



Meeting Notice

1111 Broadway, Suite 800, Oakland, CA 94607

• 510.208.7400

• www.AlamedaCTC.org

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Executive Director

Arthur L. Dao

Citizens Watchdog Committee

Monday, June 8, 2015, 6:30 p.m.

1111 Broadway, Suite 800

Oakland, CA 94607

Mission Statement

The mission of the Alameda County Transportation Commission (Alameda CTC) is to plan, fund, and deliver transportation programs and projects that expand access and improve mobility to foster a vibrant and livable Alameda County.

Public Comments

Public comments are limited to 3 minutes. Items not on the agenda are covered during the Public Comment section of the meeting, and items specific to an agenda item are covered during that agenda item discussion. If you wish to make a comment, fill out a speaker card, hand it to the clerk of the Commission, and wait until the chair calls your name. When you are summoned, come to the microphone and give your name and comment.

Recording of Public Meetings

The executive director or designee may designate one or more locations from which members of the public may broadcast, photograph, video record, or tape record open and public meetings without causing a distraction. If the Commission or any committee reasonably finds that noise, illumination, or obstruction of view related to these activities would persistently disrupt the proceedings, these activities must be discontinued or restricted as determined by the Commission or such committee (CA Government Code Sections 54953.5-54953.6).

Reminder

Please turn off your cell phones during the meeting. Please do not wear scented products so individuals with environmental sensitivities may attend the meeting.

Glossary of Acronyms

A glossary that includes frequently used acronyms is available on the Alameda CTC website at www.AlamedaCTC.org/app_pages/view/8081.

Location Map

★ Alameda CTC
1111 Broadway, Suite 800
Oakland, CA 94607

Alameda CTC is accessible by multiple transportation modes. The office is conveniently located near the 12th Street/City Center BART station and many AC Transit bus lines. Bicycle parking is available on the street and in the BART station as well as in electronic lockers at 14th Street and Broadway near Frank Ogawa Plaza (requires purchase of key card from bikelink.org).



Garage parking is located beneath City Center, accessible via entrances on 14th Street between 1300 Clay Street and 505 14th Street buildings, or via 11th Street just past Clay Street. To plan your trip to Alameda CTC visit www.511.org.

Accessibility

Public meetings at Alameda CTC are wheelchair accessible under the Americans with Disabilities Act. Guide and assistance dogs are welcome. Call 510-893-3347 (Voice) or 510-834-6754 (TTD) five days in advance to request a sign-language interpreter.



Meeting Schedule

The Alameda CTC meeting calendar lists all public meetings and is available at www.AlamedaCTC.org/events/upcoming/now.

Paperless Policy

On March 28, 2013, the Alameda CTC Commission approved the implementation of paperless meeting packet distribution. Hard copies are available by request only. Agendas and all accompanying staff reports are available electronically on the Alameda CTC website at www.AlamedaCTC.org/events/month/now.

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Citizens Watchdog Committee Meeting Agenda Monday, June 8, 2015, 6:30 p.m.

1111 Broadway, Suite 800, Oakland, CA 94607

510.208.7400

www.AlamedaCTC.org

Chair: James Paxson

Vice Chair: Deborah Taylor

Staff Liaisons: Tess Lengyel,
Patricia Reavey

Public Meeting Coordinator: Angie Ayers

6:30 – 6:35 p.m.
James Paxson

1. Welcome and Call to Order

6:35 – 6:40 p.m.
Public

2. Public Comment

Page A/I*

6:40 – 6:45 p.m.
James Paxson

3. CWC Meeting Minutes

3.1. Approval of March 9, 2015 CWC Meeting Minutes

1 A

6:45 – 7:15 p.m.
CWC Members
Ahmad
Gharaibeh

4. Alameda CTC's Independent Auditor to Discuss FY2014-15 Audit (Verbal)

I

7:15 – 7:30 p.m.
CWC Members

5. Discussion of CWC Audit Review (Verbal)

I

7:30 – 7:45 p.m.
CWC Members
Staff

6. Finalize Draft CWC Annual Report Content

7 I

7:45 – 7:50 p.m.
CWC Members

7. Projects and Programs Watchlist (sign up for projects and programs) – Handout at meeting

7:50 – 7:55 p.m.
CWC Members

8. Review of Agency Reporting Procedures and Related Analytics (Verbal)

I

7:55 – 8:00 p.m.
Staff

9. Responses to CWC Requests for Information (Verbal)

I

8:00 – 8:05 p.m.
CWC Members

10. CWC Member Reports/Issues Identification (Verbal)

10.1. CWC Issues Identification Process and Form

17 I

10.2. Issues Discussion (Verbal)

I

8:05 – 8:30 p.m.
Staff

11. Staff Reports/Board Actions (Verbal)

11.1. Draft Compliance Summary and Audit Report Review	21	I
11.2. Mid-Year FY2014-15 Budget Update	63	I
11.3. Final FY2014-15 Sales Tax Budget Update	67	I
11.4. Alameda CTC FY2014-15 Third Quarter Investment Report	71	I
11.5. CWC Calendar FY2014-15	91	I
11.6. CWC Roster	93	I
11.7. Alameda CTC Commission Action Items	95	I

8:30 p.m.
James Paxson

12. Adjournment

Next meeting: July 13, 2015



Citizens Watchdog Committee Meeting Minutes Monday, March 9, 2015, 6:30 p.m.

3.1

1111 Broadway, Suite 800, Oakland, CA 94607

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• www.AlamedaCTC.org

1. Welcome and Call to Order

CWC Chair James Paxson called the meeting to order at 6:30 p.m. The meeting began with introductions, and the chair confirmed a quorum. All CWC members were present, except the following: Miriam Hawley, Brian Lester, Deborah Taylor, Robert Tucknott, and Hale Zukas. James welcomed new members Murphy McCalley, Glenn Naté, and Pat Piras.

Robert Tucknott arrived during item 4.

2. Public Comment

There were no public comments.

3. CWC Meeting Minutes

3.1. Approval of January 12, 2015 CWC Meeting Minutes

Steve Jones moved to approve the minutes. Harriette Saunders seconded the motion. The motion passed 9-0 with one abstention, Pat Piras (Miriam Hawley, Brian Lester, Deborah Taylor, Robert Tucknott, and Hale Zukas were absent).

4. Program Compliance Summary Report to CWC

John Nguyen gave an update on the Direct Local Distribution Program Compliance Review process and preliminary Summary Report. He stated that CWC members and Alameda CTC staff reviewed the Compliance Reports and Audited Financial Statements submitted by the jurisdictions. The comments were consolidated and forwarded to the jurisdictions. The majority of comments were focused on effectiveness of the jurisdictions' plan and fund balances. Responses to the comments from the agencies are in Attachment 4A.

Questions/feedback from members:

- Is there a limit on how large a fund balance jurisdictions can carry forward? John said there is no limitation; however, timely use of funds requirements encourage agencies to spend down the fund balances.
- Did staff find any agencies that had a problem? John said all agencies received comments ranging from inquiries on unspent fund balances, revenues and expenditures reported, and the effectiveness of their implementation plans. The agencies responded as shown in Attachment 4a. Revisions are still pending for some agencies who are finalizing requested modifications to their reports.
- Will staff bring back a report to the CWC once the follow up is done with the outstanding agencies? Yes, staff will bring the Programs Compliance Summary Report back to the CWC in June that will be consistent with the final compliance reports and audited financial statements.
- Some of the agencies have ending balances that are larger than their beginning balance. John said the agencies' expenditures did not exceed the incoming revenue.

- What did Alameda CTC do about road miles versus whatever else the agencies were showing on their report? John said that comment was received late, and staff was not able to get that comment to the jurisdictions for a response. John is talking to the jurisdictions to clarify that number, so the reports will be consistent.
- Some of the agencies did not show future spend down on savings. John stated all jurisdictions provided an implementation plan, as shown on Table 3 of their Compliance Reports, which depicts the use of year end fund balances and upcoming projected revenues.
- There were big discrepancies on what was spent and what was planned to be spent. Did Alameda CTC get responses regarding these discrepancies? John confirmed that all jurisdictions responded with an explanation as to why their implementation plans deviated from actual expenditures.
- Did the jurisdictions meet the required expenditure threshold ratio for planned vs actual expenditures, and the allowable percentages for the reserves? John reported that four agencies did not meet these requirements and are requesting an exemption. These agencies include Altamont Corridor Express, Alameda, Dublin, and Emeryville. He explained that the Commission will review the requests for exemptions as part of the Program Compliance Summary Report in June.
- Does Alameda CTC look at the different city programs such as the Center for Independent Living and shuttles? John said the Program Compliance Review is for Direct Local Distribution funds only. For discretionary grant funds awarded to non-profits, community based organizations or city sponsors Alameda CTC reviews expenditures through other reporting processes required by the grant funding agreements.
- A suggestion was made to provide the agencies with pre-populated data where possible to streamline the reporting by agencies.
- Was the bond embezzlement a part of Alameda CTC? No, that was a part of the Association of Bay Area Governments.

5. Establishment to CWC Annual Report Subcommittee

James Paxson informed the committee that the CWC develops an annual report for the public each year, and the committee has done a lot of work over the years to make the report accessible to the public. The following committee members volunteered for the Annual Report Subcommittee:

- Cynthia Dorsey
- JoAnn Lew
- James Paxson
- Harriette Saunders

Staff will notify the committee once a meeting date for the subcommittee is determined.

6. Responses to CWC Requests for Information

6.1. Oakland Airport Connector Funding

Art Dao stated that at the January 12, 2015 meeting, there were public comments and questions relating to funding of the Oakland Airport Connector (OAC) project pertaining to the 2000 Expenditure Plan. The committee requested that staff explain the \$100 million in federal funds used in the annual report and to further explain the program cost escalation in the 2000 Expenditure Plan.

Art Dao stated that the main question is why does the 2000 Expenditure Plan show a commitment of \$65.8 million, and various other documents show \$89.052 million for the OAC project? He said that the amounts in the 2000 Expenditure Plan are in 1998 dollars. The Expenditure Plan allows for a program cost escalation factor which is approved by the Commission as part of the strategic plan process annually, based on a number of economic indices, such as the California Highway Cost Index, Consumer Price Index, Material Cost Index, Labor Cost Index, etc. The program escalation factor has been applied over time. At no time is the program escalation factor allowed to go above Alameda CTC's revenue. Once the funds have been allocated, escalation stops. For the OAC, as a result of the program escalation factor, the \$65.8 million grew to \$89.052 million.

Art informed the committee that Alameda CTC receives reports from project sponsors which demonstrate their funding plan for their project. He stated that at one time BART reported to Alameda CTC that it would receive \$100 million of federal funds for the OAC project. Later, BART swapped the monies with another fund source, not Measure B, but did not update their report to Alameda CTC. James Paxson stated that in the upcoming annual report the chart will be change to reflect the correct information.

Questions/feedback from the members:

- What is the program escalation factor? Art said it's an analysis Alameda CTC does annually using a composition of various indices. He noted that the methodology is the same, even though the indices may change yearly. Art also stated the program escalation factor for OAC stopped being applied in 2008-2009.
- A member requested staff to define allocation in relation to stopping the escalation. Art said that allocation is how Alameda CTC's money flows. For example, if a project sponsor knows that a construction contract is ready to go, the sponsor will notify Alameda CTC, and we will allocate the funds. Allocations are done annually through the strategic plan process; however, not every project needs an annual allocation. Once allocated and approved by the Commission, invoices can be paid on the project on a reimbursement basis.
- If funding sources change for a project, at what point is Alameda CTC notified? Alameda CTC very closely monitors funding for projects, and project sponsors will notify us when funding sources change; however, with the OAC project the funding changed at the very last minute, which was unexpected.

6.2. Local Streets and Roads Funding Formula

At the January 12, 2015 meeting a public comment was made relating to the inequity of funding for local streets and roads pertaining to the 2000 Expenditure Plan. The committee requested that staff explain the appearance that certain areas of the county are not getting their fair share of funding, and that the formula/equation used to determine funding for this program may not be equitable. Art Dao informed the committee that the local streets and roads distribution matches the formula in the 2000 Expenditure Plan, and Alameda CTC is doing what voters approved for Measure B. Art noted that Alameda CTC will use the same formula for Measure BB local streets and roads funding.

6.3. Measure BB Initiative/Local Sales Tax Funds

Tess Lengyel stated that at the January 12, 2015 meeting a public comment was made that Measure B sales tax money was used to campaign for Measure BB. The committee requested that staff provide an explanation regarding the comment. Tess informed the committee that public funds were not used on campaign activities. The question came up because the person saw materials around Alameda County. Alameda CTC has lots of materials around the county and performs widespread outreach to educate residents of Alameda County. She mentioned that Alameda CTC goes to business affairs, public events, fairs, etc. and provides fact sheets and brochures on programs and projects within Alameda County that Alameda CTC plans, funds, and delivers. She mentioned that Alameda CTC promotes the Guaranteed Ride Home program, Access Alameda program, Safe Routes to School, I-580/I-680 Express Lanes, Bike to Work Day/National Bike Month to name a few. Alameda CTC uses a consistent method to disseminate information about its work, such as press releases, materials at public events, social media messages through Facebook and Twitter, and emails through Constant Contact.

Tess informed the committee that Alameda CTC is in the process of developing three multimodal plans: Countywide Goods Movement Plan, Countywide Transit Plan, and Countywide Multimodal Arterial Plan. She stated that Alameda CTC is working with AC Transit to host five transportation open houses/public workshops. Tess told the committee that the one remaining workshop would be at the Fremont Library on March 22.

Questions/feedback from committee:

- A member stated that it's a fine line between public education and public promotion. Art stated that Alameda CTC cannot stop the education process, because an election is taking place. The legal department was involved, and reviewed and approved all materials before the election to ensure Alameda CTC was only providing educational information.
- Did the distributed materials constitute promotional materials? No, and Measure B funds were not used for the campaign.

6.4. Update on Independent Watchdog Committee

Tess Lengyel gave an update to the committee on the Independent Watchdog Committee (IWC). She informed the committee that the CWC was approved as the result of the 2000 Expenditure Plan, and committee members must be a resident of Alameda County. In the 2014 Transportation Expenditure Plan (2014 Plan), the committee continues with a new name, IWC, and the composition is the same as the CWC. Tess informed the group that the IWC meeting will meet for the first time in July. She stated the CWC regularly holds an organizational meeting annually in June; however, the organizational meeting will take place in July this year when the IWC becomes effective.

Questions/feedback from the committee:

- Will there be a need to adopt new bylaws? Yes, the roles for Measure BB will need to be incorporated into the bylaws.

- Will members need to be reappointed? No, according to the 2014 Plan, the composition will remain the same, and the members of this committee will roll over to the IWC.
- A member stated that page 36 of the 2014 Plan says the IWC is the same committee, and it should probably say the "same composition" as the CWC.

James Paxson requested that staff explain the Master Programs Funding Agreement (MPFA) for Measure BB. Art mentioned that it may take time for Alameda CTC to stabilize the 2014 Plan operationally. The Commission is adopting an interim MPFA agreement for one year, and in 2016 Alameda CTC will develop a longer term agreement inclusive of the 2014 Plan. James stated that he wants the IWC to review the Measure BB MPFA.

James stated that as part of the agenda planning meeting, the members need to discuss briefly the roles and responsibilities of the IWC and discuss the new policies that will be communicated in the MPFA about Measure BB and local distributions.

Discussion took place on the process and policies needed to develop metrics for performance-based project and program implementations. Art said that we will work with economic groups to help with development of the policies.

7. CWC Member Reports/Issues Identification

7.1. CWC Issues Identification Process and Form

James Paxson explained the process for this agenda item.

7.2. Issues Discussion

Jo Ann Lew submitted an issue form to request more information on the grant program. She said the CWC did not get enough information on the grant program process. Art said that staff provided an active grant list mentioned under agenda item 5.1 at the January CWC meeting. Additional details can be provided on the grant process at the next programs update.

James Paxson reviewed the projects and programs watch list process and indicated that the members should sign up to watch projects and programs of interest to them.

8. Staff Reports/Board Actions (Verbal)

8.1. Alameda CTC FY2014-15 Second Quarter Investment Report

Patricia Reavey reviewed the Alameda CTC FY2014-15 Second Quarter Investment Report with the committee. She stated that the Finance and Administration Committee approved the Second Quarter Investment Report for FY2014-15.

8.2. CWC Calendar FY14-15

The calendar is in the agenda packet for review purposes.

8.3. CWC Roster

The committee roster is in the agenda packet for review purposes.

8.4. Alameda CTC Commission Action Items

The Commission action items are listed in the agenda packet.

9. Adjournment

The meeting adjourned at 8:15 p.m. The next meeting is scheduled for June 8, 2015 at the Alameda CTC offices.

Report to the Public

Measure B Sales Tax Activities

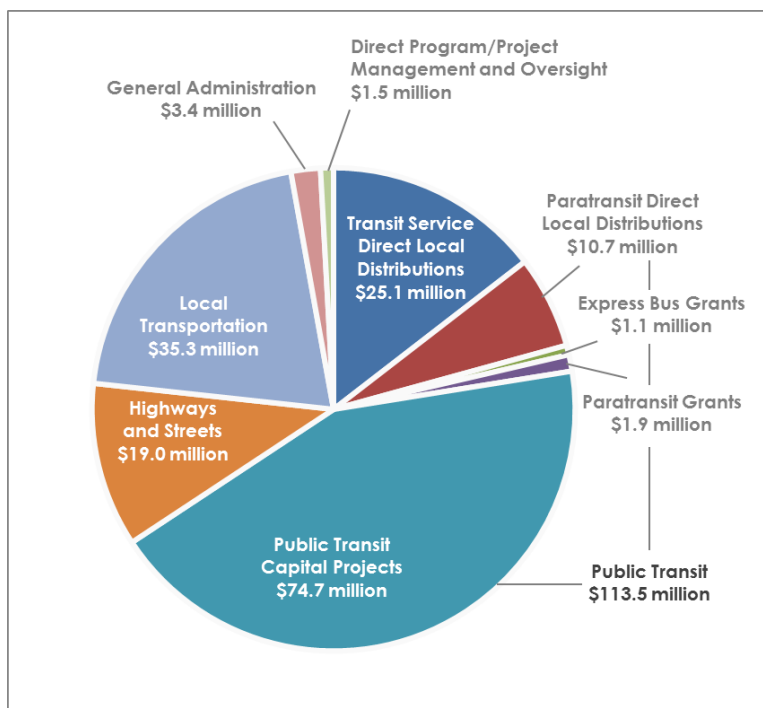
IN NOVEMBER 2000, ALAMEDA COUNTY VOTERS APPROVED MEASURE B, which extended the county's half-cent transportation sales tax to 2022 and set forth a 20-year Expenditure Plan. Measure B also established a Citizens Watchdog Committee (CWC) to represent the different perspectives of taxpayers throughout the county and to review all Measure B expenditures on projects, programs and administration for compliance with the Expenditure Plan.

The CWC reports its findings annually to the public. During this reporting period, CWC members performed a number of activities to ensure appropriate use of transportation sales tax funds, reviewed compliance reports for adherence to funding policies and widely performed outreach to the public. This 13th annual report covers expenditures during the fiscal year ended June 30, 2014 (FY2013-14) and CWC activities through June 2015.

Summary of Expenditures

THE ALAMEDA COUNTY TRANSPORTATION COMMISSION (ALAMEDA CTC) IS RESPONSIBLE for administering the Measure B half-cent transportation sales tax measure as well as congestion management agency functions. In FY2013-14, Measure B revenues totaled \$127.1 million, and audited expenditures totaled \$172.7 million for different transportation modes, oversight and administration.

Alameda CTC FY2013-14 Project and Program Expenditures



(Note: The designer will redesign this chart to look more similar to the one in the 12th Annual Report, with the Local Transportation split into segments.)

Financials At-a-Glance

ALAMEDA CTC AUDITED EXPENDITURES INCLUDE general administration, direct program and project management and oversight expenses, Measure B discretionary grant fund expenditures, project expenditures and payments to jurisdictions for four main programs: local streets and roads, mass transit, paratransit and bicycle and pedestrian safety. In FY2013-14, audited Measure B expenditures on programs, projects and administration totaled \$172.7 million. Program compliance reports submitted by Measure B fund recipients reported \$63.9 million in expenditures, which includes expenditures of Measure B fund balances from previous years.

For Measure B-related projects and programs, Alameda CTC expended \$96.0 million on capital projects, \$66.8 million on direct local distributions, \$5 million on grants, \$3.4 million on general administration and \$1.5 million on direct program and project management and oversight.² The 2000 Measure B Expenditure Plan specifies the use of approximately 60 percent of Measure B revenues to fund programs and 40 percent to fund projects. The percentages of actual project and program expenditures may vary from the Expenditure Plan percentages, because the expenditures in the Expenditure Plan are expected to be experienced over the life of the measure versus on a fiscal-year basis for capital projects.

Alameda CTC FY2013-14 Audited Expenditures¹

	(\$ in millions rounded) ²	
Public Transit		\$113.5
Direct Local Distributions - Transit Service	\$25.1	
Direct Local Distributions - Paratransit	10.7	
Express Bus Grants	1.1	
Paratransit Grants	1.9	
Public Transit Capital Projects	74.7	
Highways and Streets Capital Projects		19.0
Local Transportation		35.3
Direct Local Distributions - Local Streets and Roads	26.5	
Direct Local Distributions - Bicycle and Pedestrian	4.5	
Bicycle and Pedestrian Grants	1.9	
Transit Center Development Grants	0.1	
Local Transportation Capital Projects	2.3	
General Administration		3.4
Direct Program and Project Management and Oversight		1.5
Total:		\$172.7

Notes:

¹ In accordance with the 2000 Measure B Expenditure Plan, Alameda CTC allocates funds for specific capital projects and grants (paid on a reimbursement basis), and distributes sales tax revenue on a monthly, formulaic basis to the cities, county and transit operators.

² Expenditure amounts may vary by category due to rounding.

(Note: The designer will redesign this chart to look more similar to the one in the 12th Annual Report.)

FY2013-14 Measure B Direct Local Distribution Totals for All Programs

Agency/ Jurisdiction:	Beginning Balance	Distributions	Expended	Ending Balance
ACE	\$2,478,936	\$2,508,854	\$2,824,169	\$2,168,442
ACPWA	\$749,251	\$2,940,831	\$1,443,996	\$2,256,162
AC Transit	\$0	\$25,360,728	\$22,296,461	\$3,064,267
BART	\$0	\$1,763,298	\$1,763,298	\$0
City of Alameda	\$3,008,030	\$2,017,093	\$2,298,204	\$2,755,714
City of Albany	\$428,577	\$467,919	\$768,464	\$129,178
City of Berkeley	\$1,548,672	\$3,342,735	\$2,330,062	\$2,562,623
City of Dublin	\$904,164	\$520,539	\$559,739	\$869,099
City of Emeryville	\$153,022	\$301,474	\$39,170	\$416,800
City of Fremont	\$4,194,004	\$3,561,826	\$4,490,636	\$3,284,761
City of Hayward	\$2,170,957	\$3,292,248	\$3,431,698	\$2,040,253
City of Livermore	\$1,879,663	\$1,188,417	\$1,157,631	\$1,930,332
City of Newark	\$244,705	\$717,001	\$487,502	\$475,201
City of Oakland	\$12,016,585	\$11,930,940	\$12,539,565	\$11,447,976
City of Piedmont	\$555,948	\$425,931	\$589,535	\$393,761
City of Pleasanton	\$2,289,901	\$1,031,710	\$1,666,789	\$1,686,098
City of San Leandro	\$3,472,226	\$1,835,523	\$1,899,673	\$3,420,388
City of Union City	\$1,201,273	\$1,562,322	\$1,633,452	\$1,142,339
LAVTA	\$0	\$969,687	\$969,687	\$0
WETA	\$3,183,231	\$923,069	\$661,891	\$3,446,424
Total	\$40,479,144	\$66,662,145	\$63,851,622	\$43,489,819

Notes:

¹ The reported expenditures may include Measure B grant funds, fund balances and reserves from prior years, resulting in higher reported expenditures than receipts.

² Revenue and expenditure amounts may vary due to rounding.

³ The Beginning Balance may vary from the prior year due to restatement of fund balances in FY2013-14.

(Note: The designer will redesign this chart to look more similar to the one in the 12th Annual Report.)

Citizens Watchdog Committee Activities

THE CITIZENS WATCHDOG COMMITTEE (CWC) has undertaken the following activities from July 1, 2013 through June 30, 2015.

- **Ongoing Programs and Capital Projects Watch:** The CWC continued to watch specific programs and capital projects and to monitor any issues of concern.
- **Ongoing Budget Update:** The CWC received and reviewed the annual budget and mid-year budget updates.
- **Audit and Compliance Report Review (January 2014, January 2015):** The CWC performed its review of Measure B direct distribution recipients' audited financial statements and compliance reports, and requested additional information to help clarify agency expenditures. The CWC monitored compliance with detailed funding plans and implementation schedules required by compliance policies in the Master Programs Funding Agreements including timely use of funds. All CWC concerns were satisfactorily addressed.

