

Citizens Watchdog Committee Meeting Agenda

Thursday, December 1, 2011, 6 to 8 p.m. 1333 Broadway, Suite 300, Oakland, CA 94612

Meeting Outcomes:

- Receive an update on the fiscal year 2011-2012 (FY 11-12) financial statement reporting and quarterly investment report
- Receive an outreach summary on the CWC Annual Report publication
- Receive an update on the Program Compliance Workshop
- Receive a semi-annual update on the Local Business Enterprise and Small Local Business Enterprise Program

6:00 – 6:05 p.m.	1. Welcome and Introductions	
6:05 – 6:10 p.m.	2. Public Comment	I
6:10 – 6:15 p.m.	3. Approval of June 13, 2011 and July 11, 2011 Minutes OB CWC Meeting Minutes 061311.pdf - Page 1 OBA CWC Meeting Minutes 071111.pdf - Page 7	4
6:15 – 6:45 p.m.	 4. Financial Update A. Financial Statement Reporting: FY 11-12 First Quarter Report	I
6:45 – 7:15 p.m.	 5. CWC Annual Report Outreach Summary A. Summary of Outreach and Costs O5A Memo Annual Report Outreach.pdf - Page 35 O5A1 Summary Publication Costs.pdf - Page 39 B. Summary of Feedback 	I

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7:15 – 7:40 p.m. **6. Program Compliance Workshop Update**

06 EOY ComplianceWorkshop Agenda Packet

FY11-12.pdf – **Page 43**

<u>06A ComplianceWorkshop Attendees FY11-12.pdf</u> – **Page 101** 06B EOY Compliance Reporting Schedule FY11-12.pdf – **Page 105**

Discussion of CWC member review process and procedures of Compliance Reports will also take place.

7:40 – 8:00 p.m. **7. CWC Member Reports/Issues Identification**

07 CWC Issue Identification Process and Form.pdf - Page 109

8:00 – 8:30 p.m. **8. Staff Reports/Board Actions**

A. Semi-Annual Local Business Enterprise/Small Local Business Enterprise Report Update

<u>08A Memo LBCE Report 010111-063011.pdf</u> - Page 113

B. Countywide Transportation Plan and Transportation Expenditure Plan Update

<u>08B CWTP-TEP Overview.pdf</u> –Page 125

<u>08B1 Regional SCS-RTP CWTP-TEP Process.pdf</u> –Page 127

<u>08B2 Draft TEP Chapters 1,3,4.pdf</u> -Page 139

<u>08B3 Draft TEP Chapter2 Prelim List of Projects and</u>

<u>Programs.pdf</u> –Page 153

C. General Items

<u>8C Alameda CTC Action Items.pdf</u> – Page 171

<u>8C1 CWC Calendar FY11-12.pdf</u> – Page **187**

<u>8C2 CWC Roster.pdf</u> – **Page 189**

8:30 p.m. **9. Adjournment**

Key: A – Action Item; I – Information/Discussion Item; full packet available at www.alamedactc.org

Next Meeting:

Date: January 9, 2012 Time: 6:30 to 8:30 p.m.

Location: Alameda CTC Offices, 1333 Broadway, Suite 300, Oakland, CA 94612

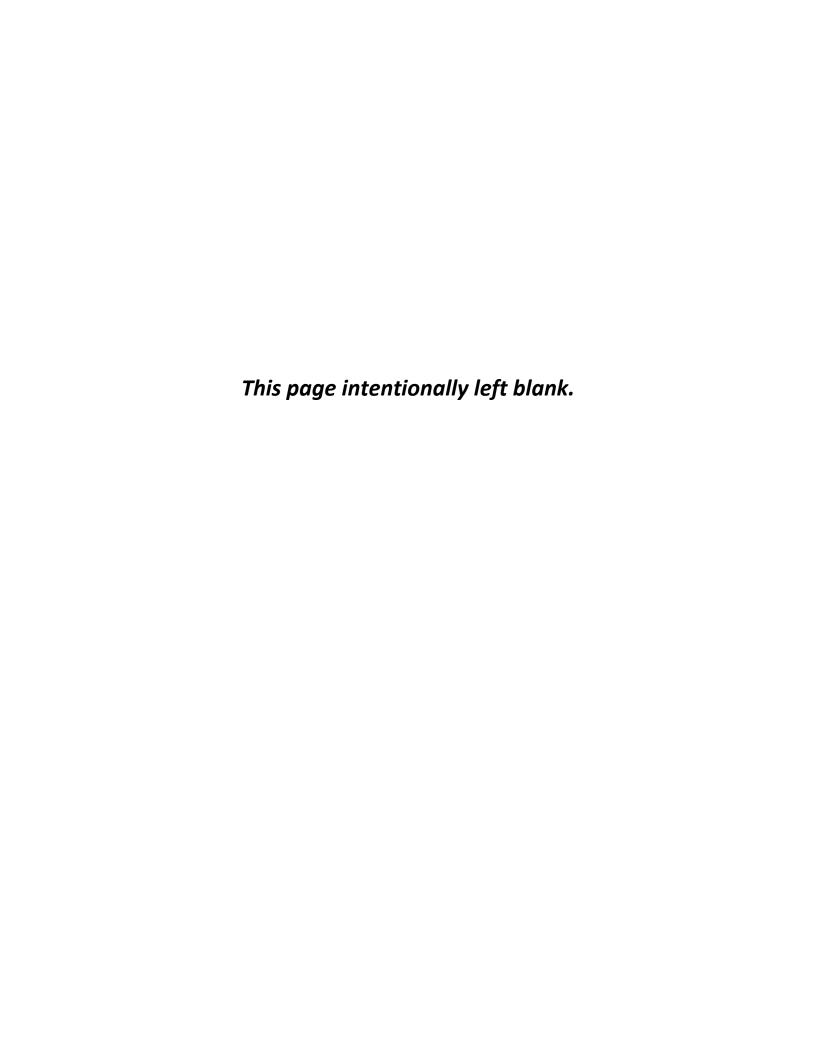
Staff Liaisons

Tess Lengyel, Deputy Director or Policy, Public Affairs and Legislation, (510) 208-7428, tlengyel@alamedactc.org Patricia Reavey, Director of Finance, (510) 208-7422, preavey@alamedactc.org Angie Ayers, Public Meeting Coordinator, (510) 208-7450, aayers@alamedactc.org

