



Citizens Watchdog Committee Meeting Agenda

Monday, June 10, 2013, 6:30 to 8:30 p.m.
1333 Broadway, Suite 300, Oakland, CA 94612

Meeting Outcomes:

- Elect officers for fiscal year 2013-2014 (FY 13-14), approve the CWC Bylaws, and review of agenda/calendar for FY 13-14
- Approve the draft CWC Annual Report
- Establish a CWC Audit Subcommittee
- Sign up to watch Alameda CTC projects and programs
- Receive responses to CWC requests for information and identify issues
- Receive updates on Alameda CTC activities
- Receive staff reports and updates on Commission actions

6:30 – 6:35 p.m.	1. Welcome and Introductions	
6:35 – 6:40 p.m.	2. Public Comment	I
6:40 – 6:45 p.m.	3. Approval of March 11, 2013 Minutes <i><u>03 CWC Meeting Minutes 031113.pdf</u> – Page 1</i>	A
6:45 – 6:55 p.m.	4. Election of Officers for FY 13-14, Approval of CWC Bylaws, and Review of Agenda/Calendar for FY 13-14 <i><u>04 CWC Bylaws.pdf</u> – Page 7</i> <i><u>04A CWC Calendar FY13-14.pdf</u> – Page 15</i>	A
6:55 – 7:15 p.m.	5. Approval of Draft CWC Annual Report <i><u>05 Draft CWC Annual Report.pdf</u> – Emailed under separate cover</i>	A
7:15 – 7:25 p.m.	6. Establishment of CWC Audit Subcommittee, Confirmation of Meeting Availability with Auditor Between June 10 and June 21, and Discussion of Areas of Interest	I
7:25 – 7:30 p.m.	7. Projects and Programs Watchlist (sign up for projects and programs) – Handout at meeting	I
7:30 – 7:35 p.m.	8. Staff Responses to CWC Requests for Information <i>The CWC members did not request any additional information.</i>	I

- 7:35 – 7:40 p.m. **9. CWC Member Reports/Issues Identification** |
09 CWC Issues Identification Process and Form.pdf – Page 17
- 7:40 – 8:20 p.m. **10. Alameda CTC Activity Reports**
 A. Final Strategic Plan Review
 10A Strategic Plan FY13-14.pdf – Page 21
 B. Revised Sales Tax Revenues Projection for Fiscal Year 2012-2013
 10B AlamedaCTC Sales Tax Revenue ProjectionFY1213 Report.pdf – Page 45
 C. Proposed Budget for FY 13-14
 10C AlamedaCTC Proposed Budget Report.pdf – Page
 D. Quarterly Investment Report: FY 12-13 Third Quarter Report
 10D Quarterly Investment Report FY12-13.pdf – Page 55
- 8:20 – 8:30 p.m. **11. Staff Reports/Board Actions**
 A. One Bay Area Grant Program Update
 B. General Items
 11B Alameda CTC Action Items.pdf – Page 67
 11B1 CWC Calendar FY12-13.pdf – Page 77
 11B2 CWC Roster.pdf – Page 79
- 8:30 p.m. **12. Adjournment**

Key: A – Action Item; I – Information/Discussion Item; full packet available at www.alamedactc.org

Next Meeting:

Date: July 8, 2013

Time: 6:30 to 8:30 p.m.

Location: Alameda CTC Offices, 1333 Broadway, Suite 300, Oakland, CA 94612

Staff Liaisons

Tess Lengyel, Deputy Director of Policy, Public Affairs and Legislation, (510) 208-7428, tlengyel@alamedactc.org

Patricia Reavey, Director of Finance, (510) 208-7422, preavey@alamedactc.org

Angie Ayers, Public Meeting Coordinator, (510) 208-7450, aayers@alamedactc.org

Location Information: Alameda CTC is located in Downtown Oakland at the intersection of 14th Street and Broadway. The office is just a few steps away from the City Center/12th Street BART station. Bicycle parking is available inside the building, and in electronic lockers at 14th and Broadway near Frank Ogawa Plaza (requires purchase of key card from bikelink.org). There is garage parking for autos and bicycles in the City Center Garage (enter on 14th Street between Broadway and Clay). Visit the Alameda CTC website for more information on how to get to the Alameda CTC: <http://www.alamedactc.com/directions.html>.

Public Comment: Members of the public may address the committee regarding any item, including an item not on the agenda. All items on the agenda are subject to action and/or change by the committee. The chair may change the order of items.

Accommodations/Accessibility: Meetings are wheelchair accessible. Please do not wear scented products so that individuals with environmental sensitivities may attend. Call (510) 893-3347 (Voice) or (510) 834-6754 (TTD) five days in advance to request a sign-language interpreter.



Alameda CTC Citizens Watchdog Committee Meeting Minutes
Monday, March 11, 2013, 6:30 p.m., 1333 Broadway, Suite 300, Oakland

Attendance Key (A = Absent, P = Present)

Members:

<u>P</u> James Paxson, Chair	<u>P</u> Mike Dubinsky	<u>P</u> Bill Klinke
<u>P</u> Harriette Saunders, Vice Chair	<u>A</u> Arthur Geen	<u>P</u> Jo Ann Lew
<u>A</u> Petra Brady	<u>P</u> James Haussener	<u>P</u> Deborah Taylor
	<u>P</u> Steve Jones	<u>P</u> Hale Zukas

Staff:

<u>P</u> Arthur L. Dao, Executive Director	<u>P</u> Patricia Reavey, Director of Finance
<u>P</u> John Hemiup, Senior Transportation Engineer	<u>P</u> Matt Todd, Principal Transportation Engineer
<u>P</u> Tess Lengyel, Deputy Director of Policy, Public Affairs and Legislation	<u>P</u> Angie Ayers, Acumen Building Enterprise, Inc.
	<u>P</u> John Nguyen, Hatch Mott MacDonald

1. Welcome and Introductions

James Paxson, CWC Chair, called the meeting to order at 6:30 p.m. The meeting began with introductions and meeting outcomes. James welcomed new members Bill Klinke and Deborah Taylor to the committee.

2. Public Comment

There were no public comments.

3. Approval of January 14, 2013 Minutes

Mike Dubinsky moved to approve the minutes as written. Steve Jones seconded the motion. The motion carried 7-0 with two abstentions: Bill Klinke and Deborah Taylor.

4. Compliance Summary Report to CWC

Matt Todd explained that Alameda CTC staff and CWC members submitted comments on jurisdictions and the agencies' financial audits and Program Compliance Reports for fiscal year (FY) 11-12. Staff submitted the comments to the agencies and the responses to the comments from the agencies are in Attachment 04A. John Hemiup reviewed the draft Pass-through Fund Compliance Summary Report in detail.

The CWC will receive the final Compliance Summary Report in June, and some of the information in the report will be used in the CWC's annual report to the public.

Questions/feedback from the members:

Generally, the CWC members believed that the agencies complied with providing the Alameda CTC with accurate and thorough information. The members discussed the vagueness of some of the agencies' responses in regards to spending the Measure B funds in a timely manner. Staff mentioned that requiring the agencies to comply with the timely use of funds policy is new, and Alameda CTC will continue to monitor and work with the agencies to this policy is implemented appropriately.

The members also discussed the validity of the East Bay Paratransit (EBP) statement that the Americans with Disability Act (ADA) population is by definition fragile. Harriette Saunders and Hale Zukas explained the EBP rules for allowing people with disabilities and a companion/caregiver to ride on EBP buses, which is that an attendant can ride for free if accompanying a paying patron.

Additional questions and comments:

- It was noted that AC Transit and BART had a zero balance for Measure B funds. CWC members stated that the agencies need to include balance sheets even if the balance is zero.
- Members suggested staff clarify the numbers in the Executive Summary when other Measure B funds, in particular capital funds, are explained.
- A suggestion was made to include all numbers in the spreadsheet on page 5 of the Executive Summary.
- A suggestion was made to change local streets and roads on the Executive Summary to local transportation to make it more accurately depict the eligible uses of these funds.
- Does Alameda CTC have an understanding of how each agency will spend their Measure B funds, including the current and future funds? Yes, staff stated that the itemized descriptions each agency provides cover the ending balance for FY 11-12 and Alameda CTC gave the agencies a set of standard assumptions for revenue for FY 12-13.
- Can the Alameda CTC remind the agencies to spend down the Measure B funds and state the consequences if they do not in the quarterly e-newsletter? Staff stated that the purpose of the quarterly e-newsletter is to keep the public informed about Alameda CTC activities, projects and programs. Staff also reported that Alameda CTC meets with the jurisdictions and agencies monthly in the Alameda County Technical Advisory Committee meeting and staff can use that meeting as a mechanism to monitor the Compliance Report and remind agencies of the policies in the Master Program Funding Agreements.

To streamline the presentation of comments in the future, James Paxson requested staff to show initial comments, the agency response, Alameda CTC response and CWC responses side by side in a large spreadsheet.

5. Establishment of CWC Annual Report Subcommittee

James Paxson suggested that the CWC Annual Report Subcommittee generate a draft report and bring it back to the full CWC committee at the next meeting. A recommendation was made earlier to change the format of the report or make it a quarterly report. He suggested the subcommittee deal with how the report will be crafted. The following five CWC members volunteered for the subcommittee:

- Mike Dubinsky
- Jo Ann Lew
- James Paxson
- Harriette Saunders
- Hale Zukas

James stated that staff will contact the subcommittee members with a meeting date. He requested the CWC members submit any new ideas for the annual report they may have via email to him and Tess Lengyel.

6. Mid-Year Budget Update

Patricia Reavey gave an update on the Alameda CTC mid-year budget for FY 12-13. She mentioned that the budget revenue is \$113.8 million of which \$112 million is from sales tax revenues. Patricia stated that the Finance and Administration Committee approved the mid-year budget for FY 12-13.

Questions/feedback from members:

Patricia explained the items that generated the budget numbers on pages 201, 203 and 205 in the agenda packet.

- On page 201 in the agenda packet, change the heading “projected Beginning Fund Balance” to Actual Beginning Fund Balance.

7. Quarterly Investment Report: FY 12-13 First Quarter Report

Patricia reviewed the Alameda CTC Consolidated FY 12-13 First Quarter Investment Report with the committee. She stated the two key points are that the investments are in compliance with the adopted investment policies and the Alameda CTC has sufficient cash flow to meet expenditure requirements over the next six months as required by law. A member inquired as to the status of the long-term investments: When they mature will the Alameda CTC be able to reinvest at the same favorable interest rate?? Staff said that the Alameda CTC will not be able to reinvest at those favorable rates for two reasons: 1) The market has changed since those investments were made so the current investment returns are lower, and 2) Alameda CTC plans to use the investment funds for projects in the near term so investments are kept very short-term which also limits the return available on new investments.

8. CWC Member Reports/Issues Identification

James Paxson explained the Issues Identification process detailed on page 222 of the agenda packet.

9. Staff Responses to CWC Requests for Information

James Paxson requested staff to move this agenda item before the CWC Member Reports/Issues Identification going forward.

Mike Dubinsky submitted written comments on the Compliance and Audit Reports dealing with the Paratransit Pass-through fund. Alameda CTC staff provided Mike with a response via email. Both the comments from Mike and the response from staff are in the agenda packet on pages 225 and 226.

Matt informed the committee that some of the questions raised by Mike were included in the Compliance Report Request for Information Letter sent to AC Transit and BART. He also mentioned that Alameda CTC staff met with representatives from both agencies. Matt gave the committee an overview of ADA paratransit services and EBP. He stated that the ADA mandates that a direct substitute for transit is needed for people who are unable to use regularly scheduled transit services (also called fixed-route services) because of a disability or a disabling health condition.

EBP provides ADA services that are comparable to the fixed-route service in the areas where AC Transit and/or BART operate. EBP transports riders from their origin to their destination in vehicles equipped to handle wheelchairs or in a sedan. EBP was established by AC Transit and BART to meet the requirements of the ADA. EBP services are provided during the hours of AC Transit's bus and BART's rail operations. Service is limited to areas within three-quarters of a mile of an operating bus route or BART station. EBP cannot charge more than twice the amount of the fixed-route trip and they can't prioritize the trips.

Matt explained in detail the relationship among East Bay Paratransit and AC Transit and BART. He stated that EBP is one of the largest ADA paratransit providers in the area. Matt mentioned that EBP operates in areas of Alameda County, and some areas of West Contra Costa County, where AC Transit and BART operate. Within each city there are Measure B-funded programs, also known as city-based programs, and these Measure B-funded programs are non-ADA mandated. The city-based programs provide services for seniors and people with disabilities using shuttles, taxi programs, volunteer drivers programs (door through door services) and shopping programs. Matt informed the committee that the total number of paratransit trips in Alameda County is 948,000 of which EBP handles 754,000. Measure B funds \$6.1 million of a \$34 million budget for EBP.

Questions/feedback from the members:

- Does BART perform the audits? Staff stated BART audits the EBP rates to confirm they are in the correct range. Alameda CTC does not have copies of those audits.

- Is there an agency to fine the paratransit agency if it is not in compliance? AC Transit and BART are responsible for the service and are responsible for monitoring the contractor.

10. Staff Reports/Board Actions

A. Projects and Programs Watchlist Update

Tess Lengyel stated the projects and programs watchlist is in the agenda packet for information and the CWC members will sign up in June to watch projects and programs. James Paxson informed the committee that the watch list is an opportunity for members to watch projects and programs that are of interest to them. He encouraged the members to review the list on pages 229 and 230 and submit any applicable updates to Angie Ayers.

B. One Bay Area Grant Program Update

Matt Todd gave an update on the One Bay Area Grant (OBAG) Funding Program. Alameda County's estimated share of the OBAG funding is \$63 million of federal funds over four fiscal years. For Alameda County, 70 percent of the OBAG funding must be used for transportation projects that support Priority Development Areas and 30 percent of the OBAG funds may be programmed for transportation projects anywhere else in the county. Matt informed the committee that a call for projects was issued in February and the applications were due on Friday, March 15. He noted the projects will need to comply with OBAG and federal funding requirements as well as the local criteria that Alameda CTC will use to evaluate projects in Alameda County.

A member inquired if Alameda CTC will partially fund as many projects as possible or fully fund the best projects? Staff stated that Alameda CTC will fully fund the best projects completely in order to make a difference for the entire county.

Tess Lengyel stated that as an OBAG requirement all jurisdictions must adopt a Complete Streets Policy. The OBAG Program is also included in the Alameda CTC Master Program Funding Agreements. The 15 jurisdictions must finalize and adopt their Complete Streets Policy by April 1 to be eligible for the OBAG Program.

C. General Items

James Paxson suggested the members review the annual calendar and submit recommendations to him and Tess Lengyel before the next meeting.

A member inquired if Alameda CTC has tours to view completed projects and/or projects in progress? Staff stated in the past the agency has done bus tours to view projects. The other thing the agency has done is to have a Transportation Forum in each planning area of the county on a quarterly basis with the Citizens Advisory Committee (CAC). Staff explained that the attendance has greatly decreased for the Transportation Forums and Alameda CTC is reassessing what will be done next.

A member inquired if anyone has reviewed the pre- and post-traffic data when projects are completed on major corridors to determine the actual return on the money? Art Dao stated that Alameda CTC conducts Level of Service (LOS) Monitoring studies every other year which and studies freeway segments and counts the number of cars in real time to review congestion on major highways. A report is generated every other year for this process. He noted Alameda CTC is not required by regulations to do a benefit-to-cost analysis after the fact.

11. Adjournment/Next Meeting

The meeting adjourned at 8:30 p.m. The next meeting is scheduled for June 10, 2013 at the Alameda CTC offices.



Citizens Watchdog Committee Bylaws

Article 1: Definitions

1.1 Alameda County Transportation Commission (Alameda CTC). Alameda CTC is a joint powers authority resulting from the merger of the Alameda County Congestion Management Agency ("ACCMA") and the Alameda County Transportation Improvement Authority ("ACTIA"). The 22-member Alameda CTC Commission ("Commission") is comprised of the following representatives:

- 1.1.1** All five Alameda County Supervisors.
- 1.1.2** Two City of Oakland representatives.
- 1.1.3** One representative from each of the other 13 cities in Alameda County.
- 1.1.4** A representative from Alameda-Contra Costa Transit District ("AC Transit").
- 1.1.5** A representative from San Francisco Bay Area Rapid Transit District ("BART").

1.2 Alameda County Transportation Improvement Authority (ACTIA). The governmental agency previously responsible for the implementation of the Measure B half-cent transportation sales tax in Alameda County, as approved by voters in 2000 and implemented in 2002. Alameda CTC has now assumed responsibility for the sales tax.

1.3 Appointing Party. A person or group designated to appoint committee members.

1.4 At-Large Member. One of the 10 CWC members representing supervisorial districts as described in Section 3.1.1 below.

1.5 Bicycle and Pedestrian Advisory Committee (BPAC). The Alameda CTC Committee that reviews all competitive applications submitted to Alameda CTC for the Bicycle and Pedestrian Safety funds, along with the development and updating of the Alameda Countywide Pedestrian and Bicycle Plans. Serving as the countywide BPAC, the Committee also provides input on countywide educational and promotional programs, and other projects of countywide significance.

1.6 Brown Act. California's open meeting law, the Ralph M. Brown Act, California Government Code, Sections 54950 *et seq.*

1.7 Citizens Advisory Committee (CAC). The Alameda CTC Committee that serves as a liaison group between the Alameda CTC and the members' respective communities. Appointed by the ACTIA Board or the Commission, the CAC keeps the Commission informed of the progress of Measure B

programs and projects, and discusses and brings local community transportation concerns to the Commission, as well as provides feedback to members' respective communities.

1.8 Citizens Watchdog Committee (CWC or "Committee"). The Alameda CTC Committee of individuals created by the ACTIA Board, as required by Measure B, with the assistance of the League of Women Voters and other citizens groups, and continued by the Commission. The Committee reports directly to the public and is charged with reviewing all expenditures of the agency. Citizens Watchdog Committee members are private citizens who are not elected officials at any level of government, nor individuals in a position to benefit in any way from the sales tax.

1.9 Expenditure Plan. The plan for expending Transportation sales tax (Measure B) funds, presented to the voters in 2000, and implemented in 2002.

1.10 Executive Director. The chief executive staff member of Alameda CTC who reports directly to the Commission.

1.11 Fiscal Year. July 1 through June 30.

1.12 Measure B. The measure approved by the voters authorizing the half-cent sales tax for transportation services now collected and administered by the Alameda CTC and governed by the Expenditure Plan. The sales tax authorized by Measure B began on April 1, 2002 and extends through March 31, 2022.

1.13 Measure B Program. Transportation or transportation-related program specified in the Expenditure Plan for funding on a percentage-of-revenues basis or grant allocation.

1.14 Measure B Project. Transportation and transportation-related capital projects specified in the Expenditure Plan for funding in the amounts allocated in the Expenditure Plan.

1.15 Organizational Meeting. The annual regular meeting of the CWC in preparation for the next fiscal year's activities.

1.16 Organizational Member. One of the seven CWC members representing organizations as described in Section 3.1.2 below.

1.17 Paratransit Advisory and Planning Committee (PAPCO). The Alameda CTC Committee that meets to address funding, planning, and coordination issues regarding paratransit services in Alameda County. Members must be an Alameda County resident and an eligible user of any transportation service available to seniors and people with disabilities in Alameda County. PAPCO is supported by a Technical Advisory Committee comprised of Measure B-funded paratransit providers in Alameda County.

1.18 Planning Area. Geographic groupings of cities and of Alameda County for planning and funding purposes. North County: Alameda, Albany, Berkeley, Emeryville, Oakland, Piedmont; Central

County: Hayward, San Leandro, unincorporated county (near Hayward); South County: Fremont, Newark, Union City; East County: Dublin, Livermore, Pleasanton, the unincorporated area of Sunol.

Article 2: Purpose and Responsibilities

2.1 Committee Purpose. The Committee is appointed pursuant to Measure B to review all expenditures of the Measure B half-cent transportation sales tax measure and to report directly to the public.

2.2 Committee Roles and Responsibilities from Expenditure Plan. As defined by the Measure B Expenditure Plan, the roles and responsibilities of the Committee are to:

2.2.1 Hold public hearings and issue reports, on at least an annual basis, to inform Alameda County residents how the funds raised by the Measure B Tax are being spent.

