

Commission Chair Mark Green, Mayor - Union City

Commission Vice Chair Scott Haggerty, Supervisor – District 1

AC Transit Greg Harper, Director

Alameda County

Supervisors Nadia Lockyer – District 2 Wilma Chan – District 3 Nate Miley – District 4 Keith Carson – District 5

BART Thomas Blalock, Director

City of Alameda Rob Bonta, Vice Mayor

City of Albany Farid Javandel, Mayor

City of Berkeley Laurie Capitelli, Councilmember

City of Dublin Tim Sbranti, Mayor

City of Emeryville Ruth Atkin, Councilmember

City of Fremont Suzanne Chan, Vice Mayor

City of Hayward Olden Henson, Councilmember

City of Livermore John Marchand, Mayor

City of Newark Luis Freitas. Vice Mayor

City of Oakland Councilmembers Larry Reid Rebecca Kaplan

City of Piedmont John Chiang, Vice Mayor

City of Pleasanton Jennifer Hosterman, Mayor

City of San Leandro Joyce R. Starosciak, Councilmember

Executive Director Arthur L. Dao

Alameda County Transportation Commission

meeting as a committee of the whole as the

FINANCE AND ADMINISTRATION COMMITTEE

MEETING NOTICE Monday, March 12, 2012, 1:30 P.M.

1333 Broadway, Suite 300, Oakland, California 94612 (see map on last page of agenda)

Chair: Vice Chair:

Members:

Staff Liaisons:

1

4

Executive Director:

John Chiang Rebecca Kaplan

Mark Green Nadia Lockyer Wilma Chan Rob Bonta

Scott Haggerty Tom Blalock Laurie Capitelli

Patricia Reavey Arthur L. Dao Clerk of the Commission: Vanessa Lee

AGENDA

Copies of Individual Agenda Items are Available on the: Alameda CTC Website -- www.AlamedaCTC.org

PUBLIC COMMENT

Members of the public may address the Committee during "Public Comment" on any item not on the agenda. Public comment on an agenda item will be heard when that item is before the Committee. Only matters within the Committee's jurisdictions may be addressed. Anyone wishing to comment should make their desire known by filling out a speaker card and handling it to the Clerk of the Please wait until the Chair calls your name. Commission. Walk to the microphone when called; give your name, and your comments. Please be brief and limit comments to the specific subject under discussion. Please limit your comment to three minutes.

2 CONSENT CALENDAR

2A. Minutes of February 13, 2012– Page 1

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3 **FINANCIAL MATTERS**

Approval of a Proposed Update to the Fiscal Year 2011-3A. 2012 Consolidated Budget for the Alameda CTC - Page 7

ADMINISTRATIVE MATTERS

Approval of the Semi-Annual Contract Equity Utilization Report A 4A. and Contract Award Report for July 1, 2011 through December 31, 2011- Page 27

5	CON	NTRACTS AND AGREEMENTS	
	5A.	Update on the Procurement of the Independent Financial Audit Services Contract and Related Activities – Page 41	Ι
	5B.	Approval of Amendment No. 1 to the Professional Services Agreement with Moffatt & Nichol (A11-0018) for Additional and Deferred Project Management and Delivery Services – Page 45	Α
	5C.	Approval of MIG Contract Amendment– Page 47	Α
6	CON	AMITTEE MEMBER REPORTS (VERBAL)	Ι
7	STA	FF REPORTS (VERBAL)	Ι
8	OTH	IER BUSINESS	

9 ADJOURNMENT/NEXT MEETING: MARCH 12, 2012

Key: A- Action Item; I – Information Item

(#) All items on the agenda are subject to action and/or change by the Committee.

PLEASE DO NOT WEAR SCENTED PRODUCTS SO INDIVIDULAS WITH ENVIRONMENTAL SENSITIVITIES MAY ATTEND

Alameda County Transportation Commission 1333 Broadway, Suites 220 & 300, Oakland, CA 94612 (510) 208-7400 (New Phone Number) (510) 836-2185 Fax (Suite 220) (510) 893-6489 Fax (Suite 300) www.alamedactc.org

Glossary of Acronyms

ABAG	Association of Bay Area Governments
ACCMA	Alameda County Congestion Management Agency
ACE	Altamont Commuter Express
ACTA	Alameda County Transportation Authority (1986 Measure B authority)
ACTAC	Alameda County Technical Advisory Committee
ACTC	Alameda County Transportation Commission
ACTIA	Alameda County Transportation Improvement Authority (2000 Measure B authority)
ADA	Americans with Disabilities Act
BAAQMD	Bay Area Air Quality Management District
BART	Bay Area Rapid Transit District
BRT	Bus Rapid Transit
Caltrans	California Department of Transportation
CEQA	California Environmental Quality Act
CIP	Capital Investment Program
CMAQ	Federal Congestion Mitigation and Air Quality
СМР	Congestion Management Program
СТС	California Transportation Commission
CWTP	Countywide Transportation Plan
EIR	Environmental Impact Report
FHWA	Federal Highway Administration
FTA	Federal Transit Administration
GHG	Greenhouse Gas
НОТ	High occupancy toll
HOV	High occupancy vehicle
ITIP	State Interregional Transportation Improvement Program
LATIP	Local Area Transportation Improvement Program
LAVTA	Livermore-Amador Valley Transportation Authority
LOS	Level of service

MTC	Metropolitan Transportation Commission
MTS	Metropolitan Transportation System
NEPA	National Environmental Policy Act
NOP	Notice of Preparation
PCI	Pavement Condition Index
PSR	Project Study Report
RM 2	Regional Measure 2 (Bridge toll)
RTIP	Regional Transportation Improvement Program
RTP	Regional Transportation Plan (MTC's Transportation 2035)
SAFETEA-I	LU Safe, Accountable, Flexible, Efficient Transportation Equity Act
SCS	Sustainable Community Strategy
SR	State Route
SRS	Safe Routes to Schools
STA	State Transit Assistance
STIP	State Transportation Improvement Program
STP	Federal Surface Transportation Program
TCM	Transportation Control Measures
TCRP	Transportation Congestion Relief Program
TDA	Transportation Development Act
TDM	Travel-Demand Management
ТЕР	Transportation Expenditure Plan
TFCA	Transportation Fund for Clean Air
TIP	Federal Transportation Improvement Program
TLC	Transportation for Livable Communities
ТМР	Traffic Management Plan
TMS	Transportation Management System
TOD	Transit-Oriented Development
TOS	Transportation Operations Systems
TVTC	Tri Valley Transportation Committee
VHD	Vehicle Hours of Delay
VMT	Vehicle miles traveled

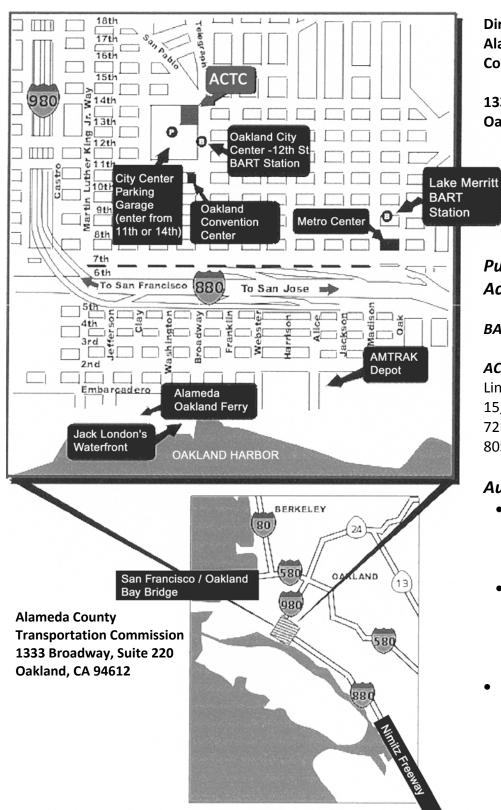


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Directions to the Offices of the Alameda County Transportation Commission:

1333 Broadway, Suite 220 Oakland, CA 94612

Public Transportation Access:

BART: City Center / 12th Street Station

AC Transit:

Lines 1,1R, 11, 12, 13, 14, 15, 18, 40, 51, 63, 72, 72M, 72R, 314, 800, 801, 802, 805, 840

Auto Access:

- Traveling South: Take 11th
 Street exit from I-980 to
 11th Street
- Traveling North: Take 11th Street/Convention Center Exit from I-980 to 11th Street
- Parking: City Center Garage – Underground Parking, (Parking entrances located on 11th or 14th Street)



FINANCE AND ADMINISTRATION COMMITTEE MINUTES OF FEBRUARY 13, 2012 OAKLAND, CA

Chair John Chiang convened the meeting at 1:30 PM.

1 Public Comment

There was no public comment.

2 Consent calendar

2A. Minutes of January 09, 2012

Director Blalock motioned to approve the Consent Calendar. Mayor Green seconded the motion. The Consent Calendar was passed 6-0.

3 Financial Matters

3A. Alameda CTC Consolidated FY2011-12 Second Quarter Financial Report

Patricia Reavey recommended that the Commission accept the Alameda CTC Consolidated FY2011-12 Second Quarter Financial Report. Ms. Reavey informed the Committee that the Alameda CTC is showing a net decrease in the overall fund balance in relation to ACTIA Capital Projects Expenditures. The general funds revenues are less than budget by \$230 thousand and expenditures are under budget by \$982 thousand. The Special Revenue Funds revenues are more than budget by \$1.9 million and expenditures are less than budget by \$3.6 million. Both revenues and expenditures are under budget in the Exchange Fund by \$5.6 million because these funds are only used on an as-needed basis. Capital Projects Funds revenues are less than budget by \$5.3 million and expenditures are less than budget by \$52.2 million. This is partly due to funding availability which has slowed some of the activity in the capital projects funds. The limitation calculations were performed based on actual expenditures, and they show that the Salary and Benefits limitations ratio was .74% and the Administration Cost Limitation ratio was 2.64%. Ms. Reavey mentioned that the second quarter sales tax revenue was currently 5% above budget.

Councilmember Kaplan wanted clarification on the VRF Funds allocations. Mr. Dao informed the Committee that the Master Funding Agreements are with the jurisdictions. Once all those agreements are executed, the funds can be allocated.

Councilmember Kaplan motioned to approve this Item. Supervisor Haggerty seconded the motion. The motion passed 6-0.

3B. Alameda CTC Consolidated FY2011-12 Second Quarter Investment Report

Patricia Reavey recommended that the Commission accept the Alameda CTC Consolidated FY2011-12 Second Quarter Investment Report. Ms. Reavey informed the Committee that total cash and investments were \$294.2 million which is a slight decrease of \$1.5 million from prior year. She stated that ACTA's investment balance decreased \$17.4 million due to capital projects expenditures, ACTIA's investment balance increased \$7.0 million due to ACTA's reimbursement to ACTIA for capital project expenditures, and ACCMA investment balance increased \$8.9 million due to a reimbursement of TCRP funds. She stated that investment yields continue to decline from the prior year and cash flow projections are showing that financing will need to be done by the third quarter. Alameda CTC is in compliance with the investment policies and there is enough cash flow to meet expenditure requirements over the next six months. She concluded by stating that Alameda Counties unemployment rate is still very high compared to historical rates.

Mayor Chiang requested clarification on when staff anticipates cash flow financing and he wanted information on what financing sources staff was considering. Ms. Reavey informed him that financing is anticipated in the third quarter, which is approximately January. She also informed that Committee that the financing sources will depend on several factors including the sales tax measure and whether long and short term financing is needed.

Councilmember Capitelli motioned to approve this Item. Councilmember Kaplan seconded the motion. The motion passed 6-0.

4 Administrative Matters

4A. Termination of ACTIA and ACCMA; Acceptance by Alameda CTC of ACTIA's and ACCMA's Authority, Functions, Roles, Responsibilities, and Assets and Liabilities

Zack Wasserman recommended that the governing bodies of Alameda CTC, ACTIA and ACCMA take action to terminate ACTIA and ACCMA and approve Alameda CTC's accepting of this termination. Mr. Wasserman informed the Committee that approval of the actions will result in the assumption by Alameda CTC of all assets and liabilities of ACTIA and ACCMA and will also allow Alameda CTC to have power of eminent domain.

Councilmember Kaplan questioned if these terminations would affect any existing contracts. Mr. Dao informed the Committee that there was a process in place to send out letters assigning contracts to Alameda CTC and Mr. Wasserman confirmed by stating that a review was done and all contracts only require proper noticing of the termination.

Supervisor Haggerty motioned to approve this Item. Director Blalock seconded the motion. The motion passed 6-0.

4B. Approval of Conflict of Interest Code

Geoffrey Gibbs recommended that the Commission approve the Conflict of Interest Code for the Alameda CTC. Mr. Gibbs stated that every public agency must adopt a Conflict of Interest Code and he concluded by highlighting two additions; one made to Section 7, adding provision of honoraria or making loans to staff and a second addition to Section 12 stating that if Commissioners have questions on the Code, they can contact the FFPC or agency counsel.

Councilmember Kaplan motioned to approve this Item. Supervisor Haggerty seconded the motion. The motion passed 6-0.

6. Committee Member Reports

There were no Committee Reports.

7 Staff Reports

Art Dao informed the Committee that the upcoming March 28, 2012 CTC Meeting reception in Dublin would be sponsored and hosted by Alameda CTC.

8 Other Business

There was no other business.

9 Adjournment

The meeting ended at 2:00 PM. The next FAC meeting is on March 12, 2012 at 1:30 PM.