Location Information: Alameda CTC is located in Downtown Oakland at the intersection of 14th Street and Broadway. The office is just a few steps away from the City Center/12th Street BART station. Bicycle parking is available inside the building, and in electronic lockers at 14th and Broadway near Frank Ogawa Plaza (requires purchase of key card from bikelink.org). There is garage parking for autos and bicycles in the City Center Garage (enter on 14th Street between Broadway and Clay). Visit the Alameda CTC website for more information on how to get to the Alameda CTC: http://www.alamedactc.com/directions.html.

Public Comment: Members of the public may address the committee regarding any item, including an item not on the agenda. All items on the agenda are subject to action and/or change by the committee. The chair may change the order of items.

Accommodations/Accessibility: Meetings are wheelchair accessible. Please do not wear scented products so that individuals with environmental sensitivities may attend. Call (510) 893-3347 (Voice) or (510) 834-6754 (TTD) five days in advance to request a sign-language interpreter.





1333 Broadway, Suites 220 & 300

Oakland, CA 94612

PH: (510) 208-7400

www.AlamedaCTC.org

Alameda CTC Citizens Watchdog Committee Meeting Minutes Monday, June 13, 2011, 5:30 p.m., 1333 Broadway, Suite 300, Oakland

Atte	endance Key (A = Absent, P = Present)
Members:	
P James Paxson, Chair	P Thomas Gallagher P Hale Zukas
P Jo Ann Lew, Vice Chair	A Arthur Geen
A Pamela Belchamber	P James Haussener
P Roger Chavarin	A Erik Jensen
<u>A</u> Mike Dubinsky	P Harriette Saunders
Staff:	
P Arthur L. Dao, Executive Director	P Patricia Reavey, Director of Finance
P Tess Lengyel, Deputy Director of P	olicy P Angie Ayers, Acumen Building Enterprise, Inc.
Public Affairs and Legislation	

1. Welcome and Introductions

James Paxson, CWC Chair, called the meeting to order at 6:35 p.m. The meeting began with introductions, and James listed the desired meeting outcomes.

2. Public Comments

There were no public comments.

3. Approval of March 14, 2011 Minutes

James Haussener moved to approve the March 14, 2011 minutes as written. Roger Chavarin seconded the motion. The motion carried unanimously (7-0).

4. Election of Officers for Fiscal Year 2011-2012 and Approval of CWC Bylaws

Tess Lengyel explained to the committee that staff updated the bylaws primarily in response to the recent merger of the Alameda County Transportation Improvement Authority (ACTIA) and the Alameda County Congestion Management Agency. She stated that this was also an opportunity to make the bylaws between the agency's four community advisory committees as uniform as possible.

Questions/feedback from the members:

 Replace Article 2.2.2 with language from the 2000 Expenditure Plan "The Committee will have full access to the Agency's independent auditor and will have authority to request and review specific information and to comment on the auditor's reports."

- Update Article 3.5 Attendance add after more than three absences "during a fiscal vear."
- Delete Article 3.6.2.
- Update Article 4.1.1 to change committees to subcommittees.

James Haussener moved to approve the CWC Bylaws with corrections to the aforementioned articles. Tom Gallagher seconded the motion. The motion passed unanimously (7-0).

Election of officers:

Tom Gallagher nominated James Paxson for chair and Jo Ann Lew as vice chair. Jo Ann declined the nomination. Jo Ann Lew nominated Harriette Saunders as the vice chair. James Haussener seconded the motion. The motion passed unanimously (7-0).

5. CWC Subcommittee Reports

A. Review of Draft CWC Annual Report and Discussion of Publication Methods and Costs Chair Paxson thanked the individuals who participated in the Annual Report Subcommittee meetings.

Questions/feedback from members:

A CWC member inquired about where the \$3 billion number on page 29 came from, since the 2000 Expenditure Plan mentions \$1.4 billion. Art Dao and Hale Zukas stated that if the Alameda CTC collects \$100 million annually starting in 2002 for 20 years, due to inflation of the dollar, the gradual increase will result in a total of \$3 billion versus the \$1.4 billion. At the end of the discussion, the committee agreed to add "at the end of the measure" to the end of sentence on page 29.

On page 29, the first sentence, in the second paragraph, under the heading The Future of Transportation in Alameda County should read as follows:

Last year, ACTIA projected that the total revenue from 2002 to 2022 would fall \$1 billion short of the original estimate of \$3 billion at the end of the measure.