2.2.2 Have full access to the Agency's independent auditor and will have authority to request and review specific information and to comment on the auditor's reports.

2.2.3 Publish an annual report and any comments concerning the audit report in local newspapers and to make copies of the report available to the public at large.

2.3 Additional Responsibilities. Additional CWC member responsibilities are to:

2.3.1 Communicate from time to time to the Alameda CTC by resolution suggestions and concerns pertinent to the administration and expenditure of Measure B funds.

2.3.2 Communicate as necessary to recommend that an appointing party appoint a new member when there is a vacancy or upcoming end of term.

Article 3: Members

3.1 Number of Members. The CWC will consist of 17 members.

3.1.1 Ten members shall be at-large, two each representing the five supervisorial districts in Alameda County, one of the two nominated by a member of the Board of Supervisors and one of the two selected by the Alameda County Mayors' Conference.

3.1.2 Seven of the members shall be nominated by the seven organizations specified in the Expenditure Plan: Alameda County Economic Development Alliance for Business, Alameda County Labor Council, Alameda County Taxpayers' Association, Alameda County Paratransit Advisory and Planning Committee, East Bay Bicycle Coalition, League of Women Voters, and Sierra Club.

3.2 Appointment. The Commission will make appointments in the following manner:

3.2.1 Each member of the Alameda County Board of Supervisors shall select one At-Large Member to represent his or her supervisorial district.

3.2.2 The Alameda County Mayors' Conference shall select one At-Large Member to represent each of the five supervisorial districts.

3.2.3 Each organization listed in Section 3.1.2 above shall, subject to approval by the Commission, select one organizational member.

3.3 Membership Qualification. Each CWC member shall be an Alameda County resident. A CWC member shall not be an elected official at any level of government; or be a public employee of any agency that oversees or benefits from the proceeds of the Measure B Tax; or have any economic interest in any project or program.

3.4 Membership Term. Appointments shall be for two-year terms. There is no maximum number of terms a member may serve. Members shall serve until the Commission appoints their successors.

3.5 Attendance. Members will actively support committee activities and regularly attend meetings. Accordingly, more than three absences during a fiscal year may be cause for removal from the Committee. However, a member removed from the Committee may be reappointed by an appointing party.

3.6 Termination. A member's term shall terminate on the occurrence of any of the following:

3.6.1 The member voluntarily resigns by written notice to the chair or Alameda CTC staff.

3.6.2 The member fails to continue to meet the qualifications for membership, including attendance requirements.

3.6.3 The member becomes incapable of continuing to serve.

3.6.4 The appointing party or the Commission removes the member from the Committee.

3.7 Vacancies. An appointing party shall have the right to appoint (subject to approval by the Commission) a person to fill the vacant member position. Alameda CTC shall be responsible for notifying an appointing party of such vacancy and for urging expeditious appointment of a new member, as appropriate.

Article 4: Officers

4.1 Officers. The CWC shall annually elect a chair and vice chair. Each officer must be a duly appointed member of the CWC.

4.1.1 Duties. The chair shall preside at all meetings and will represent the CWC before the Commission to report on CWC activities. The chair shall serve as an ex-officio member of all subcommittees except a nominating subcommittee (when the CWC discusses the chair position). The vice chair shall assume all duties of the chair in the absence of, or on the request of the chair.

4.2 Office Elections. Officers shall be elected by the members annually at the Organizational Meeting or as necessary to fill a vacancy. An individual receiving a majority of votes by a quorum shall be deemed to have been elected and will assume office at the meeting following the election. In the event of multiple nominations, the vote shall be by ballot. Officers shall be eligible for re-election indefinitely.

Article 5: Meetings

5.1 Open and Public Meetings. All CWC meetings shall be open and public and governed by the Brown Act. Public comment shall be allowed at all CWC meetings. The time allotted for comments by a member of the public in the general public comment period or on any agenda item shall be at the discretion of the chair.

5.2 Regular Meetings. The CWC shall have a regular meeting at least once per quarter. Prior to each Organizational Meeting, the outgoing chair shall cause all members to be canvassed as to their available meeting times and shall recommend the day and time that best accommodates the schedules of all members, giving due regard to accommodating the schedule of any continuing member who has missed meetings due to a conflict in the prior year. Annually, at the Organizational Meeting, CWC shall establish the schedule of regular meetings for the ensuing year. Meeting dates and times may be changed and additional regular meetings scheduled during the year by action of CWC.

5.3 Quorum. For purposes of decision making, a quorum shall consist of at least half (50 percent) plus one of the total number of members appointed at the time a decision is made. Members will not take actions at meetings with less than 50 percent plus one member present. Items may be discussed and information may be distributed on any item even if a quorum is not present.

5.4 Special Meetings. Special meetings may be called by the chair or by a majority of the members requesting the same in writing given to the chair, with copies to the vice chair and the Executive Director, specifying the matters to be considered at the special meeting. The chair or vice chair shall cause notice of a special meeting stating the matters to be considered to be given to all CWC members and posted and published in accordance with the Brown Act.

5.5 Public Hearing. At least annually, prior to publication of CWC's annual report, CWC shall conduct a public hearing on a draft of the CWC annual report. Each public hearing shall be conducted as part of a regular meeting.

5.6 Agenda. All meetings shall have a published agenda. Action may be taken only on items indicated on the agenda as action items. Items for a regular meeting agenda may be submitted by any member to the chair and Alameda CTC staff. The Commission and/or Alameda CTC staff may also submit items for the agenda. Agenda planning meetings are held three weeks prior to each CWC meeting. Alameda CTC staff will notify all CWC members when this meeting is established and remind members to submit any agenda item requests to the chair one day prior to the agenda planning meeting date. At the agenda planning meeting, the chair and Alameda CTC staff will discuss any agenda items submitted to the chair. Every agenda shall include provision for members of the public to address the Committee. The chair and the vice chair shall review the agenda in advance of distribution. Copies of the agenda, with supporting material and the past meeting minutes, shall be mailed to members and any other interested parties who request it. The agenda shall be posted on the Alameda CTC website and office and provided at the meeting, all in accordance with the Brown Act.

5.7 Roberts Rules of Order. The rules contained in the latest edition of "Roberts Rules of Order Newly Revised" shall govern the proceedings of the CWC and any subcommittees thereof to the extent that the person presiding over the proceeding determines that such formality is required to maintain order and make process, and to the extent that these actions are consistent with these bylaws.

5.8 Place of Meetings. CWC meetings shall be held at the Alameda CTC offices, unless otherwise designated by the Committee. Meeting locations shall be within Alameda County, accessible in compliance with the Americans with Disabilities Act of 1990 (41 U.S.C., Section 12132) or regulations promulgated there under, shall be accessible by public transportation, and shall not be in any facility that prohibits the admittance of any person, or persons, on the base of race, religious creed, color, national origin, ancestry, or sex, or where members of the public may not be present without making a payment or purchase.

Article 6: Subcommittees

6.1 Establishment. The CWC may establish subcommittees when and as necessary or advisable to make nominations for office of the CWC, to develop and propose policy on a particular issue, to conduct an investigation, to draft a report or other document, or for any other purpose within the authority of the CWC.

6.2 Membership. CWC members will be appointed to subcommittees by the CWC or by the chair. No subcommittee shall have fewer than three members, nor will a subcommittee have sufficient members to constitute a quorum of the CWC.

Article 7: Records and Notices

7.1 Minutes. Minutes of all meetings, including actions and the time and place of holding each meeting, shall be kept on file at the Alameda CTC office. Alameda CTC staff will prepare draft minutes for each meeting and distribute them for informational purposes only within 21 days of the CWC meeting and will include full minutes in meeting packets prior to each regular CWC meeting.

7.2 Attendance Roster. A member roster and a record of member attendance shall be kept on file at the Alameda CTC office.

7.3 Brown Act. All meetings of the CWC will comply with the requirements of the Brown Act. Notice of meetings and agendas will be given to all members and any member of the public requesting such notice in writing and shall be posted at the Alameda CTC office at least 72 hours prior to each meeting. Members of the public may address the CWC on any matter not on the agenda and on each matter listed on the agenda, pursuant to procedures set by the chair and/or committee.

7.4 Meeting Notices. Meeting notices shall be in writing and shall be issued via U.S. Postal Service, personal delivery, and/or email. Any other notice required or permitted to be given under these bylaws may be given by any of these means.

Article 8: General Matters

8.1 Per Diems. Committee members shall be entitled to a per diem stipend for meetings attended in amounts and in accordance with policies established by the Alameda CTC.

8.2 Conflicts of Interest. A conflict of interest exists when any Committee member has, or represents, a financial interest in the matter before the Committee. Such direct interest must be significant or personal. In the event of a conflict of interest, the Committee member shall declare the conflict, recuse him or herself from the discussion, and shall not vote on that item. Failure to comply with these provisions shall be grounds for removal from the Committee.

8.3 Amendments to Bylaws. These bylaws will be reviewed annually, and may be amended, repealed, or altered, in whole or in part, by a vote taken at a duly-constituted Committee meeting at which a quorum is present.

8.4 Public Statements. No member of the Committee may make public statements on behalf of the Committee without authorization by affirmative vote of the Committee, except the chair, or in his or her place the vice chair, when making a regular report of the Committee activities and concerns to the Alameda CTC. This does not include presentations about the Committee to city councils, which all Committee members have a responsibility to make.

8.5 Conflict with Governing Documents. In the event of any conflict between these bylaws and the July 2000 Alameda County Transportation Expenditure Plan, California state law, or any action

lawfully taken by ACTIA or the Alameda CTC, the Expenditure Plan, state law or the lawful action of ACTIA or the Alameda CTC shall prevail.

8.6 Staffing. Alameda CTC will provide all staffing to the Committee including preparation and distribution of meeting agendas, packets, and minutes; preparation of reports to the Alameda CTC Committees and Commission; tracking of attendance; and stipend administration.

8.7 Financial Interest. Each Committee member shall in a timely manner prepare and file with Alameda CTC a statement of financial interest in the form required by law.

Fiscal Year 2013-2014 Calendar of CWC Meetings and Activities

*CWC meets quarterly on the second Monday from 6:30 to 8:30 p.m.
at the Alameda CTC offices*

July 8, 2013 CWC Meeting

- Public Hearing on CWC Annual Report
- Address Public Comments
- Finalize Annual Report and Publications
- CWC Watch List for FY 2013-2014 (send letter to Jurisdictions reminding them of keeping CWC informed on projects/programs)

September 2013

- Email CWC members the FY2012-13 Year End Investment Report the same time it goes to the Finance and Administration Committee (FAC)

October 2013

- CWC Post-Audit Subcommittee Meeting

November 11, 2013 CWC Meeting

- FY2012-13 Comprehensive Audited Financial Report
- FY2012-13 Year End Investment Report
- FY2013-14 First Quarter Investment Report
- CWC Annual Report Outreach Summary and Publication Costs Update
- Update on Program Compliance Workshop
- Quarterly Alameda CTC Commission Action Items

January 13, 2014 CWC Meeting

- Sponsor Compliance Audits and Reports – Forwarded to CWC without Staff Analysis
- Projects and Programs Overview/Update
- Project Sponsor Presentations – if requested
- Quarterly Alameda CTC Commission Action Items

February 2014

- Email to CWC members the FY2013-14 Second Quarter Investment Report the same time it goes to the FAC

March 10, 2014 CWC Meeting

- Summary of Sponsor Audits/Programs – Report Card to CWC
- Establish CWC Annual Report Subcommittee
- Draft Compliance Summary and Audit Report
- FY2013-14 Mid Year Budget Update
- FY2013-14 Second Quarter Investment Report
- Quarterly Alameda CTC Commission Action Items
- Project Sponsor Presentations – if requested

Note: Quarterly investment reports are sent via email to all CWC members as soon as they are available

Fiscal Year 2013-2014 Calendar of CWC Meetings and Activities

*CWC meets quarterly on the second Monday from 6:30 to 8:30 p.m.
at the Alameda CTC offices*

April 2014 CWC Annual Report Subcommittee Meeting

- Prepare Draft Annual Report

May 2014

- Email to CWC members the FY2013-14 Third Quarter Investment Report the same time it goes to the FAC

June 9, 2014 CWC Meeting

- Finalize Draft Annual Report
- Election of Officers
- Approval of Bylaws
- Approval of FY 2014-2015 Annual Calendar
- Final Strategic Plan
- FY2013-14 Final Budget Update
- Proposed Budget for FY2014-15
- FY2013-14 Third Quarter Investment Report
- Quarterly Alameda CTC Commission Action Items
- Project Sponsor Presentations – if requested

June 2014

- CWC Pre-Audit Subcommittee Meeting



Citizens Watchdog Committee Issues Identification Process

Summary

This issues identification process outlines the responsibilities of the Citizens Watchdog Committee (CWC) and the process to bring and address issues of concern to the CWC.

CWC Responsibilities

The Citizen Watchdog Committee is charged with the following as written in the Expenditure Plan approved by voters in November 2000:

“This committee will report directly to the public and will be charged with reviewing all expenditures of the Agency [Alameda County Transportation Commission (Alameda CTC)].” The responsibilities of the committee are to:

- Hold public hearings and issue reports, on at least an annual basis, to inform Alameda County residents how the funds are being spent. The hearings are open to the public and must be held in compliance with the Brown Act, California’s open meeting law, with well-publicized information announcing the hearings posted in advance.
- Have full access to the Commission’s independent auditor and authority to request and review specific information and to comment on the auditor’s reports.
- Publish an annual report and any comments concerning the Commission’s audit in the local newspapers. In addition, copies of these documents must be made available to the public at large.

The Commission also allows the CWC to fulfill its mission by requesting information directly from Measure B fund recipients.

Review Process

The goals for any review of projects and programs by the CWC are to report to the public and make recommendations to the Alameda CTC staff and Board. To this end, the tasks for the CWC to focus on: 1) proper expenditure of Measure B funds; 2) the timely delivery of projects per contract agreements and the Expenditure Plan; and 3) adherence to the projects or programs as defined in the voter-approved Expenditure Plan.

CWC Issues Identification Process

During the review process, CWC members will use the following procedures:

1. Issues that are raised by CWC members regarding financial and contract compliance issues may be legitimate topics to pursue through the request of a project or program sponsor to appear before the CWC.
2. Before calling on a sponsor to appear, CWC members must submit a “CWC Issues Form” (attached) to the CWC chair or vice-chair for placement on the agenda at the next CWC meeting.
3. CWC members may also submit CWC Issues Forms during a meeting, which the chair will take into consideration, and at his or her discretion, address at that particular meeting.
4. The full CWC must approve issues identified in a CWC Issues Form to address in further detail by an affirmative vote.
5. CWC members may form an ad-hoc subcommittee to draft CWC questions that need answers from the project/program sponsors and to receive a presentation from a project or program sponsor specifically addressing the issues, questions, or concerns raised by the CWC.
6. The subcommittee should consider the resources listed below, either in preparation for the review meeting, or for examination during the meeting.

The reviews are expected to be organized, thorough and efficient, and may result in a clear recommendation for further action, if needed.

Resources for CWC (not inclusive)

- Adopted Measure B Expenditure Plan (blue book)
- Up-to-date list of project/program sponsors contacts
- Alameda CTC staff responsible for oversight of the project/program
- Information about public hearings, recent discussions, or news clippings provided by Alameda CTC staff to the CWC by mail or at meetings
- Other Alameda CTC community advisory committees (for example, the Citizens Advisory Committee, Paratransit Advisory and Planning Committee, or Bicycle and Pedestrian Advisory Committee chair-persons may be called on to address an issue.)
- Alameda CTC Auditor (for example, to request, “Are these figures reasonable/reliable?”)
- Alameda CTC Executive Director (for example, to request “Is this the intention of the Expenditure Plan?”)
- Alameda CTC Attorney (for example, to determine, “Is this a legal issue?”)

CITIZENS WATCHDOG COMMITTEE ISSUES FORM

Alameda County Transportation Commission (Alameda CTC)
1333 Broadway, Suite 300
Oakland, California 94612
Voice: 510-893-3347 Fax: 510-893-6489

The CWC is required to review all Measure B expenditures. This form allows formal documentation of potential issues of concern regarding expenditure of Measure B funds. A concern should only be submitted to the CWC if an issue is directly related to the potential misuse of Measure B funds or non-compliance with Alameda CTC agreements or the Expenditure Plan approved by voters. This form may be used only by acting CWC members.

Date: _____

Name: _____

Email Address: _____

Governmental Agency of Concern (Include name of agency and all individuals)

Agency's Phone Number: _____

Agency's Address: _____

City _____ **Zip Code:** _____

Which one of the following Measure B expenditures is this concern related to:

(Please check one)

☐ Capital Project ☐ Program ☐ Program Grant ☐ Administration

Please explain the nature of your concern and how you became aware of it providing as much detail as you can, including the name of the project or program, dates, times, and places where the issues you are raising took place. (Use additional sheets of paper if necessary)

PROCESS -

PROTECTION -

Action Taken - Please list other parties or agencies you have contacted in an attempt to more fully understand this issue and any actions you yourself have taken.



Memorandum

DATE: May 15, 2013

TO: Alameda County Transportation Commission

FROM: Programs and Projects Committee

**SUBJECT: Approval of FY 2013/14 Measure B Capital Program Strategic Plan
Allocation Plan Update and Assumptions**

Recommendation

It is recommended that the Commission approve the following actions related to Measure B capital funding and the FY 2013/14 Measure B Capital Program Strategic Plan Update (SPU):

1. Approve the assumptions described herein as the basis for the development of the FY 2013/14 Measure B Capital Program Strategic Plan Update;
2. Approve the reallocation \$3.1M of allocated 2000 Measure B funding between sub-projects under the Congestion Relief Emergency Fund Project (ACTIA No. 27). The funds have been allocated, but not yet encumbered for expenditure for the Studies of Congested Segments/Locations on the CMP Network Project (ACTIA No. 27E), and will be reallocated to the I-880 Corridor Improvements in Oakland and San Leandro Project (ACTIA 27C);
3. Confirm the Measure B commitments to the individual capital projects included in the 1986 and 2000 Measure B Capital Programs and to previously approved advances, exchanges and loans; and,
4. Approve the Allocation Plans for the 1986 and 2000 Measure B Capital Programs.

Summary

The FY 2013/14 Measure B Strategic Plan Update (FY13/14 SPU) addresses both the 1986 Measure B Capital Program and the 2000 Measure B Capital Program. While the governing boards for each measure have merged, the requirements related to each measure remain in effect and continue to apply to the programming, allocation and expenditure of Measure B funds made available through each of the capital programs. The assumptions related to the FY13/14 SPU are described herein. The attachments to this memorandum consist of the financial information necessary for the fiscal management of the capital program accounts. The attachments include information pertaining to the Measure B commitments to each of the individual capital projects, the anticipated timing of future allocations and expenditures, and the various advances, exchanges and loans currently approved by

the Alameda CTC. The FY13/14 SPU also reflects the shift of \$3.1M of allocated funds between sub-projects under the Congestion Relief Emergency Fund (ACTIA No. 27).

The FY 2013-14 Allocation Plan Update included in the FY13/14 SPU provides the road map for the Measure B capital funding of the remaining capital projects in the 1986 and 2000 Measure B capital programs. It is anticipated that the 2000 Measure B Capital Program will require financing and borrowing in the near-term to provide the Measure B funding to the recipient projects at the time they are needed to reimburse eligible project expenditures incurred by the implementing agencies.

The remaining projects from the 1986 Measure B Capital Program along with all of the capital projects from the 2000 Measure B Capital Program, including completed projects, are summarized in Attachment A.

Discussion and Background

The Alameda CTC updates the Measure B Capital Program Strategic Plan annually to confirm the commitments of Measure B capital projects funding to individual capital projects included in the 1986 Measure B Transportation Expenditure Plan (1986 MB) or in the 2000 Measure B Transportation Expenditure Plan (2000 MB). The 1986 MB and 2000 MB capital programs must continue to adhere to the requirements and policies of the respective Measures. The assumptions incorporated into the FY 2013/14 SPU are divided into three categories:

- Assumptions pertaining to both the 1986 MB and 2000 MB Capital Programs;
- Assumptions pertaining only to the 1986 MB Capital Program; and
- Assumptions pertaining only to the 2000 MB Capital Program.

