resources and Personnel Management Services	Koff & Associates	Koff & Associates	$109,650	$65,000
8	Information Technology (IT) Services[2]	Lanlogic and Novani	Novani	$170,000	$110,000
9	Investment Advisors	PFM and Chandler Asset	PFM and Chandler Asset	$240,000	$240,000
10	Insurance Brokerage Services	Wells Fargo	Wells Fargo	$17,600	$20,000[3]
11	Local Business Contract Equity Program Supportive Services for Non-Construction Contracts	L.Luster & Associates	L.Luster & Associates	$173,000	$63,240
12	Legal Counsel Services	Wendel, Rosen, Black & Dean/Gibbs Law Group	Wendel, Rosen, Black & Dean LLP	$1,103,000	$800,000
13	Paratransit Cab Services	St. Mini Cab	St. Mini Cab	$125,000	$115,000
14	Paratransit Coordination Services	Nelson/Nygaard	Nelson/Nygaard	$335,600	$339,280
15	Programs Management Services	Acumen Building Enterprises	Acumen Building Enterprises	$642,000	$830,690
16	Project Controls and Project Delivery Management Services[2]	BAPMG/APM	Moffatt Nichol	$4,642,684	$3,300,000
17	Media and Public Relations Services[2]	MIG	MIG	$226,000	$350,140
18	Right-of-Way Services for Capital Projects	ACPWA	ACPWA	$100,000	$100,000
19	State Legislative Advocacy Services	Suter, Wallauch, Corbett & Associates	Suter, Wallauch, Corbett & Associates	$105,123	$60,000
20	Utility Coordination Services	Francis Fruzetti		$115,000	0
21	Utility Potholing Services for Capital Projects	Bess Testlab	Bess Testlab	$100,000	$100,000
		Total		$8,797,227	$6,896,990

[1] Request For Proposals (RFP) to be released after the FY 2010-11 audit period.
[2] Request for authorization on a separate FAC Agenda Item.
[3] Insurance premiums to be revised/adjusted upon policy term expiration.

For federal-aid projects, the consultants met or exceeded the project specific Underutilized Disadvantaged Business Enterprise (UDBE) goal. For contracts administered with local funds, the consultants met the Local Business Contract Equity Program requirements.

Levine Act: There were no Levine Act disclosures reported to staff related to the contracts proposed above.

Fiscal Impacts:
The budget for these services will be included in the Alameda CTC's Consolidated FY 2011-12 proposed budget scheduled to go before the Board in June, 2011.

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ALAMEDA County Transportation Commission

Memorandum

DATE: April 20, 2011

TO: Finance and Administration Committee

FROM: Arthur L. Dao, Executive Director
Liz Brazil, Contracts Administrator

SUBJECT: **Approval of Consultant Team Selected to Provide Media and Public Relations Services and Authorization to Execute a Contract**

Recommendation:
Staff recommends approval of the selection of the top-ranked firm, Moore Iacofano Goltsman, Inc. (MIG), to provide media and public relations services and authorization for the Executive Director to execute a contract for these services in an amount not to exceed $350,140.

Summary:
Media and public relations services are required by the Alameda CTC to support Measure B and Countywide Congestion Management and Transportation programs. A Request for Proposals (RFP) for media and public relations services was released on March 1, 2011 with a due date of March 22, 2011. On April 8, 2011, interviews were held for all three teams who submitted proposals. After careful review of each proposal and consideration of the interview process, staff is recommending the top ranked firm be selected to perform the desired services.

Background:
The Alameda CTC's objective in contracting for media and public relations is to ensure the efficient, effective and successful delivery of Measure B and Countywide Congestion Management and Transportation programs.

At the January 27, 2011 Board meeting, the Commission approved the issuance of an RFP for media and public relations services for Measure B and Countywide Congestion Management and Transportation programs. Staff released the RFP on March 1, 2011. A mandatory pre-proposal meeting was held on March 11, 2011 to which a total of twenty-nine (29) firms attended. Three teams submitted proposals to the Alameda CTC by the due date of March 22, 2011 including:

- the Circle Point Team,
- the Caltrop Team and
- the Moore, Iacofano, Goltsman (MIG) Team

Collectively, including sub-consultants, these three teams represent 12 individual firms.