Recap of changes for the draft report content:

- Place "Commission" in the parentheses with Alameda CTC because it is being used interchangeably for Alameda County Transportation Commission.
- Check for consistency in comma usage after a year.
- Jo Ann will send additional comments via e-mail.
- In the CWC Activities section, mention CWC is continuing to watch the two
 projects that have not cleared the environmental impact report stage (Telegraph
 Avenue Corridor Bus Rapid Transit and Dumbarton Rail Corridor).
- In the "Plan, Fund, Deliver" section, add a comment stating the accomplishments of Measure B, and direct readers to a URL for more information.
- To feature projects and programs to the public, select photos for positive projects and programs, and place captions below the photos.

- Place the "Revenue Totals for All Programs for Each Agency/Jurisdiction" chart or a subset of the chart in the annual report. The chart is located on page 6 of the Compliance Report and Audit Summary.
- In the second paragraph of "The Future of Transportation in Alameda County" section, add "at the end of the measure" at the end of the first sentence.

Recap of changes for the Capital Projects Summary:

- Add dates to the prior-year and current-year headings.
- Merge cells with the same content in the prior-year and current-year project phases.
- Change the dollar amount from \$1,940.0 to \$1.94 for project 27D Countywide Transportation Plan/Transportation Expenditure Plan.

The next step is for the subcommittee to review and finalize the annual report.

Publications methods and costs:

Tess explained the publications costs handout. The CWC committee suggested that Alameda CTC use electronic formats as part of the outreach approach. The committee inquired how much effort goes into outreach and what the value of outreach is. The members also would like to know how many additional people will receive the CWC annual report. The committee wants Alameda CTC to provide, in the future, the number of unique hits on the website for individuals who view the annual report online.

Tess stated that Alameda CTC did outreach in the spring on the Countywide Transportation Plan (CWTP) and the Transportation Expenditure Plan (TEP), which also included an online survey e-mailed to Alameda CTC contacts and various agencies. She noted that staff will try to get this information to provide the committee with the number of unique hits on the website for last year's CWC Annual Report to the Public. In regards to social media, Alameda CTC is looking into this now. Tess suggested that we can make the annual report look like an e-newsletter and hyperlink every jurisdiction using the Revenue Totals for All Programs for Each Agency/Jurisdiction chart, and the public will see the accomplishments of the city as well as for each project fact sheet. She also mentioned that in terms of printing, the Alameda CTC can generate a public notice with a URL to the full report, which is cheaper than printing the full report.

Chair Paxson stated that the Publication Costs spreadsheet needs to help the committee determine the effectiveness of the distribution/outreach of the report both from a print perspective and the results from the placement of the banner advertisements. He suggested we take a more grass-roots approach to disseminating the annual report information to the community and various organizations.

B. EOY Compliance Summary

Tess reviewed the CWC compliance report subcommittee summary from the May 11, 2011 meeting, which primarily focused on: 1) The physical report utilized by the

jurisdictions, and 2) Measure B reserves. The subcommittee discussion was around how the numbers tied between three report documents used by the jurisdictions: the audit, compliance report, and Table 1 Attachment. She stated that the numbers between the three documents are often different, and they should be the same. The discussion around additional modifications for the reports is included in the memo. A request was made to have the CWC chair and vice chair receive a copy of the letters sent to the agencies and jurisdiction. The chair and vice chair will also attend the Compliance Workshop held in September.

Tess stated that the subcommittee discussed the Alameda CTC activities on creation of a master funding agreement between Alameda CTC and the agencies and jurisdictions that will include the pass-through funds, the Vehicle Registration Fee (VRF) funds, and grants. The members inquired about how Alameda CTC will handle the agreements if a new measure passes. Staff stated that these discussions are taking place now with the CWC Subcommittee, the Technical Advisory Committee (TAC), the Paratransit Advisory and Planning Committees (PAPCO), and the Commission and that if a new measure passes, agreements would need to be amended to reflect the new funds. The modifications to the compliance process and the compliance report forms must be completed in September. Staff will finalize the agreements before the end of the calendar year. Art informed the committee that a recommendation will go to the Planning, Policy, and Legislation Committee (PPLC) and then to the Commission. He also stated that the VRF will be included, and staff will involve the Alameda County Technical Advisory Committee (ACTAC), CWC, PAPCO, PPLC, TAC, and the Commission in the process.

The CWC members discussed that the jurisdictions are entitled to the money in their reserves, and Alameda CTC should not take the money from the cities. Tess stated that Alameda CTC has the right to withhold funds if the jurisdictions are not spending it appropriately. She stated that Alameda CTC wants to ensure that the jurisdictions spend the funds in a timely manner. Art mentioned that Alameda CTC is not taking the money away from the jurisdictions; the agency is asking them to use it more effectively. The jurisdictions are reporting over \$50 million in reserves.

The next steps for the subcommittee is to meet on Thursday, June 30 at 2 p.m. to review more information on the master agreements and the suggested changes to the compliance report. Chair Paxson stated that if any member wants to participate in the subcommittee to notify him or Alameda CTC staff.

6. Final Strategic Plan Review

Art provided a handout of the Strategic Plan presentation and focused on the ACTIA portion of the plan. He stated that pages 116 to 118 in the agenda packet are ACTIA's Strategic Plan commitment. Art informed the committee that the Commission approved the Strategic Plan in May.

Art provided the committee with the definition of "allocation" and stated that out of the 10 Capital Projects with commitments, Alameda CTC will allocate to five of them:

- Altamont Commuter Express Capital Improvements
- Telegraph Avenue Corridor Bus Rapid Transit
- I-680 Sunol Express Lanes Northbound
- I-880/Route 92/Whitsell Drive Interchange
- Westgate Parkway Extension

7. ACTIA's Third Quarter Budget and Statement of Revenues and Expenditures

Due to time constraints, the topic will come before the committee again in July.

