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www.AlamedaCTC.org

Memorandum

DATE: August 31, 2010

TO: Finance and Administration Committee

FROM: Arthur L. Dao, Executive Director

Anees Azad, Finance and Administration Manager

SUBJECT: Approval of Year-End Investment Report for ACTIA

Recommendations:

Staff proposes that the Commission approve the FY 2009-10 Year-End Investment Report as presented.

Summary:

- For the fiscal year ending June 30, 2010, the combined investment income (ACTA and ACTIA) was \$8.2 million compared to the budget of \$6.2 million. For the prior year, FY 2008-09, the combined investment income was \$18.8 million (12 months) compared to a budget of \$17.5 million.
- The reduction in interest earnings is due to the lower interest rates and lower amount invested.
- The portfolios managed by investment advisors were in full compliance with the Investment Policy and the returns have exceeded applicable benchmarks and comparators in every category.

Discussion:

Staff, with the assistance of outside investment advisors, manages over \$300 million in ACTA and ACTIA investments. The long-term returns on these investments are crucial to the delivery of the Authority's capital projects and programs. In all aspects of treasury management, the objective has been strict internal control and compliance with the Authority Investment Policy. This Policy prioritizes (1) <u>Safety</u>, (2) <u>Liquidity</u> and (3) <u>Return</u> on investments as the primary objectives.

Background:

During the fiscal year 2004-05, the banking and investments function was gradually transferred from the County to the Authority. All this became practical when ACTA/ACTIA opened new bank accounts with Union Bank and implemented the new accounting software (Fundware). These steps allowed check writing and basic banking capabilities along with the custodial accounts for investments management.

The investment function was initiated after the Investment Policy was approved in July 2004. Gradual increases were made to the invested balance over time as the cash needs were refined in partnership with the projects control staff and consultants.

Currently, staff, through the accounts payable consulting contract, manages the full range of banking capabilities, from disbursements to wires and ACH transfers. Staff has also implemented the Union Bank "Positive Pay" system that allows only previously confirmed transactions/checks to clear and all other electronic and manual bank debits are rejected. This is a necessary safeguard in today's environment of electronic and paper-check fraud.

Investments Status Report (Schedule A):

At year-end, June 30, 2010, ACTA/ACTIA had a combined balance of \$308 million in various cash and investments. The prior year balance was \$347 million. The \$39 million reduction is a result of the combined expenses (capital, program and administration) exceeding the combined revenues by this amount. This information is summarized on the attached Investment Status Report (Schedule A) together with an estimate of the average investment returns. The investment results are presented in Generally Accepted Accounting Principles (GAAP) format to facilitate comparison with the year-end financial statements and with other comparable investment/cash pools.

As reported in the Investment Status Report, ACTA posted interest earnings of \$5.8 million against a budget of \$4.0 million and ACTIA posted interest earnings of \$2.3 million against a budget of \$2.2 million (due to higher balance). The ACTA portfolio averaged about a 3 % return and the ACTIA portfolio averaged 1.9% return for the year, compared to a budget of 2%.

This fiscal year's average yield of 2.5% compares favorably against LAIF's average yield of 0.66%. The dollar impact of this performance is \$5.9 million in incremental returns over LAIF. However, the average yields for qualifying investments and terms has already dropped to below 0.5% and the reported level of returns is <u>not</u> expected to continue into the next fiscal year.

Investment Advisors Performance Report (Schedule B):

The Authority contracts with two competing investment advisors, Chandler Asset Management (Chandler) and PFM Asset Management (PFM), to manage its investment portfolio. These managers have implemented two different investment strategies in managing the Authority accounts. Chandler strategy avoids interest rate anticipation by aligning maturities to the actual cash needs, while PFM flexes the terms in anticipation of future rate changes. The two strategies also differ on asset allocations and terms, within the framework of the Investment Policy.

Contractually, the investment advisors are paid about 8 basis points for the investment services. In FYE 2009-10, the cost of this service was \$206,158 This equates to less than one-tenth of one percent times the invested amount. Prior to 2004 when the investments were maintained with the County Treasurer, the fees paid to the Alameda County Treasurer's Office was about 20 basis points, which would amount to about \$560,000 on an investment balance of \$280 million. This amounts to an annual savings of \$354,000 to the Authority.

Schedule B details the investment advisors' performance during the past six months. The Performance Report is organized as follows:

- 1. Compliance with Investment Policy: This is a result of comparing the Investment Policy requirements with the investments purchased. Key factors of the investment policy are acceptable credit ratings of the instruments, proper diversity of investments, terms compliant with cash needs and prohibited investments. Last year three securities were downgraded below the Policy minimum requirements. Both Advisors followed the Policy guidelines and notified the Authority of the events with recommendations that the securities be held to maturity. These events and recommendations were reported to the Board, as required by the Investment Policy. No losses resulted from these downgraded securities.
- 2. Liquidity: This section provides the investment balances by agency and advisors. Last July both ACTA and ACTIA capital expenditure horizons were shortened to accommodate upcoming commitments.
- 3. Interest Earnings: This section provides the interest earnings for each advisor maintained account.
- 4. Bench Marks: Items 4a and 4b provide the comparison with the County and LAIF. Item 4c/d shows the current year benchmark used by the investment advisors. Effective July 2009 the benchmarks were modified to reflect the shortened cash flow horizons. The Performance indicators estimate the total returns on investments managed by the advisors.
- 5. Asset allocation summary: This section indicates the asset allocation at June 30, 2010.
- 6. Duration: This section indicates the average term of the investments in each portfolio. As one can see, the durations for the current investments were reduced from prior year levels.
- 7. Cost of Investment services: This section shows the cost of investment advisor services, which are <u>not</u> included in the returns above.

Compliance with ACTA/ACTIA Investment Policy:

Staff and the investment advisor teams have followed the Investment Policy approved by the Board last year. The choices of securities purchased, the asset allocations, and the liquidity aspects of the policy are all in compliance. This aspect of the investment function is reviewed by the auditors and will be covered as part of the annual audit report to the Board.

In summary, staff and both advisors (Chandler and PFM) have accomplished the following:

- Complied with the Authority Investment Policy
- Delivered on the Authority's liquidity requirements
- Exceeded returns compared to alternative investment options (County and LAIF) and benchmarks

Fiscal Impact:

On a combined GAAP basis, the interest earnings were \$8.2 million for the FY 2009-10, or \$2.0

million better than budget.

Attachments:

- 1. Investment Status Report-Schedule A
- 2. Investment Advisors' Performance Report-Schedule B
- 3. Investment Detail.

			ACTA/ACTIA	1			
		Invest	tment Status R	eport		Schedule A	
			ear End June 3				
	Pre-Audit		Interest Ea	arned		Audited-F	YE 2009
ACTA	Investment Balance		Fiscal Year End J	une 30, 2010		Investment Balance	Interest earned
		Interest earned	Avg. Rate Actual	Budget (4)	Difference	FYE June 30,2009	FYE 2009
County Treas. Pool (2)	\$0	-	0.00%			\$0	\$
Checking UBOC	53,614	193.00				32,807	\$11
State Treas. Pool (LAIF)	17,858,450	99,664	0.66%	0		767,162	344,92
Investment Advisors (1)	165,070,857	5,731,340	3.32%	0		208,520,313	11,338,96
Sub-Total Before Discount	182,982,921	5,831,197	3.00%	4,000,000	1,831,197	209,320,282	11,684,00
FMV Premium/(Discount)(4)	3,504,112	9,421				3,494,690	741,599
Total	\$186,487,033	\$5,840,618		\$ 4,000,000	\$ 1,840,618	\$212,814,972	\$12,425,60
Overall Rate:			3.00%	2.00%		Overall Rate:	5.97%
	Pre-Audit		Interest Ea	arned		Audited-F	YE 2009
ACTIA	Investment Balance		Fiscal Year End J	une 30, 2010		Investment Balance	Interest earned
		Interest earned	Avg. Rate Actual	Budget (4)	Difference	FYE June 30,2009	FYE 2009
Community Bank (OPEB)(5)	932,128	\$8,789	1.50%			923,339	\$11,32
Checking UBOC	4,091,191	3,148	0.05%			5,072,090	16,27
State Treas. Pool (LAIF)	49,999,050	266,298	0.66%			29,603,981	680,82
Loan to A. C. Transit (3)	7,040,371	759,036	7.00%			15,086,398	973,17
Investment Advisors (1)	60,189,855	2,467,234	1.84%			82,682,787	3,591,64
Sub-Total Before Discount	121,320,467	3,504,505	1.88%	2,200,000	1,304,505	132,445,256	5,273,24
FMV Premium/(Discount)(4)	343,942	(1,125,826)				1,469,768	1,067,62
Total	\$121,664,409	\$2,378,679		\$ 2,200,000	\$ 178,679	\$133,915,024	\$6,340,86
Overall Rate:			1.88%	2.00%		Overall Rate:	4.70%
0.0.0							

- (1) The Investment Advisor accounts were activated on July 30, 2004. Additions were made over the years.
- (2) This amount signifies the deposits on right-of-way proceedings.
- (3) The AC Transit Loan has a rate of 6% plus 1% admin fees after Jan 1, 2009.
- (4) The budget figures do not have an allowance for fair market valuation (FMV) premium/discount.
- (5) The OPEB/Health Retirement account and related interest income is an off-the-Books account.

ACTA/ACTIA
Investment Advisors Performance Report
Fiscal Vear End June 30, 2010

Schedule B

		l iscai real	LIIU	June 30, 2010 Fisca	I Ye	ar End June 30,	2010	0		FYE 2009
	Categorie	e		ACTA		ACTIA	2011	Total/Avg.		(Prior Year)
1	Compliance with			ACTA		ACTIA		rotal/Avg.		(i iioi rear)
•	Chandler	loney		Yes		Yes		Yes		Yes
	PFM			Yes		Yes		Yes		Yes
2	Liquidity									
a	Chandler		\$	84,483,146	\$	30,320,423	\$	114,803,569	\$	150,441,97
b	PFM			80,587,711		29,869,432		110,457,143		145,725,58
		Total	\$	165,070,857	\$	60,189,855	\$	225,260,712	\$	296,167,55
3	Interest Earnings	7000	•	100,010,001	•	00,100,000	_	220,200,112	_	200,101,00
a	Chandler		\$	3,070,653	\$	1,333,327	\$	4,403,980	\$	7,745,65
b	PFM			2,660,687		1,133,907		3,794,594		8,894,17
		Total	\$	5,731,340	\$	2,467,234	\$	8,198,574	\$	16,639,83
4	Bench Marks (Ann		_	0,101,010	_	2,101,201	_	5,100,011	_	10,000,00
a	County Treasurer			0.50%		0.50%		0.50%		1.50
b	LAIF (Cash Basis)			0.66%		0.66%		0.66%		2.22
C	ML Treas. 1-3 Yr (c			2.69%		0.0070		2.69%		4.89
d	Treas.180 day T-b			2.00%		0.45%		0.45%		4.89
<u> </u>	Performance	(daar-actia ony)				0.4070		0.4570		410.
е		Performance-Chandle		3.56%		2.43%		3.00%		5.43
f	Estimated GAAP F			3.07%		1.25%		2.16%		6.64
5	Ending Asset Allo			0.01.10		11207		211070		0.0
a	Chandler	Cash		0.10%		0.20%		0.15%		2.25
b	Chanaler	Govt Securities		76.20%		84.80%		80.50%		77.50
c		Corporate Securitie		23.70%		15.00%		19.35%		20.25
•		Total		100.00%		100.00%		100.00%		100.00
d	PFM	Cash		0.10%		0.50%		0.30%		0.00
e		Govt Securities		77.90%		99.50%		88.70%		78.4
f		Corporate Securitie		22.00%		0.00%		11.00%		21.5
		Total		100.00%		100.00%		100.00%		100.00
6	Duration (years)									
_	Chandler			1.69		1.00		1.35		2.4
	PFM			1.46		0.67		1.07		2.2
7	Costs of Investmen	nt Services								
•	23000 01 111100011101	Chandler					\$	105,744	\$	105,92
		PFM					•	100,414	•	118,13
		County						-		,
		Total					\$	206,158	\$	224,05
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Alameda County Transportation Authority

Holdings Report As of 6/30/10

CUSIP	Security Description	Par Value/Units	Purchase Date Book Yield	Cost Value Book Value	Mkt Price Mkt YTM	Market Value Accrued Int.	% of Port. Gain/Loss	Moody T S&P	Term (yrs) Duration
AGEINEY									
31331YGP9	FFCB Note 3.75% Due 12/6/2010	1,000,000.00	01/10/2008 3.34 %	1,011,176.00 1,001,670.58	101.50 0.28 %	1,015,000.00 2,604.17	1.17 % 13,329.42	Aaa AAA	0.44
31398ARH7	FNMA Note 3.375% Due 5/19/2011	4,000,000.00	04/09/2009	4,159,460.00 4,067,031.49	102.66 0.37 %	4,106,252.00 15,750.00	4.74 % 39,220.51	Aaa AAA	0.88
3133XQQQ8	FHLB Note 2.625% Due 5/20/2011	2,000,000.00	01/08/2009 1.69 %	2,042,946.00 2,016,110.98	101.94 0.44 %	2,038,750.00 5,979.17	2.35 % 22,639.02	Aaa AAA	0.89
3134A4FM1	FHLMC Note 6% Due 6/15/2011	2,500,000.00	11/06/2008 2.99 %	2,687,222.50 2,568,779.63	105.34 0.41 %	2,633,595.00 6,666.67	3.04 % 64,815.37	Aaa AAA	0.96
3133XRCW8	FHLB Note 3.375% Due 6/24/2011	3,225,000.00	05/28/2010 0.57 %	3,320,927.63 3,313,510.55	102.69 0.63 %	3,311,671.88 2,116.41	3.81 % (1,838.67)	Aaa AAA	0.98
3133XRRU6	FHLB Note 3.625% Due 7/1/2011	2,500,000.00	01/08/2009	2,609,580.00 2,544,293.13	103.25 0.37 %	2,581,250.00 44,809.03	3.02 % 36,956.87	Aaa AAA	1.00
31331VJ80	FFCB Note 5.375% Due 7/18/2011	2,500,000.00	06/16/2008 3.98 %	2,599,910.00 2,533,955.18	105.13 0.48 %	2,628,125.00 60,842.01	3.09 % 94,169.82	Aaa AAA	1.05
3137EAAF6	FHLMC Note 5.25% Due 7/18/2011	2,500,000.00	01/08/2009 1.64 %	2,722,120.00 2,592,228.09	105.03 0.44 %	2,625,782.50 59,427.08	3.09 % 33,554.41	AAA	1.05
3133XHPH9	FHLB Note 4.875% Due 11/18/2011	2,500,000.00	06/04/2009 1.35 %	2,711,595.00 2,619,258.34	105.88 0.60 %	2,646,875.00 14,557.29	3.06 % 27,616.66	Aaa	1.39
31331GKY4	FFCB Note 2% Due 1/17/2012	1,475,000.00	11/16/2009 1.14 %	1,502,218.18 1,494,441.56	102.13 0.62 %	1,506,343.75 13,438.89	1.75 % 11,902.19	Aaa AAA	1.55
880591DT6	Tennessee Valley Authority Note 6.79% Due 5/23/2012	2,800,000.00	06/17/2008 4.25 %	3,053,993.60 2,922,654.27	111.17 0.84 %	3,112,894.40 20,068.22	3.60 % 190,240.13	Aaa AAA	1.90
3137EAAZ2	FHLMC Note 4.625% Due 10/25/2012	2,500,000.00	07/09/2008	2,562,070.00 2,533,528.88	108.66 0.85 %	2,716,407.50 21,197.92	3.15 % 182,878.62	Aaa AAA	2.32
3133XP2W3	FHLB Note 3.375% Due 2/27/2013	2,500,000.00	07/09/2008	2,432,425.00 2,461,203.25	106.06 1.06 %	2,651,562.50 29,062.50	3.08 % 190,359.25	AAA	2.67
880591CW0	Tennessee Valley Authority Note 6% Due 3/15/2013	4,000,000.00	Various 3.45 %	4,407,900.00 4,254,233.27	112.91 1.15 %	4,516,300.00 70,666.67	5.28 % 262,066.73	Aaa AAA	2.71
31398ASD5	FNMA Note 3.875% Due 7/12/2013	1,700,000.00	04/09/2009 2.24 %	1,811,683.20	108.34 1.07 %	1,841,844.60 30,924.65	2.15 % 62,132.61	AAA	3.04
880591DW9	Tennessee Valley Authority Note 4.75% Due 8/1/2013	4,000,000.00	Various 2.35 %	4,411,330.00 4,279,265.53	109.91 1.45 %	4,396,364.00 79,166.67	5.15 % 117,098.47	AAA	3.09
31331GCS6	FFCB Note 3.875% Due 10/7/2013	2,300,000.00	02/04/2009 2.89 %	2,397,934.00 2,368,582.52	108.13 1.33 %	2,486,875.00 20,795.83	2.89 % 118,292.48	AAA	3.27
3133XSAE8	FHLB Note 3.625% Due 10/18/2013	2,000,000.00	03/03/2009 2.69 %	2,080,600.00 2,057,503.26	107.13 1.41 %	2,142,500.00 14,701.39	2.48 % 84,996.74	AAA	3.30
3134A4UK8	FHLMC Note 4.875% Due 11/15/2013	2,500,000.00	01/08/2009	2,776,632.50 2,692,596.20	111.78 1.30 %	2,794,532.50 15,572.92	3.23 % 101,936.30	AAA AAA	3.38
Total A later	1	48.500.000.00	2.52 %	51,301,723.61 50,100,558.70	0.83 %	51,752,925.63 528,347.49	60.15 % 1,652,366.93	Aaa AAA	1.96