Assumptions pertaining to both the 1986 MB and 2000 MB Capital Programs

The following assumptions are related to both the 1986 MB and 2000 MB capital programs:

1. The financial accounts and Measure B commitments for both the 1986 MB and 2000 MB Capital Programs will be kept independent for the purposes of the FY 2013/14 SPU;
2. The assumptions related to the timing of the need for Measure B funds for each capital project will be based on existing and anticipated encumbrances of Measure B funds and the most current information available from the project sponsors related to the project status and schedule;
3. Projects will be implemented and funded sequentially in phases as prescribed in the individual Master Project Funding Agreements and other funding agreements in accordance with the adopted capital project funding procedure for each Capital Program;
4. The commitment of Measure B funds for each capital project will reflect the Cost Allocation Policy adopted by the ACTIA Board in October 2009, which allows for the classification of all direct project costs and assignment of these costs to the appropriate capital project;
5. The financing and borrowing assumptions related to providing the Measure B capital funding at the time needed for project delivery include a combination of internal borrowing

between the 1986 MB and 2000 MB Capital Accounts and outside debt financing to maximize the benefits of a favorable financing environment, and to avoid adverse impacts to the delivery of the 1986 MB capital projects to the extent practicable; and

6. Any future advances or exchanges not included in the FY 2013/14 SPU involving Measure B Capital funding will be considered on a case-by-case basis and be the subject of separate actions by the Commission.

Assumptions pertaining only to the 1986 MB Capital Program

The following assumptions are related to the 1986 MB Capital Program:

1. The commitment of 1986 Measure B funds to the remaining capital projects will maintain the commitments approved in the FY 2012/13 Strategic Plan Update. The timing of the anticipated expenditures of the remaining commitments of 1986 Measure B funding have been adjusted to reflect current project status. The remaining commitments are considered fully allocated for the purposes of the adopted funding procedures for Measure B capital projects.
2. The 1986 Measure B commitments to capital projects that are completed or that have begun a fully funded construction phase will be adjusted to reflect the construction phase funding plan. Any unused Measure B funds, i.e. in excess of the amount in the construction phase funding plan including contingency, will be allocated to the 1986 Measure B Capital Projects Contingency Reserve to manage potential risks and liabilities resulting from the implementation of the State Infrastructure Bond funded projects implemented wholly or in part by the Commission, and other projects sponsored by the Commission.
3. The Local Match requirements prescribed by the 1986 MB for individual capital projects will remain in effect;
4. The Alameda CTC currently owns property that was acquired for 1986 MB capital project rights-of-way which is now considered surplus. The FY13/14 SPU assumes that sales of the surplus property will yield \$3.0 million of proceeds in FY 2014-15.

Assumptions pertaining only to the 2000 MB Capital Program

The following assumptions are related to the 2000 MB Capital Program:

1. The FY 2012/13 Ending 2000 Measure B Programmed Balance for each capital project will be derived by deducting any amounts allocated during the current fiscal year, FY 2012/13, from the FY 2012/13 Beginning 2000 Measure B Programmed Balance approved in the FY 2012/13 SPU;
2. The Program Escalation Factor (PEF) used to convert the FY 2012/13 Ending 2000 Measure B Programmed Balance to the FY 2013/14 Beginning 2000 Measure B Programmed Balance will be 1.0;

3. The total 2000 Measure B capital funding commitment to all capital projects will remain at \$756.5 million;
4. The FY13/14 SPU will include an Allocation Plan which lays out specific allocations expected from the remaining 2000 Measure B Programmed Balance for each capital project and will serve as the basis of the program-wide financial model;
5. The cash demand for the remaining 2000 Measure B capital projects will necessitate some type of debt financing or borrowing between the 2000 Measure B Capital Program and the 1986 Measure B Capital Program in the FY 2013/14 timeframe;
6. The financial parameters for future years, such as revenue projections and interest rates, that impact the program-wide financial model will be based on the same parameters approved by the Commission with other agency financial matters, e.g. the FY 2013/14 annual budget and recommendations from the Financing Team assembled to assist with the required debt financing procedures;
7. The \$37.03 million exchange related to the 2012 State Transportation Improvement Program (STIP) and the Route 84 Expressway Widening Project (Project No. ACTIA 24) is reflected in the FY13/14 SPU. The funding for the Route 84 Expressway Widening Project includes \$37.03 million of STIP funding programmed in FY 2016/17. An equivalent amount from the 2000 Measure B Commitment to ACTIA No. 24 will be paid to the Local Fund Exchange Program administered by the Commission and made available to the 13 projects included in the 2012 STIP exchange as approved by the Commission. The exchanged funds will be distributed to the 13 projects through the CMA TIP Program administered by the Commission as shown in Attachment D.
8. The advance of \$8.5 million of Measure B capacity from several capital projects for the I-580 Eastbound HOV/Auxiliary Lane Project and the I-580 Eastbound Express Lanes Project to be repaid from the future toll revenues of the express lane is reflected in the FY13/14 SPU as approved by the Commission in September, 2011. The timing of the advances and the repayments are based on the current project delivery status and schedules of the individual projects involved;
9. The reallocation of \$3.1M of allocated 2000 MB funding between sub-projects under the Congestion Relief Emergency Fund Project (ACTIA No. 27). The funds have been allocated, but not yet encumbered for expenditure to the Studies for Congested Segments/Locations on the CMP Network Project (ACTIA No. 27E), and will be reallocated to the I-880 Corridor Improvements in Oakland and San Leandro Project (ACTIA 27C).

Measure B Capital Programs

The summary of Measure B Capital Projects included in Attachment A shows the total Measure B commitment for the remaining capital projects from the 1986 MB (ACTA) capital program, and all of the capital projects included in the 2000 MB (ACTIA) capital program. The remaining commitments from the 1986 Measure B Capital Account were established primarily through two amendments to the 1986 Expenditure Plan approved in FY 2005/06. The amendments deleted projects that could not be

delivered and redirected the 1986 Measure B commitments for the projects that were deleted to replacement projects.

The total 1986 Measure B commitment for the five individual replacement projects and a program-wide closeout “project” equals \$199.6 million as shown in Attachment A.

The total 2000 Measure B commitment for the 27 projects included in the 2000 Measure B Expenditure Plan is \$756.5 million as shown in Attachment A. One capital project, the I-580 Castro Valley Interchanges Improvements project, has both 1986 MB and 2000 MB funding as shown in Attachment A (ACTA MB 239 and ACTIA No. 12).

1986 Measure B Capital Program

The total commitment of 1986 Measure B funds to the remaining projects included in Attachment A are shown in more detail in Attachment B. Attachment B shows the timing of the anticipated expenditure of the remaining 1986 Measure B commitments. The remaining 1986 Measure B commitments shown in Attachment B are anticipated for the following purposes:

1. I-880 to Mission Boulevard East-West Connector (MB226) – The remaining 1986 Measure B commitment is for completing the on-going design, right-of-way, and utility relocation phases, and for the subsequent construction phase which is currently underfunded.
2. Route 238/Mission-Foothill-Jackson Corridor Improvement (MB238) - The remaining 1986 Measure B commitment is for completing the on-going construction phase and closing out prior phases.
3. I-580/Redwood Road Interchange (MB239) – The 1986 Measure B commitment for this project is a funding contribution to the I-580 Castro Valley Interchange Improvement Project (ACTIA No. 12) included in the 2000 MB Capital Program. The remaining 1986 Measure B commitment is for completing the construction phase, including the three-year landscape maintenance obligation, and closing out prior phases.
4. Central Alameda County Freeway System Operational Analysis (MB240) – The remaining 1986 Measure B commitment is for continuing studies related to improving the Alameda County transportation system. The first phase of the project, which is complete, involved the development of a Local Area Transportation Improvement Program (LATIP) related to the use of proceeds from the sales of properties rendered surplus after the Hayward Bypass Project was removed from local, regional and statewide plans. The LATIP, approved by the California Transportation Commission, includes potential funding for projects within the original 3-corridor study area of the Central Alameda County Freeway System Operational Analysis Project. The next phase of the project includes countywide studies of three key aspects of the transportation system as prioritized by the Alameda CTC: 1) a countywide transit plan; 2) a countywide goods movement plan; and 3) a countywide arterial mobility corridor plan.
5. Castro Valley Local Area Traffic Circulation Improvement (MB 241) – The remaining 1986 Measure B commitment is for the project development, right of way and construction phases.
6. Program-wide and Project Closeout Costs (MB Var) - The Program-wide and Project Closeout Costs include miscellaneous costs related to program-wide activities and post-

construction commitments such as follow up landscaping projects, required landscape maintenance, right-of-way settlements, right-of-way close-out, interagency agreement closeout, etc. Once project construction is closed out, any remaining 1986 Measure B commitment for the project is moved to this line item for budgeting and cashflow purposes until the project is completely closed out financially.

7. The 1986 Measure B commitment to the BART Warm Springs Extension project is fulfilled completely by the 2000 Measure B commitment under project ACTIA No. 02.

2000 Measure B Capital Program

The procedures for managing the 2000 Measure B commitments are primarily based on allocations from the Measure B “Programmed Balance” for each capital project. The original Programmed Balance was established in the 2000 Expenditure Plan, which was used as the basis for establishing the “Initial Programmed Balance” at the beginning of revenue collection in 2002. Since 2002, the Programmed Balance for each capital project has been adjusted each FY using a “Program Escalation Factor” (PEF) typically adopted by the Board with the other Strategic Plan assumptions. During the FY 2009-10 Strategic Plan process, the Board approved a PEF of 1.0 to be used for the remainder of the 2000 Measure B Capital Program, which effectively holds the total 2000 Measure B commitment to the projects in the 2000 MB Capital Program at \$756.5 million.

The total of the commitments of 2000 Measure B funds to the individual projects included in Attachment A are shown in more detail in Attachment C1 and reflect a PEF equal to 1.0 for the FY13/14 SPU. The FY 2013/14 Beginning Programmed Balance for each project is equal to the Remaining Programmed (Un-Allocated) Balance shown in Attachment C1 and represents the amount available for future allocation. Attachment C2 shows the amount expended through December 31, 2012 compared to the total amount allocated for each of the 2000 MB capital projects. The FY 2013/14 2000 Measure B Allocation Plan Schedule shown in Attachment C3 lays out the timing of the anticipated future allocations for the remainder of the 2000 Measure B Capital Program. The future 2000 Measure B allocations are anticipated for the following purpose(s) as shown in the FY 2013/14 2000 Measure B Allocation Plan Notes in Attachment C4:

1. Altamont Commuter Express (ACE) Improvements (ACTIA No. 01) – This project is a programmatic project that funds individual improvements proposed by the San Joaquin Regional Rail Commission which operates the ACE service. The eligible project list is updated regularly. The availability of \$2 million of the remaining Programmed Balance is delayed due to the advance for the I-580 Eastbound HOV/Aux Lane and Express Lane projects approved by the Commission in September 2011.
2. I-680 Sunol Express Lanes – Southbound (ACTIA 08A) - The future 2000 Measure B allocations are anticipated for future operation costs above the toll revenues available for operations as approved by the Commission in December 2012.
3. I-680 Sunol Express Lanes – Northbound (ACTIA 08B) - The future 2000 Measure B allocations are anticipated for project development, system management and integration, right of way and construction phases. The availability of \$4.5 million of the remaining Programmed Balance is delayed due to the advance for the I-580 Eastbound HOV/Aux Lane and Express Lane projects approved by the Commission in September 2011.

4. Iron Horse Transit Route (ACTIA 09) -- The future 2000 Measure B allocations are anticipated for project development, right of way and construction phases.
5. I-880/Route 92/Whitesell Drive Interchange (ACTIA 15) – The future 2000 Measure B allocation is anticipated for the construction phase.
6. Isabel Avenue - Route 84/I-580 Interchange (ACTIA 23) – The future 2000 Measure B allocations are anticipated for projects adjacent to the interchange project. The interchange construction is complete and the inter-agency agreements related to the project funding are being closed out.
7. Dumbarton Corridor Improvements – Newark and Union City (ACTIA 25) - The future 2000 Measure B allocations are anticipated for on-going project development phases and for implementation of potential phased improvements while funding for the planned overall corridor is identified. Future allocations will be made available to implementing agencies, including up to \$1 million for costs incurred directly by the Alameda CTC.

Project expenditures for projects included in the 2000 Measure B Capital Program include expenditures incurred directly by the Commission. The ACTIA Board adopted a Cost Allocation Policy in October 2009, to address the allocation of ACTIA-incurred expenses against project funding. The FY13/14 SPU includes the assumption that the Cost Allocation Policy applies to Commission-incurred expenses in the same fashion as it applied to ACTIA-incurred expenses.

Capital Program Financial Plans for the 1986 and 2000 Measure B Capital Programs

Without an ongoing revenue stream, the commitments of the 1986 MB funds are constrained by the balance of the 1986 MB Capital Accounts and any interest revenue earned until the account is completely drawn down for project expenditures (currently anticipated to occur in the FY 2017/18 timeframe). In other words, the remaining commitments to the 1986 MB Capital Program are constrained by the amount of funding currently “in the bank,” so debt financing will not be needed to provide the remaining 1986 Measure B commitments for the 1986 MB Capital Program. Attachment B shows the 1986 Measure B commitments to the remaining 1986 MB capital projects and the anticipated timing of the drawdowns based on current project schedules.

By the end of the current FY, i.e. June 30, 2013, more than \$709 million of 2000 Measure B funding will be allocated and ready for encumbrance for capital project expenditures (i.e. 94% of the total 2000 Measure B commitment to all capital projects of \$756.5 million). Once the encumbrances, e.g. funding agreements, contracts, etc., for the allocated funds are approved, the Commission will have encumbered more 2000 Measure B funds than can be provided to the projects on a “pay-as-you-go basis.” Current financial analysis shows the 2000 Measure B Capital Program fund balance, based on the assumptions described above without any financing or borrowing, will go negative before the end of FY 2013/14.

The alternative to pay-as-you-go is some type of debt financing or borrowing to effectively make future revenues available sooner to reimburse eligible project expenditures as they are incurred. The amounts encumbered will not be expended immediately. The encumbrances for the larger projects take years to fully expend, but with the encumbrances in place, the financial management of the capital program accounts intensifies. The timing of the anticipated expenditures has a significant effect on the financing options and costs. Current financial analysis indicates a combination of borrowing from the 1986 Measure B Capital Account in the near-term (until the funds are needed for

the 1986 MB capital projects) followed by some type of debt financing from outside sources will be required to provide the 2000 Measure B funding to the capital projects as shown in Attachment D.

Debt Financing for the 2000 Measure B Capital Program

The most likely types of debt financing will involve the issuance of bonds and/or commercial paper. The process for issuing bonds secured by the sales tax, referred to as “limited tax bonds,” is prescribed by the California Public Utilities Commission (PUC) Code and expanded upon in guidelines prepared by the California Debt and Investment Advisory Commission (CDIAC). The required process includes the Commission adopting a resolution authorizing the issuance of bonds. The resolution authorizing the issuance of bonds must address the following (from the PUC):

- The purposes for which the proposed debt is to be incurred, which may include all costs and estimated costs incidental to, or connected with, the accomplishment of those purposes, including, without limitation, engineering, inspection, legal, fiscal agents, financial consultant and other fees, bond and other reserve funds, working capital, bond interest estimated to accrue during the construction period and for a period not to exceed three years thereafter, and expenses of all proceedings for the authorization, issuance, and sale of the bonds.
- The estimated cost of accomplishing those purposes.
- The amount of the principal of the indebtedness.
- The maximum term the bonds proposed to be issued shall run before maturity, which shall not be beyond the date of termination of the imposition of the retail transactions and use tax.
- The maximum rate of interest to be paid, which shall not exceed the maximum allowable by law.
- The denomination or denominations of the bonds, which shall not be less than five thousand dollars (\$5,000).
- The form of the bonds, including, without limitation, registered bonds and coupon bonds, to the extent permitted by federal law, and the form of any coupons to be attached thereto, the registration, conversion, and exchange privileges, if any, pertaining thereto, and the time when all of, or any part of, the principal becomes due and payable.

The resolution may also contain other matters authorized by the applicable PUC Code chapter or any other law.

The process for issuing bonds involves identifying a Financing Team which includes a Financial Advisor, an Underwriter (one or more), and Bond Counsel, to determine the specifics related to the bond issuance required to develop the bond package, market the bonds, sell the bonds and secure the proceeds. Once the bonds are issued, the Commission will be responsible for monitoring and tracking the activities related to the expenditure, investment and accounting of the bond proceeds, including the final accounting. Staff has initiated the process to select consultants to participate on the Financing Team. The Financial Advisor for the Financing Team has been selected.

The project expenditure information provided in the attachments will serve as the basis for the financial analysis and cash management efforts related to determining the method, or methods of debt financing best suited to allow the Commission to fulfill the commitments of 2000 Measure B funding. The focus of the financial analysis and management is to provide the 2000 Measure B commitments

to the capital projects at the time they are needed to reimburse eligible project expenditures incurred by the implementing agencies. Once debt financing is initiated, fluctuations to the timing of the need for Measure B funds will have to be considered in the detailed context of cash management in order to maintain minimum balances required to prioritize obligations stemming from the debt financing.

Fiscal Impact

There is no direct fiscal impact expected to result from the recommended action.