- **Compliance Report Ad Hoc Subcommittee (October 2014):** The CWC met to review the new compliance report forms that include funding tables to facilitate CWC review. The CWC requested ongoing participation in the development of the review process.
- **Independent Audits:** In November 2013, and November 2014, the CWC received presentations from independent auditors on the audited Comprehensive Annual Financial Reports for the year ended June 30, 2013, and for the year ended June 30, 2014, respectively on Measure B revenues and expenditures. The independent auditors did not identify any concerns. The auditors also reviewed Alameda CTC's issuance of \$137.1 million of Sales Tax Revenue Bonds, which were issued in March 2014, and the compliance requirements involved with this issuance.
- **Audit Review Process:** In March 2014, the CWC created an audit subcommittee to provide input to the independent auditor on items of interest to the CWC. The independent auditors met with the audit subcommittee before the FY2013-14 financial audit, which resulted in additional testing performed by the auditors on compliance report evaluations and the timely use of funds policy for local agencies. The auditors reported back to the CWC to address the results of the additional testing. The auditors did not identify any concerns. These agencies did not meet the timely use of funds policy and will seek extensions.
- **Annual Report to the Public (2014-2015):** In March 2014, CWC members established a subcommittee to develop its annual report to the public. The CWC Annual Report Subcommittee met in April and May of 2014 and recommended adding new outreach activities in 2014, which included exploring cost-effective strategies to expand the outreach. At the July meeting, the CWC held a public hearing to receive comments on the report. Public outreach included a press release, online ads that link to the web-based report, print advertisements in Bay Area publications, additional advertisement on-board AC Transit buses, reference to the report in the Alameda CTC e-newsletter, e-mail and print mailings of the report, outreach on Alameda CTC's Twitter feed and Facebook page, distribution of the report at outreach events, translation of the advertisements and the report into Chinese and Spanish, and outreach to Asian and Hispanic community organizations.
- **Annual Report Outreach Objectives Subcommittee (January 2014):** The CWC established a subcommittee to discuss outreach objectives for the CWC Annual report. The outreach program for the 12th Annual Report included a wide range of media going well beyond the local newspapers to include social media and a Constant Contact e-mail blast to almost 4,000 organizations. Many CWC members visited their City Councils, local farmer's market and other venues to discuss, distribute and promote the report. Members contacted local jurisdictions that benefit from Measure B funding and encouraged them to post the report on their web pages.
- **Responses to CWC Requests for Information:** The CWC received updates on:
 - Alameda CTC's plan to issue limited tax bonds secured by a pledge of sales tax revenues to finance capital expenditures in the Expenditure Plan and Alameda CTC's adopted debt policy to establish guidelines for the issuance and management of debt and compliance with applicable post-issuance requirements of federal income tax law to preserve the tax-exempt status of the bonds.
 - Alameda CTC's capital projects expenditures with emphasis on the Dumbarton Corridor Area Transportation Improvements, BART Oakland Airport Connector, BART to Warm Springs Extension and BART state of good repair.
 - Alameda CTC's process for review of project invoices to ensure compliance with Master Project Funding Agreements and Project Specific Funding Agreements before authorizing payment.
 - CWC Annual Report outreach efforts including outreach data as well as cost estimates for ad placements on AC Transit and BART, and other publicly visible locations.
 - The general fund balance reserve policy that Alameda CTC adopted to mitigate risk, ensure sufficient

liquidity in all funds and maintain credit worthiness.

- Use of Measure B funds in the development of Measure BB and the related 2014 Transportation Expenditure Plan.
- The 2014 Transportation Expenditure Plan including approvals from all City Councils of the plan. - The Independent Watchdog Committee (IWC) in the 2014 Transportation Expenditure Plan, required by Measure BB, which has the same composition as the CWC required by Measure B. The IWC will report directly to the public and is charged with reviewing all Measure B and Measure BB expenditures and Measure BB performance measures. The IWC will meet the first time in July 2015.
- The Measure B grant programs' funding and funding allocation process.
- The local streets and roads funding formula, its derivation and application in Measure B and Measure BB.
- The goals reached for the Alameda CTC Local Business Contract Equity Program. In FY2013-14, Alameda CTC reported that it exceeded its 70 percent Local Business Enterprises (LBEs) and its 30 percent Small Local Business Enterprise goals for professional and administrative services. Total payments to LBEs equaled an estimated \$3.8 million (79 percent) for active contracts.

Alameda CTC Programs

ALAMEDA CTC ALLOCATES APPROXIMATELY 60 PERCENT OF MEASURE B FUNDS, net of administrative funding, on a monthly, formula basis (direct local distributions) and through competitive grants paid on a reimbursement basis to Alameda County, cities and transit agencies for programs. In FY2013-14, Alameda CTC expended \$71.8 million in Measure B funds on programs.¹

Local streets and roads:

All cities and the county receive allocations for local transportation improvements, including street maintenance and repair. Jurisdictions use these flexible Measure B funds to meet their locally determined transportation priorities.

- Payments to jurisdictions: \$26.5 million
- Grants: \$0
- Total: \$26.5 million

Mass transit:

Transit operators for systems including ACE, AC Transit, Union City Transit, WETA and Wheels receive allocations for operations.

- Payments to local transit operators: \$25.1 million
- Grants: \$1.1 million for Countywide Express Bus Service Grants
- Total: \$26.2 million

Special transportation for seniors and people with disabilities (paratransit):

Cities and transit operators receive funds to support their ongoing transportation programs for seniors and people with disabilities.

- Payments to local jurisdictions: \$10.7 million
- Grants: \$1.9 million for Paratransit Gap Grants and stabilization funds
- Total: \$12.6 million

Bicycle and pedestrian safety funds:

All cities and the county receive these funds for bicycle and pedestrian capital projects, programs and plans.

- Payments to local jurisdictions: \$4.5 million
- Grants: \$1.9 million for Countywide Discretionary Fund Grants
- Total: \$6.4 million

Transit oriented development:

A small portion of Measure B revenues are awarded through the Transit Oriented Development Grant Program and leverage other funds by becoming a local match for grants and studies.

- Grants: \$0.1 million
- Total: \$0.1 million

Notes:
1 The 2000 Measure B Expenditure Plan includes the following program allocations: local streets and roads (22.34%), mass transit (21.92%), special transportation for seniors and people with disabilities (10.45%), bicycle and pedestrian safety (5%) and transit center development (0.19%). See the FY13-14 Program Compliance Report for data on expenditures by Measure B fund recipients (need to insert hyperlink once report is ready).

[potential callout boxes in this section]

[photo of local streets and roads]

Measure B funds infrastructure improvements on local roadways such as road repair, resurfacing, curb cuts and signal operations. For example, the City of Albany rehabilitated 2,800 square feet of pavement, repaired 485 potholes and restriped bicycle lanes and sharrows in FY2013-14.

[photo of seniors/people with disabilities]

Local paratransit programs provide convenient transportation options and community services to seniors and people with disabilities. The City of Fremont provided 19,000 trips through its Americans with Disabilities Act-mandated program in FY2013-14.

[photo of BikeMobile]

The Bike-Go-Round Bicycle Education/Safety Program, funded by a Countywide Discretionary Fund Grant, began in July 2013 and increases travel safety and access for bicyclists. In a six-month period, the project sponsor Cycles of Change aimed to train 40 participants and provide bicycle repair services to 375 low-income bicycle commuters.

Alameda CTC Projects

ALAMEDA CTC ALLOCATES 40 PERCENT OF MEASURE B FUNDS to capital projects, net of administrative funding. In FY2013-14, Alameda CTC expended \$96.0 million on capital projects for transportation infrastructure improvements, such as BART rail extensions, highway and transit improvements, local street and road enhancements, intermodal projects and other local projects.

In addition to the 26 voter-approved capital projects, Alameda CTC added the following projects approved by the Commission pursuant to the Expenditure Plan: the Vasco Road Safety Improvement Project from the Measure B Congestion Relief Emergency Fund in 2003, the I-80 Integrated Corridor Management Project in 2008, the I-880/23rd and 29th Avenues Interchanges and the Countywide Transportation Plan/Transportation Expenditure Plan in 2010, and the Studies for Congested Segments/Locations on the CMP Network in 2011.

Some of the projects in the 2000 Measure B Expenditure Plan are funded for specific phases. The local sales tax dollars allow the initial project development phases to move forward, while project sponsors secure other funding sources to complete construction. This lets Alameda CTC efficiently and expeditiously deliver projects. By 2012, the midpoint of the current transportation sales tax measure, Alameda CTC had delivered 95 percent of all capital project investments.

The chart on the next page shows the project phases, schedule, funding commitments and total project costs for the capital projects.

[potential callout boxes in this section]

[photo of Union City Intermodal Station]

Completed in 2012, the Union City Intermodal Station phase I project provided better BART Station access from the west side, reconfigured parking facilities, improved bus facilities and enhanced pedestrian circulation. This growing transit-oriented community has family apartments, retail stores and a children's playground.

[photo of construction on Route 92 (if possible)]

In 2015, construction began on phase I of the City of Hayward's Route 92/Clawiter-Whitesell Interchange and Reliever Route project, which includes travel and bicycle lanes in each direction, a landscaped median with a two-way left-turn lane and new curb, gutter, sidewalk, storm drain and lighting improvements.

[photo of Iron Horse Transit Route or buses/bicyclists on Class II bike lanes]

Funded by Measure B, the City of Dublin's Iron Horse Transit Route will accommodate buses by widening Dougherty Road from four to six lanes; Class II bike lanes will accommodate bicyclists. Final design, right-of-way and utility relocation activities are underway.

Project Status as of March 2015

Index	2000 Measure B Project No.	Current Phase (Note 1)	Project Name	Planning Area (Note 2)	Construction Schedule (Note 3)		Project Funding Sources (\$ x million) (Note 4)						Total Project Cost/ Funding	FY13-14 Expenditures
					Begin	End	2000 MB (ACTIA)	Federal	State	Regional	Local	Other		
1	05	Complete	Fruitvale Transit Village	N	Oct 2002	Mar 2004	4.4	0.0	7.7	0.0	1.4	0.0	13.5	0.0
2	17	Complete	Hesperian/Lewelling Blvd Intersection Improvements	C	Oct 2003	Oct 2012	0.7	0.0	0.0	0.0	0.1	0.0	0.8	0.0
3	21	Complete	I-238 Widening	C	Sep 2006	Oct 2009	81.0	18.3	29.2	0.0	3.3	0.0	131.8	0.0
4	11	Complete	I-880/Washington Avenue Interchange Improvement	C	Apr 2009	May 2010	1.3	0.5	0.0	0.0	1.4	0.0	3.2	0.0
5	20	Complete	Newark Local Streets Rehabilitation	S	Jun 2003	Feb 2006	1.4	0.0	0.0	0.0	4.1	0.0	5.5	0.0
6	16	Complete	Oakland Local Streets Rehabilitation	N	Jul 2004	Dec 2006	5.3	0.0	0.0	0.0	0.0	0.0	5.3	0.0
7	06	Complete	Union City Intermodal Station	S	Jun 2007	Mar 2012	12.6	20.4	7.7	0.0	6.3	0.0	47.0	0.0
8	27	Complete / Construction	Emerging Projects (Congestion Relief Emergency Fund)	N/E	Various	Various	11.0	18.0	242.7	11.2	29.5	0.0	312.4	0.8
9	14	Complete / Construction	I-580 Auxiliary Lanes	E	Various	Various	14.5	6.4	115.8	14.5	1.0	0.1	152.3	0.6
10	18	Complete / Construction	Westgate Parkway Extension	C	Various	Various	7.9	0.0	0.0	0.0	0.0	0.0	7.9	0.1
11	07	Complete / Construction	San Pablo/Telegraph Avenue Corridor Transit Improvements	N	Various	Various	24.5	84.2	13.7	65.7	0.3	5.0	193.3	2.7
12	08	Complete / Environmental	I-680 Sunol Express Lanes Improvements	S/E	Various	Various	35.2	5.4	8.0	0.0	8.0	0.0	56.6	-0.9
13	12	Project Closeout	I-580/Castro Valley Interchanges Improvements	C	Jun 2008	Jun 2011	11.5	1.9	4.8	0.0	15.0	0.0	33.2	0.0
14	23	Project Closeout	Isabel Avenue - Route 84/I-580 Interchange	E	Jan 2009	Mar 2012	25.1	11.3	44.4	0.0	32.4	0.0	113.2	0.7
15	13	Project Closeout	Lewelling/East Lewelling Blvd Widening	C	Jul 2009	Oct 2012	13.6	0.0	4.3	0.0	13.8	0.1	31.8	1.4
16	03	Project Closeout	BART Oakland Airport Connector	N	Sep 2010	Nov 2014	89.1	130.7	78.9	146.2	39.3	0.0	484.2	23.9
17	24	Plant Est/ Construction	Route 84 Expressway	E	Various	Various	96.5	0.0	16.1	0.0	10.0	3.5	126.1	10.6
18	01	Construction	Altamont Commuter Express Rail	S/E	Various	Various	13.2	123.1	155.3	0.0	182.6	0.0	474.2	3.4
19	02	Construction	BART Warm Springs Extension	S	Sep 2009	Dec 2015	224.4	0.0	295.4	321.0	49.2	0.0	890.0	41.8
20	04	Construction	Downtown Oakland Streetscape Improvement	N	Sep 2007	Mar 2016	6.4	0.0	0.4	0.0	2.4	0.3	9.5	0.0
21	15	Construction	Route 92/Claiviter - Whitesell Interchange and Reliever Route	C	Mar 2015	Oct 2016	27.0	0.0	0.0	0.0	0.7	0.0	27.7	7.6
22	19	Design	East 14th St/Hesperian Blvd/150th St Intersection Improvement	C	Jan 2016	Sep 2017	3.2	0.0	0.0	0.0	2.8	0.0	6.0	0.0
23	09	Design	Iron Horse Transit Route	E	Jan 2016	Jun 2017	6.3	0.0	0.0	0.0	2.1	0.0	8.4	0.0
24	25	Environmental	Dumbarton Corridor Improvements	S	Various	Various	19.4	0.0	0.0	0.0	0.6	0.0	20.0	0.0
25	26	Environmental / ROW	I-580 Corridor/BART to Livermore Studies	E	Various	Various	41.8	0.2	30.9	125.5	1.8	6.9	207.1	2.3
26	10	Environmental	I-880/Broadway-Jackson Interchange Improvements (Study Only)	N	N/A	N/A	8.1	0.0	0.0	0.0	2.5	0.0	10.6	0.0
27	22	Scoping	I-680/I-880 Cross Connector Studies (Study Only)	S	N/A	N/A	1.2	0.0	1.0	0.0	0.3	0.0	2.5	0.0
PROJECT TOTALS							\$ 786.6	\$ 420.3	\$ 1,056.3	\$ 684.1	\$ 410.9	\$ 15.9	\$ 3,374.1	\$ 95.0

Notes:

¹ The Current Phase is based on a status date effective March 31, 2015. The Project Closeout phase indicates construction is complete, and the project financial closeout is underway.

² Project Planning Areas include C = Central County, E = East County, N = North County, S = South County.

³ Construction schedules shown are subject to change based on project delivery activities. Begin Construction date shown is typically the expected contract award date. End Construction date for BART capital projects is the point at which transit/revenue service will begin.

⁴ The funding amounts shown are subject to change based on programming and allocation activities by the applicable governing agency.

CWC Members

Name

Appointer

James Paxson, Chair	East Bay Economic Development Alliance
Deborah Taylor, Vice Chair	Supervisor Wilma Chan, District 3
Petra Brady*	Alameda County Mayors' Conference, District 4
Cheryl Brown	Alameda Labor Council AFL-CIO
Cynthia Dorsey	Alameda County Mayors' Conference, District 5
Peter Michael Dubinsky*	Supervisor Richard Valle, District 2
Sandra Hamlat	Bike East Bay
Herb Hastings	Paratransit Advisory and Planning Committee
James Haussener*	Supervisor Nate Miley, District 4
Miriam Hawley	League of Women Voters
Steven Jones	Alameda County Mayors' Conference, District 1
William Klink*	Alameda Labor Council AFL-CIO
Brian Lester	Supervisor Scott Haggerty, District 1
Jo Ann Lew	Alameda County Mayors' Conference, District 2
Murphy McCalley	Supervisor Nate Miley, District 4
Glenn Naté	Supervisor Richard Valle, District 2
Patrisha "Pat" Piras	Sierra Club
Harriette Saunders	Alameda County Mayors' Conference, District 3
Robert A. Tucknott	Alameda County Mayors' Conference, District 4
Hale Zukas	Supervisor Keith Carson, District 5
Vacancy	Alameda County Taxpayers Association

*Members resigned or their terms ended within this reporting period.

"Each year, the Citizens Watchdog Committee carefully reviews and analyzes Alameda CTC's Measure B expenditures to help ensure that funds are spent in accordance with the measure passed by Alameda County voters. This process is an important part of seeing that the vibrant and livable Alameda County the voters envisioned with the passage of Measure B comes to pass.

"The CWC is pleased to report that, for the 13th year in a row, Alameda CTC received a clean, unmodified opinion from the agency's auditors. These results provide Alameda County residents and businesses with a strong assurance that the Measure B transportation sales tax continues to deliver on its promise."

— James Paxson, CWC Chair

Questions or Comments?

Email the CWC at contact@alamedactc.org or sign up to receive e-mail notifications and our bimonthly newsletter at www.AlamedaCTC.org.

Follow us on:

www.facebook.com/AlamedaCTC

<http://twitter.com/AlamedaCTC>

www.youtube.com/user/AlamedaCTC

[insert agency logo, address, phone and website]

How to Get Involved

Alameda CTC and the Citizens Watchdog Committee invite your participation to plan, fund and deliver transportation projects and programs that enhance mobility throughout Alameda County. Join one of Alameda CTC's community advisory committees — in addition to the CWC, the public serves on the Bicycle and Pedestrian Advisory Committee and the Paratransit Advisory and Planning Committee — or feel free to participate in and attend public meetings. Visit www.AlamedaCTC.org to learn more about opportunities to get involved.

Further Information

The complete 2000 Measure B Expenditure Plan, this report, agency compliance audits and reports and Comprehensive Annual Financial Reports are available at www.AlamedaCTC.org. Copies of these publications are also available at the Alameda CTC offices at 1111 Broadway, Suite 800, Oakland, CA 94607; you can reach Alameda CTC at 510.208.7400. Contact your local jurisdiction for information on Measure B-funded projects and programs; visit http://www.alamedactc.org/app_pages/view/8072 or your jurisdiction's website.

Citizens Watchdog Committee Issues Identification Process

Summary

This issues identification process outlines the responsibilities of the Citizens Watchdog Committee (CWC) and the process to bring and address issues of concern to the CWC.

CWC Responsibilities

The Citizen Watchdog Committee is charged with the following as written in the Expenditure Plan approved by voters in November 2000:

"This committee will report directly to the public and will be charged with reviewing all expenditures of the Agency [Alameda County Transportation Commission (Alameda CTC)]." The responsibilities of the committee are to:

- Hold public hearings and issue reports, on at least an annual basis, to inform Alameda County residents how the funds are being spent. The hearings are open to the public and must be held in compliance with the Brown Act, California's open meeting law, with well-publicized information announcing the hearings posted in advance.
- Have full access to the Commission's independent auditor and authority to request and review specific information and to comment on the auditor's reports.
- Publish an annual report and any comments concerning the Commission's audit in the local newspapers. In addition, copies of these documents must be made available to the public at large.

The Commission also allows the CWC to fulfill its mission by requesting information directly from Measure B fund recipients.

Review Process

The goals for any review of projects and programs by the CWC are to report to the public and make recommendations to the Alameda CTC staff and Board. To this end, the tasks for the CWC to focus on: 1) proper expenditure of Measure B funds; 2) the timely delivery of projects per contract agreements and the Expenditure Plan; and 3) adherence to the projects or programs as defined in the voter-approved Expenditure Plan.

CWC Issues Identification Process

During the review process, CWC members will use the following procedures:

1. Issues that are raised by CWC members regarding financial and contract compliance issues may be legitimate topics to pursue through the request of a project or program sponsor to appear before the CWC.
2. Before calling on a sponsor to appear, CWC members must submit a "CWC Issues Form" (attached) to the CWC chair or vice-chair for placement on the agenda at the next CWC meeting.
3. CWC members may also submit CWC Issues Forms during a meeting, which the chair will take into consideration, and at his or her discretion, address at that particular meeting.
4. The full CWC must approve issues identified in a CWC Issues Form to address in further detail by an affirmative vote.
5. CWC members may form an ad-hoc subcommittee to draft CWC questions that need answers from the project/program sponsors and to receive a presentation from a project or program sponsor specifically addressing the issues, questions, or concerns raised by the CWC.
6. The subcommittee should consider the resources listed below, either in preparation for the review meeting, or for examination during the meeting.

The reviews are expected to be organized, thorough and efficient, and may result in a clear recommendation for further action, if needed.

Resources for CWC (not inclusive)

- Adopted Measure B Expenditure Plan (blue book)
- Up-to-date list of project/program sponsors contacts
- Alameda CTC staff responsible for oversight of the project/program
- Information about public hearings, recent discussions, or news clippings provided by Alameda CTC staff to the CWC by mail or at meetings
- Other Alameda CTC community advisory committees (for example, the Citizens Advisory Committee, Paratransit Advisory and Planning Committee, or Bicycle and Pedestrian Advisory Committee chair-persons may be called on to address an issue.)
- Alameda CTC Auditor (for example, to request, "Are these figures reasonable/reliable?")
- Alameda CTC Executive Director (for example, to request "Is this the intention of the Expenditure Plan?")
- Alameda CTC Attorney (for example, to determine, "Is this a legal issue?")

CITIZENS WATCHDOG COMMITTEE ISSUES FORM

Alameda County Transportation Commission (Alameda CTC)
1111 Broadway, Suite 800
Oakland, California 94607
Voice: 510-208-7400 Fax: 510-893-6489

The CWC is required to review all Measure B expenditures. This form allows formal documentation of potential issues of concern regarding expenditure of Measure B funds. A concern should only be submitted to the CWC if an issue is directly related to the potential misuse of Measure B funds or non-compliance with Alameda CTC agreements or the Expenditure Plan approved by voters. This form may be used only by acting CWC members.

Date: _____

Name: _____

Email Address: _____

Governmental Agency of Concern (Include name of agency and all individuals)

Agency's Phone Number: _____

Agency's Address: _____

City _____ **Zip Code:** _____

Which one of the following Measure B expenditures is this concern related to
 (please check one):

☐ Capital Project ☐ Program ☐ Program Grant ☐ Administration

Please explain the nature of your concern and how you became aware of it providing as much detail as you can, including the name of the project or program, dates, times, and places where the issues you are raising took place.
 (Use additional sheets of paper if necessary)

PROJECT: _____

PROGRAM: _____

Action Taken - Please list other parties or agencies you have contacted in an attempt to more fully understand this issue and any actions you yourself have taken.



Memorandum

11.1

1111 Broadway, Suite 800, Oakland, CA 94607

• PH: (510) 208-7400

• www.AlamedaCTC.org

DATE: June 1, 2015

SUBJECT: FY 2013-2014 Measure B Program Compliance Reports

RECOMMENDATION: Review the FY 2013-2014 Measure B Program Compliance Reports and the exemption requests from the Timely Use of Funds Policy.

Summary

The Master Programs Funding Agreement (MPFA) requires recipients of Measure B Direct Local Distribution funds to submit a compliance report and Audited Financial Statement to Alameda CTC annually. These reports document the receipt and expenditures of Measure B Direct Local Distribution funds, completion of reporting requirements, and an implementation plan using available fund balances per the Timely Use of Funds and Reserve policies. In Fiscal Year 2013-14 (FY13-14), recipients received \$66.7 million of Measure B funds for locally prioritized bicycle and pedestrian, streets and roads, mass transit, and paratransit programs in Alameda County.

To guide the administration of the Direct Local Distribution funds, the Commission approved the Measure B/Vehicle Registration Fee Compliance Reserve Policies and Monitoring Procedures in October 2013 that provided further detail regarding the approach towards implementing the MPFA's provisions. This document defines approval processes for unexpended annual balances of the Planned Projects and Capital Fund Reserves at the Timely Use of Fund milestones and any actions (administrative or formal) that may be required.

For the FY13-14 reporting year, all Measure B recipients submitted compliance reports and audited financial statements that complied with the MPFA requirements. From this information, the Alameda CTC prepared Measure B Program Compliance Summary Report that describes the FY13-14 Direct Local Distributions and investments into the county's transportation system (*Attachment A*).

Background

Since the 2000 Measure B sales tax collections began on April 1, 2002, Alameda CTC has distributed approximately \$706.1 million in Measure B Direct Local Distribution (DLD) to twenty eligible jurisdictions in Alameda County through June 30, 2014. In FY13-14 Measure B generated approximately \$120 million in net revenues, of which approximately \$66.7

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million (60 percent) is provided directly to 20 jurisdictions as DLD funds for bicycle and pedestrian, local transportation (streets and roads), mass transit, and paratransit programs as shown below.

Measure B DLD FY 13-14 Distributions	in Millions
Local Transportation (Streets and Roads) Program	\$ 26.4
Mass Transit Program	\$ 25.1
Paratransit Programs	\$ 10.7
Bicycle and Pedestrian Program	\$ 4.5
Total	\$ 66.7

MPFA and Reporting Requirements

In spring 2012, Measure B DLD recipients entered into a new MPFA with Alameda CTC. The MPFA and its associated Implementation Guidelines outlined DLD allocations, eligible expenditures, reporting requirements, and policies on the timely use of funds and establishment of fund reserves. The Timely Use of Funds and Reserve Fund Policies strengthen the requirements for agencies to expeditiously expend available fund balances.

Each year, Measure B recipients are required to submit audited financial statements and compliance reports to Alameda CTC. These reports describe the Measure B Direct Local Distribution fund revenues and expenditures for the four Measure B programs (bicycle/pedestrian, local transportation (streets and roads), mass transit, and paratransit). The compliance reports also capture Measure B recipients' annual reporting deliverables including reports on:

- Number of road miles served within the agency's jurisdictions
- Publication of a newsletter article, website coverage, and signage
- Current Pavement Condition Index for the agency's roadways
- Documentation of current Bicycle and Pedestrian Master Plans
- Implementation plan using fund balances and projected annual revenues

For FY13-14, the Audited Financial Statements of the jurisdictions' revenues and expenditures were due to Alameda CTC on December 29, 2014, and the compliance reports were due on December 31, 2014. In January 2015, Alameda CTC staff, in collaboration with the Citizens' Watchdog Committee (CWC) reviewed the audited financial statements and compliance reports submitted by the jurisdictions. From this review, Alameda CTC staff sent Request for Information letters to all the jurisdictions to confirm their compliance status, gather additional information on reported expenditures, and clarify fund reserve implementation plans. All 20 agencies/jurisdictions responded with additional information and updated their reports. The Measure B Program Compliance Summary Report (*Attachments A*) summarizes the jurisdictions' revenues, expenditures and planned uses for unexpended funds.