Execution Time: 7/2/2010 11:02:52 AM

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Holdings Report

As of 6/30/10

			•						
2			Purchase Date	Cost Value	Mkt Price	Market Value	% of Port.	Moody	Term (yrs)
COSIP Felo (Neuren	COSIN Security Description FOICH KEUREBUSKONRORANE	rar value/units	DOOK TIELD	DOOK VAIUE	WIRL F LIVI	Accrued Int.	Gallifloss		Duration
61757UAA8	Morgan Stanley FDIC Guaranteed Note 2.9% Due 12/1/2010	2,700,000.00	09/16/2009 0.66 %	2,771,874.00 2,725,221.84	101.02 0.46 %	2,727,518.40 6,525.00	3.15 % 2,296.56	Aaa AAA	0.42 0.42
69351CAA1	PNC Funding Corp FDIC Guaranteed 1.875% Due 6/22/2011	2,675,000.00	05/27/2010 0.58 %	2,711,495.03 2,708,746.05	101.27 0.57 %	2,708,900.28 1,253.91	3.12 % 154.23	Aaa AAA	0.98
91160HAA5	US Bancorp FDIC Guaranteed Note 2.25% Due 3/13/2012	3,210,000.00	03/10/2009	3,209,614.80 3,209,781.74	102.60 0.71 %	3,293,411.85 21,667.50	3.81 % 83,630.11	Aaa AAA	1.70
38146FAA9	Goldman Sachs FDIC Guaranteed Note 3.25% Due 6/15/2012	2,000,000.00	12/08/2008 2.83 %	2,027,720.00 2,015,460.06	104.71 0.82 %	2,094,258.00 2,888.89	2.41 % 78,797.94	Aaa AAA	1.96
06050BAA9	Bank of America Corp FDIC Guaranteed Note 3.125% Due 6/15/2012	2,100,000.00	12/19/2008 2.01 %	2,178,477.00 2,144,216.75	104.43 0.84 %	2,193,093.00 2,916.67	2.53 % 48,876.25	Aaa AAA	1.96 1.91
949744AC0	Wells Fargo & Company FDIC Guaranteed Note 2.125% Due 6/15/2012	1,900,000.00	03/25/2009 2.13 %	1,899,506.00 1,899,698.88	102.69 0.74 %	1,951,045.40 1,794.44	2.25 % 51,346.52	Aaa AAA	1.96
36967HAV9	GE Capital Corp FDIC Guaranteed Note 2.125% Due 12/21/2012	2,180,000.00	06/03/2009 2.10 %	2,182,071.00 2,181,449.06	102.81 0.97 %	2,241,231.84 1,286.81	2.58 % 59,782.78	Aaa AAA	2.48
481247AM6	JP Morgan Chase FDIC Guaranteed Note 2.125% Due 12/26/2012	3,250,000.00	04/22/2009 2.04 %	3,260,075.00 3,256,839.56	102.84 0.97 %	3,342,404.00 959.20	3.85 % 85,564.44	Aaa AAA	2.49
Total FDIC Ins	Total FDIC Insured US Corporate	20,015,000.00	1.78%	20,240,832.83 20,141,413.94	0.76 %	20,551,862.77 39,292.42	23.69 % 410,448.83	Aaa AAA	1.73
WONEY-MARKERFUNDER	CHFUNDIFI								
431114701	Highmark Govt Money Market Fund	173,428.43	Various 0.05 %	173,428.43 173,428.43	1.00 0.05 %	173,428.43 0.00	0.20 % 0.00	Aaa AAA	0.00
Total Money Market Fund Fl	larket Fund Fl	173,428.43	0.05 %	173,428.43 173,428.43	0.05 %	173,428.43 0.00	0.20 %	Aaa AAA	0.00
US TREASURY									
912828EQ9	US Treasury Note 4.375% Due 12/15/2010	1,000,000.00	10/04/2007 4.04 %	1,010,000.00 1,001,437.18	101.89 0.24 %	1,018,945.00 1,912.57	1.17 % 17,507.82	TSY TSY	0.46
912828FA3	US Treasury Note 4.75% Due 3/31/2011	2,500,000.00	07/10/2008 2.67 %	2,635,750.56 2,537,321.15	103.31 0.33 %	2,582,812.50 29,849.73	3.01 % 45,491.35	TSY TSY	0.75
912828FD7	US Treasury Note 4.875% Due 4/30/2011	2,000,000.00	11/29/2007 3.15 %	2,110,703.13 2,026,899.00	103.75 0.37 %	2,075,000.00 16,426.63	2.41 % 48,101.00	TSY TSY	0.83

1.46 1.45

TSY TSY

1.74 % 9,440.36

1,514,296.50 737.70

100.95 0.47 %

1,506,919.09 1,504,856.14

11/16/2009 0.90 %

1,500,000.00

US Treasury Note 1.125% Due 12/15/2011

912828KA7

US Treasury Note 4.625% Due 8/31/2011

US Treasury Note 5.125% Due 6/30/2011

912828FK1

912828FS4

Page 8

1.00

TSY TSY

2.41 % 63,325.49

2,094,140.00 278.54

104.71 0.40 %

2,109,414.06 2,030,814.51

Various 3.47 %

2,000,000.00

1.17

TSY TSY

2.20 % 40,695.29

1,887,399.00 27,825.41

104.86 0.45 %

1,936,054.69 1,846,703.71

04/03/2008 2.30 %

1,800,000.00



Alameda County Transportation Authority Account #470

Holdings Report As of 6/30/10

	in the second se								
CUSIP	Security Description	Par Value/Units	Purchase Date Book Yield	Cost Value Book Value	Mkt Price Mkt YTM	Market Value Accrued Int.	% of Port. Gain/Loss	Moody S&P	Term (yrs) Duration
USTREASURY 912828GQ7	VS Treasury Note 4.5% Due 4/30/2012	1,200,000.00	10/04/2007 4.18 %	1,215,703.13 1,206,313.34	107.24 0.53 %	1,286,859.60 9,097.83	1.49 % 80,546.26	TSY TSY	1.84
912828HG8	US Treasury Note 3.875% Due 10/31/2012	1,225,000.00	08/27/2008 2.88 %	1,272,616.41 1,251,633.97	107.41 0.67 %	1,315,822.73 7,997.45	1.52 % 64,188.76	TSY TSY	2.34
Total US Treasury	sury	13,225,000.00	2.87 %	13,797,161.07 13,405,979.00	0.42 %	13,775,275.33 94,125.86	15.96 % 369,296.33	TSY TSY	1.17
TOTAL PORTFOLIO	OITIO	81,913,428.43	2.40 %	85,513,145.94 83,821,380.07	0.75 %	86,253,492.16 661,765.77	100.00 % 2,432,112.09	Aaa AAA	1.77
TOTAL MARK	TOTAL MARKET VALUE PLUS ACCRUED					86,915,257.93			



For the Month Ending June 30, 2010 Managed Account Detail of Securities Held MANNEDA CHEK ERAN SPOREZENON KUMBOLA

			Mat .						•		
Security Type/Description Dated Date/Counon/Maturity	CUSIP	Par	S&P Rating	Moody's Rating	I rade Date	Settle Date	Cost	at Cost	Interest	Cost	Value
U,S. Treasury Bond / Note											
US_TREASURY_NOTES PATE 17/31/2008.0/875%, 17/31/2010	9128281V3	750,000,00 TSY	TSY	TSY	02/20/08	60/TZ//20	751,289.06	92'0	17.83	750,446.05	752,343.75
US TREASURY NOTES	9128283V3	5,250,000.00 TSY	TSY	TSY	04/29/09	04/30/09	5,253,896.48	0.83	124.83	5,251,170.12	5,266,406.25
DTD 12/31/2008 0.875% 12/31/2010 US-TREASURY:NOTES	912828KG3	6,300,000,00 TSY	TSY	JSV	03/02/03	60/E0/ E 0	6,322,148,44	1,25	32,544:20	6.312.276.31	6,386,133,60
DTD:02/15/2009.113/5% 02/15/2012 US TREASURY NOTES DTD:04/15/2009.1.375% 04/15/2012	912828KK5	4,345,000.00 TSY	TSY (TSY	05/04/09	05/07/09	4,344,660.55	1.38	12,569.04	4,344,798.44	4,410,344.73
Security Type Sub-Total		16,645,000.00					16,671,994.53	1.13	45,255,90	16,658,690.92	16,815,228.33
U.S. Government Supported Corporate Debt	orate Debt										
CITIBANK NA (FDIC) NOTE DID: 09/29/2010	173[41AP8	3,000,000,00 AAA	A AAA	Aaa	02/24/10	05/55/10	3,022,869,00 0.76	92'0	10,312,50	3,017,810.79	3,024,450.00
SOVEREIGN BANK (FDIC) GLOBAL NOTE DTD 12/22/2008 2.750% 01/17/2012	846042AA7	1,000,000.00 AAA	. AAA	Aaa	12/17/08	12/22/08	00.050,099	2.78	12,527.78	999,506.19	1,033,423.00
BANK OF AMERICA CORP. (FDIC) GLOBAL MTN TO 12/04/2008 3 1255% PG/15/2012	06050BAA9	1.000,000:00 AAA	AAA (Aaa	12/15/08	12/18/08	1.020.390.00	2.51	1388.89	1.011,637.62	1.044.330.00
JOHN DEERE CAPITAL CORP (FDIC) GL MTN DTD 12/19/2008 2.875% 06/19/2012	24424DAA7	2,050,000,00 AAA) AAA	Aaa	12/16/08	12/19/08	2,045,264.50	2.94	1,964.58	2,047,279.90	2,131,766.30
JPMORGAN CHASE & CO (FDIC) GLOBAL. NOTE DITD 1-2/22/2012	481247AE9	1,000,000,00 AAA) AAA	Aaa	12/18/08	12/22/08	999,500.00	214	531.25	999,713.30	1,025,843.00
PNC FUNDING CORP (FDIC) GLOBAL NOTE DTD 12/22/2008 2.300% 06/22/2012	69351CAC7	2,350,000.00 AAA) AAA	Aaa	12/17/08	12/22/08	2,347,250.50	2.34	реледен билин преставления выпа	2,348,421.20	2,419,646.95
Security Type Sub-Total		10,400,000.00					10,434,324.00	2.05	28,076.25	10,424,369.00	10,679,459.25

PFM Asset Management LLC Federal Agency Discount Note



Managed Account Detail of Securities Held

For the Month Ending June 30, 2010

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				C.6.D	Moodyle	Trade	Cottle	Original	Z Z	Accrised	Torition	Market
	Security 19pe/Description Dated Date/Coupon/Maturity	CUSIP	Par	ser Rating	Rating	Date	Date	Cost	at Cost	Interest	Cost	Value
	Federal Agency Discount Note											
	FNIMA DISC NOTE " 0.0009% 07/06/2010	313589YZ0	4,000,000,00 A-1+	A-1+	I-d	02/24/10	02/25/10	3,997,671.11	0:16	00.0	3,999,911,12	3,999,954,64
	FHLMC DISC NOTE 0.000% 09/30/2010	313397H79	6,000,000,00 A-1	A-1+	P-1	02/24/10	02/25/10	5,990,958.33	0.25	0.00	5,996,208.36	5,996,771.70
	Security Type Sub-Total		10,000,000.00					9,988,629.44	0.21	00'0	9,996,119.48	9,996,726.34
	Federal Agency Bond / Note											
	FHLMC GLOBAL NOTES DTD 01/08/2009 1:500% 01/07/2011	3137EABW8	2,000,000,00 AA	WW .	Aaa	02/110/09	60/51/ <u>/</u> 0	2,024,840.00	0.65	14,500,00	2,008,711,86	2,012,302,00
	FHLB GLOBAL BONDS	3133XTXH4	2,750,000.00 AAA	AAA	Aaa	02/24/10	02/25/10	2,790,727.50	0.58	19,116.32	2,780,736.09	2,782,656.25
	DTD 06/12/2009 1.625% 07/27/2011					A COLUMN			A THE PARTY OF THE	A CHICAGAIN TO COMMAND TO THE COMMAND THAT THE COMMAND THE COMMAND THE COMMAND THAT THE COMMAND THE COMMAND THAT THE COMMAND	TOTAL COMMENSATION AND AND AND AND AND AND AND AND AND AN	Comment appears to state to the state of the
	FHLB GLOBAL BONDS DTD 08/27/2008 3:625% 09/16/2011	3133XS4S4	2,440,000,000 AXA	§	Aāa	02/24/10	02/25/10	2,552,240:00	0.65	25,797,92	2,527,121.76	2,533,787,50
	FHLMC NOTES (CALLABLE) DTD 06/30/2010 0.825% 12/30/2011	3134G1JH8	2,250,000.00 AAA	AAA	Aaa	06/30/10	06/30/10	2,251,507.50	0.78	51.56	2,251,504.71	2,253,834.00
	FNMA GLOBAL NOTES DTD 03/02/2010 1: 000% 04/04/2012	31398AH54	1,000,000;00 AAA	AAA	Aaa	03/25/10	03/30/10	996.280:00 1:19	1.19	2.416.67	996,743,20	1,005,312,50
	FHLB TAP BONDS DTD 05/05/2005 4.375% 06/08/2012	3133XBT39	2,780,000.00 AAA	AAA	Aaa	08/02/08	80/90/80	2,812,292,48	4.04	7,770.49	2,796,971.12	2,951,143.75
	FHLMC GLOBAL NOTES DTD 05/21/2009 1.750% 06/15/2012	3137EACCI	4,460,000.00 - XAA	AAA	Aaa	05/22/09	05/26/09	4,454,648.00	1.79	3,468,89	4,456,528,51	4,547,806,25
	FNMA GLOBAL NOTES DTD 04/19/2010 1.250% 06/22/2012	31398AP71	1,100,000.00 AAA	AAA	Aaa	04/15/10	04/19/10	1,099,626.00	1.27	343.75	1,099,655.50	1,110,312.50
	FFCB BONDS DTD 10/15/2008 3:650% 10/15/2012	31331GDH9	4,690,000,00. A&A	SS.	Aaa	.10/07/08	10/15/08	4.691,547.70	3.64	36.139.06	4,690:912.44	4.981,659.38
Pa	FHLMC GLOBAL REFERENCE NOTES DTD 01/17/2003 4.500% 01/15/2013	3134A4SA3	6,475,000.00 AAA	AAA	Aaa	03/25/10	03/30/10	6,974,093.00	1.66	134,356.25	6,929,944.77	7,047,632.81
ge 1:	FHLMC GLOBAL NOTES DTD 03/04/2010 1.625% 04/15/2013	3137EACJ6	5;800;000:60 AAA	АФА	Aaa	03/03/10	03/04/10	5,791,880:00	1.67	30.631,25	5,792,733.18	5,887,000.00
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Managed Account Detail of Securities Held