8. Proposed Consolidated Alameda CTC Budget for Fiscal Year 2011-2012 Update

Art informed the committee that the consolidated budget went to the Finance and Administration Committee (FAC) for approval before the Commission. He stated that the Alameda CTC expects a \$3 million savings (\$1 million in salaries and \$2 million in annual renewed contracts) in fiscal year 2011-2012. Due to time constraints, this topic will come before the committee again in July.

9. CWC Member Reports/Issues Identification

Harriette mentioned that she attended an Ethics Committee and learned more about serving on committees and about the form 700.

Tom Gallagher notified the committee that he must resign due to personal issues. He stated that it has been a pleasure to serve the last three years on the CWC for Measure B, representing District 1.

10. Staff Reports/Board Actions

A. Countywide Transportation Plan and Transportation Expenditure Plan Update

Tess encouraged the committee to review the information in the packet.

B. General Items

Tess informed the committee that the Board Action Items are on page 161 of the agenda packet for review.

11. Adjournment/Next Meeting

The meeting adjourned at 8:40 p.m. The next meeting is July 11, 2011 at the Alameda CTC offices.



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www.AlamedaCTC.org

Alameda CTC Citizens Watchdog Committee Meeting Minutes Monday, July 11, 2011, 5:30 p.m., 1333 Broadway, Suite 300, Oakland

At	tendance Ke	ey (A = Absent, P = P	resent)
Members:			
P James Paxson, Chair	<u> </u>	ke Dubinsky	P Harriette Saunders
P Jo Ann Lew, Vice Chair	A Art	hur Geen	P Hale Zukas
A Pamela Belchamber	<u> </u>	nes Haussener	
P Roger Chavarin	A_ Eril	< Jensen	
Staff: P Arthur L. Dao, Executive Director P Tess Lengyel, Deputy Director of Public Affairs and Legislation			stricia Reavey, Director of Finance ngie Ayers, Acumen Building Enterprise, Inc.

Public Hearing

1. Welcome and Call to Order

James Paxson, CWC Chair, called to order the public hearing of the CWC meeting at 6:30 p.m.

2. Report from Chair/Review of Draft CWC Annual Report

Since no public were present, Chair Paxson inquired if the CWC members would like to speak as the public. None of the members provided comments as the public. Therefore, he did not give a report, and he closed the public hearing. James stated that the CWC would reopen the public hearing if members of the public arrived.

3. Public Comment

There were no public comments.

4. Close Public Hearing on CWC Annual Report

Chair Paxson closed the public hearing at 6:45 p.m.

Regular Meeting

5. Welcome and Introductions

Chair Paxson called the meeting to order at 6:35 p.m. The meeting began with introductions, and James listed the desired meeting outcomes.

6. Approval of June 13, 2011 Minutes

Committee members and staff discussed the members' feedback on the June 13 minutes (the first bullet under item 5A). The committee agreed that comments were missing from the statement and requested that Angie Ayers listen to the recording and review the notes from the last meeting and correct the minutes and/or the CWC Annual Report.

Harriette Saunders moved to have staff review the recording and notes from the June 13, 2011 CWC meeting to obtain the members' language and to update the minutes and the draft CWC Annual Report (the first sentence of the second paragraph on page 5). Jo Ann Lew seconded the motion. The motion carried unanimously.

Note that the CWC Annual Report correctly showed the update in the July 11, 2011 agenda packet, and the CWC members planned to approve the June 13, 2011 minutes at the next CWC meeting.

7. Approval of Final CWC Annual Report, Publication Methods, Costs, and Press Release *Publication methods and costs*:

Tess explained the publications costs handout and informed the committee that the report also includes the number of page views and click-throughs from the *CWC 9th Annual Report* online advertisement, as requested by the committee. Tess stated that the CWC can consider the online costs versus the amount of click-throughs and determine if members want to discontinue the online advertisement, especially for the Bay Area News Group. Tess mentioned that the report shows where the CWC Annual Report was printed historically.

She stated that staff suggests that the CWC consider taking the following actions:

- Create the full report and mail it to the community advisory committee members,
 CWC organizations, and libraries in Alameda County.
- Create a banner ad to display on various online sites.
- Create a 10.5 x 14 advertisement to display in Alameda County newspapers.
- Create an electronic report like the electronic newsletter and distribute it via e-mail to the people in Alameda CTC's Constant Contact database.

Tess stated that the people who receive notification of the *CWC 9th Annual Report* electronically will have the ability to click on the full report at any time. She stated that creating the electronic version will allow Alameda CTC to track the number of people who received the report, who forwarded the report to others, or who did not look at the report at all. Alameda CTC will also be able to check the total visits to the website, the number of unique visitors' page views and click-throughs. Tess mentioned that staff will not generate a one-page flyer since they will create an electronic version of the report.

Tess explained that Alameda CTC will perform additional outreach in the fall for the Countywide Transportation Plan (CWTP) and the Transportation Expenditure (TEP). The CWC members agreed that it is important to get the CWC Annual Report out as widely and as broadly as possible to the public so the people are aware of what is going on in

Alameda County. The committee discussed the following suggestions for report distribution and community awareness:

- Consider placing posters on BART and AC Transit lines, and at libraries in Alameda County.
- Consider expanding the outreach to the social media such as YouTube. Alameda CTC is looking into social media now.
- Provide statistics on the number of people who accessed the electronic flyer.
- Extend the CWC annual report outreach effort to match the effort for the CWTP-TEP.
- Translate the 10.5 x 14 English advertisement into Spanish and Chinese to reach the Asian and Latino communities. Send the translated advertisements to the Alameda County Community Health Clinic Consortium, La Clinica, and the Asian Health network to help reach the communities.
- Remarket the report multiple times over the year to continue to reach the public.