Fund Balances and Reserves

The FY11-12 Compliance Report process was the first year of implementing the new MPFA and the Timely Use of Funds policy. The FY13-14 Measure B Compliance Report process is now in its third year, and continues to monitor the status of fund balances. The combined FY13-14 ending Measure B fund balance across DLD recipients is \$43.5 million, representing an increase of \$3 million from the prior fiscal year. The increase is found among the mass transit and paratransit program, whose fund recipients noted that expenditures were incurred in FY 13-14, but not financially captured in the FY 13-14 reporting year. These expenses will be accounted for in the following FY 14-15 reporting year.

The Timely Use of Funds Policy permits the establishment of a Capital Reserve Fund which allows recipients to identify a four year expenditure window using remaining fund balances. Based on the structure of this reserve, Alameda CTC expects the overall program fund balance to decrease progressively over the next fiscal years as these windows closeout. Since the implementation of the Timely Use of Funds Policy, there are three Capital Fund Reserve windows as depicted below.

MB Capital Reserves	FY 12-13	FY 13-14	FY 14-15	FY 15-16	FY 16-17	FY 17-18
FY 13-16	\$41.7 million committed \$14.3 million remaining					
FY 14-17		\$19.6 million committed \$15.8 million remaining				
FY 15-18			\$16.5 million committed \$16.5 million remaining			

Recipients have until the end of the respective Capital Reserve Fund windows to expend the remaining balances.

The Alameda CTC uses the Measure B/VRF Reserve Policies and Monitoring Procedures to guide administration and review of the Compliance Report process. Per the MPFA and the Reserve Policies and Monitoring Procedures, recipients are required to 1) identify specific projects and/or reserves with the funds identified to be available, and 2) meet an actual expenditure threshold of 70 percent or greater of the annual implementation plan (identified in the prior compliance report). For the FY 2013-14 reporting year, Alameda CTC received four Measure B requests for exemptions from jurisdictions who did not meet these requirements.

The San Joaquin Regional Rail Commission (SJRRRC) submitted a Request for Exemption Letter from the Timely Use of Funds and Reserve Policies. The MPFA permits a maximum of 50 percent of annual revenues be allocated to Operational Reserves. SJRRRC is requesting an exception to exceed the maximum Operational Fund Reserve by \$0.6 million. A funding agreement defines the amount of Measure B contributions from Alameda County

required for the SJRRC's Altamont Corridor Service. This agreement defines an annual contribution that is based on 2002 operating costs that are escalated annually by a Consumer Price Index factor, and then split among the three participating counties (Alameda, San Joaquin and Santa Clara). The Alameda County share is 33% based on ridership from the four Alameda stations. In the initial years of operation, annual expenses were less than annual Measure B revenues, and a balance of funds has accumulated. The cost of the Alameda County portion of the annual operations for FY 2014-15 and forward exceed the annual Measure B revenue and the balance of unexpended funds are being reduced and expected to be exhausted over the next four years. SJRRC is requesting an exception to the reserve policy in order to allocate \$1.8 million to the operating reserve, a sum beyond the 50% of the annual revenue limit.

The remaining five requests are seeking exemptions from the Measure B/VRF Reserve Policies and Monitoring Procedures that requires jurisdictions to provide justifications of annual balances greater than 30 percent of the reported Planned Projects (cumulatively across all programmatic types). Each agency has provided a Request for Exemption Letter that explains their fund balances and anticipated expenditure plans in the following fiscal year (FY 2014-15). The jurisdiction's compliance reports further describe specific planned FY 2014-15 expenditures associated with the prior year's fund balance that will be consistent with the Timely Use of Funds goals.

The most common reasons for the fund balances and justifications included project delays, revised implementation plan to implement other future projects, and project savings. Staff is bringing a recommendation to the June Commission to request consideration of the Exemption Requests from the Timely Use of Funds and Reserve Policies to allow exceeding the maximum operational fund reserve limit for FY 2014-15 (SJRRC), and to permit annual balances greater than 30 percent threshold for planned projects (*as listed in Attachment B*) to carry over to FY 2014-15. The Request for Exemption Letters (*Attachment C*) and the jurisdictions' compliance report describe plans to utilize all the funds. Upon the approval of the exemption requests, the Measure B DLD recipients are found to be in compliance with the programs' requirements.

Fiscal Impact: There is no significant fiscal impact expected to result from the recommended action.

Attachments

- A. Measure B Program Compliance Report FY 2013-14
- B. Summary of Exemptions for Agencies with Balances of greater than 30 percent
- C. Timely Use of Funds and Reserve Policy Exemption Request Letters

Staff Contact

[John Nguyen](#), Senior Transportation Planner



Measure B

Direct Local Distributions Program Compliance Report



Fiscal Year 2013-2014

June 2015

Alameda County Transportation Commission
1111 Broadway Suite 800
Oakland, CA 94607
www.AlamedaCTC.org

DRAFT May 2015

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Introduction



In 1986, Alameda County voters approved the Measure B Transportation Expenditure Plan, which authorized the collection of a half-cent transportation sales tax to finance transportation improvements throughout the county. With the revenue generated through the sales tax, Alameda County became one of the first “self-help” counties in California. As the 1986 expenditure plan neared expiration, in November 2000, approximately 81.5 percent of Alameda County voters reauthorized the Measure B Transportation Expenditure Plan to continue sales tax collections through 2022. Alameda CTC distributes approximately 60 percent of net Measure B revenues to local Alameda County jurisdictions on a monthly basis as Direct Local Distributions (DLDs).

In FY 13-14, Alameda CTC distributed approximately \$66.6 million to the twenty local jurisdictions in Alameda County. Each fiscal year, Alameda CTC requires these recipients to report on their Measure B Direct Local Distribution fund expenditures.

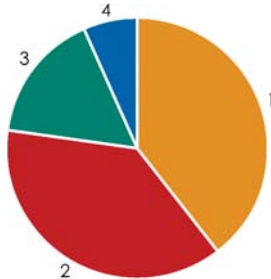
Alameda County jurisdictions rely on Measure B funds for numerous types of projects including bikeways, bicycle parking facilities, pedestrian crossing improvements, intersection and signal improvements, guardrails, street resurfacing and maintenance, bus and ferry operations, rail services, shuttle and fixed transit operations, and programs for seniors and people with disabilities.

This Compliance Report provides a summary of FY 13-14 revenues and expenditures reported by Measure B recipients, as required by a Master Programs Funding Agreement (MPFA) that was executed between Alameda CTC and the local jurisdictions in 2012. The MPFA outlines the funding distribution to the recipients, eligible expenditures, and reporting requirements pertaining to the use of the transportation sales tax.

Measure B recipients are required to submit an audited financial statement and complete a compliance reporting process, including submitting the following deliverables annually to Alameda CTC:

- **Road miles:** The number of maintained road miles within the city's jurisdiction.
- **Population:** The number of people the jurisdiction's transportation program serves in the fiscal year.
- **Newsletter:** Documentation of a published article that highlights the Measure B funded improvements.
- **Website:** Documentation of program information on the agency's website including a link to Alameda CTC's website.
- **Signage:** Documentation of the public identification of the program improvements as a benefit of Measure B.
- **Pavement Condition Index:** Documentation of the agency's Pavement Condition Index (PCI) to provide a frame of reference for the condition of their local streets and roads as applicable to the Local Streets and Road Program.
- **Bicycle/Pedestrian Master Plans Update:** Confirm local Bicycle and Pedestrian Master Plans are updated regularly.
- **Timely Use of Funds and Reserve Policy:** Provide an implementation plan using unexpended fund balances. Per the MPFA, local jurisdictions must expend Measure B funds in an expeditious manner, and no unexpended funds beyond those identified in specified reserve categories are permitted. If Measure B recipients do not meet the Timely Use of Funds requirements, unspent funds may be subject to rescission.

Measure B Direct Local Distributions Revenues



Measure B Direct Local Distributions

Dollars in millions

1 Local Streets and Roads	\$26.4	40%
2 Mass Transit	\$25.1	38%
3 Paratransit	\$10.7	16%
4 Bicycle and Pedestrian	\$4.5	6%
Total Distributions	\$66.7	100%

The Alameda CTC disburses Measure B Direct Local Distribution funds on a monthly basis to local Alameda County jurisdictions for their transportation programs based on distribution formulas identified in the 2000 Measure B Transportation Expenditure Plan. This report summarizes the total Alameda CTC Measure B allocations and agency expenditures for fiscal year 2013-2014 (FY 13-14).

The data within this report is based on information included in compliance and audited financial statement reports that the jurisdictions submitted. The individual reports and audits are available for review online at http://www.alamedactc.org/app_pages/view/4135.

Measure B Direct Local Distributions

Measure B sales tax collections have increased from the prior years with the strengthening economy. In FY 13-14, Alameda CTC provided approximately \$66.7 million in Measure B Direct Local Distributions funds to four transportation programs:

- 1) Local Streets and Roads (\$26.4 million)
- 2) Mass Transit Services (\$25.1 million)
- 3) Special Transportation Services for Seniors and People with Disabilities (paratransit) (\$10.7 million)
- 4) Bicycle and Pedestrian Safety (\$4.5 million)

The FY 13-14 distributions are approximately \$2 million more than the prior fiscal year. In the audited financial statements and compliance reports, the agencies reported the receipt of \$66.7 million in Direct Local Program Distributions, and used approximately \$63.9 million in FY 13-14.



Measure B

Direct Local Distribution Program Expenditures

Each fiscal year, local jurisdictions utilize Direct Local Distribution funds to implement their projects and programs. In FY 13-14, jurisdictions expended \$63.9 million on transportation improvements in Alameda County. That is approximately \$2.8 million less than the annual revenue received by the jurisdictions. In a closer examination of the programs, paratransit and mass transit fund recipients noted Measure B funds were expended but not classified in the FY 13-14 reporting year. This creates an appearance of unused funds for the fiscal year, but the funds have been spent and will be captured in the following fiscal year. Through the compliance report, jurisdictions provide implementation plans using remaining Measure B funds in the future fiscal years for all of their Measure B funded programs.

See the chart below for more information on Measure B FY 13-14 Direct Local Distribution balances, annual revenue distributions, and expenditures.



FY 13-14 Measure B Expenditures and Fund Balances

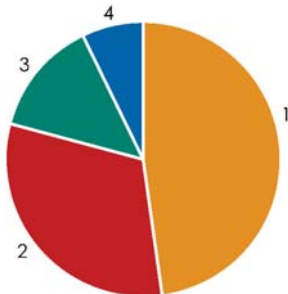
Jurisdiction	13-14 Starting MB Balance	13-14 MB Revenue	13-14 MB Interest	13-14 MB Expended	13-14 Ending MB Balance
AC Transit	\$0	\$25,360,728	\$0	\$22,296,461	\$3,064,267
BART	\$0	\$1,763,298	\$0	\$1,763,298	\$0
LAVTA	\$0	\$969,687	\$0	\$969,687	\$0
WETA	\$3,183,231	\$923,069	\$2,015	\$661,891	\$3,446,424
ACPWA	\$749,251	\$2,940,831	\$10,076	\$1,443,996	\$2,256,162
ACE	\$2,478,936	\$2,508,854	\$4,821	\$2,824,169	\$2,168,442
City of Alameda	\$3,008,030	\$2,017,093	\$28,795	\$2,298,204	\$2,755,714
City of Albany	\$428,577	\$467,919	\$1,146	\$768,464	\$129,178
City of Berkeley	\$1,548,672	\$3,342,735	\$1,278	\$2,330,062	\$2,562,623
City of Dublin	\$904,164	\$520,539	\$4,135	\$559,739	\$869,099
City of Emeryville	\$153,022	\$301,474	\$1,474	\$39,170	\$416,800
City of Fremont	\$4,194,004	\$3,561,826	\$19,568	\$4,490,636	\$3,284,761
City of Hayward	\$2,170,957	\$3,292,248	\$8,746	\$3,431,698	\$2,040,253
City of Livermore	\$1,879,663	\$1,188,417	\$19,883	\$1,157,631	\$1,930,332
City of Newark	\$244,705	\$717,001	\$997	\$487,502	\$475,201
City of Oakland	\$12,016,585	\$11,930,940	\$40,017	\$12,539,565	\$11,447,976
City of Piedmont	\$555,948	\$425,931	\$1,417	\$589,535	\$393,761
City of Pleasanton	\$2,289,901	\$1,031,710	\$31,276	\$1,666,789	\$1,686,098
City of San Leandro	\$3,472,226	\$1,835,523	\$12,312	\$1,899,673	\$3,420,388
City of Union City	\$1,201,273	\$1,562,322	\$12,196	\$1,633,452	\$1,142,339
Total	\$40,479,144	\$66,662,145	\$200,152	\$63,851,622	\$43,489,819

Notes:

1. The table above reflects Measure B financials reported on the Audited Financial Statements and Compliance Reports.
2. Revenue and expenditure figures throughout this report may vary due to number rounding.
3. The Starting MB Balance may vary from the prior year due to restatement of fund balances in FY 13-14.
4. The Ending MB Balance includes interest on Measure B funds.

Measure B Expenditures

Measure B Direct Local Distributions and Discretionary Fund Expenditures



Total Measure B Funds Expended

Dollars in millions

1 Local Streets and Roads	\$25.4	39%
2 Mass Transit	\$23.9	37%
3 Paratransit	\$10.5	16%
4 Bicycle and Pedestrian	\$5.6	8%
Total Expended	\$65.4	100%

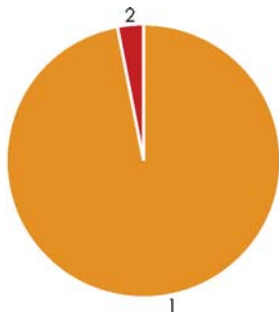
As part of the Annual Program Compliance Reporting process, agencies provided expenditure details on their Measure B expenses. This includes reporting on Measure B Direct Local Distribution expenses and project/program financing using "Other Measure B" funds such as Measure B discretionary grant awards.

In FY 13-14, agencies reported a total of \$65.4 million in Measure B expenditures. This includes \$63.9 million in Measure B Direct Local Distribution fund expenditures and \$1.5 million in "Other Measure B" funds. These expenditures supported infrastructure improvements on local roadways, and bicycle and pedestrian facilities, as well as paratransit and transit operations.

By program type, agencies spent 39 percent of total Measure B funds on local streets and roads, 37 percent on mass transit, 16 percent on paratransit, and 8 percent on bicycle and pedestrian projects.

Measure B Direct Local Distribution Expenditures

Of the reported \$63.9 million of Measure B Direct Local Distribution expenditures, local jurisdictions used their previous year's fund balance (\$40.5 million) and a portion of their FY 13-14 Measure B Direct Local Distribution funds (\$66.7 million) to implement FY 13-14 improvements. Remaining fund balances are identified for use in the jurisdictions' compliance reports.



Total Measure B Funds Expended by Type

Dollars in millions

1 MB DLD Funds	\$63.9	98%
2 MB Discretionary	\$1.5	2%
Total Expended	\$65.4	100%

Other Measure B Discretionary Fund Expenditures

Discretionary Measure B funds that are awarded through Alameda CTC's grant programs are distributed to local jurisdictions on a reimbursement basis. In FY 13-14, agencies reported approximately \$1.5 million in Other Measure B expenditures that were used in conjunction with Measure B Direct Local Distributions to implement a robust project or program. These discretionary grant expenditures include the following:

- Express Bus Service Grant Program (\$1.0 million)
- Paratransit Gap Grant Program (\$0.5 million)

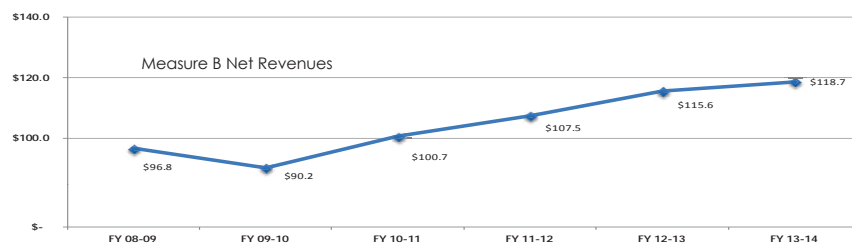
Measure B grant fund recipients receive payment after submitting a request for reimbursement for costs already incurred. As such, recipients reported their grant fund expenditures on an accrual basis, according to invoices submitted during FY 13-14.

Measure B Revenues and Expenditure Trends

Each year, the state of the economy directly affects the amount of transportation sales tax revenue generated in Alameda County. Since the events in 2007 that precipitated an economic downturn, the annual net sales tax revenue has steadily increased, as shown in the chart below. The progressive growth in sales tax revenues has resulted in an increase of overall Measure B program distributions to the jurisdictions.

Measure B Net Revenue Trends FY 08-09 through FY 13-14

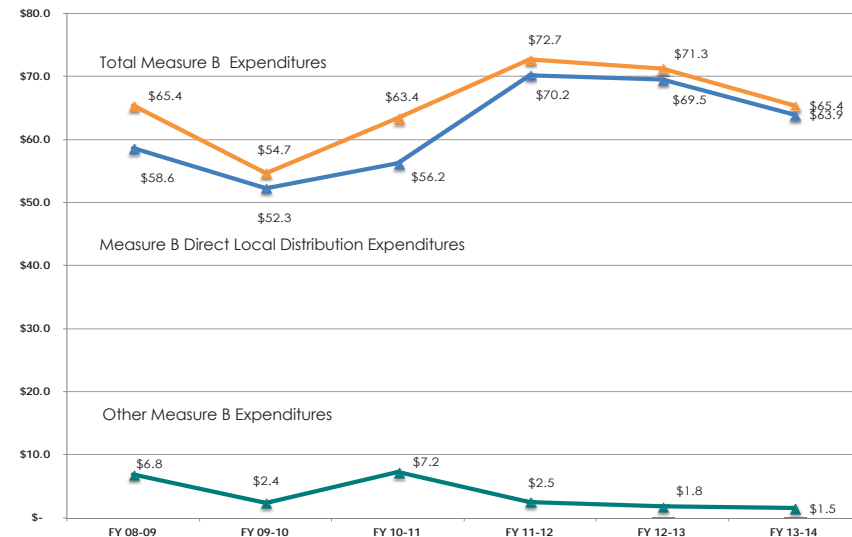
Dollar in millions



In FY 13-14, Measure B expenditures by the jurisdictions were in-line with the prior year's reported expense amounts. However, expenditures were slightly less than the annual revenue received for the fiscal year. This contributed to a \$2.8 million increase in the overall year end fund balance among the jurisdictions. The chart below details Measure B funds expended over the last six fiscal years.

Measure B Expenditures Trends FY 08-09 through FY 13-14

Dollar in millions



Note: "Other Measure B" includes Measure B discretionary grants.



Expenditures by Transportation Mode

Transportation Modes: Transit, Local Streets, and Bicycle and Pedestrian



In FY 13-14, jurisdictions used \$65.4 million in Measure B funds to support the following transportation modes within each program:

- **Bicycle and pedestrian:** Of the \$5.6 million used, local agencies spent:
 - 42 percent on bicycle and pedestrian improvements;
 - 40 percent on direct pedestrian improvements; and
 - 18 percent on direct bicycle improvements.
- **Local streets and roads:** Of the \$25.4 million used, local agencies spent:
 - 75 percent on local road improvement projects;
 - 14 percent on bicycle and pedestrian projects; and
 - 11 percent on other projects including paratransit services, bus facilities improvements, general program administration, and traffic management.
- **Mass transit:** Of the \$23.9 million used, local agencies spent:
 - 85 percent on bus operations;
 - 12 percent on rail operations; and
 - 3 percent on ferry operations.
- **Paratransit:** Of the \$10.5 million used, local agencies spent
 - 55 percent on services for people with disabilities;
 - 44 percent on services for seniors and people with disabilities;
 - 1 percent on other senior transportation services.

Measure B Expenditures by Transportation Mode

	Bicycle and Pedestrian Fund	Local Streets and Roads Fund	Mass Transit Fund	Paratransit Fund	Total Expenditures
Bicycle	\$1,032,874	\$0	\$0	\$0	\$1,032,874
Bicycle and Pedestrian	\$2,312,653	\$808,732	\$0	\$0	\$3,121,385
Pedestrian	\$2,224,728	\$2,627,988	\$0	\$0	\$4,852,716
Local Streets and Roads	\$0	\$19,067,587	\$0	\$0	\$19,067,587
Bus	\$0	\$4,836	\$20,407,575	\$0	\$20,412,411
Ferry	\$0	\$0	\$661,891	\$0	\$661,891
Rail	\$0	\$0	\$2,824,169	\$0	\$2,824,169
Disabled Services	\$0	\$0	\$0	\$5,871,108	\$5,871,108
Meals on Wheels	\$0	\$0	\$0	\$7,266	\$7,266
Seniors and Disabled Services	\$0	\$0	\$0	\$4,620,313	\$4,620,313
Other	\$0	\$2,926,348	\$0	\$771	\$2,927,119
Total	\$5,570,255	\$25,435,491	\$23,893,635	\$10,499,458	\$65,398,839

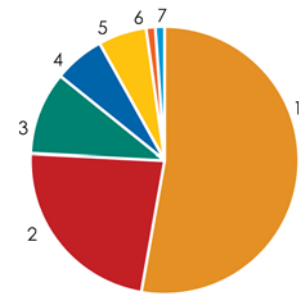
Note: Measure B expenditures by mode include both Direct Local Distributions and grant funds.

Measure B Expenditures by Project Phase

Measure B funds are invested in a wide variety of projects across Alameda County to improve and maintain the transportation infrastructure. By project phase, the twenty Direct Local Distribution fund recipients reported expenditures of 53 percent of Measure B funds on operations. These dollars helped agencies to maintain roadways, bicycle trails, and transit operations to create greater access, safety and travel convenience to commuters and residents.

Other top expenditures by phase include:

- Construction (\$14.7 million)
- Maintenance (\$6.6 million)
- Project Completion / Closeout (\$4.0 million)
- Scoping, Feasibility and Planning (\$4.0 million)



Total Measure B Expenditures by Phase

Dollars in millions

1 Operations	\$34.7	53%
2 Construction	\$14.7	23%
3 Maintenance	\$6.6	10%
4 Project Completion / Closeout	\$4.0	6%
5 Scoping, Feasibility and Planning	\$4.0	6%
6 PS&E	\$0.7	1%
7 Other	\$0.7	1%
Total Expenditures	\$65.4	100%

Local Streets and Roads Expenditures by Project Phase

In FY 13-14, agencies reported \$25.4 million in Local Transportation Program expenditures. Of this amount, \$22.5 million was spent on projects that directly improved road and bicycle/pedestrian facilities, while the remaining \$2.9 million funded transit infrastructure and services.

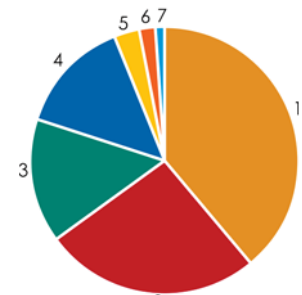
By Project Phase, the majority of the expenses were reported in the Construction Phase in the amount of \$10.0 million (39%). Construction projects include street resurfacing, street reconstruction and overlay, drainage improvements, turn lanes, curb ramps, and stair repairs. An additional \$6.6 million (26%) was spent on the Maintenance Phase which includes pot hole repair, traffic signal repair services, and trail maintenance.

Other top local streets and roads expenditures by phase include:

- Project Completion / Closeout (\$3.7 million)
- Scoping, Feasibility and Planning (\$3.5 million)

FY 13-14 Program Highlights:

- The City of Albany performed pavement rehabilitation on 2,800 square feet of pavement, repaired 485 potholes, and restriped bicycle lanes and sharrows.
- The City of Dublin resurfaced over 295,000 square feet of street pavement to prolong the life of existing roadways.
- The City of Newark removed and replaced drainage sidewalks, curb ramps, and damaged gutters 3,800 linear feet.

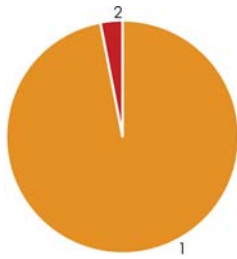


Local Streets & Roads Expenditures by Phase

Dollars in millions

1 Construction	\$10.0	39%
2 Maintenance	\$6.6	26%
3 Project Completion/ Closeout	\$3.7	15%
4 Scoping, Feasibility & Planning	\$3.5	14%
5 Operations	\$0.7	3%
6 PS&E	\$0.5	2%
7 Other	\$0.4	1%
Total Expenditures	\$25.4	100%

Expenditures by Project Phase



Mass Transit Expenditures by Phase

Dollars in millions

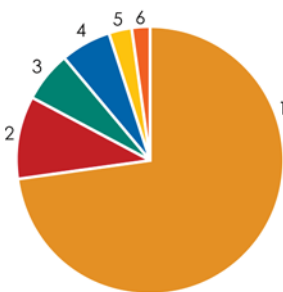
1 Operations	\$23.2	97%
2 Other	\$0.7	3%
Total Expenditures	\$23.9	100%



Paratransit Expenditures by Phase

Dollars in millions

1 Operations	\$10.5	100%
Total Expenditures	\$10.5	100%



Bicycle and Pedestrian Expenditures by Phase

Dollars in millions

1 Construction	\$4.1	73%
2 Scoping, Feasibility & Planning	\$0.6	10%
3 Operations	\$0.3	6%
4 Project Completion/Closeout	\$0.3	6%
5 PS&E	\$0.2	3%
6 Other	\$0.1	2%
Total Expenditures	\$5.6	100%

Mass Transit Expenditures by Project Phase

Transit agencies expended 97% of Measure B Mass Transit funds on service operations in the amount of \$23.2 million. Additional expenditures are tied to construction related improvements including ferry maintenance and transit facility repairs.

FY 13-14 Program Highlights:

- Measure B funds supported AC Transit's fixed route transit operations to provide over 49.3 million one-way trips.
- LAVTA used a combination of Measure B Direct Local Distributions and discretionary grant funds to provide 1.7 million one-way trips for Tri-Valley residents and commuters.
- San Francisco Water Emergency Transportation Authority performed mid-life refurbishments on the Bay Breeze ferry.