For the Month Ending June 30, 2010

ABAMEDA GNINGTRANSPORIVATION AUTHORIT	ATHONINA UNI	HORRIN									
Security Type/Description			S&P	Moody's	Trade	Settle	Original	ΧŁ	Accrued	Amortized	Market
Dated Date/Coupon/Maturity	CUSIP	Par	Rating	Rating	Date	Date	Cost	at Cost	Interest	Cost	Value
Security Type Sub-Total		35,745,000.00					36,439,682.18	1.85	274,592.16	36,331,563.14	37,113,446.94
Corporate Note											
GENERAL ELECTRIC CAPITAL CORP (FLOAT) DTD 12/06/2006 0.618% 06/06/2011	36962GZ80	2,250,000.00 AA+	AA+	Aa2	05/13/09	05/18/09	2,079,243.23	5.28	926.71	2,171,550.92	2,247,106.50
WELLS FARGO & COMPANY GLOBAL SR NOTES DID: 08/29/2006-5:300%: 08/26/2011	. 949746NJ6	2,000,000,00 AA-	A	W	90/11/06	09/07/06	2.003,260.00	5.26	36,805.56	2,000,836.48	2,077,318.00
GENERAL ELEC CAP CORP GLOBAL SR MTN DTD 02/15/2002 5.875% 02/15/2012	36962GXS8	1,500,000.00 AA+	- AA+	Aa2	02/29/08	03/02/08	1,598,040.00	4.06	33,291.67	1,542,207,63	1,594,116.00
WELLS FARGO & COMPANY GLOBAL SR NOTES DTD 01/31/2008 4.375% 01/31/2013	949746NY3	1,000,000.00 AA-	A	A	08/26/08	08/29/08	959,600.00	5,41	18,350,69	975,292,43	1.057,172.00
Security Type Sub-Total		6,750,000.00					6,640,143.23	5.02	89,374.63	6,689,887.46	6,975,712.50
Managed Account Sub-Total		79,540,000.00					80,174,773.38	1.80	437,298.94	80,100,630.00	81,580,573.36
Securities Sub-Total		\$79,540,000.00					\$80,174,773.38 1.80%	1.80%	\$437,298.94	\$80,100,630.00	\$81,580,573.36
Accrued Interest Total Investments		-									\$82,017,872.30



Alameda County Transport. Improvement Authority
Account #471

Holdings Report As of 6/30/10

CUSIP	Security Description	Par Value/Units	Purchase Date Book Yield	Cost Value Book Value	Mkt Price Mkt YTM	Market Value Accrued Int.	% of Port. Gain/Loss	Moody S&P	Term (yrs) Duration
AGENCY									
3133XGLE2	FHLB Note 5.125% Due 9/10/2010	1,030,000.00	02/11/2010 0.24 %	1,059,015.10 1,039,809.87	100.95 0.22 %	1,039,805.60 16,276.15	3.44 % (4.27)	AAA	0.20
31331YGP9	FFCB Note 3.75% Due 12/6/2010	2,000,000.00	11/17/2009 0.37 %	2,070,764.00 2,029,192.46	101.50 0.28 %	2,030,000.00 5,208.33	6.63 % 807.54	AAA	0.44
31331YG46	FFCB Note 2.625% Due 4/21/2011	1,210,000.00	04/01/2010 0.51 %	1,236,674.45 1,230,583.43	101.78 0.41 %	1,231,553.73 6,176.04	4.03 % 970.30	AAA	0.81
31398AWQ1	FNMA Note 1.375% Due 4/28/2011	1,000,000.00	06/22/2010 0.40 %	1,008,195.00 1,007,982.83	100.78 0.43 %	1,007,813.00 2,406.25	3.29 % (169.83)	AAA	0.83
31359MJH7	FNMA Note 6% Due 5/15/2011	1,835,000.00	04/01/2010 0.56 %	1,945,492.69	104.91 0.38 %	1,925,030.61 14,068.33	6.32 % 3,273.39	AAA	0.86
31398ARH7	FNMA Note 3.375% Due 5/19/2011	1,500,000.00	01/08/2009 1.63 %	1,560,225.00 1,522,549.36	102.66 0.37 %	1,539,844.50 5,906.25	5.04 % 17,295.14	AAA	0.88
3133XQQQ8	FHLB Note 2.625% Due 5/20/2011	1,500,000.00	01/08/2009	1,532,209.50 1,512,083.24	101.94	1,529,062.50	5.00 % 16,979.26	AAA	0.88
31331JPX5	FFCB Note 0.5% Due 6/1/2011	1,000,000.00	06/22/2010 0.45 %	1,000,504.00 1,000,492.24	100.06 0.43 %	1,000,625.00	3.26 % 132.76	AAA	0.92
3133XR4U1	FHLB Note 3.125% Due 6/10/2011	1,920,000.00	04/01/2010 0.59 %	1,977,262.08	102.25 0.73 %	1,963,200.00	6.41 % (2,503.38)	AAA	0.95
3134A4FM1	FHLMC Note 6% Due 6/15/2011	1,410,000.00	Various 2.63 %	1,495,439.22	105.34 0.41 %	1,485,347.58 3,760.00	4.85 % 31,545.39	AAA	0.96
313317Y46	FFCB Note 3.9% Due 6/20/2011	750,000.00	06/28/2010 0.44 %	775,252.50 775,110.63	103.34 0.45 %	775,078.50 893.75	2.53 % (32.13)	AAA	0.96
3137EABN8	FHLMC Note 3.875% Due 6/29/2011	1,000,000.00	06/22/2010 0.45 %	1,034,750.00 1,034,000.67	103.38 0.48 %	1,033,750.00 215.28	3.37 % (250.67)	AAA	0.99
3133XRRU6	FHLB Note 3.625% Due 7/1/2011	1,500,000.00	01/08/2009	1,565,748.00 1,526,575.88	103.25	1,548,750.00 26,885.42	5.13%	AAA	1.00
31331VJ80	FFCB Note 5.375% Due 7/18/2011	1,000,000.00	06/16/2008 3.98 %	1,039,964.00 1,013,582.07	105.13 0.48 %	1,051,250.00 24,336.81	37,667.93	AAA	1.05
3137EAAF6	FHLMC Note 5.25% Due 7/18/2011	1,400,000.00	01/08/2009	1,524,387.20	105.03 0.44 %	1,470,438.20	4.90%	AAA	1.05
3133XHPH9	FHLB Note 4.875% Due 11/18/2011	1,000,000.00	10/28/2008 3.78 %	1,031,172.00 1,014,118.26	105.88 0.60 %	1,058,750.00 5,822.92	3.47 % 44,631.74	AAA	1.39
Total Agency		21,055,000.00	1.27 %	21,857,054.74 21,498,991.46	0.43 %	21,690,299.22 153,635.75	71.17 %	AAA AAA	0.87
							•		

FDIC.INSUREL	nus corporate								
69351CAA1	PNC Funding Corp FDIC Guaranteed	900'000'006	05/27/2010 0.58 %	912,278.70 911,353.81	101.27 0.57 %	911,405.70 421.88	2.97 % 51.89	Aaa	0.98
13		1,225,000.00	03/10/2009	1,224,853.00	102.60 0.71 %	1,256,831.63 8,268.75	4.12 % 31,914.92	Aaa AAA	1.70

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Execution Time: 7/2/2010 11:03:04 AM

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Alameda County Transport. Improvement Authority

Holdings Report As of 6/30/10

				01 100 10 54					
disilo	Security Description	Par Value/Units	Purchase Date Book Yield	Cost Value Book Value	Mkt Price Mkt YTM	Market Value Accrued Int.	% of Port. Gain/Loss	Moody S&P	Term (yrs) Duration
Solic Institled	HOLENING CORROGANE								
38146FAA9	Goldman Sachs FDIC Guaranteed Note 3.25% Due 6/15/2012	800,000.00	12/08/2008 2.83 %	811,088.00 806,184.02	104.71 0.82 %	837,703.20 1,155.56	2.73 % 31,519.18	Aaa AAA	1.96 1.90
06050BAA9	Bank of America Corp FDIC Guaranteed Note 3.125% Due 6/15/2012	800,000.00	12/19/2008 2.01 %	829,896.00 816,844.48	104.43 0.84 %	835,464.00 1,111.11	2.73 % 18,619.52	Aaa AAA	1.96
949744AC0	Wells Fargo & Company FDIC Guaranteed Note 2.125% Due 6/15/2012	740,000.00	03/25/2009 2.13 %	739,807.60 739,882.72	102.69 0.74 %	759,880.84 698.89	2.48 % 19,998.12	AAA AAA	1.96
Total FDIC Insu	Total FDIC Insured US Corporate	4,465,000.00	1.95 %	4,517,923.30 4,499,181.74	0.73 %	4,601,285.37 11,656.19	15.03 % 102,103.63	Aaa AAA	1.70
MONEY MARKET FUND H	ET FUND FI								
431114701	Highmark Govt Money Market Fund	55,017.33	Various 0.05 %	55,017.33 55,017.33	1.00 0.05 %	55,017.33 0.00	0.18 %	Aaa AAA	0.00
Total Money Market Fund Fl	arket Fund Fl	55,017.33	% 50 .0	55,017.33 55,017.33	0.05 %	55,017.33 0.00	0.18 % 0.00	Aaa AAA	0.00
USTREASURY									
912828FA3	US Treasury Note 4.75% Due 3/31/2011	1,000,000.00	07/10/2008 2.67 %	1,054,300.23 1,014,928.46	103.31 0.33 %	1,033,125.00 11,939.89	3.41 % 18,196.54	TSY TSY	0.75
912828LF5	US Treasury Note 1.125% Due 6/30/2011	1,000,000.00	06/28/2010 0.37 %	1,007,542.41 1,007,501.19	100.72 0.40 %	1,007,227.00 30.57	3.28 % (274.19)	TSY TSY	1.00
912828FS4	US Treasury Note 4.625% Due 8/31/2011	1,000,000.00	07/10/2008 2.77 %	1,055,237.73 1,020,533.40	104.86 0.45 %	1,048,555.00 15,458.56	3.47 % 28,021.60	TSY TSY	1.17
912828FU9	US Treasury Note 4.5% Due 9/30/2011	1,000,000.00	07/10/2008 2.79 %	1,052,190.85 1,020,237.27	105.10 0.41 %	1,051,016.00 11,311.48	3.46 % 30,778.73	TSY TSY	1.25 1.22
Total US Treasury	ury	4,000,000.00	2.16 %	4,169,271.22 4,063,200.32	0.40 %	4,139,923.00 38,740.50	13.62 % 76,722.68	TSY	1.04
TOTAL PORTFOLIO	ОГЮ	29,575,017.33	1.49 %	30,599,266.59 30,116,390.85	0.47 %	30,486,524.92 204,032.44	100.00 % 370,134.07	Aaa AAA	1.02
TOTAL MARKE	TOTAL MARKET VALUE PLUS ACCRUED					30,690,557.36			

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Managed Account Detail of Securities Held

For the Month Ending June 30, 2010

Security Paper Security Part Reting Part Part Reting Part Part Reting Part Reting Part Reting Part Part Ret		NAMED AND THE STANDARD AND THE WOOD AND THE											
U.S. Treasury Bond / Note	(J	Security Type/Description Dated Date/Coupon/Maturity	CUSIP	Par	S&P Rating	Moody's Rating	Trade Date	Settle Date	Original Cost	YTM at Cost	Accrued Interest	Am	Market Value
Expression Exp		U.S. Treasury Bond / Note											
Facetral Agency Discount Note A20,000.00		IS TREASURY NOTES VTD: 09/30/2008 2:000% 09/30/2010::	912828315	420,00010	O TSY	TSY	07/15/09	07/116/09	426,956,25	GOOD FLET TO THE	2,111,48	421,435,64	421,870,26
Federal Agency Discount Note Fig. 200.00 A-1+ P-1 02/24/10 02/25/10 6.124,366.51 0.35 0.00 6.131,831.66	ı v	ecurity Type Sub-Total		420,000.0	0				426,956.25		2,111.48	421,435.64	421,870.26
Security Type Sub-Total 6,143,000,00 AA		Federal Agency Discount Note											
Total Cont. Note Cont.	⊒ 11≟	-NMA DISC NOTE 0.000% 01/04/2011	313588AD7	6,143,000.0	0 A-1+	P-1	02/24/10	02/25/10	6,124,306.51	l	0.00	6,131,831.66	6,133,575.96
Federal Agency Bond / Note National Agency Bond / National	ı v	ecurity Type Sub-Total		6,143,000.0	0				6,124,306,51		00.00	6,131,831.66	6,133,575.96
HEB TAP BONDS THE BONDS HEB DANS		Federal Agency Bond / Note											
FFCB BONDS THE BONDS 31331XE40 2.562,000.00 AAA 07/10/09 07/15/09 2.698,733.94 0.63 40.351.50 2.588,603.12 FINIT 06/13/2007 5.250% 09/13/2010 3137E40 2.552,000.00 AAA 12/01/09 12/03/09 \$253,322/40 0.51 2.483.40 \$519,645.83 FINIT 06/13/2007 5.250% 09/13/2010 3137EABNB 4.765,000.00 AAA AAA 06/28/10 4.954,504.05 0.66 1.025.80 4.916,587.71 PHUNC GLOBAL REFIRENCE NOTES 3137EABNB 9,670,000.00 AAA AAA 06/28/10 9,999,583.50 0.46 2.0817.74 9,997/724.31 2.0817.74 9,997/724.31 2.0817.74 9,997/724.31 2.0817.74 9,997/724.31 2.0817.74 2.916,5780.57 2.515,780.57	-ш-С	HILB TAP: BONDS 3TD 08/11/2006:5:125% 09/10/2010	3133XGLEZ		o AAA	Aaa	0//110/09	02/17/0	5,257.750.00		79,010,42	5,042,949.80	5,050,000.00
FNUM GLOBAL NOTES 31398AVOZ 515.000100 AAA Aaa 12/01/09	∞ ш. С	FCB BONDS)TD 06/13/2007 5.250% 09/13/2010	31331XE40	2,562,000.C	O AAA	Aaa	07/10/09	07/15/09	2,698,733.94		40,351.50	2,585,605.12	2,586,819.38
HILMC GLOBAL REFRENCE NOTES 3137EABN8 4,765,000,00 AAA Aaa 03/31/10 04/01/10 4,954,504.05 0.66 1.025.80 4,916,587.71 DTD 06/13/2008 3.875% 06/29/2011 HILMC GLOBAL REFRENCE NOTES 3137EABN8 9;570,000.00 AAA Aaa 06/28/10 06/29/10 9;999;553.60 0.46 2.081.74 9;997,724.81 Security Type Sub-Total Ananaged Account Sub-Total Accrued Interest Total Investments Total Investments 1337EABN8 4,765,000.00 AAA Aaa 06/28/10 06/29/10 9;999;553.60 0.46 2.081.74 9;997,724.81 22,512,000.00 AAA Aaa 06/28/10 06/29/10 9;999;553.60 0.46 2.081.74 9;997,724.81 22,512,000.00 29,095,126.75 0.52 127,034.34 \$29,615,780.57 \$20,615,780.57 \$2	.,цв/:(С).	NWA GLOBAL NOTES 7TD 02/27/2009 1.750% 03/23/2011		515,00010	\$1000 NO. 1750		12/01/09	12/03/09	523,322.40	F50			519,989.06
HLIND, GLOBAL REFERENCE NOTES 3137EABN 3. 9,670,000,00 AAA Aaa 06/28/10 0,999,553.50 0.46 2,081.74 9,997,724.81 PID 06/13/2008 3.875% 06/29/2011 22,512,000.00 22,512,000.00 23,433,863.99 0.56 124,922.86 23,062,513.27 25 Managed Account Sub-Total \$29,075,000.00 \$29,985,126.75 0.52 127,034.34 \$29,615,780.57 25 Securities Sub-Total \$229,075,000.00 \$29,985,126.75 0.52% \$127,034.34 \$29,615,780.57 \$25 Accrued Interest Total Investments 7 7 7 7	≈ ц Д	THLMC GLOBAL REFERENCE NOTES)TD 06/13/2008 3.875% 06/29/2011	4	4,765,000.0	1	5	03/31/10	04/01/10 04/01/10	4,954,504.05	Ô	1,025.80	4,916,587.71	4,925,818.75
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Accrued Interest Total Investments	ויי	ecurities Sub-Total		\$29,075,000.0					\$29,985,126.75		\$127,034.34	\$29,615,780.57	\$29,634,435.91
Total Investments		Accrued Interest											\$127,034.34
		fotal Investments											\$29,761,470.25
	ا - م												