Attest by:

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Vanessa Lee Clerk of the Commission

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1333 Broadway, Suites 220 & 300

Oakland, CA 94612

PH: (510) 208-7400 www.AlamedaCTC.org

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FINANCE AND ADMINISTRATION COMMITTEE MEETING

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ROSTER OF MEETING ATTENDANCE February 13, 2012 1:30 p.m. 1333 Broadway, Suite 300, Oakland, CA 94612

BOARD MEMBERS	Initials	ALTERNATES	Initials
Chair : John Chiang, – City of Piedmont	8V	Garrett Keating – City of Piedmont	
Vice Chair: Rebecca Kaplan – City of Oakland	KK	Jane Brunner – City of Oakland	
Members:	0.0		
Rob Bonta – City of Alameda	Mass	Beverly Johnson – City of Alameda	
Scott Haggerty – County of Alameda, District 1	Vcote	Bill Harrison – City of Fremont	
Nadia Lockyer – County of Alameda, District 2			MA
Wilma Chan – County of Alameda, District 3	-	Michael Gregory – City of San Leandro	MAK
Tom Blalock - BART	MS-	Robert Franklin - BART	Mar
Laurie Capitelli – City of Berkeley	1A.	Kriss Worthington – City of Berkeley	
Mark Green – City of Union City	(N)	Emily Duncan – City of Union City	
LEGAL COUNSEL			
Zack Wasserman – WRBD			
Neal Parish – WRBD	20		
Geoffrey Gibbs - GLG	6)		
STAFF	-		
Arthur L. Dao – Executive Director		act	
Vanessa Lee – Clerk of the Commission		See	
Patricia Reavey - Director of Finance		Purk	
Victoria Winn – Administrative Assistant		Vb	

Finance and Administration Committee Meeting Roster of Meeting Attendance

STAFF	Initials	STAFF	Initials
Tess Lengyel – Deputy Director of Policy, Public Affairs and Legislation	X	Yvonne Chan – Accounting Manager	
Beth Walukas - Deputy Director of Planning	and	Gladys V. Parmelee – Office Supervisor	
Patricia Reavey – Director of Finance	6	Arun Goel – Project Controls Engineer	
Matt Todd - Manager of Programming	MI	Linda Adams – Executive Assistant	
Steve Haas – Senior Transportation Engineer		Sammy Ng – Senior Accountant	
John Hemiup – Senior Transportation Engineer		Patty Seu - Accountant	
Saravana Suthanthira - Senior Transportation Planner		Jacki Taylor – Programming Analyst	
Diane Stark - Senior Transportation Planner		Laurel Poeton – Assistant Transportation Planner	
Vivek Bhat - Senior Transportation Engineer		Frank R. Furger – Executive Director, I-680 JPA	
Liz Brazil – Contract Compliance & Outreach Analyst		James O'Brien	
		Stefan Garcia	

	NAME	JURISDICTION/ ORGANIZATION	PHONE #	E-MAIL
1.				
2.				
3.	b.			



Memorandum

DATE: February 25, 2012

TO: Finance and Administration Committee

FROM: Arthur L. Dao, Executive Director Patricia Reavey, Director of Finance

SUBJECT: Approval of a Proposed Update to the Fiscal Year 2011-2012 Consolidated Budget for the Alameda CTC

Recommendations

It is recommended that the Commission approve the attached Proposed Update to the Consolidated Budget for FY2011-12.

Summary

The proposed update to the FY2011-12 budget was developed to reflect changes in projects and programs since the original budget was developed, as well as to reflect how actual revenues and expenditures are being realized as the year progresses. Similarly to the originally adopted budget, this update has been segregated by fund type and includes an adjustment column to eliminate interagency revenues and expenditures on a consolidated basis. The fund types are comprised of General Funds, various Special Revenue Funds, the Exchange Fund and Capital Project Funds.

The proposed budget update contains revenues totaling \$179.4 million of which sales tax revenues comprise \$104.0 million, or 58 percent. The proposed budget also includes an update to actual FY2010-11 fund balances rolled forward by fund into FY2011-12 of \$301.8 million for total available resources of \$481.2 million. The total revenue amount proposed is an increase of \$8.6 million over the currently adopted budget. The revenues are offset in the budget update by \$325.8 million in total expenditures of which \$234.9 million, or 72 percent, are allocated for capital project expenditures. The total expenditure amount is an increase of \$44.2 million over the currently adopted budget. These revenue and expenditure totals constitute a net reduction in fund balance of \$146.4 million and a projected consolidated ending fund balance of \$155.4 million. The reduction in fund balance is primarily due to ACTIA's capital program and will be funded through accumulated Measure B sales tax revenues.

The budget update includes revenues and expenditures necessary to implement and produce the following vital programs and planning projects in Alameda County:

- Countywide Transportation Plan (CWTP)
- Congestion Management Program

- Transportation and Land Use Plans
- Travel Model Support
- Safe Routes to School Program
- Bike Mobile Program
- Guaranteed Ride Home Program
- Vehicle Registration Fee Programs
- Transportation For Clean Air Programs
- Pass Through Funding Programs

In addition to the planning projects and programs listed above, the budget also contains revenues and expenditures necessary to fund and deliver significant capital projects that can expand access and improve mobility in Alameda County consistent with the FY2011-12 Strategic Plan – Allocation Plan for the Measure B Capital Projects Program. Some of the key projects included in the proposed budget are as follows:

- I-880 to Route 238 East-West Connector Project (formerly the Route 84 Historic Parkway Project) Fremont and Union City
- Route 238 Mission-Foothill-Jackson Corridor Improvements Project in Hayward
- BART Warm Springs Extension Project
- BART Oakland Airport Connector Project
- I-680 Sunol Express Lane Project
- Route 84 Expressway Project in Livermore
- I-880 North Safety & Operational Improvements Project at 23rd & 29th Avenues in Oakland
- I-580 Eastbound Express Lane Project
- I-80 Integrated Corridor Mobility Project
- I-880 Southbound High Occupancy Vehicle
- Isabel-Route 84/I-580 Interchange

Discussion/ Background

The FY2011-12 Proposed Budget update represents improved transparency and communication and reflects consolidation efficiencies that have been implemented. Some of the efficiencies realized in the budget include the streamlining of staff, which saved over \$1 million in salaries from the FY2010-11 budgets of the two former agencies, and the consolidation of annually renewed contracts which saved almost \$2 million. To address Alameda CTC priorities and needs as they have changed throughout the year, some additional contracts and expenses have been incorporated into this budget update, mostly in support of priority projects and programs.

The development of the FY2011-12 budget and this update were centered on the mission and core functions as defined in the Agency Strategic Business Plan which was endorsed by the Commission. The objective was to develop a budget that would enable the Alameda CTC to plan, fund and deliver transportation programs and projects that expand access and improve mobility in Alameda County. This was accomplished by devoting available resources in the budget to the process of planning in order to identify transportation needs and opportunities to formulate strategies and solutions; by providing the funding necessary to evaluate, prioritize, and fund programs and projects; and by delivering quality programs and projects on schedule and within budget.

Significant Budget Adjustment Detail

General Fund

- **Revenues** have increased \$1.4 million mostly related to funding for planning and other projects such as the Countywide Transportation Plan and Transportation Expenditure Plan, which have realized more of their multiyear contract expenses in FY2011-12 than originally projected; Transportation and Land Use Planning, which requires additional studies to be performed over the next few months; and the Safe Routes to School Program, which is expected to pick up its activity through the end of this fiscal year.
- **Expenditures** have increased \$2.2 million including an increase in:
 - Planning costs of \$0.9 million primarily due to the Countywide Transportation Plan, Transportation Expenditure Plan and new Transportation and Land Use Studies;
 - Programs costs of \$0.6 million mostly related to the Safe Routes to School Program, accounting for the Guaranteed Ride Home Program which was included only in the Transportation For Clean Air (TFCA) fund in the currently adopted budget, and State Transportation Improvement Program (STIP) monitoring costs, which were moved from the CMA TIP fund to the General Fund; and
 - General Administration costs of \$0.7 million which reflects the transfer of some salary and benefits costs and the related reduction in overhead costs recovery from the Capital fund to the General Fund, and the use of savings in the FY2010-11 project management contract in the Capital Fund. Within this fund the summary cost allocation to the ACTA Capital Fund in the currently adopted budget has been reversed and broken out by line item for transparency purposes.

Special Revenue Funds

- **Revenues** have increased \$76 thousand due to an updated projection received from the Bay Area Air Quality Management District (BAAQMD) for TFCA funding.
- **Expenditures** have increased \$2.5 million to reflect updated Vehicle Registration Fee (VRF) and TFCA programming and pass-through projections, as well as an increase to Measure B grant awards not reflected in the currently adopted budget due to fund balance restrictions.

Exchange Fund

- **Revenues** have decreased \$1.9 million related to updated projections of actual receipts for the fiscal year.
- **Expenditures** have decreased \$2.0 million due to the reclass of STIP monitoring costs to the general fund and updated projections of actual costs for the fiscal year.

Capital Projects Funds

ACCMA

- **I-580 Landscape** increased \$50 thousand due to preparation for the construction phase which is expected earlier than previously scheduled.
- **I-680 High Occupancy Toll Lane** increased \$3.8 million for additional improvements and to account for mitigation and landscaping contracts.
- Smart Corridors Operations and Management (945.0) decreased \$50 thousand which reflects a transfer of funding needs between phases of the overall project to 945.1, a separate budget for the East County Corridor.

- **Caldecott Tunnel** increased \$0.5 million to accommodate an approved arrangement with Contra Costa County Transportation Authority for contributions towards improvements in Alameda County related to the Caldecott tunnel.
- Center to Center Programs Communications Hub for the Tri-Valley SMART Corridor increased \$237 thousand to cover project close out costs.
- **I-880 North Safety & Operations Improvements 23rd & 29th** increased \$1.4 million to reflect the acceleration of the project development and right of way phases to meet funding and project delivery deadlines.
- **I-580 Eastbound High Occupancy Vehicle Lane** decreased \$140 thousand to reflect funding plan limitations.
- **I-580 Eastbound Express (HOT) Lane** increased \$0.6 million to reflect acceleration of the project design schedule.
- **I-580 Eastbound Auxiliary Lane** increased \$1.6 million to reflect acceleration of project development and right of way phases to meet funding deadlines.
- Altamont Commuter Express increased \$2.2 million to reflect the funding commitment as requested by project sponsor.
- **I-880 South Bound High Occupancy Vehicle Lane** increased \$2.4 million to reflect the acceleration of project development and right of way phases to meet funding deadlines.
- Webster Street Smart Corridor increased \$0.8 million to prepare for the construction phase of the project.
- **I-80 Integrated Corridor Mobility** decreased \$44 thousand to reflect funding limitations.

ACTIA

- Union City Intermodal Station Project increased \$3.9 million to reflect costs incurred in FY2010-11, but submitted in this fiscal year for reimbursement.
- **Telegraph Avenue Bus Rapid Transit** increased \$1.1 million which reflects a transfer of funding needs between phases of the overall project from San Pablo Avenue Corridor Transit and Telegraph Avenue Rapid Bus Service.
- I-580 Castro Valley Interchange increased \$1.8 million to account for the contribution from ACTA's I-580 Interchange Improvement Project not reflected in the currently adopted budget.
- I-580 Auxiliary Lanes Westbound Fallon to Tassajara increased \$10 thousand to cover project closeout costs.
- I-580 Auxiliary Lanes Eastbound El Charro to Airway decreased \$2.5 million to reflect a previously approved fund exchange.
- Westgate Extension increased \$1.6 million to reflect additional work needed to integrate with improvements at the I-880/Davis Street interchange.
- East 14th/Hesperian/150th Improvements increased \$480 thousand which reflects a revision to the funding plan requiring Measure B funding in the current phase.
- **I-238 Widening** increased \$6.0 which reflects a previously approved fund exchange.
- Isabel Route 84/I-580 Interchange increased \$12.1 million to reflect sponsor's revision to the funding plan requiring Measure B funds in this fiscal year.
- **Route 84 Expressway** increased \$4.1 million due to right of way and mitigation costs incurred in this fiscal year.
- **Dumbarton Corridor** increased \$150 thousand for a right of way study.
- **Congestion Relief Emergency Fund Unallocated** decreased \$1.0 million which reflects a transfer of funding to the I-880 23rd-29th Project.
- **Congestion Relief Emergency Fund I-80 Integrated Corridor Mobility** increased \$0.5 million to reflect a funding obligation to ACCMA's I-80 Integrated Corridor Mobility Project.

• **Countywide Transportation Plan/Transportation Expenditure Plan Development** increased \$50 thousand to reflect approved funding for the CWTP effort.

ACTA

• Route 238 Mission, Foothill, Jackson Corridor Improvement Project increased \$5.0 million to reflect sponsor's revision to the funding plan requiring Measure B funds in this fiscal year.

Fiscal Impacts

The Proposed Update to the FY2011-12 Consolidated Budget would provide additional resources of \$8.6 million and authorize additional expenditures of \$44.2 million, reflecting an overall decrease in fund balance of \$35.7 million for a projected ending fund balance of \$155.4 million.