Paratransit Expenditures by Project Phase

Agencies spent 100 percent of the \$10.5 million in Measure B paratransit funds on operations to provide convenient transportation options and community services to seniors and people with disabilities.

FY 13-14 Program Highlights:

- The City of Berkeley provided over 9,000 taxi trips for medical, grocery, and recreational trips as part of their same day transportation program.
- The City of Fremont provided 19,000 trips through its Measure B funded ADA-mandated transportation services for seniors and persons with disabilities.

Bicycle and Pedestrian Safety Expenditures by Project Phase

Agencies reported total expenditures of \$5.6 million on bicycle and pedestrian projects. The majority of these expenditures funded construction of capital projects such as lanes and pathways for bicyclists and pedestrians, sidewalk and ramp repair, and bicycle facilities. Many of the improvements from Measure B funding made intersections and walkways safer and more accessible for pedestrians and bicyclists.

FY 13-14 Program Highlights:

- The City of Hayward constructed 1,285 linear feet of new sidewalks on Huntwood Ave, D. Street, and Industrial Blvd.
- The City of Piedmont expended Measure B on the development of a Bicycle and Pedestrian Master Plan to establish a plan to improve bicycle and pedestrian safety.

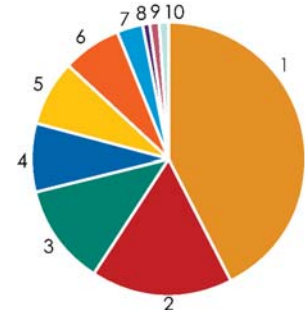
Measure B Expenditures by Project Type

Local Streets and Roads Expenditures by Project Type

Jurisdictions reported a total of \$25.4 million in local street and road expenditures for transportation improvements. By project type, approximately \$10.9 million went to street resurfacing and maintenance, \$4.2 million financed staffing program administration, and \$3.1 million was used for sidewalk and ramp improvements. The other expenditures including financing a wide variety of improvements such as sidewalk and ramp repairs, equipment and field supplies for street projects, guardrails, and bicycle safety education training.

FY 13-14 Program Highlights:

- The Alameda County Public Works used Measure B to maintain service operations of the bridges leading into the City of Alameda.
- The City of Emeryville's maintenance department covered over 19 lane miles of street paint and crack-sealing to improve safety and general pavement conditions.
- The City of Livermore performed improvements to existing signals with the installation of LED lights at three intersections and twenty street lights.
- The City of Oakland installed a new traffic signal at International and 53rd to improve traffic and pedestrian safety.



Local Streets & Roads Expenditures by Type
Dollars in millions

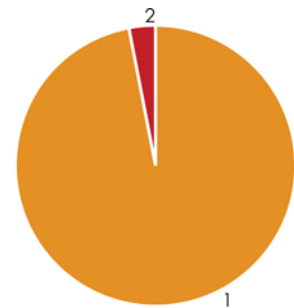
1 Street Resurfacing & Maintenance	\$10.9	43%
2 Staffing	\$4.2	17%
3 Sidewalk and Ramps	\$3.1	12%
4 Signage	\$2.0	8%
5 Other	\$1.9	8%
6 Bridges and Tunnels	\$1.7	7%
7 Traffic Calming	\$0.6	3%
8 Operations	\$0.4	1%
9 Bikeways & Multiuse Paths	\$0.3	1%
10 Pedestrian Crossing Improvements	\$0.3	1%
Total Expenditures	\$25.4	100%

Mass Transit Expenditures by Project Type

Of the \$23.9 million Mass Transit Program expenditures by transit agencies, by project type approximately 97% of funds went to operations and the remaining amount was used for equipment purchases and facilities maintenance.

FY 13-14 Program Highlights:

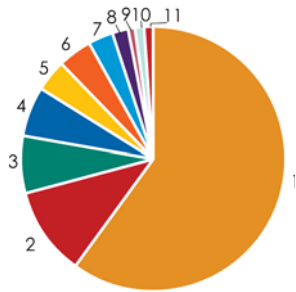
- The Altamont Commuter Express transported over 1.1 million passengers to the Vasco, Livermore, Pleasanton, and Fremont stations.
- The San Francisco Bay Area Water Emergency Transportation Authority continues its refurbishment projects at the Alameda Main Street and Oakland Jack London Square terminals.



Mass Transit Expenditures by Type
Dollars in millions

1 Operations	\$23.2	97%
2 Other	\$0.7	3%
Total Expenditures	\$23.9	100%

Expenditures by Project Type



Paratransit Expenditures by Type

Dollars in millions

1 ADA-mandated Services	\$6.3	60%
2 City-Based Door to Door	\$1.2	11%
3 Same Day/Taxi Program	\$0.7	7%
4 Management/Staffing	\$0.7	6%
5 Other	\$0.4	4%
6 Customer Service/Outreach	\$0.4	4%
7 Shuttle or Fixed Route Trips	\$0.3	3%
8 Volunteer Drivers Program	\$0.2	2%
9 Group Trips	\$0.1	1%
10 Mobility Mgmt/Travel Training	\$0.1	1%
11 Meal Delivery	\$0.1	1%
Total Expenditures	\$10.5	100%

Paratransit Expenditures by Project Type

By project type, agencies reported the majority of the \$10.5 million in Measure B Paratransit program expenditures for Americans with Disabilities Act (ADA) mandated service, which includes approximately \$5.9 million in AC Transit and BART ADA-mandated paratransit services provided through the East Bay Paratransit Consortium. Other paratransit expenditures by type include \$1.2 million for city-based door-to-door programs and \$682,000 for same-day taxi programs.

These expenditures also include a Paratransit Gap Grant projects that support minimum service levels of city paratransit programs.

FY 13-14 Program Highlights:

- The City of Alameda's Paratransit Shuttle provided over 4,000 one-way trips funded exclusively with Measure B funds.
- The Albany Senior Center Community Shuttle provided over 5,000 accessible door-to-door service to grocery stores, group trips, and recreational facilities.
- The City of Hayward implemented the Central County Same Day Taxi program and provided same day services through Measure B Direct Local Distributions.
- The City of Newark provided approximately 2,000 one-way trips for local door-to-door medical, grocery, and recreational trips for seniors and people with disabilities.
- The City of Oakland's van voucher program transported over 13,000 seniors and people with disabilities.

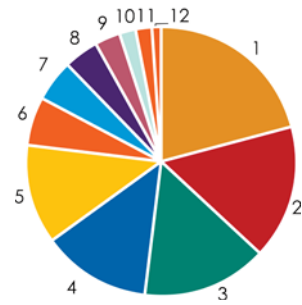


Bicycle and Pedestrian Expenditures by Project Type

By project type, the majority of Measure B expenditures were for signage improvements (\$1.2 million), safety enhancements (\$0.9 million), and staffing (\$0.8 million). These projects continue to be among the annual reoccurring expenditures financed through the Measure B Bicycle and Pedestrian Program.

FY 13-14 Program Highlights:

- Alameda County implemented streetscape improvements on Grove Way from Meekland to Western to improve access for pedestrians and bicyclists.
- The City of Fremont constructed a new sidewalk and curb ramp on East Warren Avenue from Yakima Drive to West of I-680, which enhances an access point to James Leitch Elementary School.
- The City of Pleasanton continues intersection improvements at I-580/Foothill Road interchange to improve operations and travel safety through this corridor.
- Union City performed a traffic study at Alvarado-Niles Road to evaluate potential pedestrian crossing improvements. The City also continues to implement its citywide trail system rehabilitation program to repair asphalt and trail paths.



Bicycle and Pedestrian Expenditures by Type

Dollars in millions

1 Signage	\$1.2	21%
2 Safety Improvements	\$0.9	16%
3 Staffing	\$0.8	15%
4 Sidewalk and Ramps	\$0.7	13%
5 Bikeways (non-Class 1)	\$0.7	12%
6 Signals	\$0.3	6%
7 Bike Parking	\$0.3	5%
8 Traffic Calming	\$0.3	4%
9 Education and Promotion	\$0.2	3%
10 Master Plan	\$0.1	2%
11 Other	\$0.1	2%
12. Multiuse Paths (Class 1)	\$ -	1%
Total Expenditures	\$5.6	100%

Measure B Program Administration

Per the Master Programs Funding Agreement, Measure B is eligible to fund activities that support the implementation and construction of transportation related improvements. Each year Measure B recipients expend funds not only on construction activities, but also on staffing activities associated with program administration and project development.

In FY 13-14, approximately 9 percent of Measure B expenditures supported the following program administration activities:

- Engineering development
- Transportation planning
- Street resurfacing and maintenance, traffic operations services, electrical services, pavement rehabilitation, pothole repair, and preventative maintenance
- Information technology services
- Customer service and outreach
- Bicycle/pedestrian planning
- Paratransit program management



Timely Use of Funds and Reserve Policy



In order to ensure agencies are expending Measure B funds expeditiously on local transportation improvements, the MPFA's Timely Use of Funds Policy requires jurisdictions to report anticipated use of all Measure B funds for each of their programs. As part of the annual compliance reporting process, jurisdictions provide information on planned uses of Measure B funds and anticipated projects.

Per the MPFA's Fund Reserve Policy, jurisdictions can establish certain fund reserves to account for unexpended balances. The types of fund reserves and their eligibilities are noted in the following chart.

Fund Reserve Categories

Reserve Category	Maximum Funding Allotment	Timely Use of Funds Requirement
Capital Fund Reserve Recipients may establish a specific capital fund reserve to fund specific large capital project(s) that could otherwise not be funded with a single's year revenue of Measure B funds.	None.	(1) Recipients shall expend all reserve funds by the end of three fiscal years following the fiscal year during which the reserve was established.
Operations Fund Reserve Recipients may establish and maintain a specific reserve to address operational issues, including fluctuations in revenues, and to help maintain transportation operations.	50 percent of anticipated annual Measure B Direct Local Distribution revenue	(1) Revolving fund (2) Unexpended funds may be reassigned in the subsequent fiscal year.
Undesignated Fund Reserve Recipients may establish and maintain a specific reserve for transportation needs over a fiscal year for grants, studies, contingency, etc.	10 percent of anticipated annual Measure B Direct Local Distribution revenue	(1) Unexpended funds may be reassigned in the subsequent fiscal year.

Monitoring Timely Use of Funds and Reserves

As part of the annual compliance report, Measure B recipients are required to provide an implementation plan using uncommitted fund balances and anticipated annual revenue. Over the subsequent annual compliance reports, Alameda CTC will utilize the reported information to track reported expenditures and to monitor the implementation plans for compliance with the MPFA's Timely Use of Funds Policy.

As part of the FY 11-12 Annual Compliance Report, Alameda CTC implemented the first year of monitoring and tracking fund reserves. Jurisdictions identified implementation plans using remaining fund balances per the Timely Use of Funds Policy. Each subsequent fiscal year, jurisdictions are required to provide updated implementation plans using uncommitted fund balances at the end of the fiscal year (i.e. funds not already identified in a previous plan). Alameda CTC continues to monitor these implementation plans for expenditure compliance.

Alameda CTC's compliance reporting evaluation includes the following:

1. Monitor jurisdictions' implementation plans to ensure jurisdictions are actively expending Measure B funds and enhancing the local transportation system throughout Alameda County.
2. Review jurisdictions' updated implementation plans which include the identification of uncommitted fund balances and anticipated annual revenue for the next fiscal year.

The charts on the following pages provides a monitoring summary of Capital Fund Reserve balances, a review of the jurisdictions' Measure B Direct Local Distribution fund balances, and expenditures by reserve category.



Measure B Capital Fund Reserve Monitoring Window Summary



Alameda CTC monitors identified Capital Fund Reserves for expenditure compliance within set four year periods per the Timely Use of Funds Policy.

As part of the FY 11-12 reporting, jurisdictions identified a plan to use all Measure B funds available in FY 12-13. This established a Capital Fund Reserve Plan that spans from FY 12-13 through FY 15-16, referred to as the FY 13-16 Capital Fund Reserve Window (FY 13-16 Window). In total, jurisdictions identified \$41.7 million in this window and have expended \$27.4 million as of the end of FY 13-14. Jurisdictions have until the end of FY 15-16 to expend the remaining \$14.3 million.

As part of the FY 12-13 reporting, jurisdictions identified a plan to use all available Measure B funds in FY 13-14 (that were not already identified in a previous Capital Reserve). This established a Capital Fund Reserve Plan that spans from FY 13-14 through FY 16-17, referred to as the FY 14-17 Capital Fund Reserve Window (FY 14-17 Window). In total, jurisdictions identified \$19.6 million and have expended \$3.8 million as of the end of FY 13-14. Jurisdictions have until the end of FY 16-17 to expend the remaining \$15.8 million.

As part of the FY 13-14 reporting, jurisdictions identified a plan to use all Measure B funds available in FY 13-14 (that were not already identified in previous Capital Reserves). This established a Capital Fund Reserve Plan that spans from FY 14-15 through FY 17-18, referred to as the FY 15-18 Capital Fund Reserve Window (FY 15-18 Window). In total, jurisdictions identified \$16.5 million and have until the end of FY 17-18 use this reserve.

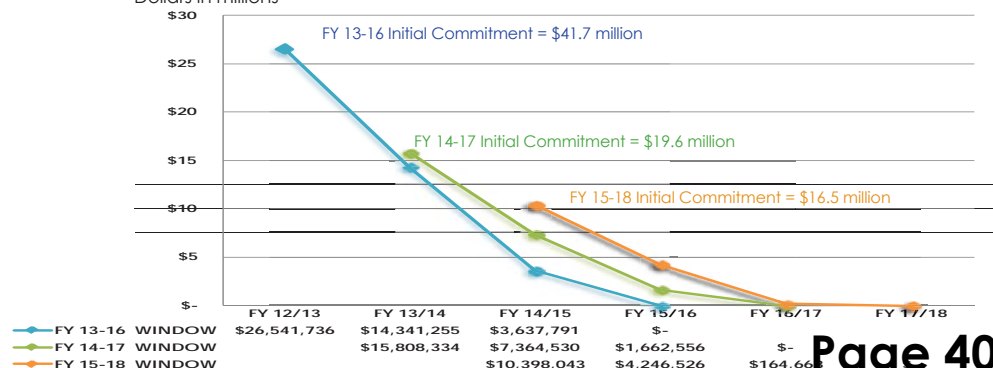
Capital Reserve Window Summary

Reserve Window	FY 12-13	FY 13-14	FY 14-15	FY 15-16	FY 16-17	FY 17-18
FY 13-16 Window	\$41.7 million committed \$14.3 million remaining					
FY 14-17 Window		\$19.6 million committed \$15.8 million remaining				
FY 15-18 Window			\$16.5 million committed \$16.5 million remaining			

Over the next two years of implementing the Timely Use of Funds and Reserve Policy, Alameda CTC anticipates a further reduction of overall Measure B fund balances as shown below.

Anticipated Year End Capital Fund Reserve Balance

Dollars in millions



Measure B Capital Fund Reserve FY 13-16 Window Fund Balances

FY 13-16 Capital Fund Reserve Window

In the first year of implementation of the MPFA's Timely Use of Funds Policy, jurisdictions identified \$41.7 million in the FY 13-16 Window as part of the FY 11-12 Compliance Report. Over two fiscal years, jurisdictions have expended \$27.4 million from this reserve. At the end of FY 13-14, jurisdictions' collective FY 13-16 Window balance is approximately \$14.3 million. The balance is required to be expended by the end of the reserve window (FY 15-16).



FY 13-16 Capital Fund Reserve Window Balance

Jurisdiction	Committed Amount ¹	Expended Amount ²	Remaining Balance ³	Percent Remaining
AC Transit	\$0	\$0	\$0	0%
BART	\$0	\$0	\$0	0%
LAVTA	\$0	\$0	\$0	0%
WETA	\$2,502,463	\$0	\$2,502,463	100%
ACE	\$0	\$0	\$0	0%
ACPWA	\$5,874,262	\$5,874,262	\$0	0%
City of Alameda	\$4,684,971	\$3,616,829	\$1,068,142	23%
City of Albany	\$0	\$0	\$0	0%
City of Berkeley	\$713,370	\$58,113	\$655,257	92%
City of Dublin	\$296,353	\$0	\$296,353	100%
City of Emeryville	\$426,459	\$309,114	\$117,345	28%
City of Fremont	\$5,285,131	\$3,824,462	\$1,460,669	28%
City of Hayward	\$693,672	\$693,672	\$0	0%
City of Livermore	\$1,560,382	\$789,046	\$771,336	49%
City of Newark	\$1,024,214	\$978,438	\$45,776	5%
City of Oakland	\$10,659,000	\$7,142,160	\$3,516,840	33%
City of Piedmont	\$778,266	\$588,571	\$189,695	24%
City of Pleasanton	\$1,664,943	\$597,015	\$1,067,928	64%
City of San Leandro	\$4,282,857	\$2,443,519	\$1,839,338	43%
City of Union City	\$1,250,813	\$440,701	\$810,112	65%
Total	\$41,697,156	\$27,355,901	\$14,341,255	34%

FY 13-16 Capital Fund Reserve Window

Committed Amount	\$41,697,156
Expended Amount	\$27,355,901
Balance Remaining	\$14,341,255

Notes:

1. Committed Amount as established in the FY 11-12 Compliance Report.
2. Expended amount as of June 30, 2014.
3. Remaining amount to be expended by the end of FY 15-16.
4. Figures may vary due to number rounding and reclasses of committed amounts.



Measure B Capital Fund Reserve FY 14-17 Window Fund Balances



FY 14-17 Capital Fund Reserve Window

Committed Amount	\$19,617,566
Expended Amount	\$3,809,232
Balance Remaining	\$15,808,334

FY 14-17 Capital Fund Reserve Window

In the FY 12-13 Compliance Report, jurisdictions identified \$19.6 million in the FY 14-17 Capital Fund Reserve Window. As of the end of FY 13-14, jurisdictions expended \$3.8 million from this reserve. The remaining collective balance among the jurisdictions for the FY 14-17 Window balance is approximately \$15.8 million. The balance is required to be expended by the end of the reserve window (FY 16-17).

FY 14-17 Capital Fund Reserve Window Balance

Jurisdiction	Committed Amount ¹	Expended Amount ²	Remaining Balance ³	Percent Remaining
AC Transit	\$0	\$0	\$0	0%
BART	\$0	\$0	\$0	0%
LAVTA	\$0	\$0	\$0	0%
WETA	\$768,597	\$0	\$768,597	100%
ACE	\$0	\$0	\$0	0%
ACPWA	\$2,232,928	\$237,705	\$1,995,223	89%
City of Alameda	\$1,532,385	\$629,016	\$903,369	59%
City of Albany	\$0	\$0	\$0	0%
City of Berkeley	\$904,065	\$0	\$904,065	100%
City of Dublin	\$89,879	\$0	\$89,879	100%
City of Emeryville	\$0	\$0	\$0	0%
City of Fremont	\$2,433,594	\$2,051,961	\$381,633	16%
City of Hayward	\$795,890	\$94,566	\$701,324	88%
City of Livermore	\$1,072,783	\$0	\$1,072,783	100%
City of Newark	\$552,813	\$209,599	\$343,214	62%
City of Oakland	\$7,100,524	\$345,816	\$6,754,708	95%
City of Piedmont	\$466,770	\$200,000	\$266,770	57%
City of Pleasanton	\$166,864	\$0	\$166,864	100%
City of San Leandro	\$1,334,135	\$40,569	\$1,293,566	97%
City of Union City	\$166,339	\$0	\$866,339	100%
Total	\$19,617,566	\$3,809,232	\$15,808,334	81%

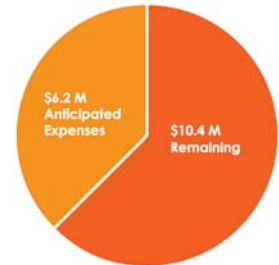
Notes:

1. Committed Amount as established in the FY 12-13 Compliance Report.
2. Expended amount as of June 30, 2014.
3. Remaining amount to be expended by the end of FY 15-16.
4. Figures may vary due to number rounding and reclasses of committed amounts.

Measure B Capital Fund Reserve FY 15-18 Window Fund Balances

FY 15-18 Capital Fund Reserve Window

In this year's compliance report, jurisdictions identified \$16.5 million in the FY 15-18 Window. Jurisdictions anticipate \$6.2 million in FY 14-15 expenditures for improvements through Alameda County. Alameda CTC will monitor the total expenses in future compliance reports to ensure funds identified in the Capital Fund Reserves are utilized by the end of the required four fiscal year period (FY 17-18).



FY 15-18 Capital Fund Reserve Window Balance

Jurisdiction	Committed Amount ¹	Anticipated Expenses ²	Remaining Balance ³	Percent Remaining
AC Transit	\$0	\$0	\$0	0%
BART	\$0	\$0	\$0	0%
LAVTA	\$0	\$0	\$0	0%
WETA	\$1,009,740	\$0	\$1,009,740	100%
ACE	\$0	\$0	\$0	0%
ACPWA	\$2,255,350	\$1,300,000	\$955,350	42%
City of Alameda	\$1,636,609	\$334,469	\$1,302,140	80%
City of Albany	\$0	\$0	\$0	0%
City of Berkeley	\$142,249	\$0	\$142,249	100%
City of Dublin	\$23,490	\$0	\$23,490	100%
City of Emeryville	\$0	\$0	\$0	0%
City of Fremont	\$2,193,259	\$2,193,259	\$0	0%
City of Hayward	\$0	\$0	\$0	0%
City of Livermore	\$98,256	\$0	\$98,256	100%
City of Newark	\$576,810	\$268,853	\$307,957	54%
City of Oakland	\$6,189,767	\$1,626,404	\$4,563,363	74%
City of Piedmont	\$405,232	\$348,586	\$56,646	14%
City of Pleasanton	\$233,136	\$0	\$233,136	100%
City of San Leandro	\$1,355,716	\$0	\$1,355,716	100%
City of Union City	\$425,240	\$75,240	\$350,000	82%
Total	\$16,544,854	\$6,146,811	\$10,398,043	63%

Notes:

1. Committed Amount as established in the FY 13-14 Compliance Report.
2. Anticipated Expenses in FY 14-15.
3. Remaining amount to be expended by the end of FY 15-16.
4. Figures may vary due to number rounding and reclasses of committed amounts.

FY 15-18 Capital Fund Reserve Window

Committed Amount	\$16,544,854
Anticipated FY 14-15 Expenses	\$6,146,811
Anticipated Balance	\$10,398,043



Measure B Local Streets and Roads Program Capital Fund Reserve Monitoring FY 13-16 Window Fund Balance

For the Measure B local streets and roads program (local transportation), jurisdictions identified \$26.3 million in FY 13-16 Capital Fund Reserve Window. Of that amount, \$20.1 million was expended as of the end of FY 13-14 on local transportation improvements throughout county. Jurisdictions are expected to expend all remaining reserve balances by the end of FY 15-16. Below is a summary of the Capital Reserve Window for the local street and road program and the balance at the end of FY 13-14.

Local Streets and Roads: FY 13-16 Window Fund Balance

Jurisdiction	Committed Amount ¹	Expended Amount ²	Remaining Balance ³	Percent Remaining
ACPWA	\$3,857,380	\$3,857,380	\$0	0%
City of Alameda	\$4,209,480	\$3,141,338	\$1,068,142	25%
City of Albany	\$0	\$0	\$0	0%
City of Berkeley	\$440,100	\$0	\$440,100	100%
City of Dublin	\$296,353	\$0	\$296,353	100%
City of Emeryville	\$299,292	\$299,292	\$0	0%
City of Fremont	\$2,919,172	\$2,557,515	\$361,657	12%
City of Hayward	\$533,215	\$533,215	\$0	0%
City of Livermore	\$805,600	\$636,215	\$169,385	21%
City of Newark	\$797,547	\$754,768	\$42,779	5%
City of Oakland	\$7,135,000	\$5,220,511	\$1,914,489	27%
City of Piedmont	\$622,020	\$566,617	\$55,403	9%
City of Pleasanton	\$435,000	\$435,000	\$0	0%
City of San Leandro	\$3,091,233	\$1,902,169	\$1,189,064	39%
City of Union City	\$818,481	\$223,242	\$595,239	73%
Total	\$26,259,873	\$20,127,262	\$6,132,611	23%

Notes:

1. Committed Amount as identified by jurisdictions in the FY 11-12 Compliance Report.
2. Expended amount as of June 30, 2014.
3. Remaining amount to be expended by the end of FY 15-16.
4. Figures may vary due to number rounding and reclasses of committed amounts.



Measure B Bicycle and Pedestrian Program Capital Fund Reserve Monitoring FY 13-16 Window Fund Balance

For the Measure B bicycle and pedestrian program, jurisdictions identified \$12.9 million in the FY 13-16 Capital Fund Reserve Window. Of that amount, \$7.2 million was expended as of the end of FY 13-14 on bicycle/pedestrian projects across Alameda County. Jurisdictions are expected to expend all remaining reserve balances by the end of FY 15-16. Below is a summary of the Capital Reserve Window for the bicycle and pedestrian program and the balance at the end of FY 13-14.

Bicycle and Pedestrian: FY 13-16 Window Fund Balance

Jurisdiction	Committed Amount ¹	Expended Amount ²	Remaining Balance ³	Percent Remaining
ACPWA	\$2,016,882	\$2,016,882	\$0	0%
City of Alameda	\$475,491	\$475,491	\$0	0%
City of Albany	\$0	\$0	\$0	0%
City of Berkeley	\$273,270	\$58,113	\$215,157	79%
City of Dublin	\$0	\$0	\$0	0%
City of Emeryville	\$127,167	\$9,822	\$117,345	92%
City of Fremont	\$2,365,959	\$1,266,947	\$1,099,012	47%
City of Hayward	\$160,457	\$160,457	\$0	0%
City of Livermore	\$754,782	\$152,831	\$601,951	80%
City of Newark	\$226,667	\$223,670	\$2,997	1%
City of Oakland	\$3,524,000	\$1,921,649	\$1,602,351	46%
City of Piedmont	\$156,246	\$21,954	\$134,292	86%
City of Pleasanton	\$1,229,943	\$162,015	\$1,067,928	86%
City of San Leandro	\$1,191,624	\$541,350	\$650,274	55%
City of Union City	\$432,332	\$217,459	\$214,873	50%
Total	\$12,934,820	\$7,228,640	\$5,706,180	44%

Notes:

1. Committed Amount as identified by jurisdictions in the FY 11-12 Compliance Report.
2. Expended amount as of June 30, 2014.
3. Remaining amount to be expended by the end of FY 15-16.
4. Figures may vary due to number rounding and reclasses of committed amounts.



