



Alameda County Transportation Commission
meeting as a committee of the whole as the

FINANCE AND ADMINISTRATION COMMITTEE

MEETING NOTICE

Monday, September 12, 2011, 1:30 P.M.

1333 Broadway, Suite 300, Oakland, California 94612

(see map on last page of agenda)

Commission Chair
Mark Green, Mayor – Union City

Commission Vice Chair
Scott Haggerty, Supervisor – District 1

AC Transit
Greg Harper, Director

Alameda County
Supervisors
Nadia Lockyer – District 2
Wilma Chan – District 3
Nate Miley – District 4
Keith Carson – District 5

BART
Thomas Blalock, Director

City of Alameda
Rob Bonta, Vice Mayor

City of Albany
Farid Javandel, Mayor

City of Berkeley
Laurie Capitelli, Councilmember

City of Dublin
Tim Sbranti, Mayor

City of Emeryville
Ruth Atkin, Councilmember

City of Fremont
Suzanne Chan, Vice Mayor

City of Hayward
Olden Henson, Councilmember

City of Livermore
Marshall Kamena, Mayor

City of Newark
Luis Freitas, Vice Mayor

City of Oakland
Councilmembers
Larry Reid
Rebecca Kaplan

City of Piedmont
John Chiang, Vice Mayor

City of Pleasanton
Jennifer Hosterman, Mayor

City of San Leandro
Joyce R. Starosciak, Councilmember

Executive Director
Arthur L. Dao

Chair: John Chiang
Vice Chair: Rebecca Kaplan

Members: Mark Green, Scott Haggerty, Nadia Lockyer, Tom Blalock, Wilma Chan, Laurie Capitelli

Staff Liaisons: Patricia Reavey
Executive Director: Arthur L. Dao
Clerk of the Commission: Vanessa Lee

AGENDA

Copies of Individual Agenda Items are Available on the:
Alameda CTC Website -- www.AlamedaCTC.org

1 PUBLIC COMMENT

Members of the public may address the Committee during "Public Comment" on any item not on the agenda. Public comment on an agenda item will be heard when that item is before the Committee. Only matters within the Committee's jurisdictions may be addressed. Anyone wishing to comment should make their desire known by filling out a speaker card and handling it to the Clerk of the Commission. Please wait until the Chair calls your name. Walk to the microphone when called; give your name, and your comments. Please be brief and limit comments to the specific subject under discussion. Please limit your comment to three minutes.

2 CONSENT CALENDAR

2A. Minutes of June 13, 2011 – page 1 A

3 FINANCIAL MATTERS

3A. FY10-11 Consolidated Year-end Investment Report – page 7 A

3B. Update on the FY10-11 Annual Financial Audit – page 19 I

4	ADMINISTRATIVE MATTERS	
4A.	Approval of the Semi-Annual Participation Report of LBE, SBE and SLBE - page 21	A
5	COMMITTEE MEMBER REPORTS	I
6	STAFF REPORTS	I
7	OTHER BUSINESS	
7A.	Update on Joint Regional Agencies Relocation Process – page 35	I
8	CLOSED SESSION	
8A.	Pursuant to Government Code Section 54957: Public Employee Performance Evaluation: Executive Director	
8B.	Report on Closed Session	
9	ADJOURNMENT/NEXT MEETING: OCTOBER 10, 2011	

Key: A- Action Item; I – Information Item

(#) All items on the agenda are subject to action and/or change by the Committee.

PLEASE DO NOT WEAR SCENTED PRODUCTS SO INDIVIDUALS WITH ENVIRONMENTAL SENSITIVITIES MAY ATTEND

*Alameda County Transportation Commission
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(510) 208-7400 (New Phone Number)
(510) 836-2185 Fax (Suite 220)
(510) 893-6489 Fax (Suite 300)
www.alamedactc.org*

Glossary of Acronyms

ABAG	Association of Bay Area Governments	MTC	Metropolitan Transportation Commission
ACCMA	Alameda County Congestion Management Agency	MTS	Metropolitan Transportation System
ACE	Altamont Commuter Express	NEPA	National Environmental Policy Act
ACTA	Alameda County Transportation Authority (1986 Measure B authority)	NOP	Notice of Preparation
ACTAC	Alameda County Technical Advisory Committee	PCI	Pavement Condition Index
ACTC	Alameda County Transportation Commission	PSR	Project Study Report
ACTIA	Alameda County Transportation Improvement Authority (2000 Measure B authority)	RM 2	Regional Measure 2 (Bridge toll)
ADA	Americans with Disabilities Act	RTIP	Regional Transportation Improvement Program
BAAQMD	Bay Area Air Quality Management District	RTP	Regional Transportation Plan (MTC's Transportation 2035)
BART	Bay Area Rapid Transit District	SAFETEA-LU	Safe, Accountable, Flexible, Efficient Transportation Equity Act
BRT	Bus Rapid Transit	SCS	Sustainable Community Strategy
Caltrans	California Department of Transportation	SR	State Route
CEQA	California Environmental Quality Act	SRS	Safe Routes to Schools
CIP	Capital Investment Program	STA	State Transit Assistance
CMAQ	Federal Congestion Mitigation and Air Quality	STIP	State Transportation Improvement Program
CMP	Congestion Management Program	STP	Federal Surface Transportation Program
CTC	California Transportation Commission	TCM	Transportation Control Measures
CWTP	Countywide Transportation Plan	TCRP	Transportation Congestion Relief Program
EIR	Environmental Impact Report	TDA	Transportation Development Act
FHWA	Federal Highway Administration	TDM	Travel-Demand Management
FTA	Federal Transit Administration	TEP	Transportation Expenditure Plan
GHG	Greenhouse Gas	TFCA	Transportation Fund for Clean Air
HOT	High occupancy toll	TIP	Federal Transportation Improvement Program
HOV	High occupancy vehicle	TLC	Transportation for Livable Communities
ITIP	State Interregional Transportation Improvement Program	TMP	Traffic Management Plan
LATIP	Local Area Transportation Improvement Program	TMS	Transportation Management System
LAVTA	Livermore-Amador Valley Transportation Authority	TOD	Transit-Oriented Development
LOS	Level of service	TOS	Transportation Operations Systems
		TVTC	Tri Valley Transportation Committee
		VHD	Vehicle Hours of Delay
		VMT	Vehicle miles traveled



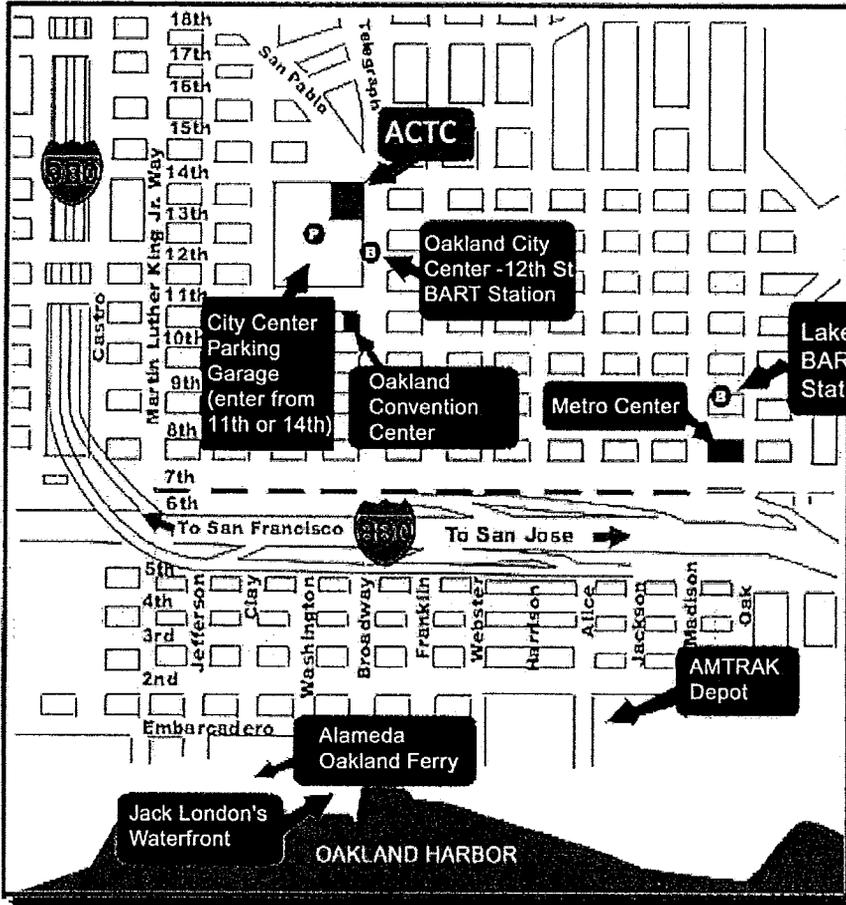
ALAMEDA
County Transportation
Commission

1333 Broadway, Suites 220 & 300

Oakland, CA 94612

PH: (510) 208-7400

www.AlamedaCTC.org



Directions to the Offices of the Alameda County Transportation Commission:

1333 Broadway, Suite 220
Oakland, CA 94612

Public Transportation Access:

BART: City Center / 12th Street Station

AC Transit:

Lines 1, 1R, 11, 12, 13, 14, 15, 18, 40, 51, 63, 72, 72M, 72R, 314, 800, 801, 802, 805, 840

Auto Access:

- Traveling South: Take 11th Street exit from I-980 to 11th Street
- Traveling North: Take 11th Street/Convention Center Exit from I-980 to 11th Street
- Parking: City Center Garage – Underground Parking, (Parking entrances located on 11th or 14th Street)



Alameda County
Transportation Commission
1333 Broadway, Suite 220
Oakland, CA 94612



**FINANCE AND ADMINISTRATION COMMITTEE
MINUTES OF JUNE 13, 2011
OAKLAND, CA**

Chair John Chiang convened the meeting at 2:15 PM.

1 Public Comment

There was no public comment.

2 Consent calendar

A motion to approve the consent calendar was made by Councilmember Kaplan; a second was made by Supervisor Haggerty. The motion passed 8-0.

3 Administrative Matters

3A. Update on the Status of Merger Activities

Art Dao stated that merger activities are either on or ahead of schedule and complete integration of all operations and functions will begin in the first quarter of calendar year 2012. The following are updates of merger activities in the six major service areas:

(1) Agency Formation – Key activities have been completed which includes the establishment of a legal structure, recruitment and appointment of an executive director, development of agency business plan and organization structure, and development of a communication plan. (2) Human Resources – A unified human resources policies and procedures has been established; a salary structure and unified benefits program have been determined and will be approved by the Commission this month; the health and retirement health program approved by the Commission is awaiting an executed contract with CalPers; and personnel transitions will be finalized once an agreement with CalPers is in place, which is expected in January 2012. (3) Finance, Accounting and Budgeting- The consolidated cost allocation plan and budget have been completed and will be presented to the Commission this month. Accounts payable and receivable, treasury management, and financial reporting have all been consolidated. Payroll will be consolidated once the cost allocation plan is approved and the fund accounts are established. (4) General Administration – Board support, general administrative support and administrative professional services contracts have been consolidated and would result in \$1.9 million in savings in the FY 2011-12 operating budget. (5) Programming, Project Delivery, Programs Delivery, and Planning - The consolidation and integration of these functional areas have all been completed and implementation is expected to begin in July 2011. (6) Consolidation of Office Space – The lease of ACCMA and ACTIA will expire in November 2013 and a process to renegotiate a lease to allow staff to collocate and potentially reduce the rent. An option to relocate to another location is also being considered. This item was for information only.

The Chair stated that other agencies in the region are merging and directed the Executive Director to send a letter and initiate talks with the Metropolitan Transportation Commission (MTC) to explore the possibility of relocating in the same building if they will stay in Oakland. He also requested Councilmember Kaplan to talk to MTC for them to reconsider staying in Oakland rather than relocating in another city. Art Dao informed the Committee that he had initial talks with Steve Heminger about

this. Councilmember Kaplan informed the Committee that she has brought this up with Mayor Jean Quan.

3B. Adoption of Staff Salary and Revised Interim Benefits Resolution for FY 2011-12

Art Dao requested the Committee to recommend that the Commission adopt the Staff Salary and Revised Interim Benefits Resolution for FY 2011-12. He stated that approval of the recommended salary ranges will not automatically result in salary increases. The salary ranges will result in a proposed FY 2011-12 budget for staff salaries of \$2.910 million and this represents a reduction of \$1.008 million from the current fiscal year. A motion to approve staff recommendation was made by Mayor Green; a second was made by Supervisor Haggerty. The motion passed 8-0.