Attachments

Attachment A:	Alameda CTC FY2011-12 Proposed Consolidated Mid-Year Budget Update
Attachment B:	Alameda CTC FY2011-12 Currently Adopted Budget
Attachment C:	Alameda CTC FY2011-12 Consolidated Mid-Year Budget Adjustments
Attachment D:	ACCMA FY2011-12 Proposed Capital Projects Budget
Attachment E:	ACTIA FY2011-12 Proposed Capital Projects Budget
Attachment F:	ACTA FY2011-12 Proposed Capital Projects Budget
Attachment G:	ACTIA FY2011-12 Proposed Budget Limitations Calculations

Alameda County Transportation Commission Fiscal Year 2011-2012 Proposed Consolidated Mid-Year Budget Update

Attachment A

	General Funds Proposed	Special Revenue Proposed	Exchange Fund Proposed	Capital Project Funds Proposed	Inter-Agency Adjustments/ Eliminations Proposed	Total Proposed
Projected Beginning Fund Balance	\$ 16,253,970 \$	5 14,770,916	\$ 4,632,718	\$ 266,137,321	\$-	\$ 301,794,925
Revenues:						
Sales Tax Revenues	4,680,000	59,492,630	-	39,827,370	-	104,000,000
Investment Income	3,700	2,750	-	2,400,000	-	2,406,450
Member Agency Fees	1,315,867	-	-	-	-	1,315,867
TFCA Funds	165,500	1,908,652	-	1,020,000	(1,185,500)	1,908,652
VRF Funds	-	10,729,500	108,108	871,000	(979,108)	10,729,500
Exchange Program Funds	-	-	9,212,597	4,189,761	(4,189,761)	9,212,597
Approved Contract Budget Savings fm FY10/11	-	-	-	250,000	(250,000)	-
Grants						
MTC Planning T&LU Funds Rolled from FY2010-2011	74,201	-	-	-	-	74,201
MTC Planning Funds	1,236,000	-	-	-	-	1,236,000
PPM Funds Rolled from FY2010-2011	870,002	-	-	172,905	-	1,042,907
PPM Funds FY2011-2012	1,257,087	-	-	-	-	1,257,087
ACTIA Measure B	637,712	-	-	10,373,037	(11,010,749)	-
ACTA Measure B	-	-	-	1,500,000	(1,500,000)	-
CMAQ Funding	1,551,519	-	-	1,277,191	-	2,828,710
Other Project Grants	112,000		-	43,237,498	-	43,349,498
Total Revenues	11,903,588	72,133,532	9,320,705	105,118,762	(19,115,119)	179,361,468
Expenditures:						
Administration						
Salaries and Benefits	3,179,560	431,914	73,006	1.574.132	(889,312)	4,369,300
Office Expenses and Supplies	61,250	-	-	8,750	-	70,000
General Administration	2,763,153	16,772	-	582,509	-	3,362,433
Commission Meeting Per Diems	172,865	-	-	24,695	-	197,560
Project Management Services	250,000	-	-	1,365,504	-	1,615,504
Contingency	200,000	-	-	-	-	200,000
Planning	100 570					100 570
MTC Planning	189,579	450.000	-	-	-	189,579
County Wide Transportation Plan (CWTP)	1,296,318 86,162	150,000	-	-	-	1,446,318
CWTP Measure B Grant to CMA	00,102	- 104,926	-	-	(86,162)	- 104,926
Countywide Bicycle and Pedestrian Plan Congestion Management Program	213,045	104,920	-	-	-	213,045
Transportation and Land Use	397,018		-	-	-	397,018
Transportation Planning	21,480					21,480
Travel Model Support	182,767	23,000			(23,000)	182,767
Bike to Work Day Assessment	21,000	20,000	-	-	(20,000)	21,000
Programs	21,000	20,000			(20,000)	21,000
Programs Management	583,905	744,672	-	-	-	1,328,577
Guaranteed Ride Home Program	131,000	-	-	-	-	131,000
Monitoring of Fed, State & Other Grants	12,500	-	-	-	-	12,500
STIP Monitoring	150,000	-	-	-	-	150,000
Safe Routes to School	1,427,500	-	-	-	-	1,427,500
Bike Mobile Program	280,000	-	-	-	-	280,000
VRF Programming and Other Costs	-	8,151,733	-	-	(1,087,216)	7,064,517
Programming of Funds	-	3,765,040	9,158,449	-	(5,267,153)	7,656,336
Transportation Programming	38,700	-	-	-	-	38,700
Pass Through	-	55,944,169	-	-	-	55,944,169
Grant Awards	-	4,945,543	-	-	(508,550)	4,436,993
Capital Projects Capital Project Expenditures	-	-	-	247,051,186	(12,123,037)	234,928,149
Indirect Cost Recovery/Allocation	-	-	-	277,001,100	(12,120,007)	207,320,143
Indirect Cost Recovery from Capital, Spec Rev & Exch Funds	(889,312)	-	-	-	889,312	-
Total Expenditures	10,768,489	74,297,769	9,231,455	250,606,775	(19,115,118)	325,789,370
Net Change in Fund Balance	1,135,098	(2,164,237)	89,250	(145,488,013)	(0)	(146,427,902)
Projected Ending Fund Balance	\$ 17,389,068 \$	12,606,679	\$ 4,721,968	\$ 120,649,308	\$ (0)	\$ 155,367,023

Alameda County Transportation Commission Fiscal Year 2011-2012 Currently Adopted Budget

Attachment B

	General	Special	Exchange	Capital Project	Inter-Agency Adjustments/	
Projected Beginning Fund Balance	Funds 15,305,385	Revenue Funds \$ 9,139,713	Fund \$ 4,635,115	Funds \$ 197,068,648	Eliminations \$ - \$	Total 226,148,861
	15,505,565	φ 9,139,713	φ 4,035,115	\$ 197,000,040	φ - φ	220,140,001
Revenues:						
Sales Tax Revenues	4,680,000	59,492,630	-	39,827,370	-	104,000,000
Investment Income	3,700	2,750	-	2,332,000	-	2,338,450
Member Agency Fees	1,315,867	-	-	-	-	1,315,867
TFCA Funds	-	1,832,361	-	216,000	(278,136)	1,770,225
VRF Funds	-	10,729,500	108,108	584,998	(814,863)	10,607,743
Exchange Program Funds	-	-	11,114,898	3,581,400	(3,623,923)	11,072,375
Measure B Interagency Funds	80,203	-	-	-	(80,203)	-
<u>Grants</u>						
MTC Planning T&LU Funds Rolled from FY2010-2011	370,800	-	-	-	-	370,800
MTC Planning Funds	1,236,000	-	-	-	-	1,236,000
PPM Funds Rolled from FY2010-2011	544,995	-	-	272,905	-	817,900
PPM Funds FY2011-2012	675,137	-	-	-	-	675,137
ACTIA Measure B	356,734	-	-	6,243,508	(6,600,242)	-
CMAQ Funding	1,257,778	-	-	-	-	1,257,778
Other Project Grants	-	-	-	36,343,086	(1,011,949)	35,331,137
Total Revenues	10,521,214	72,057,241	11,223,006	89,401,267	(12,409,316)	170,793,412
Expenditures:						
Administration						
Salaries and Benefits	3,133,077	317,573	73,006	2,084,008	(1,238,365)	4,369,300
Office Expenses and Supplies	54,870	-		2,001,000	(1,200,000)	54,870
General Administration	3,098,948	11,772	-	10,000	(80,203)	3,040,517
Commission Meeting Per Diems	197,560		-	-	(00,200)	197,560
Contingency	200,000	-	-	-	-	200,000
Planning	,					
County Wide Transportation Plan (CWTP)	845,500	150,000	-	-	-	995,500
CWTP Measure B Grant to CMA	150,000	-	-	-	(150,000)	· -
Congestion Management Program	366,000	-	-	-	-	366,000
Transportation and Land Use	35,000	-	-	-	-	35,000
Transportation Planning	75,555	-	-	-	-	75,555
Programs						
Programs Management	725,938	1,154,672	-	-	-	1,880,610
Monitoring of Fed, State & Other Grants	12,500	-	-	-	-	12,500
STIP Monitoring	-	-	150,000	-	-	150,000
Safe Routes to School	1,050,000	-	-	-	-	1,050,000
Bike Mobile Program	250,000	-	-	-	-	250,000
VRF Programming and Other Costs	-	7,312,508	-	-	(108,108)	7,204,400
Programming of Funds	-	3,319,670	11,000,000	-	-	14,319,670
Transportation Programming	19,350	-	-	-	-	19,350
Pass Through	-	55,944,169	-	-	-	55,944,169
Grant Awards	-	3,548,461	-	-	(206,734)	3,341,726
Capital Projects					<i></i>	
Capital Project Expenditures	-	-	-	199,770,558	(10,625,906)	189,144,652
Indirect Cost Recovery/Allocation	(1.100.5)					(1.100 = 5.5)
Indirect Cost Recovery from Capital, Spec Rev & Exch Funds	(1,100,566)	-	-	-	-	(1,100,566)
ACTA Allocation	(506,497)	-	-	506,497	-	-
Total Expenditures	8,607,236	71,758,825	11,223,006	202,371,063	(12,409,316)	281,550,814
Net Change in Fund Balance	1,913,978	298,416	-	(112,969,796)	-	(110,757,402)
Projected Ending Fund Balance	17,219,363	\$ 9,438,129	\$ 4,635,115	84,098,852	\$-\$	115,391,459

Alameda County Transportation Commission Fiscal Year 2011-2012 Consolidated Mid-Year Budget Adjustments

Attachment C

Projected Beginning Fund Balance	General Funds Adjustment 5 948,585	Special Revenue Adjustment \$ 5,631,203	Exchange Fund Adjustment \$ (2,397)	Capital Project Funds Adjustment \$ 69,068,673	Inter-Agency Adjustments/ Eliminations Adjustment	Total Adjustment \$ 75,646,064
Revenues:						
Investment Income	-	-	-	68,000	-	68,000
TFCA Funds	165,500	76,291	-	804,000	(907,364)	138,427
VRF Funds	-		-	286,002	(164,245)	121,757
Exchange Program Funds	-	-	(1,902,301)	608,361	(565,838)	(1,859,778)
Approved Contract Budget Savings fm FY10/11	-	-	-	250,000	(250,000)	-
Measure B Interagency Funds	(80,203)	-	-	-	80,203	-
Grants						
MTC Planning T&LU Funds Rolled from FY2010-2011	(296,599)	-	-	-	-	(296,599)
PPM Funds Rolled from FY2010-2011	325,007	-	-	(100,000)	-	225,007
PPM Funds FY2011-2012	581,950	-	-	-	-	581,950
ACTIA Measure B	280,978	-	-	4,129,529	(4,410,507)	-
ACTA Measure B	-	-	-	1,500,000	(1,500,000)	-
CMAQ Funding	293,741	-	-	1,277,191	-	1,570,932
Other Project Grants	112,000	-	-	6,894,412	1,011,949	8,018,361
Total Revenues	1,382,374	76,291	(1,902,301)	15,717,495	(6,705,802)	8,568,056
Expenditures:						
Administration						
Salaries and Benefits	46,483	114,340	-	(509,876)	349,053	(0)
Office Expenses and Supplies	6,380	-	-	8,750	-	15,130
General Administration	(335,796)	5,000	-	572,509	80,203	321,916
Commission Meeting Per Diems	(24,695)	-	-	24,695		-
Project Management Services	250,000	-	-	1,365,504	-	1,615,504
Planning	,			,,		,,
MTC Planning	189,579	-	-	-	-	189,579
County Wide Transportation Plan (CWTP)	450,818	-	-	-	-	450,818
CWTP Measure B Grant to CMA	(63,838)	-	-	-	63,838	-
Countywide Bicycle and Pedestrian Plan	-	104,926	-	-	-	104,926
Congestion Management Program	(152,955)	-	-	-	-	(152,955)
Transportation and Land Use	362,018	-	-	-	-	362,018
Transportation Planning	(54,075)	-	-	-	-	(54,075)
Travel Model Support	182,767	23,000	-	-	(23,000)	182,767
Bike to Work Day Assessment	21,000	20,000	-	-	(20,000)	21,000
Programs						
Programs Management	(142,033)	(410,000)) -	-	-	(552,033)
Guaranteed Ride Home Program	131,000	-	-	-	-	131,000
STIP Monitoring	150,000	-	(150,000)	-	-	-
Safe Routes to School	377,500	-	-	-	-	377,500
Bike Mobile Program	30,000	-	-	-	-	30,000
VRF Programming and Other Costs	-	839,225	-	-	(979,108)	(139,883)
Programming of Funds	-	445,370	(1,841,551)	-	(5,267,153)	(6,663,334)
Transportation Programming Grant Awards	19,350	- 1,397,082	-	-	- (301,816)	19,350 1,095,267
Capital Projects	-	1,397,002	-	-	(301,010)	1,095,267
				47,280,628	(1 407 121)	15 792 107
Capital Project Expenditures Indirect Cost Recovery/Allocation	-	-	-	41,200,028	(1,497,131)	45,783,497
Indirect Cost Recovery from Capital, Spec Rev & Exch Funds	211,254	_	_	_	889,312	1,100,566
ACTA Allocation	506,497	-	-	(506,497)		1,100,000
Total Expenditures	2,161,254	2,538,943	(1,991,551)	48,235,712	(6,705,802)	44,238,556
Net Change in Fund Balance	(778,880)	(2,462,653)	,	(32,518,217)	(0,703,002)	(35,670,500)
Projected Ending Fund Balance \$,		36,550,456		
	. 105,105	÷ 0,100,001	÷ 00,000	00,000,400	÷ (0)	÷ 00,010,004

Alameda County Congestion Management Agency Fiscal Year 2011-2012 Proposed Capital Project Budget