An experienced panel made up of representatives from the Contra Costa Transportation Authority (CCTA), AC Transit and the Alameda CTC evaluated the proposals and participated in the interview process. Staff's recommendation to the Commission is based on the conclusions of the selection panel.

The top-ranked firm, MIG, met the Underutilized Disadvantage Business Enterprise (UDBE) goal of 17.42% in compliance with federal-aid project rules. In addition, MIG included significant local participation (see table below).

Name	LBE Participation (% of Dollars)	SLBE Participation (% of Dollars)	VSLBE Participation (% of Dollars)	Location
MIG, Inc.	44.7			Alameda County
Convey	18.3	18.3	18.3	Alameda County
Julia Chung	22.7	22.7	22.7	Alameda County
Thier and Associates				San Francisco, CA
Jungle Communications				Alameda County
Total	**85.7**	**41.0**	**41.0**	

Staff is seeking approval of the selection of the MIG team as the media and public relations services consultant for the Alameda CTC and authorization to execute a contract for an amount not to exceed $350,140. The schedule to execute a contract is as follows:

- Recommend FAC Committee approval of the selection of MIG and authorization to enter into a contract – May 9, 2011
- Recommend Commission approval – May 26, 2011
- Contract Commencement – 7/1/11

Fiscal Impacts:
The budget for these services will be included in the Alameda CTC's Consolidated FY2011-12 proposed budget scheduled to go before the Board in June, 2011.

ALAMEDA County Transportation Commission

Memorandum

DATE: April 20, 2011

TO: Finance and Administration Committee

FROM: Arthur L. Dao, Executive Director
Liz Brazil, Contracts Administrator

SUBJECT: **Approval of Consultant Team Selected to Information Technology (IT) Services and Authorization to Execute a Contract**

Recommendation:
Staff recommends approval of the selection of the top-ranked firm, Novani, LLC to provide information technology services and authorization for the Executive Director to execute a contract for these services in an amount not to exceed $110,000.

Summary:
Information Technology services are required by the Alameda CTC to support staff with IT related needs to support Measure B and the Countywide Transportation projects and programs. A Request for Proposals (RFP) for information technology services was released on March 1, 2011 with a due date of March 22, 2011. On April 13, 2011, interviews were held for all five teams who submitted proposals. After careful review of each proposal and consideration of the interview process, staff is recommending the top ranked firm, (Novani, LLC) be selected to perform the desired services.

Background:
The Alameda CTC's objective in contracting for information technology services is to ensure the efficient, effective and successful delivery of Measure B and Countywide Transportation projects and programs.

At the January 27, 2011 Board meeting, the Commission approved the issuance of an RFP for information technology services for Measure B and Countywide Transportation projects and programs. Staff released the RFP on March 1, 2011. A mandatory pre-proposal meeting was held on March 11, 2011 to which a total of twenty-four (24) firms attended. Five teams submitted proposals to the Alameda CTC by the due date of March 22, 2011 including:

- the Auriga Corporation Team (Milpitas, CA),
- the Irvine Consulting Team (Oakland, CA),
- the Novani, LLC Team (San Francisco, CA),
- the Racontech, Inc. Team (Sunnvale, CA), and
- the Track Computer Center Services, Inc. Team (Pleasanton, CA)

Collectively, including sub-consultants, these three teams represent 9 individual firms.
An experienced panel made up of representatives from the Alameda County Public Works Agency (ACPWA), City of Hayward, City of Oakland and the Alameda CTC evaluated the proposals and participated in the interview process. Staff's recommendation to the Commission is based on the conclusions of the selection panel.

The top-ranked firm, Novani, LLC is a 100% Underutilized Disadvantage Business Enterprise (UDBE) meeting the goal of 3.26% in compliance with federal-aid project rules.