3C. Approval of Amendment No. 1 to the Professional Services Agreement with Francis Fruzzetti (A10-0006) for Additional Utility Coordination and Transition Assistance Services

Arun Goel requested the Committee to recommend that the Commission approve No. 1 to the Professional Services Agreement with Francis Fruzzetti (A10-0006) for Additional Utility Coordination and Transition Assistance Services. The proposed amendment will increase the contract price by \$10,000 to the FY 2010-11 contract and extend the contract expiration to July 31, 2011. A motion to approve staff recommendation was made by Councilmember Kaplan; a second was made by Councilmember Capitelli. The motion passed 8-0.

4 Financial Matters

4A. Approval of the Proposed Fiscal Year 2011-2012 Consolidated Budget for the Alameda County Transportation Commission

Patricia Reavey requested the Committee to recommend that the Commission approve the Proposed Consolidated Budget for fiscal year 2011-12. She stated that the proposed budget has been segregated by fund type and includes an adjustment column to eliminate interagency revenues and expenditures on a consolidated basis. The fund types are comprised of General Funds, various Special Revenue Funds, the Exchange Fund and Capital Project Funds. The proposed budget contains \$170.8 million revenues, of which sales tax revenues comprise \$104 million. The proposed budget also includes a projected FY 2010-11 fund balance of \$226 million for total available resources of \$396.9 million. Total expenditures is \$281.6 million of which \$202.4 million are allocated for capital project expenditures. There is a net reduction in fund balance of \$110.8 million and a projected consolidated ending fund balance of \$115.4 million which is mostly due to ACTIA's capital program and will be funded through Measure B. A motion to approve staff recommendation was made by Supervisor Haggerty; a second was made by Councilmember Kaplan. The motion passed 8-0.

5 Committee Member Reports

There was no report.

6 Staff Reports

There was no report.

7 Adjournment/Next Meeting: July 11, 2011

The meeting ended at 2:55 PM. The next FAC meeting is on July 11, 2011 at 1:30 PM.

Attest by:

**Vanessa Lee
Clerk of the Commission**

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FINANCE AND ADMINISTRATION COMMITTEE MEETING

ROSTER OF MEETING ATTENDANCE

June 13, 2011

1:30 p.m.

1333 Broadway, Suite 300, Oakland, CA 94612

BOARD MEMBERS		Initials	ALTERNATES	Initials
Chair :	John Chiang, – City of Piedmont		Garrett Keating – City of Piedmont	
Vice Chair:	Rebecca Kaplan – City of Oakland		Jane Brunner – City of Oakland	
Members:				
	Rob Bonta – City of Alameda		Beverly Johnson – City of Alameda	
	Scott Haggerty – County of Alameda, District 1		Bill Harrison – City of Fremont	
	Nadia Lockyer – County of Alameda, District 2			
	Wilma Chan – County of Alameda, District 3		Michael Gregory – City of San Leandro	
	Tom Blalock - BART		Robert Franklin - BART	
	Laurie Capitelli – City of Berkeley		Kriss Worthington – City of Berkeley	
	Mark Green – City of Union City		Emily Duncan – City of Union City	
LEGAL COUNSEL				
	Zack Wasserman – WRBD			
	Neal Parish – WRBD			
	Geoffrey Gibbs - GLG			
STAFF				
	Arthur L. Dao – Executive Director			
	Gladys Parmelee – Office Supervisor and Interim Clerk of the Commission			
	Patricia Reavey - Director of Finance			
	Victoria Winn – Administrative Assistant			

STAFF	Initials	STAFF	Initials
Tess Lengyel – Deputy Director of Policy, Public Affairs and Legislation	<i>TL</i>	Yvonne Chan – Accounting Manager	<i>YC</i>
Beth Walukas – Deputy Director of Planning	<i>BW</i>	Arun Goel – Project Controls Engineer	<i>AKG</i>
Patricia Reavey – Director of Finance	<i>PR</i>	Linda Adams – Executive Assistant	
Matt Todd - Manager of Programming	<i>MT</i>	Lei Lam – Senior Accountant	<i>LL</i>
Ray Akkawi – Manager of Project Delivery		Sammy Ng – Senior Accountant	
Steve Haas – Senior Transportation Engineer		Patty Seu - Accountant	
John Hemiup – Senior Transportation Engineer		Jacki Taylor – Programming Analyst	<i>JT</i>
Saravana Suthanthira - Senior Transportation Planner	<i>SS</i>	Laurel Poeton – Assistant Transportation Planner	<i>LP</i>
Diane Stark - Senior Transportation Planner	<i>DS</i>	Frank R. Furger – Executive Director, I-680 JPA	
Vivek Bhat - Senior Transportation Engineer	<i>VB</i>	James O'Brien	<i>JO</i>
Liz Brazil – Contract Compliance & Outreach Analyst		Stefan Garcia	
Claudia Loyva - Admin. Assistant	<i>CL</i>		

	NAME	JURISDICTION/ ORGANIZATION	PHONE #	E-MAIL
1.	Katye Kaneko	Koff & Assoc	510 658-5633	kkaneko@koffassoc.com
2.	Joan Fisher	Elustor Assoc	510/208-7466	outreach@alameda.org
3.	SEUNG CHO	PCT	510 208 7472	scho@alameda.org
4.	Yvonne Chan	Alameda CTC		
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15.				



Memorandum

DATE: August 26, 2011

TO: Finance and Administration Committee

FROM: Arthur L. Dao, Executive Director
Patricia M. Reavey, Director of Finance

SUBJECT: **Consolidated FY2010-11 Year-end Investment Report**

Recommendation

It is recommended that the Committee approve the attached Alameda CTC Consolidated FY2010-11 Year-end Investment Report (Attachment A).

Summary

- As of June 30, 2011, total cash and investments held by the Alameda CTC were \$298.2 million. This total is down \$33.3 million or 10.0% from the prior year-end balance of \$331.5 million.
- The reduction in the ACTA balance of \$11.7 million or 6.3% and the ACTIA balance of \$17.3 million or 14.2% were primarily due to capital expenditures. The decrease in the ACCMA balance of \$4.3 million or 18.5% was due to the need to cash flow capital project expenditures and a net drawdown in the Exchange Fund.
- Investment yields continue to decline with the return on investment for the Alameda CTC at 2.07% compared to the prior year return of 2.88%. However, interest was projected for the FY2010-11 budget at a rate of 1.00%.
- Based on current cash flow projections, ACTIA will require external financing by the 3rd quarter of FY2012-13 to satisfy capital project obligations.
- Alameda CTC investments are in compliance with the adopted investment policy.
- Alameda CTC has sufficient cash flow to meet expenditure requirements over the next six months.

Discussion:

As the fiscal year came to a close, the Alameda CTC continued to maintain a conservative investment portfolio compared to what the investment policy and the California Government Code allow, with the bulk of the portfolio invested in AAA rated securities. This was due to the ongoing uncertainty in the financial markets and the primary objective of our investment policy, which is safety of principal or preservation of capital.

The economy continues to underperform expectations as we struggle to recover from the recession. The unemployment rate remains well above historical levels. Recently, economists have estimated that the U.S. would need over 20 million new jobs to bring the unemployment rate down to historical levels. Also, the housing market continues to be a drag on the economy. Low consumer confidence, restrained personal income growth and continued cutbacks in state government spending have constrained GDP growth. With the current state of the recovery, the Federal Reserve will most likely maintain an extraordinarily low federal funds rate for some time.

This spring all three credit rating agencies, Standard and Poor's (S&P), Moody's and Fitch, put the United States Government on negative credit watch. On August 5, S&P downgraded the United States' long-term rating to AA+, with a negative outlook. These actions by the rating agencies are a reflection of the prolonged controversy over raising the federal statutory debt ceiling as well as the lack of progress made by the federal government to contain growth in public spending.

The S&P downgrade resulted in the decrease in the S&P rating on the majority of the investments in the Alameda CTC's portfolio from AAA to AA+ as most of our investments are backed by the U.S. Government. However, those same investments are still rated AAA by Moody's. The rating agencies' actions also may drive up the cost of borrowing for governments. This would affect the Alameda CTC when ACTIA goes to market, which is currently anticipated in January, 2013 based on current cash flow projections.

Attachments:

Attachment A - Consolidated Investment Report

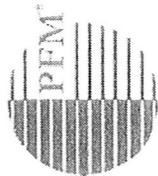
Attachment B - Detail of Investment Holdings (managed by PFM and Chandler)

Alameda CTC Consolidated Investment Report As of June 30, 2011									
	Un-Audit		Interest Earned			Audited-FYE 2010			
	Investment Balance	Interest earned	As of June 30, 2011 Approx. ROI	Budget @ 1%	Difference	Investment Balance FYE June 30, 2010	Interest earned FYE 2010		
ACTA									
Checking UBOC	\$ 136,079	\$ 142	0.10%			\$ 53,614	\$ 193		
State Treas. Pool (LAIF)	30,579,638	117,381	0.38%			17,858,450	99,664		
Investment Advisors (1)	136,699,077	3,552,907	2.60%			165,070,857	5,731,340		
Loan to ACCMA	5,000,000								
FMV Adjustment	2,345,732					3,504,112			
ACTA Total	174,760,525	\$ 3,670,429	2.10%	\$ 1,750,000	\$ 1,920,429	186,487,033	5,831,197	Approx. ROI	3.13%
ACTIA									
Community Bank (OPEB)(2)	\$ 909,508	\$ 146	0.02%			\$ 929,128	\$ 5,790		
Checking UBOC	\$ 6,662,455	\$ 1,435	0.02%			\$ 4,091,191	\$ 3,148		
State Treas. Pool (LAIF)	42,027,371	270,521	0.64%			49,999,050	266,298		
AC Transit Loan (3)	-	68,624				7,040,371	759,036		
Investment Advisors (1)	55,548,875	534,984	0.96%			60,189,855	2,467,234		
FMV Adjustment	160,183					343,942			
ACTIA Total	104,398,883	\$ 875,563	0.84%	\$ 1,111,000	\$ (235,437)	121,664,409	3,495,716	Approx. ROI	2.87%
ACCOMA									
Wells Fargo Bank (Checking)	\$ 3,788,488	\$ -	0.00%			\$ 2,072,659	\$ -		
State Treas. Pool (LAIF)	9,177,767	34,264	0.37%			10,117,784	26,556		
TVTCC Reserve (4)	6,180,825	29,678	0.48%			6,192,095	40,585		
San Leandro Marina Reserve (4)	4,832,592	23,421	0.48%			4,939,236	32,681		
Loan from ACCMA	(5,000,000)								
FMV Adjustment	31,831								
ACCOMA Total	19,011,503	\$ 87,363	0.61%	\$ -	\$ 87,363	23,321,774	\$ 99,822	Approx. ROI	0.43%
ACTC TOTAL	\$ 298,170,911	\$ 4,633,356	2.07%	\$ 2,861,000	\$ 1,772,356	\$ 331,473,216	\$ 9,426,735		

Notes:

- (1) See attachments for detail of investment holdings managed by Investment Advisors.
- (2) The OPEB/Health Retirement account and related interest income is held in a irrevocable trust and does not appear on ACTIA's balance sheet.
- (3) The Loan to AC Transit was carried with an interest rate of 6% plus 1% for admin fees. This loan has been repaid in full.
- (4) Tri Valley Transportation Commission and San Leandro Marina project funds are invested in LAIF with interest accruing back to the respective project fund.
- (5) All investments are marked to market on the financial statements at the end of the fiscal year per GASB 31 requirements.
- (6) Alameda CTC investments are in compliance with the current adopted investment policy.
- (7) Alameda CTC has sufficient cash flow to meet expenditure requirements over the next six months.