478,000 75,000 1,133,083 448,105 2,652,000 392,000 1,090,000 340,000 242,431 6,850,619 Total Federal Funding Sources ഗ 350,000 1,964,000 319,726 762,286 368,000 172,905 1,584 236,905 6,259,648 702.952 14,267,898 Total State Funding Sources Funding Sources 27 ю Funding Sources 2,759,446 723 ,117,000 255,000 200,000 518,878 920,000 100.000 764,072 2,244,327 **Total Regional** 8.878 ю 50,000 2,495,000 3,040,000 134,000 1,292,609 20,304,548 881,000 50,000 684,000 1,892,113 1,478,416 356,000 500,000 317,292 ,297,000 251,508 1,121,242 4,464,368 Total Local Funding Sources Э ക 400,000 5,592,083 3,040,000 5,226,654 1,628,000 356,000 612,000 172,905 881,000 50,000 500,000 236,905 9,784,491 5,249,000 1,774,000 2,211,839 15,660,507 60,736,842 **Capital Project** 764,072 647,000 ,572,000 770,386 3,608,000 Proposed Budget ю (49,236) 50,000 500,000 236,905 1,434,491 (140,000) **Capital Project** 50,000 3,847,083 (43.791) 647,000 ,572,000 2,211,839 794,000 2,384,654 13,494,945 Adjustments Budget ю ക 350,000 1,745,000 3,040,000 612,000 172,905 930,236 Adopted Capital **Project Budget** 8,350,000 5,389,000 764,072 770,386 3,608,000 ,774,000 2.842.000 834,000 356.000 15,704,298 47,241,897 . Currently ഗ ф 710.4 & 710.5 740.2 770.0 791.0-6 945.1 917.0 715.0 717.0 720.0 720.3 720.4 720.5 720.5 724.1 724.1 724.1 724.1 764.0 721.0 765.0 735.0 945.0 730.0 Center to Center Prog Comm Hub Tri-Valley SMART Corr I-580 Eastbound HOV Lane
I-580 Enviromental Mitigation
I-580 Eastbound Express (HOT) Lane
I-580 Eastbound Auxiliary (AUX) Lane Project
I-580 Right of Way Preservation Smart Corridors Operation and Management Smart Corridors Operation and Management I-880 North Safety & Op Improv 23rd&29th I-80 Gilman Interchange Improvements I-580 PSR at 106th Eastbound Off-Ramp I-680 Northbound HOV / Express Lane -680/880 Cross Connector PSR -80 Integrated Corridor Mobility Nebster Street Smart Corridor I-880 Southbound HOV Lane Altamont Commuter Express -580 Westbound HOV Lane I-580 Westbound HOT Lane Caldecott Tunnel -580 Landscape -680 HOT Lane Project

Alameda County Transportation Improvement Authority Fiscal Year 2011-2012 Proposed Capital Project Budget

							Fundir	Funding Sources		
		Currently Adopted	ntly ted	Capital Project	Proposed					
Project	#	Capital Pro Budget	pital Project Budget	Budget Adjustments	Capital Project Budget	Measure B	Regional RM2	State STIP RIP	Federal Demo	Federal STP
ACE Capital Improvements	601.0	\$ 2,0	2,000,000	۰ ب	\$ 2,000,000	\$ 2,000,000	ب	ب	ج	ج
BART Warm Springs Extension	602.0	32,1	32,166,000		32,166,000	32,166,000	ı	ı	1	1
BART Oakland Airport Connector	603.0	28,0	28,000,000	·	28,000,000	28,000,000	•		·	·
Downtown Oakland Streetscape	604.0	3,7	3,782,700		3,782,700	3,782,700	I	·	ı	ı
Union City Intermodal Station	606.0		. 1	3,903,353	3,903,353	3,903,353	ī	ı	ı	ı
Telegraph Avenue Bus Rapid Transit	607.1	1,0	1,000,000	1,083,346	2,083,346	2,083,346	•	•		
San Pablo Avenue Corridor Transit	607.2	ŝ	522,910	(522,910)			·	•		
Telegraph Avenue Rapid Bus Service	607.3	ŝ	560,436	(560,436)			·	•		
I-680 Express Lane	608.0	5,4	5,475,815		5,475,815	5,475,815	•	•		
Ironhorse Trail	609.0							ı	ı	ı
I-880/Broadway-Jackson I/C	610.0	2	520,000		520,000	520,000	·	'	ı	·
I-580 Castro Valley I/C	612.0		•	1,754,550	1,754,550	1,500,000	•	182,612	34,195	37,743
Lewelling/East Lewelling	613.0	4,0	4,043,286	•	4,043,286	4,043,286	ı	1		
I-580 Auxiliary Lanes - W/B Fallon to Tassajara	614.1			10,000	10,000	10,000	•			·
I-580 Auxiliary Lanes - W/B Airway to Fallon	614.2	1,7	1,702,556		1,702,556	1,702,556		,	·	,
I-580 Auxiliary Lanes - E/B El Charro to Airway	614.3	2,4	2,468,535	(2,468,535)			ı	,	ı	ı
Rte 92/Clawiter-Whitesell I/C	615.0	2,6	2,689,503		2,689,503	2,689,503	ı	ı	ı	ı
Hesperian/Lewelling Widening	617.1	2	599,622		599,622	599,622	ı	,	ı	ı
Westgate Extension	618.1	2,0	2,050,000	1,600,000	3,650,000	3,650,000	ı	ı	ı	ı
E. 14th/Hesperian/150th Improvements	619.0			480,000	480,000	480,000	ı	ı	ı	ı
I-238 Widening	621.0			6,000,000	6,000,000	6,000,000	ı	ı	ı	ı
I-680/I-880 Cross Connector Study	622.0	4	492,517		492,517	492,517	ı	ı	ı	ı
Isabel - Route 84/I-580 I/C	623.0	2,8	2,863,816	12,136,184	15,000,000	15,000,000	I	ı	ı	ı
Route 84 Expressway	624.0	9,2	9,280,629	4,054,066	13,334,695	13,334,695	ı	'	·	ı
Dumbarton Corridor	625.0	4	400,000	150,000	550,000	400,000	150,000		·	·
I-580 Corridor/BART to Livermore	626.0	1,7	,777,323		1,777,323	1,777,323	ı		·	ı
Congestion Relief Emergency Fund - Unallocated	627.0	1,0	1,000,000	(1,000,000)			ı	ı	ı	ı
Congestion Relief Emergency Fund - I-80 ICM	627.2	2	725,521	529,689	1,255,210	1,255,210	I	ı	ı	ı
I-880 23rd - 29th	627.3		ı	1,000,000	1,000,000	1,000,000	I	I	ı	ı
CWTP/TEP Development	627.4			50,000	50,000	50,000	ı	•	·	·
		\$ 104,1	104,121,169	\$ 28,199,307	\$ 132,320,476	\$ 131,915,926	\$ 150,000	\$ 182,612 \$ 34,195 \$ 37,743	\$ 34,195	\$ 37,743

Alameda County Transportation Authority Fiscal Year 2011-2012 Proposed Capital Project Budget

Project	#	Currently Adopted Capital Project Budget	Capital Project al Budget t Adjustments	Proposed Capital Project Budget	Funding Source Measure B
I-880 to Mission Blvd. "Rte. 262" Interchange Reconstruction I-880 to Mission Blvd. & E/W Connector Route 238/Mission-Foothill-Jackson Corridor Improvement I-580 Interchange Improvement Project in Castro Valley Central Alameda County Freeway System Operational Analysis Castro Valley Local Area Traffic Circulation Improvement Project Closeout BART Warms Springs Extension	501.0 505.0 506.0 508.0 509.0 WSX	\$ 735,081 25,000,000 20,000,000 1,500,000 1,800,000 1,800,000 100,000 2 50,135,081 	31 \$ 00 5,000,000 00 5,000,000 00	<pre>\$ 735,081 25,000,000 25,000,000 1,500,000 1,000,000 1,800,000 1,000,000 1,000,000 1,000,000 2,135,081</pre>	<pre>\$ 735,081 25,000,000 25,000,000 1,500,000 1,000,000 1,800,000 100,000 - \$ 55,135,081 - </pre>

Alameda County Transportation Improvement Authority Fiscal Year 2011-2012 Proposed Budget Limitations Calculations

Net Sales Tax Investments & Other Income	\$ 104,000,000 A 761,000 B
Funds Generated	\$ 104,761,000 C
Salaries & Benefits Other Admin Costs Total Admin Costs	\$ 908,155 D 3,128,086 E \$ 4,036,241 F
Gross Sal & Ben to Net Sales Tax	0.8732% = D/A
Gross Sal & Ben to Funds Generated	0.8669% = D/C
Total Admin Costs to Net Sales Tax	3.8810% = F/A



Memorandum

SUBJECT:	Approval of the Semi-Annual Contract Equity Utilization Report and Contract Award Report for July 1, 2011 through December 31, 2011
FROM:	Tess Lengyel, Deputy Director of Policy, Public Affairs and Legislation
TO:	Finance and Administration Committee
DATE:	February 24, 2012

Recommendation

It is recommended that the Commission approve the attached Semi-Annual Local Business Contract Equity (LBCE) Program Utilization Report and Contract Award Report, for the period of July 1, 2011 to December 31, 2011. The contracts and contract payment data, which serve as a basis for this LBCE report, have been reviewed and accepted by the Commission's contract equity consultant, L. Luster and Associates.

Summary

On a semi-annual basis, Alameda CTC staff prepares the LBCE Utilization Report to provide the status and progress on the utilization of:

- 1. Local Business Enterprise (LBE)/Small Local Business Enterprise (SLBE) on active Measure B funded contracts awarded by the Commission and Sponsoring agencies; and
- 2. Minority-Owned Business Enterprise (MBE)/Woman-Owned Business Enterprise (WBE) participation on active contracts awarded by the Commission and sponsoring agencies that were exempted from the application of the Agency's LBCE Program and goals. Those Measure B funded contracts exempted from the LBCE Program and goals were those that had additional Federal and/or State funds, non-local funds, or with less than \$50,000 in contract value.

Semi-Annual Local Business Contract Equity (LBCE) Program Report with Goals

In the current reporting period there were a total of 36 active contracts with LBCE Program goals. Of these contracts, roughly 73% of payments (\$2.8 million) went to administrative and engineering firms certified as LBE, and 26% of payments (\$1.0 million) went to administrative and engineering firms certified as SLBE. The LBE goal of 70% was exceeded but the SLBE goal of 30% was not met in this reporting period; however in cumulative payments, the goal was exceeded. For construction contracts, roughly 46% of the payments went to LBE and 1% of the payments went to SLBE. These contracts were awarded in October 2009 and July 2011 with Good Faith Efforts (GFE). This information is shown on Tables 1 and 2.

Additional information collected for contracts with LBCE Program goals include:

- Very Small Local Business Enterprise (VSLBE) 12% of payments (almost \$0.4million)
- Disadvantaged Business Enterprise (DBE) 7% of payments (\$0.3million)
- Minority-Owned Business Enterprise (MBE) 5% of payments (\$0.2million)
- Woman-Owned Business Enterprise (WBE) 6% of payments (roughly \$0.2million)

Semi-Annual Local Business Contract Equity (LBCE) Program Report without Goals

There were 62 active contracts without LBCE Program goals in this reporting period, of which roughly 32% of payments (almost \$8 million) went to LBE certified firms, 5% of payments (over \$1 million) went to SLBE certified firms, 3% of payments (about \$0.7 million) went to VSLBE certified firms, 2% of payments (\$0.5 million) went to DBE certified firms, 2% or (\$0.4 million) went to MBE certified firms, and .04% of payments (\$.01 million) went to WBE certified firms. This information is shown on Table 3.

Contract Award Report

During the period of July 1, 2011 through December 31, 2011, the Alameda CTC awarded a total of twenty (20) contracts, fourteen (14) for engineering services and six (6) construction contracts for a combined total of over \$86 million. Of these, **over 99%** went to Alameda County Businesses or Sponsoring Agency. Capital projects are being delivered with approximately \$80 million of Measure B funds for the City of Hayward - Route 238/Mission Foothill-Jackson Corridor Improvement project. The prime contractor selected, Top Grade, is certified Local Business Enterprise, a good example of revolving local Measure B transportation tax dollars within the County. This information is shown on Table 4.

Background

In 1989, a program for the procurement of professional services was established which set goals of 70% for LBE, 25% for MBE, and 5% for WBE.

In 1995, a program for construction contracts that set overall participation goals of 60% for LBE, 33% for MBE, and 9% for WBE was approved. Those goals were based on a disparity study in addition to extensive public input from both the prime and minority contracting communities. Specific goals are set for each construction contract, based on biddable items and the availability of LBE/MBE/WBE firms.

As a result of the passage of Proposition 209 in 1996, and the United States Department of Transportation's issuance of the final ruling on the Disadvantaged Business Enterprise program in 2000, the MBE/WBE program and goal requirements were suspended. In lieu of the suspended MBE/WBE program, two new programs were adopted: the LBE/SLBE program for contracts funded with local dollars, and the DBE program for contracts funded with federal dollars. In January 2008, a Revised LBE/SLBE Program was adopted and renamed as the Local Business Contract Equity Program.

Revisions to the LBCE Program were aimed at increasing SLBE participation in all areas of the Agency contracting opportunities, particularly in construction contracting. The revised program became effective for eligible agency-led contracts as of February, 2008 and for all eligible sponsor-led projects awarded after July, 2008.

Utilization is determined semi-annually by collecting and analyzing financial data relative to the amounts awarded and paid to LBE, SLBE, VSLBE, DBE, MBE, and WBE prime and subcontractors in three contract categories:

- Administrative Services Contracts many of the contracts in this group are annually renewed administrative services contracts to assist in the administration of the Measure B Program. These services include, but are not limited to, contract equity program, support, general counsel, federal and state legislative advocacy, auditors, financial advisors, information and computer services, and project and program and support teams.
- Engineering Services Contracts contracts in this group are primarily engineering services contracts to assist the Agency in the development and delivery of capital projects.
- Construction Contracts contracts in this group are specific to construction contracts awarded to builders of transportation facilities such as roadway and transit improvements.

Key information monitored and reported includes LBE, SLBE, VSLBE, DBE, MBE, and WBE utilization on all active contracts as of December 31, 2011.