Staff is seeking approval of the selection of the Novani, LLC team as the information technology services consultant for the Alameda CTC and authorization to execute a contract for an amount not to exceed $110,000. The schedule to execute a contract is as follows:

- Recommend FAC Committee approval of the selection of MIG and authorization to enter into a contract – May 9, 2011
- Recommend Commission approval – May 26, 2011
- Contract Commencement – 7/1/11

Fiscal Impacts:
The budget for these services will be included in the Alameda CTC's Consolidated FY2011-12 proposed budget scheduled to go before the Board in June, 2011.

Memorandum

To: Finance and Administration Committee

From: Art Dao, Executive Director
Tess Lengyel, Deputy Director of Policy, Public Affairs and Legislation

Date: May 9, 2011

Subject: **Community Advisory Committees Appointment Process Restructuring to Reflect the Alameda CTC**

Recommendation
Staff recommends changes to the appointment structure and adjustments to the number of members on each committee for three Community Advisory Committees as follows:

- Bicycle and Pedestrian Advisory Committee (BPAC) – Maintain 11 members but use a new appointment structure described below.
- Citizens Advisory Committee (CAC) – Change from 33 members to 22 members (one member per Alameda CTC Commission member).
- Paratransit Advisory and Planning Committee (PAPCO) – Change from 28 members to 23 members (one member per County Supervisor, one member per City, one member per Transit Agency – AC, BART, LAVTA, and Union City).

Background
The Alameda County Transportation Improvement Authority (ACTIA) supports four Community Advisory Committees – the Bicycle and Pedestrian Advisory Committee (BPAC), the Citizens Advisory Committee (CAC), the Citizens Watchdog Committee (CWC), and the Paratransit Advisory and Planning Committee (PAPCO). The CWC and PAPCO are specifically listed in the 2000 Measure B Expenditure Plan. All four of these committees are continuing to meet under the direction of the Alameda CTC.

Staff proposes no changes to the CWC committee structure or to the primary role of all four committees. To keep BPAC, CAC, and PAPCO as productive as possible, and to improve efficiency, staff recommends modifying the appointment structure while maintaining a diverse membership that has a variety of perspectives and represents all areas of the County.

<u>Bicycle and Pedestrian Advisory Committee (BPAC)</u>
The BPAC reviews and makes recommendations to the Commission on all competitive grant applications submitted to the Alameda CTC for the Measure B Bicycle and Pedestrian Safety funds. BPAC members also provide input on the development and updating of the Alameda Countywide Pedestrian and Bicycle Plans. Serving as the countywide BPAC, they also provide

input on TDA Article 3 funds, countywide educational and promotional programs, and other projects of countywide significance, upon request.

Current Appointment Structure	*Proposed Appointment Structure*
TOTAL – 11 members Current Vacancies – 1 One appointee per ACTIA Board member (see below for ACTIA Board structure) • Five members appointed by the Alameda County Board of Supervisors • Three representatives appointed by the Alameda County Mayors' Conference from among the cities of Dublin, Fremont, Hayward, Livermore, Newark, Pleasanton, and Union City • Two representatives appointed by the Alameda County Mayors' Conference from among the Cities of Alameda, Albany, Berkeley, Emeryville, Oakland, Piedmont, and San Leandro • One representative appointed by the Mayor of Oakland	TOTAL – 11 members • One appointee per County Supervisor (five total) • One appointee for each supervisorial district, selected by the Mayors' Conference (five total). NOTE: The Mayors' Conference would need to accept this new role and likely need to amend its bylaws to reflect it. • One appointee representing transit. Alameda CTC would lead the outreach for this appointee, including noticing the general managers of all transit agencies that receive Measure B funding. All applications would come to Alameda CTC, and staff would make a final recommendation to the Commission on the appointee.

Overall, the BPAC has been well-functioning as an 11-member group, and therefore, staff recommends that BPAC maintain its current size. The proposed appointment structure is modeled somewhat on the Community Watchdog Committee (CWC) structure, by having two appointments from each supervisorial district. It differs in the addition of one appointment that represents transit agencies (and no appointments representing organizations). This will allow the transit agencies represented on the Commission and all transit agencies that receive Measure B funding to have their interests represented on the BPAC, specifically in regard to improving bicycle and pedestrian access to transit, which has been and continues to be a priority of the Countywide Bicycle and Pedestrian Plans.