Attachments:

- Attachment A: Summary of Measure B Capital Projects Funding
- Attachment B: 1986 Measure B Capital Project Remaining Commitments and Line Item Attachment Expenditures
- Attachment C1: 2000 Measure B Capital Project Commitment Summary
- Attachment C2: 2000 Measure B Capital Project Allocations and Expended to Date
- Attachment C3: 2000 Measure B Allocation Plan Schedule
- Attachment C4: 2000 Measure B Allocation Plan Notes
- Attachment D1: 2000 Measure B Capital Project Line Item Expenditures
- Attachment D2: 2000 Measure B Capital Program Advances and Repayments
- Attachment D3: 2000 Measure B Capital Program Advances 2012 STIP Exchange Project Detail Sheet

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Summary of Measure B Capital Projects Funding					
May 2013					
Index	Project Title	Project Type (Note 1)	Measure B Project Number	Measure B Funding (\$ x million)	
				1986 MB (ACTA)	2000 MB (ACTIA)
1	I-880 to Mission Blvd East-West Connector	LSR	MB 226	88.8	0.0
2	Route 238/Mission-Foothill-Jackson Corridor Improvement	LSR	MB 238	80.0	0.0
3	Central Alameda County Freeway System Operational Analysis	Hwy	MB 240	5.0	0.0
4	Castro Valley Local Area Traffic Circulation Improvement	LSR	MB 241	5.0	0.0
5	Program-Wide and Project Closeout Costs	Var	MB Var	5.8	0.0
6	Altamont Commuter Express Rail	MT	ACTIA 01	0.0	13.2
7	BART Warm Springs Extension	MT	ACTIA 02	0.0	224.4
8	BART Oakland Airport Connector	MT	ACTIA 03	0.0	89.1
9	Downtown Oakland Streetscape Improvement	BP	ACTIA 04	0.0	6.4
10	Fruitvale Transit Village	MT	ACTIA 05	0.0	4.4
11	Union City Intermodal Station	MT	ACTIA 06	0.0	12.6
12	Telegraph Avenue Bus Rapid Transit	MT	ACTIA 07A	0.0	11.5
13	San Pablo Avenue Corridor Transit	MT	ACTIA 07B	0.0	2.3
14	Telegraph Avenue Rapid Bus Service	MT	ACTIA 07C	0.0	10.7
15	I-680 Sunol Express Lanes - Southbound	Hwy	ACTIA 08A	0.0	15.2
16	I-680 Sunol Express Lanes - Northbound	Hwy	ACTIA 08B	0.0	20.0
17	Iron Horse Transit Route	MT	ACTIA 09	0.0	6.3
18	I-880/Broadway-Jackson Interchange Improvement (Study Only)	Hwy	ACTIA 10	0.0	8.1
19	I-880/Washington Ave I/C	Hwy	ACTIA 11	0.0	1.3
20	I-580 Castro Valley Interchanges Improvements (Note 2)	Hwy	ACTIA 12	15.0	11.5
21	Lewelling/East Lewelling Blvd Widening	LSR	ACTIA 13	0.0	13.1
22	I-580 Auxiliary Lane Westbound (Fallon Road to Tassajara Road)	Hwy	ACTIA 14A	0.0	2.5
23	I-580 Auxiliary Lane Westbound (Airway Blvd to Fallon Road)	Hwy	ACTIA 14B	0.0	2.7
24	I-580 Auxiliary Lane Eastbound (El Charro Road to Airway Blvd) (Note 3)	Hwy	ACTIA 14C	0.0	7.8
25	Route 92/Clawiter - Whitesell Interchange and Reliever Route	Hwy	ACTIA 15	0.0	27.0
26	Oakland Local Streets and Roads	LSR	ACTIA 16	0.0	5.3
27	Hesperian Boulevard/Lewelling Boulevard Widening (Stage 1)	LSR	ACTIA 17A	0.0	0.6
28	Hesperian Boulevard/Lewelling Boulevard Widening (Stage 2) (Note 4)	LSR	ACTIA 17B	0.0	0.7
29	Westgate Parkway Extension (Wal-Mart to Williams Street)	LSR	ACTIA 18A	0.0	7.9
30	Westgate Parkway Extension (Davis Street)	LSR	ACTIA 18B	0.0	0.6
31	East 14th St/Hesperian Blvd/150th St Improvements	LSR	ACTIA 19	0.0	3.2
32	Newark Local Streets	LSR	ACTIA 20	0.0	1.4

Summary of Measure B Capital Projects Funding

April 2013 - Draft

Index	Project Title	Project Type (Note 1)	Measure B Project Number	Measure B Funding (\$ x million)	
				1986 MB (ACTA)	2000 MB (ACTIA)
33	I-238 Widening (Note 3)	Hwy	ACTIA 21	0.0	81.0
34	I-680/I-880 Cross Connector Studies	Hwy	ACTIA 22	0.0	1.2
35	Isabel Avenue - Route 84/I-580 Interchange	Hwy	ACTIA 23	0.0	26.5
36	Route 84 Expressway Widening	Hwy	ACTIA 24	0.0	96.5
37	Dumbarton Corridor Improvements (Newark and Union City) (Study Only)	MT	ACTIA 25	0.0	19.4
38	I-580 Corridor/BART to Livermore Studies	MT	ACTIA 26	0.0	11.8
39	Vasco Road Safety Improvements	LSR	ACTIA 27A	0.0	1.5
40	I-80 Integrated Corridor Mobility Project	Hwy	ACTIA 27B	0.0	2.8
41	I-880 Corridor Improvements in Oakland and San Leandro (Note 5)(Note 6)	Hwy	ACTIA 27C	0.0	5.4
42	CWTP/TEP Development (Study Only)	Hwy	ACTIA 27D	0.0	0.1
43	Studies for Congested Segments/Locations on the CMP Network (Note 5)	Hwy	ACTIA 27E	0.0	0.6
				199.6	756.6

Notes:

1. Project Types: Hwy = Highway; LSR = Local Streets and Roads; MT = Mass Transit; and BP = Bicycle and Pedestrian.
2. The I-580 Castro Valley Interchanges Improvements project is included in both the 1986 MB Program (MB 239) and the 2000 MB Program (ACTIA No. 12). The 1986 MB commitment is treated as a contribution to the 2000 MB project.
3. The 2000 MB commitment for ACTIA No. 14C was exchanged for other funds in the I-580 Corridor. The ACTIA 14C commitment is treated as a contribution to the I-238 Widening Project included in the 2000 MB Program (ACTIA No. 21).
4. The second stage of the Hesperian Boulevard/Lewelling Boulevard Widening project (ACTIA No. 17B) is being implemented with the Lewelling/East Lewelling Blvd Widening project (ACTIA No. 13) by Alameda County.
5. The FY13/14 SPU reflects the shift of \$3.1M of allocated 2000 MB funding from ACTIA No. 27E to ACTIA No. 27C.
6. The I-880 North Safety and Operational Improvements at 23rd and 29th Avenues project and the North and South Segments of the I-880 Southbound HOV Lane project, including follow on landscaping, are eligible for the 2000 MB commitment to the I-880 Corridor Improvements in Oakland and San Leandro project (ACTIA No. 27C).

Attachment A Page 2 of 2

FY 2013/14 Measure B Capital Program Strategic Plan Update
1986 Measure B Capital Project Remaining Commitments and Line Item Expenditures

May 2013

		\$ x 1,000 in the FY Shown (Note 1)						
No.	Project Title	Prior to FY 13/14	FY 13/14	FY 14/15	FY 15/16	FY 16/17	FY 17/18	Totals
MB226	I-880 to Mission Boulevard East-West Connector	\$ 15,334	\$ 5,000	\$ 22,500	\$ 15,000	\$ 25,000	\$ 6,037	\$ 88,871
MB238	Route 238/Mission-Foothill-Jackson Corridor Improvement	\$ 80,000	\$ -	\$ -	\$ -	\$ -	\$ -	\$ 80,000
MB239	I-580/Redwood Road Interchange (contribution to ACTIA 12)	\$ 10,179	\$ 1,350	\$ 100	\$ 1,000	\$ 1,000	\$ 1,371	\$ 15,000
MB240	Central Alameda County Freeway System Operational Analysis	\$ 1,847	\$ 1,400	\$ 1,753	\$ -	\$ -	\$ -	\$ 5,000
MB241	Castro Valley Local Area Traffic Circulation Improvement	\$ 537	\$ 1,150	\$ 3,313	\$ -	\$ -	\$ -	\$ 5,000
MB Var	Program-wide and Project Closeout Costs (Note 2)	\$ 161	\$ 1,050	\$ 2,500	\$ 1,700	\$ 339	\$ -	\$ 5,750
Totals		\$ 108,058	\$ 9,950	\$ 30,166	\$ 17,700	\$ 26,339	\$ 7,408	\$ 199,621

Notes:

- The amounts shown per FY represent the maximum amount of 1986 Measure B assumed to be expended by the Alameda CTC in the FY shown. These amounts are used as a constraint for the most aggressive spending scenario of the program-wide cashflow model used to analyze the overall 1986 MB Capital Program from a financial perspective. The difference between the actual amount expended by the Alameda CTC in a given FY, including prior FY's, and the maximum shown are assumed available in any subsequent FY without having to recalibrate the program-wide cashflow model for approval to expend any carryover amounts. Before the actual amount expended in a given FY can exceed the maximum shown, the program-wide cashflow model will need to be revisited, and possibly recalibrated, before any amount in excess of the maximum shown can be approved.
- The Program-wide and Project Closeout Costs include miscellaneous costs related to program-wide activities and post-construction commitments such as follow up landscaping projects, landscaping maintenance, right of way settlements, right of way close-out, interagency agreement closeout, etc. for 1986 MB capital projects not listed separately. Once project construction is closed out, any remaining amount of 1986 Measure B commitment for the project is moved to this line item for budgeting and cashflow purposes. Individual encumbrances for 1986 Measure B commitments will continue to be brought before the Alameda CTC for approval before expenditures are approved.

FY 2013/14 Measure B Capital Program Strategic Plan Update 2000 Measure B Capital Project Commitment Summary

May 2013

		Total Measure B Commitment (\$ x 1,000)	Total Amount Allocated To Date (\$ x 1,000)	FY 13/14 Beginning Programmed Balance (\$ x 1,000)
01	ACE Capital Improvements	\$ 13,184	\$ 11,184	\$ 2,000
02	BART Warm Springs Extension	\$ 224,448	\$ 224,448	\$ -
03	BART Oakland Airport Connector	\$ 89,052	\$ 89,052	\$ -
04	Oakland Downtown Streetscape Project	\$ 6,358	\$ 6,358	\$ -
05	Fruitvale Transit Village - 5A/5B	\$ 4,435	\$ 4,435	\$ -
06	Union City Intermodal Station	\$ 12,561	\$ 12,561	\$ -
07A	Telegraph Avenue Corridor Transit Project	\$ 11,510	\$ 11,510	\$ -
07B	San Pablo Avenue Corridor Transit Improvement Project	\$ 2,262	\$ 2,262	\$ -
07C	Telegraph Avenue Corridor Transit Project - Stage 2	\$ 10,672	\$ 10,672	\$ -
08A	I-680 Express Lane - Southbound	\$ 19,697	\$ 15,197	\$ 4,500
08B	I-680 Express Lane - Northbound	\$ 15,500	\$ 10,000	\$ 5,500
09	Iron Horse Transit Route	\$ 6,267	\$ -	\$ 6,267
10	I-880/Broadway-Jackson Interchange	\$ 8,101	\$ 8,101	\$ -
11	I-880/Washington Avenue Interchange	\$ 1,335	\$ 1,335	\$ -
12	I-580 Interchange Improvements in Castro Valley	\$ 11,525	\$ 11,525	\$ -
13	Lewelling Boulevard/East Lewelling Boulevard	\$ 13,104	\$ 13,104	\$ -
14A	I-580 Auxiliary Lane Westbound (Fallon Road to Tassajara Road)	\$ 2,500	\$ 2,500	\$ -
14B	I-580 Auxiliary Lane Westbound (Airway Blvd to Fallon Road)	\$ 2,686	\$ 2,686	\$ -
14C	I-580 Auxiliary Lane Eastbound (El Charro Road to Airway Blvd)	\$ 7,843	\$ 7,843	\$ -
15	I-880/Route 92/Whitesell Drive Interchange	\$ 27,037	\$ 12,605	\$ 14,432

FY 2013/14 Measure B Capital Program Strategic Plan Update 2000 Measure B Capital Project Commitment Summary

May 2013

	Total Measure B Commitment (\$ x 1,000)	Total Amount Allocated To Date (\$ x 1,000)	FY 13/14 Beginning Programmed Balance (\$ x 1,000)
16 Oakland Local Streets and Roads	\$ 5,278	\$ 5,278	\$ -
17A Hesperian Boulevard/Lewelling Boulevard Widening (Stage 1)	\$ 578	\$ 578	\$ -
17B Hesperian Boulevard/Lewelling Boulevard Widening (Stage 2)	\$ 686	\$ 686	\$ -
18A Westgate Parkway Extension -Stage 1	\$ 7,918	\$ 7,918	\$ -
18B Westgate Parkway Extension - Stage 2	\$ 600	\$ 600	\$ -
19 East 14th St/Hesperian Blvd/150th St Improvements	\$ 3,218	\$ 3,218	\$ -
20 Newark Local Streets	\$ 1,422	\$ 1,422	\$ -
21 I-238 Widening	\$ 81,022	\$ 81,022	\$ -
22 I-680/I-880 Cross Connector Studies	\$ 1,233	\$ 1,233	\$ -
23 Isabel Avenue - Route 84/I-580 Interchange	\$ 26,529	\$ 25,029	\$ 1,500
24 Route 84 Expressway Widening	\$ 96,459	\$ 96,459	\$ -
25 Dumbarton Corridor Improvements (Newark and Union City)	\$ 19,367	\$ 6,078	\$ 13,289
26 I-580 Corridor/BART to Livermore Studies	\$ 11,831	\$ 11,831	\$ -
27A Vasco Road Safety Improvements	\$ 1,500	\$ 1,500	\$ -
27B I-80 Integrated Corridor Mobility Project	\$ 2,800	\$ 2,800	\$ -
27C I-880 Corridor Improvements in Oakland and San Leandro	\$ 5,350	\$ 5,350	\$ -
27D CWTP/TEP Development	\$ 50	\$ 50	\$ -
27E Studies for Congested Segments/Locations on the CMP Network	\$ 551	\$ 551	\$ -
Totals	\$ 756,469	\$ 708,981	\$ 47,488

FY 2013/14 Measure B Capital Program Strategic Plan Update 2000 Measure B Capital Project Allocations and Expended to Date

May 2013

		Total Measure B Commitment (\$ x 1,000)	Total Amount Allocated To Date (\$ x 1,000)	Expended Thru Q2 FY 12/13 (12/31/12) (\$ x 1,000)	Allocated Un-Expended Q3 FY 12/13 (\$ x 1,000)
01	ACE Capital Improvements	\$ 13,184	\$ 11,184	\$ 4,182	\$ 7,002
02	BART Warm Springs Extension	\$ 224,448	\$ 224,448	\$ 100,839	\$ 123,609
03	BART Oakland Airport Connector	\$ 89,052	\$ 89,052	\$ 53,103	\$ 35,949
04	Oakland Downtown Streetscape Project	\$ 6,358	\$ 6,358	\$ 762	\$ 5,596
05	Fruitvale Transit Village - 5A/5B	\$ 4,435	\$ 4,435	\$ 4,435	\$ -
06	Union City Intermodal Station	\$ 12,561	\$ 12,561	\$ 12,561	\$ -
07A	Telegraph Avenue Corridor Transit Project (Note 1)	\$ 11,510	\$ 11,510	\$ 5,495	\$ 6,015
07B	San Pablo Avenue Corridor Transit Improvement Project (Note 1)	\$ 2,262	\$ 2,262	\$ 2,262	\$ -
07C	Telegraph Avenue Corridor Transit Project - Stage 2 (Note 1)	\$ 10,672	\$ 10,672	\$ 10,672	\$ -
08A	I-680 Express Lane - Southbound (Note 2)	\$ 19,697	\$ 15,197	\$ 13,188	\$ 2,009
08B	I-680 Express Lane - Northbound	\$ 15,500	\$ 10,000	\$ 2,374	\$ 7,626
09	Iron Horse Transit Route	\$ 6,267	\$ -	\$ -	\$ -
10	I-880/Broadway-Jackson Interchange	\$ 8,101	\$ 8,101	\$ 2,169	\$ 5,932
11	I-880/Washington Avenue Interchange	\$ 1,335	\$ 1,335	\$ 1,335	\$ -
12	I-580 Interchange Improvements in Castro Valley (Note 3)	\$ 11,525	\$ 11,525	\$ 12,024	\$ (499)
13	Lewelling Boulevard/East Lewelling Boulevard	\$ 13,104	\$ 13,104	\$ 13,104	\$ -
14A	I-580 Auxiliary Lane Westbound (Fallon Road to Tassajara Road)	\$ 2,500	\$ 2,500	\$ 1,788	\$ 712
14B	I-580 Auxiliary Lane Westbound (Airway Blvd to Fallon Road)	\$ 2,686	\$ 2,686	\$ 511	\$ 2,175
14C	I-580 Auxiliary Lane Eastbound (El Charro Road to Airway Blvd) (Note 4)	\$ 7,843	\$ 7,843	\$ 7,843	\$ -
15	I-880/Route 92/Whitesell Drive Interchange	\$ 27,037	\$ 12,605	\$ 604	\$ 12,001

FY 2013/14 Measure B Capital Program Strategic Plan Update **2000 Measure B Capital Project Allocations and Expended to Date**

May 2013

		Total Measure B Commitment (\$ x 1,000)	Total Amount Allocated To Date (\$ x 1,000)	Expended Thru Q2 FY 12/13 (12/31/12) (\$ x 1,000)	Allocated Un-Expended Q3 FY 12/13 (\$ x 1,000)
16	Oakland Local Streets and Roads	\$ 5,278	\$ 5,278	\$ 5,278	\$ -
17A	Hesperian Boulevard/Lewelling Boulevard Widening (Stage 1)	\$ 578	\$ 578	\$ 915	\$ (337)
17B	Hesperian Boulevard/Lewelling Boulevard Widening (Stage 2) (Note 5)	\$ 686	\$ 686	\$ 86	\$ 600
18A	Westgate Parkway Extension (Wal-Mart to Williams Street)	\$ 7,918	\$ 7,918	\$ 7,931	\$ (13)
18B	Westgate Parkway Extension (Davis Street) (Note 6)	\$ 600	\$ 600	\$ -	\$ 600
19	East 14th St/Hesperian Blvd/150th St Improvements (Note 6)	\$ 3,218	\$ 3,218	\$ 339	\$ 2,879
20	Newark Local Streets	\$ 1,422	\$ 1,422	\$ 1,422	\$ -
21	I-238 Widening (Note 4)	\$ 81,022	\$ 81,022	\$ 81,022	\$ -
22	I-680/I-880 Cross Connector Studies	\$ 1,233	\$ 1,233	\$ 715	\$ 518
23	Isabel Avenue - Route 84/I-580 Interchange	\$ 26,529	\$ 25,029	\$ 19,473	\$ 5,556
24	Route 84 Expressway Widening	\$ 96,459	\$ 96,459	\$ 14,641	\$ 81,818
25	Dumbarton Corridor Improvements (Newark and Union City)	\$ 19,367	\$ 6,078	\$ 2,416	\$ 3,662
26	I-580 Corridor/BART to Livermore Studies	\$ 11,831	\$ 11,831	\$ 6,918	\$ 4,913
27	Emerging Projects	\$ -	\$ -	\$ -	\$ -
27A	Vasco Road Safety Improvements	\$ 1,500	\$ 1,500	\$ 1,500	\$ -
27B	I-80 Integrated Corridor Mobility Project	\$ 2,800	\$ 2,800	\$ 1,800	\$ 1,000
27C	I-880 Corridor Improvements in Oakland and San Leandro	\$ 5,350	\$ 5,350	\$ 1,317	\$ 4,033
27D	CWTP/TEP Development	\$ 50	\$ 50	\$ -	\$ 50
27E	Studies for Congested Segments/Locations on the CMP Network	\$ 551	\$ 551	\$ -	\$ 551
Totals		\$ 756,469	\$ 708,981	\$ 395,024	\$ 313,957

FY 2013/14 Measure B Capital Program Strategic Plan Update
2000 Measure B Allocation Plan Schedule

May 2013

		\$ x 1,000									
		Total Measure B Commitment	Total Amount Allocated To Date	Remaining Programmed Balance		FY 13/14	FY 14/15	FY 15/16	FY 16/17	FY 17/18	Totals (Future Allocations)
01	ACE Capital Improvements	\$ 13,184	\$ 11,184	\$ 2,000						2,000	\$ 2,000
08A	I-680 Express Lane - Southbound	\$ 19,697	\$ 15,197	\$ 4,500				1,000	3,500		\$ 4,500
08B	I-680 Express Lane - Northbound	\$ 15,500	\$ 10,000	\$ 5,500		1,000				4,500	\$ 5,500
09	Iron Horse Transit Route	\$ 6,267	\$ -	\$ 6,267		6,267					\$ 6,267
15	I-880/Route 92/Whitesell Drive Interchange	\$ 27,037	\$ 12,605	\$ 14,432		1,500	12,932				\$ 14,432
23	Isabel Avenue - Route 84/I-580 Interchange	\$ 26,529	\$ 25,029	\$ 1,500		1,500					\$ 1,500
25	Dumbarton Corridor Improvements (Newark and Union City)	\$ 19,367	\$ 6,078	\$ 13,289		1,500	2,000		9,789		\$ 13,289
Totals (Projects This Sheet)		\$ 127,581	\$ 80,093	\$ 47,488		\$ 11,767	\$ 14,932	\$ 1,000	\$ 13,289	\$ 6,500	\$ 47,488

FY 2013/14 Measure B Capital Program Strategic Plan Update 2000 Measure B Allocation Plan Notes May 2013					
	\$ x 1,000				
	Total Measure B Commitment	Total Amount Allocated To Date	Remaining Programmed Balance		
01 ACE Capital Improvements	\$ 13,184	\$ 11,184	\$ 2,000	Remaining 2000 Measure B allocations are anticipated for the Alameda County share of ACE capital costs for various projects approved annually in a list recommended by the SJRRC. The availability of \$2M of the remaining Programmed Balance is delayed due to an advance approved in September 2011 for the I-580 EB Aux/HOT Lane project to be paid back with toll revenues.	
08A I-680 Express Lane - Southbound	\$ 19,697	\$ 15,197	\$ 4,500	The future 2000 Measure B allocations are anticipated for future operation costs above the toll revenues available for operations as approved by the Alameda CTC in December, 2012.	
08B I-680 Express Lane - Northbound	\$ 15,500	\$ 10,000	\$ 5,500	Remaining 2000 Measure B allocations are anticipated for future project development, system management and integration, right of way and construction phases costs incurred directly by the Commission. The availability of \$4.5M of the remaining Programmed Balance is delayed due to an advance approved in September 2011 for the I-580 EB Aux/HOT Lane project to be paid back with toll revenues.	
09 Iron Horse Transit Route	\$ 6,267	\$ -	\$ 6,267	The future 2000 Measure B allocations are anticipated for project development, right of way and construction phase costs incurred by the implementing agency(ies).	
15 I-880/Route 92/Whitesell Drive Interchange	\$ 27,037	\$ 12,605	\$ 14,432	Remaining 2000 Measure B allocations are anticipated for reimbursement of future project development and capital phase costs incurred by the implementing agency(ies).	
23 Isabel Avenue - Route 84/I-580 Interchange	\$ 26,529	\$ 25,029	\$ 1,500	The future 2000 Measure B allocations are anticipated for projects adjacent to the interchange project. The interchange construction is complete and the inter-agency agreements related to the project funding are being closed out.	
25 Dumbarton Corridor Improvements (Newark and Union City)	\$ 19,367	\$ 6,078	\$ 13,289	The future 2000 Measure B allocations are anticipated for on-going project development phases and for implementation of potential phased improvements while funding for the planned overall corridor is identified. Future allocations will be made available to implementing agencies, including up to \$1 million for costs incurred directly by the Commission.	
Totals (Projects This Sheet)	\$ 127,581	\$ 80,093	\$ 47,488		