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For the Month Ending June 30, 2011

Managed Account Detail of Securities Held

ALAMEDA CNTY TRANSPORTATION AUTHORITY - 03068500

Security Type/Description	Dated Date/Coupon/Maturity	CUSIP	Par	S&P Rating	Moody's Rating	Trade Date	Settle Date	Original Cost	YTM at Cost	Accrued Interest	Amortized Cost	Market Value
U.S. Treasury Bond / Note												
US TREASURY NOTES	02/15/2012	912828KC3	4,800,000.00	TSY	TSY	03/02/09	03/03/09	4,816,875.00	1.25	24,795.58	4,803,616.46	4,836,936.00
DTD 02/15/2009 1.375% 02/15/2012												
US TREASURY NOTES	04/15/2012	912828KK5	3,065,000.00	TSY	TSY	05/04/09	05/07/09	3,064,760.55	1.38	8,866.31	3,064,936.86	3,093,734.38
DTD 04/15/2009 1.375% 04/15/2012												
US TREASURY NOTES	06/30/2012	912828NS5	1,110,000.00	TSY	TSY	03/15/11	03/16/11	1,114,249.22	0.33	18.85	1,113,279.97	1,114,340.10
DTD 06/30/2010 0.625% 06/30/2012												
US TREASURY NOTES	03/31/2013	912828OL7	1,500,000.00	TSY	TSY	03/31/11	04/01/11	1,499,121.09	0.78	2,827.87	1,499,229.89	1,508,790.00
DTD 03/31/2011 0.750% 03/31/2013												
Security Type Sub-Total			10,475,000.00					10,495,005.86	1.12	36,508.61	10,481,063.18	10,553,800.48

U.S. Government Supported Corporate Debt

CITIBANK NA (FDIC) NOTE		17314JAP8	3,000,000.00	AAA	Aaa	02/24/10	02/25/10	3,022,869.00	0.76	10,312.50	3,003,281.01	3,007,602.00
DTD 09/22/2009 1.250% 09/22/2011												
SOVEREIGN BANK (FDIC) GLOBAL NOTE		846042AA7	1,000,000.00	AAA	Aaa	12/17/08	12/22/08	999,050.00	2.78	12,527.78	999,823.57	1,013,185.00
DTD 12/22/2008 2.750% 01/17/2012												
BANK OF AMERICA CORP (FDIC) GLOBAL MTN		06050BAA9	1,000,000.00	AAA	Aaa	12/15/08	12/18/08	1,020,390.00	2.51	1,388.89	1,005,756.75	1,027,342.00
DTD 12/04/2008 3.125% 06/15/2012												
JOHN DEERE CAPITAL CORP (FDIC) GL MTN		24424DAA7	2,050,000.00	AAA	Aaa	12/16/08	12/19/08	2,045,264.50	2.94	1,964.58	2,048,643.62	2,102,102.80
DTD 12/19/2008 2.875% 06/19/2012												
JPMORGAN CHASE & CO (FDIC) GLOBAL NOTE		481247AE4	1,000,000.00	AAA	Aaa	12/18/08	12/22/08	999,500.00	2.14	531.25	999,856.97	1,018,286.00
DTD 12/22/2008 2.125% 06/22/2012												
PNC FUNDING CORP (FDIC) GLOBAL NOTE		69351CAC7	2,350,000.00	AAA	Aaa	12/17/08	12/22/08	2,347,250.50	2.34	1,351.25	2,349,211.60	2,397,338.40
DTD 12/22/2008 2.300% 06/22/2012												
Security Type Sub-Total			10,400,000.00					10,434,324.00	2.05	28,076.25	10,406,573.52	10,565,856.20

Federal Agency Bond / Note

Security Type Sub-Total			10,400,000.00					10,434,324.00	2.05	28,076.25	10,406,573.52	10,565,856.20
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PFM Asset Management LLC



Managed Account Detail of Securities Held

For the Month Ending June 30, 2011

ALAMEDA CNTY TRANSPORTATION AUTHORITY - 03068500

Security Type/Description	Dated Date/Coupon/Maturity	CUSIP	Par	S&P Rating	Moody's Rating	Trade Date	Settle Date	Original Cost	YTM at Cost	Accrued Interest	Amortized Cost	Market Value
Federal Agency Bond / Note												
FHLB GLOBAL BONDS	DTD 06/12/2009 1.625% 07/27/2011	3133XTXH4	2,750,000.00	AAA	Aaa	02/24/10	02/25/10	2,790,727.50	0.58	19,116.32	2,752,073.91	2,753,027.75
FHLB GLOBAL BONDS	DTD 08/27/2008 3.625% 09/16/2011	3133XS4S4	2,440,000.00	AAA	Aaa	02/24/10	02/25/10	2,552,240.00	0.65	25,797.92	2,455,058.14	2,458,375.64
FNMA GLOBAL NOTES	DTD 03/02/2010 1.000% 04/04/2012	31398AH54	1,000,000.00	AAA	Aaa	03/25/10	03/30/10	996,280.00	1.19	2,416.67	998,587.48	1,005,285.00
FHLB TAP BONDS	DTD 05/05/2005 4.375% 06/08/2012	3133XBT39	2,780,000.00	AAA	Aaa	08/05/08	08/06/08	2,812,292.48	4.04	7,770.49	2,788,367.27	2,885,197.98
FHLB GLOBAL BONDS	DTD 06/18/2010 0.875% 08/22/2012	3133XYWB7	8,650,000.00	AAA	Aaa	05/03/11	05/04/11	8,705,792.50	0.38	27,121.35	8,699,013.50	8,701,337.75
FFCB BONDS	DTD 10/15/2008 3.650% 10/15/2012	31331GDH9	4,690,000.00	AAA	Aaa	10/07/08	10/15/08	4,691,547.70	3.64	36,139.06	4,690,522.84	4,887,153.53
FANNIE MAE GLOBAL NOTES	DTD 10/08/2010 0.500% 10/30/2012	31398A4T6	4,575,000.00	AAA	Aaa	10/25/10	10/26/10	4,580,124.00	0.44	3,876.04	4,578,394.70	4,582,457.25
FHLB GLOBAL BONDS	DTD 10/15/2009 1.625% 11/21/2012	3133XVEM9	10,000,000.00	AAA	Aaa	05/03/11	05/06/11	10,178,600.00	0.46	18,055.56	10,160,952.40	10,170,410.00
FHLMC GLOBAL NOTES	DTD 12/02/2009 1.375% 01/09/2013	3137EACG2	2,380,000.00	AAA	Aaa	02/11/11	02/14/11	2,400,634.60	0.91	15,635.28	2,396,540.21	2,414,936.02
FHLMC GLOBAL REFERENCE NOTES	DTD 01/17/2003 4.500% 01/15/2013	3134A4SA3	5,625,000.00	AAA	Aaa	03/25/10	03/30/10	6,058,575.00	1.66	116,718.75	5,866,529.40	5,977,530.00
Security Type Sub-Total			44,890,000.00					45,766,813.78	1.22	272,647.44	45,386,039.85	45,835,710.92
Corporate Note												
GENERAL ELEC CAP CORP GLOBAL SR MTN	DTD 02/15/2002 5.875% 02/15/2012	36962GX58	1,500,000.00	AA+	Aa2	02/29/08	03/05/08	1,598,040.00	4.06	33,291.67	1,516,500.54	1,550,803.50
GENERAL ELEC CAP CORP GLOBAL SR MTN	DTD 10/19/2007 5.250% 10/19/2012	36962G3K8	650,000.00	AA+	Aa2	05/03/11	05/06/11	690,235.00	0.95	6,825.00	686,024.61	685,246.25



PFM Asset Management LLC

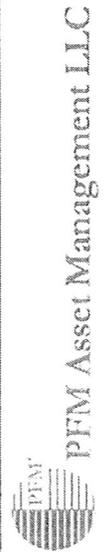


Managed Account Detail of Securities Held

For the Month Ending June 30, 2011

ALAMEDA CNTY TRANSPORTATION AUTHORITY - 03068500

Security Type/Description	Dated Date/Coupon/Maturity	CUSIP	Par	S&P Rating	Moody's Rating	Trade Date	Settle Date	Original Cost	YTM at Cost	Accrued Interest	Amortized Cost	Market Value
Corporate Note												
WELLS FARGO & COMPANY GLOBAL SR		949746NY3	1,000,000.00	AA-	A1	08/26/08	08/29/08	959,600.00	5.41	18,350.69	984,468.39	1,049,523.00
NOTES												
DTT 01/31/2008 4.375% 01/31/2013												
WELLS FARGO & COMPANY GLOBAL SR		949746NY3	1,500,000.00	AA-	A1	05/03/11	05/06/11	1,582,515.00	1.16	27,526.04	1,575,403.01	1,574,284.50
NOTES												
DTT 01/31/2008 4.375% 01/31/2013												
Security Type Sub-Total			4,650,000.00					4,830,390.00	2.98	85,993.40	4,762,396.55	4,859,857.25
Managed Account Sub-Total			70,415,000.00					71,526,533.64	1.45	423,225.70	71,036,073.10	71,815,224.85
Securities Sub-Total			\$70,415,000.00					\$71,526,533.64	1.45%	\$423,225.70	\$71,036,073.10	\$71,815,224.85
Accrued Interest												\$423,225.70
Total Investments												\$72,238,450.55





**Alameda County Transportation Authority
Account #470**

Holdings Report
As of 6/30/11

CUSIP	Security Description	Par Value/Units	Purchase Date Book Yield	Cost Value Book Value	Mkt Price Mkt YTM	Market Value Accrued Int.	% of Port. Gain/Loss	Moody S&P	Term (yrs) Duration
3133XRRU6	FHLB Note 3.625% Due 7/1/2011	2,500,000.00	01/08/2009 1.81 %	2,609,580.00 2,500,000.00	100.00 3.59 %	2,500,000.00 44,809.03	3.83 % 0.00	Aaa AAA	0.00 0.00
31331VJ80	FFCB Note 5.375% Due 7/18/2011	2,500,000.00	06/16/2008 3.98 %	2,599,910.00 2,501,511.09	100.25 0.38 %	2,506,225.00 60,842.01	3.86 % 4,713.91	Aaa AAA	0.05 0.05
3137EAAF6	FHLMC Note 5.25% Due 7/18/2011	2,500,000.00	01/08/2009 1.64 %	2,722,120.00 2,504,104.39	100.25 0.34 %	2,506,125.00 59,427.08	3.86 % 2,020.61	Aaa AAA	0.05 0.05
3133XHPH9	FHLB Note 4.875% Due 11/18/2011	2,500,000.00	06/04/2009 1.35 %	2,711,595.00 2,533,061.72	101.80 0.16 %	2,545,115.00 14,557.29	3.85 % 12,053.28	Aaa AAA	0.39 0.38
31331GKY4	FFCB Note 2% Due 1/17/2012	1,475,000.00	11/16/2009 1.14 %	1,502,218.18 1,481,881.97	100.96 0.25 %	1,489,143.78 13,438.89	2.26 % 7,261.81	Aaa AAA	0.55 0.54
880591DT6	Tennessee Valley Authority Note 6.79% Due 5/23/2012	2,125,000.00	06/17/2008 4.25 %	2,317,763.00 2,168,987.09	105.74 0.37 %	2,247,057.88 15,230.35	3.40 % 78,070.79	Aaa AAA	0.90 0.88
3137EAAZ2	FHLMC Note 4.625% Due 10/25/2012	2,500,000.00	07/09/2008 3.99 %	2,562,070.00 2,519,080.19	105.62 0.35 %	2,640,427.50 21,197.92	4.00 % 121,347.31	Aaa AAA	1.32 1.28
3137EABE8	FHLMC Note 4.125% Due 12/21/2012	2,100,000.00	05/05/2011 0.49 %	2,223,471.60 2,211,850.74	105.42 0.43 %	2,213,847.30 2,406.25	3.33 % 1,996.56	Aaa AAA	1.48 1.44
31398A6F4	FNMA Note 0.375% Due 12/28/2012	4,200,000.00	03/31/2011 0.70 %	4,176,438.00 4,179,804.00	100.02 0.36 %	4,200,957.60 131.25	6.32 % 21,153.60	Aaa AAA	1.50 1.49
3133XP2W3	FHLB Note 3.375% Due 2/27/2013	2,500,000.00	07/09/2008 4.02 %	2,432,425.00 2,475,771.99	104.83 0.45 %	2,620,627.50 29,062.50	3.98 % 144,855.51	Aaa AAA	1.67 1.61
880591CW0	Tennessee Valley Authority Note 6% Due 3/15/2013	4,000,000.00	Various 3.45 %	4,407,900.00 4,160,311.07	109.08 0.64 %	4,363,324.00 70,666.67	6.67 % 203,012.93	Aaa AAA	1.71 1.62
31398ASD5	FNMA Note 3.875% Due 7/12/2013	1,700,000.00	04/09/2009 2.24 %	1,811,683.20 1,753,429.36	106.86 0.48 %	1,816,635.30 30,924.65	2.78 % 63,205.94	Aaa AAA	2.04 1.94
880591DW9	Tennessee Valley Authority Note 4.75% Due 8/1/2013	4,000,000.00	Various 2.35 %	4,411,330.00 4,188,820.17	108.36 0.71 %	4,334,396.00 79,166.67	6.64 % 145,575.83	Aaa AAA	2.09 1.97
31398A4A7	FNMA Callable Note 1X 9/27/11 1.2% Due 9/27/2013	1,750,000.00	09/10/2010 1.20 %	1,750,000.00 1,750,000.00	100.21 0.35 %	1,753,589.25 5,483.33	2.64 % 3,589.25	Aaa AAA	0.24 0.24
31331GCS6	FFCB Note 3.875% Due 10/7/2013	2,300,000.00	02/04/2009 2.89 %	2,397,934.00 2,347,617.18	107.26 0.65 %	2,466,913.30 20,795.83	3.74 % 119,296.12	Aaa AAA	2.27 2.17
3133XSAE8	FHLB Note 3.625% Due 10/18/2013	2,000,000.00	03/03/2009 2.69 %	2,080,600.00 2,040,085.26	106.73 0.67 %	2,134,628.00 14,701.39	3.23 % 94,542.74	Aaa AAA	2.30 2.21
3134A4UK8	FHLMC Note 4.875% Due 11/15/2013	2,500,000.00	01/08/2009 2.44 %	2,776,632.50 2,635,582.73	109.96 0.64 %	2,748,875.00 15,572.92	4.16 % 113,292.27	Aaa AAA	2.38 2.26
Total Agency		43,150,000.00	2.41 %	45,493,670.48 43,951,898.95	0.65 %	45,087,887.41 486,414.03	68.53 % 1,135,988.46	Aaa AAA	1.31 1.26
FDIC INSURED US CORPORATE									
91160HAA5	US Bancorp FDIC Guaranteed Note 2.25% Due 3/13/2012	3,210,000.00	03/10/2009 2.25 %	3,209,614.80 3,209,910.03	101.42 0.22 %	3,255,729.66 21,667.50	4.93 % 45,819.63	Aaa AAA	0.70 0.70