Measure B Local Streets and Roads Program Capital Fund Reserve Monitoring FY 14-17 Window Fund Balance



For the Measure B local streets and roads program (local transportation), jurisdictions identified \$2.5 million in FY 14-17 Capital Fund Reserve Window. Of that amount, \$0.6 million was expended as of the end of FY 13-14 on local transportation improvements throughout county. Jurisdictions are expected to expend all remaining reserve balances by the end of FY 14-17. Below is a summary of the Capital Reserve Window for the local street and road program and the balance at the end of FY 13-14.

Local Streets and Roads: FY 14-17 Window Fund Balance

Jurisdiction	Committed Amount ¹	Expended Amount ²	Remaining Balance ³	Percent Remaining
ACPWA	\$1,818,830	\$137,860	\$1,680,970	92%
City of Alameda	\$1,314,964	\$464,064	\$850,900	65%
City of Albany	\$0	\$0	\$0	0%
City of Berkeley	\$724,000	\$0	\$724,000	100%
City of Dublin	\$89,879	\$0	\$89,879	100%
City of Emeryville	\$0	\$0	\$0	0%
City of Fremont	\$1,934,959	\$1,934,959	\$0	0%
City of Hayward	\$400,647	\$0	\$400,647	100%
City of Livermore	\$897,832	\$0	\$897,832	100%
City of Newark	\$428,406	\$89,462	\$338,944	79%
City of Oakland	\$6,618,000	\$308,285	\$6,309,715	95%
City of Piedmont	\$432,518	\$200,000	\$232,518	54%
City of Pleasanton	\$166,864	\$0	\$166,864	100%
City of San Leandro	\$1,300,043	\$40,569	\$1,259,474	97%
City of Union City	\$0	\$0	\$0	0%
Total	\$16,126,942	\$3,175,198	\$12,951,744	80%

Notes:

1. Committed Amount as identified by jurisdictions in the FY 12-13 Compliance Report.
2. Expended amount as of June 30, 2014.
3. Remaining amount to be expended by the end of FY 14-17.
4. Figures may vary due to number rounding and reclasses of committed amounts.

Measure B Bicycle and Pedestrian Program Capital Fund Reserve Monitoring FY 14-17 Window Fund Balance

For the Measure B bicycle and pedestrian program, jurisdictions identified \$2.5 million in the FY 14-17 Capital Fund Reserve Window. Of that amount, \$0.6 million was expended as of the end of FY 13-14 on bicycle/pedestrian projects across Alameda County. Jurisdictions are expected to expend all remaining reserve balances by the end of FY 14-17. Below is a summary of the Capital Reserve Window for the bicycle and pedestrian program and the balance at the end of FY 14-17.

Bicycle and Pedestrian: FY 14-17 Window Fund Balance

Jurisdiction	Committed Amount ¹	Expended Amount ²	Remaining Balance ³	Percent Remaining
ACPWA	\$414,098	\$99,845	\$314,253	76%
City of Alameda	\$217,421	\$164,952	\$52,469	24%
City of Albany	\$0	\$0	\$0	0%
City of Berkeley	\$180,065	\$0	\$180,065	100%
City of Dublin	\$0	\$0	\$0	0%
City of Emeryville	\$0	\$0	\$0	0%
City of Fremont	\$498,635	\$117,002	\$381,633	77%
City of Hayward	\$161,228	\$94,566	\$66,662	41%
City of Livermore	\$174,951	\$0	\$174,951	100%
City of Newark	\$124,407	\$120,137	\$4,270	3%
City of Oakland	\$482,524	\$37,532	\$444,992	92%
City of Piedmont	\$34,252	\$0	\$34,252	100%
City of Pleasanton	\$0	\$0	\$0	0%
City of San Leandro	\$34,092	\$0	\$34,092	100%
City of Union City	\$166,339	\$0	\$166,339	100%
Total	\$2,488,012	\$634,034	\$1,853,978	75%

Notes:

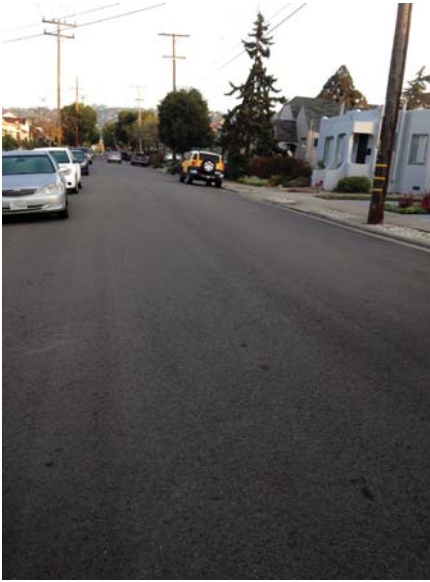
1. Committed Amount as identified by jurisdictions in the FY 12-13 Compliance Report.
2. Expended amount as of June 30, 2014.
3. Remaining amount to be expended by the end of FY 14-17.
4. Figures may vary due to number rounding and reclasses of committed amounts.



Measure B Local Streets and Roads Program Fund Balance

For the Measure B local streets and roads program (local transportation), jurisdictions reported an ending FY 13-14 Measure B balance of \$23.8 million. This is approximately \$2.5 million less than the prior year's balance.

As part of the compliance process, jurisdictions provide a FY 14-15 implementation plan using remaining balances and projected revenues. Thus, after including FY 14-15 estimated revenue and accounting for anticipated FY 14-15 expenditures, the expected balance at the end of FY 14-15 is projected to be approximately \$15.6 million. This illustrates a continual decline in Measure B balances across the jurisdictions for the local streets and roads program.



Anticipated FY 14-15 Ending Fund Balances

Jurisdiction	FY 13-14 Ending Balance	FY 14-15 Estimated Revenue ¹	FY 14-15 Available To Expend	FY 14-15 Anticipated Expenditures ²	Total Anticipated Balance ³
Alameda County	\$1,904,433	\$2,535,154	\$4,439,587	\$3,715,137	\$724,450
City of Alameda	\$2,543,158	\$1,639,944	\$4,183,102	\$2,601,358	\$1,581,744
City of Albany	\$51,965	\$383,369	\$435,334	\$435,334	\$0
City of Berkeley	\$1,881,862	\$2,757,132	\$4,638,994	\$4,363,780	\$275,214
City of Dublin	\$816,319	\$386,240	\$1,202,559	\$1,112,680	\$89,879
City of Emeryville	\$255,796	\$248,696	\$504,492	\$504,493	\$0
City of Fremont	\$1,146,691	\$2,128,869	\$3,275,559	\$3,062,675	\$212,885
City of Hayward	\$691,370	\$2,121,638	\$2,813,008	\$2,813,008	\$0
City of Livermore	\$1,113,781	\$952,770	\$2,066,551	\$1,494,948	\$571,603
City of Newark	\$399,960	\$430,700	\$830,660	\$547,723	\$282,937
City of Oakland	\$9,262,519	\$9,833,674	\$19,096,193	\$10,457,508	\$8,638,685
City of Piedmont	\$223,972	\$395,883	\$619,855	\$451,586	\$168,269
City of Pleasanton	\$357,189	\$732,214	\$1,089,403	\$689,402	\$400,001
City of San Leandro	\$2,504,041	\$1,300,213	\$3,804,254	\$1,188,188	\$2,616,066
City of Union City	\$636,103	\$675,205	\$1,311,308	\$921,307	\$390,001
Total	\$23,789,159	\$26,521,699	\$50,310,858	\$34,359,126	\$15,951,731

Notes:

1. FY 14-15 Estimated Revenue is based on May 2014 Measure B projections.
2. The FY 14-15 Planned Expenditures column consists of anticipated transportation related expenditures reported in the FY 13-14 Compliance Report.
3. The Anticipated Balance is the estimated FY 15-16 beginning balance.
4. Revenue and expenditure figures may vary due to number rounding.

Measure B Bicycle and Pedestrian Program Fund Balance

For the Measure B bicycle and pedestrian program, jurisdictions reported an ending FY 13-14 Measure B balance of \$9.2 million. This is approximately \$1.0 million less than the prior year's balance.

As part of the compliance process, jurisdictions provide a FY 14-15 implementation plan using remaining balances and projected revenues. Thus, after including FY 14-15 estimated revenue and accounting for anticipated FY 14-15 expenditures, the expected balance at the end of FY 14-15 is projected to be approximately \$4.7 million. This illustrates a further decline in Measure B balances across the jurisdictions for the bicycle and pedestrian program.



Anticipated FY 14-15 Ending Fund Balances

Jurisdiction	FY 13-14 Ending Balance	FY 14-15 Estimated Revenue ¹	FY 14-15 Available To Expend	FY 14-15 Anticipated Expenditures ²	Total Anticipated Balance ³
Alameda County	\$351,729	\$415,027	\$766,757	\$214,253	\$552,504
City of Alameda	\$61,638	\$216,881	\$278,519	\$250,000	\$28,519
City of Albany	\$66,472	\$53,728	\$120,201	\$114,888	\$5,313
City of Berkeley	\$523,848	\$333,677	\$857,524	\$413,770	\$443,754
City of Dublin	\$52,780	\$135,955	\$188,735	\$151,650	\$37,085
City of Emeryville	\$142,615	\$29,635	\$172,250	\$172,249	\$1
City of Fremont	\$1,788,795	\$632,557	\$2,421,352	\$2,347,815	\$73,536
City of Hayward	\$416,071	\$427,462	\$843,533	\$792,497	\$51,036
City of Livermore	\$816,551	\$239,426	\$1,055,977	\$742,664	\$313,313
City of Newark	\$12,058	\$125,062	\$137,120	\$110,120	\$27,000
City of Oakland	\$2,185,457	\$1,148,736	\$3,334,194	\$1,979,319	\$1,354,874
City of Piedmont	\$169,789	\$31,401	\$201,191	\$36,656	\$164,535
City of Pleasanton	\$1,328,909	\$207,082	\$1,535,991	\$452,062	\$1,083,929
City of San Leandro	\$793,366	\$250,039	\$1,043,405	\$781,846	\$261,559
City of Union City	\$506,236	\$205,272	\$711,508	\$417,617	\$293,891
Total	\$9,216,314	\$4,451,941	\$13,668,256	\$8,977,407	\$4,690,849

Notes:

1. FY 14-15 Estimated Revenue is based on May 2014 Measure B projections.
2. The FY 14-15 Planned Expenditures column consists of anticipated transportation related expenditures reported in the FY 13-14 Compliance Report.
3. The Anticipated Balance is the estimated FY 15-16 beginning balance.
4. Revenue and expenditure figures may vary due to number rounding.

Measure B Mass Transit Program Fund Balance



For the Measure B mass transit program, jurisdictions reported a total ending FY 13-14 Measure B balance of \$7.9 million. This is approximately \$2.2 million more than the prior year's fund balance.

As part of the compliance process, jurisdictions provide a FY 14-15 implementation plan using remaining balances and projected revenues. Thus, after including FY 14-15 estimated revenue and accounting for anticipated FY 14-15 expenditures, the expected balance at the end of FY 14-15 is projected to be approximately \$3.2 million. This illustrates a decline in Measure B balances across the jurisdictions for the mass transit program.

It is important to note that jurisdictions regularly using mass transit funds on operations in their entirety each year. In some cases in FY 13-14, jurisdictions reported expenditures occurred however did not get posted until the follow FY 14-15 year which resulted in a fund balance at the end of FY 13-14.

Additionally, the \$3.2 in anticipated revenue balance results from two components. First, a 2006 cooperative service agreement with ACE limits the agency's annual operational expenses to service costs relative to the shares of other participating counties. This creates a annual balance in ACE's operational plan. Second, WETA has identified planned capital expenditures of Measure B funds on major ferry vessel upgrades in future fiscal years per their vessel refurbishment plan.

FY 14-15 Ending Fund Balances

Jurisdiction	FY 13-14 Ending Balance	FY 14-15 Estimated Revenue ¹	FY 14-15 Available To Expend	FY 14-15 Anticipated Expenditures ²	Total Anticipated Balance ³
AC Transit	\$2,272,711	\$20,526,418	\$22,799,129	\$22,799,129	\$0
ACE	\$2,168,442	\$2,516,831	\$4,685,273	\$4,433,591	\$251,682
LAVTA	\$-	\$819,157	\$819,157	\$819,157	\$0
WETA	\$3,446,424	\$926,004	\$4,372,428	\$1,415,800	\$2,956,628
Union City Transit	\$-	\$403,643	\$403,643	\$403,643	\$0
Total	\$7,887,577	\$25,192,053	\$33,079,630	\$29,871,320	\$3,208,310

Notes:

1. FY 14-15 Estimated Revenue is based on May 2014 Measure B projections.
2. The FY 14-15 Planned Expenditures column consists of anticipated transportation related expenditures reported in the FY 13-14 Compliance Report.
3. The Anticipated Balance is the estimated FY 15-16 beginning balance.
4. Revenue and expenditure figures may vary due to number rounding.



Measure B Paratransit Program Fund Balance

For the Measure B paratransit program, jurisdictions reported a total ending FY 13-14 Measure B balance of \$2.6 million. This is approximately \$0.7 million more than the prior year's fund balance.

As part of the compliance process, jurisdictions provide a FY 14-15 implementation plan using remaining balances and projected revenues. Thus, after including FY 14-15 estimated revenue and accounting for anticipated FY 14-15 expenditures, the expected balance at the end of FY 14-15 is projected to be approximately \$0.3 million. This illustrates a decline in Measure B balances across the jurisdictions for the paratransit program.

The paratransit program funds operational activities and may have fluctuations in anticipated annual expenditures depending on service requirements and needs for a particular fiscal year.



FY 14-15 Ending Fund Balances

Jurisdiction	FY 13-14 Ending Balance	FY 14-15 Estimated Revenue ¹	FY 14-15 Available To Expend	FY 14-15 Anticipated Expenditures ²	Total Anticipated Balance ³
AC Transit	\$791,556	\$4,914,943	\$5,706,499	\$5,706,499	\$-
BART	\$-	\$1,768,904	\$1,768,904	\$1,768,904	\$-
LAVTA	\$-	\$153,613	\$153,613	\$153,613	\$-
City of Alameda	\$150,918	\$166,682	\$317,600	\$200,932	\$116,668
City of Albany	\$10,741	\$32,310	\$43,050	\$43,050	\$-
City of Berkeley	\$156,914	\$262,554	\$419,468	\$394,468	\$25,000
City of Emeryville	\$18,389	\$24,100	\$42,489	\$36,489	\$6,000
City of Fremont	\$349,275	\$811,726	\$1,161,001	\$1,079,828	\$81,173
City of Hayward	\$932,812	\$753,616	\$1,686,428	\$1,616,427	\$70,001
City of Newark	\$63,183	\$163,519	\$226,702	\$226,702	\$-
City of Oakland	\$-	\$986,463	\$986,463	\$986,463	\$-
City of Pleasanton	\$-	\$95,695	\$95,695	\$95,695	\$-
City of San Leandro	\$122,981	\$291,107	\$414,088	\$414,088	\$-
City of Union City	\$-	\$283,170	\$283,170	\$283,170	\$-
Total	\$2,596,769	\$10,708,402	\$13,305,171	\$13,006,328	\$298,843

Notes:

1. FY 14-15 Estimated Revenue is based on May 2014 Measure B projections.
2. The FY 14-15 Planned Expenditures column consists of anticipated transportation related expenditures reported in the FY 13-14 Compliance Report.
3. The Anticipated Balance is the estimated FY 15-16 beginning balance.
4. Revenue and expenditure figures may vary due to number rounding.





Summary of Exemptions for Agencies with Balances of Greater than 30 percent

11.1B

(Cumulatively Across the Programs)

MEASURE B

City of Alameda

Program	Planned Expenditures FY 13-14	Actual Expenditures FY 13-14	Unspent Amount FY 13-14	Unspent Percentage	Reason Code
Bicycle and Pedestrian	\$ -	\$ -	\$ -	-	
Local Streets and Roads	\$ -	\$ -	\$ -	-	
Paratransit	\$ 177,729	\$ 108,465	\$ 69,264	39%	2
Totals:	\$ 177,729	\$ 108,465	\$ 69,264	39%	

City of Dublin

Program	Planned Expenditures FY 13-14	Actual Expenditures FY 13-14	Unspent Amount FY 13-14	Unspent Percentage	Reason Code
Bicycle and Pedestrian	\$ 132,186	\$ 112,838	\$ 19,348	15%	4
Local Streets and Roads	\$ 795,647	\$ 446,901	\$ 348,746	44%	1 and 4
Total:	\$ 927,833	\$ 559,739	\$ 368,094	40%	

City of Emeryville

Program	Planned Expenditures FY 13-14	Actual Expenditures FY 13-14	Unspent Amount FY 13-14	Unspent Percentage	Reason Code
Bicycle and Pedestrian	\$ 29,798	\$ 6,755	\$ 23,043	77%	2
Local Streets and Roads	\$ 257,734	\$ 11,159	\$ 246,575	96%	2
Paratransit	\$ 26,350	\$ 21,256	\$ 5,094	19%	4
Total:	\$ 313,882	\$ 39,170	\$ 274,712	88%	

Reason/Justification Code

- (1) Project Delays
- (2) Revised Implementation Plan to implement other future projects
- (3) Expenditures incurred, but not accrued, in FY 13-14 and will be expended in FY 14-15.
- (4) Project Savings
- (5) Project scope reduced due to unforeseen issues i.e. funding issues, staffing shortages, community concern, etc.

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December 22, 2014

*Dedicated to
passengers*

*Responsive
to change*

*Committed
to growth*

Commissioners

Bob Johnson
City of Lodi

Steve Bestolarides
San Joaquin County

Steve Dresser
City of Lathrop

Kathy Miller
City of Stockton

Executive Director
Stacey Mortensen

Arthur L. Dao, Executive Director
Alameda County Transportation Commission
1333 Broadway, Suite 220
Oakland, CA 94612

RE: Request for exemption authorization from Timely Use of Funds, due to extraordinary circumstances.

In July 2008, the San Joaquin Regional Rail Commission (SJRRRC) entered into an agreement with the Alameda County Transportation Improvement Authority (ACTIA) and the Alameda County Congestion Management Agency (ACCMA) to be the direct recipient of Measure B sales tax revenue pass-through allocations for the Altamont Commuter Express (ACE) service. In addition, the agreement required ACCMA to transfer the Measure B ACE reserve fund balance to SJRRRC's designated restricted fund. The amount of this transfer was \$1,691,991.35, providing the initial fund balance. The current balance a combination of that initial deposit along with interest earned and subsequent deposits in excess of authorized contribution amounts.

Each month, the Alameda County Transportation Commission (ACTC), formally ACTIA & ACCMA, deposits the pass-through allocations as calculated by ACTC, directly to SJRRRC's designated restricted fund. Per sections 3.6, 3.8 and 6.3.5 of the "June 2003 Cooperative Services Agreement" between SJRRRC, ACTC and Santa Clara Valley Transportation Authority (SCVTA) and the approved Baseline Service Plan, SJRRRC may draw ACTC's contribution from the Measure B funds based on an annually approved CPI increase only. If amounts deposited by ACTC during the year exceed authorized amounts to draw, an increase in fund balance occurs.

Currently, the calculation for projected funds added to the fund balance exceeds the amounts allowed for retention in the Operations Fund Reserve (3.A.2) and Undesignated Fund Reserve (3.A.3). It is the intent of SJRRRC to use Measure B reserved funds to augment ACTC's Baseline contribution to the ACE service over the next five fiscal years and fully expend all funds carried forward by the end of FY16/17. The table below illustrates the expenditure plan for the fund reserves:

	FY 13/14	FY 14/15	FY 15/16	FY 16/17
Measure B Service Contribution		\$ 2,200,000	\$ 2,200,000	\$ 2,200,000
Administrative Fee		\$ 20,000	\$ 20,000	\$ 20,000
Measure B from Reserve Balance		\$ 700,000	\$ 700,000	\$ 661,474
Total		\$ 2,920,000	\$ 2,920,000	\$ 2,920,000
Remaining Reserve	\$ 2,061,474	\$ 1,361,474	\$ 661,474	\$ -

Since SJRRC will not expend the reserves in the timely manner defined and set by ACTC's "Master Programs Funding Agreement" Section 3 (FY15/16), SJRRC/ACE is requesting authorization for an exemption due to extraordinary circumstances.

Please do not hesitate to contact Bob Schiffler, Controller at 209-944-6237 or email bob@acerail.com if you have any questions or comments.

Sincerely,



Stacey Mortensen
Executive Director

c: John Nguyen, ACTC
Vivek Bhat, ACTC
Brian Schmidt, SJRRC
Nila Cordova, SJRRC
Bob Schiffler, SJRRC



City of Alameda, California

February 23, 2015

John Nguyen
Project Manager
Alameda CTC
1111 Broadway, Suite 800
Oakland CA 94607

Re: Request for exemption from the Timely Use of Funds Policy

Dear Mr. Nguyen,

The City of Alameda is hereby requesting an exemption from the Timely Use of Funds Policy, with regards to the Paratransit Measure B funds.

The City did not expend all of the funding budgeted, due to a lower than expected demand for services.

The City plans to use some of the surpluses available in Planned Projects and Operational Reserves to purchase a paratransit/senior bus. A suitable bus has been located and we expect to finalize the purchase this summer. An amount of \$100,000, the approximate cost of the bus, has been shown in the revised Table 3 in the Capital Reserves window for FY 14-17, to be spent in FY 15-16.

The FY 14-15 Planned Project has been reduced to a budget of \$90,000, of which \$61,657.17 has been spent as of February 17, 2015. If the actual expenses to this planned project exceed \$90,000, there is \$27,600 available in the Operation Fund Reserve to cover the costs.

Sincerely,

A handwritten signature in black ink, appearing to read "Laurie Kozisek", is written over a horizontal line.

Laurie Kozisek
Acting Senior Engineer
Public Works Department
City of Alameda

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CITY OF DUBLIN

100 Civic Plaza
Dublin, California 94568
Phone: (925) 833-6650
Fax: (925) 833-6651

City Council
(925) 833-6650
City Manager
(925) 833-6650
Community Development
(925) 833-6610
Economic Development
(925) 833-6650
Finance/Admin Services
(925) 833-6640
Fire Prevention
(925) 833-6606
Human Resources
(925) 833-6605
Parks & Community Services
(925) 556-4500
Police
(925) 833-6670
Public Works/Engineering
(925) 833-6630



www.dublin.ca.gov

March 20, 2015

Art Dao
Executive Director
Alameda County Transportation Commission
1111 Broadway, Suite 800
Oakland, CA 94607

RE: Exemption Request for Timely Use of Funds Policy

Mr. Dao:

Please accept this letter as City of Dublin's request for an exemption from the Timely Use of Funds Policy for your Commission's consideration.

Measure B Funds

In FY 2013-2014, the planned expenditures were \$795,647 and the actual expenditures through June 30, 2014, were \$446,901. The unspent amount in FY 2013-2014 is \$348,746. The primary reason for the unspent amount is the delay of the planned Annual Street Overlay project. The project was intended to be combined with Dublin's One Bay Area Grant (OBAG) federally funded overlay project, Dublin Boulevard Preservation (Federal ID# STPL-5432(018), \$470,000). The OBAG project schedule was delayed and the project was eventually split, to segregate the federally funded work from non-federally funded work. Both projects are currently out to bid, with bid openings scheduled for early April 2015. The balance of unused Measure B LSR funds will be utilized as part of the 2015 Annual Street Overlay Project.

If you have any questions regarding this request, please contact me at (925) 833-6630 or at andrew.russell@dublin.ca.gov.

Sincerely,

Andrew C. Russell
Assistant Public Works Director/City Engineer

CC: grants@alamedactc.org

John Nguyen, Project Manager, Alameda CTC (jnguyen@alamedactc.org)

Obaid Khan

Lisa Hisatomi

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CITY OF EMERYVILLE

INCORPORATED 1896

1333 PARK AVENUE
EMERYVILLE, CALIFORNIA 94608-3517

TEL: (510) 596-4330 FAX: (510) 596-4389

Alameda County Transportation Commission
1111 Broadway, Suite 800
Oakland, CA 94607

Attn: John Nguyen
510-208-7400

February 18, 2015

Subject: Letter of Exemption-Measure B

Mr. Nguyen;

The City of Emeryville hereby requests exemption from the Master Programs Funding Timely Use of Funds Policy. It is necessary for the City to aggregate multiple years of Measure B revenue in order to produce a cost effective project the size of which creates a beneficial economy of scale. In the future it is likely that the City will establish Measure B capital fund reserves to accomplish this.

It is expected that the Ongoing Street Rehabilitation Project will begin using Local Streets and Roads Program funding in FY 14/15.

It is expected that the Ongoing Bicycle Pedestrian Plan Implementation Project will begin using Bicycle/Pedestrian Program funding in FY 14/15.

Please feel free to contact me at 510-596-4333 if you have any questions or require any additional information.