James Haussener moved to have the CWC advertisement translated into Spanish and Chinese. Roger Chavarin seconded the motion. The motion carried with two abstentious (5-2).

Annual Report and press release:

The CWC members reviewed and discussed both the annual report and the press release and requested changes, including a quote from the chair for the press release. The members wanted Alameda CTC staff to ensure that the numbers are accurate and the subjects/verbs are in agreement.

James Haussener moved to approve the press release with the changes specified. Roger Chavarin seconded the motion. The motion carried unanimously (7-0).

James Haussener moved that the JoAnn Lew, James Paxson, and Harriette Saunders review and finalize the Annual Report. Roger Chavarin seconded the motion. The motion carried unanimously (7-0).

8. CWC Subcommittee Report and Discussion on Establishing the Next Meeting in September James Paxson said that the CWC Subcommittee has had very productive discussions on the compliance reports. The subcommittee placed strong emphasis on having numbers tie together and create ways to get good information from the jurisdictions. Staff will schedule a meeting in September with the subcommittee to have a policy-level discussion on the Master Funding Agreements. Tess invited the chair and vice chair to the Compliance Workshop in September.

9. Approval of CWC Fiscal Year 2011-2012 Calendar

The CWC requested changing the April 2011 date to April 2012 on the calendar.

James Haussener made a motion to approve the CWC Calendar with the requested change. Roger Chavarin seconded the motion. The motion carried unanimously (7-0).

10. Approval of CWC Watch List for Fiscal Year 2011-2012

CWC members reviewed the current "Watch List" for both projects and programs. The members selected the projects and programs they want to watch during fiscal year 2011-2012. Staff will notify the project sponsors that CWC members are watching their projects and programs.

11. CWC Member Reports/Issues Identification

James Haussener inquired if the CWC will review the unspent balances from the jurisdictions. Tess explained that Alameda CTC is asking for more details from the jurisdictions on the modified compliance forms, which CWC members will receive early 2012. Tess stated that for every jurisdiction that has reserves, Alameda CTC has asked why, and the jurisdictions have provided an explanation. Jim agreed to see what Alameda CTC has on hand with explanations from the jurisdictions. Staff will bring the information back to the committee in November. Staff informed the committee that the modified compliance forms do not need the Commission's approval.

Harriette invited the CWC members to the 8th Annual Senior and Disabled Mobility Workshop at the Ed Roberts Campus on July 12.

12. Staff Reports/Board Actions

A. ACTIA's Third Quarter Budget and Statement of Revenues and Expenditures

Patricia Reavey provided an overview of ACTIA's third quarter budget and statement of revenues and expenditures. The budget was approved at the Alameda CTC May Board meeting. The key change to the budget at that time was moving \$300,000 of budget funds from the General Fund to the Alameda CTC Fund.

The sales tax revenues increased by \$12 million, totaling the net sales tax revenues at \$102 million, instead of the original projection of \$90 million. As of March 31, 2011, the ACTIA fund balance was \$292 million. The expenditures were \$931 million. Alameda CTC was in compliance with the 4.5 percent cap on administrative costs and the 1 percent cap on administrative staff costs for ACTIA.

B. Proposed Consolidated Alameda CTC Budget for Fiscal year 2011-2012 Update
Patricia Reavey reviewed and led the discussion of the ACTIA budget on page 47 in the agenda packet. She informed the committee that all items are transparent in the budget.

C. Countywide Transportation Plan and Transportation Expenditure Plan Update

Tess updated the committee on the CWTP-TEP effort as of July 11, 2011. Staff completed the technical analysis to evaluate projects and programs for inclusion in the Draft Countywide Transportation Plan (CWTP), which Alameda CTC has scheduled to distribute in September 2011. Projects and programs from the CWTP will also be included in the Transportation Expenditure Plan. Preliminary discussions on the Transportation Expenditure Plan parameters are taking place in the CWTP-TEP committee meetings. Committee members will make recommendations on whether the Alameda CTC will augment or extend the sales tax measure, the duration of the measure, and whether or not it will have a sunset.

D. General Items

Tess informed the committee that the Board Action Items are on page 69 of the agenda packet for review.

Tess reiterated that the Annual Mobility Workshop is on July 12 in Berkeley and the South County Transportation Forum is on Thursday, July 21 in Union City.

13. Adjournment/Next Meeting

The meeting adjourned at 9:10 p.m. The next meeting is November 14, 2011 at the Alameda CTC offices.



Memorandum

DATE: November 8, 2011

TO: Citizens Watchdog Committee

FROM: Arthur L. Dao. Executive Director

Patricia M. Reavey, Director of Finance

SUBJECT: ACTIA FY2011-12 First Quarter Financial Report

Recommendation

It is recommended that the Citizens Watchdog Committee accept the attached Alameda County Transportation Improvement Authority (ACTIA) FY2011-12 First Quarter Financial Report.

Summary

The first quarter of the fiscal year resulted in a net decrease in ACTIA's fund balance in the amount of \$9.8 million mostly related to ACTIA capital expenditures of sales tax revenues.

The attached financial report has been prepared by governmental fund type including the General Fund, Special Revenue Funds and the Capital Project Funds to give an overview of the ACTIA's revenues and expenditures in comparison to the adopted budget. It does not include the ACTA Capital Project Fund.