**Alameda County Transportation Authority
Account #470**

Holdings Report
As of 6/30/11

CUSIP	Security Description	Par Value/Units	Purchase Date Book Yield	Cost Value Book Value	Mkt Price Mkt YTM	Market Value Accrued Int.	% of Port. Gain/Loss	Moody S&P	Term (yrs) Duration
FDIC INSURED US CORPORATE									
38146FAA9	Goldman Sachs FDIC Guaranteed Note 3.25% Due 6/15/2012	2,000,000.00	12/08/2008 2.83 %	2,027,720.00 2,007,567.86	102.81 0.31 %	2,056,126.00 2,888.89	3.10 % 48,558.14	Aaa AAA	0.96 0.95
06050BAA9	Bank of America Corp FDIC Guaranteed Note 3.125% Due 6/15/2012	2,100,000.00	12/19/2008 2.01 %	2,178,477.00 2,121,644.56	102.73 0.27 %	2,157,418.20 2,916.67	3.25 % 35,773.64	Aaa AAA	0.96 0.95
949744AC0	Wells Fargo & Company FDIC Guaranteed Note 2.125% Due 6/15/2012	1,900,000.00	03/25/2009 2.13 %	1,899,506.00 1,899,852.60	101.69 0.35 %	1,932,168.90 1,794.44	2.91 % 32,316.30	Aaa AAA	0.96 0.95
36967HAV9	GE Capital Corp FDIC Guaranteed Note 2.125% Due 12/21/2012	2,180,000.00	06/03/2009 2.10 %	2,182,071.00 2,180,863.99	102.38 0.50 %	2,231,960.30 1,286.81	3.36 % 51,096.31	Aaa AAA	1.48 1.46
481247AM6	JP Morgan Chase FDIC Guaranteed Note 2.125% Due 12/26/2012	3,250,000.00	04/22/2009 2.04 %	3,260,075.00 3,254,093.20	102.40 0.50 %	3,328,097.50 959.20	5.00 % 74,004.30	Aaa AAA	1.49 1.47
Total FDIC Insured US Corporate		14,640,000.00	2.21 %	14,757,463.80 14,673,932.24	0.36 %	14,961,500.56 31,513.51	22.54 % 287,568.32	Aaa AAA	1.10 1.09
MONEY MARKET FUND FI									
431114701	Highmark Govt Money Market Fund	47,555.39	Various 0.01 %	47,555.39 47,555.39	1.00 0.01 %	47,555.39 0.00	0.07 % 0.00	Aaa AAA	0.00 0.00
Total Money Market Fund FI		47,555.39	0.01 %	47,555.39 47,555.39	0.01 %	0.00 0.00	0.07 % 0.00	Aaa AAA	0.00 0.00
US TREASURY									
912828F54	US Treasury Note 4.625% Due 8/31/2011	1,800,000.00	04/03/2008 2.30 %	1,936,054.69 1,806,687.62	100.75 0.15 %	1,813,570.20 27,825.41	2.77 % 6,882.58	TSY TSY	0.17 0.17
912828KA7	US Treasury Note 1.125% Due 12/15/2011	1,500,000.00	11/16/2009 0.90 %	1,506,919.09 1,501,524.39	100.46 0.12 %	1,506,913.50 737.70	2.27 % 5,389.11	TSY TSY	0.46 0.46
912828GQ7	US Treasury Note 4.5% Due 4/30/2012	1,200,000.00	10/04/2007 4.18 %	1,215,703.13 1,202,868.84	103.57 0.22 %	1,242,796.80 9,097.83	1.88 % 39,927.96	TSY TSY	0.84 0.82
912828HG8	US Treasury Note 3.875% Due 10/31/2012	1,225,000.00	08/27/2008 2.88 %	1,272,616.41 1,240,237.25	104.72 0.32 %	1,282,853.08 7,997.45	1.94 % 42,615.83	TSY TSY	1.34 1.30
Total US Treasury		5,725,000.00	2.45 %	5,931,293.32 5,751,318.10	0.19 %	5,846,133.58 45,658.39	8.86 % 94,815.48	TSY TSY	0.64 0.63
TOTAL PORTFOLIO		63,562,555.39	2.37 %	66,229,982.99 64,424,704.68	0.54 %	65,943,076.94 575,585.93	100.00 % 1,518,372.26	Aaa AAA	1.20 1.16
TOTAL MARKET VALUE PLUS ACCRUED						66,518,662.87			



For the Month Ending June 30, 2011

Managed Account Detail of Securities Held

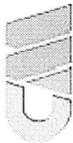
ALAMEDA CNTY TRANSPORTATION IMPROV AUTH - 03068510

Security Type/Description	Dated Date/Coupon/Maturity	CUSIP	Par	S&P Rating	Moody's Rating	Trade Date	Settle Date	Original Cost	YTM at Cost	Accrued Interest	Amortized Cost	Market Value
U.S. Treasury Bond / Note												
US TREASURY NOTES	DTD 02/15/2009 1.375% 02/15/2012	912828KC3	6,910,000.00	TSY	TSY	05/03/11	05/04/11	6,975,051.17	0.17	35,695.30	6,961,773.66	6,963,172.45
US TREASURY NOTES	DTD 05/15/2009 1.375% 05/15/2012	912828KP4	2,615,000.00	TSY	TSY	05/03/11	05/04/11	2,645,235.94	0.25	4,592.24	2,640,600.38	2,641,353.97
US TREASURY NOTES	DTD 05/31/2007 4.750% 05/31/2012	912828GU8	5,735,000.00	TSY	TSY	06/29/11	06/30/11	5,973,808.98	0.21	23,073.19	5,973,098.62	5,972,239.75
Security Type Sub-Total			15,260,000.00					15,594,096.09	0.20	63,360.73	15,575,472.66	15,576,766.17
Federal Agency Discount Note												
FREDDIE MAC DISC NOTE	-- 0.000% 10/24/2011	313396NJ8	9,000,000.00	A-1+	P-1	05/03/11	05/04/11	8,994,810.00	0.12	0.00	8,996,550.03	8,996,997.78
Security Type Sub-Total			9,000,000.00					8,994,810.00	0.12	0.00	8,996,550.03	8,996,997.78
Managed Account Sub-Total			24,260,000.00					24,588,906.09	0.17	63,360.73	24,572,022.69	24,573,763.95
Securities Sub-Total			\$24,260,000.00					\$24,588,906.09	0.17%	\$63,360.73	\$24,572,022.69	\$24,573,763.95
Accrued Interest												\$63,360.73
Total Investments												\$24,637,124.68



PFM Asset Management LLC

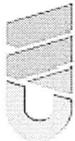
Account 03068510 Page 4



**Alameda County Transport. Improvement Authority
Account #471**

Holdings Report
As of 6/30/11

CUSIP	Security Description	Par Value/Units	Purchase Date	Cost Value	Mkt Price	Market Value	% of Port.	Moody	Term (yrs)
AGENCY			Book Yield	Book Value	Mkt YTM	Accrued Int.	Gain/Loss	S&P	Duration
3133XRRU6	FHLB Note 3.625% Due 7/1/2011	1,500,000.00	01/08/2009 1.81 %	1,565,748.00 1,500,000.00	100.00 3.59 %	1,500,000.00 26,885.42	5.46 % 0.00	Aaa AAA	0.00 0.00
31331VJ80	FFCB Note 5.375% Due 7/18/2011	1,000,000.00	06/16/2008 3.98 %	1,039,964.00 1,000,604.44	100.25 0.38 %	1,002,490.00 24,336.81	3.67 % 1,885.56	Aaa AAA	0.05 0.05
3137EAAF6	FHLMC Note 5.25% Due 7/18/2011	1,400,000.00	01/08/2009 1.64 %	1,524,387.20 1,402,298.46	100.25 0.34 %	1,403,430.00 33,279.17	5.13 % 1,131.54	Aaa AAA	0.05 0.05
313588MG7	FNMA Discount Note 0.05% Due 9/28/2011	1,025,000.00	06/30/2011 0.05 %	1,024,871.88 1,024,871.88	99.99 0.05 %	1,024,871.88 1.42	3.66 % 0.00	P-1 A-1+	0.25 0.25
313370VL9	FHLB Note 0.33% Due 9/30/2011	1,000,000.00	06/28/2011 0.07 %	1,000,658.00 1,000,637.00	100.06 0.10 %	1,000,568.00 834.17	3.58 % (69.00)	Aaa AAA	0.25 0.25
3133XHPH9	FHLB Note 4.875% Due 11/18/2011	1,000,000.00	10/28/2008 3.78 %	1,031,172.00 1,003,913.97	101.80 0.16 %	1,018,046.00 5,822.92	3.66 % 14,132.03	Aaa AAA	0.39 0.38
3133XYUT0	FHLB Note 0.75% Due 12/21/2011	1,130,000.00	06/16/2011 0.13 %	1,133,565.15 1,133,298.24	100.28 0.15 %	1,133,197.90 235.42	4.05 % (100.34)	Aaa AAA	0.48 0.47
313588QU2	FNMA Discount Note 0.13% Due 12/21/2011	1,675,000.00	06/22/2011 0.13 %	1,673,905.19 1,673,905.19	99.93 0.13 %	1,673,905.19 48.39	5.98 % 0.00	P-1 A-1+	0.48 0.48
313396RA3	FHLMC Discount Note 0.12% Due 12/27/2011	1,000,000.00	06/28/2011 0.12 %	999,418.61 999,418.61	99.94 0.12 %	999,418.61 9.58	3.57 % 0.00	P-1 A-1+	0.49 0.49
313312RB8	FFCB Discount Note 0.13% Due 12/28/2011	1,135,000.00	06/16/2011 0.13 %	1,134,204.87 1,134,204.87	99.93 0.13 %	1,134,204.87 57.38	4.05 % 0.00	P-1 A-1+	0.50 0.50
3128XQ62	FHLMC Note 1.72% Due 3/14/2012	1,600,000.00	05/17/2011 0.18 %	1,620,092.80 1,617,270.40	101.00 0.30 %	1,615,995.20 8,179.56	5.80 % (1,275.20)	Aaa AAA	0.71 0.70
31359MMQ3	FNMA Note 6.125% Due 3/15/2012	1,550,000.00	05/17/2011 0.18 %	1,625,410.60 1,614,853.12	104.08 0.36 %	1,613,181.10 27,953.82	5.87 % (1,672.02)	Aaa AAA	0.71 0.69
3137EABY4	FHLMC Note 2.125% Due 3/23/2012	1,600,000.00	05/17/2011 0.18 %	1,626,120.00 1,622,558.18	101.31 0.32 %	1,621,000.00 9,255.56	5.83 % (1,558.18)	Aaa AAA	0.73 0.72
31398A6F4	FNMA Note 0.375% Due 12/28/2012	1,175,000.00	03/31/2011 0.70 %	1,168,408.25 1,169,349.93	100.02 0.36 %	1,175,267.90 36.72	4.20 % 5,917.97	Aaa AAA	1.50 1.49
Total Agency		17,790,000.00	0.85 %	18,167,926.55 17,897,184.29	0.52 %	17,915,576.65 136,936.34	64.52 % 18,392.36	Aaa AAA	0.48 0.48
FDIC INSURED US CORPORATE									
36967HAD9	GE Capital Corp FDIC Guaranteed Note 3% Due 12/9/2011	725,000.00	06/10/2011 0.14 %	735,121.00 734,103.25	101.22 0.23 %	733,871.10 1,329.17	2.63 % (232.15)	Aaa AAA	0.44 0.44
02580HAC0	American Express Bank FDIC Guaranteed Note 3.15% Due 12/9/2011	725,000.00	06/10/2011 0.14 %	735,656.78 734,585.15	101.28 0.26 %	734,252.45 1,395.63	2.63 % (332.70)	Aaa AAA	0.44 0.44
4042EPA5	HSBC USA FDIC Guaranteed note 3.125% Due 12/16/2011	725,000.00	06/10/2011 0.14 %	735,988.83 734,925.39	101.35 0.20 %	734,753.43 944.01	2.63 % (171.96)	Aaa AAA	0.46 0.46
91160HAA5	US Bancorp FDIC Guaranteed Note 2.25% Due 3/13/2012	1,225,000.00	03/10/2009 2.25 %	1,224,853.00 1,224,965.66	101.42 0.22 %	1,242,451.35 8,268.75	4.47 % 17,485.69	Aaa AAA	0.70 0.70