Sincerely,

Michael Roberts
Senior Civil Engineer
Public Works Department
City of Emeryville

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Measure B Sales Tax Function
Fiscal Year 2014-2015 Proposed Updated Budget

11.2

	General Funds Proposed	Special Revenue Funds Proposed	Debt Service Fund Proposed	ACTIA Capital Project Fund Proposed	ACTA Capital Project Fund Proposed	Inter-Agency Adjustments/ Eliminations Proposed	Total Proposed
Beginning Fund Balance	\$ 21,949,471	\$ 10,637,471	\$ 20,379,253	\$ 147,577,840	\$ 117,840,970	\$ -	\$ 318,385,005
Revenues:							
Sales Tax Revenues	5,737,500	72,935,738	-	48,826,762	-	-	127,500,000
Investment Income	-	-	-	430,000	435,000	-	865,000
Member Agency Fees	-	-	-	-	-	-	-
VRF Funds	-	-	-	-	-	-	-
Bond Proceeds	-	-	-	-	-	-	-
Other Revenues	5,486	140,116	-	1,784,507	1,829	(37,750)	1,894,188
Grants	-	1,245,205	-	22,042,890	-	(10,207,545)	13,080,551
Total Revenues	5,742,986	74,321,059	-	73,084,160	436,829	(10,245,295)	143,339,738
Expenditures:							
<u>Administration</u>							
Salaries and Benefits	689,006	-	-	-	170,539	-	859,545
General Office Expenses	1,614,582	4,750	5,653,839	5,195	45,596	(4,750)	7,319,211
Other Administration	1,759,026	-	-	33,000	326,918	-	2,118,944
Commission and Community Support	148,000	33,000	-	-	20,000	(33,000)	168,000
Contingency	75,000	-	-	-	25,000	-	100,000
<u>Planning</u>							
Salaries and Benefits	-	-	-	-	-	-	-
Planning Management and Support	213,002	-	-	-	-	-	213,002
Transportation Planning/Transportation Expenditure Plan	1,220,000	-	-	-	-	-	1,220,000
Congestion Management Program	-	-	-	-	-	-	-
<u>Programs</u>							
Salaries and Benefits	-	247,470	-	-	-	-	247,470
Programs Management and Support	-	2,732,824	-	-	-	-	2,732,824
Safe Routes to School Programs	-	-	-	-	-	-	-
VRF Programming and Other Costs	-	-	-	-	-	-	-
Measure B Pass-Through	-	66,874,096	-	-	-	-	66,874,096
Grant Awards	-	7,317,885	-	-	-	-	7,317,885
Other Programming	-	224,793	-	-	-	-	224,793
<u>Capital Projects</u>							
Salaries and Benefits	-	-	-	227,930	113,861	-	341,791
Project Management and Support	-	-	-	1,769,095	84,514	-	1,853,609
Capital Project Expenditures	-	-	-	155,771,156	36,624,113	(10,207,545)	182,187,724
<u>Indirect Cost Recovery/Allocation</u>							
Indirect Cost Recovery from Capital, Spec Rev & Exch Funds	-	-	-	-	-	-	-
Total Expenditures	5,718,616	77,434,818	5,653,839	157,806,376	37,410,540	(10,245,295)	273,778,894
Net Change in Fund Balance	24,370	(3,113,759)	(5,653,839)	(84,722,216)	(36,973,712)	-	(130,439,156)
Projected Ending Fund Balance	\$ 21,973,842	\$ 7,523,712	\$ 14,725,414	\$ 62,855,624	\$ 80,867,258	\$ -	\$ 187,945,850

**2000 Measure B Sales Tax
FY2014-2015 Proposed Capital Project Budget Update**

		(A)	(B)	(C)	(D)	(E)	(C) + (D) + (E) = (F)	Funding Sources				
Project Name	Project #	Adopted FY 2013-14 Capital Budget	Actual FY 2013-14 Expenditures	FY 2013-14 Rollover to FY 2014-15	Adopted FY 2014-15 Capital Budget	FY 2014-15 Capital Budget Adjustment	FY 2014-15 Capital Budget w/ Actual Rollover	Total Local Funding Sources	Total Regional Funding Sources	Total State Funding Sources	Total Federal Funding Sources	Total Capital Projects
ACE Capital Improvements	601.0	\$ 3,704,890	\$ 3,350,291	\$ 354,599	\$ 2,830,000	\$ -	\$ 3,184,599	\$ 3,184,599	\$ -	\$ -	\$ -	\$ 3,184,599
BART Warm Springs Extension	602.0	34,597,135	41,821,342	(7,224,207)	50,000,000	-	42,775,793	42,775,793	-	-	-	42,775,793
BART Oakland Airport Connector	603.0	28,783,069	23,884,111	4,898,958	-	(4,654,847)	244,111	244,111	-	-	-	244,111
Downtown Oakland Streetscape	604.0	3,782,700	-	3,782,700	-	-	3,782,700	3,782,700	-	-	-	3,782,700
Telegraph Avenue Bus Rapid Transit	607.1	4,062,991	2,738,060	1,324,930	-	-	1,324,930	1,324,931	-	-	-	1,324,931
I-680 Express Lane	608.0-1	3,693,095	(895,561)	4,588,656	5,700,000	-	10,288,656	10,288,656	-	-	-	10,288,656
Iron Horse Trail	609.0	1,000,000	-	1,000,000	-	-	1,000,000	1,000,000	-	-	-	1,000,000
I-880/Broadway-Jackson Interchange	610.0	2,527,882	5,155	2,522,727	-	-	2,522,727	2,522,727	-	-	-	2,522,727
I-580/Castro Valley Interchanges Improvements	612.0	1,618,975	209,958	1,409,017	-	-	1,409,017	(5,089,045)	-	4,664,000	1,834,062	1,409,017
Lewelling/East Lewelling	613.0	637,222	1,364,565	(727,343)	-	727,343	-	-	-	-	-	-
I-580 Auxiliary Lanes	614.0	1,230	-	1,230	-	-	1,230	1,230	-	-	-	1,230
I-580 Auxiliary Lanes - Westbound Fallon to Tassajara	614.1	712,000	702,220	9,780	-	-	9,780	-	-	-	-	9,780
I-580 Auxiliary Lanes - Westbound Airway to Fallon	614.2	2,076,332	(90,443)	2,166,775	1,025,000	-	3,191,775	3,191,775	-	-	-	3,191,775
I-580 Auxiliary Lanes - E/B El Charro to Airwa	614.3	45,986	-	45,986	-	-	45,986	-	-	-	-	45,986
Rte 92/Clawiter/Whitesell Interchange	615.0	8,065,497	7,604,195	461,302	6,700,000	-	7,161,302	7,161,302	-	-	-	7,161,302
Hesperian/Lewelling Widening	617.1	599,622	-	599,622	-	-	599,622	-	-	-	-	599,622
Westgate Extension	618.1	428,180	136,432	291,748	-	-	291,748	-	-	-	-	291,748
E. 14th/Hesperian/150th Improvements	619.0	2,191,871	-	2,191,871	-	-	2,191,871	-	-	-	-	2,191,871
I-238 Widening	621.0	294,164	-	294,164	-	(79,837)	214,327	-	-	-	-	214,327
I-680/I-880 Cross Connector Study	622.0	351,773	10,711	341,063	-	-	341,063	-	-	-	-	341,062
Isabel - Route 84/I-580 Interchange	623.0	10,535,576	736,881	9,798,695	-	-	9,798,695	-	-	-	-	9,798,695
Route 84 Expressway	624.0-3	14,025,059	10,558,489	3,466,571	21,650,000	7,550,000	32,666,571	32,666,570	-	-	-	32,666,570
Dumbarton Corridor	625.0	202,746	18,850	183,896	-	-	183,896	150,313	33,583	-	-	183,896
Dumbarton Corridor - Central Avenue Overpass	625.1	2,900,000	-	2,900,000	-	-	2,900,000	-	-	-	-	2,900,000
I-580 Corridor Improvements	626.0	1,646,233	2,271,494	(625,261)	26,467,832	-	25,842,571	25,842,571	-	-	-	25,842,571
I-80 Integrated Corridor Mobility	627.2	335,000	301,260	33,740	-	161,260	195,000	-	-	-	-	195,000
I-880 Corridor Improvements in Oakland and San Leandro	627.3	3,033,103	409,081	2,624,022	-	105,557	2,729,579	-	-	-	-	2,729,579
CWTP/TEP Development	627.4	50,000	1,311	48,689	-	-	48,689	-	-	-	-	48,689
Studies at Congested Segments/Locations on CMP	627.5	350,000	73,828	276,172	-	-	276,172	-	-	-	-	276,172
Project Management / Closeout	600.0	901,000	855,229	45,771	2,500,000	-	2,545,771	2,545,771	-	-	-	2,545,771
		<u>\$ 133,153,331</u>	<u>\$ 96,067,458</u>	<u>\$ 37,085,874</u>	<u>\$ 116,872,832</u>	<u>\$ 3,809,476</u>	<u>\$ 157,768,181</u>	<u>\$ 151,236,535</u>	<u>\$ 33,583</u>	<u>\$ 4,664,000</u>	<u>\$ 1,834,062</u>	<u>\$ 157,768,181</u>

**2000 Measure B Sales Tax
FY2014-2015 Proposed Budget Update Limitation Calculations**

Net Sales Tax	\$	127,500,000	A
Investments & Other Income		<u>15,839,738</u>	B
Funds Generated	\$	143,339,738	C
Administrative Salaries & Benefits	\$	689,006	D
Other Administration Costs		<u>3,596,607</u>	E
Total Administration Costs	\$	4,285,613	F

Gross Salaries & Benefits to Net Sales Tax 0.5404% = D/A

Gross Salaries & Benefits to Funds Generated 0.4807% = D/C

Total Administration Costs to Net Sales Tax 3.3613% = F/A

** Sales tax reauthorization ballot costs budgeted in the amount of \$1.4 million are not included in other administrative costs. They will be paid from prior year excess administrative costs limitation calculation balances.*

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Memorandum

11.3

1111 Broadway, Suite 800, Oakland, CA 94607

• PH: (510) 208-7400

• www.AlamedaCTC.org

DATE: June 1, 2015

SUBJECT: Measure B and Measure BB Sales Tax Budget Update for FY2014-15

RECOMMENDATION: Approve the following sales tax budget updates for FY2014-15:

- Revised the Measure B sales tax revenue budget for FY2014-15 to \$132.5 million and increase the corresponding direct local distribution expenditures based on the formulas established in the 2000 transportation expenditure plan, and
- Measure BB sales tax revenue budget for FY2014-15 of \$30.0 million and direct local distribution expenditures based on the formulas established in the 2014 transportation expenditure plan, \$0.35 million for special revenue project expenditures and capital project expenditures totaling \$0.95 million.

Summary

It is recommended that the Commission approve:

- An increase to the Alameda CTC's Measure B sales tax revenue budget for FY2014-15 from the currently adopted amount of \$127.5 million to \$132.5 million for an increase of \$5.0 million and an increase in the corresponding direct local distribution expenditures based on the formulas established in the 2000 transportation expenditure plan, and
- A Measure BB sales tax revenue budget for FY2014-15 of \$30.0 million and the corresponding direct local distribution expenditures based on the formulas established in the 2014 transportation expenditure plan which are projected to be \$14,600,838, \$350,000 for special revenue project expenditures and capital project expenditures totaling \$950,000.

Background

The proposed Measure B revenue increase is 3.9 percent higher than the currently adopted budget. Based on receipts to date, sales tax revenues have out-performed the original projection in the budget by 4.5 percent. Overall receipts in the 1st and 2nd quarters of the fiscal year were higher than budget by about 5.2 percent. The receipts received over the last couple of months are estimates until the 3rd quarter adjustment to "true up" the amounts received to date is received in June. This revised projection exceeds last fiscal year's historical peak actual collection level of \$127.1 million. This revised sales tax projection will be included as a budget adjustment to the FY2014-15 budget, increasing projected revenues by

\$5.0 million and the corresponding direct local distribution budgeted expenditures based on the formulas established in the 2000 transportation expenditure plan.

Measure BB sales tax revenues are projected to be \$30.0 million for FY2014-15. The Registrar of Voters costs incurred for the election of \$1.3 million will come off the top of that amount leaving \$28.7 million for allocation to the various funds with direct local distributions occurring from the Special Revenue Fund automatically as outlined in the 2014 transportation expenditure plan. In addition, the Measure BB budget authorizes \$0.35 million of special revenue project expenditures and \$0.95 million of capital project expenditures in FY2014-15 which were included in the Comprehensive Investment Plan (CIP) approved by the Commission in March. Including special revenue project and capital project expenditures in the FY2014-15 budget is intended to encourage implementing agencies of capital projects eligible for Measure BB funding as outlined in the CIP to begin scoping work and develop deliverables on their projects as soon as possible (see Measure BB chart below).

**Measure BB
FY2014-15 Proposed Budget**

	General Fund	Special Revenue Fund	Capital Projects Fund	Total
Beginning Fund Balance	\$0	\$0	\$0	\$0
Revenues				
Sales Tax Revenues	2,458,784	17,896,282	9,644,934	30,000,000
Expenditures				
Election Costs	1,311,234			1,311,234
Direction Local Distributions		14,600,838		14,600,838
Special Revenue Projects		350,000		350,000
Capital Projects			950,000	950,000
Total Expenditures	1,311,234	14,950,838	950,000	17,212,072
Net Change in Fund Balance	1,147,550	2,945,444	8,694,934	12,787,928
Ending Fund Balance	<u>\$1,147,550</u>	<u>\$2,945,444</u>	<u>\$8,694,934</u>	<u>\$12,787,928</u>

Fiscal Impact

The proposed revision to Alameda CTC's FY2014-15 Measure B sales tax revenue budget will provide additional resources of \$5.0 million and authorize the corresponding direct local distribution expenditures based on the formulas established in the transportation expenditure plan.

The FY2014-15 Measure BB proposed budget will provide \$30.0 million of revenues and authorize \$17.2 million of expenditures for a Measure BB ending fund balance of \$12.8 million.

Staff Contact

[Patricia Reavey](#), Director of Finance

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Memorandum

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1111 Broadway, Suite 800, Oakland, CA 94607

• PH: (510) 208-7400

• www.AlamedaCTC.org

DATE: June 1, 2015

SUBJECT: Alameda CTC FY2014-15 Third Quarter Investment Report

RECOMMENDATION: Approve the Alameda CTC FY2014-15 Third Quarter Investment Report

Summary

The Quarterly Investment Report (Attachment A) provides balance and average return on investment information for all cash and investments held by the Alameda CTC as of March 31, 2015. The report also shows balances as of June 30, 2014 for comparison purposes. The *Portfolio Review for Quarter Ending March 31, 2015* (Attachment B) prepared by GenSpring Family Offices provides a review and outlook of current market conditions, an investment strategy to maximize return without compromising safety and liquidity, and an overview of the strategy used to develop the bond proceeds portfolio. Alameda CTC investments are in compliance with the adopted investment policy as of March 31, 2015. Alameda CTC has sufficient cash flow to meet expenditure requirements over the next six months.

Activity

The following are key highlights of cash and investment information as of March 31, 2015:

- As of March 31, 2015, total cash and investments held by the Alameda CTC was \$339.3 million with bond proceeds accounting for \$41.7 million or 12.3% of the total.
- The ACTA investment balance decreased \$0.6 million or 0.5% from the prior year-end balance due to capital projects expenditures. The ACTIA investment balance decreased \$50.3 million or 21.8% also due to capital project expenditures. The ACCMA investment balance decreased \$1.9 million or 5.0% primarily due to an increase in reimbursable project expenditures which had not yet been recovered as of March 31, 2015.
- Investment yields have increased slightly with the average return on investments for the third quarter at 0.30% compared to the prior year's average return of 0.24%. Return on investments were projected for the FY2014-15 budget year at varying rates ranging from 0.3% - 0.5% depending on investment type.

Fiscal Impact

There is no fiscal impact.

Attachments

- A. Consolidated Investment Report as of March 31, 2015
- B. Portfolio Review for Quarter Ending March 31, 2015 (provided by GenSpring Family Offices)
- C. Fixed Income Portfolio and CDARS Investment Statements as of March 31, 2015

Staff Contact

[Patricia Reavey](#), Director of Finance

[Lily Balinton](#), Accounting Manager

Alameda CTC
Consolidated Investment Report
As of March 31, 2015

ACTA	Un-Audited	Interest Earned				FY 2013-2014	
	Investment Balance	As of March 31, 2015				Investment Balance	Interest earned
		Interest earned	Approx. ROI	Budget	Difference	June 30, 2014	FY 2013-2014
Bank Accounts	\$ 4,674,056	\$ 3,915	0.11%			\$ 454,248	6
State Treasurer Pool (LAIF) ⁽⁴⁾	17,925,861	73,795	0.55%			38,053,968	105,048
Investment Advisor ^{(1) (4)}	91,379,242	235,411	0.34%			76,075,126	329,264
Loan to ACCMA	10,000,000	-	-			10,000,000	-
ACTA Total	\$ 123,979,159	\$ 313,121	0.34%	\$ 326,250	\$ (13,129)	\$ 124,583,342	\$ 434,317
						<i>Approx. ROI</i>	<i>0.35%</i>
ACTIA	Un-Audited	Interest Earned				FY 2013-2014	
	Investment Balance	As of March 31, 2015				Investment Balance	Interest earned
		Interest earned	Approx. ROI	Budget	Difference	June 30, 2014	FY 2013-2014
Bank Accounts	\$ 30,499,621	\$ 8,910	0.04%			\$ 6,352,690	\$ 175
State Treasurer Pool (LAIF) ⁽⁴⁾	19,269,045	79,993	0.55%			49,937,806	97,936
Investment Advisor ^{(1) (4)}	84,912,289	127,741	0.20%			57,357,303	84,785
2014 Series A Bond Project Fund	26,926,800	76,358	0.38%			96,414,733	45,733
2014 Series A Bond Interest Fund	14,770,112	77,033	0.70%			20,283,310	32,586
Project Deferred Revenue ⁽²⁾	3,702,815	8,413	0.30%			-	-
ACTIA Total	\$ 180,080,681	\$ 378,447	0.28%	\$ 322,500	\$ 55,947	\$ 230,345,842	\$ 261,215
						<i>Approx. ROI</i>	<i>0.18%</i>
ACCMA	Un-Audited	Interest Earned				FY 2013-2014	
	Investment Balance	As of March 31, 2015				Investment Balance	Interest earned
		Interest earned	Approx. ROI	Budget	Difference	June 30, 2014	FY 2013-2014
Bank Accounts	\$ 8,954,502	\$ 4,546	0.07%			\$ 2,582,126	\$ -
State Treasurer Pool (LAIF) ⁽⁴⁾	19,766,500	45,620	0.31%			29,059,283	45,312
Project Deferred Revenue ⁽³⁾	16,506,074	32,412	0.26%			15,439,924	35,606
Loan from ACTA	(10,000,000)	-	-			(10,000,000)	-
ACCMA Total	\$ 35,227,075	\$ 82,578	0.31%	\$ -	\$ 82,578	\$ 37,081,333	\$ 80,918
						<i>Approx. ROI</i>	<i>0.22%</i>
Alameda CTC TOTAL	\$ 339,286,915	\$ 774,145	0.30%	\$ 648,750	\$ 125,395	\$ 392,010,517	\$ 776,450

Notes:

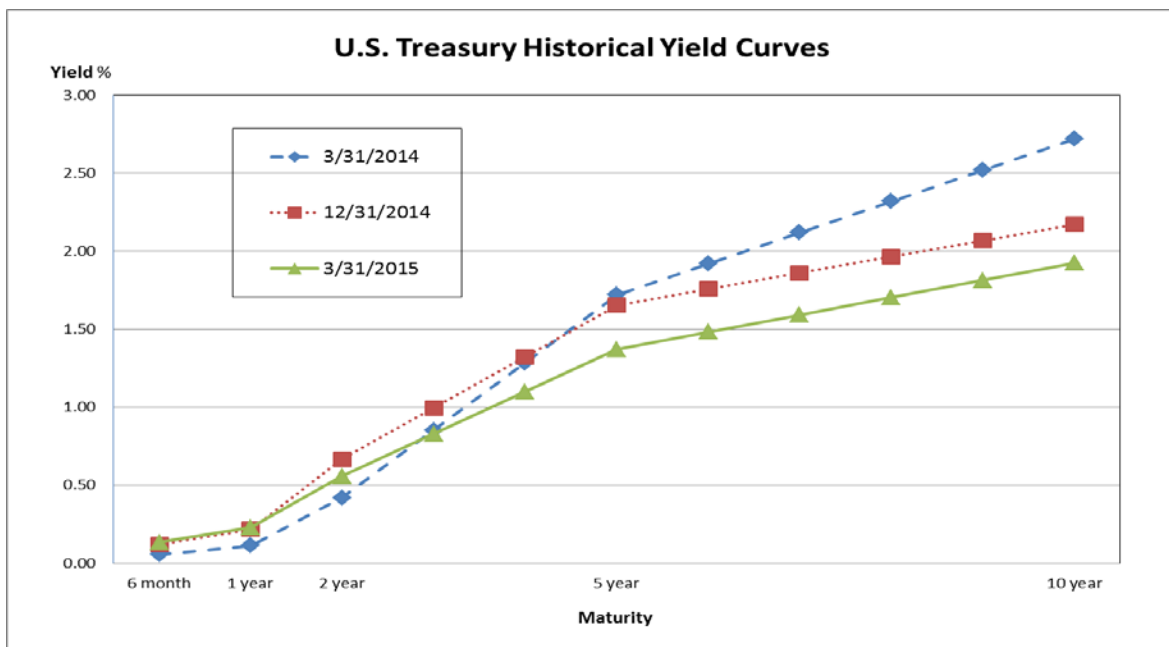
- (1) See attachments for detail of investment holdings managed by Investment Advisor.
- (2) Project funds in deferred revenue are invested in LAIF with interest accruing back to the respective fund which includes TVTC funds.
- (3) Project funds in deferred revenue are invested in LAIF with interest accruing back to the respective fund which include VRF, TVTC, San Leandro Marina, TCRP, PTMISEA and Cal EMA.
- (4) All investments are marked to market on the financial statements at the end of the fiscal year per GASB 31 requirements.
- (5) Alameda CTC investments are in compliance with the currently adopted investment policies.
- (6) Alameda CTC has sufficient cash flow to meet expenditure requirements over the next six months.

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***Alameda County Transportation Commission
Portfolio Review for the Quarter Ending
March 31, 2015***

Fixed Income Market Review and Outlook

Short-term interest rates were unchanged to modestly lower over the first quarter of 2015 as a combination of a stronger dollar, lower oil prices, softening global economic figures and geopolitical issues drove investors to seek the safe haven of U.S. Government debt. Through all the recent noise and turmoil, however, the one consistent factor has been a relatively healthy economy with an improving labor market here in the United States. Historically, this would have led to rising interest rates. Today, global factors dominate.



Source: Bloomberg Finance L.P.

The recent strength in employment will be particularly important in determining the future direction of short-term interest rates. If the labor situation continues to improve at its current pace, many economic pundits forecast the Federal Reserve could begin to raise the level of short-term interest rate as soon as June of this year. Even under a modest growth scenario we think it is likely the Fed will begin to hike interest rates by the end of this year.

There are too many variables and too many unknowns at present to have a strong opinion on where interest rates will end this year. What is clear is that the Federal Reserve will be the ultimate driver of short-term rates. We know from recent testimony by Janet Yellen in front of Congress that the Fed has no plans to raise rates before June. We also know the Fed pays particular attention to the amount of slack in the labor markets and expectations for inflation. Along with general health of our economy, the price of oil and the relative strength or weakness of the U.S. dollar will be important factors in shaping the Fed's expectations. While we may not know where things will eventually end up, we have plenty to watch to help us decide the way.

Strategy

Over the foreseeable future GenSpring expects interest rates to move gradually higher. Currently the portfolio's sensitivity to a change in interest rates is in line with that of the benchmark. The current low rate environment leaves the bond market without much of a yield cushion to avoid negative rates of returns should interest rates begin to rise more than already anticipated by the market.

Given our outlook and the current level of uncertainty in the markets, we are comfortable keeping the portfolio's exposure to a change in interest rates near that of the benchmark.

For the time being, we are recommending any surplus cash flows from maturing issues be rolled over into LAIF. As opportunities present themselves we will be strategically placing investments where we believe they can provide a higher return than LAIF. Such an opportunity presented itself in early March on the back of a very strong employment report. We were able to take advantage of the opportunity to the benefit of the portfolios.

As of the end of the quarter, the consolidated Alameda CTC ACTA/ACTIA portfolio consisted of 60.5% US Government Agency securities, 15.6% US Treasury securities, 19.9% High Grade Corporate Bonds, 0% Certificates of Deposit, 3.8% Commercial Paper and 0.2% of cash and cash equivalents.

Compliance with Investment Policy Statement

For the quarter ending March 31, 2015, the Alameda CTC portfolio was in compliance with the adopted investment policy statement.

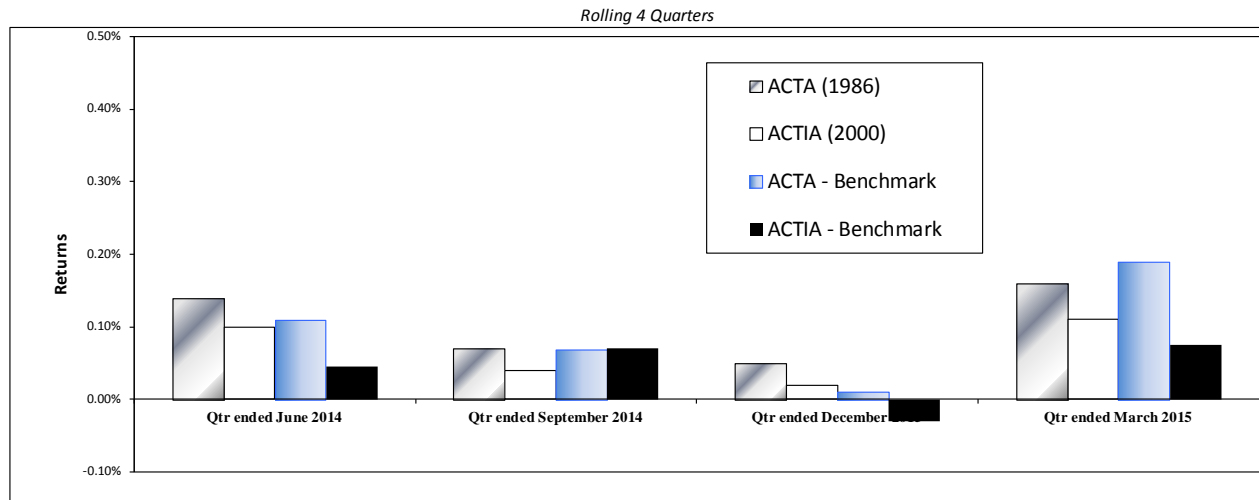
Budget Impact

The portfolio's performance is reported on a total economic return basis. This method includes the coupon interest, amortization of discounts and premiums, capital gains and losses and price changes (i.e., unrealized gains and losses) but does not include the deduction of management fees. For the quarter ending March 31, the ACTA (1986 Measure B) portfolio returned **0.16%**. This compares to the benchmark return of **0.19%**. For the quarter ending March 31, the ACTIA (2000 Measure B) portfolio returned **0.12%**. This compares to the benchmark return of **0.07%**. The exhibit below shows the performance of the Alameda CTC's portfolios relative to their respective benchmarks.