TABLE 1 – Measure B-Funded Contracts with LBCE Program Goal Requirements LBE/SLBE Contracts: Goals = 70% for LBE; 30% for SLBE									
	Number of	Payments from July 1, 2011 through D					cember 31, 2011		
Contract Type	Contracts	Payment Amount	LBE %	SLBE %	VSLBE %	DBE %	MBE %	WBE %	
Administrative	22	\$1,161,437	79%	53%	33%	11%	11%	13%	
Engineering	14	\$2,716,683	71%	34%	7%	13%	5%	8%	
Professional Services	36	\$3,878,120	73%	26%	12%	7%	5%	6%	

Summary of Results for Current Reporting Period

TABLE 2 – Measure B-Funded Contracts with LBCE Program Goal RequirementsLBE/SLBE Contracts: Goals = 60% for LBE; 20% for SLBE								
	Number of	Payments from July 1, 2011 through December 31, 2011						
Contract Type	Contracts	Payment Amount	LBE %	SLBE %	VSLBE %	DBE %	MBE %	WBE %
Construction ^{1,2}	2	\$642,715	46%	1%	0%	0%	0%	0%
Construction	2	\$642,715	46%	1%	0%	0%	0%	0%

¹ Includes construction contracts where Good Faith Effort (GFE) was met and approved by the Board.

² The first contract was approved in October, 2009 with 53.32% LBE/15.52% SLBE and the second contract was approved in July, 2011 with 0% LBE/0% SLBE.

TABLE	TABLE 3 – Measure B-Funded Contracts Exempt from LBCE Program Goal Requirements								
	Number of	Payments from	Payments from July 1, 2011 through December 31, 2011						
Contract Type	Contracts	Payment Amount	LBE %	SLBE %	VSLBE %	DBE %	MBE %	WBE %	
Administrative	10	\$1,016,379	84%	44%	13%	44%	40%	6%	
Engineering	37	\$1,296,356	56%	8%	7%	3%	0%	3%	
Construction	15	\$21,378,748	28%	3%	2%	0%	0%	0%	
All Industries	62	\$23,691,483	32%	5%	3%	2%	2%	.04%	

	TABLE 4 – Contracts Awarded - July 1, 2011 through December 31, 2011							
Contract Type	Type of Funding	No. of Contracts	Total Contract Amount	Percent of Contract Amount	Amount Awarded to Alameda County Firms	% LBE Award	Amount Awarded to DBE Firms	% DBE Award
	Federal	2	\$129,300	0%	\$72,000	56%	\$0	0%
Engineering	State	2	\$1,170,000	1%	\$1,170,000	100%	\$0	0%
	Measure B	10	\$4,887,300	6%	\$4,695,366	96%	\$557,300	1%
	Federal	0	\$0	0%	\$0	0%	\$0	0%
Construction	State	0	\$0	0%	\$0	0%	0\$	0%
	Measure B	6	\$80,231,820	93%	\$80,000,000	100%	\$0	0%
Total		20	\$86,418,420	100%	\$85,937,366	98%	\$557,300	1%

Reporting Process

Data collection on all active and open contracts began on January 1, 2012, by surveying prime contractors and subcontractors for verification of payment amounts and timing. For the current reporting period, 71 payment verification survey forms were sent to prime contractors and subcontractors. Approximately 73% responded during the allotted time.

Staff utilized the same method of reporting from the last reporting period—January through June 2011—which included an automated summary of processed payments by vendor report and an automated utilization report generated from an in-house database (see Attachment A – Contract Equity Utilization Report).

Regarding billing and timely receipt of payments, approximately 92% of the respondents indicated that they had not experienced any billing-related issues and they had received timely payments from the project sponsors and/or prime contractors. None of the billing and payment-

related issues reported to the Commission required the assistance of the Contract Equity consultant and all issues were resolved prior to the development of this report.

The participation, data, and statistics, which serve as a basis for this report, have been independently reviewed and verified by the firm L. Luster and Associates. As stated in the attached letter from L. Luster and Associates (see Attachment B – Letter of Independent Review of Semi-Annual Contract Equity Utilization Report Data), this report was found to be materially accurate and complete.

Certification Update

As of February 16, 2012, there were a total of 272 Local Business Enterprises (LBEs) certified with the Commission, a 4% drop from prior reporting period of 284 certified firms. Of these, 181 were certified as SLBEs and 131 were certified VSLBEs. Table 5 summarizes by contract type the number of firms that were certified since July 1, 2011. During the reporting period, certification was granted to 25 firms, 17 firms were for administrative/engineering, 5 firms were for commodities and 3 firms were for construction.

Table 5 – Certified Firms by Contract Types				
Contract Type	VSLBE	# of Firms Certified this Reporting Period		
Administrative/Engineering	17	15	12	17
Commodities/Vendors	5	5	3	5
Construction	3	2	1	3
Total	25	22	16	25

¹ Includes SLBE and VSLBE certified firms

² Includes VSLBE certified firms

Outreach Activities Update

The Alameda CTC staff participated in various community and business outreach events to encourage the business community to partake in Alameda CTC contracting opportunities and to get certified, as shown below:

Date:	Name of Event	Location	No. of Participants
July 7, 2011	Alameda County Fair	Pleasanton, CA	10,000+
July 12, 2011	Business Outreach	Oakland, CA	250+
July 15, 2011	Healthy Living Festival	Oakland, CA	500+
July 20, 2011	APBP Webinar	Oakland, CA	25
July 21, 2011	South County Transportation	Union City, CA	50+
	Forum		
July 23, 2011	Day of Awareness Health	Oakland, CA	100+
	Fair		
July 28, 2011	Calmentor Outreach	Oakland, CA	50+
August 6-7, 2011	Fremont Festival of the Arts	Fremont, CA	400,000+
August 8, 2011	Alameda County Commission	Oakland, CA	10+
	on Aging		
August10, 2011	10 th Annual Healthy Aging	Oakland, CA	500+

	Fair		
August 18, 2011	UC Berkeley Disabled Students Residence Program	Berkeley, CA	10+
August 27-28, 2011	Welcome Week Oakland Chinatown Street Festival	Oakland, CA	10,000+
September 7, 2011	Mayor's Commission on Aging Meeting	Oakland, CA	10+
September 11, 2011	Bike to Campus Day	Berkeley, CA	1,000+
September 11, 2011	Solano Avenue Stroll	Albany, CA	5,000+
September 16, 2011	14 th Annual Senior Resource Fair	San Leandro, CA	100+
September 17, 2011	Hayward Art and Wine Festival	Hayward, CA	5,000+
September 18, 2011	Newark Days Community Information Fair	Newark, CA	10,000+
September 21, 2011	League of Women Voters Transportation Forum	Newark, CA	25+
September 23, 2011	Kiwanis Club of Pleasanton	Pleasanton, CA	50+
October 1, 2011	Senior Fit Fair	Dublin, CA	100+
October 3, 2011	Berkeley Chamber of Commerce	Berkeley, CA	40+
October 5, 2011	Hayward Business Expo	Hayward, CA	150+
October 13, 2011	Annual Health Fair	San Leandro, CA	150+
October 20, 2011	North County Transportation Forum	Oakland, CA	40+
October 22, 2011	Pedal Fest	Oakland, CA	10,000+
October 25, 2011	12 th Annual Health and Resource Fair	Newark, CA	100+
October 27, 2011	Calmentor Mixer	Oakland, CA	100+
October 30, 2011	Dia De Los Muertos	Oakland, CA	40,000+
November 3, 2011	Construction Outreach - MTC	Oakland, CA	400+
November 5, 2011	ACCESS Resource Fair	Alameda, CA	100+
November 9, 2011	Pleasanton Chamber of Commerce – Economic Development Meeting	Pleasanton, CA	40+
November 9, 2011	Oakland Metropolitan Chamber of Commerce	Oakland, CA	40+
November 30, 2011	Multi-Cultural Holiday Mixer	San Leandro Chamber of Commerce	100+
December 5, 2011	Berkeley Chamber of Commerce – Governmental Affairs	Berkeley, CA	50
December 14, 2011	Alameda Alliance for Health	Alameda, CA	50+

Fiscal Impacts: Approval of this Report has no fiscal impact.

Attachments:

Attachment A:	Semi-Annual Local Business Contract Equity Utilization Report
Attachment B:	Letter of Independent Review of Semi-Annual Local Business Contract
	Equity Program Utilization Report

Contract Equity Utilization Report

12/31/11 ATTACHMENT A

End Date: 7/1/11

Current Reporting Period Start Date:

Project	Contract	Contract Total Payment	t Payment		Cumula	ulative To Reporting End Date	oorting En	d Date			0	Current Reporting Period	porting Pe	riod	
Number Company	Amount	to Date	Current Period LBE	LBE	SLBE	VSLBE	DBE	MBE	WBE	LBE	SLBE	VSLBE	DBE	MBE	WBE
Contract Type: Administrative Contracts															

I-02																
ACTIA A06-0011.1 - LanLogic, Inc.	Ş	\$256,567.39	\$250,138.32	\$3,060.00	100.00%	100.00%	0.00%	0.00%	0.00%	0.00%	100.00%	100.00%	0.00%	0.00%	0.00%	0.00%
ACTIA A08-0018 - PFM ASSET MANAGEMENT, LLC		\$360,000.00	\$291,560.72	\$13,494.64	0.00%	0.00%	0.00%	0.00%	0.00%	0.00%	0.00%	0.00%	0.00%	0.00%	0.00%	0.00%
ACTIA A08-0019 - CHANDLER ASSET MANAGEMENT		\$360,000.00	\$313,742.00	\$6,979.00	0.00%	0.00%	0.00%	0.00%	0.00%	0.00%	0.00%	0.00%	0.00%	0.00%	0.00%	0.00%
ACTIA A10-0002 - Nelson/Nygaard Consulting Associates		\$335,600.00	\$331,123.72	\$62,133.01	100.00%	28.64%	1.22%	27.42%	27.42%	72.58%	100.00%	27.84%	2.19%	25.65%	25.65%	74.35%
ACTIA A10-0003 - Wendel, Rosen, Black & Dean		\$555,000.00	\$512,962.41	\$95,317.59	91.25%	1.60%	1.60%	0.00%	0.00%	0.00%	87.43%	0.00%	0.00%	0.00%	0.00%	0.00%
ACTIA A10-0006 - Francis Fruzzetti	Ş	\$125,000.00	\$123,218.43	\$14,524.43	100.00%	100.00%	100.00%	0.00%	0.00%	0.00%	100.00%	100.00%	100.00%	0.00%	0.00%	0.00%
ACTIA A10-0007 - MV TRANSPORTATION INC	Q	\$50,000.00	\$30,103.90	\$2,428.25	100.00%	0.00%	0.00%	0.00%	0.00%	0.00%	100.00%	0.00%	0.00%	0.00%	0.00%	0.00%
ACTIA A10-0008 - Moore lacofano Goltsman, Inc.		\$206,000.00	\$205,995.50	\$47,410.94	80.61%	26.73%	26.73%	46.12%	46.12%	46.12%	87.48%	30.56%	30.56%	43.08%	43.08%	43.08%
ACTIA A10-0009 - St. Mini Cab Corporation		\$125,000.00	\$61,372.00	\$7,462.00	100.00%	100.00%	100.00%	0.00%	0.00%	0.00%	100.00%	100.00%	100.00%	0.00%	0.00%	0.00%
ACTIA A10-0011 - The Gibbs Law Group, P.C.	ci	\$90,000.00	\$90,000.00	\$7,500.00	100.00%	100.00%	100.00%	100.00%	100.00%	0.00%	100.00%	100.00%	100.00%	100.00%	100.00%	0.00%
ACTIA A10-0013 - Rochelle Wheeler	Ş	\$279,100.00	\$250,702.52	\$110,397.27	79.32%	79.32%	79.32%	0.00%	0.00%	85.08%	79.50%	79.50%	79.50%	0.00%	0.00%	76.94%
ACTIA A10-0014 - Acumen Building Enterprise, Inc.		\$642,000.00	\$629,228.90	\$105,242.44	100.00%	100.00%	4.14%	0.00%	0.00%	0.00%	100.00%	100.00%	4.70%	0.00%	0.00%	0.00%
ACTIA A10-0015 - L. Luster & Associates	Ŷ	\$173,000.00	\$164,793.39	\$20,256.21	100.00%	100.00%	99.64%	0.00%	0.00%	0.00%	100.00%	100.00%	100.00%	0.00%	0.00%	0.00%
ACTIA A10-0017 - Bay Area Program Management Group		\$1,665,790.00	\$1,649,856.89	\$333,384.39	81.61%	77.84%	49.51%	17.67%	17.67%	0.00%	74.43%	60.23%	36.92%	12.14%	12.14%	0.00%
ACTIA A10-0018 - Lynn M Suter		\$54,723.00	\$52,516.80	\$4,376.40	0.00%	0.00%	0.00%	0.00%	0.00%	0.00%	0.00%	0.00%	0.00%	0.00%	0.00%	0.00%
ACTIA A10-0019 - Maze and Associates	Ş	\$113,140.00	\$105,982.50	\$28,712.50	0.00%	0.00%	0.00%	0.00%	0.00%	0.00%	0.00%	0.00%	0.00%	0.00%	0.00%	0.00%
ACTIA A11-0001 - Nelson\Nygaard Consulting Associates		\$339,280.00	\$121,770.82	\$121,770.82	100.00%	31.18%	0.10%	31.08%	31.08%	0.00%	100.00%	31.18%	0.10%	31.08%	31.08%	0.00%
ACTIA A11-0003 - Rochelle Wheeler	Ş	\$205,553.00	\$89,958.95	\$89,958.95	88.76%	88.76%	88.76%	4.19%	0.00%	4.19%	88.76%	88.76%	88.76%	4.19%	0.00%	4.19%
ACTIA A11-0004 - St. Mini Cab Corporation		\$115,000.00	\$19,226.00	\$19,226.00	100.00%	100.00%	100.00%	0.00%	0.00%	0.00%	100.00%	100.00%	100.00%	0.00%	0.00%	0.00%
ACTIA A11-0008 - PFM ASSET MANAGEMENT, LLC		\$120,000.00	\$28,097.27	\$28,097.27	0.00%	0.00%	0.00%	0.00%	0.00%	0.00%	0.00%	0.00%	0.00%	0.00%	0.00%	0.00%
ACTIA A11-0009 - CHANDLER ASSET MANAGEMENT		\$120,000.00	\$28,860.00	\$28,860.00	0.00%	0.00%	0.00%	0.00%	0.00%	0.00%	0.00%	0.00%	0.00%	0.00%	0.00%	0.00%
ACTIA A11-0012 - MV TRANSPORTATION INC	Q	\$50,000.00	\$10,845.00	\$10,845.00	100.00%	0.00%	0.00%	0.00%	0.00%	0.00%	100.00%	0.00%	0.00%	0.00%	0.00%	0.00%
Summary for Administrative Contracts with Goal Requirements (22 detail records)	tracts with Goal Re	equirements (2	22 detail records)													
Total		\$5,3	\$5,362,056.04 \$1	\$1,161,437.11	76.30%	57.76%	30.72%	11.36%	11.29%	10.30%	78.78%	52.99%	32.77%	10.85%	10.52%	13.37%
Exempt of Goal Requirements																
I-02																
ACTIA A11-0006 - CJ Lake, LLC		\$60,000.00	\$25,149.57	\$25,149.57	0.00%	0.00%	0.00%	0.00%	0.00%	0.00%	0.00%	0.00%	0.00%	0.00%	0.00%	0.00%