FY 2013/14 Measure B Capital Program Strategic Plan Update
2000 Measure B Capital Project Line Item Expenditures

May 2013

\$ x 1,000 in the FY Shown												
		Prior to FY 13/14	FY 13/14	FY 14/15	FY 15/16	FY 16/17	FY 17/18	FY 18/19	FY 18/19	FY 19/20	Totals	
		\$ 7,183	\$ 3,500	\$ 502	\$ -	\$ -	\$ -	\$ 1,000	\$ 1,000	\$ -	\$ 13,185	
		\$ 115,839	\$ 24,000	\$ 40,000	\$ 30,000	\$ 14,609	\$ -	\$ -	\$ -	\$ -	\$ 224,448	
		\$ 69,051	\$ 20,000	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ 89,051	
		\$ 762	\$ 1,750	\$ 3,846	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ 6,358	
		\$ 4,435	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ 4,435	
		\$ 12,561	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ 12,561	
		\$ 6,969	\$ 4,000	\$ 540	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ 11,509	
		\$ 2,262	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ 2,262	
		\$ 10,672	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ 10,672	
		\$ 16,938	\$ 4,000	\$ 2,959	\$ 3,000	\$ 3,000	\$ 2,925	\$ 750	\$ 1,125	\$ 500	\$ 35,197	
		\$ 500	\$ 2,500	\$ 2,000	\$ 1,267	\$ -	\$ -	\$ -	\$ -	\$ -	\$ 6,267	
		\$ 2,321	\$ 2,500	\$ 3,282	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ 8,103	
		\$ 1,334	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ 1,334	
		\$ 11,325	\$ 100	\$ 100	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ 11,525	
		\$ 13,105	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ 13,105	
		\$ 2,500	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ 2,500	
		\$ 1,761	\$ 925	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ 2,686	
		\$ 7,844	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ 7,844	
		\$ 2,104	\$ 8,000	\$ 10,000	\$ 6,933	\$ -	\$ -	\$ -	\$ -	\$ -	\$ 27,037	
		\$ 5,278	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ 5,278	

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FY 2013/14 Measure B Capital Program Strategic Plan Update
2000 Measure B Capital Project Line Item Expenditures

May 2013

\$ x 1,000 in the FY Shown											
No.	Project Title	Prior to FY 13/14	FY 13/14	FY 14/15	FY 15/16	FY 16/17	FY 17/18	FY 18/19	FY 18/19	FY 19/20	Totals
17	Hesperian/Lewelling Widening	\$ 1,264	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ 1,264
18	Westgate Extension	\$ 8,518	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ 8,518
19	E. 14th/Hesperian/150th Improvements	\$ 839	\$ 1,000	\$ 1,379	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ 3,218
20	Newark Local Streets	\$ 1,422	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ 1,422
21	I-238 Widening	\$ 81,022	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ 81,022
22	I-680/I-880 Cross Connector Study	\$ 740	\$ 493	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ 1,233
23	Isabel - Route 84/I-580 I/C	\$ 23,283	\$ 1,746	\$ 1,500	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ 26,529
24	Route 84 Expressway	\$ 19,641	\$ 19,000	\$ 11,568	\$ 12,000	\$ 15,000	\$ 19,250	\$ -	\$ -	\$ -	\$ 96,459
25	Dumbarton Corridor	\$ 3,916	\$ 2,000	\$ 2,000	\$ 2,000	\$ 5,000	\$ 4,451	\$ -	\$ -	\$ -	\$ 19,367
26	I-580 Corridor/BART to Livemore	\$ 8,168	\$ 2,750	\$ 913	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ 11,831
27	Congestion Relief Emergency Fund - Unallocated	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -
27A	Vasco Road	\$ 1,500	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ 1,500
27B	I-80 ICM	\$ 2,799	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ 2,799
27C	I-880 Corridor Improvements in Oakland and San Leandro	\$ 3,187	\$ 2,163	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ 5,350
27D	CWTP/TEP Development	\$ 50	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ 50
27E	Studies for Congested Segments/Locations on the CMP	\$ -	\$ 450	\$ 101	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ 551
Totals		\$ 451,093	\$ 100,877	\$ 80,690	\$ 55,200	\$ 37,609	\$ 26,626	\$ 1,750	\$ 2,125	\$ 500	\$ 756,470

FY 2013/14 Measure B Capital Program Strategic Plan Update 2000 Measure B Capital Program Advances and Repayments May 2013											
		\$ x 1,000 in FY Shown									
		FY 12/13	FY 13/14	FY 14/15	FY 15/16	FY 16/17	FY 17/18	FY 18/19	FY 19/20	FY 20/21	Totals
Sources of Funds (Repayments of Advances/Loans)											
1	I-580 EB Aux/HOT Payback from Toll Revenues				\$ 250	\$ 1,500	\$ 1,500	\$ 2,000	\$ 2,000	\$ 1,250	\$ 8,500
2	2012 STIP Exchange (Route 84 Expressway - South Segment)					\$ 37,030					\$ 37,030
3	Total Sources of Funds (Repayments)	\$ -	\$ -	\$ -	\$ 250	\$ 38,530	\$ 1,500	\$ 2,000	\$ 2,000	\$ 1,250	\$ 45,530

FY 2013/14 Measure B Capital Program Strategic Plan Update 2000 Measure B Capital Program Advances and Repayments May 2013											
		\$ x 1,000 in FY Shown									
		FY 12/13	FY 13/14	FY 14/15	FY 15/16	FY 16/17	FY 17/18	FY 18/19	FY 19/20	FY 20/21	Totals
Uses of Funds (Expenditures for Advances)											
4	I-580 EB Aux/HOT Expenditures funded by Loan	\$ 1,500	\$ 6,000	\$ 1,000							\$ 8,500
5	2012 STIP Exchange (See Project Detail Sheet)	\$ 6,500	\$ -	\$ -	\$ -	\$ 30,530	\$ -	\$ -	\$ -	\$ -	\$ 37,030
6	Total Uses of Funds (Expenditures)	\$ 8,000	\$ 6,000	\$ 1,000	\$ -	\$ 30,530	\$ -	\$ -	\$ -	\$ -	\$ 45,530

FY 2013/14 Measure B Capital Program Strategic Plan Update 2000 Measure B Capital Program Advances - 2012 STIP Exchange Project Detail Sheet							
May 2013		\$ x 1,000 in FY Shown					
		FY 12/13	FY 13/14	FY 14/15	FY 15/16	FY 16/17	Totals
STIP Exchange Projects							
1	East Bay Bus Rapid Transit (AC Transit)					\$ 5,000	\$ 5,000
2	I-880/Broadway-Jackson (Alameda)					\$ 2,500	\$ 2,500
3	Crow Canyon Road Safety Improvements (Ala. County)					\$ 1,000	\$ 1,000
4	Niles Canyon Rd (Rte 84), Paloma Rd and Pleasanton-Sunol Rd Intersection (Ala. County)					\$ 1,500	\$ 1,500
5	East-West Connector (Alameda CTC)					\$ 14,300	\$ 14,300
6	I-880 Reconstruction, 29th/23rd (Alameda CTC)	\$ 1,000					\$ 1,000
7	I-880/Mission Blvd (Rte 262) Phase 1B/2 Interchange Completion (VTA)	\$ 3,500					\$ 3,500
8	Automall Parkway Project (Fremont)					\$ 3,500	\$ 3,500
9	42nd Ave/High St Access Improvement (Oakland)					\$ 2,000	\$ 2,000
10	Route 85/Hesperian Blvd/150th Ave Channelization Improvements (San Leandro)	\$ 1,000					\$ 1,000
11	RIP-TE Payback of TE Capacity to San Mateo County (TBD)					\$ 200	\$ 200
12	RIP-TE Reserve (Alameda CTC)					\$ 530	\$ 530
13	RIP-TE I-880 SB HOV Lane Widening Project Landscape/Hardscape at Marina/Davis I/C (Alameda CTC)	\$ 1,000					\$ 1,000
14	Totals	\$ 6,500	\$ -	\$ -	\$ -	\$ 30,530	\$ 37,030

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Memorandum

DATE: May 15, 2012

TO: Alameda County Transportation Commission

FROM: Finance and Administration Committee

SUBJECT: Approval of a Revised Sales Tax Revenue Projection for Fiscal Year 2012-2013

Recommendation

It is recommended that the Commission approve an increase to the Alameda CTC's sales tax revenue projection in the amount of \$7 million for a total FY2012-13 sales tax projection of \$119 million, and an increase in the corresponding pass-through and other expenditures based on the formula established in the transportation expenditure plan.

Summary

The proposed increase is 7.14 percent higher than the currently adopted budget. Based on receipts to date, sales tax revenues have out-performed the original projection in the budget by 7.12 percent. Overall receipts in the 1st and 2nd quarters of the year were higher than budget by about 5.92 percent. The receipts received over the last couple of months are still estimates until the 3rd quarter adjustment to "true up" the amounts received to date is received in June. The increase in sales tax revenues over the last several months reflects positive changes to the economy in Alameda County. This revised projection exceeds historical peak levels of \$116.3 million experienced in FY2007-08. If approved, this revised sales tax projection will be included as a budget adjustment to the FY2012-13 budget, increasing projected revenues by \$7 million and the corresponding pass-through and other expenditures based on the formula established in the transportation expenditure plan.

Fiscal Impacts

The proposed revision to the Alameda CTC's FY2012-13 sales tax revenue projection would provide additional resources of \$7 million and authorize the corresponding pass-through and other expenditures based on the formula established in the transportation expenditure plan.

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Memorandum

DATE: May 6, 2013

TO: Citizens Watchdog Committee

FROM: Arthur L. Dao, Executive Director
Patricia Reavey, Director of Finance

SUBJECT: Fiscal Year 2013-2014 Proposed Budget for the Alameda County Transportation Improvement Authority

Recommendations

This is an informational item and no action is requested from the Citizens Watchdog Committee. The Alameda County Transportation Commission (Alameda CTC) will be requested to approve the Proposed Consolidated Budget for fiscal year 2013-14 this month, which included the budget for the Alameda County Transportation Improvement Authority (ACTIA).

Summary

The Alameda CTC's FY2013-14 Proposed Consolidated Budget demonstrates a sustainable, balanced budget utilizing projected revenues and fund balance to fund total expenditures. A budget is considered balanced when (1) total revenues equal total expenditures, (2) total revenues are greater than total expenditures, or (3) total revenues plus fund balance are greater than total expenditures. The Alameda CTC budget should fit into this third category over the next few years, as the accumulation of Measure B funds are utilized to fund capital projects and other grant programs in Alameda County.

The proposed budget has been prepared based on the modified accrual basis of accounting, which is consistent with the basis utilized to prepare our audited financial statements. It also has been segregated by fund type and includes adjustments to eliminate interagency revenues and expenditures on a consolidated basis. The fund types are comprised of General Funds, Special Revenue Funds, Exchange Fund, and Capital Project Funds.

The proposed ACTIA budget, which includes the ACTA Capital Fund, contains projected revenues totaling \$122.1 million of which sales tax revenues comprise \$120.0 million, or 98 percent. In addition, the proposed budget includes the projected FY2012-13 ending fund balance of \$85.0 million for total available resources of \$207.1 million. The projected revenues are offset by \$125.8 million in total expenditures of which \$51.8 million, or 41 percent, are allocated for capital projects. These revenue and expenditure totals constitute a net reduction in fund balance of \$3.6 million and a projected consolidated ending fund balance of \$81.3 million. The reduction in fund balance is mostly related to the capital program and will be funded through accumulated Measure B sales tax revenues.

Approval for the Draft Proposed Capital budgets is requested for the amounts found in the “Proposed FY2013-14 Capital Budget with Estimated Roll Over” column on the capital budget sheet. This column includes both the additional capital budget amount requested for FY2013-14 as well as the roll over balance from FY2012-13. The capital amount carried forward to the consolidated budget does not include the roll forward balances because these amounts are still included in the projected roll forward fund balance from the FY2012-13 adopted budget. During the mid-year budget update process, the roll forward fund balance will be updated to actual based on the audited financial statements. Therefore the capital budget amount on the consolidated budget spreadsheet for the mid-year budget update will be for the full capital budget including both the actual roll forward balance from FY2012-13 and any additional requested capital budget for FY2013-14. This methodology is required to ensure accurate and reliable fund balance information in the budget.

The proposed budget incorporates the effort required to address One Bay Area Grant (OBAG) requirements over the next fiscal year and includes revenues and expenditures necessary to provide the following vital programs and planning projects for Alameda County:

- Transportation and Land Use Planning
- Safe Routes to School (SR2S)
- SR2S Capital Technical Assistance Program
- Countywide Transportation Plan
- SR2S BikeMobile Program
- Countywide Bicycle & Pedestrian Plan
- Bike to Work Day
- Pass-Through Funding Programs

In addition to the planning projects and programs listed above, the proposed budget also contains revenues and expenditures necessary to fund and deliver significant capital projects that expand access and improve mobility in Alameda County consistent with the FY2013-14 Strategic Plan also being considered this month by the Commission. Some of the most significant projects included in the proposed budget are as follows:

- BART Warm Springs Extension Project
- BART Oakland Airport Connector Project
- I-680 Sunol Express Lane Project
- Route 84 Expressway Project in Livermore
- Isabel Avenue – Route 84/I-580 Interchange
- Route 92 Clawiter-Whitesell Interchange
- Altamont Commuter Express Rail

The proposed budget assumes an inter-fund loan of \$36.6 million from the ACTA Capital Fund to the ACTIA Capital Fund, which will delay the need for external financing to the second quarter of FY2014-15 based on the most recent cash flow projections.

Discussion/ Background

The proposed budget for FY2013-14 was developed with a focus on the mission and core functions of the Alameda CTC as defined in the Strategic Business Plan and enables the Alameda CTC to plan, fund and deliver transportation programs and projects that expand access and improve mobility in Alameda County. The proposed budget helps meet these goals by assigning available resources in the budget in order to formulate strategies and solutions for transportation opportunities and needs identified in the planning process; assigning the funding necessary to evaluate, prioritize, and finance programs and projects; and programming funds in order to deliver quality programs and projects on schedule and within budget.

Major Line Item Detail

Sales Tax Revenues – Increase of \$1 million, or about 1 percent, over the FY2012-13 Revised Budget of \$119.0 million being proposed today to \$120.0 million. The \$119.0 million budget adjustment being proposed for FY2012-13 exceeds the historical peak level of \$116.3 million collected in FY2007-08 by ACTIA for Measure B.

Grant Revenues – Increase of \$1.0 Million, or 170 percent, due to the availability of TCRP funds on the I-680 Express Lane Project.

Salaries and Benefits – Decrease of \$0.2 million, or 11 percent, from the FY2012-13 Revised Budget of \$1.5 million. The proposed budget for FY2013-14 provides funding for 25 Full Time Equivalent (FTE) positions in compliance with the approved salary and benefit structure.

Programs Management – Decrease of \$0.5 million, or 30 percent, from the FY2012-13 Revised Budget of \$1.6 million related to an overall cost cutting effort for annually renewed contracts and an effort to bring some of these services in house.

General Office Expenses – Increase of \$0.3 million, or 17 percent, from the FY2012-13 Revised Budget to \$1.7 million due to one-time office relocation costs.

Other Administration – Decrease of \$1.6 million, or 61 percent, from the FY2012-13 Revised Budget to \$1.0 million related to an overall cost cutting effort for annually renewed contracts and capital items accounted for in the capital projects budget.

Pass-Through Funding – Increase of \$4.1 million, or 7 percent, over the FY2012-13 Revised Budget to \$64.2 million due to an increase in the projection for sales tax revenues. Pass-through funding is based on a calculation of sales tax receipts as prescribed in the 2000 Measure B Transportation Expenditure Plan.

Grant Awards – Decrease of \$1.9 million, or 27 percent, from the FY2012-13 Revised Budget to \$5.3 million due to a decrease in fund balance.

ACTIA Capital Projects Expenditures – Decrease of \$129.6 million, or 72 percent, from the FY2012-13 Revised Budget of \$180.4 million to \$50.7 million due to the capital budget rolled from FY2012-13 included in the roll forward fund balance from the FY2012-13 Revised Budget.

Limitation Ratios

The ACTIA Salary and Benefits Limitation ratio of 0.58 percent and the Administrative Cost Limitation ratio of 2.68 percent were calculated based on the proposed budgeted expenditures and were found to be in compliance with the 1.00 percent and 4.5 percent limitation requirement, respectively.

Fiscal Impacts

The fiscal impact to ACTIA of the FY2013-14 Proposed Consolidated Budget would be to provide resources of \$122.1 million and authorize expenditures of \$125.8 million with an overall decrease in fund balance of \$3.6 million for a projected ending fund balance of \$81.3 million.