Alameda County Transport. Improvement Authority
Account #471

Holdings Report
 As of 6/30/11

CUSIP	Security Description	Par Value/Units	Purchase Date Book Yield	Cost Value Book Value	Mkt Price Mkt YTM	Market Value Accrued Int.	% of Port. Gain/Loss	Moody S&P	Term (yrs) Duration
FDIC INSURED US CORPORATE									
61757UAP5	Morgan Stanley FDIC Guaranteed Note 2.25% Due 3/13/2012	990,000.00	06/28/2011 0.19 %	1,004,444.10 1,004,276.79	101.42 0.23 %	1,004,030.28 6,882.50	3.61 % (246.51)	Aaa AAA	0.70 0.70
38146FAA9	Goldman Sachs FDIC Guaranteed Note 3.25% Due 6/15/2012	800,000.00	12/08/2008 2.83 %	811,088.00 803,027.15	102.81 0.31 %	822,450.40 1,155.56	2.94 % 19,423.25	Aaa AAA	0.96 0.95
06050BAA9	Bank of America Corp FDIC Guaranteed Note 3.125% Due 6/15/2012	800,000.00	12/19/2008 2.01 %	829,896.00 808,245.55	102.73 0.27 %	821,873.60 1,111.11	2.94 % 13,628.05	Aaa AAA	0.96 0.95
949744AC0	Wells Fargo & Company FDIC Guaranteed Note 2.125% Due 6/15/2012	740,000.00	03/25/2009 2.13 %	739,807.60 739,942.59	101.69 0.35 %	752,528.94 698.89	2.69 % 12,586.35	Aaa AAA	0.96 0.95
Total FDIC Insured US Corporate		6,730,000.00	1.29 %	6,816,855.31 6,784,071.53	0.26 %	6,846,211.55 21,585.62	24.55 % 62,140.02	Aaa AAA	0.71 0.71
MONEY MARKET FUND FI									
431114701	Highmark Govt Money Market Fund	1,013,739.72	Various 0.01 %	1,013,739.72 1,013,739.72	1.00 0.01 %	1,013,739.72 0.00	3.62 % 0.00	Aaa AAA	0.00 0.00
Total Money Market Fund FI		1,013,739.72	0.01 %	1,013,739.72 1,013,739.72	0.01 %	1,013,739.72 0.00	3.62 % 0.00	Aaa AAA	0.00 0.00
US TREASURY									
912828F54	US Treasury Note 4.625% Due 8/31/2011	1,000,000.00	07/10/2008 2.77 %	1,055,237.73 1,002,940.23	100.75 0.15 %	1,007,539.00 15,458.56	3.66 % 4,598.77	TSY TSY	0.17 0.17
912828FU9	US Treasury Note 4.5% Due 9/30/2011	1,000,000.00	07/10/2008 2.79 %	1,052,190.85 1,004,038.58	101.11 0.08 %	1,011,094.00 11,311.48	3.65 % 7,055.42	TSY TSY	0.25 0.25
Total US Treasury		2,000,000.00	2.78 %	2,107,428.58 2,006,978.81	0.12 %	2,018,633.00 26,770.04	7.31 % 11,654.19	TSY TSY	0.21 0.21
TOTAL PORTFOLIO		27,533,739.72	1.07 %	28,105,950.16 27,701,974.35	0.41 %	27,794,160.92 185,292.00	100.00 % 92,186.57	Aaa AAA	0.50 0.50
TOTAL MARKET VALUE PLUS ACCRUED						27,979,452.92			



Memorandum

DATE: August 31, 2011

TO: Finance and Administration Committee

FROM: Arthur L. Dao, Executive Director
Patricia Reavey, Director of Finance

SUBJECT: Update on the Status and Developments in the FY2010-11 Financial Audits

Recommendation:

This item is for informational purposes only. No action is required.

Summary:

As is customary with annual financial audits, the FY2010-11 auditors for both the Alameda County Congestion Management Agency (ACCMA), Kevin Harper CPA & Associates, and Alameda County Transportation Improvement Authority (ACTIA), Maze & Associates, began the annual audit during an interim period in the April through May time frame when they worked their way through the bulk of the testing for the year.

Per the Statement on Auditing Standards (SAS) 114, staff set up meetings in early August where the auditors discussed, directly and privately, with Commission members, Chair Mayor Mark Green and FAC Chair Vice Mayor John Chiang, various topics including audit planning and fraud considerations. After these meetings, it was communicated to staff that some suggestions were made by Kevin Harper as to how Alameda CTC should conduct audits for FY2010-11. These suggestions were that we should:

1. Audit the stub period of 7/1/10 through 7/22/10 for ACCMA and ACTIA.
2. Audit Alameda CTC for the period 7/22/10 through 6/30/11.
3. Consult with the Government Accounting Standards Board (GASB) due to their recent research on mergers and acquisitions
4. Form an Audit Committee

Auditors are currently on site completing the final audit process. We expect to have audited financial statements available for Commission review at the December 1 Commission meeting.

Background:

Upon review of the suggestions made by Kevin Harper, staff has some conflicting views as follows:

1. Staff does not agree with suggestion #1 because although the Alameda CTC JPA was formed on July 22, 2010, the ACCMA and ACTIA continued to exist as separate legal entities

throughout FY2010-11 with separate financial systems, transactions and budgets therefore they need to be audited for the entire fiscal year.

2. Staff does not agree with suggestion #2 because the Alameda CTC has no financial system, transactions or budget in FY2010-11. There would be nothing to audit.
3. Staff does not agree with suggestion #3 because the GASB has just started their work on the review of mergers and acquisitions which may take a couple of years and has no formal stance on the matter at this time. Even if GASB were to make a recommendation at this time, the opinion could change significantly by the time they have formalized recommendations.
4. Staff agrees with the suggestion of forming an Audit Committee as it is best practice. This is on staff's agenda as an item that is important to incorporate into the governance practices of the Alameda CTC when consolidation tasks are finalized. In the mean time, staff has worked with the auditors to form a quasi audit team with which both auditors and staff were comfortable, Mayor Mark Green and Vice Mayor John Chiang. The auditors met with this team to carry out the formal communication process of the audits.

Legal counsel has suggested that they will prepare a written communication which clarifies the structure of the JPA and timing of the final merger for both audit teams to help them understand the JPA structure and merger process and prepare their note disclosures for the financial statements.

Fiscal Impacts:

There are no fiscal impacts related to this audit update.



Memorandum

DATE: August 22, 2011

TO: Finance and Administration Committee

FROM: Tess Lengyel, Deputy Director of Policy, Public Affairs and Legislation
Liz Brazil, Contract Compliance and Outreach Analyst

SUBJECT: **Approval of the Semi-Annual Contract Equity Utilization Report and Contract Award Report for January 1, 2011 through June 30, 2011**

Recommendation

It is recommended that the Commission approve the attached Semi-Annual Local Business Contract Equity (LBCE) Program Utilization Report and Contract Award Report, for the period of January 1, 2011 to June 30, 2011. The contracts and contract payment data which serve as a basis for this LBCE report have been reviewed and accepted by the Commission's contract equity consultant, L. Luster and Associates.

Summary

On a semi-annual basis, Alameda CTC staff prepares the LBCE Utilization Report to provide the status and progress on the utilization of:

1. Local Business Enterprise (LBE) / Small Local Business Enterprise (SLBE) on active Measure B funded contracts awarded by the Commission and Sponsoring agencies; and
2. Minority-Owned Business Enterprise (MBE) / Woman-Owned Business Enterprise (WBE) participation on active contracts awarded by the Commission and sponsoring agencies that were exempted from the application of the Agency's LBCE Program and goals. Those Measure B funded contracts exempted from the LBCE Program and goals were those that had additional Federal and/or State funds, non-local funds, or with less than \$50,000 in contract value.

Semi-Annual Local Business Contract Equity (LBCE) Program Report with Goals

In the current reporting period there were a total of 34 active contracts with LBCE Program goals. Of these contracts, roughly 87% of payments (\$6.1 million) went to firms certified as LBE, and 25% of payments (\$1.8 million) went to firms certified as SLBE. The LBE goal of 70% and SLBE goal of 30% for Administrative contracts was exceeded. The engineering contracts exceeded the LBE goal of 70% but the 25% utilization fell short of the 30% SLBE goal. There were no construction payments for this period.

Additional information collected for contracts with LBCE Program goals include:

- Very Small Local Business Enterprise (VSLBE) - 12% of payments (almost \$0.8 million)
- Disadvantaged Business Enterprise (DBE) - 5% of payments (\$0.4 million)
- Minority-Owned Business Enterprise (MBE) - 5% of payments (\$0.3 million)
- Woman-Owned Business Enterprise (WBE) - 4% of payments (roughly \$0.3 million)

Semi-Annual Local Business Contract Equity (LBCE) Program Report without Goals

There were 60 active contracts without LBCE Program goals in this reporting period, of which roughly 36% of payments (\$11 million) went to LBE-certified firms, 3% of payments (approximately \$1 million) went to SLBE-certified firms, 2% of payments (about \$0.5 million) went to VSLBE-certified firms, 4% of payments (\$1.2 million) went to DBE-certified firms, 4% (about \$1.2 million) went to MBE-certified firms, and 1% (about \$0.4 million) went to WBE-certified firms.

Contract Award Report

During the period of January 1, 2011 through June 30, 2011, the Alameda CTC awarded a total of thirty-four (34) contracts, twenty-five (25) for administrative contracts, seven (7) for engineering contracts and two (2) construction contracts for a combined total of \$27.9 million. Of these, \$17.5 million was awarded for administrative and engineering contracts, and of that amount, \$15.8 million (91%) went to Alameda County Businesses, with \$2.1 million (12%) overall going to DBEs. Two construction contracts were awarded for a total of \$10.4 million dollars with 100% State funding.

Background

In 1989, a program for the procurement of professional services was established which set goals of 70% for LBE, 25% for MBE, and 5% for WBE.

In 1995, a program for construction contracts that set overall participation goals of 60% for LBE, 33% for MBE, and 9% for WBE was approved. Those goals were based on a disparity study in addition to extensive public input from both the prime and minority contracting communities. Specific goals are set for each construction contract, based on biddable items and the availability of LBE/MBE/WBE firms.

As a result of the passage of Proposition 209 in 1996, and the United States Department of Transportation's issuance of the final ruling on the Disadvantaged Business Enterprise program in 2000, the MBE/WBE program and goal requirements were suspended. In lieu of the suspended MBE/WBE program, two new programs were adopted: the LBE/SLBE program for contracts funded with local dollars, and the DBE program for contracts funded with federal dollars. In January 2008, a Revised LBE/SLBE Program was adopted and renamed as the Local Business Contract Equity Program.

Revisions to the LBCE Program were aimed at increasing SLBE participation in all areas of the Agency contracting opportunities, particularly in construction contracting. The revised program became effective for eligible Agency-led contracts as of February 2008 and for all eligible Sponsor-led projects awarded after July 2008.

Utilization is determined semi-annually by collecting and analyzing financial data relative to the amounts awarded and paid to LBE, SLBE, VSLBE, DBE, MBE, and WBE prime and subcontractors in three contract categories:

- Administrative Services Contracts – many of the contracts in this group are annually renewed administrative services contracts to assist in the administration of the Measure B Program. These services include, but are not limited to, contract equity program, support, general counsel, federal and state legislative advocacy, auditors, financial advisors, information and computer services, and project and program and support teams.
- Engineering Services Contracts – contracts in this group are primarily engineering services contracts to assist the Agency in the development and delivery of capital projects.
- Construction Contracts – contracts in this group are specific to construction contracts awarded to builders of transportation facilities such as roadway and transit improvements.

Key information monitored and reported includes LBE, SLBE, VSLBE, DBE, MBE, and WBE utilization on all active contracts as of June 30, 2011.

Summary of Results for Current Reporting Period

As shown in Table 1 of this report, the LBE goal of 70% and SLBE goal of 30% were exceeded in the administrative services contract. The engineering contracts exceeded the LBE goal of 70% but the 23% utilization fell short of the SBLE goal of 30%. No construction payments for this period.

TABLE 1 – Measure B-Funded Contracts with LBCE Program Goal Requirements								
LBE/SLBE Contracts: Goals = 60%-70% for LBE; 20%-30% for SLBE								
Contract Type	Number of Contracts	Payments from January 1, 2011 through June 30, 2011						
		Payment Amount	LBE %	SLBE %	VSLBE %	DBE %	MBE %	WBE %
Administrative	18	\$2,048,206.54	85%	64%	37%	14%	14%	10%
Engineering	16	\$4,988,918.05	88%	23%	3%	5%	3%	3%
Construction	0	\$0	0%	0%	0%	0%	0%	0%
All Industries	34	\$7,037,124.59	87%	25%	12%	5%	5%	4%

Table 2 on the following page summarizes participation of LBE and SLBE firms, as well as DBE, MBE and/or WBE firms on contracts that were exempt from the Agency LBCE Program goals. Per policy, the Program is not applied to these contracts, either because they are jointly funded with federal and/or state or otherwise non-local funds, or because they are less than \$50,000 in contract value. Nonetheless, 36% of payments in this contract category went to certified LBE firms, 3% to SLBE firms, 2% to VSLBE firms, 4% to DBE firms, 4% to MBE firms, and 1% went to WBE firms.