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Memorandum

DATE: September 2, 2010

TO: Finance and Administration Committee

FROM: Arthur L. Dao, Executive Director

Arun Goel, Associate Transportation Engineer

SUBJECT: Approval of the ACTIA Semi-Annual Local Business Contract Equity (LBCE)

Program Utilization Report of Local Business Enterprise and Small Local Business Enterprise for the Period of January 1, 2010 through June 30, 2010

Recommendation:

It is recommended that the Commission approve the attached Semi-Annual LBCE Program Utilization Report for the payment period of January 1, 2010 to June 30, 2010. The contracts and contract payment data which serve as a basis for this report have been reviewed and accepted by the Authority's contract equity consultant L. Luster and Associates.

Summary:

In the current reporting period there were a total of 31 active contracts with LBCE Program goals. Of these contracts roughly 92% of payments or \$7.1 million went to firms certified as Local Business Enterprises (LBE) and 52% of payments or \$4.0 million went to firms certified as Small Local Business Enterprises (SLBE). In aggregate, the LBE goal of 70% and the SLBE goal of 30% for Administrative and Engineering contracts were exceeded.

For these same contracts, 15% of payments or \$1.1 million went to firms certified as Very Small Local Business Enterprises (VSLBE), 27% of payments or \$2.1 million went to firms certified as Disadvantaged Business Enterprises (DBE), 23% of payments or \$1.8 million went to firms certified as minority-owned business enterprises (MBE), and 5% of payments or roughly \$384,200 went to firms certified as woman-owned business enterprises (WBE).

For contracts without LBE or SLBE goals, roughly 31% of payments or \$4.5 million went to LBE-certified firms and 1.5% of payments or roughly \$213,000 went to SLBE-certified firms. Of these contracts 0.2% of payments or about \$32,100 went to DBE-certified firms, 0.2% or about \$31,500 went to MBE-certified firms, and 0.01% or \$630 went to WBE-certified firms.

There were a total of 318 firms certified with the Authority as of June 30, 2010, of which 54 were new certifications. Firms certified as of January 1, 2009, are categorized using the North American

Industry Classification System (NAICS) to increase solicitation of bidders from prime contractors and subcontractors, as well as to facilitate networking between firms.

Background:

In 1989, the Board established a program for the procurement of professional services. That policy set goals of 70% for LBE, 25% for MBE, and 5% for WBE.

In 1995, the Board approved a program for construction contracts that set overall participation goals of 60% for LBE, 33% for MBE, and 9% for WBE. Those goals were based on a disparity study in addition to extensive public input from both the prime and minority contracting communities. Specific goals are set for each construction contract, based on biddable items and availability of LBE/MBE/WBE firms.

As a result of the passage of Proposition 209 in 1996, and the United States Department of Transportation's issuance of the final ruling on the Disadvantaged Business Enterprise program in 2000, the Authority suspended its MBE/WBE program and goal requirements. In lieu of the suspended MBE/WBE program, the Authority adopted two programs: the Local and Small Local Business Enterprise (LBE/SLBE) program for contracts funded with local dollars and the Disadvantaged Business Enterprise (DBE) program for contracts funded with federal dollars. In January 2008, the Board subsequently adopted the Revised LBE/SLBE Program and renamed this program as the Local Business Contract Equity Program.

The Boards approved modifications to the LBCE Program which were aimed at increasing SLBE participation in all areas of the Authority's contracting opportunities, particularly with construction contracting. The revised program became effective for Authority-led contracts as of February 2008 and for all Sponsor-led projects awarded after July 2008.

The Authority currently does not have any federally assisted contracts requiring the application of the DBE goals and therefore none was reported. Project sponsors that have contracts funded with federal or state funds are subject to federal and state oversight relative to DBE Program compliance and goal attainment reporting.

On a semi-annual basis, staff prepares the LBCE Utilization Report to provide the status and progress on the utilization of:

- 1. LBE/SLBE on active Measure B funded contracts awarded by the Authority and sponsoring agencies; and
- 2. MBE/WBE participation on active contracts awarded by the Authority and sponsoring agencies that were exempted from the application of the Authority's LBCE Program and goals. Measure B-funded contracts exempted from the LBCE Program and goals were those that are also funded with Federal and/or State funds, with non-local funds, or with less than \$50,000 in contract value.

Utilization is determined by collecting and analyzing financial data relative to the amounts awarded and paid to LBE, SLBE, VSLBE, DBE, MBE, and WBE prime and subcontractors in three (3) contract categories:

- Administrative Services Contracts most of the contracts in this group are annually renewed
 administrative services contracts to assist the Authority in the administration of the Measure B
 Program. These services include affirmative action support, general counsel, federal and state
 legislative advocacy, auditors, financial advisors, information and computer services, and project
 controls, among others.
- 2. Engineering Services Contracts contracts in this group are primarily engineering services contracts to assist the Authority in the development and delivery of capital projects.
- 3. Construction Contracts contracts in this group are specific to construction contracts awarded to builders of transportation facilities such as roadway and transit improvements.

Key information monitored and reported includes LBE, SLBE, VSLBE, DBE, MBE, and WBE utilization on all active contracts as of June 30, 2010.

Summary of Results for Current Reporting Period:

As shown in Table 1 of this report, the LBE goal of 70% and the SLBE goal of 30% were exceeded in both the administrative services contract and engineering services contract categories where the LBCE Program is applicable. There were no active payments on construction contracts with applicable goals during this reporting period.

		- Contracts with LBCF ntracts: Goals = 60%-7						
	Number of	Payments	from Jan	uary 1, 20)10 throug	gh June 30	0, 2010	
Contract Type	Contracts	Payment Amount	LBE %	SLBE %	VSLBE %	DBE %	MBE %	WBE %
Administrative	19	\$1,904,092.69	90%	73%	52%	44%	44%	8%
Engineering	12	\$5,744,053.41	93%	45%	2%	22%	16%	4%
Construction	0	\$0.00	0%	0%	0%	0%	0%	0%
All Industries	31	\$7,648,146.10	92%	52%	15%	27%	23%	5%

Table 2 below summarizes participation of local and small local firms, as well as firms owned by disadvantaged minorities or women on contracts that were exempt from the Authority LBCE Program goals. Per policy, the LBCE Program was not applied to these contracts, either because they are jointly funded with federal and/or state funds, non-local funds, or because they are less than \$50,000 in contract value. Nevertheless, 31% of payments in this contract category went to certified local firms, 2% went to small local firms, 0.2% went to disadvantaged firms, 0.2% went to minority-owned firms, and 0.004% went to woman-owned firms.

	TABLE 2 – Co	ntracts Exempt from L	BCE Pro	gram Goa	al Require	ements		
G	Number of	Payments fro	om Janua	ry 1, 2010) through	June 30, 2	2010	
Contract Type	Contracts	Payment Amount	LBE %	SLBE %	VSLBE %	DBE %	MBE %	WBE %
Administrative	1	\$14,435.36	0%	0%	0%	0%	0%	0%
Engineering	12	\$6,303,131.91	33%	3%	0%	0.5%	0.5%	0.01%
Construction ^{1,2}	4	\$7,906,826.95	30%	0.3%	0%	0.02%	0%	0%
All Industries	17	\$7,387,434.04	31%	2%	0%	0.2%	0.2%	0% ³

¹ Includes construction contracts pending close-out

Reporting Process:

Data collection on all active and open contracts began on July 1, 2010, by surveying prime contractors and subcontractors for verification of payment amounts and timing. For the current reporting period 62 payment verification survey forms were sent to prime contractors and subcontractors. Approximately 65% responded during the allotted time.

The Authority utilized the same method of reporting from the last reporting period—July through December 2009—which included an automated summary of processed payments by vendor (similar to a bank statement) and an automated utilization report generated from an in-house database (see Attachment 1: Contract Equity Utilization Report).

In regards to billing and timely receipt of payment, approximately 98% of the respondents indicated that they had not experienced any billing-related issues and 88% indicated that they had received timely payments from the Authority/sponsors/prime contractors. None of the billing and payment-related issues reported to the Authority required the assistance of the Contract Equity consultant and all issues were resolved prior to the development of this report.

The participation and statistics, which serve as a basis for this report, have been independently reviewed and verified by the firm L. Luster and Associates. As stated in the attached letter from L. Luster and Associates (see Attachment 2: Independent Review of ACTIA Semi-Annual Contract Equity Utilization Report Data), this report was found to be materially accurate and complete.

Certification Update:

Table 3 below summarizes by contract type the number of active firms certified with the Authority and new firms that were certified since January 1, 2010. Prior to January 1, 2010, there were 277 active firms certified with the Authority. By June 30, 2010, the Authority's list of certified firms had grown to 318, an increase of 14.8%. All 318 firms are certified LBE, 215 firms or 67.6% of the total number of certified firms are certified SLBE, and 142 firms or 44.7% are certified VSLBE.

Includes construction contracts where Caltrans is the sponsor – Caltrans DBE program applies (currently race-neutral program applies to contracts included in this report)

³ Share of payments to Women Business Enterprises is 0.004%.

Since January 1, 2010, 79 firms were certified with the Authority, all of which were new certifications. Of these firms 17 certifications or 21.5% of the new certifications were processed and approved in conjunction to construction and administrative contracting opportunities.

As of January 1, 2009, all certified firms are categorized using the North American Industry Classification System (NAICS) to increase solicitation of bidders from prime contractors and subcontractors and also to facilitate networking between firms.

Table 3	- Certified Firn	ns by Contract	Types	
Contract Type	LBE ¹	SLBE ²	VSLBE	# of New Firms Certified this Reporting Period
Administrative/Engineering	47	32	27	47
Commodities/Vendors	11	8	5	11
Construction	21	7	4	21
TOTAL	79	47	36	79

¹ Includes SLBE and VSLBE certified firms

Outreach Activities Update:

The contract equity consultants continued to undertake its outreach activities for RFPs released during the reporting period. There was a total of one RFP released by the Authority: it was a professional services contract. In addition, there was also one construction contract procured by the City of Hayward.

Additional activities conducted by ACTIA and represented by L. Luster and Associates include providing LBCE Program and certification information and support, interagency outreach coordination, and regional transportation and transit agency business outreach coordination.

Assumptions/Data Sources:

- 1. Ethnicity and gender information in this report are compiled from Caltrans' Certified DBE list and/or based on anecdotal submission information provided by the vendors.
- 2. All percentages were calculated from cumulative actual payments to prime and subcontractors using an in-house database designed to track active contracts and compare results with the Authority's accounting system.
- 3. Surveys were sent to all vendors on active contracts; the responses were compiled, reviewed, and accounted for when possible. Errors in vendor reports were noted and clarifications were requested for follow-up. It was further noted that the interpretations by the vendors on information submitted and the information they had available were attributable to some discrepancies with information the Authority, prime and subcontractor collected.

² Includes VSLBE certified firms

Fiscal Impacts:

Approval of this Report has no fiscal impact.

Attachments:

Attachment A – Contract Equity Utilization Report

Attachment B – Independent Review of ACTIA Semi-Annual Contract Equity Utilization Report Data

Attachment C – Letter from Supervisor Miley (dated August 9, 2010) – Commitment to Local Business Contract Equity (LBCE)