Attachments

Attachment A:	Measure B Sales Tax Function FY2013-14 Proposed Budget
Attachment B:	2000 Measure B Sales Tax FY2013-14 Proposed Capital Projects Budget
Attachment C:	2000 Measure B FY2013-14 Proposed Budget Limitations Calculations

Measure B Sales Tax Function
Fiscal Year 2013-2014 Proposed Budget

	General Funds	Special Revenue Funds	ACTIA Capital Project Fund	ACTA Capital Project Fund	Inter-Agency Adjustments/ Eliminations	Total
Projected Beginning Fund Balance	\$ 18,084,433	\$ 4,726,360	\$ (35,402,074)	\$ 97,579,050	- \$	\$ 84,987,769
Revenues:						
Sales Tax Revenues	5,400,000	68,645,400	45,954,600	-	-	120,000,000
Investment Income	-	-	42,000	430,000	-	472,000
Other Revenues	45,000	40,000	-	-	(40,000)	45,000
Grants	-	116,628	1,490,000	-	-	1,606,628
Total Revenues	5,445,000	68,802,028	47,486,600	430,000	(40,000)	122,123,628
Expenditures:						
<u>Administration</u>						
Salaries and Benefits	693,181	-	-	221,046	-	914,227
General Office Expenses	1,376,621	7,000	3,500	342,374	(7,000)	1,722,494
Other Administration	632,487	174,594	52,000	163,362	-	1,022,443
Commission and Community Support	154,375	33,000	-	20,125	(33,000)	174,500
Contingency	75,000	-	-	25,000	-	100,000
<u>Programs</u>						
Salaries and Benefits	-	235,830	-	-	-	235,830
Programs Management	278,525	766,098	-	92,842	-	1,137,465
Measure B Pass-Through	-	64,231,409	-	-	-	64,231,409
Grant Awards	-	5,307,392	-	-	-	5,307,392
<u>Capital Projects</u>						
Salaries and Benefits	-	-	118,703	69,535	-	188,237
Capital Project Expenditures	-	-	48,551,599	2,186,384	-	50,737,984
Total Expenditures	3,210,189	70,755,323	48,725,802	3,120,667	(40,000)	125,771,981
Net Change in Fund Balance	2,234,811	(1,953,295)	(1,239,202)	(2,690,667)	-	(3,648,353)
Projected Ending Fund Balance	\$ 20,319,244	\$ 2,773,065	\$ (36,641,276)	\$ 94,888,383	- \$	\$ 81,339,416

Attachment A

2000 Measure B Sales Tax
Fiscal Year 2013-2014
Proposed Capital Project Budget

Project Name		Project #	Estimated FY 2012-13 Rollover to FY 2013-14	Proposed FY 2013-14 Capital Budget	Proposed FY 2013-14 Capital Budget w/ Estimated Rollover	Funding Sources			
						2000 Measure B	Regional RM2	State TCRP	
ACE Capital Improvements	601.0	\$	4,230,665	\$	\$	4,230,665	\$	-	\$
BART Warm Springs Extension	602.0		19,548,197	15,000,000	4,230,665	34,548,197	-	-	-
BART Oakland Airport Connector	603.0		-	20,000,000	20,000,000	20,000,000	-	-	-
Downtown Oakland Streetscape	604.0		3,782,700	-	3,782,700	3,782,700	-	-	-
Fruitvale Transit Village	605.0		-	-	-	-	-	-	-
Union City Intermodal Station	606.0		-	-	-	-	-	-	-
Telegraph Avenue Bus Rapid Transit	607.1		2,521,467	2,000,000	4,521,467	4,521,467	-	-	-
San Pablo Avenue Corridor Transit	607.2		-	-	-	-	-	-	-
Telegraph Avenue Rapid Bus Service	607.3		-	-	-	-	-	-	-
I-680 Express Lane	608.0		5,641,578	-	5,641,578	4,301,578	-	-	1,340,000
Iron Horse Trail	609.0		500,000	500,000	1,000,000	1,000,000	-	-	-
I-880/Broadway-Jackson Interchange	610.0		2,527,324	-	2,527,324	2,527,324	-	-	-
I-880/Washington Ave I/C	611.0		-	-	-	-	-	-	-
I-580/Castro Valley Interchanges Improvements	612.0		1,627,243	-	1,627,243	1,627,243	-	-	-
Lewelling/East Lewelling	613.0		650,000	-	650,000	650,000	-	-	-
I-580 Auxiliary Lanes	614.0		-	-	-	-	-	-	-
I-580 Auxiliary Lanes - Westbound Fallon to Tassajara	614.1		712,000	-	712,000	712,000	-	-	-
I-580 Auxiliary Lanes - Westbound Airway to Fallon	614.2		2,174,729	-	2,174,729	2,174,729	-	-	-
I-580 Auxiliary Lanes - E/B El Charro to Airway	614.3		45,000	-	45,000	45,000	-	-	-
Rte 92/Clawiter-Whitesell Interchange	615.0		3,973,246	4,000,000	7,973,246	7,973,246	-	-	-
Oakland Local Streets	616.0		-	-	-	-	-	-	-
Hesperian/Lewelling Widening	617.1		599,622	-	599,622	599,622	-	-	-
Westgate Extension	618.1		2,173,850	(1,573,850)	600,000	600,000	-	-	-
E. 14th/Hesperian/150th Improvements	619.0		2,104,806	-	2,104,806	2,104,806	-	-	-
Newark Local Streets	620.0		-	-	-	-	-	-	-
I-238 Widening	621.0		198,077	-	198,077	198,077	-	-	-
I-680/I-880 Cross Connector Study	622.0		492,517	-	492,517	492,517	-	-	-
Isabel - Route 84/I-580 Interchange	623.0		5,749,463	4,525,537	10,275,000	10,275,000	-	-	-
Route 84 Expressway	624.0		6,441,953	2,600,000	9,041,953	9,041,953	-	-	-
Dumbarton Corridor	625.0		3,281,098	-	3,281,098	3,131,098	150,000	-	-
I-580 Corridor/BART to Livermore	626.0		3,115,243	384,757	3,500,000	3,500,000	-	-	-
Congestion Relief Emergency Fund - Unallocated	627.0		-	-	-	-	-	-	-
Congestion Relief Emergency Fund - Vasco Road	627.1		-	-	-	-	-	-	-
I-80 Integrated Corridor Mobility	627.2		590,844	-	590,844	590,844	-	-	-
I-880 Corridor Improvements in Oakland and San Leandro	627.3		934,769	438,249	1,373,018	1,373,018	-	-	-
CWTP/TEP Development	627.4		50,000	-	50,000	50,000	-	-	-
Studies at Congested Segments/Locations on CMP	627.5		800,000	-	800,000	800,000	-	-	-
Project Closeout	600.0		-	795,609	795,609	795,609	-	-	-
			\$	74,466,390	\$	121,646,693	\$	150,000	\$

**2000 Measure B Sales Tax
Fiscal Year 2013-2014
Proposed Budget Limitation Calculations**

Net Sales Tax	\$	120,000,000	A
Investments & Other Income		<u>2,123,628</u>	B
Funds Generated	\$	122,123,628	C
Administrative Salaries & Benefits	\$	693,181	D
Other Administration Costs		<u>2,517,007</u>	E
Total Administration Costs	\$	3,210,189	F
Gross Salaries & Benefits to Net Sales Tax		0.5777% = D/A	
Gross Salaries & Benefits to Funds Generated		0.5676% = D/C	
Total Administration Costs to Net Sales Tax		2.6752% = F/A	

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Memorandum

DATE: May 15, 2013

TO: Alameda County Transportation Commission

FROM: Finance and Administration Committee

SUBJECT: **Alameda CTC Fiscal Year 2012-2013 Third Quarter Consolidated Investment Report**

Recommendation

It is recommended that the Committee accept the attached Alameda CTC Fiscal Year 2012-13 third quarter Consolidated Investment Report (Attachment A).

Summary

- Alameda CTC investments are in compliance with the adopted investment policies.
- Alameda CTC has sufficient cash flow to meet expenditure requirements over the next six months.
- As of March 31, 2013, total cash and investments held by the Alameda CTC were \$227.9 million. This total is a decrease of \$54.4 million or 19.3% from the prior year-end balance of \$282.4 million.
- The ACTA investment balance decreased \$12.8 million or 9.1% due to capital project expenditures. The ACTIA investment balance decreased \$37.2 million or 32.8% due to capital project expenditures. The ACCMA investment balance decreased \$4.3 million or 15.2% mostly related to CMA TIP project payments.
- Investment yields have declined with the return on investments for the Alameda CTC at 0.67% compared to the prior year's return of 0.99%. Return on investments were projected for the FY2012-13 budget year at varying rates ranging from 0 - 1.00% depending on investment type.
- Based on the most current cash flow projections updated in February, 2013, ACTIA will require external financing by the 2nd quarter of FY2014-15 to satisfy capital project obligations. The cash flow projection scenario assumes a short term loan from ACTA capital funds, which would be paid back as soon as financing is executed.

Discussion

As of March 31, 2013, the Alameda CTC portfolio managed by investment advisors consisted of approximately 21.4% US Treasury Securities, 47.6% Federal Agency Securities, 2.1% Corporate Notes, 17.8% Commercial Paper, 5.3% Negotiable CDs and 5.8% Money Market Funds (See Attachment B). The Alameda CTC portfolio is in compliance with both the adopted investment policy and the California Government Code.

The Employment Development Department reported an unemployment rate in Alameda County for March, 2013 of 7.7%, down 0.5% from the previous quarter end statement, and between that of California, at 9.4%, and the United States, at 7.6% (per the US Department of Labor). These decreases are believed to be due in part to shrinkage in the labor force as some unemployed workers gave up searching for jobs. Alameda County increases in jobs were in the categories of professional and business services, construction, and local and state government education. Unemployment rates are still very high when compared to historical national rates which ranged from 4.0 – 5.0% in the years 2001 – 2007.

The month of March 2013 showed evidence of continued gradual strengthening of the US economy. Economists are predicting growth in the gross domestic product (GDP), however they are worried about the effects sequestration will have in the second half of the year. The Federal Reserve voted to keep the federal funds rate near zero and reiterated its commitment to monthly purchases of Treasuries and mortgage-backed securities. Treasury bond yields were mainly unchanged for both the shorter-maturity (three years and under) and intermediate-maturity (five years and over). While Europe struggles with weakness in many European economies, the U.S. seems to have gained some momentum driven by strong consumer spending, growing personal income, and a rise in value for housing and equity securities.

Attachments

Attachment A: Consolidated Investment Report as of March 31, 2013

Attachment B: Detail of Investment Holdings (managed by PFM and Chandler)

Alameda CTC Consolidated Investment Report As of March 31, 2013											
ACTA	Un-Audited		Interest Earned				FY 2011-2012				
	Investment Balance		Interest earned		As of March 31, 2013 Approx. ROI Budget		Investment Balance June 30, 2012		Interest earned FY 2011-2012		
Checking Account	\$	100,596	\$	21	0.03%		\$	103,510	\$	45	
State Treas. Pool (LAIF)		20,661,687		28,244	0.18%			14,808,441		105,009	
Investment Advisors (1)		96,685,188		853,919	1.18%			115,361,268		2,139,191	
Loan to ACCMA		10,000,000						10,000,000		-	
ACTA Total		127,447,470	\$	882,184	0.92%	\$	768,750	\$	113,434	2,244,245	
								Approx. ROI		1.60%	
ACTIA	Un-Audited		Interest Earned				FY 2011-2012				
	Investment Balance		Interest earned		As of March 31, 2013 Approx. ROI Budget		Investment Balance June 30, 2012		Interest earned FY 2011-2012		
Community Bank (OPEB)(2)	\$	911,054	\$	681	0.10%		\$	910,373	\$	865	
Checking Account	\$	4,972,966	\$	174	0.00%		\$	8,760,886	\$	147	
State Treas. Pool (LAIF)		17,534,507		63,146	0.48%			36,040,309		208,541	
Investment Advisors (1)		53,794,008		116,450	0.29%			68,742,558		218,683	
ACTIA Total		76,301,480	\$	179,770	0.31%	\$	135,000	\$	44,770	427,371	
								Approx. ROI		0.38%	
ACCMA	Un-Audited		Interest Earned				FY 2011-2012				
	Investment Balance		Interest earned		As of March 31, 2013 Approx. ROI Budget		Investment Balance June 30, 2012		Interest earned FY 2011-2012		
Checking Account	\$	4,235,611		35,354	0.00%		\$	2,911,113	\$	-	
State Treas. Pool (LAIF)		14,724,899		42,698	0.32%			17,537,573		45,846	
Project Deferred Revenue (3)		15,232,429			0.37%			18,088,066		66,302	
Loan from ACTA		(10,000,000)						(10,000,000)		-	
ACCMA Total	\$	24,192,939	\$	78,053	0.43%	\$	-	\$	28,536,752	112,148	
								Approx. ROI		0.39%	
ACTC TOTAL	\$	227,941,889	\$	1,140,007	0.67%	\$	903,750	\$	282,353,723	\$	2,783,764

Notes:

- (1) See attachments for detail of investment holdings managed by Investment Advisors.
- (2) The OPEB/Health Retirement account and related interest income is held in a irrevocable trust and does not appear on ACTIA's balance sheet.
- (3) Project funds in deferred revenue are invested in LAIF with interest accruing back to the respective project fund which include TVTC, San Leandro Marina, TCRP and PTMISEA funds.
- (4) All investments are marked to market on the financial statements at the end of the fiscal year per GASB 31 requirements.
- (5) Alameda CTC investments are in compliance with the currently adopted investment policies.
- (6) Alameda CTC has sufficient cash flow to meet expenditure requirements over the next six months.

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Managed Account Detail of Securities Held

For the Month Ending March 31, 2013

ALAMEDA CNTY TRANSPORTATION AUTHORITY - 03068500

Security Type/Description Dated Date/Coupon/Maturity	CUSIP	Par	S&P Rating	Moody's Rating	Trade Date	Settle Date	Original Cost	YTM at Cost	Accrued Interest	Amortized Cost	Market Value
U.S. Treasury Bond / Note											
US TREASURY NOTES DTD 06/30/2008 3.375% 06/30/2013	912828JD3	13,830,000.00	AA+	Aaa	03/22/12	03/27/12	14,368,613.67	0.28	117,335.32	13,936,314.53	13,942,368.75
US TREASURY NOTES DTD 09/30/2008 3.125% 09/30/2013	912828JM3	3,900,000.00	AA+	Aaa	03/22/12	03/27/12	4,064,531.25	0.32	332.99	3,954,238.43	3,957,891.60
Security Type Sub-Total		17,730,000.00					18,433,144.92	0.29	117,668.31	17,890,552.96	17,900,260.35
Federal Agency Bond / Note											
FEDERAL HOME LOAN BANK GLOBAL NOTES DTD 02/03/2012 0.220% 08/08/2013	313376UV5	5,000,000.00	AA+	Aaa	11/27/12	11/28/12	5,001,135.00	0.19	1,619.44	5,000,577.00	5,001,610.00
FHLB NOTES DTD 02/13/2012 0.280% 08/13/2013	313376WB7	9,700,000.00	AA+	Aaa	11/27/12	11/28/12	9,706,372.90	0.19	3,621.33	9,703,300.33	9,705,412.60
FHLB GLOBAL BONDS DTD 08/01/2008 4.000% 09/06/2013	3133XR88	4,400,000.00	AA+	Aaa	03/22/12	03/27/12	4,629,680.00	0.37	12,222.22	4,468,714.89	4,474,346.80
FNMA NOTES DTD 11/01/2010 0.750% 12/18/2013	31398A5W8	4,725,000.00	AA+	Aaa	08/21/12	08/22/12	4,754,484.00	0.28	10,139.06	4,740,931.90	4,745,719.13
Security Type Sub-Total		23,825,000.00					24,091,671.90	0.24	27,602.05	23,913,524.12	23,927,088.53
Commercial Paper											
BANK OF TOKYO MITSUBISHI UFJ LTD CP -- 0.000% 05/15/2013	06538BSF1	2,200,000.00	A-1	P-1	01/14/13	01/15/13	2,198,093.33	0.26	0.00	2,199,300.88	2,199,443.40
NORDEA NORTH AMERICA INC COMM PAPER -- 0.000% 05/30/2013	6555P0SW0	4,000,000.00	A-1+	P-1	12/03/12	12/04/12	3,994,296.67	0.29	0.00	3,998,098.88	3,998,788.00
BANK OF TOKYO MITSUBISHI LTD COMM PAPER -- 0.000% 06/12/2013	06538BTC7	2,590,000.00	A-1	P-1	02/12/13	02/12/13	2,587,841.67	0.25	0.00	2,588,705.00	2,588,873.35
TODOTA MOTOR CREDIT CORP COMM PAPER -- 0.000% 07/10/2013	89233GUA2	2,590,000.00	A-1+	P-1	01/10/13	01/11/13	2,586,892.00	0.24	0.00	2,588,273.32	2,588,629.89

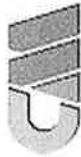


Managed Account Detail of Securities Held For the Month Ending **March 31, 2013**

ALAMEDA CNTY TRANSPORTATION AUTHORITY - 03068500

Security Type/Description Dated Date/Coupon/Maturity	CUSIP	Par	S&P Rating	Moody's Rating	Trade Date	Settle Date	Original Cost	YTM at Cost	Accrued Interest	Amortized Cost	Market Value
Commercial Paper											
GENERAL ELEC CAP CORP COMM PAPER -- 0.000% 07/15/2013	36959HUF2	4,325,000.00	A-1+	P-1	01/14/13	01/15/13	4,319,998.62	0.23	0.00	4,322,098.66	4,322,746.68
US BANK NA COMM PAPER -- 0.000% 09/03/2013	9033EOW36	2,100,000.00	A-1+	P-1	03/07/13	03/08/13	2,098,120.50	0.18	0.00	2,098,372.50	2,097,309.90
Security Type Sub-Total		17,805,000.00					17,785,242.79	0.25	0.00	17,794,849.24	17,795,791.22
Certificate of Deposit											
RABOBANK NEDERLAND NV NY CERT DEPOS DTD 01/14/2013 0.270% 07/15/2013	21684BDW1	2,755,000.00	A-1+	P-1	02/01/13	02/04/13	2,755,368.77	0.24	1,591.01	2,755,240.51	2,755,486.53
Security Type Sub-Total		2,755,000.00					2,755,368.77	0.24	1,591.01	2,755,240.51	2,755,486.53
Managed Account Sub-Total		62,115,000.00					63,065,428.38	0.26	146,861.37	62,354,166.83	62,378,626.63
Securities Sub-Total		\$62,115,000.00					\$63,065,428.38	0.26%	\$146,861.37	\$62,354,166.83	\$62,378,626.63
Accrued Interest											\$146,861.37
Total Investments											\$62,525,488.00

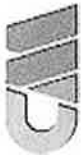




Alameda CTC- Alameda Cnty Trans Authority
Account #470

Holdings Report
As of 3/31/13

CUSIP AGENCY	Security Description	Par Value/Units	Purchase Date Book Yield	Cost Value Book Value	Mkt Price Mkt YTM	Market Value Accrued Int.	% of Port. Gain/Loss	Moody S&P	Maturity Duration
3133XXYX9	FHLB Note 1.875% Due 6/21/2013	2,480,000.00	06/18/2012 0.25 %	2,520,324.80 2,488,900.02	100.40 0.08 %	2,489,907.60 12,916.67	8.44 % 1,007.58	Aaa AA+	0.22 0.22
31331JPK3	FFCB Note 1.375% Due 6/25/2013	2,500,000.00	06/18/2012 0.25 %	2,528,575.00 2,506,546.83	100.32 0.02 %	2,507,910.00 9,166.67	8.49 % 1,363.17	Aaa AA+	0.24 0.23
31398ASD5	FNMA Note 3.875% Due 7/12/2013	1,700,000.00	04/09/2009 2.24 %	1,811,683.20 1,707,344.74	101.09 0.01 %	1,718,451.80 14,455.90	5.85 % 11,107.06	Aaa AA+	0.28 0.28
880591DW9	Tennessee Valley Authority Note 4.75% Due 8/1/2013	4,000,000.00	Various 2.35 %	4,411,330.00 4,030,231.05	101.51 0.21 %	4,060,412.00 31,666.67	13.81 % 30,180.95	Aaa AA+	0.34 0.33
31331KZJ2	FFCB Note 0.35% Due 9/23/2013	1,500,000.00	03/22/2012 0.38 %	1,499,430.00 1,499,818.31	100.10 0.14 %	1,501,530.00 116.67	5.07 % 1,711.69	Aaa AA+	0.48 0.48
31331GCS6	FFCB Note 3.875% Due 10/7/2013	2,300,000.00	02/04/2009 2.89 %	2,397,934.00 2,310,856.03	101.95 0.11 %	2,344,744.20 43,077.08	8.06 % 33,888.17	Aaa AA+	0.52 0.51
3133XSAE8	FHLB Note 3.625% Due 10/18/2013	2,000,000.00	03/03/2009 2.69 %	2,080,600.00 2,009,544.11	101.91 0.14 %	2,038,116.00 32,826.39	6.99 % 28,571.89	Aaa AA+	0.55 0.54
3134A4UK8	FHLMC Note 4.875% Due 11/15/2013	2,500,000.00	01/08/2009 2.44 %	2,776,632.50 2,535,613.90	102.99 0.06 %	2,574,795.00 46,041.67	8.84 % 39,181.10	Aaa AA+	0.63 0.61
3137EACZ0	FHLMC Note 0.375% Due 11/27/2013	2,600,000.00	10/25/2012 0.24 %	2,603,848.00 2,602,326.25	100.14 0.17 %	2,603,520.40 3,358.33	8.80 % 1,194.15	Aaa AA+	0.66 0.65
31398A5W8	FNMA Note 0.75% Due 12/18/2013	2,600,000.00	05/23/2012 0.34 %	2,616,822.00 2,607,662.38	100.44 0.14 %	2,611,401.00 5,579.17	8.83 % 3,738.62	Aaa AA+	0.72 0.71
Total Agency		24,180,000.00	1.44 %	25,247,179.50 24,298,843.62	0.12 %	24,450,788.00 199,205.22	83.17 % 151,944.38	Aaa AA+	0.46 0.45
COMMERCIAL PAPER									
4662J0SM5	JP Morgan Discount CP 0.28% Due 5/21/2013	1,060,000.00	11/20/2012 0.28 %	1,058,499.51 1,058,499.51	99.86 0.28 %	1,058,499.51 1,088.27	3.58 % 0.00	P-1 A-1	0.14 0.14
89233GTC0	Toyota Motor Credit Discount CP 0.31% Due 6/12/2013	1,150,000.00	10/11/2012 0.31 %	1,147,583.72 1,147,583.72	99.79 0.31 %	1,147,583.72 1,703.28	3.88 % 0.00	P-1 A-1+	0.20 0.20
Total Commercial Paper		2,210,000.00	0.30 %	2,206,083.23 2,206,083.23	0.30 %	2,206,083.23 2,791.55	7.45 % 0.00	P1 A-1	0.17 0.17
MONEY MARKET FUND FI									
43114701	Highmark Govt Money Market Fund	183,031.28	Various 0.00 %	183,031.28 183,031.28	1.00 0.00 %	183,031.28 0.00	0.62 % 0.00	Aaa AAA	0.00 0.00
Total Money Market Fund FI		183,031.28	0.00 %	183,031.28 183,031.28	0.00 %	183,031.28 0.00	0.62 % 0.00	Aaa AAA	0.00 0.00
US CORPORATE									
91159HGW4	US Bancorp Note 2% Due 6/14/2013	1,175,000.00	09/13/2012 0.31 %	1,189,628.75 1,179,024.27	100.36 0.21 %	1,179,255.85 6,984.72	4.00 % 231.58	A1 A+	0.21 0.20