TABLE 2 – Measure B-Funded Contracts Exempt from LBCE Program Goal Requirements								
Contract Type	Number of Contracts	Payments from January 1, 2011 through June 30, 2011						
		Payment Amount	LBE %	SLBE %	VSLBE %	DBE %	MBE %	WBE %
Administrative	2	\$20,866.35	0%	0%	0%	0%	0%	0%
Engineering	46	\$3,303,214.23	75%	4%	1%	10%	10%	1%
Construction ^{1,2}	12	\$27,705,360.65	31%	3%	2%	3%	3%	1%
All Industries	60	\$31,029,441.23	36%	3%	2%	4%	4%	1%

¹ Includes construction contracts pending close-out

² Includes construction contracts where Caltrans is the sponsor – Caltrans DBE program applies (currently race-neutral program applies to contracts included in this report)

Table 3 summarizes the overall professional services contract awards during the reporting period. The Alameda CTC awarded a total of Thirty-two (32) contracts, twenty-five (25) for administrative contracts and seven (7) for engineering contracts. There was approximate total of \$17.5 million for administrative and engineering contracts. Of these, \$15.8 million (91%) awarded to Alameda County Businesses and \$2.1 million awarded to DBEs (12%) with a total of ninety-seven (97) firms participating.

TABLE 3 – Professional Services Contracts Awarded - January 1, 2011 through June 30, 2011								
Contract Type	Type of Funding	No. of Contracts	Total Contract Amount	Percent of Contract Amount	Amount Awarded to Alameda County Firms	% LBE Award	Amount Awarded to DBE Firms	% DBE Award
Administrative	Federal	8	\$7,772,169	44%	\$4,947,997	28%	\$1,761,218	10%
	State	0	\$0	0%	\$0	0%	\$0	0%
	Measure B	17	\$2,052,920	12%	\$3,268,850	19%	\$338,152	2%
Engineering	Federal	4	\$3,169,606	18%	\$3,169,606	18%	\$34,325	0%

	State	1	\$1,890,750	11%	\$1,890,750	11%	\$0	0%
	Measure B	2	\$2,580,000	15%	2,580,000	15%	\$0	0%
Total		32	\$17,465,445	100%	\$15,857,203	91%	\$2,133,695	12%

Table 4 on the following page summarizes the construction contract award, the Alameda CTC awarded two (2) construction contracts (100% State funding) for a total of \$10.4 million dollars with a total of eleven (11) firms participating.

Contract Type	Type of Funding	No. of Contracts	Total Contract Amount	Percent of Contract Amount	Amount Awarded to Alameda County Firms	% LBE Award	Amount Award to DBE Firms	% DBE Award
Construction	Federal	0	\$0	0%	\$0	0%	\$0	0%
	State	2	\$10,439,857	100%	\$41,845	0%	\$0	0%
	Measure B	0	\$0	0%	\$0	0%	\$0	0%
Total		2	\$10,439,857	100%	\$41,845	0%	\$0	0%

Reporting Process

Data collection on all active and open contracts began on January 1, 2011, by surveying prime contractors and subcontractors for verification of payment amounts and timing. For the current reporting period, 73 payment verification survey forms were sent to prime contractors and subcontractors. Approximately 64% responded during the allotted time.

Staff utilized the same method of reporting from the last reporting period—July through December 2010—which included an automated summary of processed payments by vendor report and an automated utilization report generated from an in-house database (see Attachment A – Contract Equity Utilization Report).

Regarding billing and timely receipt of payments, approximately 89% of the respondents indicated that they had not experienced any billing-related issues and 96% indicated that they had received timely payments from the project sponsors and/or prime contractors. None of the billing and payment-related issues reported to the Commission required the assistance of the Contract Equity consultant and all issues were resolved prior to the development of this report.

The participation, data, and statistics, which serve as a basis for this report, have been independently reviewed and verified by the firm L. Luster and Associates. As stated in the attached letter from L. Luster and Associates (see Attachment B – Letter of Independent Review of Semi-Annual Contract Equity Utilization Report Data), this report was found to be materially accurate and complete.

of Semi-Annual Contract Equity Utilization Report Data), this report was found to be materially accurate and complete.

Certification Update

During a review of the certification database in May, 2011, L. Luster & Associates discovered that there had been an error some time ago regarding the reporting of the aggregate number of certified firms in the database. Once the error was caught, the LBCE team recounted every firm in the database, as of June 30, 2011, a total of 284 certified firms were verified, of which 99 were LBE firms, 47 SLBE firms and 138 VSLBE firms.

Outreach Activities Update

The Alameda CTC staff participated in various community and business outreach events to encourage the business community to partake in Alameda CTC contracting opportunities and to get certified, as shown below:

Date:	Name of Event	Location	No. of Participants
January 25, 2011	Professional Outreach and Networking Event	MTC Auditorium, Oakland, CA	350+
January 27, 2011	Caltran's CalMentor Event	Caltrans District 4	100+
February 17, 2011	Oakland and East Bay Procurement Fair	Oakland Chamber of Commerce, Marriot Hotel, Oakland	300+
March 2, 2011	Doing Business with the City of Hayward	City of Hayward Offices	100+
April 26, 2011	BOC Contractor Technical Assistance and Workshop	TJPA, 201 Mission Street, San Francisco, CA	30+
April 28, 2011	Breakfast of Champions	Francesco's 8520 Pardee Drive, Oakland	50+
May 2, 2011	City of Hayward Rotary Club	Masonic Hall, 1074 B Street, Hayward, 94544	50+
June 21, 2011	San Leandro Kiwanis Club	Englander Restaurant, 101 Parrott Street, San Leandro CA	30+
June 30, 2011	Alameda County Fair	Pleasanton, CA	1,000+

Fiscal Impacts:

Approval of this Report has no fiscal impact.

Attachments:

Attachment A – Semi-Annual Local Business Contract Equity Utilization Report

Attachment B – Letter of Independent Review of Semi-Annual Local Business Contract Equity Program Utilization Report

Contract Equity Utilization Report

ATTACHMENT A

Reporting Period End Date: 6/30/11

Current Reporting Period Start Date:

1/1/11

End Date:

6/30/11

Project Number	Company	Contract Amount	Total Payment to Date	Payment Current Period	Cumulative To Reporting End Date					Current Reporting Period				
					LBE	SLBE	VSLBE	DBE	MBE	WBE	LBE	SLBE	VSLBE	DBE

Contract Type: Administrative Contracts

Goal Requirements of 70% for LBE and 30% for SLBE

I-02	ACTIA	A06-0011.1 - LanLogic, Inc.	\$256,567.39	\$247,078.32	\$20,304.00	100.00%	100.00%	0.00%	0.00%	0.00%	0.00%	100.00%	100.00%	0.00%	0.00%	0.00%	0.00%
	ACTIA	A08-0018 - PFM ASSET MANAGEMENT, LLC	\$360,000.00	\$278,066.08	\$39,606.52	0.00%	0.00%	0.00%	0.00%	0.00%	0.00%	0.00%	0.00%	0.00%	0.00%	0.00%	0.00%
	ACTIA	A08-0019 - CHANDLER ASSET MANAGEMENT	\$360,000.00	\$306,763.00	\$45,142.00	0.00%	0.00%	0.00%	0.00%	0.00%	0.00%	0.00%	0.00%	0.00%	0.00%	0.00%	0.00%
	ACTIA	A10-0002 - Nelson/Nygaard Consulting Associates	\$335,600.00	\$268,990.71	\$150,248.62	100.00%	100.00%	1.00%	27.83%	27.83%	72.17%	100.00%	30.02%	0.88%	29.14%	29.14%	70.86%
	ACTIA	A10-0003 - Wendel, Rosen, Black & Dean	\$555,000.00	\$417,644.82	\$250,249.33	92.13%	1.96%	1.96%	0.00%	0.00%	0.00%	99.70%	2.91%	2.91%	0.00%	0.00%	0.00%
	ACTIA	A10-0006 - Francis Fruzetti	\$115,000.00	\$108,694.00	\$68,169.14	100.00%	100.00%	0.00%	0.00%	0.00%	0.00%	100.00%	100.00%	0.00%	0.00%	0.00%	0.00%
	ACTIA	A10-0007 - MV TRANSPORTATION INC	\$50,000.00	\$27,675.65	\$18,044.55	100.00%	0.00%	0.00%	0.00%	0.00%	0.00%	100.00%	0.00%	0.00%	0.00%	0.00%	0.00%
	ACTIA	A10-0008 - Moore Iacofano Goltsman, Inc.	\$205,271.00	\$158,584.56	\$76,101.33	78.56%	25.59%	25.59%	47.03%	47.03%	47.03%	74.54%	25.21%	25.21%	50.67%	50.67%	50.67%
	ACTIA	A10-0009 - St. Mini Cab Corporation	\$125,000.00	\$53,910.00	\$32,478.00	100.00%	100.00%	0.00%	0.00%	0.00%	0.00%	100.00%	100.00%	0.00%	0.00%	0.00%	0.00%
	ACTIA	A10-0011 - The Gibbs Law Group, P.C.	\$90,000.00	\$82,500.00	\$45,000.00	100.00%	100.00%	100.00%	100.00%	100.00%	0.00%	100.00%	100.00%	100.00%	100.00%	100.00%	0.00%
	ACTIA	A10-0012 - Patel and Associates	\$50,000.00	\$25,040.75	\$12,349.50	100.00%	100.00%	100.00%	0.00%	0.00%	0.00%	100.00%	100.00%	100.00%	0.00%	0.00%	0.00%
	ACTIA	A10-0013 - Rochelle Wheeler	\$279,100.00	\$140,305.25	\$61,267.25	79.17%	79.17%	79.17%	0.00%	0.00%	91.48%	76.53%	76.53%	76.53%	0.00%	0.00%	86.97%
	ACTIA	A10-0014 - Acumen Building Enterprise, Inc.	\$642,000.00	\$523,986.46	\$313,381.92	100.00%	100.00%	4.02%	0.00%	0.00%	0.00%	100.00%	100.00%	4.90%	0.00%	0.00%	0.00%
	ACTIA	A10-0015 - L. Luster & Associates	\$173,000.00	\$144,537.18	\$84,540.65	100.00%	100.00%	99.58%	0.00%	0.00%	0.00%	100.00%	100.00%	100.00%	0.00%	0.00%	0.00%
	ACTIA	A10-0016 - Anue Management Group, Inc.	\$45,000.00	\$21,000.00	\$3,500.00	100.00%	100.00%	100.00%	100.00%	100.00%	100.00%	100.00%	100.00%	100.00%	100.00%	100.00%	100.00%
	ACTIA	A10-0017 - Bay Area Program Management Group	\$1,665,790.00	\$1,316,472.50	\$780,765.33	83.43%	82.30%	52.70%	19.07%	19.07%	0.00%	80.70%	78.79%	53.75%	19.43%	19.43%	0.00%
	ACTIA	A10-0018 - Lynn M Suter	\$54,723.00	\$48,140.40	\$26,258.40	0.00%	0.00%	0.00%	0.00%	0.00%	0.00%	0.00%	0.00%	0.00%	0.00%	0.00%	0.00%
	ACTIA	A10-0019 - Maze and Associates	\$113,140.00	\$77,270.00	\$20,800.00	0.00%	0.00%	0.00%	0.00%	0.00%	0.00%	0.00%	0.00%	0.00%	0.00%	0.00%	0.00%

Summary for Administrative Contracts with Goal Requirements (18 detail records)

Total			\$4,246,659.68	\$2,048,206.54	\$2,048,206.54	75.88%	59.52%	30.91%	11.87%	11.87%	9.84%	84.52%	64.12%	36.90%	13.79%	13.79%	9.85%
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Exempt of Goal Requirements

I-02	ACTIA	A10-0023 - SIMON & CO INC	\$30,000.00	\$27,414.49	\$17,821.35	0.00%	0.00%	0.00%	0.00%	0.00%	0.00%	0.00%	0.00%	0.00%	0.00%	0.00%	0.00%
	ACTIA	A10-0005 - Bess Test Lab	\$100,000.00	\$3,045.00	\$3,045.00	0.00%	0.00%	0.00%	0.00%	0.00%	0.00%	0.00%	0.00%	0.00%	0.00%	0.00%	0.00%

Summary for Administrative Contracts without Goal Requirements (2 detail records)