ATTACHMENT 1

Reporting	g Period End Date: 06/30/10							Curre	ent Reporti	ng Period S	tart Date:		01/01/10	End D	ate:	06/30/10
Project		Contract	Total Payment	Payment		Cumu	lative To R	eporting En	d Date			C	urrent Rep	orting Peri	od	
Number	Company	Amount	to Date	Current Period	LBE	SLBE	VSLBE	DBE	MBE	WBE	LBE	SLBE	VSLBE	DBE	MBE	WBE
Contrac	ct Type: Administrative Contracts															
Goal Re	equirements of 70% for LBE and 309	% for SLBE														
1-02																
ACTIA	A06-0011.1 - LanLogic, Inc.	\$191,967.39	\$164,171.35	\$21,606.00	100.00%	100.00%	0.00%	0.00%	0.00%	0.00%	100.00%	100.00%	0.00%	0.00%	0.00%	0.00%
ACTIA	A07-0052 - Lynn M Suter	\$157,639.30	\$151,057.20	\$26,258.40	0.00%	0.00%	0.00%	0.00%	0.00%	0.00%	0.00%	0.00%	0.00%	0.00%	0.00%	0.00%
ACTIA	A08-0018 - PFM ASSET MANAGEMENT, LLC	\$240,000.00	\$193,483.65	\$49,503.14	0.00%	0.00%	0.00%	0.00%	0.00%	0.00%	0.00%	0.00%	0.00%	0.00%	0.00%	0.00%
ACTIA	A08-0019 - CHANDLER ASSET MANAGEMENT	\$240,000.00	\$213,352.00	\$50,660.00	0.00%	0.00%	0.00%	0.00%	0.00%	0.00%	0.00%	0.00%	0.00%	0.00%	0.00%	0.00%
ACTIA	A08-0051 - Anue Management Group, Inc.	\$127,300.00	\$122,841.74	\$26,749.74	100.00%	100.00%	100.00%	100.00%	100.00%	100.00%	100.00%	100.00%	100.00%	100.00%	100.00%	100.00%
ACTIA	A08-0052 - L. Luster & Associates	\$260,000.00	\$246,952.65	\$68,780.76	100.00%	100.00%	60.50%	100.00%	100.00%	100.00%	100.00%	100.00%	70.04%	100.00%	100.00%	100.00%
ACTIA	A09-0001 - Wendel, Rosen, Black & Dean	\$435,000.00	\$228,851.90	\$128,192.91	100.00%	0.32%	0.32%	0.00%	0.00%	0.00%	100.00%	0.00%	0.00%	0.00%	0.00%	0.00%
ACTIA	A09-0002 - The Gibbs Law Group, P.C.	\$127,500.00	\$110,000.00	\$60,000.00	100.00%	100.00%	100.00%	100.00%	100.00%	0.00%	100.00%	100.00%	100.00%	100.00%	100.00%	0.00%
ACTIA	A09-0004 - Bess Test Lab	\$100,000.00	\$9,690.00		0.00%	0.00%	0.00%	0.00%	0.00%	0.00%						
ACTIA	A09-0005 - Francis Fruzzetti	\$115,000.00	\$74,215.20	\$43,488.72	100.00%	100.00%	100.00%	0.00%	0.00%	0.00%	100.00%	100.00%	100.00%	0.00%	0.00%	0.00%
ACTIA	A09-0006 - Bay Area Program Management Group	\$1,960,000.00	\$1,402,657.92	\$727,543.73	98.64%	95.96%	46.63%	46.63%	46.63%	0.00%	99.86%	94.68%	45.33%	45.33%	45.33%	0.00%
ACTIA	A09-0007 - Moore Iacofano Goltsman, Inc.	\$220,000.00	\$180,516.36	\$75,277.41	82.85%	25.54%	25.54%	0.00%	0.00%	0.00%	78.34%	30.26%	30.26%	0.00%	0.00%	0.00%
ACTIA	A09-0009 - Patel and Associates	\$50,000.00	\$33,848.75	\$17,078.75	100.00%	100.00%	100.00%	0.00%	0.00%	0.00%	100.00%	100.00%	100.00%	0.00%	0.00%	0.00%
ACTIA	A09-0010 - Rochelle Wheeler	\$281,000.00	\$135,435.65	\$72,656.27	73.08%	73.08%	73.08%	0.00%	0.00%	72.69%	73.49%	73.49%	73.49%	0.00%	0.00%	72.77%
ACTIA	A09-0011 - Acumen Building Enterprise, Inc.	\$660,000.00	\$561,036.36	\$302,094.81	100.00%	100.00%	100.00%	97.93%	97.93%	1.88%	100.00%	100.00%	100.00%	97.49%	97.49%	2.51%
ACTIA	A09-0029 - MV TRANSPORTATION INC	\$50,000.00	\$20,857.60	\$12,067.10	100.00%	0.00%	0.00%	0.00%	0.00%	0.00%	100.00%	0.00%	0.00%	0.00%	0.00%	0.00%
ACTIA	A09-0031 - Nelson/Nygaard Consulting Associates	\$335,700.00	\$314,895.49	\$167,956.35	100.00%	29.07%	29.07%	27.48%	27.48%	1.59%	100.00%	32.45%	32.45%	30.98%	30.98%	1.48%
ACTIA	A09-0034 - Quantum Market Research, Inc.	\$110,000.00	\$95,778.00	\$30,280.00	100.00%	100,00%	100.00%	0.00%	0.00%	0.00%	100.00%	100.00%	100.00%	0.00%	0.00%	0.00%
ACTIA	A10-0019 - Maze and Associates	\$113,140.00	\$23,373.60	\$23,373.60	0.00%	0.00%	0.00%	0.00%	0.00%	0.00%	0.00%	0.00%	0.00%	0.00%	0.00%	0.00%
Sun	nmary for Administrative Contracts with C	Soal Requirem	ents (19 detail red	ords)			THE STREET			THE PERSON						
				\$1,903,567.69	84.18%	69.86%	47.60%	41.32%	41.32%	11.30%	90.21%	73.00%	51.92%	43,70%	43 700/	0.224
Fyamni	t of Goal Requirements		34,203,013.42	\$1,505,507.05	04.10%	09.00%	47.00%	41.52%	41.52%	11.50%	90.21%	73.00%	51.92%	43.70%	43.70%	8.329
	tor doar requirements															
1-02											discussion of the same		10110000 to 10010000			
	A09-0008 - SIMON & CO INC	\$30,000.00	\$27,426.03	\$14,435.36	0.00%	0.00%	0.00%	0.00%	0.00%	0.00%	0.00%	0.00%	0.00%	0.00%	0.00%	0.00%
Sun	nmary for Administrative Contracts witho	ut Goal Requir	ements (1 detail i	record)												
Tot	al		\$27,426.03	\$14,435.36	0.00%	0.00%	0.00%	0.00%	0.00%	0.00%	0.00%	0.00%	0.00%	0.00%	0.00%	0.009
Summa	ry for all Administrative Contracts with ar	nd without Goa	al Requirements (20 detail records)												
Total			\$4,310,441.45	\$1,918,003.05	83.65%	69.42%	47.29%	41.06%	41.06%	11.22%	89.53%	72.45%	51.53%	43.37%	43.37%	8.269
Contra	ct Type: Construction Contracts (in	cluding Spor	nsor Lead)													
Evemn	t of Goal Requirements	h-18/2012/14/2014/2014/00		ACTOR CANADAC TO ACCOUNT TO ACCOUNT	0151121121121121121	CHARLES STORY	USE MICHIGARY OF THE	AMERICAN PROPERTY.	Linconstille Linconstille	e Louis de Louis		1278 (NATA STATE	PRO MORSHOW	IORDANA MENG		CONTRACTOR
A06-0020	or dour nequirements															
								To other			ania-terreparte-4es	National Policy States	Posts sinkering of Super	SEASON PROPERTY.	UTHERSON AND WATER	October Conflictories
06	A06-0020 - Union City	\$12,561,000.00	\$8,657,647.26	\$5,123,962.06	3.23%	0.20%	0.00%	0.06%	0.00%	0.00%	0.00%	0.00%	0.00%	0.04%	0.00%	0.009
A07-0065											000000000000000000000000000000000000000	BONDADOSONANIA	SERVICE MARKETON	NUMBER OF THE PARTY	CONSIDERATION OF THE PARTY OF T	SOVONNESSONAMS
11	02 - Bay Cities Paving and Grading	\$859,000.00	\$859,000.00	\$859,000.00	100.00%	2.80%	0.00%	0.00%	0.00%	0.00%	100.00%	2.80%	0.00%	0.00%	0.00%	0.009
A07-0001											*****************	2020 2000 2000		5000000000000000	200000000000000000000000000000000000000	2014200000000
12	A08-0047 - RGW Construction Company	\$12,696,403.60	\$11,271,001.57	\$1,923,864.89	65.33%	0.00%	0.00%	0.00%	0.00%	0.00%	79.23%	0.00%	0.00%	0.00%	0.00%	0,009
Sur	nmary for Construction Contracts (includi	ng Sponsor Lea	ad) without Goal I	Requirements (3	detail recor	ds)										
Tot	al		\$20,787,648.83	\$7,906,826.95	40.90%	0.20%	0.00%	0.02%	0.00%	0.00%	30.14%	0.30%	0.00%	0.02%	0.00%	0.009

Thursday, August 05, 2010

Page 1 of 4

ATTACHMENT 1

Reporting Period End Date: 06/30/10							Curre	nt Reportin	ng Period St	tart Date:	(1/01/10	End Da	ate:	06/30/10
Project	Contract	Total Payment	Payment		Cumul	ative To Re	porting En	d Date			Cı	rrent Rep	orting Peri	od	
Number Company	Amount	to Date	Current Period	LBE	SLBE	VSLBE	DBE	MBE	WBE	LBE	SLBE	VSLBE	DBE	MBE	WBE
Summary for all Construction Contracts (incl															
Total	CONTRACTOR PROPERTY AND CONTRACTOR	\$20,787,648.83	\$7,906,826.95	40.90%	0.20%	0.00%	0.02%	0.00%	0.00%	30.14%	0.30%	0.00%	0.02%	0.00%	0.00%
Contract Type: Cooperative Agreemer	nt with CalTrans														
Exempt of Goal Requirements															
I-01															
21 4-1925 - CALTRANS	\$69,623,641.08	\$69,623,641.08		12.01%	0.00%	0.00%	0.35%	0.00%	0.35%						
Summary for Cooperative Agreement wit	th CalTrans without	Goal Requireme	nts (1 detail reco	rd)											
Total		\$69,623,641.08		12.01%	0.00%	0.00%	0.35%	0.00%	0.35%						
Summary for all Cooperative Agreement with	h CalTrans with and	without Goal Re	quirements (1 de	tail record)											
Total		\$69,623,641.08		12.01%	0.00%	0.00%	0.35%	0.00%	0.35%		Winds of the Control				dente contribut
Contract Type: Funding Agreement															
Exempt of Goal Requirements															
A09-0013															
02 01 - BART	\$54,651,864.85	\$7,016,941.47	\$7,016,941.47	6.10%	3.50%	0.00%	2.97%	2.43%	0.67%	6.10%	3.50%	0.00%	2.97%	2.43%	0.67%
A08-0003-A															
14A A08-0003-A - ACCMA	\$1,466,833.88	\$4,100.00	\$4,100.00	100.00%	100.00%	0.00%	0.00%	0.00%	0.00%	100.00%	100.00%	0.00%	0.00%	0.00%	0.00%
A07-0063															
15 01 - City of Hayward	\$497,968.97	\$332,042.80	\$332,042.80	87.70%	36.66%	0.00%	0.00%	0.00%	0.00%	87.70%	36.66%	0.00%	0.00%	0.00%	0.00%
A07-0064										economie e e e e e e e e e e e e e e e e e e	PACE TREBUNE	-	-		
19 01 - San Leandro	\$28,273.50	\$18,273.50	\$18,273.50	0.00%	0.00%	0.00%	0.00%	0.00%	0.00%	0.00%	0.00%	0.00%	0.00%	0.00%	0.00%
A09-0012						0.000						Constitution (Constitution (Constitution (Constitution (Constitution (Constitution (Constitution (Constitution			
19 A09-0012 - San Leandro	\$247,436.00	\$36,876.46	\$36,876.46	96.96%	0.00%	0.00%	0.00%	0.00%	0.00%	96.96%	0.00%	0.00%	0.00%	0.00%	0.00%
A05-0051 22 01 - ACCMA	£353 333 63	\$382,303.15	\$215,032.47	97.09%	52.67%	0.00%	0.00%	0.00%	0.00%	97.71%	56.96%	0.00%	0.00%	0.00%	0.00%
22 01 - ACCMA A07-0058	\$757,323.82	\$382,303.15	\$215,032.47	37.03%	32.07%	0.0070	0.00%	0.00%	0.00%	37.7170	30.30/2	0.00%	0.00	0.00%	0.00%
23 01 - Livermore	\$1,613,000.00	\$894,954.39		91.06%	0.00%	0.00%	0.00%	0.00%	0.00%						
A08-0045	V 2,023,000.00	,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,							-						
23 01 - Livermore	\$19,600,000.00	\$688,019.02	\$465,416.31	32.35%	0.00%	0.00%	0.00%	0.00%	0.00%	0.00%	0.00%	0.00%	0.00%	0.00%	0.00%
A07-0038											NC COLORS COLORS COLORS				Option Control
26 01 - ACCMA	\$691,477.30	\$491,477.30	\$491,477.30	98.53%	0.58%	0.00%	0.00%	0.00%	0.00%	98.53%	0.58%	0.00%	0.00%	0.00%	0.00%
Summary for Funding Agreement withou	t Goal Requiremen	ts (9 detail recon	ds)												
Total		\$9,864,988.09	\$8,580,160.31	26.88%	5.84%	0.00%	2.11%	1.73%	0.48%	16.94%	5.79%	0.00%	2.43%	1.98%	0.55%
Summary for all Funding Agreement with an	d without Goal Req	uirements (9 det	ail records)												
Total		\$9,864,988.09	\$8,580,160.31	26.88%	5.84%	0.00%	2.11%	1.73%	0.48%	16.94%	5.79%	0.00%	2.43%	1.98%	0.55%
Contract Type: Professional Services/E	Engineering Cont	tracts													
Goal Requirements of 70% for LBE and	30% for SLBE														
A06-0021															
10 A06-0043 - Kimley-Horn and Associates Inc.	\$1,480,000.00	\$1,433,622.06	\$44,213.33	97.71%	29.53%	0.00%	11.47%	11.47%	0.00%	98.31%	27.22%	0.00%	7.06%	7.06%	0.00%
10 A09-0037 - WMH Corporation	\$346,070.00	\$181,573.44	\$181,573.44	93.34%	93.34%	72.16%	0.00%	0.00%	0.00%	93.34%	93.34%	72.16%	0.00%	0.00%	0.00%
A07-0001															
12 A05-0045 - Mark Thomas & Company, Inc.	\$3,831,900.91	\$3,602,382.75	\$67,927.68	83.26%	26.85%	0.33%	14.95%	9.14%	5.81%	85.00%	31.92%	0.00%	12.81%	10.72%	2.10%