CUSIP	Security Description	Par Value/Units	Purchase Date Book Yield	Cost Value Book Value	Mkt Price Mkt YTM	Market Value Accrued Int.	% of Port. Gain/Loss	Moody S&P	Maturity Duration
Total US Corporate									
		1,175,000.00	0.31 %	1,189,628.75 1,179,024.27	0.21 %	1,179,255.85 6,984.72	4.00 % 231.58	A1 A+	0.21 0.20
US TREASURY									
912828PL8	US Treasury Note 0.75% Due 12/15/2013	1,400,000.00	Various 0.23 %	1,408,283.99 1,405,179.33	100.42 0.15 %	1,405,906.60 3,086.54	4.75 % 727.27	Aaa AA+	0.71 0.71
Total US Treasury									
		1,400,000.00	0.23 %	1,408,283.99 1,405,179.33	0.15 %	1,405,906.60 3,086.54	4.75 % 727.27	Aaa AA+	0.71 0.71
TOTAL PORTFOLIO									
		29,148,031.28	1.24 %	30,234,206.75 29,272,161.73	0.13 %	29,425,064.96 212,068.03	100.00 % 152,903.23	Aaa AA+	0.44 0.43
TOTAL MARKET VALUE PLUS ACCRUED						29,637,132.99			



Managed Account Detail of Securities Held

For the Month Ending March 31, 2013

ALAMEDA CNTY TRANSPORTATION IMPROV AUTH - 03068510

Security Type / Description Dated Date / Coupon / Maturity	CUSIP	Par	S&P Rating	Moody's Rating	Trade Date	Settle Date	Original Cost	YTM at Cost	Accrued Interest	Amortized Cost	Market Value
U.S. Treasury Bond / Note											
US TREASURY NOTES DTD 04/30/2008 3.125% 04/30/2013	912828HY9	9,575,000.00	AA+	Aaa	04/26/12	04/27/12	9,857,013.67	0.20	125,638.81	9,597,419.00	9,597,817.23
US TREASURY NOTES DTD 06/30/2008 3.375% 06/30/2013	912828JD3	3,245,000.00	AA+	Aaa	08/21/12	08/22/12	3,332,969.92	0.20	27,530.96	3,270,561.74	3,271,365.63
Security Type Sub-Total		12,820,000.00					13,189,983.59	0.20	153,169.77	12,867,980.74	12,869,182.86
Federal Agency Discount Note											
FEDERAL HOME LOAN BANKS DISC NOTE -- 0.000% 09/20/2013	31385LY0	3,500,000.00	A-1+	P-1	03/28/13	04/01/13	3,497,826.11	0.13	0.00	3,497,826.11	3,497,531.38
Security Type Sub-Total		3,500,000.00					3,497,826.11	0.13	0.00	3,497,826.11	3,497,531.38
Federal Agency Bond / Note											
FANNIE MAE GLOBAL NOTES DTD 08/06/2010 1.000% 09/23/2013	31398A250	3,350,000.00	AA+	Aaa	08/21/12	08/22/12	3,377,034.50	0.26	744.44	3,361,901.98	3,363,611.05
FNMA NOTES DTD 11/01/2010 0.750% 12/18/2013	31398A5W8	3,400,000.00	AA+	Aaa	08/21/12	08/22/12	3,421,216.00	0.28	7,295.83	3,411,464.22	3,414,909.00
Security Type Sub-Total		6,750,000.00					6,798,250.50	0.27	8,040.27	6,773,366.20	6,778,520.05
Commercial Paper											
TOYOTA MOTOR CREDIT CORP COMM PAPER -- 0.000% 07/01/2013	89233GU12	2,000,000.00	A-1+	P-1	01/02/13	01/02/13	1,997,700.00	0.23	0.00	1,998,837.22	1,999,114.00
US BANK NA COMM PAPER 0.000% 09/03/2013	9033EOW36	2,730,000.00	A-1+	P-1	03/07/13	03/08/13	2,727,556.65	0.18	0.00	2,727,884.25	2,726,502.87
Security Type Sub-Total		4,730,000.00					4,725,256.65	0.20	0.00	4,726,721.47	4,725,616.87
Certificate of Deposit											



Managed Account Detail of Securities Held

For the Month Ending **March 31, 2013**

ALAMEDA CNTY TRANSPORTATION IMPROV AUTH - 03068510

Security Type/Description	S&P	Moody's	Trade	Settle	Original	YTM	Accrued	Amortized	Market
Dated Date/Coupon/Maturity	Rating	Rating	Date	Date	Cost	at Cost	Interest	Cost	Value
Certificate of Deposit									
STANDARD CHARTERED BANK NY	A-1+	P-1	10/11/12	10/12/12	4,500,000.00	1.17	12,611.25	4,500,000.00	4,513,680.45
FLOATING CD									
DTD 10/12/2012 0.590% 04/12/2013									
Security Type Sub-Total	4,500,000.00				4,500,000.00	1.17	12,611.25	4,500,000.00	4,513,680.45
Managed Account Sub-Total	32,300,000.00				32,711,316.85	0.34	173,821.29	32,365,894.52	32,384,531.61
Securities Sub-Total	\$32,300,000.00				\$32,711,316.85	0.34%	\$173,821.29	\$32,365,894.52	\$32,384,531.61
Accrued Interest							\$173,821.29		\$173,821.29
Total Investments									\$32,558,352.90

Bolded items are forward settling trades.





Alameda CTC- Alameda Cnty Trans Improv Authority
Account #471

Holdings Report
As of 3/31/13

CUSIP	Security Description	Par Value/Units	Purchase Date Book Yield	Cost Value Book Value	Mkt Price Mkt YTM	Market Value Accrued Int.	% of Port. Gain/Loss	Moody S&P	Maturity Duration
AGENCY									
3137EABM0	FHLMC Note 3.75% Due 6/28/2013	1,750,000.00	12/27/2011 0.29 %	1,840,510.00 1,764,534.45	100.86 0.17 %	1,765,120.00 16,953.13	8.48 % 585.55	Aaa AA+	0.24 0.24
313376J55	FHLB Note 0.375% Due 7/9/2013	1,750,000.00	12/05/2012 0.18 %	1,752,047.50 1,750,947.21	100.07 0.13 %	1,751,190.00 1,494.79	8.34 % 242.79	Aaa AA+	0.27 0.27
880591DW9	Tennessee Valley Authority Note 4.75% Due 8/1/2013	1,000,000.00	10/18/2012 0.22 %	1,035,533.00 1,015,104.62	101.51 0.21 %	1,015,103.00 7,916.67	4.87 % (1.62)	Aaa AA+	0.34 0.33
3135G0BR3	FNMA Note 0.5% Due 8/9/2013	1,500,000.00	10/31/2012 0.20 %	1,503,450.00 1,501,596.09	100.13 0.12 %	1,502,002.50 1,083.33	7.15 % 406.41	Aaa AA+	0.36 0.36
313379R76	FHLB Note 0.25% Due 9/6/2013	1,250,000.00	11/16/2012 0.21 %	1,250,425.00 1,250,230.76	100.05 0.13 %	1,250,636.25 989.58	5.96 % 405.49	Aaa AA+	0.44 0.43
31398A3L4	FNMA Callable Note 1X 3/17/11 1.125% Due 9/17/2013	1,225,000.00	11/16/2012 0.22 %	1,234,187.50 1,230,141.35	100.46 0.13 %	1,230,644.80 535.94	5.86 % 503.45	Aaa AA+	0.47 0.46
31398A2S0	FNMA Note 1% Due 9/23/2013	1,750,000.00	12/27/2011 0.35 %	1,769,600.00 1,755,401.57	100.41 0.15 %	1,757,110.25 388.89	8.37 % 1,708.68	Aaa AA+	0.48 0.48
31331GCS6	FFCB Note 3.875% Due 10/7/2013	1,000,000.00	11/15/2012 0.21 %	1,032,610.00 1,018,963.97	101.95 0.11 %	1,019,454.00 18,729.17	4.94 % 490.03	Aaa AA+	0.52 0.51
31398A4H2	FNMA Callable Note 1X 4/8/11 1.125% Due 10/8/2013	1,535,000.00	10/18/2012 0.21 %	1,548,584.75 1,542,270.71	100.51 0.14 %	1,542,871.48 8,298.59	7.38 % 600.77	Aaa AA+	0.52 0.52
3134G23H3	FHLMC Note 0.5% Due 10/15/2013	1,650,000.00	10/31/2012 0.22 %	1,654,372.50 1,652,475.24	100.19 0.15 %	1,653,123.45 3,804.17	7.89 % 648.21	Aaa AA+	0.54 0.54
313371UC8	FHLB Note 0.875% Due 12/27/2013	1,780,000.00	12/16/2011 0.39 %	1,797,461.80 1,786,397.13	100.52 0.16 %	1,789,330.76 4,066.81	8.54 % 2,933.63	Aaa AA+	0.74 0.74
Total Agency		16,190,000.00	0.25 %	16,418,782.05 16,268,063.10	0.15 %	16,276,586.49 64,261.07	77.78 % 8,523.39	Aaa AA+	0.45 0.44
COMMERCIAL PAPER									
9033E0R40	US Bancorp Discount CP 0.22% Due 4/4/2013	300,000.00	10/04/2012 0.22 %	299,668.17 299,668.17	99.89 0.22 %	299,668.17 326.33	1.43 % 0.00	P-1 A-1+	0.01 0.01
36959HRP4	General Electric Capital Corp Discount CP 0.23% Due 4/23/2013	350,000.00	10/23/2012 0.23 %	349,593.03 349,593.03	99.88 0.23 %	349,593.03 357.78	1.67 % 0.00	P-1 A-1+	0.06 0.06
4662J0S11	JP Morgan Discount CP 0.3% Due 5/1/2013	350,000.00	10/23/2012 0.30 %	349,445.83 349,445.83	99.84 0.30 %	349,445.83 466.67	1.67 % 0.00	P-1 A-1	0.08 0.08
89233GTC0	Toyota Motor Credit Discount CP 0.31% Due 6/12/2013	600,000.00	10/11/2012 0.31 %	598,739.33 598,739.33	99.79 0.31 %	598,739.33 888.67	2.85 % 0.00	P-1 A-1+	0.20 0.20
89233GTL0	Toyota Motor Credit Discount CP 0.3% Due 6/20/2013	350,000.00	10/23/2012 0.30 %	349,300.00 349,300.00	99.80 0.30 %	349,300.00 466.67	1.66 % 0.00	P-1 A-1+	0.22 0.22
Total Commercial Paper		1,950,000.00	0.28 %	1,946,746.36 1,946,746.36	0.28 %	1,946,746.36 2,506.12	9.28 % 0.00	P1 A-1	0.13 0.13

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CUSIP	Security Description	Par Value/Units	Purchase Date Book Yield	Cost Value Book Value	Mkt Price Mkt YTM	Market Value Accrued Int.	% of Port. Gain/Loss	Moody S&P	Maturity Duration
MONEY MARKET FUND FI									
431114701	Highmark Govt Money Market Fund	40,265.68	Various 0.00 %	40,265.68 40,265.68	1.00 0.00 %	40,265.68 0.00	0.19 % 0.00	Aaa AAA	0.00 0.00
Total Money Market Fund FI		40,265.68	0.00 %	40,265.68 40,265.68	0.00 %	40,265.68 0.00	0.19 % 0.00	Aaa AAA	0.00 0.00
NEGOTIABLE CD									
89112X5J0	Toronto Dominion Bank Yankee CD 0.27% Due 4/15/2013	650,000.00	09/17/2012 0.25 %	650,075.70 650,005.05	100.00 0.25 %	650,005.05 955.50	3.10 % 0.00	P-1 A-1+	0.04 0.04
Total Negotiable CD		650,000.00	0.25 %	650,075.70 650,005.05	0.25 %	650,005.05 955.50	3.10 % 0.00	Aaa AAA	0.04 0.04
US CORPORATE									
931142AS2	Wal-Mart Stores Note 7.25% Due 6/1/2013	725,000.00	10/04/2012 0.21 %	757,704.75 733,525.60	101.09 0.68 %	732,904.68 17,520.83	3.57 % (620.92)	Aa2 AA	0.17 0.17
91159HGW4	US Bancorp Note 2% Due 6/14/2013	600,000.00	09/13/2012 0.31 %	607,470.00 602,054.94	100.36 0.21 %	602,173.20 3,566.67	2.88 % 118.26	A1 A+	0.21 0.20
594918AF1	Microsoft Note 0.875% Due 9/27/2013	670,000.00	12/07/2012 0.23 %	673,396.90 672,103.96	100.28 0.30 %	671,871.98 65.14	3.20 % (231.98)	Aaa AAA	0.49 0.49
Total US Corporate		1,995,000.00	0.25 %	2,038,571.65 2,007,684.50	0.42 %	2,006,949.86 21,152.64	9.65 % (734.64)	Aa2 AA	0.29 0.28
TOTAL PORTFOLIO									
		20,825,265.68	0.25 %	21,094,441.44 20,912,764.69	0.19 %	20,920,553.44 88,875.33	100.00 % 7,788.75	Aaa AA+	0.39 0.39
TOTAL MARKET VALUE PLUS ACCRUED		21,009,428.77							

Alameda CTC Board Action Items
Fiscal Year 2012 - 2013

Commission Meeting Date	Item Title	Item #	Item Description / Staff Recommendation	Motion	2nd	Vote
26-Jul-12	Approval of Consent Calendar	6A	Minutes of June 26, 2012	Haggerty	Atkin	18-0
		6B	Summary of the Alameda CTC's Review and Comments on Environmental Documents and General Plan Amendments Prepared by Local Jurisdictions			
		6C	Approval of Issuance of a Request for Proposals (RFP) for Southbound I-680 Express Lane			
		6D	Approval of Amendment No. 3 to the 2012 Level of Service (LOS) Monitoring Study Contract			
		6E	Review of Plan Bay Area Notice of Preparation (NOP) for a Draft Environmental Impact Report (EIR)			
		6F	Approval of Resolutions of Local Support for Approved Lifeline Funding for Community-based Transportation Plan Updates			
		6G	Review of Vehicle Registration Fee (VRF) Transportation Technology Program			
		6H	Review of California Transportation Commission (CTC) June 2012 Meeting Summary			
		6I	Approval of I-Bond Project Funding Plan Revisions and Amendments to Professional Service Agreements - Recommendation 1 in Staff Report		Haggerty	18-0
		6J	Remaining Recommendations in Staff Report			
		6K	Safe Routes to School Program - Approval of Amendment No. 1 to the Alta Consulting Professional Services Agreement (Agreement #A11-0019)	Kaplan Haggerty	Chan Atkin	17-1 18-0
		6L	I-80 Gilman Interchange Project - Approval of Amendment No. 1 to Extend the PB Americas Inc. Professional Services Contract			
		6M	Expiration Date and Revise the Scope	Harper	Haggerty	18-0
		6N	I-580 off-ramp at 106th Project - Approval of Amendment No. 1 to Extend the URS Corporation Professional Services Contract			
		6O	Expiration Date			
		6P	I-80 Integrated Corridor Mobility Project (ICM) Project - Approval to Execute a Contract for Project No. 2 to Provide Specialty Material and Equipment			
		6Q	I-580 San Leandro Soundwall Landscape Project - Approval to Execute a Contract for the Construction Contract			
		6R	Central County Same Day Transportation Services - Award of Contract to St. Mini Cab Corporation			
		6S	Approval of Amendment No. 1 to the Acumen Contract for Transportation Planning Services			
		6T	Approval of Issuance of a Request for Proposals (RFP) for Project Controls Services and Authorization to Negotiate and Execute a Contract			
		6U	Approval of Appointments for the Community Advisory Committees			
		6V				

Alameda CTC Board Action Items **Fiscal Year 2012 - 2013**

27-Sep-12	Approval of Programs and Projects Committee Action Items	9A	Approval of Altamont Commuter Express (ACE) FY 2012/13 Baseline Service Plan Amendment	Haggerty	Miley	18-0
		9B	Approval of Transportation Fund for Clean Air (TFCA) Final FY 2012/13 Program			
		6A	Minutes of July 27, 2012			
		6B	Congestion Management Program (CMP): Summary of the Alameda CTC's Review and Comments on Environmental Documents and General Plan Amendments			
		6C	Review of California Transportation Commission (CTC) August 2012 Meeting Summary	Atkin	Haggerty	18-0
		6D	Approval of City of Newark's Request to Extend Expiration Date for Measure B Bicycle and Pedestrian Countywide Discretionary Fund Grant Agreement No. A09-0022, Newark Pedestrian and Bicycle Master Plan	Atkin	Kaplan	17-0
		6E	Approval of the City of Oakland's Request to Extend the Agreement Expiration Date for Measure B Transit Center Development Grant Agreement No. A07-0019, 7th Street, West Oakland Transit Village Project			
		6F	Approval of Transportation Fund for Clean Air (TFCA) Program Expenditure Deadline Extension Request for City of Hayward TFCA Project 10ALA04, Traffic Signal Controller Upgrade and Signalization			
		6G	Approval of TFCA Program Expenditure Deadline Extension Request for Alameda CTC TFCA Projects 08ALA01 and 09ALA01, Webster Street Corridor Enhancements			
		6H	Altamont Commuter Express Rail Project (ACTIA No. 01) Approval of Allocation of 2000 Measure B Capital Program Funding			
	Approval of Consent Calendar	6I	Eastbound I-580 HOV Lane Project (RM2 Subproject 32.1d) - Approval of the Initial Project Report to Request MTC Allocation of Regional Measure 2 Funds for Construction of I-580 Eastbound Auxiliary Lane (Segment 3), Project No. 420.5			
		6J	Webster St. SMART Corridor Project - Approval to Award a Construction Contract			
		6K	Approval of Issuance of a Request for Proposals (RFP) for Design Services and Authorization to Negotiate and Execute a Contract for Landscape and Hardscape Enhancements at I-880/Marina Boulevard and Davis Street Interchanges in the City of San Leandro			
		6L	Approval of Alameda CTC Fiscal Year End 2011-12 Consolidated Year-End Investment Report			
		6M	Approval of the Semi-Annual Contract Equity Utilization Report and Contract Award Report for January 1, 2012 through June 30, 2012			
		6N	Approval of Issuance of a Request for Proposals for Financial Advisory Services and Authorization to Negotiate and Execute a Contract			