General Fund

In the General Fund, ACTIA's revenues are under budget by \$2,573 or 0.2% and expenditures are over budget by \$153,770 or 25.1% (See attachment A). The expenditure variance is mostly due to costs related to the Countywide Transportation Plan, insurance for which most costs are incurred in September for the balance of the fiscal year and rent which is being allocated between ACTIA and CMA equally due to staff office moves and changes in staff responsibilities.

Special Revenue Funds

The Special Revenue Funds group is made up of Measure B Program funds including funds for express bus, paratransit service, bike and pedestrian, transit oriented development and pass through funds. In the Special Revenue Funds, ACTIA's revenues are \$72,813 or 0.5% under budget due to the sales tax revenues coming in slightly lower than projections. Expenditures in the Special Revenue Funds are \$354,746 or 2.3% under budget.

Capital Projects Fund

In the Capital Projects Fund, ACTIA revenues are over budget by \$283,650 or 2.8% and expenditures are under budget by \$5.6 million or 21.3%. This variance can be mostly attributed to

timing on the BART Oakland-Airport Connector project.

ACTIA Limitations Calculations

Staff has made the calculations required in ACTIA's Transportation Expenditure Plan related to salary and benefits and administration. The Salary and Benefits Limitation ratio of 0.72% and Administrative Cost Limitation ratio of 2.97% were calculated based on actual expenditures and were found to be in compliance with the requirements of 1.00% and 4.50%, respectively (See attachment E).

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Discussion:

ACTIA is in a strong position compared to budget after the first quarter of the fiscal year. Sales tax revenues for FY2011-12 were projected at a 2% increase over the FY2010-11 budget. Actual sales tax revenues for FY2010-11 were \$105.4 million which turns out to be higher than the FY2011-12 budget projection of \$104.0 million. Nevertheless, actual revenues for the current fiscal year are coming in very close to the projections; therefore staff is not anticipating an adjustment to sales tax revenue projections for the current fiscal year at this time.

However, some budget adjustments are anticipated at mid-year in order to incorporate some unanticipated items as we merged the budgets. Staff needs to adjust some budgets by fund in order to reflect actual business practices as the agency is being developed and to reflect the actual fund balance roll forward from the prior fiscal year when actual financial data will be available.

Attachments:

Attachment A – ACTIA General Fund Revenues/Expenditures Actual vs. Budget as of

September 30, 2011

Attachment B – ACTIA Special Revenue Funds Revenues/Expenditures Actual vs. Budget as

of September 30, 2011

Attachment C – ACTIA Capital Project Fund Revenues/Expenditures Actual vs. Budget as of

September 30, 2011

Attachment D – ACTIA Limitations Calculations as of September 30, 2011

ACTIA General Fund Revenues/Expenditures Actual vs Budget as of September 30, 2011

		YTD Actuals	,	YTD Budget	% Used	Variance
<u>REVENUE</u>						
Sales Tax		1,164,325		1,170,000	99.51%	(5,675)
Investment Income		, - , -		925	0.00%	(925)
Rental Income		4,027		-	0.00%	4,027
Other Income		-		-	0.00%	
TOTAL REVEN	UE \$	1,168,352	\$	1,170,925	99.78% \$	(2,573)
EVENINTUES						
EXPENDITURES Administration						
		100.050		264 202	74 5 40/	74 245
Salaries and Benefits		186,856		261,202	71.54%	74,345
Office Expenses and Supplies		1,761		47,264	3.73%	45,503
General Administration		329,850		11,613	2840.40%	(318,238)
Commission Mtg. Per Diems		7,791		18,521	42.06%	10,731
Contingency		-		18,750	0.00%	18,750
Planning						
Countywide Transportation Plan		141,935		48,875	290.40%	(93,060)
Transportation Planning		-		18,889	0.00%	18,889
CWTP Measure B Grant to CMA		-		37,500	0.00%	37,500
Programs						
Transportation Programming		-		4,838	0.00%	4,838
Measure B Programs Management		99,003		145,976	67.82%	46,973
TOTAL EXPENDITUR	ES \$	767,197	\$	613,427	125.07% \$	(153,770)
Net revenue over / (under) expenditure	es <u>\$</u>	401,155	\$	557,498		

ACTIA Special Revenue Funds Revenues/Expenditures Actual vs Budget as of September 30, 2011

Attachment B

		YTD Actuals	YTD Budget	% Used	Variance
REVENUE					
Sales Tax		14,801,032	14,873,158	99.52%	(72,125)
Investment Income		-	688	0.00%	(688)
то	OTAL REVENUE	\$ 14,801,032	\$ 14,873,845	99.51% \$	(72,813)
EVDENDITUDEO					
EXPENDITURES Advantage					
Administration					()
Salaries and Benefits		24,129	-	0.00%	(24,129)
Office Expenses		1,539	-	0.00%	(1,539)
Public Relations		-	2,943	0.00%	2,943
Planning					
Countywide Transportation	n Plan	-	37,500	0.00%	37,500
Measure B Programs Ma	nagement	115,473	288,668	40.00%	173,195
Grant Awards/Pass Throu	ugh	14,706,381	14,873,158	98.88%	166,776
TOTAL E	EXPENDITURES	\$ 14,847,523	\$ 15,202,269	97.67% \$	354,746
Net revenue over / (under	r) expenditures	\$ (46,490)	\$ (328,424)		

Attachment C

ACTIA Capital Fund Revenues/Expenditures Actual vs Budget as of September 30, 2011