Page 2 of 4

ATTACHMENT 1

U.S. Ach		AND DESCRIPTION OF THE PERSON		DECEMBER OF THE PARTY OF THE PA	Statement of the last	O Desiration Street										
Project		Contract	Total Payment	Payment		Cumul	ative To R	eporting Er	nd Date			Ci	urrent Rep	porting Per	iod	
Number	Company	Amount	to Date	Current Period	LBE	SLBE	VSLBE	DBE	MBE	WBE	LBE	SLBE	VSLBE	DBE	MBE	WBE
12	A07-0037 - S & C Engineers	\$2,789,841.00	\$1,946,769.80	\$604,531.63	100.00%	91.25%	0.14%	11.61%	11.61%	0.00%	100.00%	90.58%	0.00%	7.18%	7.18%	0.0
407-0062																
17B	02 - Dowling Associates, Inc.	\$72,475.00	\$67,562.68	\$67,562.68	100.00%	100.00%	0.00%	0.00%	0.00%	0.00%	100.00%	100.00%	0.00%	0.00%	0.00%	0.0
-01																
21	2001-05 - PBQD, Inc.	\$13,115,000.00	\$12,901,751.30	\$42,405.45	94.85%	31.81%	0.00%	32.92%	15.89%	29.54%	29.10%	4.05%	0.00%	4.05%	0.00%	4.05
21	A06-0016 - Mendoza & Associates	\$2,000,000.00	\$1,958,432.28	\$280,208.70	99.35%	99.35%	0.00%	100.00%	100.00%	0.00%	100.00%	100.00%	0.00%	100.00%	100,00%	0.0
A05-0001																
24	A05-0004 - URS Corporation Americas	\$11,439,299.00	\$8,794,657.43	\$1,127,794.21	86.16%	36.94%	0.00%	35.99%	26.75%	5.90%	80.19%	27.36%	0.00%	33.13%	22.36%	3.6
A07-0053																
24	A09-0033 - PB Americas, Inc.	\$5,289,118.00	\$22,946.95	\$22,946.95	100.00%	0.00%	0.00%	0.00%	0.00%	0.00%	100.00%	0.00%	0.00%	0.00%	0.00%	0.00
226-100																
MB 226	AA07-0001 - TY Lin International/CCS	\$5,357,490.00	\$5,357,435.24	\$290,230.21	99.36%	29.63%	0.00%	20.13%	17.31%	2.82%	100.00%	15.50%	0.00%	12.06%	12.06%	0.00
MB 226	AA07-0001-1 - TY Lin International/CCS	\$8,654,950.00	\$1,108,696.32	\$1,108,696.32	98.18%	31.32%	0.00%	0.00%	0.00%	0.00%	98.18%	31.32%	0.00%	0.00%	0.00%	0.00
AA08-0001	L Company										a College of the College of	The District of the District		AND TOWNS OF THE PARTY.		
MB 238	02 - Mark Thomas & Company, Inc.	\$6,798,228.00	\$6,448,416.40	\$1,843,844.06	91.26%	33.57%	0.00%	15.55%	10.92%	4.63%	94.90%	43.02%	0.00%	26.84%	17.00%	9.84
A05-0011												Production who have been				the state of the state of
MB 240	2 - Kimley-Horn and Associates Inc.	\$3,262,000.00	\$1,086,301.68	\$62,118.75	92.79%	29.46%	0.62%	0.57%	0.00%	0.00%	100.00%	0.00%	0.00%	0.00%	0.00%	0.00
Sui	mmary for Professional Services/Engin	eering Contracts w	ith Goal Requirer	nents (13 detail r	ecords)											
Tot			\$44,910,548.33		92.79%	38.13%	0.34%	27.58%	19.40%	11.11%	93,20%	45.16%	2.28%	21.60%	16.27%	3.93
	t of Goal Requirements		V11,520,510.55	45,,	3211310	5012570	0.5.77									
	t of doar Requirements															
A05-0040												de la constante de la constant	nech street	DAIN CHICK THAT	CONTRACTOR CONTRACTOR	March Street
03	02 - Booz-Allen & Hamilton Inc.	\$354,775.00	\$260,619.00	\$4,400.00	0.00%	0.00%	0.00%	0.00%	0.00%	0.00%	0.00%					
03	03 - ERM-West, Inc.	\$66,233.00	\$49,944.00							OFFICE VALUE OF	0.00%	0.00%	0.00%	0.00%	0.00%	0.00
03	05 - Charles Bailey, Associates				0.00%	0.00%	0.00%	0.00%	0.00%	0.00%	0.00%	0.00%	0.00%	0.00%	0.00%	0.00
		\$104,000.00	\$19,270.00		0.00%	0.00%	0.00%	0.00%	0.00%	0.00%	0002	0.00%	0.00%	0.00%	0.00%	0,01
03	23 - Colan Real Estate	\$104,000.00 \$33,120.00								0.00%	0.00%	0.00%	0.00%	0.00%	0.00%	0.00
		\$33,120.00	\$19,270.00 \$28,981.00		0.00%	0.00%	0.00%	0.00%	0.00%	0.00% 0.00% 0.00%	0.004	0.00%	0.00%	0.00%	0.00%	0.00
	23 - Colan Real Estate 02 - Jacobs Construction Services	and the second second second	\$19,270.00		0.00%	0.00%	0.00%	0.00%	0.00%	0.00%	0.00%	0.00%	0.00%	0.00%	0.00%	8.00
A06-0041		\$33,120.00	\$19,270.00 \$28,981.00		0.00%	0.00%	0.00% 0.00% 0.00%	0.00% 0.00% 0.00% 0.00%	0.00%	0.00% 0.00% 0.00% 0.00%	0.00%	0.00%	0.00%	0.00%		
A06-0041 03	02 - Jacobs Construction Services	\$33,120.00 \$6,638.00	\$19,270.00 \$28,981.00 \$2,576.00	\$23,466.00	0.00% 0.00% 0.00%	0.00%	0.00% 0.00% 0.00% 0.00% 0.00%	0.00% 0.00% 0.00% 0.00% 0.00%	0.00% 0.00% 0.00% 0.00% 0.00%	0.00% 0.00% 0.00% 0.00% 0.00%	100.00%	100.00%	0,00%	0.00%	0.00%	0.00
03 03	02 - Jacobs Construction Services 03 - Jim L. lams	\$33,120.00 \$6,638.00 \$20,000.00	\$19,270.00 \$28,981.00 \$2,576.00 \$17,122.00 \$30,259.00 \$2,163,797.00	\$1,248,295.00	0.00% 0.00% 0.00% 0.00%	0.00% 0.00% 0.00% 0.00% 0.00% 100.00% 0.74%	0.00% 0.00% 0.00% 0.00% 0.00% 0.00%	0.00% 0.00% 0.00% 0.00% 0.00% 0.74%	0.00% 0.00% 0.00% 0.00% 0.00% 0.74%	0.00% 0.00% 0.00% 0.00% 0.00% 0.00%	100.00%	100,00% 0.69%	0.00% 0.00%	0.00% 0.69%	0.00% 0.69%	0.00
03 03 03 03	02 - Jacobs Construction Services 03 - Jim L. lams 04 - The Allen Group, LLC	\$33,120.00 \$6,638.00 \$20,000.00 \$56,237.00	\$19,270.00 \$28,981.00 \$2,576.00 \$17,122.00 \$30,259.00	arrives a supervision of the	0.00% 0.00% 0.00% 0.00% 100.00%	0.00% 0.00% 0.00% 0.00% 100.00%	0.00% 0.00% 0.00% 0.00% 0.00% 0.00%	0.00% 0.00% 0.00% 0.00% 0.00%	0.00% 0.00% 0.00% 0.00% 0.00%	0.00% 0.00% 0.00% 0.00% 0.00% 0.00% 0.00%	100.00%	100.00%	0,00%	0.00% 0.69% 0.00%	0.00% 0.69% 0.00%	0.00
03 03 03 03 03	02 - Jacobs Construction Services 03 - Jim L. lams 04 - The Allen Group, LLC 05 - Lea + Elliott, Inc.	\$33,120.00 \$6,638.00 \$20,000.00 \$56,237.00 \$2,272,705.00	\$19,270.00 \$28,981.00 \$2,576.00 \$17,122.00 \$30,259.00 \$2,163,797.00	\$1,248,295.00	0.00% 0.00% 0.00% 0.00% 100.00% 4.91%	0.00% 0.00% 0.00% 0.00% 0.00% 100.00% 0.74%	0.00% 0.00% 0.00% 0.00% 0.00% 0.00%	0.00% 0.00% 0.00% 0.00% 0.00% 0.74%	0.00% 0.00% 0.00% 0.00% 0.00% 0.74%	0.00% 0.00% 0.00% 0.00% 0.00% 0.00%	100.00%	100,00% 0.69%	0.00% 0.00%	0.00% 0.69%	0.00% 0.69%	0.00
03 03 03 03 03 03	02 - Jacobs Construction Services 03 - Jim L. lams 04 - The Allen Group, LLC 05 - Lea + Elliott, Inc. 06 - Earth Tech	\$33,120.00 \$6,638.00 \$20,000.00 \$56,237.00 \$2,272,705.00 \$504,736.00	\$19,270.00 \$28,981.00 \$2,576.00 \$17,122.00 \$30,259.00 \$2,163,797.00 \$504,736.00	\$1,248,295.00 \$206,687.00	0.00% 0.00% 0.00% 0.00% 100.00% 4.91%	0.00% 0.00% 0.00% 0.00% 100.00% 0.74% 0.00%	0.00% 0.00% 0.00% 0.00% 0.00% 0.00%	0.00% 0.00% 0.00% 0.00% 0.00% 0.74% 0.00%	0.00% 0.00% 0.00% 0.00% 0.00% 0.74% 0.00%	0.00% 0.00% 0.00% 0.00% 0.00% 0.00% 0.00%	100.00% 3.80% 100.00%	100.00% 0.69% 0.00%	0.60% 0.00% 0.60%	0.00% 0.69% 0.00%	0.00% 0.69% 0.00%	0.00 0.00 0.00
03 03 03 03 03 03 03 03	02 - Jacobs Construction Services 03 - Jim L. Iams 04 - The Allen Group, LLC 05 - Lea + Elliott, Inc. 06 - Earth Tech 07 - Owen, Wickersham & Wrickson, P.C.	\$33,120.00 \$6,638.00 \$20,000.00 \$56,237.00 \$2,272,705.00 \$504,736.00 \$133,655.00	\$19,270.00 \$28,981.00 \$2,576.00 \$17,122.00 \$30,259.00 \$2,163,797.00 \$504,736.00 \$122,502.00	\$1,248,295.00 \$206,687.00 \$118,725.00	0.00% 0.00% 0.00% 0.00% 100.00% 4.91% 100.00% 0.00%	0.00% 0.00% 0.00% 0.00% 100.00% 0.74% 0.00%	0.00% 0.00% 0.00% 0.00% 0.00% 0.00% 0.00%	0.00% 0.00% 0.00% 0.00% 0.00% 0.74% 0.00%	0.00% 0.00% 0.00% 0.00% 0.00% 0.74% 0.00%	0.00% 0.00% 0.00% 0.00% 0.00% 0.00% 0.00% 0.00%	100.00% 3.80% 100.00% 0.00%	100.00% 0.69% 0.00% 0.00%	0.00% 0.00% 0.00% 0.00%	0.00% 0.69% 0.00% 0.00%	0.00% 0.69% 0.00% 0.00%	0.00 0.00 0.00 0.00
03 03 03 03 03 03 03 03	02 - Jacobs Construction Services 03 - Jim L. Iams 04 - The Allen Group, LLC 05 - Lea + Elliott, Inc. 06 - Earth Tech 07 - Owen, Wickersham & Wrickson, P.C. 08 - PGH Wong Engineering, Inc.	\$33,120.00 \$6,638.00 \$20,000.00 \$56,237.00 \$2,272,705.00 \$504,736.00 \$133,655.00 \$68,906.00	\$19,270.00 \$28,981.00 \$2,576.00 \$17,122.00 \$30,259.00 \$2,163,797.00 \$504,736.00 \$122,502.00 \$59,863.00	\$1,248,295.00 \$206,687.00 \$118,725.00 \$40,483.00	0.00% 0.00% 0.00% 0.00% 100.00% 4.91% 100.00% 0.00%	0.00% 0.00% 0.00% 0.00% 100.00% 0.74% 0.00% 0.00%	0.00% 0.00% 0.00% 0.00% 0.00% 0.00% 0.00%	0.00% 0.00% 0.00% 0.00% 0.00% 0.74% 0.00% 0.00%	0.00% 0.00% 0.00% 0.00% 0.00% 0.74% 0.00% 0.00%	0.00% 0.00% 0.00% 0.00% 0.00% 0.00% 0.00% 0.00%	100.00% 3.80% 100.00% 0.00%	100.00% 0.69% 0.00% 0.00%	0.00% 0.00% 0.00% 0.00%	0.00% 0.69% 0.00% 0.00%	0.00% 0.69% 0.00% 0.00% 0.00%	0.00 0.00 0.00 0.00 0.00
03 03 03 03 03 03 03 03 03 03 03	02 - Jacobs Construction Services 03 - Jim L. Iams 04 - The Allen Group, LLC 05 - Lea + Elliott, Inc. 06 - Earth Tech 07 - Owen, Wickersham & Wrickson, P.C. 08 - PGH Wong Engineering, Inc. 09 - Cubic Transportation Systems, Inc.	\$33,120.00 \$6,638.00 \$20,000.00 \$56,237.00 \$2,272,705.00 \$504,736.00 \$133,655.00 \$68,906.00 \$468,565.00	\$19,270.00 \$28,981.00 \$2,576.00 \$17,122.00 \$30,259.00 \$2,163,797.00 \$504,736.00 \$122,502.00 \$59,863.00 \$343,272.00	\$1,248,295.00 \$206,687.00 \$118,725.00 \$40,483.00 \$282,675.00	0.00% 0.00% 0.00% 0.00% 100.00% 4.91% 100.00% 0.00% 0.00%	0.00% 0.00% 0.00% 0.00% 100.00% 0.74% 0.00% 0.00% 0.00%	0.00% 0.00% 0.00% 0.00% 0.00% 0.00% 0.00% 0.00%	0.00% 0.00% 0.00% 0.00% 0.74% 0.00% 0.00% 0.00%	0.00% 0.00% 0.00% 0.00% 0.00% 0.74% 0.00% 0.00%	0.00% 0.00% 0.00% 0.00% 0.00% 0.00% 0.00% 0.00% 0.00% 0.00%	100.00% 3.80% 100.00% 0.00% 0.00%	100.00% 0.69% 0.00% 0.00% 0.00%	0.00% 0.00% 0.00% 0.00% 0.00%	0.00% 0.69% 0.00% 0.00% 0.00%	0.00% 0.69% 0.00% 0.00% 0.00%	0.00 0.00 0.00 0.00 0.00
03 03 03 03 03 03 03 03 03 03 03	02 - Jacobs Construction Services 03 - Jim L. Iams 04 - The Allen Group, LLC 05 - Lea + Elliott, Inc. 06 - Earth Tech 07 - Owen, Wickersham & Wrickson, P.C. 08 - PGH Wong Engineering, Inc. 09 - Cubic Transportation Systems, Inc.	\$33,120.00 \$6,638.00 \$20,000.00 \$56,237.00 \$2,272,705.00 \$504,736.00 \$133,655.00 \$68,906.00 \$468,565.00	\$19,270.00 \$28,981.00 \$2,576.00 \$17,122.00 \$30,259.00 \$2,163,797.00 \$504,736.00 \$122,502.00 \$59,863.00 \$343,272.00	\$1,248,295.00 \$206,687.00 \$118,725.00 \$40,483.00 \$282,675.00	0.00% 0.00% 0.00% 0.00% 100.00% 4.91% 100.00% 0.00% 0.00%	0.00% 0.00% 0.00% 0.00% 100.00% 0.74% 0.00% 0.00% 0.00%	0.00% 0.00% 0.00% 0.00% 0.00% 0.00% 0.00% 0.00%	0.00% 0.00% 0.00% 0.00% 0.74% 0.00% 0.00% 0.00%	0.00% 0.00% 0.00% 0.00% 0.00% 0.74% 0.00% 0.00%	0.00% 0.00% 0.00% 0.00% 0.00% 0.00% 0.00% 0.00% 0.00% 0.00%	100.00% 3.80% 100.00% 0.00% 0.00%	100.00% 0.69% 0.00% 0.00% 0.00%	0.00% 0.00% 0.00% 0.00% 0.00%	0.00% 0.69% 0.00% 0.00% 0.00%	0.00% 0.69% 0.00% 0.00% 0.00%	0.00 0.00 0.00 0.00 0.00
A06-0041 03 03 03 03 03 03 03 03 03 03 03 07A	02 - Jacobs Construction Services 03 - Jim L. lams 04 - The Allen Group, LLC 05 - Lea + Elliott, Inc. 06 - Earth Tech 07 - Owen, Wickersham & Wrickson, P.C. 08 - PGH Wong Engineering, Inc. 09 - Cubic Transportation Systems, Inc. 10 - Freshfields Bruckhaus Derringer, LLP	\$33,120.00 \$6,638.00 \$20,000.00 \$56,237.00 \$2,272,705.00 \$504,736.00 \$133,655.00 \$68,906.00 \$468,565.00 \$417,016.00	\$19,270.00 \$28,981.00 \$2,576.00 \$17,122.00 \$30,259.00 \$2,163,797.00 \$504,736.00 \$122,502.00 \$59,863.00 \$343,272.00 \$274,175.00	\$1,248,295.00 \$206,687.00 \$118,725.00 \$40,483.00 \$282,675.00	0.00% 0.00% 0.00% 0.00% 100.00% 4.91% 100.00% 0.00% 1.40% 0.00%	0.00% 0.00% 0.00% 0.00% 100.00% 0.74% 0.00% 0.00% 0.00%	0.00% 0.00% 0.00% 0.00% 0.00% 0.00% 0.00% 0.00% 0.00%	0.00% 0.00% 0.00% 0.00% 0.00% 0.00% 0.00% 0.00% 0.00%	0.00% 0.00% 0.00% 0.00% 0.00% 0.74% 0.00% 0.00% 0.00%	0.00% 0.00% 0.00% 0.00% 0.00% 0.00% 0.00% 0.00% 0.00%	100.00% 3.80% 100.00% 0.00% 0.00%	100.00% 0.69% 0.00% 0.00% 0.00%	0.00% 0.00% 0.00% 0.00% 0.00%	0.00% 0.69% 0.00% 0.00% 0.00%	0.00% 0.69% 0.00% 0.00% 0.00%	0.00 0.00 0.00 0.00 0.00
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A06-0041 03 03 03 03 03 03 03 03 03 0	02 - Jacobs Construction Services 03 - Jim L. lams 04 - The Allen Group, LLC 05 - Lea + Elliott, Inc. 06 - Earth Tech 07 - Owen, Wickersham & Wrickson, P.C. 08 - PGH Wong Engineering, Inc. 09 - Cubic Transportation Systems, Inc. 10 - Freshfields Bruckhaus Derringer, LLP 01 - Cambridge Systematics	\$33,120.00 \$6,638.00 \$20,000.00 \$56,237.00 \$2,272,705.00 \$504,736.00 \$133,655.00 \$68,906.00 \$417,016.00 \$3,257,780.00	\$19,270.00 \$28,981.00 \$2,576.00 \$17,122.00 \$30,259.00 \$2,163,797.00 \$122,502.00 \$59,863.00 \$343,272.00 \$274,175.00	\$1,248,295.00 \$206,687.00 \$118,725.00 \$40,483.00 \$282,675.00 \$2,736.00	0.00% 0.00% 0.00% 0.00% 100.00% 4.91% 100.00% 0.00% 1.40% 0.00%	0.00% 0.00% 0.00% 0.00% 100.00% 0.74% 0.00% 0.00% 0.00%	0.00% 0.00% 0.00% 0.00% 0.00% 0.00% 0.00% 0.00% 0.00%	0.00% 0.00% 0.00% 0.00% 0.00% 0.00% 0.00% 0.00%	0.00% 0.00% 0.00% 0.00% 0.00% 0.74% 0.00% 0.00% 0.00%	0.00% 0.00% 0.00% 0.00% 0.00% 0.00% 0.00% 0.00% 0.00% 4.41%	100.00% 3.80% 100.00% 0.00% 1.70% 0.00%	100.00% 0.69% 0.00% 0.00% 0.00% 0.00%	0.00% 0.00% 0.00% 0.00% 0.00% 0.00%	0.00% 0.65% 0.00% 0.00% 0.00% 0.00%	0.00% 0.69% 0.00% 0.00% 0.00% 0.00%	0.00 0.00 0.00 0.00 0.00
A06-0041 03 03 03 03 03 03 03 03 03 0	02 - Jacobs Construction Services 03 - Jim L. lams 04 - The Allen Group, LLC 05 - Lea + Elliott, Inc. 06 - Earth Tech 07 - Owen, Wickersham & Wrickson, P.C. 08 - PGH Wong Engineering, Inc. 09 - Cubic Transportation Systems, Inc. 10 - Freshfields Bruckhaus Derringer, LLP 01 - Cambridge Systematics	\$33,120.00 \$6,638.00 \$20,000.00 \$56,237.00 \$2,272,705.00 \$504,736.00 \$133,655.00 \$68,906.00 \$417,016.00 \$3,257,780.00	\$19,270.00 \$28,981.00 \$2,576.00 \$17,122.00 \$30,259.00 \$2,163,797.00 \$122,502.00 \$59,863.00 \$343,272.00 \$274,175.00	\$1,248,295.00 \$206,687.00 \$118,725.00 \$40,483.00 \$282,675.00 \$2,736.00	0.00% 0.00% 0.00% 0.00% 100.00% 4.91% 100.00% 0.00% 1.40% 0.00%	0.00% 0.00% 0.00% 0.00% 100.00% 0.74% 0.00% 0.00% 0.00%	0.00% 0.00% 0.00% 0.00% 0.00% 0.00% 0.00% 0.00% 0.00%	0.00% 0.00% 0.00% 0.00% 0.00% 0.00% 0.00% 0.00%	0.00% 0.00% 0.00% 0.00% 0.00% 0.74% 0.00% 0.00% 0.00%	0.00% 0.00% 0.00% 0.00% 0.00% 0.00% 0.00% 0.00% 0.00% 4.41%	100.00% 3.80% 100.00% 0.00% 1.70% 0.00%	100.00% 0.69% 0.00% 0.00% 0.00% 0.00%	0.00% 0.00% 0.00% 0.00% 0.00% 0.00%	0.00% 0.65% 0.00% 0.00% 0.00% 0.00%	0.00% 0.69% 0.00% 0.00% 0.00% 0.00%	0.00 0.00 0.00 0.00 0.00 0.00
A06-0041 03 03 03 03 03 03 03 03 03 03 03 07 A05-0005 07A A05-0049 07B	02 - Jacobs Construction Services 03 - Jim L. Iams 04 - The Allen Group, LLC 05 - Lea + Elliott, Inc. 06 - Earth Tech 07 - Owen, Wickersham & Wrickson, P.C. 08 - PGH Wong Engineering, Inc. 09 - Cubic Transportation Systems, Inc. 10 - Freshfields Bruckhaus Derringer, LLP 01 - Cambridge Systematics	\$33,120.00 \$6,638.00 \$20,000.00 \$56,237.00 \$2,272,705.00 \$504,736.00 \$133,655.00 \$468,565.00 \$417,016.00 \$3,257,780.00 \$3,010,265.74	\$19,270.00 \$28,981.00 \$2,576.00 \$17,122.00 \$30,259.00 \$2,163,797.00 \$504,736.00 \$122,502.00 \$59,863.00 \$343,272.00 \$274,175.00 \$2,574,330.00 \$1,817,679.25	\$1,248,295.00 \$206,687.00 \$118,725.00 \$40,483.00 \$282,675.00 \$2,736.00	0.00% 0.00% 0.00% 0.00% 100.00% 4.91% 100.00% 0.00% 1.40% 81.41%	0.00% 0.00% 0.00% 0.00% 100.00% 0.74% 0.00% 0.00% 0.00%	0.00% 0.00% 0.00% 0.00% 0.00% 0.00% 0.00% 0.00% 0.00%	0.00% 0.00% 0.00% 0.00% 0.00% 0.00% 0.00% 0.00% 16.63%	0.00% 0.00% 0.00% 0.00% 0.00% 0.74% 0.00% 0.00% 0.00% 1.2.21%	0.00% 0.00% 0.00% 0.00% 0.00% 0.00% 0.00% 0.00% 0.00% 0.00%	100.00% 3.80% 100.00% 0.00% 1.70% 0.00%	100.00% 0.69% 0.00% 0.00% 0.00% 0.00%	0.00% 0.00% 0.00% 0.00% 0.00% 0.00%	0.00% 0.65% 0.00% 0.00% 0.00% 0.00%	0.00% 0.69% 0.00% 0.00% 0.00% 0.00%	0.00 0.00 0.00 0.00 0.00 0.00