Total			\$30,459.49	\$20,866.35	\$20,866.35	0.00%											
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Summary for all Administrative Contracts with and without Goal Requirements (20 detail records)

Total			\$4,277,119.17	\$2,069,072.89	\$2,069,072.89	75.34%	59.10%	30.69%	11.78%	11.78%	9.77%	83.67%	63.48%	36.53%	13.65%	13.65%	9.75%
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Contract Type: Construction Contracts (including Sponsor Lead)

Exempt of Goal Requirements

A06-0020	06	A06-0020 - Union City	\$12,561,000.00	\$8,657,647.26	\$8,657,647.26	3.23%	0.20%	0.00%	0.06%	0.00%	0.00%	0.00%	0.00%	0.00%	0.00%	0.00%	0.00%
	A07-0001	12 A08-0047 - RGW Construction Company	\$14,200,000.00	\$14,081,570.75	\$341,620.23	64.17%	0.00%	0.00%	0.00%	0.00%	0.00%	41.76%	0.00%	0.00%	0.00%	0.00%	0.00%

Summary for Construction Contracts (including Sponsor Lead) without Goal Requirements (2 detail records)

Total			\$22,739,218.01	\$341,620.23	\$341,620.23	40.97%	0.08%	0.00%	0.02%	0.00%	0.00%	41.76%	0.00%	0.00%	0.00%	0.00%	0.00%
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Summary for all Construction Contracts (including Sponsor Lead) with and without Goal Requirements (2 detail records)

Total			\$22,739,218.01	\$341,620.23	\$341,620.23	40.97%	0.08%	0.00%	0.02%	0.00%	0.00%	41.76%	0.00%	0.00%	0.00%	0.00%	0.00%
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Contract Equity Utilization Report

ATTACHMENT A

Reporting Period End Date: 6/30/11

Current Reporting Period Start Date:

1/1/11

End Date:

6/30/11

Project Number	Company	Contract Amount	Total Payment to Date	Payment Current Period	Cumulative To Reporting End Date					Current Reporting Period				
					LBE	SLBE	VSLBE	DBE	MBE	WBE	LBE	SLBE	VSLBE	DBE
Contract Type: Cooperative Agreement with CalTrans														
Exempt of Goal Requirements														
I-01														
21	4-1925 - CALTRANS	\$71,055,382.00	\$69,991,740.05	(\$40,000.00)	12.14%	0.00%	0.00%	0.35%	0.00%	0.35%	0.00%	0.00%	0.00%	0.00%
Summary for Cooperative Agreement with CalTrans without Goal Requirements (1 detail record)														
Total		\$69,991,740.05	(\$40,000.00)	\$69,991,740.05	12.14%	0.00%	0.00%	0.35%	0.00%	0.35%	0.00%	0.00%	0.00%	0.00%
Summary for all Cooperative Agreement with CalTrans with and without Goal Requirements (1 detail record)														
Total		\$69,991,740.05	(\$40,000.00)	\$69,991,740.05	12.14%	0.00%	0.00%	0.35%	0.00%	0.35%	0.00%	0.00%	0.00%	0.00%
Contract Type: Funding Agreement														
Goal Requirements of 60% for LBE and 20% for SLBE														
A06-0022														
13	A06-0022 - Alameda County	\$6,745,286.00	\$533,064.23		97.97%	0.62%	0.00%	0.00%	0.00%	0.00%	0.00%	0.00%	0.00%	0.00%
Summary for Funding Agreement with Goal Requirements (1 detail record)														
Total		\$533,064.23			97.97%	0.62%	0.00%	0.00%	0.00%	0.00%	0.00%	0.00%	0.00%	0.00%
Exempt of Goal Requirements														
A09-0013														
02	01 - BART	\$57,803,367.09	\$33,143,717.95	\$16,084,030.17	11.42%	2.64%	0.18%	12.74%	12.59%	1.35%	4.12%	2.27%	0.02%	5.68%
A08-0003-A														
14A	A08-0003-A - ACCMA	\$1,466,833.88	\$4,100.00		100.00%	100.00%	0.00%	0.00%	0.00%	0.00%				
A09-0012														
19	A09-0012 - San Leandro	\$247,436.00	\$36,876.46		96.96%	0.00%	0.00%	0.00%	0.00%	0.00%				
A05-0051														
22	01 - ACCMA	\$757,323.82	\$382,303.15		97.09%	52.67%	0.00%	0.00%	0.00%	0.00%				
A07-0058														
23	01 - Livermore	\$1,613,000.00	\$894,954.39		91.06%	0.00%	0.00%	0.00%	0.00%	0.00%				
A08-0045														
23	01 - Livermore	\$19,950,000.00	\$1,368,558.58		30.27%	0.00%	0.00%	0.00%	0.00%	0.00%				
A07-0038														
26	01 - ACCMA	\$2,141,477.30	\$611,413.66		98.82%	0.47%	0.00%	0.00%	0.00%	0.00%				
AA10-0011														
27C	AA10-0011 - ACCMA	\$750,000.00	\$750,000.00	\$750,000.00	0.00%	0.00%	0.00%	0.00%	0.00%	0.00%	0.00%	0.00%	0.00%	0.00%
AA09-0002														
MB 238	AA09-0002 - City of Hayward	\$48,752,424.10	\$10,569,710.25	\$10,569,710.25	73.21%	4.46%	4.25%	0.00%	0.00%	0.00%	73.21%	4.46%	4.25%	0.00%
Summary for Funding Agreement without Goal Requirements (9 detail records)														
Total		\$47,761,634.44	\$27,403,740.42	\$27,403,740.42	28.82%	3.26%	1.07%	8.84%	8.74%	0.93%	30.65%	3.05%	1.65%	3.33%
Summary for all Funding Agreement with and without Goal Requirements (10 detail records)														
Total		\$48,294,698.67	\$27,403,740.42	\$27,403,740.42	29.59%	3.23%	1.05%	8.74%	8.64%	0.92%	30.65%	3.05%	1.65%	3.32%
Contract Type: Professional Services/Engineering Contracts														
Goal Requirements of 70% for LBE and 30% for SLBE														
A06-0021														
10	A06-0043 - Kimley-Horn and Associates Inc.	\$1,480,000.00	\$1,465,648.85		97.76%	30.02%	0.00%	11.77%	11.77%	0.00%	97.76%	30.02%	0.00%	0.00%

Contract Equity Utilization Report

ATTACHMENT A

6/30/11

Reporting Period End Date:

Current Reporting Period Start Date:

1/1/11

End Date:

6/30/11

Project Number	Company	Contract Amount	Total Payment to Date	Payment Current Period	Cumulative To Reporting End Date					Current Reporting Period								
					LBE	SLBE	VSLBE	DBE	MBE	WBE	LBE	SLBE	VSLBE	DBE	MBE	WBE		
10	A09-0037 - WMH Corporation	\$400,000.00	\$376,499.54	\$46,881.41	90.07%	90.07%	69.72%	0.00%	0.00%	0.00%	97.27%	97.27%	97.27%	0.00%	0.00%	0.00%		
A07-0001																		
12	A05-0045 - Mark Thomas & Company, Inc.	\$3,831,900.91	\$3,747,412.75	\$51,816.25	83.64%	27.28%	0.32%	14.49%	8.87%	5.62%	96.00%	3.21%	0.00%	0.00%	0.00%	0.00%		
12	A07-0037 - S & C Engineers	\$2,796,971.00	\$2,614,158.73	\$157,769.17	100.00%	91.71%	0.10%	9.18%	9.18%	0.00%	100.00%	98.69%	0.00%	0.00%	0.00%	0.00%		
A07-0062																		
17B	02 - Dowling Associates, Inc.	\$72,475.00	\$67,562.68		100.00%	100.00%	0.00%	0.00%	0.00%	0.00%								
I-01																		
21	2001-05 - PBQD, Inc.	\$13,115,000.00	\$12,951,622.68	\$512.74	94.86%	31.93%	0.00%	33.03%	15.83%	29.66%	100.00%	0.00%	0.00%	0.00%	0.00%	0.00%		
21	A06-0016 - Mendoza & Associates	\$2,008,977.88	\$2,008,977.88	\$8,992.00	99.37%	99.37%	0.00%	100.00%	100.00%	0.00%	100.00%	100.00%	100.00%	100.00%	100.00%	0.00%		
A05-0001																		
24	A05-0004 - URS Corporation Americas	\$11,442,071.19	\$10,931,477.53	\$1,265,993.80	85.60%	33.16%	0.00%	32.81%	24.14%	5.42%	85.16%	14.30%	0.00%	17.20%	9.68%	3.29%		
A07-0053																		
24	A09-0033 - PB Americas, Inc.	\$5,289,118.00	\$45,925.90	\$18,108.76	100.00%	0.00%	0.00%	0.00%	0.00%	0.00%	100.00%	0.00%	0.00%	0.00%	0.00%	0.00%		
28-100																		
28	A10-0026 - HOE, Inc.	\$500,000.00	\$196,097.25	\$121,937.02	97.79%	90.79%	84.80%	0.00%	0.00%	84.80%	96.45%	85.20%	75.55%	0.00%	0.00%	75.55%		
I-02																		
ACTIA	A10-0021 - EISEN/LETUNIC	\$249,250.00	\$94,829.28	\$14,310.28	66.32%	66.32%	42.31%	42.31%	0.00%	42.31%	74.25%	74.25%	50.49%	50.49%	0.00%	50.49%		
ACTIA	ACTA A10-0007 - EMC Research Inc.	\$100,000.00	\$37,919.48	\$37,919.48	49.72%	49.72%	0.00%	0.00%	0.00%	0.00%	49.72%	49.72%	0.00%	0.00%	0.00%	0.00%		
226-100																		
MB 226	AA07-0001 - TY Lin International/CCS	\$5,357,490.00	\$5,357,435.24		99.36%	29.63%	0.00%	20.13%	17.31%	2.82%								
MB 226	AA07-0001-1 - TY Lin International/CCS	\$8,654,950.00	\$6,078,092.78	\$3,143,978.82	93.60%	22.90%	0.00%	0.00%	0.00%	0.00%	88.89%	16.65%	0.00%	0.00%	0.00%	0.00%		
AA08-0001																		
MB 238	02 - Mark Thomas & Company, Inc.	\$7,500,000.00	\$6,816,057.71		90.95%	32.77%	0.00%	15.36%	10.61%	4.75%								
A05-0011																		
MB 240	2 - Kimley-Horn and Associates Inc.	\$3,262,000.00	\$1,207,000.00	\$120,698.32	92.41%	32.94%	1.66%	0.66%	0.00%	0.00%	88.96%	64.29%	11.00%	1.51%	0.00%	0.00%		
Summary for Professional Services/Engineering Contracts with Goal Requirements (16 detail records)					\$53,996,718.28	\$4,988,918.05	92.37%	36.84%	0.93%	24.08%	16.84%	9.86%	88.36%	22.60%	3.17%	4.73%	2.64%	2.83%
Exempt of Goal Requirements																		
A06-0041																		
03	02 - Jacobs Construction Services	\$6,638.00	\$2,576.00		0.00%	0.00%	0.00%	0.00%	0.00%	0.00%	0.00%	0.00%	0.00%	0.00%	0.00%	0.00%	0.00%	0.00%
03	03 - Jim L. Iams	\$20,000.00	\$17,122.00		0.00%	0.00%	0.00%	0.00%	0.00%	0.00%	0.00%	0.00%	0.00%	0.00%	0.00%	0.00%	0.00%	0.00%
03	04 - The Allen Group, LLC	\$56,237.00	\$30,259.00		100.00%	100.00%	0.00%	0.00%	0.00%	0.00%	100.00%	100.00%	0.00%	0.00%	0.00%	0.00%	0.00%	0.00%
03	05 - Lea + Elliott, Inc.	\$2,272,705.00	\$2,163,797.00		4.91%	0.74%	0.00%	0.74%	0.74%	0.00%	4.91%	0.74%	0.00%	0.00%	0.00%	0.00%	0.00%	0.00%
03	06 - Earth Tech	\$504,736.00	\$504,736.00		100.00%	0.00%	0.00%	0.00%	0.00%	0.00%	100.00%	0.00%	0.00%	0.00%	0.00%	0.00%	0.00%	0.00%
03	07 - Owen, Wickersham & Wrickson, P.C.	\$133,655.00	\$122,502.00		0.00%	0.00%	0.00%	0.00%	0.00%	0.00%	0.00%	0.00%	0.00%	0.00%	0.00%	0.00%	0.00%	0.00%
03	08 - PGH Wong Engineering, Inc.	\$68,906.00	\$59,863.00		0.00%	0.00%	0.00%	0.00%	0.00%	0.00%	0.00%	0.00%	0.00%	0.00%	0.00%	0.00%	0.00%	0.00%
03	09 - Cubic Transportation Systems, Inc.	\$468,565.00	\$343,272.00		1.40%	0.00%	0.00%	0.00%	0.00%	0.00%	1.40%	0.00%	0.00%	0.00%	0.00%	0.00%	0.00%	0.00%
03	10 - Freshfields Bruckhaus Derringer, LLP	\$417,016.00	\$274,175.00		0.00%	0.00%	0.00%	0.00%	0.00%	0.00%	0.00%	0.00%	0.00%	0.00%	0.00%	0.00%	0.00%	0.00%
A05-0005																		
07A	01 - Cambridge Systematics	\$5,777,464.00	\$4,674,330.00	\$2,100,000.00	80.76%	7.08%	0.00%	15.89%	13.40%	2.49%	79.95%	4.33%	0.00%	14.99%	14.86%	0.13%	0.00%	0.00%
A05-0049																		
07B	A05-0049 - AC Transit	\$3,010,265.74	\$1,824,375.25		15.25%	0.00%	0.00%	0.00%	0.00%	0.00%	15.25%	0.00%	0.00%	0.00%	0.00%	0.00%	0.00%	0.00%
A08-0044																		