_	YTD Actuals	YTD Budget	% Used	Variance
REVENUE	0 000 507	0.050.040	00.540/	(40.005)
Sales Tax	9,908,537	9,956,843	99.51%	(48,305)
Investment Income	108,165	70,500	153.42%	37,665
Other Income TOTAL REVENUE	294,291 \$ 10,310,992	\$ 10,027,343	0.00% 102.83%	294,291
TOTAL REVENUE	\$ 10,310,992	\$ 10,02 <i>1</i> ,343	102.83%	\$ 283,650
EXPENDITURES				
Administration				
Salaries and Benefits	32,997	38,027	86.77%	5,030
Investment Advisor Fees	9,434	-	0.00%	(9,434)
Capital Projects				
Capital Expenditures	46,998	-	0.00%	(46,998)
Altamont Cmtr Expr (ACE) Rail	182,876	500,000	36.58%	317,124
BART Extension to Warm Springs	9,833,586	8,041,500	122.29%	(1,792,086)
BART Oakland-Airport Connector	216,938	7,000,000	3.10%	6,783,062
Dwntwn Oakland Strtscape Impvm	-	945,675	0.00%	945,675
Union City Intermodal Station	3,837,262	-	0.00%	(3,837,262)
A.C. Transit-San Pablo, Tgph C	-	520,837	0.00%	520,837
I-680 Expr. Ln. Impr. Rte. 84	1,286,385	1,368,954	93.97%	82,569
I-880/Brdwy-Jcksn St.	18,309	130,000	14.08%	111,691
I-580 Interchange Improvements	39,073	-	2 0.00%	(39,073)
Lwllng Ave./E Lwllng Ave. Wide	691,729	1,010,822	68.43%	319,093
I-580 Aux, Lane (Sta Rita Rd)	-	1,042,773	0.00%	1,042,773
I-880/State Rte. 92 Rlvr. Rte.	-	672,376	0.00%	672,376
Hespn/Lewlln widening - Stg 1	_	149,906	0.00%	149,906
Westgate Pkwy exit - Stg 1	874	512,500	0.17%	511,627
I-238 widng-Sn Lndro & Uinc.	3,472,758	-	³ 0.00%	(3,472,758)
I-680/I-880 cross conn studies	-	123,129	0.00%	123,129
Isabel-Route 84/I-580 I/C	_	715,954	0.00%	715,954
Route 84 Expressway	457,969	2,320,157	19.74%	1,862,188
Dumbarton Corridor Improvement	55,853	100,000	55.85%	44,147
I-580 Cordr/BART Livermore Stu	332,335	444,331	74.79%	111,995
Emergency Projects		431,380	0.00%	431,380
TOTAL EXPENDITURES	20,515,377	26,068,320	78.70%	5,552,942

¹ Accrual in FY10/11 was reversed as an audit adjustment. Adjustment will be made at mid-year to budget.

² ACTIA project funded through ACTA project MB239. Adjustment will be made at mid-year to budget.

³ ACTIA I-238 project funded through project I-580 Aux project. Adjustment will be made at mid-year to budget

Alameda County Transportation Improvement Authority Fiscal Year 2011-2012 Budget Limitations Calculations As of September 30, 2011

Net Sales Tax	25,873,894.63	Α
Investments & Other Income	4,027.14	В
Funds Generated	25,877,921.77	С
Salaries & Benefits	186,856.42	D
Other Admin Costs	580,340.52	Ε
Total Admin Costs	767,196.94	F
Gross Sal & Ben to Net Sales Tax	0.7222% =	= D/A
Gross Sal & Ben to Funds Generated	0.7221% =	= D/C
Total Admin Costs to Net Sales Tax	2.9651% =	= F/A



Memorandum

DATE: October 28, 2011

TO: Finance and Administration Committee

FROM: Arthur L. Dao, Executive Director

Patricia M. Reavey, Director of Finance

SUBJECT: Consolidated FY2011-12 First Quarter Investment Report

Recommendation

It is recommended that the Committee accept the attached Alameda CTC Consolidated FY2011-12 First Quarter Investment Report (Attachment A).

Summary

- As of September 30, 2011, total cash and investments held by the Alameda CTC were \$296.4 million. This total is up \$0.8 million or 0.25% from the prior year-end balance of \$295.6 million.
- The increase in ACCMA's balance of \$8.0 million or 41.9% was due to a reimbursement of \$8 million in TCRP funds borrowed from federal and RM2 funding for the Westbound 580 HOV project which were used for the Eastbound 580 HOV project. The reduction in ACTIA's balance of \$7.7 million or 7.4% was primarily due to capital project expenditures. ACTA's balance remained about the same.
- Investment yields continue to decline with the return on investments for the Alameda CTC at 1.08% compared to the prior year's return of 1.57%. Return on investments were projected for the FY2011-12 budget year at varying rates ranging from 0 2.00% depending on investment type.
- Based on current cash flow projections, ACTIA will require external financing by the 3rd quarter of FY2012-13 to satisfy capital project obligations.
- Alameda CTC investments are in compliance with the adopted investment policies.
- Alameda CTC has sufficient cash flow to meet expenditure requirements over the next six months.

Discussion:

As of September 30, 2011, the ACTA portfolio managed by investment advisors consisted of approximately 12.0% US Treasury Securities, 18.5% FDIC insured Corporate Bonds, 65.5% Federal Agency Securities and 4.0% Corporate Notes. The ACTIA portfolio managed by investment

advisors consisted of approximately 35.7% US Treasury Securities, 14.7% FDIC insured Corporate Bonds and 49.6% Federal Agency Securities. (See Attachment B) The ACTA and the ACTIA portfolios are in compliance with both the adopted investment policy and the California Government Code.