The portfolio's yield to maturity, the return the portfolio will earn in the future if all securities are held to maturity, is also reported. This calculation is based on the current market value of the portfolio including unrealized gains and losses. For the quarter ending March 31, the ACTA (1986 Measure B) portfolio's yield to maturity or call was **0.37%**. The benchmark's yield to maturity was **0.28%**. For the quarter ending March 31, the ACTIA (2000 Measure B) portfolio's yield to maturity or call was **0.31%**. The benchmark's yield to maturity was **0.17%**.

Alameda CTC

Quarterly Review - Account vs. Benchmark



Trailing 12 Months	Apr-14	May-14	Jun-14	Jul-14	Aug-14	Sep-14	Oct-14	Nov-14	Dec-14	Jan-15	Feb-15	Mar-15	Trailing 12 Months
MONTHLY PERFORMANCE DATA													
Alameda ACTA (1986)	-0.02%	0.08%	0.06%	0.00%	0.06%	0.01%	0.06%	0.07%	-0.08%	0.11%	-0.01%	0.06%	0.40%
Alameda ACTIA (2000)	0.02%	0.04%	0.05%	0.00%	0.04%	0.00%	0.02%	0.05%	-0.05%	0.08%	0.01%	0.03%	0.29%
Benchmark - ACTA ¹	-0.01%	0.06%	0.06%	-0.01%	0.07%	0.00%	0.08%	0.04%	-0.11%	0.19%	-0.07%	0.07%	0.37%
Benchmark - ACTIA ²	0.02%	0.03%	0.01%	0.01%	0.04%	0.02%	0.01%	0.01%	-0.04%	0.07%	-0.01%	0.01%	0.18%

Note: This data contains performance prior to July 1, 2014 which was generated by SunTrust Bank. From July 1, 2014 performance was generated by GenSpring Family Offices. Past performance is not an indication of future results. Performance is presented prior to the deduction of investment management fees.

¹ ACTA Benchmark is a customized benchmark comprised of 25% ML 1 -3 year Tsy index, 25% ML 6mo. Tsy index and 50% ML 1 year Tsy index

² ACTIA Benchmark is currently a customized benchmark comprised of 50% ML 6mo. Tsy index and 50% ML 1 year Tsy index. Prior to March 1, 2014 the Benchmark was comprised of 100% ML 6mo. Tsy index

Bond Proceeds Portfolios

On March 4, 2014, in conjunction with the issuance of the Alameda County Transportation Commission Sales Tax Revenue Bonds, Series 2014, (the Series 2014 Bonds), Alameda CTC established both an **Interest Fund** and **Project Fund** at Union Bank of California, the Series 2014 Bond trustee. These portfolios were initially funded with \$108,944,688 in the Project Fund and \$20,335,886 in the Interest Fund, which was an amount net of the initial drawdown for bond related project costs incurred prior to closing.

As of March 31, 2015, \$82,069,809.77 had been distributed from the Project Fund and \$5,653,838.75 had been distributed from the Interest Fund. The quarter end values of the Interest and Project Funds, including unrealized gains and losses, were \$14,832,584.06 and \$26,998,747.10, respectively.

The portfolios were invested by buying allowable high grade fixed income securities whose maturities matched the anticipated cash outlays. As of March 31, 2015 the average life of the cash flows for the Interest Fund was roughly **1.4** years while the average life of the cash flows of the Project Fund was anticipated to be approximately **2.0** months.

One way to measure the anticipated return of the portfolios is their *yield to maturity*. This is the return the portfolio will earn in the future if all securities are held to maturity. This calculation is based on the current market value of the portfolio. For the quarter ending March 31, the Interest Fund portfolio's yield to maturity was **0.50%** and the Project Fund portfolio's yield to maturity was **0.27%**. By comparison, an investment in a U.S. Treasury note of comparable average maturity at the end of the quarter would yield **0.40%** and **0.02%** respectively.

Another method of measuring the portfolio's yield to maturity is the yield of the portfolio at cost. This calculation is based on the value of the portfolio at cost and does not include any unrealized gains or losses as part of its computation. As of the end of the quarter the Interest Fund portfolio's rate of return on investments, at cost, was **0.64%** and the Project Fund portfolio's rate of return on investments, at cost, was **0.18%**. Over time, as the shorter-term securities roll off and the higher yielding longer-term securities remain, the yield of the portfolio at original cost may rise.

For the quarter ending March 31, 2015, the Alameda CTC Series 2014 Bonds Interest Fund and Project Fund portfolios were invested in compliance with the Bond Indenture dated February 1, 2014.

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FIXED INCOME PORTFOLIO
Alameda County Transportation Commission
ACTA 1986 Measure B
Account # N001
March 31, 2015

Quantity	Security Symbol	Security	Moody	S & P	Unit Cost	Total Cost	Price	Market Value	Accrued Interest	Total Market Value	Pct Assets	Yield To Mat	Duration
CASH													
	61747c70s	MORGAN STANLEY GOVERNMENT INST				102,078.85		102,078.85		102,078.85	0.11		0.0
CORPORATE BONDS													
3,500,000.0000	94974bfe5	WELLS FARGO CO MTN BE 1.500% Due 07-01-15	A2	A+	101.28	3,544,660.00	100.26	3,509,205.00	13,125.00	3,522,330.00	3.92	0.44	0.3
500,000.0000	931142ct8	WAL-MART STORES INC 2.250% Due 07-08-15	AA2	AA	101.11	505,565.00	100.50	502,498.00	2,593.75	505,091.75	0.56	0.39	0.3
3,500,000.0000	46623ejr1	J P MORGAN CHASE & CO MTN BE 1.100% Due 10-15-15	A3	A	100.03	3,500,875.00	100.25	3,508,837.50	17,752.78	3,526,590.28	3.92	0.63	0.5
3,500,000.0000	36962g4t8	GENERAL ELEC CAP CORP MTN BE 2.250% Due 11-09-15	A1	AA+	102.62	3,591,700.00	101.04	3,536,372.00	31,062.50	3,567,434.50	3.95	0.53	0.6
1,000,000.0000	437076ap7	HOME DEPOT INC 5.400% Due 03-01-16	A2	A	106.61	1,066,080.00	104.40	1,043,950.00	4,500.00	1,048,450.00	1.17	0.58	0.9
1,600,000.0000	06406hcg2	BANK NEW YORK MTN BK ENT 0.700% Due 03-04-16	A1	A+	99.83	1,597,200.00	100.16	1,602,531.20	840.00	1,603,371.20	1.79	0.53	0.9
900,000.0000	064159bv7	BANK OF NOVA SCOTIA 0.950% Due 03-15-16	AA2	A+	100.21	901,854.00	100.43	903,897.00	380.00	904,277.00	1.01	0.49	1.0
800,000.0000	713448bt4	PEPSICO INC 2.500% Due 05-10-16	A1	A-	103.03	824,232.00	102.05	816,384.80	7,833.33	824,218.13	0.91	0.64	1.1
3,000,000.0000	91159hhd5	U S BANCORP MTNS BK ENT 1.650% Due 05-15-17	A1	A+	101.52	3,045,480.00	101.37	3,041,166.00	18,700.00	3,059,866.00	3.40	0.99	2.1
						18,577,646.00		18,464,841.50	96,787.36	18,561,628.86	20.64	0.61	0.8
COMMERCIAL PAPER													
3,500,000.000	89233hss4	TOYOTA MOTOR CREDIT CORP COML PAPER 0.000% Due 05-26-15	P-1	A-1+	99.87	3,495,529.72	99.98	3,499,300.00	0.00	3,499,300.00	3.91	0.13	0.2
GOVERNMENT BONDS													
500,000.0000	313384dz9	FEDL HOME LOAN BK CONS DISC NT 0.000% Due 04-06-15	AAA	AA+	99.99	499,937.67	100.00	499,997.50	0.00	499,997.50	0.56	0.03	0.0
3,500,000.0000	313396gy3	FEDL HOME LN MTG CORP DISC NT 0.000% Due 06-16-15	AAA	AA+	99.92	3,497,107.64	99.99	3,499,548.50	0.00	3,499,548.50	3.91	0.06	0.2
9,500,000.0000	313588gz2	FEDERAL NATL MTG ASSN DISC NT 0.000% Due 06-17-15	AAA	AA+	99.91	9,491,175.56	99.99	9,498,765.00	0.00	9,498,765.00	10.62	0.06	0.2
2,000,000.0000	3130a0pe4	FEDERAL HOME LOAN BANKS 0.260% Due 07-23-15	AAA	AA+	100.06	2,001,280.00	100.03	2,000,634.00	982.22	2,001,616.22	2.24	0.16	0.3
1,500,000.0000	313384jv2	FEDL HOME LOAN BK CONS DISC NT 0.000% Due 07-31-15	AAA	AA+	99.90	1,498,559.16	99.98	1,499,647.50	0.00	1,499,647.50	1.68	0.07	0.3
700,000.0000	3135g0nv1	FEDERAL NATL MTG ASSN 0.500% Due 09-28-15	AAA	AA+	100.40	702,814.00	100.14	700,981.40	29.17	701,010.57	0.78	0.21	0.5
5,000,000.0000	313396ph0	FEDL HOME LN MTG CORP DISC NT 0.000% Due 11-16-15	AAA	AA+	99.82	4,990,878.47	99.89	4,994,435.00	0.00	4,994,435.00	5.58	0.18	0.6
3,000,000.0000	912828b41	UNITED STATES TREAS NTS 0.375% Due 01-31-16	AAA	AA+	100.15	3,004,570.32	100.13	3,003,750.00	1,864.64	3,005,614.64	3.36	0.23	0.8
11,000,000.0000	912828uw8	UNITED STATES TREAS NTS 0.250% Due 04-15-16	AAA	AA+	99.56	10,951,875.00	99.95	10,993,983.00	12,692.31	11,006,675.31	12.29	0.30	1.0
3,000,000.0000	912828vc1	UNITED STATES TREAS NTS 0.250% Due 05-15-16	AAA	AA+	99.70	2,990,859.36	99.91	2,997,423.00	2,838.40	3,000,261.40	3.35	0.33	1.1

FIXED INCOME PORTFOLIO
Alameda County Transportation Commission
ACTA 1986 Measure B
Account # N001
 March 31, 2015

Quantity	Security Symbol	Security	Moody	S & P	Unit Cost	Total Cost	Price	Market Value	Accrued Interest	Total Market Value	Pct Assets	Yield To Mat	Dur- ation
675,000.0000	3133834r9	FEDERAL HOME LOAN BANKS 0.375% Due 06-24-16	AAA	AA+	99.69	672,934.50	99.94	674,628.08	682.03	675,310.11	0.75	0.42	1.2
25,000,000.0000	3130a2t97	FEDERAL HOME LOAN BANKS 0.500% Due 09-28-16	AAA	AA+	99.93	24,982,250.00	100.00	25,000,925.00	1,041.67	25,001,966.67	27.95	0.50	1.5
2,000,000.0000	3137eadc0	FEDERAL HOME LN MTG CORP 1.000% Due 03-08-17	AAA	AA+	100.62	2,012,340.00	100.71	2,014,200.00	1,277.78	2,015,477.78	2.25	0.63	1.9
						67,296,581.68		67,378,917.97	21,408.21	67,400,326.19	75.33	0.31	1.0
TOTAL PORTFOLIO						89,471,836.25		89,445,138.33	118,195.57	89,563,333.90	100.00	0.37	0.9

FIXED INCOME PORTFOLIO
Alameda County Transportation Commission
ACTIA 2000 Measure B
Account # N001UNBI
March 31, 2015

Quantity	Security Symbol	Security	Moody	S & P	Unit Cost	Total Cost	Price	Market Value	Accrued Interest	Total Market Value	Pct Assets	Yield To Mat	Duration
CASH													
	61747c70s	MORGAN STANLEY GOVERNMENT INST				162,048.04		162,048.04		162,048.04	0.20		0.0
CORPORATE BONDS													
2,500,000.0000	94974bfe5	WELLS FARGO CO MTN BE 1.500% Due 07-01-15	A2	A+	101.27	2,531,750.00	100.26	2,506,575.00	9,375.00	2,515,950.00	3.02	0.44	0.3
2,400,000.0000	36962g5z3	GENERAL ELEC CAP CORP MTN BE 1.625% Due 07-02-15	A1	AA+	101.44	2,434,488.00	100.30	2,407,281.60	9,641.67	2,416,923.27	2.90	0.42	0.3
2,230,000.0000	931142ct8	WAL-MART STORES INC 2.250% Due 07-08-15	AA2	AA	101.11	2,254,819.90	100.50	2,241,141.08	11,568.13	2,252,709.21	2.70	0.39	0.3
1,000,000.0000	17275rac6	CISCO SYS INC 5.500% Due 02-22-16	A1	AA-	106.60	1,066,000.00	104.39	1,043,850.00	5,958.33	1,049,808.33	1.26	0.56	0.9
2,000,000.0000	437076ap7	HOME DEPOT INC 5.400% Due 03-01-16	A2	A	106.61	2,132,160.00	104.40	2,087,900.00	9,000.00	2,096,900.00	2.52	0.58	0.9
2,500,000.0000	46625hhx1	JPMORGAN CHASE & CO 3.450% Due 03-01-16	A3	A	103.73	2,593,300.00	102.27	2,556,725.00	7,187.50	2,563,912.50	3.08	0.95	0.9
825,000.0000	05531faf0	BB&T CORPORATION 3.950% Due 04-29-16	A2	A-	104.92	865,617.50	103.52	854,060.63	13,759.17	867,819.79	1.03	0.66	1.0
1,000,000.0000	459200h18	INTERNATIONAL BUSINESS MACHS 0.450% Due 05-06-16	AA3	AA-	100.07	1,000,664.00	99.96	999,578.00	1,812.50	1,001,390.50	1.21	0.49	1.1
1,000,000.0000	166764ac4	CHEVRON CORP NEW 0.889% Due 06-24-16	AA1	AA	100.50	1,005,000.00	100.45	1,004,496.00	2,395.36	1,006,891.36	1.21	0.52	1.2
						15,883,799.40		15,701,607.31	70,697.65	15,772,304.96	18.93	0.56	0.6
COMMERCIAL PAPER													
3,000,000.000	89233hvk5	TOYOTA MOTOR CREDIT CORP COML PAPER 0.000% Due 10-19-15	P-1	A-1+	99.79	2,993,837.49	99.84	2,995,200.00	0.00	2,995,200.00	3.61	0.29	0.6
GOVERNMENT BONDS													
1,000,000.0000	313588ej0	FEDERAL NATL MTG ASSN DISC NT 0.000% Due 04-15-15	AAA	AA+	99.92	999,211.67	100.00	999,986.00	0.00	999,986.00	1.21	0.03	0.0
1,000,000.0000	3137eadd8	FEDERAL HOME LN MTG CORP 0.500% Due 04-17-15	AAA	AA+	100.36	1,003,600.00	100.02	1,000,188.00	2,277.78	1,002,465.78	1.21	0.07	0.0
3,000,000.0000	313312fv7	FEDL FARM CRED BK CONS DISC NT 0.000% Due 05-20-15	AAA	AA+	99.95	2,998,569.17	99.99	2,999,829.00	0.00	2,999,829.00	3.62	0.04	0.1
1,500,000.0000	3130a2av8	FEDERAL HOME LOAN BANKS 0.125% Due 06-04-15	AAA	AA+	99.99	1,499,865.00	99.99	1,499,832.00	609.38	1,500,441.38	1.81	0.19	0.2
2,500,000.0000	313588gz2	FEDERAL NATL MTG ASSN DISC NT 0.000% Due 06-17-15	AAA	AA+	99.91	2,497,677.78	99.99	2,499,675.00	0.00	2,499,675.00	3.01	0.06	0.2
3,500,000.0000	3130a0pe4	FEDERAL HOME LOAN BANKS 0.260% Due 07-23-15	AAA	AA+	100.06	3,502,240.00	100.03	3,501,109.50	1,718.89	3,502,828.39	4.22	0.16	0.3
5,500,000.0000	313588jy2	FEDERAL NATL MTG ASSN DISC NT 0.000% Due 08-03-15	AAA	AA+	99.93	5,496,186.69	99.97	5,498,218.00	0.00	5,498,218.00	6.63	0.09	0.3
5,500,000.0000	313396lp6	FEDL HOME LN MTG CORP DISC NT 0.000% Due 09-11-15	AAA	AA+	99.87	5,492,760.63	99.94	5,496,760.50	0.00	5,496,760.50	6.63	0.13	0.5
5,500,000.0000	313371nw2	FEDERAL HOME LOAN BANKS 1.375% Due 12-11-15	AAA	AA+	100.91	5,549,940.00	100.79	5,543,180.50	23,107.64	5,566,288.14	6.68	0.24	0.7
10,000,000.0000	912828uw8	UNITED STATES TREAS NTS 0.250% Due 04-15-16	AAA	AA+	99.57	9,957,048.00	99.95	9,994,530.00	11,538.46	10,006,068.46	12.05	0.30	1.0

FIXED INCOME PORTFOLIO
Alameda County Transportation Commission
ACTIA 2000 Measure B
Account # N001UNB1
March 31, 2015

Quantity	Security Symbol	Security	Moody	S & P	Unit Cost	Total Cost	Price	Market Value	Accrued Interest	Total Market Value	Pct Assets	Yield To Mat	Dur- ation
25,000,000.0000	3137eadq9	FEDERAL HOME LN MTG CORP 0.500% Due 05-13-16	AAA	AA+	100.11	25,027,500.00	100.13	25,031,725.00	47,916.67	25,079,641.67	30.19	0.39	1.1
						64,024,598.94		64,065,033.50	87,168.81	64,152,202.31	77.26	0.26	0.8
TOTAL PORTFOLIO						83,064,283.87		82,923,888.85	157,866.46	83,081,755.31	100.00	0.31	0.7

FIXED INCOME PORTFOLIO
Alameda County Transportation Commission
Project Fund
Account # N001UNB3
 March 31, 2015

Quantity	Security Symbol	Security	Moody	S & P	Unit Cost	Total Cost	Price	Market Value	Accrued Interest	Total Market Value	Pct Assets	Yield To Mat	Dur- ation
CASH													
	61747c70s	MORGAN STANLEY GOVERNMENT INST				1,422,824.57		1,422,824.57		1,422,824.57	5.28		0.0
CORPORATE BONDS													
4,000,000.0000	94974beu0	WELLS FARGO CO MTN BE 3.625% Due 04-15-15	A2	A+	103.58	4,143,280.00	100.08	4,003,352.00	66,861.11	4,070,213.11	14.87	1.35	0.0
COMMERCIAL PAPER													
2,000,000.000	36959jtb9	GENERAL ELEC CAP CORP COML PAPER 0.000% Due 06-11-15	P-1	A-1+	99.88	1,997,687.22	99.96	1,999,200.00	0.00	1,999,200.00	7.42	0.20	0.2
GOVERNMENT BONDS													
7,500,000.0000	313384dz9	FEDL HOME LOAN BK CONS DISC NT 0.000% Due 04-06-15	AAA	AA+	99.99	7,499,065.00	100.00	7,499,962.50	0.00	7,499,962.50	27.85	0.03	0.0
4,000,000.0000	313588gz2	FEDERAL NATL MTG ASSN DISC NT 0.000% Due 06-17-15	AAA	AA+	99.91	3,996,284.44	99.99	3,999,480.00	0.00	3,999,480.00	14.85	0.06	0.2
8,000,000.0000	912828vn7	UNITED STATES TREAS NTS 0.250% Due 07-31-15	AAA	AA+	100.08	8,006,562.50	100.05	8,003,752.00	3,314.92	8,007,066.92	29.72	0.11	0.3
						19,501,911.94		19,503,194.50	3,314.92	19,506,509.42	72.43	0.07	0.2
TOTAL PORTFOLIO						27,065,703.73		26,928,571.07	70,176.03	26,998,747.10	100.00	0.27	0.2

FIXED INCOME PORTFOLIO
Alameda County Transportation Commission
Interest Fund
Account # N001UNB2
March 31, 2015

Quantity	Security Symbol	Security	Moody	S & P	Unit Cost	Total Cost	Price	Market Value	Accrued Interest	Total Market Value	Pct Assets	Yield To Mat	Duration
CASH													
	61747c70s	MORGAN STANLEY GOVERNMENT INST				260,973.36		260,973.36		260,973.36	1.76		0.0
CORPORATE BONDS													
1,000,000.0000	713448ca4	PEPSICO INC 0.700% Due 08-13-15	A1	A-	100.48	1,004,800.00	100.18	1,001,769.00	933.33	1,002,702.33	6.76	0.22	0.4
950,000.0000	17275rac6	CISCO SYS INC 5.500% Due 02-22-16	A1	AA-	109.62	1,041,409.00	104.39	991,657.50	5,660.42	997,317.92	6.70	0.56	0.9
1,000,000.0000	084664bx8	BERKSHIRE HATHAWAY FIN CORP 0.950% Due 08-15-16	AA2	AA	100.76	1,007,570.00	100.45	1,004,468.00	1,213.89	1,005,681.89	6.78	0.62	1.4
1,000,000.0000	69353rcg1	PNC BK N A PITTSBURGH PA 1.125% Due 01-27-17	A2	A	100.06	1,000,550.00	100.34	1,003,365.00	2,000.00	1,005,365.00	6.77	0.94	1.8
950,000.0000	478160aq7	JOHNSON & JOHNSON 5.550% Due 08-15-17	AAA	AAA	115.02	1,092,709.00	110.93	1,053,806.50	6,737.08	1,060,543.58	7.12	0.88	2.2
						5,147,038.00		5,055,066.00	16,544.72	5,071,610.72	34.13	0.65	1.3
GOVERNMENT BONDS													
1,800,000.0000	912828vu1	UNITED STATES TREAS NTS 0.375% Due 08-31-15	AAA	AA+	100.21	1,803,796.88	100.11	1,801,969.20	586.96	1,802,556.16	12.17	0.11	0.4
1,800,000.0000	912828b82	UNITED STATES TREAS NTS 0.250% Due 02-29-16	AAA	AA+	99.82	1,796,695.31	99.99	1,799,859.60	391.30	1,800,250.90	12.15	0.26	0.9
1,800,000.0000	912828vr8	UNITED STATES TREAS NTS 0.625% Due 08-15-16	AAA	AA+	100.15	1,802,671.88	100.30	1,805,344.20	1,398.48	1,806,742.68	12.19	0.41	1.4
1,800,000.0000	912828b74	UNITED STATES TREAS NTS 0.625% Due 02-15-17	AAA	AA+	99.75	1,795,429.67	100.16	1,802,953.80	1,398.48	1,804,352.28	12.17	0.54	1.9
1,540,000.0000	912828tm2	UNITED STATES TREAS NTS 0.625% Due 08-31-17	AAA	AA+	98.58	1,518,163.28	99.82	1,537,232.62	836.96	1,538,069.58	10.38	0.70	2.4
750,000.0000	912828ur9	UNITED STATES TREAS NTS 0.750% Due 02-28-18	AAA	AA+	98.00	734,970.70	99.67	747,539.25	489.13	748,028.38	5.05	0.86	2.9
						9,451,727.72		9,494,898.67	5,101.31	9,499,999.98	64.11	0.43	1.5
TOTAL PORTFOLIO						14,859,739.08		14,810,938.03	21,646.03	14,832,584.06	100.00	0.50	1.4

ALAMEDA COUNTY TRANSPORTATION COMMISSION
1986 MEASURE B
ATTN: LILY BALINTON
1111 BROADWAY, SUITE 800
OAKLAND, CA 94607

Subject: CDARS® Customer Statement

Legal Account Title: ALAMEDA COUNTY TRANSPORTATION COMMISSION
1986 MEASURE B

Below is a summary of your certificate(s) of deposit, which we are holding for you as your custodian. These certificate(s) of deposit have been issued through CDARS by one or more FDIC-insured depository institutions. Should you have any questions, please contact us at **510-723-5855**, send an email to privatebanking@fremontbank.com, or visit our website at <http://www.fremontbank.com/>.

Summary of Accounts Reflecting Placements Through CDARS

Account ID	Effective Date	Maturity Date	Interest Rate	Opening Balance	Ending Balance
1016779551	06/26/14	06/23/16	0.55%	\$2,005,703.78	\$2,005,703.78
TOTAL				\$2,005,703.78	\$2,005,703.78

ACCOUNT OVERVIEW

Account ID: 1016779551
Product Name: 2-YEAR PUBLIC FUND CD
Interest Rate: 0.55%
Account Balance: \$2,005,703.78

Effective Date: 06/26/14
Maturity Date: 06/23/16
YTD Interest Paid: \$0.00
Interest Accrued: \$2,721.72
Int Earned Since Last Stmt: \$937.86

The Annual Percentage Yield Earned is 0.55%.