Contract Equity Utilization Report

ATTACHMENT A

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6/30/11

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					LBE	SLBE	VSLBE	DBE	MBE	WBE	LBE	SLBE	VSLBE	DBE	MBE	WBE
08	A08-0044 - ACCMA	\$31,258,500.43	\$2,669,439.15	\$400,297.88	20.70%	1.52%	0.00%	0.00%	0.00%	0.00%	0.00%	21.64%	0.00%	0.00%	0.00%	0.00%
A08-0003-B																
14B	02 - Dowling Associates, Inc.	\$7,800.00	\$7,800.00		100.00%	100.00%	0.00%	0.00%	0.00%	0.00%	0.00%	100.00%	0.00%	0.00%	0.00%	0.00%
14B	03 - TY Lin International/CCS	\$135,000.00	\$185,141.06	\$50,141.06	100.00%	0.00%	0.00%	0.00%	0.00%	0.00%	0.00%	100.00%	0.00%	0.00%	0.00%	0.00%
A05-0007																
25	01 - Parsons Transportation Group	\$617,412.07	\$394,960.62		7.64%	0.00%	0.00%	0.00%	0.00%	0.00%	0.00%					
25	03 - HNTB	\$328,000.76	\$313,029.73		77.30%	0.00%	0.00%	0.00%	0.00%	0.00%	0.00%					
25	04 - Ford Graphics	\$9,000.00	\$1,699.92		0.00%	0.00%	0.00%	0.00%	0.00%	0.00%	0.00%					
25	05 - Hanson, Bridgett, Marcus & Vlahos	\$44,599.75	\$32,552.63		0.00%	0.00%	0.00%	0.00%	0.00%	0.00%	0.00%					
25	06 - Dana Property Analysis	\$20,000.00	\$9,415.02		0.00%	0.00%	0.00%	0.00%	0.00%	0.00%	0.00%					
A06-0046																
25	02 - Carter & Burgess, Inc	\$30,000.00	\$31,436.13	\$4,172.90	100.00%	0.00%	0.00%	0.00%	0.00%	0.00%	0.00%	100.00%	0.00%	0.00%	0.00%	0.00%
25	03 - HNTB	\$2,592,168.00	\$353,426.86	\$96.15	90.30%	0.00%	0.00%	0.00%	0.00%	0.00%	0.00%	0.00%	0.00%	0.00%	0.00%	0.00%
25	04 - PBQD, Inc.	\$1,317,470.74	\$260,145.19	\$15,127.68	100.00%	0.00%	0.00%	0.00%	0.00%	0.00%	0.00%	100.00%	0.00%	0.00%	0.00%	0.00%
25	05 - Hanson, Bridgett, Marcus & Vlahos	\$4,130.98	\$4,644.12	\$3,323.61	0.00%	0.00%	0.00%	0.00%	0.00%	0.00%	0.00%	0.00%	0.00%	0.00%	0.00%	0.00%
25	06 - SYSTRA	\$86.13	\$86.13		0.00%	0.00%	0.00%	0.00%	0.00%	0.00%	0.00%	0.00%	0.00%	0.00%	0.00%	0.00%
25	07 - Rail Surveyors and Engineers, Inc.	\$3,576.00	\$3,576.00		0.00%	0.00%	0.00%	0.00%	0.00%	0.00%	0.00%					
25	09 - Wilbur Smith Associates	\$7,404.58	\$13,099.54	\$13,099.54	0.00%	0.00%	0.00%	0.00%	0.00%	0.00%	0.00%	0.00%	0.00%	0.00%	0.00%	0.00%
25	10 - Design, Community & Environment	\$2,868.48	\$2,868.48	\$2,868.48	100.00%	0.00%	0.00%	0.00%	0.00%	0.00%	0.00%	100.00%	0.00%	0.00%	0.00%	0.00%
A08-0048																
26	02 - Kal Krishnan Consulting Services	\$969,875.00	\$876,506.00		100.00%	3.55%	0.00%	0.00%	0.00%	0.00%	0.00%	100.00%	0.00%	0.00%	0.00%	0.00%
26	03 - Pillsbury Wintrop Shaw Pittman LLP	\$275,000.00	\$259,892.00		0.00%	0.00%	0.00%	0.00%	0.00%	0.00%	0.00%	0.00%	0.00%	0.00%	0.00%	0.00%
26	04 - Wilbur Smith Associates	\$2,300,000.00	\$2,266,090.00		7.12%	5.04%	0.00%	0.00%	0.00%	0.00%	0.00%	0.00%	0.00%	0.00%	0.00%	0.00%
26	06 - Nelson/Nygaard Consulting Associates	\$44,492.00	\$18,848.00		100.00%	0.00%	0.00%	0.00%	0.00%	0.00%	0.00%	100.00%	0.00%	0.00%	0.00%	0.00%
26	07 - Donald J. Dean, MCP Environmental Planning	\$96,390.00	\$94,933.00		0.00%	0.00%	0.00%	0.00%	0.00%	0.00%	0.00%	0.00%	0.00%	0.00%	0.00%	0.00%
A08-0053																
27B	02 - Kimley-Horn and Associates Inc.	\$750,257.79	\$750,257.79	\$196,326.70	100.00%	0.00%	0.00%	0.00%	0.00%	0.00%	0.00%	100.00%	0.00%	0.00%	0.00%	0.00%
27B	04 - Alameda Program Managers	\$80,804.74	\$80,804.74	\$3,057.30	0.00%	0.00%	0.00%	0.00%	0.00%	0.00%	0.00%	0.00%	0.00%	0.00%	0.00%	0.00%
I-02																
ACTIA	A09-0016 - Urban Ecology	\$8,811.28	\$8,811.28		0.00%	0.00%	0.00%	0.00%	0.00%	0.00%	0.00%	0.00%	0.00%	0.00%	0.00%	0.00%
ACTIA	A09-012 - ACCMA	\$201,780.00	\$24,527.87		100.00%	100.00%	100.00%	0.00%	0.00%	0.00%	0.00%	100.00%	0.00%	0.00%	0.00%	0.00%
ACTIA	ACTC A10-0002 - Roberts Consulting Group, Inc.	\$25,000.00	\$25,000.00		0.00%	0.00%	0.00%	0.00%	0.00%	0.00%	0.00%	0.00%	0.00%	0.00%	0.00%	0.00%
ACTIA	ACTC A11-0029 - Roberts Consulting Group, Inc.	\$25,000.00	\$16,666.00	\$16,666.00	0.00%	0.00%	0.00%	0.00%	0.00%	0.00%	0.00%	0.00%	0.00%	0.00%	0.00%	0.00%
ACTIA	L10-0002 - Moore Iacofano Goltsman, Inc.	\$13,700.00	\$13,700.00		100.00%	0.00%	0.00%	0.00%	0.00%	0.00%	0.00%	100.00%	0.00%	0.00%	0.00%	0.00%
ACTIA	L10-0007 - Nelson\Nygaard Consulting Associates	\$8,000.00	\$8,000.00	\$8,000.00	100.00%	0.00%	0.00%	0.00%	0.00%	0.00%	0.00%	100.00%	0.00%	0.00%	0.00%	100.00%
ACTIA	L10-0009 - NoonTime University, Inc.	\$21,600.00	\$10,800.00	\$10,800.00	0.00%	0.00%	0.00%	0.00%	0.00%	0.00%	0.00%	0.00%	0.00%	0.00%	0.00%	0.00%
ACTIA	L10-003 - ACCMA	\$25,000.00	\$22,531.88		0.00%	0.00%	0.00%	0.00%	0.00%	0.00%	0.00%	0.00%	0.00%	0.00%	0.00%	0.00%
I-03																
ACTIA	ACTC A10-0003 - Nelson\Nygaard Consulting Associates	\$616,000.00	\$397,482.83	\$397,482.83	96.64%	6.50%	2.59%	0.00%	0.00%	0.00%	0.00%	96.64%	6.50%	2.59%	0.00%	2.59%
ACTIA	ACTC A10-0004 - Nelson\Nygaard Consulting Associates	\$983,884.00	\$21,445.15	\$21,445.15	82.29%	0.00%	0.00%	0.00%	0.00%	0.00%	0.00%	82.29%	0.00%	0.00%	0.00%	0.00%
225-200																
MB 225	AA07-XXX2 - EverGreen LandCare Inc.	\$6,880.00	\$6,880.00	\$1,880.00	0.00%	0.00%	0.00%	0.00%	0.00%	0.00%	0.00%	0.00%	0.00%	0.00%	0.00%	0.00%
A99-0003																
MB196	A99-0003 - PBQD, Inc.	\$7,999,276.00	\$7,627,432.17	\$58,428.95	85.58%	17.63%	0.00%	11.04%	8.00%	3.03%	0.00%	72.34%	21.57%	21.57%	21.57%	0.00%

Contract Equity Utilization Report

ATTACHMENT A

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					LBE	SLBE	VSLBE	DBE	MBE	WBE	LBE	SLBE	VSLBE	DBE	MBE	WBE									
Summary for Professional Services/Engineering Contracts without Goal Requirements (46 detail records)																									
Total		\$26,830,936.54	\$3,303,214.23	\$3,303,214.23	56.33%	7.33%	0.19%	6.00%	4.67%	1.36%	75.27%	3.91%	0.78%	10.22%	9.83%	0.64%									
Summary for all Professional Services/Engineering Contracts with and without Goal Requirements (62 detail records)																									
Total		\$80,827,654.82	\$8,292,132.28	\$8,292,132.28	80.40%	27.04%	0.69%	18.08%	12.80%	7.04%	83.14%	15.16%	2.22%	6.91%	5.50%	1.95%									
Summary of All Active Contracts with and without Goal Requirements		\$226,130,430.72	\$38,066,565.82	\$38,066,565.82	44.36%	11.48%	1.05%	8.66%	6.64%	3.01%	45.10%	8.95%	3.66%	4.65%	4.33%	1.88%									

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L. Luster & Associates

To: Liz Brazil, Alameda CTC

From: Joan Fisher, L. Luster & Associates 

CC: Dr. Laura Luster, L. Luster & Associates

Date: August 8, 2011

RE: Independent Review of ACTIA Semi-Annual Contract Equity Utilization Report Data for the period January 1, 2011 through June 30, 2011

L. Luster & Associates (LLA) has reviewed ACTIA payment and vendor data for the period January 1, 2011 through June 30, 2011 provided by Alameda CTC staff.

LLA conducted a review of the Commission's database, physical contract/agreement files, and responses to vendor questionnaires providing quality control and data integrity for all vendor payments made within the above referenced period.

LLA met with staff and presented its findings. Staff satisfactorily responded to all issues identified and presented.

Having completed the review process, L. Luster & Associates finds no material defects in the ACTIA Semi-Annual Contract Equity Utilization Report Data for the period January 1, 2011 through June 30, 2011.

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Memorandum

DATE: September 5, 2011
TO: Finance and Administration Committee Members
FROM: Arthur L. Dao, Executive Director
SUBJECT: Joint Regional Agencies Relocation Update

Recommendation

This item is for information only.

Summary

A special meeting of the Joint MTC Commission and the Bay Area Toll Authority (BATA) was called on August 17, 2011, to review the MTC Commission's earlier (July 27, 2011) approval of actions to proceed with transaction for the regional agencies to relocate to 390 Main Street in San Francisco. At the conclusion of the this special meeting, the MTC Commission and BATA voted to rescind their actions on July 27, 2011, which were the authorization for the initiation of financial transaction and the opening of an escrow to purchase the building located at 390 Main Street, San Francisco, and related actions; and, directed that any Commission-directed transaction be deferred to a future MTC/BATA meeting. The MTC Commission/BATA also voted to form an ad-hoc subcommittee comprised to the current MTC Chair, Vice-Chair, and Past Chair, to review the legal and financial issues, and other matters, related to the relocation. This subcommittee will report back to the Joint MTC/BATA within 60 days with recommended future actions.