Thursday, August 05, 2010

ATTACHMENT 1

Reporting Period End Date: 06/30/10 End Date: 06/30/10 End Date: 06/30/10

	Contract	Total Payment	Payment		Cumul	ative To R	eporting En	d Date			Ci	urrent Rep	orting Peri	od	
Company	Amount	to Date	Current Period	LBE	SLBE	VSLBE	DBE	MBE	WBE	LBE	SLBE	VSLBE	DBE	MBE	WBE
04 - Wilbur Smith Associates	\$500,000.00	\$93,845.95		0.00%	0.00%	0.00%	0.00%	0.00%	0.00%						
06 - Solem & Associates	\$398,910.00	\$108,476.01		0.00%	0.00%	0.00%	0.00%	0.00%	0.00%						
07 - Carter & Burgess, Inc	\$290,647.87	\$290,647.87		100.00%	0.00%	0.00%	0.00%	0.00%	0.00%						
09 - Dowling Associates, Inc.	\$105,128.38	\$105,128.38		100.00%	100.00%	0.00%	0.00%	0.00%	0.00%						
10 - ESRI, Inc.	\$3,816.73	\$3,816.73		0.00%	0.00%	0.00%	0.00%	0.00%	0.00%						
11 - Alameda Program Managers	\$361,079.00	\$361,078.56	\$117,647.94	0.00%	0.00%	0.00%	0.00%	0.00%	0.00%	0.00%	0.00%	0.00%	0.00%	0.00%	0.009
12 - S & C Engineers	\$19,909.34	\$19,909.34	\$19,909.34	100.00%	0.00%	0.00%	0.00%	0.00%	0.00%	100.00%	0.00%	0.00%	0.00%	0.00%	0.00
A08-0044 - ACCMA	\$30,761,000.00	\$977,276.04	\$977,276.04	35.51%	4.16%	0.00%	0.00%	0.00%	0.00%	35.51%	4.16%	0.00%	0.00%	0.00%	0.00
										Andrew Street,	March Color		Beetly have been an order part		
02 - Dowling Associates, Inc.	\$7,800.00	\$7,800.00	\$7,800.00	100.00%	100.00%	0.00%	0.00%	0.00%	0.00%	100.00%	100.00%	0.00%	0.00%	0.00%	0.009
03 - TY Lin International/CCS	\$135,000.00	\$135,000.00	\$135,000.00	100.00%	0.00%	0.00%	0.00%	0.00%	0.00%	100.00%	0.00%	0.00%	0.00%	0.00%	0.009
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01 - Parsons Transportation Group	\$617,412.07	\$394,960.62	\$21,027.21	7.64%	0.00%	0.00%	0.00%	0.00%	0.00%	0.00%	0.00%	0.00%	0.00%	0.00%	0.009
03 - HNTB	\$328,000.76	\$313,029.73	\$728.63	77.30%	0.00%	0.00%	0.00%	0.00%	0.00%	8.30%	0.00%	0.00%	0.00%	0.00%	0.009
04 - Ford Graphics	\$9,000.00	\$1,699.92		0.00%	0.00%	0.00%	0.00%	0.00%	0.00%						
05 - Hanson, Bridgett, Marcus & Vlahos	\$44,599.75	\$32,552.63	\$678.55	0.00%	0.00%	0.00%	0.00%	0.00%	0.00%	0.00%	0.00%	0.00%	0.00%	0.00%	0.009
06 - Dana Property Analysis	\$20,000.00	\$9,415.02		0.00%	0.00%	0.00%	0.00%	0.00%	0.00%						
02 - Carter & Burgess, Inc	\$16,816.00	\$16,815.35	\$6,374.83	100.00%	0.00%	0.00%	0.00%	0.00%	0.00%	100.00%	0.00%	0.00%	0.00%	0.00%	0.009
03 - HNTB	\$2,592,168.00	\$342,309.63	\$31,724.73	90.01%	0.00%	0.00%	0.00%	0.00%	0.00%	100.00%	0.00%	0.00%	0.00%	0.00%	0.009
04 - PBQD, Inc.	\$1,317,094.00	\$171,240.47	\$60,281.28	100.00%	0.00%	0.00%	0.00%	0.00%	0.00%	100.00%	0.00%	0.00%	0.00%	0.00%	0.009
05 - Hanson, Bridgett, Marcus & Vlahos	\$460.00	\$452.67	\$203.52	0.00%	0.00%	0.00%	0.00%	0.00%	0.00%	0.00%	0.00%	0.00%	0.00%	0.00%	0.009
06 - SYSTRA	\$86.13	\$86.13		0.00%	0.00%	0.00%	0.00%	0.00%	0.00%						
07 - Rail Surveyors and Engineers, Inc.	\$3,576.00	\$3,576,00	\$3,576.00	0.00%	0.00%	0.00%	0.00%	0.00%	0.00%	0.00%	0.00%	0.00%	0.00%	0.00%	0.009
COLUMN TO COLORANS OF THE SUBSECTION		INTERNATION OF THE PARTY OF THE					Total months					******	2010410000000		econopy and the
02 - Kal Krishnan Consulting Services	\$959,875.00	\$868,863.00	\$482,743.00	100.00%	3.58%	0.00%	0.00%	0.00%	0.00%	100.00%	5.98%	0.00%	0.00%	0.00%	0.009
03 - Pillsbury Wintrop Shaw Pittman LLP	\$175,000.00	\$167,531.00	\$139,612.00	0.00%	0.00%	0.00%	0.00%	0.00%	0.00%	0.00%	0.00%	0.00%	0.00%	0.00%	0.009
04 - Wilbur Smith Associates	\$2,207,455.56	\$1,811,329.00	\$1,405,426.00	8.91%	6.31%	0.00%	0.00%	0.00%	0.00%	9.07%	5.71%	0.00%	0.00%	0.00%	0.009
06 - Nelson/Nygaard Consulting Associates	\$44,492.00	\$5,385.00	\$5,385.00	100.00%	0.00%	0.00%	0.00%	0.00%	0.00%	100.00%	0.00%	0.00%	0.00%	0.00%	0.009
		and the second second second		The state of the s					-						
02 - Kimley-Horn and Associates Inc.	\$553,932.00	\$553,931.09	\$307,823.93	100.00%	0.00%	0.00%	0.00%	0.00%	0.00%	100.00%	0.00%	0.00%	0.00%	0.00%	0.009
03 - PG & E	\$92,801.00	\$92,800.95	\$75,800.95	0.00%	0.00%	0.00%	0.00%	0.00%	0.00%	0.00%	0.00%	0.00%	0.00%	0.00%	0.009
04 - Alameda Program Managers	\$77,748.00	\$77,747.44	\$12,705.70	0.00%	0.00%	0.00%	0.00%	0.00%	0.00%	0.00%	0.00%	0,00%	0.00%	0.00%	0.009
A99-0003 - PBQD. Inc.	\$7,999,276.00	\$7,472,887.92	\$224,083.73	85.86%	17.45%	0.00%	10.72%	7.86%	2.86%	78.66%	10.35%	0.00%	10.35%	10.13%	0.229
mary for Professional Services/Engine	The Control of the Co	TOWN SHAPTON SOMETHING SO	irements (47 deta	il records)											
					7 029/	0.00%	E 229/	3.85%	1.37%	33.21%	3.38%	0.00%	0.51%	0.50%	0.019
	And the second s	No. of the last of	The state of the s	APPENDING TO SERVICE	Darrie Colonia Colonia	0.00%	3.22/6	3.03/6	1.31 /6	33.2170	3.30/	0.0076	0.31/6	0.5076	0.017
y for an interestorial services/ Engineer	contracts wi					0.229/	10 939/	14 019	7 724	61 919/	22 20%	1.00%	10 56%	8.029	1.889
falls of Control of the Control		SOCIAL CONTRACTOR CONTRACTOR	NAMES OF TAXABLE PARTY OF TAXABLE PARTY.	MARIE REPORTER	AND RESIDENCE	SECTION SECTION	TOTAL STREET,	MENTS PROJECT SERVICE	DESCRIPTION	DESCRIPTION OF THE PERSONS SERVICES	55000100160000	DESCRIPTION OF THE PARTY OF THE	DEPOSITION OF THE	000000000000000000000000000000000000000	1.429
	NAME OF THE PARTY		al Services/Engineering Contracts with and without G \$68,748,895.86	\$68,748,895.86 \$12,047,185.32	al Services/Engineering Contracts with and without Goal Requirements (60 detail of \$68,748,895.86 \$12,047,185.32 80.59%)	al Services/Engineering Contracts with and without Goal Requirements (60 detail records) \$68,748,895.86 \$12,047,185.32 80.59% 27.65%	al Services/Engineering Contracts with and without Goal Requirements (60 detail records) \$68,748,895.86 \$12,047,185.32 80.59% 27.65% 0.22%	al Services/Engineering Contracts with and without Goal Requirements (60 detail records) \$68,748,895.86 \$12,047,185.32 80.59% 27.65% 0.22% 19.83%	al Services/Engineering Contracts with and without Goal Requirements (60 detail records) \$68,748,895.86 \$12,047,185.32 80.59% 27.65% 0.22% 19.83% 14.01%	al Services/Engineering Contracts with and without Goal Requirements (60 detail records) \$68,748,895.86 \$12,047,185.32 80.59% 27.65% 0.22% 19.83% 14.01% 7.73%	al Services/Engineering Contracts with and without Goal Requirements (60 detail records) \$68,748,895.86 \$12,047,185.32 80.59% 27.65% 0.22% 19.83% 14.01% 7.73% 61.81%	al Services/Engineering Contracts with and without Goal Requirements (60 detail records) \$68,748,895.86 \$12,047,185.32 80.59% 27.65% 0.22% 19.83% 14.01% 7.73% 61.81% 23.30%	al Services/Engineering Contracts with and without Goal Requirements (60 detail records) \$68,748,895.86 \$12,047,185.32 80.59% 27.65% 0.22% 19.83% 14.01% 7.73% 61.81% 23.30% 1.09%	al Services/Engineering Contracts with and without Goal Requirements (60 detail records) \$68,748,895.86 \$12,047,185.32 80.59% 27.65% 0.22% 19.83% 14.01% 7.73% 61.81% 23.30% 1.09% 10.56%	al Services/Engineering Contracts with and without Goal Requirements (60 detail records) \$68,748,895.86 \$12,047,185.32 80.59% 27.65% 0.22% 19.83% 14.01% 7.73% 61.81% 23.30% 1.09% 10.56% 8.02%

L. Luster & Associates

To:

Arun Goel, ACTIA

From:

Joan Fisher, L. Luster & Associates

CC:

Anees Azad, ACTIA

Dr. Laura Luster, L. Luster & Associates

Date:

August 5, 2010

RE:

Independent Review of ACTIA Semi-Annual Contract Equity Utilization Report Data

for the period January 1, 2010 through June 30, 2010

L. Luster & Associates (LLA) has reviewed ACTIA payment and vendor data for the period of January 1, 2010 through June 30, 2010 provided by Authority staff.