CD Issued by BB&T

YTD Interest Paid:	\$0.00	02/28/15	OPENING BALANCE	\$233,664.49
Interest Accrued:	\$317.08	03/31/15	ENDING BALANCE	\$233,664.49
Int Earned Since Last Stmt:	\$109.26			

CD Issued by East West Bank

YTD Interest Paid:	\$0.00	02/28/15	OPENING BALANCE	\$233,664.49
Interest Accrued:	\$317.08	03/31/15	ENDING BALANCE	\$233,664.49
Int Earned Since Last Stmt:	\$109.26			

CD Issued by EverBank

YTD Interest Paid:	\$0.00	02/28/15	OPENING BALANCE	\$233,664.49
Interest Accrued:	\$317.08	03/31/15	ENDING BALANCE	\$233,664.49
Int Earned Since Last Stmt:	\$109.26			

CD Issued by Grandpoint Bank

YTD Interest Paid:	\$0.00	02/28/15	OPENING BALANCE	\$161,289.32
Interest Accrued:	\$218.87	03/31/15	ENDING BALANCE	\$161,289.32
Int Earned Since Last Stmt:	\$75.42			

CD Issued by Mutual of Omaha Bank

YTD Interest Paid:	\$0.00	02/28/15	OPENING BALANCE	\$233,664.49
Interest Accrued:	\$317.08	03/31/15	ENDING BALANCE	\$233,664.49
Int Earned Since Last Stmt:	\$109.26			

CD Issued by The Park National Bank

YTD Interest Paid:	\$0.00	02/28/15	OPENING BALANCE	\$208,763.03
Interest Accrued:	\$283.29	03/31/15	ENDING BALANCE	\$208,763.03
Int Earned Since Last Stmt:	\$97.62			

CD Issued by Wallis State Bank

YTD Interest Paid:	\$0.00	02/28/15	OPENING BALANCE	\$233,664.49
Interest Accrued:	\$317.08	03/31/15	ENDING BALANCE	\$233,664.49
Int Earned Since Last Stmt:	\$109.26			

CD Issued by Western Alliance Bank

YTD Interest Paid:	\$0.00	02/28/15	OPENING BALANCE	\$233,664.49
Interest Accrued:	\$317.08	03/31/15	ENDING BALANCE	\$233,664.49
Int Earned Since Last Stmt:	\$109.26			

CD Issued by WesBanco Bank, Inc.

YTD Interest Paid:	\$0.00	02/28/15	OPENING BALANCE	\$233,664.49
Interest Accrued:	\$317.08	03/31/15	ENDING BALANCE	\$233,664.49
Int Earned Since Last Stmt:	\$109.26			

Thank you for your business.

ALAMEDA COUNTY TRANSPORTATION COMMISSION
2000 MEASURE B
ATTN: LILY BALINTON
1111 BROADWAY, SUITE 800
OAKLAND, CA 94607

Subject: CDARS® Customer Statement

Legal Account Title: ALAMEDA COUNTY TRANSPORTATION COMMISSION
2000 MEASURE B

Below is a summary of your certificate(s) of deposit, which we are holding for you as your custodian. These certificate(s) of deposit have been issued through CDARS by one or more FDIC-insured depository institutions. Should you have any questions, please contact us at **510-723-5855**, send an email to privatebanking@fremontbank.com, or visit our website at <http://www.fremontbank.com/>.

Summary of Accounts Reflecting Placements Through CDARS

Account ID	Effective Date	Maturity Date	Interest Rate	Opening Balance	Ending Balance
1016779683	06/26/14	06/25/15	0.55%	\$2,000,000.00	\$2,000,000.00
TOTAL				\$2,000,000.00	\$2,000,000.00

ACCOUNT OVERVIEW

Account ID: 1016779683
Product Name: 52-WEEK PUBLIC FUND CD
Interest Rate: 0.55%
Account Balance: \$2,000,000.00

Effective Date: 06/26/14
Maturity Date: 06/25/15
YTD Interest Paid: \$0.00
Interest Accrued: \$8,425.54
Int Earned Since Last Stmt: \$937.91

The Annual Percentage Yield Earned is 0.55%.

CD Issued by Bank 7

YTD Interest Paid:	\$0.00	02/28/15	OPENING BALANCE	\$52,000.00
Interest Accrued:	\$219.06	03/31/15	ENDING BALANCE	\$52,000.00
Int Earned Since Last Stmt:	\$24.39			

CD Issued by First Independence Bank

YTD Interest Paid:	\$0.00	02/28/15	OPENING BALANCE	\$243,500.00
Interest Accrued:	\$1,025.81	03/31/15	ENDING BALANCE	\$243,500.00
Int Earned Since Last Stmt:	\$114.19			

CD Issued by John Marshall Bank

YTD Interest Paid:	\$0.00	02/28/15	OPENING BALANCE	\$243,500.00
Interest Accrued:	\$1,025.81	03/31/15	ENDING BALANCE	\$243,500.00
Int Earned Since Last Stmt:	\$114.19			

CD Issued by RepublicBankAz, N.A.

YTD Interest Paid:	\$0.00	02/28/15	OPENING BALANCE	\$243,500.00
Interest Accrued:	\$1,025.81	03/31/15	ENDING BALANCE	\$243,500.00
Int Earned Since Last Stmt:	\$114.19			

CD Issued by S&T Bank

YTD Interest Paid:	\$0.00	02/28/15	OPENING BALANCE	\$243,500.00
Interest Accrued:	\$1,025.81	03/31/15	ENDING BALANCE	\$243,500.00
Int Earned Since Last Stmt:	\$114.19			

CD Issued by Sierra Vista Bank

YTD Interest Paid:	\$0.00	02/28/15	OPENING BALANCE	\$243,500.00
Interest Accrued:	\$1,025.81	03/31/15	ENDING BALANCE	\$243,500.00
Int Earned Since Last Stmt:	\$114.19			

CD Issued by The PrivateBank and Trust Company

YTD Interest Paid:	\$0.00	02/28/15	OPENING BALANCE	\$243,500.00
Interest Accrued:	\$1,025.81	03/31/15	ENDING BALANCE	\$243,500.00
Int Earned Since Last Stmt:	\$114.19			

CD Issued by Tri-State Bank of Memphis

YTD Interest Paid:	\$0.00	02/28/15	OPENING BALANCE	\$243,500.00
Interest Accrued:	\$1,025.81	03/31/15	ENDING BALANCE	\$243,500.00
Int Earned Since Last Stmt:	\$114.19			

CD Issued by United Bank

YTD Interest Paid:	\$0.00	02/28/15	OPENING BALANCE	\$243,500.00
Interest Accrued:	\$1,025.81	03/31/15	ENDING BALANCE	\$243,500.00
Int Earned Since Last Stmt:	\$114.19			

Thank you for your business.

Fiscal Year 2014-2015 Calendar of CWC Meetings and Activities

*CWC meets quarterly on the second Monday from 6:30 to 8:30 p.m.
at the Alameda CTC offices*

July 14, 2014 CWC Meeting

- Public Hearing on CWC Annual Report
- Address Public Comments
- Finalize Annual Report and Publications
- CWC Watch List for FY 2014-2015 (send letter to Jurisdictions reminding them of keeping CWC informed on projects/programs)

September 2014

- Email CWC members the FY2013-14 Year End Investment Report the same day it goes to the Finance and Administration Committee (FAC)

October 2014

- CWC Post-Audit Subcommittee Meeting

October 27, 2014 CWC Meeting (formerly held in November)

- FY2013-14 Comprehensive Audited Financial Report
- FY2013-14 Year End Investment Report
- CWC Annual Report Outreach Summary and Publication Costs Update
- Update on Program Compliance Workshop
- FY2013-14 Annual Local Business Enterprise/Small Local Business Enterprise Utilization Report
- Quarterly Alameda CTC Commission Action Items

November 2014

- Email CWC members the FY2014-15 First Quarter Investment Report the same day it goes to the Finance and Administration Committee (FAC)

January 12, 2015 CWC Meeting

- Measure B Audit and Compliance Reports – Forwarded to CWC without Staff Analysis
- Measure B Projects and Programs Overview/Update
- CWC Annual Report Outreach Summary and Cost Benefit Analysis
- Quarterly Alameda CTC Commission Action Items
- FY2014-15 First Quarter Investment Report

February 2015

- Email to CWC members the FY2014-15 Second Quarter Investment Report the same day it goes to the FAC

Note: Quarterly investment reports are sent via email to all CWC members as soon as they are available

Fiscal Year 2014-2015 Calendar of CWC Meetings and Activities

*CWC meets quarterly on the second Monday from 6:30 to 8:30 p.m.
at the Alameda CTC offices*

March 9, 2015 CWC Meeting

- Projects and Programs Watch list
- Establish CWC Annual Report Subcommittee
- Establish CWC Audit Subcommittee
- FY2014-15 Second Quarter Investment Report
- Quarterly Alameda CTC Commission Action Items

April 2015 CWC Annual Report Subcommittee Meeting

- Prepare Draft Annual Report

May 2015

- Email to CWC members the FY2014-15 Third Quarter Investment Report
the same day it goes to the FAC

June 8, 2015 CWC Meeting

- Finalize Draft Annual Report
- Draft Compliance Summary and Audit Report
- FY2014-15 Mid-Year Budget Update
- FY2014-15 Final Sales Tax Budget Update
- FY2014-15 Third Quarter Investment Report
- Quarterly Alameda CTC Commission Action Items

June 2015

- Draft Compliance Summary and Audit Report (link to report is emailed to members)
- CWC Pre-Audit Subcommittee Meeting

Note: A link to the Draft CWC Compliance Summary and Audit Report is sent via email as soon as the report is available

Alameda County Transportation Commission
Citizens Watchdog Committee
Roster - Fiscal Year 2014-2015

11.6

	Title	Last	First	City	Appointed By	Term Began	Re-apptmt.	Term Expires	Mtgs Missed Since July '14*
1	Mr.	Paxson, Chair	James	Pleasanton	East Bay Economic Development Alliance	Apr-01		N/A	0
2	Ms.	Taylor, Vice Chair	Deborah	Oakland	Alameda County Supervisor Wilma Chan, D-3	Jan-13		Jan-15	1
3	Ms.	Brown	Cheryl	Oakland	Alameda Labor Council (AFL-CIO)	Apr-15		Apr-17	0
4	Ms.	Dorsey	Cynthia	Oakland	Alameda County Mayors' Conference, D-5	Jan-14		Jan-16	1
5	Ms.	Hamlat	Sandra	Oakland	Bike East Bay	Apr-13		N/A	0
6	Mr.	Hastings	Herb	Dublin	Paratransit Advisory and Planning Committee	Jul-14		N/A	0
7	Ms.	Hawley	Miriam	Berkeley	League of Women Voters	Apr-14		N/A	2
8	Mr.	Jones	Steven	Dublin	Alameda County Mayors' Conference, D-1	Dec-12	Jan-15	Jan-17	0
9	Mr.	Lester	Brian	Pleasanton	Alameda County Supervisor Scott Haggerty, D-1	Sep-13		Sep-15	4
10	Ms.	Lew	Jo Ann	Union City	Alameda County Mayors' Conference, D-2	Oct-07	Sep-13	Sep-15	0
11	Mr.	McCalley	Murphy	Castro Valley	Alameda County Supervisor Nate Miley, D-4	Feb-15		Feb-17	0
12	Mr.	Naté	Glenn	Union City	Alameda County Supervisor Richard Valle, D-2	Jan-15		Jan-17	0
13	Ms.	Piras	Pat	San Lorenzo	Sierra Club	Jan-15		Jan-17	0
14	Ms.	Saunders	Harriette	Alameda	Alameda County Mayors' Conference, D-3	Jul-09	Jul-14	Jul-16	0
15	Mr.	Tucknott	Robert A.	Pleasanton	Alameda County Mayors' Conference, D-4	Jun-14		Jun-16	1

Alameda County Transportation Commission
Citizens Watchdog Committee
Roster - Fiscal Year 2014-2015

16	Mr.	Zukas	Hale	Berkeley	Alameda County Supervisor Keith Carson, D-5	Jun-09	May-14	May-16	2
17		Vacancy			Alameda County Taxpayers Association				

**Alameda CTC Commission
Action Items Log
FY 2014-15**

11.7

Commission Meeting Date	Item #	Item Description / Staff Recommendation	Ayes	Nay	Count
July 24, 2014	6.1	Approval of June 26, 2014 Minutes	26	0	26-0
	6.2	I-580 Corridor High Occupancy Vehicle Lane Projects (PN 720.5 /724.4/724.5): Monthly Progress Report			
	6.3	I-580 Express Lane Projects (PN 720.4/724.1): Monthly Progress Report			
	6.4	I-580 Express Lanes Education and Outreach Update			
	6.5	Congestion Management Program (CMP): Summary of Alameda CTC's Review and Comments on Environmental Documents and General Plan Amendments			
	6.6	Countywide Goods Movement Plan Performance Measures			
	6.7	Resolution of Support for Regional Active Transportation Program Grant application for East Bay Greenway Project			
	6.8	California Transportation Commission June 2014 Meeting Summary			
	6.9	Public Transportation Modernization, Improvement, and Service Enhancement Account FY2014-15 Allocation Request			
	6.10	I-680 Sunol Express Lane – Northbound Project (PN 721.0): Allocation of \$1 million in Measure B funding to ACTIA 08B			
	6.11	I-880/Mission Blvd. (Route 262) Interchange Completion Project (PN 501.0): Contract with Alameda County Public Works Agency			
	6.12	Webster Street SMART Corridor Project (PN 740.0): Contract Amendments to the Professional Services Agreements with TJKM Transportation Consultants, Inc. and Harris and Associates			
	6.13	I-880 North Safety and Operational Improvements at 23rd and 29th Avenues Project (PN 717.0): Reallocation of Measure B Funds and Authorization to Encumber Right of Way Phase Funds			
	9.3	Resolution of Necessity Hearing- Consideration of Adoption of Resolutions of Necessity Authorizing Filing of Eminent Domain Action to Acquire Real Property Interests for the State Route 84	18	0	18-0

**Alameda CTC Commission
Action Items Log
FY 2014-15**

Commission Meeting Date	Item #	Item Description / Staff Recommendation	Ayes	Nay	Count
September 25, 2014	6.1	Approval of July 24, 2014 Minutes	21	0	21-0
	6.2	I-580 Corridor High Occupancy Vehicle/Express Lane Projects (PN 720.4/720.5/724.1/724.4/724.5): Monthly Progress Report			
	6.3	Congestion Management Program: Summary of Alameda CTC's Review and Comments on Environmental Documents and General Plan Amendments			
	6.4	2014 Update to the Alameda County Priority Development Area (PDA) Investment and Growth Strategy			
	6.5	Alameda CTC Annual Report Including the Vehicle Registration Fee Program			
	6.6	California Transportation Commission August 2014 Meeting Summary			
	6.7	FY 2014-15 Transportation Fund For Clean Air (TFCA) Program			
	6.8	Measure B Special Transportation for Seniors and People with Disabilities (Paratransit) Gap Grant Cycle 5 Funding			
	6.9	Regional Measure 2 Program Update			
	6.10	I-580 Express Lanes Project (PN 720.4/724.1): Contract Amendments to Professional Services Agreements with Electronic Transaction Consultants Corporation (Agreement No. A09-007 and Agreement No. A13-00921)			
	6.11	Route 84 – Expressway Widening (624.1/624.2): Contract Amendment to the Professional Services Agreement (Agreement No. A05-004) with URS Corporation			
	6.12	I-880/Broadway - Jackson Interchange Improvements Project(610.0): Professional Services Contract for the Project Approval and Environmental Document (PA/ED) Phase			
	6.13	Time Extension Only Amendments			
	6.14	Alameda CTC FY13-14 Year-End Investment Report			
	6.15	Update on Banking Services Analysis and Activities - Local Banks			
	6.16	Community Advisory Appointments			
	6.17	Legislative Update			
	9.2	Multimodal Plans Update	20	0	20-0

**Alameda CTC Commission
Action Items Log
FY 2014-15**

Commission Meeting Date	Item #	Item Description / Staff Recommendation	Ayes	Nay	Count
October 23, 2014	6.1	Approval of September 25, 2014 Minutes	21	0	21-0
	6.2	I-580 Express Lanes Education and Outreach Schedule			
	6.3	I-580 Corridor High Occupancy Vehicle/Express Lane Projects (PN 720.4/720.5/724.1/724.4/724.5): Monthly Progress Report			
	6.4	Congestion Management Program: Summary of Alameda CTC's Review and Comments on Environmental Documents and General Plan Amendments			
	6.5	Legislative Update			
	6.6	Congestion Management Program (CMP): Elements, Scope, and Schedule for the 2015 CMP Update and Implementation of Travel Demand Management and Annual Conformity Findings			
	6.7	Lifeline Transportation Program Cycle 4 Guidelines			
	6.8	Safe Routes to Schools Program Annual Report			
	6.9	East Bay Greenway Project - Coliseum BART to 85th Avenue (635.1): Amendment to the Memorandum of Understanding (MOU) A12-0029 with San Francisco Bay Area Rapid Transit District and the City of Oakland			
	6.1	I-880 Southbound HOV Lane Project (730.1/730.2): Hardscape Component			
	6.11	Various Projects: Time Extension Only Amendments			
	6.12	Alameda CTC's participation in the Special District Risk Management Authority (SDRMA) Risk Management Program			
	6.13	Community Advisory Appointments			
	8.3	Comprehensive Investment Plan	26	0	26-0

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Commission Meeting Date	Item #	Item Description / Staff Recommendation	Ayes	Nay	Count
December 4, 2014	6.1	Approval of October 23, 2014 meeting minutes	25	0	25-0
	6.2	I-580 Corridor High Occupancy Vehicle/Express Lane Projects (PN 720.4/720.5/724.1/724.4/724.5): Monthly Progress Report			
	6.3	Congestion Management Program (CMP): Summary of Alameda CTC's Review and Comments on Environmental Documents and General Plan Amendments			
	6.4	Alameda CTC's Comprehensive Investment Plan Project Selection Methodology			
	6.5	California Transportation Commission October Meeting Summary			
	6.6	Alameda CTC At Risk Monitoring Reports			
	6.7	CMA TIP Program: Vasco Road Project and ARRA Local Street and Road Project Exchange Agreements			
	6.8	East Bay Greenway Project: Corridor Planning and Coliseum BART to 85th Avenue Construction (PN 635.1)			
	6.9	I-680 Northbound Express Lane Project (PN 721.0): Contract Amendment to the Professional Services Agreement (Agreement No. A11-0034) with WMH Corporation			
	6.1	I-880 Operational and Safety Improvements at 23rd and 29th Avenue Project (PN 717.0): Amendment No. 7 to the Professional Services Agreement with RBF Consulting (Agreement No. A10-013)			
	6.11	I-80 Gilman Interchange (PN 765.0): Contract Amendment to the Professional Services Agreement (Agreement No. A10-012) with PB Americas, Inc.			
	6.12	I-880/Broadway-Jackson Interchange Improvement Project (PN 610.0): Project Approval and Environmental Document (PA&ED) Phase			
	6.13	Alameda CTC Staff and Retiree Benefits for Calendar Year 2015 and Salaries for Fiscal Year 2015-2016			
	6.14	Various Projects: Time Extension Only Amendments			
	6.15	Alameda CTC FY2014-15 First Quarter Financial Report			
	6.16	Alameda CTC FY2014-15 First Quarter Investment Report			
	6.17	FY2013-14 Annual Contract Equity Utilization Report			
	6.18	Community Advisory Appointments			
	8.2	Legislative Program Update			
	9.1	Approval of the Alameda CTC FY2013-14 Draft Audited Comprehensive Annual Financial Report	25	0	25-0
	10.1	Pursuant to Government Code Section 54957: Public Employee Performance Evaluation: Executive Director	25	0	25-0

**Alameda CTC Commission
Action Items Log
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Commission Meeting Date	Item #	Item Description / Staff Recommendation	Ayes	Nay	Count
January 29, 2015	4.1	Election of Chair and Vice Chair	26	0	26-0
	6.1	Approval of December 5, 2014 meeting minutes	27	0	27-0
	6.2	I-580 Corridor High Occupancy Vehicle/Express Lane Projects (PN 720.4/720.5/724.1/724.4/724.5): Monthly Progress Report			
	6.3	I-580 Express Lanes Education, Outreach and Regional Coordination			
	6.4	Congestion Management Program (CMP): Summary of the Alameda CTC's Review and Comments on Environmental Documents and General Plan Amendments			
	6.5	BART Downtown Berkeley Station Project: STIP Amendment			
	6.6	East Bay Greenway Project: Corridor Planning			
	6.7	Various Projects: Time Extension Only Amendments			
	6.8	One Bay Area Grant (OBAG) Update and Next Steps			
	6.9	Agreements with the State Board of Equalization to Administer and Operate the Measure BB			
	6.10	Alameda CTC 2015 Meeting Schedule			
	6.11	FY2015-16 Administration Support Professional Services Contracts			
	6.12	Plan and Amendment to the FY2014-15 Administration Support Professional Services Contracts			
	8.4	Community Advisory Appointments			
	10.1	Alameda CTC's Comprehensive Investment Plan Draft Project Selection Criteria	24	0	
February 26, 2015		Consideration of Adoption of Resolution of Necessity Authorizing Filing of Eminent Domain Action to Acquire Real Property Interests for the I-880 North Safety and Operational Improvements at 23rd and 29th Avenues Project (PN 717.0) (A minimum of 18 affirmative Commissioners' (not weighted) votes required)	20	0	
	6.1	Approval of January 29, 2015 meeting minutes	25	0	25-0
	6.2	I-580 Corridor High Occupancy Vehicle/Express Lane Projects (PN 720.4/720.5/724.1/724.4/724.5): Monthly Progress Report			
	6.3	I-580 Express Lane: Business Rules Update			
	6.4	Congestion Management Program (CMP): Summary of Alameda CTC's Review and Comments on Environmental Documents and General Plan Amendments			
	6.5	Legislative Update			
	6.6	Countywide Multimodal Arterial Plan Vision, Goals and Performance Measures			
	6.7	Countywide Multimodal Arterial Plan Draft Arterial Network Selection Criteria			
	6.8	2016 Alameda Countywide Transportation Plan (CTP) and 2016 Plan Bay Area Updates			

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Commission Meeting Date	Item #	Item Description / Staff Recommendation	Ayes	Nay	Count
	6.9	California Transportation Commission January 2015 Meeting Summary			
	6.10	Route 84 – Expressway Widening (624.2): Cooperative Agreement with California Department of Transportation (Caltrans) for Construction of the Project			
	6.11	Draft Master Programs Funding Agreement for Measure BB Direct Local Distribution Funds			
	6.12	Paratransit Program: Revised Funding Formula and Guidelines			
	6.13	Transportation Fund for Clean Air (TFCA) FY 2015-16 Expenditure Plan and Resolution			
	6.14	Alameda County Three Year Project Initiation Document Work Plan			
	6.15	CMA TIP Programming Adjustments			
	6.16	Proposition 1B Transit System Safety, Security and Disaster Response Account (TSSSDRA) Funds			
	6.17	Alameda CTC FY2014-15 Second Quarter Investment Report			
	6.18	Alameda CTC Contracting and Procurement Policy and Procedures, Local Business Contract Equity Program, and Summary of Active Administrative, Professional Services and Construction Contracts			
	6.19	Community Advisory Appointments			
	8.1	Most Congested Corridors in Alameda County			
March 26, 2015	6.1	Approval of February 26, 2015 meeting minutes	27	0	27-0
	6.2	I-580 Corridor High Occupancy Vehicle/Express Lane Projects (PN 720.4/720.5/724.1/724.4/724.5): Monthly Progress Report			
	6.3	I-580 Express Lanes: Outreach and Education Update			
	6.4	I-580 Express Lane Toll Evasion Violation Process			
	6.5	Congestion Management Program (CMP): Summary of Alameda CTC's Review and Comments on Environmental Documents and General Plan Amendments			
	6.6	Countywide Transit Plan Preliminary Vision, Goals, and performance measures			
	6.7	Countywide Goods Movement Plan Needs Assessment			
	6.8	Alameda CTC's Comprehensive Investment Plan FY 15/16 Measure BB 2-Year Allocation Plan	24	0	24-0
	6.9	Lifeline Cycle 4 Transportation Program	27	0	27-0
	6.10	Countywide Bicycle/Pedestrian Planning and Promotion Measure B Funding Request			
	6.11	I-80 Gilman Interchange (PN 765.0): Preliminary Design and Environmental Studies (PAED) and Final Design Service Phases			

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Commission Meeting Date	Item #	Item Description / Staff Recommendation	Ayes	Nay	Count
April 23, 2015	6.12	I-880 Southbound HOV Lane Project (PN 730.1): Contract Amendment to Professional Services Agreement No. A08-017.WMH with WMH Corporation			
	6.13	FY2014-15 Mid-Year Budget Update			
	6.14	Alameda CTC FY2014-15 Second Quarter Financial Report			
	9.1	Legislative Update	27	1	27-1
	6.1	Approval of March 26, 2015 meeting minutes	27	0	27-0
	6.2	I-580 Eastbound and Westbound Express Lanes (724.4/724.5): Reimbursable Service Agreement with California Highway Patrol (CHP)			
	6.3	I-580 Corridor High Occupancy Vehicle/Express Lane Projects (PN 720.4/720.5/724.1/724.4/724.5): Monthly Progress Report			
	6.4	Congestion Management Program (CMP): Summary of Alameda CTC's Review and Comments on Environmental Documents and General Plan Amendments			
	6.5	California Transportation Commission March 2015 Meeting Summary			
	6.6	Measure BB Master Programs Funding Agreements with Direct Local Distribution Funds Recipients			
	6.7	Measure BB Funding Agreements for Measure BB Allocations Approved in March 2015			
	6.8	Measure BB Affordable Student Transit Pass Program			
	6.9	Alameda CTC Transportation Fund for Clean Air (TFCA) FY 2015-16 Program Guidelines			
	6.10	I-580 Corridor Projects: Cooperative Agreement Amendments with Caltrans for Additional Funding for Express Lane Implementation			
	6.11	I-680 Northbound Express Lane Project (PN 721.0): 2000 Measure B Funding Allocation, Cooperative Agreement, Contract Amendment and two (2) Request for Proposal (RFP) Actions			
	6.12	Webster Street SMART Corridor Project (PN 740.0): Construction Contract Acceptance (Alameda CTC Resolution 15-016)			
	6.13	Administrative Amendments to Various Project Agreements			
	6.14	Contract Amendments to Administrative Professional Services Contracts with Acumen Building Enterprise, Inc., GenSpring Family Offices, LLC, and Vavrinek, Trine, Day, & Co., LLP			
	6.15	Amendment to the Alameda CTC Health Reimbursement Arrangement (HRA) Plan for Retirees			
	6.16	Community Advisory Appointment			
	9.1	Legislative Update			

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