I-02																
ACTIA A11	A11-0006 - CJ Lake, LLC	\$60,000.00	\$25,149.57	\$25,149.57	0.00%	0.00%	0.00%	0.00%	0.00%	0.00%	0.00%	0.00%	0.00%	0.00%	%00.0	0.00%
ACTIA /	A11-0015 - Suter, Wallauch, Corbett & Associates	\$60,000.00	\$25,000.00	\$25,000.00	0.00%	0.00%	0.00%	0.00%	0.00%	0.00%	0.00%	0.00%	0.00%	0.00%	%00.0	0.00%
ACTIA /	A11-0020 - Moore lacofano Goltsman, Inc.	\$350,140.00	\$93,074.33	\$93,074.33	94.10%	28.65%	28.65%	29.22%	5.90%	23.32%	94.10%	28.65%	28.65%	29.22%	5.90%	23.32%
I-03																
ACTIA /	A10-0005 - Bess Test Lab	\$100,000.00	\$9,787.50	\$6,742.50	0.00%	0.00%	0.00%	0.00%	0.00%	0.00%	0.00%	0.00%	0.00%	0.00%	%00.0	0.00%
ACTIA /	A11-0002 - Wendel, Rosen, Black & Dean	\$800,000.00	\$186,966.60	\$186,966.60	100.00%	0.00%	0.00%	0.00%	%00.0	0.00%	100.00%	0.00%	0.00%	0.00%	0.00%	0.00%
ACTIA /	A11-0007 - Koff and Associates, Inc.	\$65,000.00	\$15,877.77	\$15,877.77	100.00%	100.00%	100.00%	100.00%	0.00%	100.00%	100.00%	100.00%	100.00%	100.00%	0.00%	100.00%
ACTIA /	A11-0010 - L. Luster & Associates	\$63,240.00	\$25,936.33	\$25,936.33	100.00%	100.00%	100.00%	100.00%	100.00%	100.00%	100.00%	100.00%	100.00%	100.00%	100.00%	100.00%
ACTIA /	A11-0013 - Acumen Building Enterprise, Inc.	\$830,690.00	\$251,672.39	\$251,672.39	100.00%	100.00%	2.54%	98.00%	97.46%	0.53%	100.00%	100.00%	2.54%	98.00%	97.46%	0.53%
ACTIA /	A11-0017 - Novani, LLC	\$110,000.00	\$57,416.46	\$57,416.46	0.00%	0.00%	0.00%	100.00%	100.00%	0.00%	0.00%	0.00%	0.00%	100.00%	100.00%	0.00%
ACTIA /	A11-0018 - Moffatt & Nichol	\$3,132,499.50	\$328,543.19	\$328,543.19	86.87%	37.97%	16.71%	22.46%	22.46%	0.00%	86.87%	37.97%	16.71%	22.46%	22.46%	0.00%
Sum	Summary for Administrative Contracts without Goal Requirements (10 detail records)	ioal Requiremer	its (10 detail re	scords)												
Total		\$1,	,019,424.14	\$1,019,424.14 \$1,016,379.14 83.72%	83.72%	43.64%	12.73%	43.83%	40.02%	6.36%	83.97%	43.77%	12.77%	43.97%	40.14%	6.38%

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Goal Requirements of 70% for LBE and 30% for SLBE

Contract Equity Utilization Report

ATTACHMENT A

Current Reporting Period Start Date:

12/31/11 End Date: 7/1/11

Contract	Contract Total Payment	Payment		Cumul	ative To Re	Cumulative To Reporting End Date	d Date			Cu	rrent Repo	Current Reporting Period	p	
Amount	to Date	Current Period	LBE	SLBE	VSLBE	DBE	MBE	WBE	LBE	SLBE	SLBE VSLBE	DBE	MBE	WBE
thout Goal	thout Goal Requirements (32 detail records)	2 detail records)												
	\$6,381,480.18	\$6,381,480.18 \$2,177,816.25 77.48%	77.48%	55.50%	27.84%	16.54%	27.84% 16.54% 15.88%	9.67%	81.20%	48.69%	23.43%	26.30%	9.67% 81.20% 48.69% 23.43% 26.30% 24.34%	10.11%
ing Sponsor Lead)	or Lead)													
r SLBE														
\$231,820.00	\$6,642.00	\$6,642.00	0.00%	%00.0	0.00%	0.00%	0.00%	0.00%	0.00%	0.00%	0.00%	0.00%	0.00%	0.00%

	\$6,642.00	\$6,642.00	0.00%	0.00%	0.00%	0.00%	0.00%	0.00%	0.00%	0.00%	0.00%	0.00%	0.00%	0.00%
1,375.92	\$182,875.92	\$182,875.92	0.00%	%00.0	0.00%	0.00%	0.00%	0.00%	0.00%	0.00%	0.00%	0.00%	0.00%	0.00%
1,000.00	\$12,494,908.89	\$3,837,261.63	2.73%	0.14%	0.00%	0.04%	0.00%	0.00%	1.61%	0.00%	0.00%	0.00%	0.00%	0.00%
0,000.00	\$14,108,737.11	\$27,166.36	64.05%	0.00%	0.00%	0.00%	0.00%	0.00%	0.88%	0.00%	0.00%	0.00%	0.00%	0.00%
or Lead) v	without Goal Re	or Lead) without Goal Requirements (3 detail records)	tail records											
\$2	6,786,521.92	\$26,786,521.92 \$4,047,303.91 35.01%	35.01%	0.06%	0.00%	0.02%	0.00%	0.00%	1.53%	0.00%	0.00%	0.00%	0.00%	0.00%
r Lead) w	rith and without	r Lead) with and without Goal Requirements (4 detail records)	nts (4 detail	records)										
\$2	26,793,163.92	\$26,793,163.92 \$4,053,945.91 35.00%	35.00%	0.06%	0.00%	0.02%	0.00%	0.00%	1.53%	0.00%	0.00%	0.00%	0.00%	0.00%
Frans														

12.14% 0.00% 0.35% 12.14% 0.00% 0.35% 2.14% 0.00% 0.35% 12.14% 0.00% 0.35%	0.00% 0.359	0.00% 0.35%	0.00% 0.35%		0.00% 0.35%	
12.14% 0.00% 2.14% 0.00% record) 12.14% 0.00%	0.35%	0.35%			0.35%	
12.14% 2.14% record)	0.00%	0.00%				
\$69,991,740.05 12.14% oal Requirements (1 detail record) 59,991,740.05 12.14% ithout Goal Requirements (1 detail record) 69,991,740.05 12.14%	0.00%	0.00%				
	382.00 \$69,991,740.05 12.14%	nts (1 detail record)	\$69,991,740.05 12.14%	1 and without Goal Requirements (1 detail record)	\$69,991,740.05 12.14%	

5,286.00	\$1,169,137.76	\$636,073.53	70.08%	1.08%	0.00%	0.00%	0.00%	0.00%	46.71%	1.47%	0.00%	0.00%	0.00%	0.00%
ients (1 de \$1	ents (1 detail record) \$1,169,137.76	\$636,073.53 70.08%	70.08%	1.08%	0.00%	0.00%	0.00%	0.00%	46.71%	1.47%	0.00%	0.00%	0.00%	0.00%
3,367.09	\$30,911,388.94	\$4,179,477.99	6.73%	2.28%	0.04%	13.66%	13.50%	1.44%	14.40%	0.76%	0.03%	0.00%	0.00%	0.00%
8,823.07	\$6,411,807.00	\$6,411,807.00	35.96%	3.16%	0.78%	0.00%	0.00%	0.00%	35.96%	3.16%	0.78%	0.00%	0.00%	0.00%

Page 2 of 5

0.00%

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Project	a and j		Contract	Total Payment	Payment	
		Administrative Contracts with and v		10 Date		LBE
Summar	y tor all	Summary for all Agministrative Contracts with and without Goal Requirements (32	Ithout Goal P	kequirements (32		
Total Contract Type:	t Tvpe	ہی۔sel.4 : Construction Contracts (including Sponsor Lead)	ding Sponse	\$6,381,480.18 or Lead)	\$2,177,816.25	77.48%
Goal Red	auiren	Ű	or SLBE			
A07-0001	-					
	A11-0036	A11-0036 - Forster and Kroeger, Inc.	\$231,820.00	\$6,642.00	\$6,642.00	0.00%
Sum	mary fo	Summary for Construction Contracts (including Sponsor Lead) with Goal Requirements (1 detail record)	ponsor Lead)	with Goal Requir	ements (1 detail	record)
Total	_			\$6,642.00	\$6,64 2.0 0	0.00%
Exempt	of Goa	Exempt of Goal Requirements				
2003-01						
01	06 - ACCMA	AA	\$711,375.92	\$182,875.92	\$182,875.92	0.00%
A06-0020						
06	A06-002C	A06-0020 - Union City	\$12,561,000.00	\$12,494,908.89	\$3,837,261.63	2.73%
A07-0001						
17	AU8-UU4/	AU8-UU4/ - KGW CONStruction Company	\$14,200,000.00	\$14,108,/3/.11	92/,160.36	64.05%
uns	mary to	Summary for Construction Contracts (including Sponsor Lead) without Goal Requirements (3 detail reco	ponsor Lead)	without Goal Ke	quirements (3 de	etail reco
Total		: - - - - - - - - - - - - - - - - - - -	\$	\$26,786,521.92	\$4,047,303.91	35.01%
summar	y tor all	Summary for all Construction Contracts (including Sponsor Lead) with and without Goal Requirements (4 de	onsor Lead) v	with and without	Goal Kequireme	nts (4 de
Total			Ŷ	\$26,793,163.92	\$4,053,945.91	35.00%
Contract Type:	t Type	: Cooperative Agreement with CalTrans	CalTrans			
Exempt	of Goa	Exempt of Goal Requirements				
I-01						
21	4-1925 - (4-1925 - CALTRANS	\$71,055,382.00	\$69,991,740.05		12.14%
Sum	mary fc	Summary for Cooperative Agreement with CalTrans without Goal Requirements (1 detail record)	ans without G	ioal Requirement	s (1 detail record	(F
Total	_		Ş	\$69,991,740.05		12.14%
Summar	y for all	Summary for all Cooperative Agreement with CalTrans with and without Goal Requirements (1 detail record	ns with and w	ithout Goal Requ	uirements (1 det:	ail recorc
Total			Ş	\$69,991,740.05		12.14%
Contract	t Type	Contract Type: Funding Agreement				
Goal Re	quiren	Goal Requirements of 60% for LBE and 20% for SLBE	or SLBE			
A06-0022						
13	A06-0022	A06-0022 - Alameda County	\$6,745,286.00	\$1,169,137.76	\$636,073.53	70.08%
Sum	mary fo	Summary for Funding Agreement with Goal Requirements (1 detail record)	iirements (1 c	detail record)		
Total	_			\$1,169,137.76	\$636,073.53	70.08%
Exempt	of Goa	Exempt of Goal Requirements				
A09-0013						
02	01 - BART		\$57,803,367.09	\$30,911,388.94	\$4,179,477.99	6.73%
A10-0027						
02 AN8-0003-A	A10-0027	- BART	\$57,498,823.07	\$6,411,807.00	\$6,411,807.00	35.96%
14A	A08-0003	A08-0003-A - ACCMA	\$1,466,833.88	\$4,100.00		100.00%
A09-0012	:					

Monday, February 13, 2012

Contract Equity Utilization Report Current Reporting Period Start Date:

ATTACHMENT A 7/1/11 End Date: 12/31/11

End Date:

Project	Contract	Total Payment	Payment		Cumula	Cumulative To Reporting End Date	orting End	Date			Curr	ent Repor	Current Reporting Period		
Number Company	Amount	to Date	Current Period	LBE	SLBE	VSLBE	DBE	MBE	WBE	LBE	SLBE \	VSLBE	DBE	MBE	WBE
19 A09-0012 - San Leandro	\$247,436.00	\$36,876.46		96.96%	0.00%	0.00%	0.00%	%(0.00%						
A05-0051															
22 01 - ACCMA	\$757,323.82	\$382,303.15		97.09%	52.67%	0.00%	0.00%	%00.0	0.00%						
A07-0058															
23 01 - Livermore	\$1,613,000.00	\$894,954.39		91.06%	0.00%	0.00%	0.00%	0.00%	0.00%						
A08-0045															
23 01 - Livermore	\$21,730,156.89	\$1,368,558.58		30.27%	0.00%	0.00%	0.00%	0.00%	0.00%						
A06-0046															
25 01 - San Mateo County Transportation Authority	\$260,828.37	\$671.89	\$671.89	0.00%	0.00%	0.00%	0.00%	0.00%	0.00%	0.00%	0.00%	0.00%	0.00%	0.00%	0.00%
A07-0038															
26 01 - ACCMA	\$2,141,477.30	\$611,413.66		98.82%	0.47%	0.00%	0.00%	0.00%	0.00%						
AA10-0011															
27C AA10-0011 - ACCMA	\$750,000.00	\$750,000.00		0.00%	0.00%	0.00%	0.00%	0.00%	0.00%						
AA09-0002															
MB 238 AA09-0002 - City of Hayward	\$50,006,104.10	\$17,309,198.78	\$6,739,488.53	61.82%	5.16%	4.97%	0.00%	0.00%	0.00%	43.96%	6.26%	6.09%	0.00%	0.00%	0.00%
Summary for Funding Agreement without Goal Requirements (11 detail records)	Il Requirements	(11 detail record	ls)												
Total	Ś	\$58,681,272.8 5	\$17,331,445.41	29.53%	3.42%	1.57%	7.20%	7.11%	0.76%	33.87%	3.79%	2.67%	0.00%	0.00%	0.00%
Summary for all Funding Agreement with and without Goal Requirements (12 detail records)	nout Goal Requi	rements (12 deta	ail records)												
Total	S.	\$59.850.410.61	\$17.967.518.94	30.32%	3.38%	1.54%	7.05%	6.97%	0.75%	34.32%	3.71%	2.57%	0.00%	0.00%	0.00%
Contract Tune: Drofaccional Samirac/Engineering Contracts	aorina Contr	octe													
COULUI ACC I ADE. FIOLESSIONIAL SELVICES/ ENGIN		arrs													
Goal Requirements of 70% for LBE and 30% for SLBE	for SLBE														
A06-0021															
10 A06-0043 - Kimley-Horn and Associates Inc.	\$1,480,000.00	\$1,479,972.09	\$14,323.24	97.78%	29.73%	0.00%	11.66%	11.66%	0.00%	100.00%	0.00%	0.00%	0.00%	0.00%	0.00%
10 A09-0037 - WMH Corporation	\$400,000.00	\$400,000.00	\$23,500.46	89.42%	89.42%	70.26%	0.00%	%00.0	0.00%	78.94%	78.94%	78.94%	0.00%	0.00%	0.00%
A07-0001															
12 A05-0045 - Mark Thomas & Company, Inc.	\$3,831,900.91	\$3,791,110.25	\$43,697.50	83.06%	26.96%	0.31%	14.32%	8.77%	5.55%	33.13%	0.00%	0.00%	0.00%	0.00%	0.00%
12 A07-0037 - S & C Engineers	\$2,796,971.00	\$2,683,688.08	\$69,529.35	100.00%	91.93%	0.10%	8.95%	8.95%	0.00%	100.00%	100.00%	0.00%	0.00%	0.00%	0.00%
I-01															
21 2001-05 - PBQD, Inc.	\$13,115,000.00	\$12,954,602.06	\$2,979.38	94.87%	31.92%	0.00%	33.02%	15.82%	29.65%	100.00%	0.00%	0.00%	0.00%	0.00%	0.00%
A05-0001															
24 A05-0004 - URS Corporation Americas	\$11,442,071.19	\$11,349,264.21	\$417,786.68	85.24%	32.26%	0.00%	31.97%	23.42%	5.37%	75.76%	8.61%	0.00%	9.86%	4.57%	4.04%
24 A09-0033 - PR Americas Inc	<u> </u>	\$45 975 90			2000 D	00 00%	20 U V	200 U	2000						
									2000						
28 A10-0026 - HQE, Inc.	\$977,884.00	\$371,926.12	\$175,828.87	98.44%	85.41%	79.39%	79.39%	0.00%	79.39%	99.17%	79.41%	73.35%	73.35%	0.00%	73.35%
1-02															
ACTIA A10-0021 - EISEN/LETUNIC	\$249,250.00	\$153,760.75	\$58,931.47	70.39%	70.39%	47.13%	42.40%	0.00%	42.40%	76.95%	76.95%	54.87%	42.53%	0.00%	42.53%
ACTIA ACTC A10-0007 - EMC Research Inc.	\$100,000.00	\$75,351.12	\$37,431.64	49.45%	49.45%	0.00%	0.00%	0.00%	0.00%	49.17%	49.17%	0.00%	0.00%	0.00%	0.00%
226-100															
MB 226 AA07-0001 - TY Lin International/CCS	\$5,357,490.00	\$5,357,435.24		99.36%	29.63%	0.00%	20.13%	17.31%	2.82%						
MB 226 AA07-0001-1 - TY Lin International/CCS	\$8,654,950.00	\$7,504,517.48	\$1,426,424.70	87.72%	23.35%	0.00%	0.00%	0.00%	0.00%	62.67%	25.28%	0.00%	0.00%	0.00%	0.00%
AA08-0001															
MB 238 02 - Mark Thomas & Company, Inc.	\$7,500,000.00	\$7,262,308.12	\$446,250.41	90.28%	33.99%	0.00%	16.63%	11.53%	5.10%	79.90%	52.63%	0.00%	36.10%	25.59%	10.51%
									I						
Monday February 13, 2012													Page 3 of 5		

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Reporting Period End Date:

12/31/11

Contract Equity Utilization Report Current Reporting Period Start Date:

ATTACHMENT A TACHMENT A 12/31/11

7/1/11

Project	Contract	Contract Total Payment	Payment		Cumul	ative To Re	Cumulative To Reporting End Date	d Date			ŭ	urrent Rep	Current Reporting Period	pd	
Number Company	Amount	to Date	Current Period	LBE	SLBE	VSLBE	DBE	MBE	WBE	LBE	SLBE	SLBE VSLBE	DBE	MBE	WBE
A05-0011															
MB 240 2 - Kimley-Horn and Associates Inc.	\$3,262,000.00	\$1,207,000.00		92.41%	32.94%	1.66%	0.66%	0.00%	0.00%						
Summary for Professional Services/Engineering Contracts with Goal Requirements (14 detail records)	Contracts with	า Goal Requirem	ients (14 detail re	ecords)											
Total	Ŷ	54,636,861.42	\$54,636,861.42 \$2,716,683.70 91.03%	91.03%	34.32%	1.25%	21.08%	1.25% 21.08% 13.21%	10.14%	10.14% 70.86%	33.97%	6.62%	6.62% 13.12%	4.91%	8.02%
Exempt of Goal Requirements															
A05-0005															

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Exempt of Goal Requirements															
A05-0005															
07A 01 - Cambridge Systematics	\$5,777,464.00	\$4,674,330.00		80.76%	7.08%	0.00%	15.89%	13.40%	2.49%						
A05-0049															
07B A05-0049 - AC Transit	\$3,010,265.74	\$1,824,375.25		15.25%	0.00%	0.00%	0.00%	%00.0	0.00%						
A08-0044															
08 A08-0044 - ACCMA	\$31,258,500.43	\$2,669,439.15		20.70%	1.52%	0.00%	0.00%	0.00%	0.00%						
A08-0003-B															
14B 02 - Dowling Associates, Inc.	\$7,800.00	\$7,800.00		100.00%	100.00%	0.00%	0.00%	0.00%	0.00%						
14B 03 - TY Lin International/CCS	\$186,000.00	\$185,141.06		100.00%	0.00%	0.00%	0.00%	%00.0	0.00%						
A05-0007															
25 01 - Parsons Transportation Group	\$617,412.07	\$394,960.62		7.64%	%00.0	0.00%	0.00%	0.00%	0.00%						
25 03 - HNTB	\$328,000.76	\$313,029.73		77.30%	0.00%	0.00%	0.00%	0.00%	0.00%						
25 04 - Ford Graphics	\$9,000.00	\$1,699.92		0.00%	0.00%	0.00%	0.00%	0.00%	0.00%						
25 05 - Hanson, Bridgett, Marcus & Vlahos	\$44,599.75	\$32,552.63		0.00%	0.00%	0.00%	%00.0	%00.0	0.00%						
25 06 - Dana Property Analysis	\$20,000.00	\$9,415.02		0.00%	0.00%	0.00%	0.00%	0.00%	0.00%						
A06-0046															
25 02 - Carter & Burgess, Inc	\$40,000.00	\$37,006.15	\$5,570.02	100.00%	0.00%	0.00%	%00.0	0.00%	0.00%	100.00%	0.00%	0.00%	0.00%	0.00%	0.00%
25 03 - HNTB	\$1,330,000.00	\$355,637.91	\$2,211.05	90.36%	0.00%	0.00%	0.00%	0.00%	0.00%	100.00%	0.00%	0.00%	0.00%	0.00%	0.00%
25 04 - PBQD, Inc.	\$296,952.76	\$278,613.65	\$18,468.46	96.56%	0.00%	0.00%	0.00%	0.00%	0.00%	48.05%	0.00%	0.00%	0.00%	0.00%	0.00%
25 05 - Hanson, Bridgett, Marcus & Vlahos	\$11,130.98	\$5,853.08	\$1,208.96	0.00%	0.00%	0.00%	%00.0	0.00%	0.00%	0.00%	0.00%	0.00%	0.00%	0.00%	0.00%
25 06 - SYSTRA	\$86.13	\$86.13		0.00%	0.00%	0.00%	0.00%	0.00%	0.00%						
25 07 - Rail Surveyors and Engineers, Inc.	\$3,576.00	\$3,576.00		0.00%	0.00%	0.00%	0.00%	%00.0	0.00%						
25 09 - Wilbur Smith Associates	\$50,000.00	\$47,244.74	\$34,145.20	0.00%	0.00%	0.00%	0.00%	0.00%	0.00%	0.00%	0.00%	0.00%	0.00%	0.00%	0.00%
25 10 - Design, Community & Environment	\$5,000.00	\$4,168.58	\$1,300.10	100.00%	%00.0	0.00%	%00.0	0.00%	0.00%	100.00%	0.00%	0.00%	0.00%	0.00%	0.00%
A08-0048															
26 02 - Kal Krishnan Consulting Services	\$969,875.00	\$903,295.00	\$26,789.00	99.26%	3.44%	0.00%	%00.0	0.00%	0.00%	75.05%	0.00%	0.00%	0.00%	0.00%	0.00%
26 03 - Pillsbury Wintrop Shaw Pittman LLP	\$400,000.00	\$387,261.00	\$127,369.00	0.00%	0.00%	0.00%	0.00%	0.00%	0.00%	0.00%	0.00%	0.00%	0.00%	0.00%	0.00%
26 04 - Wilbur Smith Associates	\$2,834,000.00	\$2,405,081.00	\$138,991.00	7.24%	5.28%	0.00%	0.00%	0.00%	0.00%	9.18%	9.18%	0.00%	0.00%	0.00%	0.00%
26 06 - Nelson/Nygaard Consulting Associates	\$44,492.00	\$23,641.00	\$4,793.00	100.00%	0.00%	0.00%	%00.0	0.00%	0.00%	100.00%	0.00%	0.00%	0.00%	0.00%	0.00%
26 07 - Donald J. Dean, MCP Environmental Planning	\$96,390.00	\$96,361.00	\$1,428.00	0.00%	0.00%	0.00%	0.00%	0.00%	0.00%	0.00%	0.00%	0.00%	0.00%	0.00%	0.00%
26 08 - PGH Wong Engineering, Inc.	\$22,139.00	\$22,139.00	\$22,139.00	0.00%	0.00%	0.00%	0.00%	0.00%	0.00%	0.00%	0.00%	0.00%	0.00%	0.00%	0.00%
A08-0053															
27B 02 - Kimley-Horn and Associates Inc.	\$950,257.79	\$911,296.16	\$161,038.37	100.00%	0.00%	0.00%	0.00%	0.00%	0.00%	100.00%	0.00%	0.00%	0.00%	0.00%	0.00%
27B 04 - Alameda Program Managers	\$80,804.74	\$80,804.74		0.00%	0.00%	0.00%	0.00%	0.00%	0.00%						
I-02 ACTIA A09-012 - ACCMA	\$201,780.00	\$24,527.87		100.00%	100.00%	100.00%	0.00%	0.00%	0.00%						
ACTIA ACTC A11-0029 - Roberts Consulting Group, Inc.	\$25,000.00	\$25,000.00	\$8,334.00	0.00%	0.00%	0.00%	0.00%	0.00%	0.00%	0.00%	0.00%	0.00%	0.00%	0.00%	0.00%
ACTIA L10-0009 - NoonTime University, Inc.	\$21,600.00	\$21,600.00	\$10,800.00	0.00%	%00.0	0.00%	0.00%	0.00%	0.00%	0.00%	0.00%	0.00%	0.00%	0.00%	0.00%

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Contract Equity Utilization Report Current Reporting Period Start Date:

ATTACHMENT A 7/1/11 End Date: 12/31/11

End Date: 7/1/11

Project	Contract	Total Payment	Payment		Cumula	Cumulative To Reporting End Date	oorting End	Date			Cur	rent Repo	Current Reporting Period		
Number Company	Amount	to Date	Current Period	LBE	SLBE	VSLBE	DBE	MBE	WBE	LBE	SLBE	VSLBE	DBE	MBE	WBE
ACTIA L10-003 - ACCMA	\$25,000.00	\$22,531.88		0.00%	0.00%	0.00%	0.00%	0.00%	0.00%						
ACTIA L11-0005 - Huntsman Architectural Group	\$1,500.00	\$1,125.00	\$1,125.00	0.00%	0.00%	0.00%	0.00%	0.00%	0.00%	0.00%	0.00%	0.00%	0.00%	0.00%	0.00%
ACTIA L11-0006 - Robyn E. Anderson	\$6,000.00	\$5,150.00	\$5,150.00	%00.0	0.00%	0.00%	0.00%	0.00%	0.00%	0.00%	0.00%	0.00%	0.00%	0.00%	0.00%
ACTIA L11-0008 - Swanson Sound Service, Inc.	\$1,140.00	\$1,140.00	\$1,140.00	0.00%	0.00%	0.00%	0.00%	0.00%	0.00%	0.00%	0.00%	0.00%	0.00%	0.00%	0.00%
1-03															
ACTIA ACTC A10-0003 - Nelson\Nygaard Consulting Associates	\$723,415.00	\$687,617.07	\$290,134.24	96.58%	12.50%	12.50%	2.97%	0.64%	2.34%	96.50%	20.72%	20.72%	3.50%	1.51%	1.98%
ACTIA ACTC A10-0004 - Nelson\Nygaard Consulting Associates	\$983,884.00	\$450,667.66	\$429,222.51	54.78%	7.47%	7.47%	6.55%	0.08%	6.47%	53.41%	7.85%	7.85%	6.87%	0.09%	6.79%
225-200															
MB 225 AA07-XXX3 - EverGreen LandCare Inc.	\$5,000.00	\$5,000.00	\$5,000.00	0.00%	0.00%	0.00%	0.00%	0.00%	0.00%	0.00%	0.00%	0.00%	0.00%	0.00%	0.00%
A99-0003															
MB196 A99-0003 - PBQD, Inc.	\$7,999,276.00	\$7,627,432.17		85.58%	17.63%	0.00%	11.04%	8.00%	3.03%						
Summary for Professional Services/Engineering Contracts without Goal Requirements (37 detail records)	ontracts wit	hout Goal Requir	ements (37 detai	l records)											
Total	Ş	\$24,546,600.17	\$1,296,356.91	61.81%	8.25%	0.59%	6.66%	5.06%	1.60%	55.99%	8.22%	7.24%	3.06%	0.37%	2.69%
Summary for all Professional Services/Engineering Contracts with and without Goal Requirements (51 detail records)	ntracts with	and without Goa	al Requirements ([51 detail r	ecords)										
Total	v	\$79,183,461.59	\$4,013,040.61	81.97%	26.24%	1.05%	16.61%	10.68%	7.50%	66.06%	25.65%	6.82%	9.87%	3.44%	6.30%
Summary of All Active Contracts with and without Goal Requirements		\$242,200,256.35	\$28,212,321.71	43.72%	10.88%	1.46%	7.71%	5.63%	2.99%	37.74%	9.77%	4.42%	3.43%	2.37%	1.68%

Reporting Period End Date:

L. Luster & Associates

To:	Tess Lengyel, Alameda CTC
From:	Joan Fisher, L. Luster & Associates
CC:	Dr. Laura Luster, L. Luster & Associates
Date:	February 22, 2012
RE:	Independent Review of ACTIA Semi-Annual Contract Equity Utilization Report Data for the period July 1, 2011 through December 31, 2011

L. Luster & Associates (LLA) has reviewed ACTIA payment and vendor data for the period July 1, 2011 through December 31, 2011 provided by Alameda CTC staff.

LLA conducted a review of physical contract/agreement files, payment invoices, and responses to vendor questionnaires providing quality control and data integrity for all payments made to vendors on contracts with Local Business Contract Equity goals within the above referenced period.

LLA met with staff and presented its findings. Staff satisfactorily responded to all issues identified and presented.

Having completed the review process, L. Luster & Associates finds no material defects in the ACTIA Semi-Annual Contract Equity Utilization Report Data for the period July 1, 2011 through December 31, 2011.



Memorandum

DATE: February 25, 2012

TO: Finance and Administration Committee

FROM: Patricia Reavey, Director of Finance Seung Cho, Contract Procurement Analyst

SUBJECT: Update on the Procurement of the Independent Financial Audit Services Contract and Related Activities

Summary

The former Alameda County Congestion Management Agency (ACCMA) and Alameda County Transportation Improvement Authority (ACTIA) had previously contracted with separate financial audit consultants, Kevin W. Harper CPA & Associates and Maze & Associates, respectively, to audit its financial statements and perform Single Audits through fiscal years ending 2010-2011. The termination of ACCMA and ACTIA in February 2012 warranted a need to consolidate the financial audit services into one contract and issue a Request for Proposals (RFP) to select, negotiate, and award a new contract with one financial audit consultant. The scope of work in the RFP included preparation of audit reports for ACTIA for the period July 1, 2011 through dissolution, ACCMA for the period July 1, 2011 through dissolution, Alameda CTC for fiscal years 2011-12, 2012-13, and 2013-14, and the Sunol Smart Carpool Lane JPA for fiscal years 2011-12, 2012-13, and 2013-14.

The governing body of the Alameda CTC at its December 1, 2011 meeting approved the issuance of the independent financial audit services RFP. The Alameda CTC issued an RFP for these services on December 9, 2011, and a pre-proposal meeting was held at the Alameda CTC offices on January 5, 2012, to which eight (8) firms were in attendance. Proposals were submitted in response to the RFP from the following five (5) firms by the January 17, 2012 due date:

- 1. Caporicci & Larson, Inc., a subsidiary of Marcum LLP
- 2. Macias Gini & O'Connell LLP
- 3. Maze & Associates
- 4. R.J. Ricciardi, Inc.
- 5. Vavrinek, Trine, Day & Company, LLP

In the technical proposal review phase, the Consultant Selection Panel, consisting of staff and a representative from the Transportation Authority of Marin, evaluated and scored each of the proposals using the criteria identified in the RFP. Based on the panelists' scores, the following

three (3) firms were invited to advance to the interview phase, and interviews were held on February 10, 2012:

- 1. Macias Gini & O'Connell LLP
- 2. Maze & Associates
- 3. Vavrinek, Trine, Day & Company, LLP

The interview process allowed the panelists to ask a comprehensive set of questions in a face-toface setting and independently evaluate the responses. Though all of the shortlisted firms met the minimum experience required in this field, the responses and capabilities were wide-ranging, providing the panelists objective bases to score each response. The panelists used the criteria spelled out in the RFP to score the interviewing firms and determine the final ranking. The criteria were:

- 1. Knowledge and understanding of the required services and scope of work;
- 2. Management approach and staffing plan to perform the scope of the work;
- 3. Qualifications of the proposed financial audit team; and
- 4. Effectiveness of interview discussions and presentation.

After careful review of each proposal and consideration of the interview process, the Consultant Selection Panel came to a unanimous decision in their selection of the top-ranked firm, Vavrinek, Trine, Day & Company, LLP (VTD), a certified Local Business Equity firm with an office in Pleasanton. Staff met with an Audit Committee on January 9, 2012, to review the procurement process, assess the panelists' recommendation of the top-ranked firm, and discuss the next steps of the procurement process. With the Audit Committee's support, staff moved forward with negotiations with the top-ranked firm which were completed on February 23, 2012, and will award a contract with VTD to perform the desired services beginning April 1, 2012.

Background

The financial audit services contracts provided the required independent financial audits of ACTIA's and ACCMA's financial statements, issuance of separate audit reports, completion of the Federal Single Audit report, if applicable, and a report on ACTIA's Limitations Worksheet, which attests that ACTIA has complied with the administrative cost limitation required by the Transportation Expenditure Plan approved by the voters in November, 2000. ACTIA contracted with Maze & Associates and the ACCMA contracted with Kevin W. Harper, CPA & Associates for their independent audits. The term for both of these contracts covered the required, separate audits through the fiscal year ended June 30, 2011.

The new financial audit services contract will provide the required independent financial audits for the Alameda CTC including the required Single Audit for the use of Federal funds as well as the termination audits for ACTIA and the ACCMA. This includes preparation of all required audit reports for ACTIA and ACCMA for the period July 1, 2011 through dissolution, Alameda CTC for fiscal years 2011-12, 2012-13, and 2013-14, and the Sunol Smart Carpool Lane JPA for fiscal years 2011-12, 2012-13, and 2013-14.

Fiscal Impact

The cost of the financial audit services for the VTD contract will be fixed at \$72,500 for the ACCMA, ACTIA and Alameda CTC fiscal year 2011-12 audits, \$65,500 for the Alameda CTC fiscal year 2012-13 audit, and \$68,500 for the Alameda CTC fiscal year 2013-14 audit. The cost

of the financial audit services for the Sunol Smart Carpool Lane JPA will be fixed at \$5,000 for fiscal year 2011-12, \$4,500 for fiscal year 2012-13 and \$4,500 for fiscal year \$4,500. The total not-to-exceed amount of the VTD contract is \$220,500.



Memorandum

DATE: February 29, 2012

TO: Finance and Administration Committee

- **FROM:** Patricia Reavey, Director of Finance Arun Goel, Project Controls Engineer
- SUBJECT: Approval of Amendment No. 1 to the Professional Services Agreement with Moffatt & Nichol (A11-0018) for Additional and Deferred Project Management and Delivery Services

Recommendation

Staff recommends that the Finance and Administration Committee approve Amendment No. 1 to the professional services agreement with Moffatt & Nichol (M&N) to increase the agreement budget in the not-to-exceed amount of \$775,000, for additional and unanticipated project management and project delivery services to meet the various State-mandated project delivery deadlines of various state funded capital projects.

Summary

Additional budget is necessary for the M&N agreement due to major changes to the internal agency project delivery management staffing since the original contract negotiations were completed, and the recent ramp up in State Infrastructure Bond funded projects and other projects to meet the recently imposed aggressive funding deadlines as outlined below. The requested contract budget increase of \$775,000 is proposed to be funded from the previously approved prior year (FY 2010-11) unexpended contract budget rollover in the amount of \$250,000 and specific capital projects' grants in the amount of \$525,000. The originally approved FY2011-12 contract budget is \$3,300,000. Approval of the requested contract amendment would create a new contract in the amount of \$4,075,000.

The recommended amendment is intended to provide additional resources for the following activities not included in the original agreement for FY2011-12:

- 1. Delivery of critical state bond funded projects to meet the statutory funding deadline imposed by the California Transportation Commission (CTC);
- 2. Assistance with the increased need for right-of-way transactions as a result of project acceleration to meet funding deadlines;
- 3. Increased assistance for utility coordination services as a result of project acceleration to meet funding deadlines;

- 4. Provide assistance to Alameda CTC in assuming the responsibility and day-to-day operation of the I-680 Sunol Smart Carpool Lane JPA; and,
- 5. Provide assistance and resources for project delivery management functions that were historically carried out by agency project managers, most of whom have recently separated from the agency.

Discussion/Background

The professional services agreement with M&N is the Alameda CTC's largest annually renewed contract and is considered an extension of staff, which is necessary to deliver the valuable transportation projects to which the Alameda CTC has committed. The M&N team provides services related to managing, monitoring and overseeing the Alameda CTC's program of capital projects (project management and project delivery services) and is approved on an annual basis.

A savings in excess of \$250,000 was identified in the FY2010-11 budget for project management and project delivery services budget for management of the Measure B capital projects. The savings from the previous fiscal year were, in part, due to deferring certain activities from last year to the current year. If the recommended amendment is approved, the amended contract total for FY2011-12 will be \$4,075,000.

Fiscal Impact

The recommended amendment to the M&N agreement of \$775,000 will be funded with \$250,000 of unused, approved contract budget from FY2010-11, and the balance of \$525,000 will be funded with capital funds through various project grants and Measure B capital project funds. This amendment has been accounted for in the mid-year budget update (FAC Item 3A - March 12, 2012 meeting) also presented for approval at this meeting.



Memorandum

DATE: March 5, 2012

TO: Alameda County Transportation Commission

FROM: Tess Lengyel, Deputy Director of Policy, Legislation and Public Affairs

SUBJECT: Approval of an Amendment to the Moore Iacofano Goltsman Inc. Contract for Strategic Communications and Outreach Services

Recommendation

Staff recommends that the Committee approve an amendment to the existing Moore Iacofano Goltsman Inc. (MIG Communications) contract in the not-to-exceed amount of \$60,000 to add staffing capabilities to allow for additional communications and outreach services required through June 30, 2012.

Summary

At the end of February, 2012, an Alameda CTC staff person who was working on communications and outreach activities resigned from the agency. Due to the high level of outreach activities underway at the Alameda CTC and the tight timeframe in which these tasks must be implemented, an augmentation to the MIG Communications contract to provide a replacement for these services is recommended. Approval of this action will allow MIG Communications to provide the required staff for the work underway beginning in April, 2012.

Background

MIG Communications has performed media, communications and outreach services for the Alameda CTC for several years and has also been engaged as a sub-consultant to Nelson\Nygaard for the development of the Transportation Expenditure Plan. Due to the departure of a staff person from the Alameda CTC as of the end of February, augmenting the MIG Communications contract to assist the Alameda CTC with outreach efforts will enable the communications and outreach work related to the Transportation Expenditure Plan required over the next few months to continue seamlessly and without interruption.

Fiscal Impact

The approval of the recommended amendment to the MIG Communications contract of \$60,000 to the current \$350,140 contract would bring the new total contract amount to \$410,140. Funding for this amendment has been included in the mid-year budget update also presented for approval at this meeting.