LLA conducted a review of the Authority's database, physical contract/agreement files, and responses to vendor questionnaires providing quality control and data integrity for all vendor payments made within the above referenced period.

LLA met with Authority staff and presented its findings. Staff satisfactorily responded to all issues identified and presented.

Having completed the review process, L. Luster & Associates finds no material defects in the ACTIA Semi-Annual Contract Equity Utilization Report Data for the period of January 1, 2010 through June 30, 2010.

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Nathan A. Miley, Vice-President Supervisor, District 4

Oakland Office 1221 Oak Street, Suite 536 Oakland, CA 94612 510-272-6694/510-465-7628 Facsimile Eden Area District Office 20993 Redwood Court Castro Valley, CA 94546 510-670-5717/510-537-7289

district4@acgov.org

August 9, 2010

TO: Alameda County Transportation Commission (ACTC)

FR: Nate Miley

RE: Commitment to Local Business Contract Equity (LBCE)

Nate Miley

It is important that ACTIA's commitment to the Local Business Contract Equity (LBCE) program in light of the merger with the Congestion Management Agency (CMA) be maintained with ACTC. ACTIA's demonstrated commitment to its LBCE program must continue after the merger and that the ACTC adopt ACTIA's assertive commitment to local business participation.

The LBCE Consultant for ACTIA with the active support of ACTIA staff was able to enhance ACTIA presence within the local contracting community and significantly increase the number of local firms obtaining ACTIA certification and participating in ACTIA's contracting process. ACTIA has strongly supported the activities related to these increases, including helping with the establishment of a certification database, approving outreach plans and participating in outreach events.

Through active outreach and streamlining of the certification processes, the LBCE consultant has increased the number of new certifications by 232% among Local Business Enterprises (LBEs), Small Local Business Enterprises (SLBEs) and Very Small Local Business Enterprises (VSLBEs). Additionally, the merger provides an unique opportunity to further improve the LBCE program of ACTIA, such as:

• Further streamlining the certification process, building upon the coordinated efforts of ACTIA, Alameda County, the City of Oakland and the Port of Oakland. Because certification processes can be very time intensive and costly, ACTC can explore

minimizing its certification activities and increasing its acceptance of certification by other agencies. The East Bay Interagency Alliance coordinated certification process has provided a strong foundation for this approach.

- Sheltered bidding opportunities so that small businesses only bid against other small businesses. The State of California and the Port already have these programs in place.
- Extend Professional and Administrative Goals to ACTC Sponsors: Currently ACTIA goals and preferences do not apply to Professional Services and Administrative Contracts completely or partially funded by Measure B and local funds but administered by Sponsors. ACTC may wish to consider inserting a provision into the LBCE policy that ACTA Sponsored Professional Administrative contracts funded solely by Measure B and local funds will also be subject to ACTC LBCE goals.
- Bonding Assistance Program for Smaller Local Contractors: ACTC may wish to explore
 joining with Alameda County in extending bonding assistance to small local contractors.
 Bonding makes public contracting more accessible to a smaller contractor and assists
 them to develop the capacity of their businesses far beyond the initial project. Moreover,
 bonding assistance programs can save sponsors significant project dollars by expanding
 and improving the pool of bidders.
- ACTC may also want to take steps to ensure that its Procurement policies align with the LBCE program. At this time the procurement policy does not include detailed procurement procedures and ACTIA staff responsible for procuring and administering contracts are utilizing different processes.
- Local Hire Tracking and Requirements: Currently there is heightened interest in generating jobs for local residents. This reflects longstanding ACTIA intent and policy. However, ACTIA does not collect data that demonstrates its achievements in this area. ACTC may wish to consider requiring that contractors and sponsors submit local worker utilization reports (determined by residency of the worker). In so doing, ACTIA would be able to report not only the amount of dollars it spends with local businesses, but also the number of jobs for local residents its projects generate.
- These suggestions are made with the purpose of increasing local business development. I strongly suggest that the LBCE Consultant and the appropriate staffs of CMA and ACTIA meet and bring a timely report with recommendations to ACTC for opportunities that support Local Business Contract Equity.

If you have questions or concerns, please do not hesitate to contact Robyn Hodges at 510-272-3691 or robyn.hodges@acgov.org at your convenience.



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Memorandum

DATE: September 2, 2010

TO: Finance and Administration Committee

FROM: Arthur L. Dao, Executive Director

SUBJECT: Approval of Amendment No. 1 to the Professional Services Agreement with

Management Partners (L10-003) for project management assistance during the transition of the Alameda County Congestion Management Agency (ACCMA) and the Alameda County Transportation Improvement Authority (ACTIA) to the

new Alameda County Transportation Commission (Alameda CTC).

Recommendations:

It is recommended that the Commission approve Amendment No. 1 to the Professional Services Agreement with Management Partners for project management assistance during the transition of the ACCMA and ACTIA to the new Alameda CTC for an amount not-to-exceed \$25,000 for an amended agreement total of \$75,000.

Summary:

The proposed agreement amendment will provide additional resources necessary for the coordination of project activities included in the Merger Action Plan, and to assist existing or contract staff designated with lead responsibility to plan, schedule, and ensure timely completion of the following tasks:

- 1. Organizational structure and transition;
- 2. Benefits analysis, recommendation and selection;
- 3. Financial services integration;
- 4. New salary and benefits resolution; and
- 5. Successor CalPERS contract and transition.

The proposed amendment would be for an amount not-to-exceed \$25,000, for an amended agreement total of \$75,000.

Management Partners would serve in a general project management capacity to assist the new Executive Director with the implementation of specific remaining major initiatives to fully transition employees to the Alameda CTC and ensure business systems are in place to support the work of the new Commission and staff.

Discussion or Background:

In January 2009, the ACTIA and ACCMA initiated a study and implementation plan to identify service sharing and/or consolidation opportunities between the two agencies. The study concluded that there were attractive opportunities for a range of service sharing and integration efforts, particularly in the areas of financial and administrative services and capital project delivery.

The ACTIA and ACCMA Boards agreed to move forward with a possible merger and directed staff to develop a full merger implementation plan. The Boards established an Ad Hoc Committee with members from each Board of Directors to oversee and provide general direction during the development of the merger implementation plan. A Merger Implementation and Action Plan was prepared and presented to the two respective boards in January 2010. It identified steps and general timing for the actions needed to merge the staff and business activities of the separate transportation agencies into a new single organization.

In March 2010, Management Partners entered into a contract with the ACCMA and ACTIA to provide assistance in the role of project manager with the Merger Implementation and Action Plan. A significant part of the work included providing support to an Ad Hoc Committee with members from each existing agency's board of directors designated to oversee and provide general policy direction during the legal formation of the Alameda CTC. With the approval of the Joint Powers Authority (JPA), which created the new Alameda County Transportation Commission and the formation of standing committees to carry out the work of the new Commission, the role of the Ad Hoc Committee had effectively ceased.

In addition to providing staff support to the Ad Hoc Committee, Management Partners has provided project management assistance during period in the following areas:

- Resolving member agency policy issues in support of approval of the JPA
- Analyzing issues and policies relating to employee benefits in anticipation of the transition of current agency employees to the Alameda CTC in the Spring of 2011
- Ongoing employee communication
- Preparing a new Administrative Code for the Alameda CTC
- Recruiting of the new Executive Director
- Analyzing information technology consolidation opportunities and plans
- Analyzing telephone systems consolidation
- Preparing a Financial Services Integration Plan and schedule
- Ongoing planning and scheduling regarding a range of implementation plan activities

Fiscal Impacts:

Approval of the proposed action would increase the Commission's commitment to Management Partners professional services agreement by an additional \$25,000 for an agreement total of \$75,000 for fiscal year 2010/11.

Attachments:

Attachment A – Management Partners' Proposal for Project Management Services to the Alameda CTC



August 24, 2010

Mr. Art Dao, Executive Director Alameda County Transportation Commission 1333 Broadway, Suites 220 and 300 Oakland, CA 94612

Dear Mr. Dao:

Management Partners is pleased to submit this proposal to continue providing project management assistance during the transition of the Alameda County Congestion Management Agency (ACCMA) and the Alameda County Transportation Improvement Authority (ACTIA) to the new Alameda County Transportation Commission (ACTC). This letter outlines a scope of work for this project.

UNDERSTANDING OF THE ASSIGNMENT

In 2009 the Alameda County Transportation Improvement Authority and the Alameda County Congestion Management Agency initiated a study that identified service sharing and/or consolidation opportunities between the two agencies. The study concluded that there were attractive opportunities for a range of service sharing and integration efforts, particularly in the areas of financial services, administrative services and capital project delivery. A Merger Implementation and Action Plan was prepared and presented to the two respective boards in January 2010. The ACTIA and ACCMA boards agreed to move forward with the merger in February 2010 and the new Alameda County Transportation Commission held its first meeting in July 2010.

In March 2010 Management Partners entered into a contract with ACCMA and ACTIA to provide assistance in the role of project manager with the Merger Implementation and Action Plan. A significant part of the work during this period included providing support to an Ad Hoc Committee with members from each existing agency's board of directors designated to oversee and provide general policy direction during the legal formation of the ACTC. With the approval of the joint powers authority (JPA) creating the new Alameda County Transportation Commission and the formation of standing committees to carry out the work of the new Commission, the role of the Ad Hoc Committee has effectively ceased.

In addition to providing staff support to the Ad Hoc Committee, Management Partners has provided project management assistance during this period in the following areas:

- Resolving member agency policy issues in support of approval of the JPA
- Analyzing issues and policies relating to employee benefits in anticipation of the transition of current agency employees to the ACTC in the spring of 2011
- Ongoing employee communications
- Preparing a new Administrative Code for the ACTC
- Recruiting of a new Executive Director

- Analyzing information technology consolidation opportunities and plans
- Analyzing telephone systems consolidation
- Preparing a Financial Services Integration Plan and schedule
- Ongoing planning and scheduling regarding a range of implementation plan activities

Management Partners has been requested to prepare this proposal to serve in a general project management capacity to assist the new Executive Director with the implementation of specific remaining major initiatives to fully transition employees to the ACTC and ensure business systems are in place to support the work of the new Commission and the staff.

We have structured this proposal to emphasize the transition of merger activities from Management Partners to ACTC staff. While external consultant assistance is necessary during the initial study and preliminary implementation phases of a governmental consolidation project, there needs to be a transition period to shift implementation work to agency staff once these initial stages are completed. Our approach in this final phase of work will be to transition consolidation actions and activities to ACTC staff.

PLAN OF WORK

To coordinate the project activities included in the Merger Action Plan and ensure timely completion of the component tasks, the ACTC is seeking continued project management assistance. The role of the project manager will be to assist the new Executive Director in tracking and ensuring completion of the following tasks.

	Objective	Lead Responsibility	Completion Goal
1.	Organizational structure and transition	Executive Director	January 2011
2.	Benefits analysis, recommendation and selection	Executive Director, Legal Counsel	October 2010
3.	Financial Services Integration	Finance Director/Finance Manager	June 2011
4.	New Salary and Benefits Resolution	Koff & Associates	Dependent upon employee transition
5.	Successor CalPERS contract and transition	Alameda CTC Administrative staff	May 2011

The role of the project manager will be to assist existing or contract staff designated with lead responsibility to plan, schedule and accomplish these objectives. Management Partners understands that specific employees or contract staff will be assigned to carry out and implement the activities required to accomplish the objectives within an agreed upon schedule for completion. As necessary, meetings will be convened to discuss progress against established goals and timelines. Regular meetings with the new Executive Director will also be scheduled to ensure regular communication and report on emerging issues and generally on the progress of the major initiatives.

MANAGEMENT PARTNERS' TEAM

Lynn Dantzker will serve as the principal consultant on this engagement to provide project management assistance. She will be assisted by other Management Partners' team members as needed and agreed to in advance by the new Executive Director. Lynn will be available as required on site. Brief qualifications for the team members are provided below.

Lynn Dantzker, Senior Manager, has spent more than 30 years in California local government public service in management positions ranging from general city administration to community development. Lynn most recently served as Assistant City Manager in Fremont, California, where she was responsible for community development and development services in a one-stop enterprise-based operation. She also handled redevelopment/housing in an in-fill environment, engineering and capital asset design/construction, street maintenance and solid waste management. Prior to that, Lynn was interim City Manager for Clayton, California, and spent 14 years with the City of Concord in a variety of positions, concluding as Deputy City Manager. Lynn's most recent clients have been the Cities of Sunnyvale, Santa Clara and Tracy and Marin County.

David Jensen, Special Advisor, is an expert in information technology operations and planning, problem solving and process development. He has more than 30 years of experience in information technology public management and law enforcement, retiring as chief technology officer for the City of Fremont, California, in 2006. During his career, he gained a national reputation for innovative information technology advancements in municipal work. David also was active in municipal information systems associations and was executive secretary in a Joint Powers Authority that managed a regional GIS database. He has extensive training and experience in IT strategic planning, policy development, and project management. Dave is an active member of the Municipal Information Systems Association of California and the users' groups for several municipal applications.

Ray Durant, Senior Management Advisor, has spent over 39 years in accounting and finance, including 19 years with the City of Fremont where he became assistant finance director. Ray provides financial expertise and advice on the full range of municipal finance operations, assisting local governments with their accounting functions and budgets with an emphasis on analysis. He serves as an expert advisor to executive managers, helping them bring about prudent financial management decisions. He has authored comprehensive regulations detailing various entitlement and reimbursement processes. Ray also provides leadership assistance through helping to foster teamwork and evaluating performance against the organization's goals.

Tim Sullivan, Special Advisor, has more than 30 years of experience in federal and local government human resources. He joined Management Partners in June 2008. Tim's areas of expertise include labor and employee relations, classification and compensation, human resources policy and procedure development and management training. He is an experienced trainer and certified mediator. Tim served as the labor relations manager and assistant human resources director for the County of San Mateo and as personnel director for the Internal Revenue Service's San Jose District Office. He has also been a part-time professor at San Jose State University.

FEE PROPOSAL

Due to the nature of project management, we are proposing to work on an hourly basis for the engagement. This proposal suggests that a contract in the amount of \$25,000 be authorized, which would provide about 150 hours of project management assistance (including expenses). The hourly rates to be charged are listed below.

Management Partners' Staff	Hourly Rate	Estimated Hours
Lynn Dantzker, Senior Manager (Project Manager)	\$175/hour	95
David Jensen, Senior Management Advisor	\$150/hour	20
Ray Durant, Special Advisor	\$150/hour	25
Tim Sullivan, Special Advisor	\$150/hour	10

CONCLUSION

Thank you for the opportunity to continue to provide assistance to you and the Alameda CTC. We look forward to assisting the agency with this project. Please feel free to contact either Lynn Dantzker or me to discuss our proposal.

Sincerely,

Andrew S. Belknap Regional Vice President

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Accepted for Alameda CTC by:

Name: _____

Title: ____

Date: ____