Alameda CTC Board Action Items **Fiscal Year 2012 - 2013**

25-Oct-12	Approval of Consent Calendar	60	Approval of Appointments for the Community Advisory Committees			
		6A	Minutes of September 27, 2012	Blalock	Worthington	22-0
		6B	Legislative Update			
		6C	Review of Congestion Management Plan (CMP): Draft 2012 Conformity Requirements			
		6D	Approval of Final Draft Countywide Bicycle and Pedestrian Plans			
		6E	Review of Safe Routes to Schools Program 2011-2012 Year-End Report and Update			
		6F	Approval of Final Draft Alameda CTC Complete Streets Elements	Miley	Kaplan	22-0
		6G	Approval of State Transportation Improvement Program (STIP) At Risk Report	Blalock	Worthington	22-0
		6H	Approval of Federal Surface Transportation/Congestion Mitigation and Air Quality (STP/CMAW) Program At Risk Report			
		6I	Approval of CMA Exchange Program Quarterly Status Monitoring Report			
		6J	Approval of Transportation Fund for Clean Air (TFCA) Program At Risk Report			
		6K	Review of FY 11-12 Alameda CTC Program Status Update on Pass-through Fund and Grant Programs			
		6L	Review of California Transportation Commission (CTC) September 2012 Meeting Summary			
		6M	East Bay Greenway - Authorization to Execute all Necessary Agreements for Construction Management of East Bay Greenway Segment			
		6N	Isabel Avenue/Route 84/I-580 Interchange Project (ACTC No. 623) - Approval of Reduction of 2000 Measure B Allocated Amount by \$1.5 million and of Amendments to Project Specific Funding Agreements A07-0058 and A08-0045 (Amendments No. 1 and 2, respectively) between the Alameda CTC and the City of Livermore to shift the Allocated Measure B Funding Between Phases and to Extend the Termination Dates			
		6O	Dumbarton Corridor Project (ACTC No. 625) - Approval of Time Extension for Project Specific Funding Agreement No. A05-0007 (Amendment No. 5) Between the Alameda CTC and San Mateo County Transportation Authority			
		6P	Eastbound I-580 High Occupancy Toll (HOT) Project (ACTC No. 720.4) - Approval of Time Extension for Professional Service Agreement No. A08-030 (Amendment No. 2) Between the Alameda CTC and Solem and Associates			
		6Q	Oakland Airport Connector Project (ACTC Project No. 603) - Approval of Time Extension for Project Specific Funding Agreement No. A06-0041 (Amendment No. 4) Between the Alameda CTC and the San Francisco Bay Area Rapid Transit District (BART)			

Alameda CTC Board Action Items
Fiscal Year 2012 - 2013

6R	I-880 Operational and Safety Improvements at 23rd and 29th Avenues Project (ACTC Project No. 717.0) - Approval of the Initial Project Report to Request MTC Allocation of Regional Measure 2 Funds for Construction Support				
6S	I-238 Widening Project (ACTC No. 621.0) - Approval of Reduction CMA TIP Programmed Amount for the I-Bond Project Development Closeout, Construction Phase Support and Project Closeout; and Adopt the I-238 Widening Project Closeout into the CMA TIP, and Authorize Related Amendments to Existing Agreements and Contracts				
6T	Alameda CTC Semi-Annual Capital Projects Status Update				
6U	Approval of Alameda County Technical Advisory Committee (ACTAC) Bylaws				
6V	Approval of Exchange Program Reimbursement Policy				
6W	Approval of Advisory Committee Appointments				
8A	East Bay Greenway - Adoption of Initial Study/Mitigated Negative Declaration (IS/MND) for 12-Mile East Bay Greenway Project	Kaplan	Worthington		22-0
9A	Approval of Priority Development Area (PDA) Readiness Criteria Recommendation 1			Worthington	22-0
	Recommendation 2			Haggerty	22-0
9B	Approval of Draft One Bay Area Grant (OBAG) Program Guidelines Elements			Haggerty	22-0
6A	Minutes of October 25, 2012				
				Haggerty	20-0 (1 abstained, Thorne)
					21-0
6B	Congestion Management Program (CMP): Summary of the Alameda CTC's Review and Comments on Environmental Documents and General Plan Amendments				
6C	Approval of Congestion Management Program: Final 2012 Annual Conformity Requirements				
6D	Approval of Draft 2013 Alameda CTC Legislative Program				
					20-0 (1 abstained, Thorne)
					21-0
6E	Presentation from Contra Costa Transportation Authority (CCTA) on State Route 239 (TriLink) Study				
6F	Approval of Issuance of a Request for Qualifications (RFQ) for a Sustainable Communities Technical Assistance Program (SC-TAP)				
6G	Approval of the Alameda-Contra Costa Transit District's (AC Transit) Request to Extend the Agreement Expiration Date for the Measure B Paratransit Gap Grant Agreement No. A08-0026, New Freedom Fund Match Project				
6H	Approval of the Reprogramming of Cycle 2 Lifeline Transportation Program Funding				
6I	California Transportation Commission (CTC) October 2012 Meeting Summary				

Approval of Programs and Projects Committee Action Items
Approval of Planning, Policy and Legislation Committee Action Items

6-Dec-12 Approval of Consent Calendar

Alameda CTC Board Action Items **Fiscal Year 2012 - 2013**

6J	Approval of Priority Conservation Area (PCA) Process and Schedule				
6K	Report of Pavement Condition of Bay Area Jurisdictions 2011 by the Metropolitan Transportation Commission (MTC)				
6L	I-680 Northbound Express Lane Project (ACTIA 8B) - Allocation of 2000 Measure B Capital Funding and Approval of Amend the Professional Services Agreement with WMH Corporation for Expanded Scope of Services				
6M	Telegraph Avenue Corridor Transit Project (APN 607.0) - Approval of Allocation of Measure B Funding for the Plans, Specifications and Estimate (Design) Phase				
6N	Approval of Authorization for Staff to negotiate and/or coordinate with California Department of Transportation (Caltrans) to negotiate for the sale of the Alameda CTC-owned Property (APN 543-275-12-2) and Update on the Draft Disposal Plan for State-owned Right-of-way that was Purchased for the Former Route 84 Historic Parkway in Fremont and Union City				
6O	Approval of the Executive Director's Salary for Fiscal Year for 2012-13				20-0 (1 abstained, Thorne) 21-0
6P	Approval of the Alameda CTC FY 2012-13 First Quarter Investment Report				
6Q	Approval of the Alameda CTC FY 2012-13 First Quarter Financial Report				
6R	Approval of the ACCMA Draft Audited Basic Financial Statements for the Eight Months Ended February 29, 2012				
6S	Approval of the ACTIA Draft Audited Basic Financial Statements for the Eight Months Ended February 29, 2012				
6T	Approval of Advisory Committee Appointments				
8A	Review of Draft Priority Development Area (PDA) Readiness Classification	Haggerty	Sabranti		26-0
8B	Review of Draft One Bay Area Grant Program Guidelines	Javandel	Atkin		26-0
4A	Election of Chair	Reid	Marchand		25-0
4B	Election of Vice Chair	Capitelli	Reid		25-0
6A	Minutes of December 6, 2012	Blalock	Carson		26-0
6B	Congestion Management Program: Summary of the Alameda CTC's Review and Comments on Environmental Documents and General Plan Amendments				
6C	2012 level of Service Monitoring Study Results				
6D	Approval of the 2013 Countywide Travel Demand Model Update Process and Authorization to Execute a Contract with the Santa Clara Valley Transportation				
6E	Approval of Contract Amendment #1 for the Southbound I-680 Express Lane Evaluation "After" Study				
6F	Approval of a Resolution of Local Support for Federal Funding for the Alameda CTC's Sustainable Communities Technical Assistance Program				

Approval of Planning, Policy and Legislation Committee Action Items

24-Jan-13 Election of Chair and Vice Chair

Approval of Consent Calendar

**Alameda CTC Board Action Items
Fiscal Year 2012 - 2013**

6G	Measure B Paratransit Program - Approval of the Measure B-funded Cycle 5 Gap Grant Program Gap Cycle 5 Program				
6H	Approval to Submit Investment Justifications and Project Applications for the State Proposition 1B Transit System Safety, Security & Disaster Response Account (TSSSDRA) Funds for Fys 2010-11, 2011-12 and 2012-13				
6I	Approval of Issuance of a Request for Proposal for Professional Services, Authorization to Negotiate and Execute a Contract, and Approve Resolution for Federal Funding for Countywide Safe Routes to School Services				
6J	California Transportation Commission December 2012 Meeting Summary				
6K	I-880/Marina Boulevard Interchange Improvements (APN 750.0) - Approval of Amendment No. 4 to the Professional Services Agreement with BKF Engineers (Agreement No. A08-016)				
6L	I-580 Westbound Express (HOT) Lane Project (APN 724.1) - Approval of Amendment No. 2 to the Professional Services Agreements with URS Corporation (Agreement No. A11-0024)				
6M	East Bay Greenway Project (ACTIA 28) - Approval of a Construction Contract for the Construction of the East Bay Greenway Project - Segment 7A				
6N	Adoption of the Alameda CTC 2013 Regular Meeting Schedule				
6O	Approval of the Alameda CTC Draft Audited Annual Financial Report and the ACTIA Limitations Worksheet for the Fiscal Year Ended June 30, 2012				
6P	Staff Salaries and Benefits Resolution for Fiscal Year 2013-14				
6Q	Update on Office Relocation				
6R	Approval of Advisory Committee Appointments				
8A	Legislative Update and Approval of Legislative Positions	Reid	Sbranti	27-0	
Approval of Planning, Policy and Legislation Committee Action Items					
28-Feb-13	Approval of Consent Calendar				
5A	Minutes of January 24, 2013				
5B	Congestion Management Program: Summary of the Alameda CTC's Review and Comments on Environmental Documents and General Plan Amendments				
5C	Approval of State Transportation Improvement Program (STIP) At Risk Report				
5D	Approval of Federal Surface Transportation/Congestion Mitigation and Air Quality (STP/CMAW) Program At Risk Report				
5E	Approval of Transportation Fund for Clean Air (TFCA)				
5F	Approval of Transportation for Clean Air (FY) 2013-14 Expenditure Plan Application				
5G	California Transportation Commission (CTC) January 2013 Meeting Summary	Blalock	Reid	27-0	
5H	East Bay Greenway Project – Segment 7A (ACTIA 28) – Approval of Amendment No. 2 to the Professional Services Agreement with HQE Incorporated (Agreement No. A10-0026)				

Alameda CTC Board Action Items
Fiscal Year 2012 - 2013

28-Mar-13	5I	I-580 San Leandro Soundwall & Landscape Project (ACTC 774.0) – Approval of Amendment No. 4 to the Professional Services Agreement with Mark Thomas & Company (Agreement No. A06-003)			
	5J	Alameda CTC Fiscal Year 2012-2013 Second Quarter Consolidated Investment Report	Atkin	Blalock	27-0
	5K	Approval of the Fiscal Year 2013-2014 Administration Support Professional Services Contracts Plan	Blalock	Reid	27-0
	5L	Approval and Adoption of the Alameda County Transportation Commission HRA Retiree Benefit for the 2013 Calendar Year			
	5M	Update on Office Relocation			
	5N	Approval of Advisory Committee Appointments			
	5O	Approval of the Consolidated FY 2012-13 Second Quarter Financial Report			
	7A	Legislative Update and Approval of Legislative Positions	Atkin	Kaplan	27-0
	7B	Approval to Release the Draft Alameda County Priority Development Area (PDA) Investment and Growth Strategy for Review and Comment	Kaplan	Carson	27-0
	7C	Approval of Sustainable Communities Technical Assistance Program (SC-TAP) Program Guidelines and Budget	Kaplan	Chiang	27-0
	8A	Approval of Annual Update to the Alameda CTC Transportation Fund for Clean Air (TFCA) Program Guidelines to Conform to the Air District's	Kaplan	Chan	27-0
	8B	580 PAC Standing Committee & Administrative Code Amendment	Marchand	Biddle	27-0
	6A	Minutes of February 28, 2013	Blalock	Carson	17-0
	6B	I-580 Corridor High Occupancy Vehicle (HOV) Lane Projects Status Update			
	6C	I-580 Express (HOT) Lane Projects Status Update			
	6D	I-580 Express (HOT) Lane System Integration Status Update			
	6E	Congestion Management Program: Summary of the Alameda CTC's Review and Comments on Environmental Documents and General Plan Amendments	Capitelli	Atkin	17-0
	6F	Approval of Policy Framework for Planning, Programming and Monitoring at Alameda CTC	Blalock	Carson	17-0
	6G	Approval of the Final Alameda County Priority Development Area Investment and Growth Strategy			
	6H	Review of Complete Streets Local Policy Approvals Update			
	6I	Dumbarton Rail Corridor (ACTIA No. 25) – Approval of the Deadline Extension for Environmental Clearance and Full Funding for the Measure B Transportation Sales Tax Program Funded Project			

Approval of Planning, Policy and Legislation Committee Action Items

Approval of Programs and Projects Committee Action Items

Approval of Consent Calendar

Alameda CTC Board Action Items
Fiscal Year 2012 - 2013

6J	Route 238/Mission-Foothill-Jackson Corridor Improvement Project (ACTA No. 238) – Authorization to Execute Amendments to Project Funding Agreements to Transfer Funds from the Right-of-Way to the Construction Phase of the Project				
6K	Approval of an Amendment to the Fiscal Year 2012-2013 Professional Services Agreement with Koff & Associates for Human Resource Consulting Services				
6L	Approval of a Proposed Update to the Fiscal Year 2012-2013 Consolidated Budget for the Alameda CTC				
6M	Approval of Paperless Distribution of Alameda CTC Commission, Committee and Subcommittee Meeting Packets				
6N	Approval of Advisory Committee Appointments				
6A	Minutes of March 28, 2013		Kaplan		
6B	I-580 Corridor High Occupancy Vehicle (HOV) Lane Projects Status Update			Blalock	21-0 (1 abstained, Ortiz)
6C	I-580 Express (HOT) Lane Projects Status Update				
6D	I-580 Express (HOT) Lanes Work Plan				
6E	Congestion Management Program (CMP): Summary of the Alameda CTC's Review and Comments on Environmental Documents and General Plan Amendments				
6F	Approval of 2013 Alameda CTC Retreat Outcomes for Planning Studies Prioritization, Outreach Approach and Implementation Timeline				
6G	Approval of Strategic Planning and Programming Policy for Integration with the 2013 Congestion Management Program (CMP) Update and 2014 State Transportation Improvement Plan (STIP) Development Process				
6H	California Transportation Commission (CTC) March 2013 Meeting Summary				
6I	Approval for Continuation of Countywide Bicycle Safety Education Program				
6J	Draft Vehicle Registration Fee (VRF) FY 2013/14 Allocation Plan				
6K	Measure B Special Transportation for Seniors and People with Disabilities Gap Grant Cycle 5 Program Summary of Applications Received				
6L	Approval of Draft FY 2013/14 Measure B Capital Program Allocation Plan Update and Assumptions				
6M	Downtown Oakland Streetscape Improvements (ACTC Project No.604.0) Approval of Time Extension for Project Specific Funding Agreement No.2003-02 (Amendment No. 2) Between the Alameda CTC and the City of Oakland				
6N	Westgate Parkway Extension Project (ACTIA 18B) - Allocation of 2000 Measure B Capital Funding				

25-Apr-13 **Approval of Consent Calendar**

Alameda CTC Board Action Items
Fiscal Year 2012 - 2013

Approval of Planning, Policy and Legislation Committee Action Items	6Q	Approval of the Consolidated FY 2012-13 Third Quarter Financial Report	Kaplan	Blalock	22-0
	8A	Approval of Legislative Positions and Updates <ul style="list-style-type: none"> • AB 431 - Oppose • AB 574 - Support • AB 935 - Watch and Seek • HR 974 - Support • SB 791 - Oppose • SB 391 - Support 	Kaplan	Worthington	22-0
Approval of Programs and Projects Committee Action Items			Kaplan	Worthington	22-1 (opposed, Cutter-Russo)
	9A	Approval of 2013 Capital Improvement Program and Programs Investment Plan Revenue Assumptions and Review of the Development Methodology	Sbranti	Capitelli	22-0
Finance and Administration Committee Action Items	9B	Approval of 2014 State Transportation Improvement Program (STIP) Principles	Capitelli	Dutra-Vernaci	22-0
	9C	Approval of Draft FY 2012-13 Coordinated Funding Program	Kaplan	Dutra-Vernaci	22-0
	10A	Approval of the Fiscal Year 2013-2014 Draft Proposed Consolidated Budget for the Alameda County Transportation Commission	Worthington	Kaplan	22-0

July 9, 2012 CWC Meeting

- Public Hearing on CWC Annual Report
- Addressing Public Comments
- Finalizing Annual Report and Publications
- Financial Update: Final Budget for Fiscal Year 12-13
- CWC Watch List for FY 2012-2013 (send letter to Jurisdictions reminding them of keeping CWC informed on projects/programs)

August 2012

- CWC Pre-Audit Subcommittee Meeting

October 2012

- CWC Post-Audit Subcommittee Meeting

November 19, 2012 CWC Meeting

- Audited Financials for Prior Fiscal Year End
- Quarterly Investment Report
- CWC Annual Report Outreach Summary and Publication Costs Update
- Update on Program Compliance Workshop
- Quarterly Alameda CTC Commission Action Items

December 2012

- Joint Commission Audit Committee and CWC Audit Subcommittee Meeting

January 14, 2013 CWC Meeting

- Sponsor Compliance Audits and Reports – Forwarded to CWC without Staff Analysis
- Projects and Programs Overview/Update
- Project Sponsor Presentations – if requested
- Quarterly Alameda CTC Commission Action Items

February 2013

- Email to CWC members the mid-year budget update the same time it goes to the Finance and Administration Committee (FAC)

Fiscal Year 2012-2013 Calendar of CWC Meetings and Activities

*CWC meets quarterly on the second Monday from 6:30 to 8:30 p.m.
at the Alameda CTC offices*

March 11, 2013 CWC Meeting

- Summary of Sponsor Audits/Programs – Report Card to CWC
- Establishment of CWC Annual Report Subcommittee
- Draft Compliance Summary and Audit Report
- Mid Year Budget Update
- Quarterly Investment Report
- Quarterly Alameda CTC Commission Action Items
- Project Sponsor Presentations – if requested

April 2013 CWC Annual Report Subcommittee Meeting

- Prepare Draft Annual Report

June 10, 2013 CWC Meeting

- Finalize Draft Annual Report
- Election of Officers
- Approval of Bylaws
- Approval of FY 2013-2014 Annual Calendar
- Final Strategic Plan
- Financial Update: Final Budget Update for Fiscal Year 12-13
- Proposed Budget for the Next Fiscal Year
- Quarterly Investment Report
- Quarterly Alameda CTC Commission Action Items
- Project Sponsor Presentations – if requested

June 2013

- CWC Pre-Audit Subcommittee Meeting

**Alameda County Transportation Commission
Citizens Watchdog Committee
Roster - Fiscal Year 2012-2013**

**CWC Meeting 06/10/13
Attachment 11B2**

	Title	Last	First	City	Appointed By	Term Began	Re-apptmt.	Term Expires	Mtgs Missed Since July '12*
1	Mr.	Paxson, Chair	James	Pleasanton	East Bay Economic Development Alliance	Apr-01		N/A	0
2	Ms.	Saunders, Vice-Chair	Harriette	Alameda	Paratransit Advisory and Planning Committee	Jul-09		N/A	1
3	Ms.	Brady	Petra Olivia	Oakland	Alameda County Mayors' Conference, D-4	Oct-11		Oct-13	3
4	Mr.	Dubinsky	Peter "Mike"	Fremont	Alameda County Supervisor Richard Valle, D-2	Oct-10	Mar-13	Mar-15	0
5	Mr.	Geen	Arthur B.	Oakland	Alameda County Taxpayers Association	Jan-01		N/A	4
6	Ms.	Hamlet	Sandra	Oakland	East Bay Bicycle Coalition	Apr-13		N/A	0
7	Mr.	Haussener	James	Castro Valley	Alameda County Supervisor Nate Miley, D-4	Feb-10	Sep-12	Sep-14	0
8	Mr.	Jones	Steven	Dublin	Alameda County Mayors' Conference, D-1	Dec-12		Dec-14	0
9	Mr.	Klinke	William	Berkeley	Alameda Labor Council AFL-CIO	Feb-13		N/A	0
10	Ms.	Lew	Jo Ann	Union City	Alameda County Mayors' Conference, D-2	Oct-07	Oct-11	Oct-13	0
11	Ms.	Taylor	Deborah	Oakland	Alameda County Supervisor Wilma Chan, D-3	Jan-13		Jan-15	0
12	Mr.	Zukas	Hale	Berkeley	Alameda County Supervisor Keith Carson, D-5	Jun-09	Apr-12	Apr-14	0
13		Vacancy			Alameda County Mayors' Conference, D-3				
14		Vacancy			Alameda County Mayors' Conference, D-5				
15		Vacancy			Alameda County Supervisor Scott Haggerty, D-1				
16		Vacancy			League of Women Voters				
17		Vacancy			Sierra Club				

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