The proposed structure maintains a BPAC which aims to reflect the variety of interests in the County, including in walking, bicycling, public health, transit, seniors, disabled, children, and trails.

Citizens Advisory Committee (CAC)

The CAC serves as a liaison group between the Alameda CTC and the members' respective communities. This committee served the original Measure B, approved in 1986, and continued supporting the 2000 measure. Members were appointed to keep informed of the progress of Measure B programs and projects, discuss local community transportation concerns, as well as provide feedback to and from their respective communities. The CAC also sponsors quarterly Transportation Forums in the different planning areas of the County to update the community on Alameda CTC projects and programs.

Current Appointment Structure	*Proposed Appointment Structure*
TOTAL – 33 members Current Vacancies – 8 Three appointees per ACTIA Board member (see below for ACTIA Board structure) • Five members of the Alameda County Board of Supervisors • Three representatives appointed by the Alameda County Mayors' Conference from among the cities of Dublin, Fremont, Hayward, Livermore, Newark, and Union City • Two representatives appointed by the Alameda County Mayors' conference from among the Cities of Alameda, Albany, Berkeley, Emeryville, Oakland, Piedmont, and San Leandro • One representative of the Mayor of Oakland	TOTAL – 22 members One member per Alameda CTC Commission member (see below for Alameda CTC Commission structure) • All five Alameda County Supervisors • Two Oakland representatives • One representative from each of the other 13 cities • AC Transit • BART

Currently, approximately nine to fifteen CAC members attend each meeting. The members who regularly attend represent all districts and each area of the County. Based on the historic attendance rate, staff recommends a smaller committee (22 members versus 33), which will allow more direct representation from the current commission and a more focused and efficient effort in performing the work of the CAC.

Paratransit Advisory and Planning Committee (PAPCO)

PAPCO provides oversight for paratransit and other specialized transportation services and makes recommendations to the Alameda CTC Commission regarding allocation of Measure B pass-through funding and gap grant funding. PAPCO is Alameda County's official Paratransit Coordinating Council and has input on some federal transportation funding. PAPCO also establishes the funding formula used to allocate funding for special transportation throughout the County.

Current Appointment Structure	*Proposed Appointment Structure*
TOTAL – 28 members Current Vacancies – 5 • Two members per County Supervisor • One member per City • One member per Transit Agency – AC, BART, LAVTA, and Union City	TOTAL – 23 members • One member per County Supervisor (5 total) • One member per City (14 total) • One member per Transit Agency – AC, BART, LAVTA, and Union City

PAPCO's current structure is very closely aligned with the new Commission structure. The proposed change would bring it more in line with the current Commission membership. If the Committee were ever to be fully appointed to 28 members, it might be a little too large to be functional. Currently, the Committee has 23 appointed members; staff believes the proposed restructured number of committee members offers a more manageable and realistic size of an effective committee.

Citizens Watchdog Committee (CWC)
The CWC scrutinizes all Alameda CTC expenditures and reports directly to the public on how Measure B funds are spent each year. Because the composition of the CWC is listed in the 2000 Measure B Expenditure Plan, staff is not recommending any changes to the appointment structure or membership size at this time.

CWC members are private Alameda County residents who are not elected officials at any level of government nor public employees from agencies that either oversee or benefit from the proceeds of the sales tax measure. This 17-member committee meets on at least a quarterly basis and represents:

- Each of the five supervisory districts (with two members from each district: one appointed by the Supervisor and one appointed by the Mayors' Conference)
- Alameda County Economic Development Alliance for Business
- Alameda County Labor Council
- Alameda County Taxpayers' Association
- East Bay Bicycle Coalition
- League of Women Voters
- Paratransit Advisory and Planning Committee
- Sierra Club

Common Elements for BPAC, CAC, and PAPCO
All committee members would continue to be approved by the full Commission before their term begins. In addition, the transition to the new committee structure would be designed to allow for a long-term "grandfathering" period for current members, to not lose institutional memory, and to allow time to notify the appointers of the new structure and their role within that structure. In addition, the committees will need to adjust their bylaws to reflect the new committee structure once approved by the Commission.

Fiscal Impacts
There is no fiscal impact at this time.