The Alameda CTC continues to see a decline in investment returns even as the economy slowly begins to recover due to the strategy developed by the investment advisors to match investments to ACTIA's and ACTA's cash flow needs. This strategy ensures the ability to fund capital project cash flow requirements without the need to sell an investment short of its maturity date which can increase risk in a portfolio.

In August, the unemployment rate in Alameda County was 10.7%, down 0.3-0.4% from June and July, and was right in between that of California at 11.9% and the United States at 9.1%. These are very high rates when compared to historical national rates which ranged from 4.0-5.0% in the years 2001-2007, hitting a peak in October, 2009 of 10.1%. Economists are predicting a 1.5-2.0% growth factor over the next quarter and into next year. This data reiterates the point that the recovery from the recession is moving very slowly. Consumer confidence plunged over the last several months due to the realization of economic circumstances with prospects not improving very fast. If people continue to worry about the future then they will tend to spend less which will be reflected in sales tax figures. ACTIA's sales tax revenues to date have fallen slightly below budget projections in the first quarter of the fiscal year.

Attachments:

Attachment A - Consolidated Investment Report

Attachment B - Detail of Investment Holdings (managed by PFM and Chandler)

			Alame	Alameda CTC				
			As of Septer	As of September 30, 2011				
		Un-Audited		Interest Earned			FY 2011	1
ACTA	Inve	Investment Balance	boarco taorotal	As of September 30, 2011	2011 Budget	Difference	Investment Balance	Interest earned
Checking Account	8	(18,072)	\$ 27	%C	Dadger		\$ 136,079 \$	142
State Treas. Pool (LAIF)		48,009,175	36,983	0.31%			30,579,638	117,381
Investment Advisors (1)		119,940,470	632,120	2.11%			136,699,077	3,552,907
Loan to ACCMA		5,000,000					5,000,000	
ACTA Total		172,931,573	\$ 669,130	1.55% \$	512,500	\$ 156,630	172,414,794	3,670,429
							Approx. ROI	2.13%
		Un-Audited		Interest Earned			FY 201	_
ACTIA	Inve	Investment Balance		As of September 30, 2011	2011		Investment Balance	Interest earned
			Interest earned	Approx. ROI	Budget	Difference	June 30,	FY 2011
Community Bank (OPEB)(2)	↔	909,692	\$ 184	%80:0			\$ 809,508 \$	146
Checking Account	↔	1,836,827	\$ 53	0.01%			\$ 6,662,455 \$	1,435
State Treas. Pool (LAIF)		45,094,116	45,111	0.40%			42,027,371	270,521
Investment Advisors (1)		49,586,876	63,001	0.51%			55,548,875	534,984
Other ACTIA Total		96.517.819	\$ 108.165	0.45% \$	72.113	\$ 36,052	104.238.700	875,563
							IOO xoxaav	0.000
							Approx. RUI	0.84%
		Un-Audited		Interest Earned			FY 2011	1
ACCMA	Inve	Investment Balance		As of September 30, 2011	2011		Investment Balance	Interest earned
			Interest earned	Approx. ROI	Budget	Difference	June 30, 2011	FY 2011
Checking Account	s	4,977,766		%00.0			\$ 3,788,488 \$	
State Treas. Pool (LAIF)		8,784,289	8,257	0.38%			9,177,767	34,264
TVTC Reserve (3)		6,187,745	5,933	0.38%			6,180,825	29,678
San Leandro Marina Reserve (3)		4,838,003	4,639	0.38%			4,832,592	23,421
TCRP Westbound 580 HOV		7,153,753	6,240	0.35%				
Loan from ACCMA	ľ	(5,000,000)					(2,000,000)	
ACCMA Total	s	26,941,556	\$ 25,068	0.37% \$		\$ 25,068	\$ 18,979,672 \$	87,363
							Approx. ROI	0.46%
ACTC TOTAL	\$	296,390,949	\$ 802,362	1.08% \$	584,613	\$ 217,750	\$ 295,633,166 \$	4,633,356
Notes: (1) See attachments for detail of investment holdings managed by Investment Advisors. (2) The OPEB/Health Retirement account and related interest income is held in a irrevocable trust and does not appear on ACTIA's balance sheet. (3) Tri Valley Transportation Commission and San Leandro Marina project funds are invested in LAIF with interest accruing back to the respective project fund.	nent holdir Int and rela	ngs managed by Invated interest income	by Investment Advisors. ncome is held in a irrevoc rina proiect funds are inve	able trust and does no	t appear on /	ACTIA's baland	se sheet. Dective project fund.	
	et on the find the fi	nancial statements with the currently ad	at the end of the fis lopted investment p	cal year per GASB 31 volicies.	requirements			
(6) Alameda CTC has sufficient cash flow to meet expenditure requirements over the next six months.	w to meet	t expenditure require	ements over the ne	xt six months.				

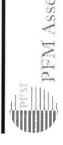
Tri Valley Transportation Commission and San Leandro Marina project funds are invested in LAIF with interest accruing back to the respective project fund. All investments are marked to market on the financial statements at the end of the fiscal year per GASB 31 requirements. Alameda CTC investments are in compliance with the currently adopted investment policies. Alameda CTC has sufficient cash flow to meet expenditure requirements over the next six months.

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Held
Securities
of
Detail
Account
Managed

For the Month Ending September 30, 2011

ALAMEDA CNTY TRANSPORTATION AUTHORITY - 03068500	ATTON AUTHO	ORITY - 0306850	00							TO STORY OF THE PARTY OF THE PA
Security Type/Description		S&P	Moody's	Trade	Settle	Original	YTM	Accrued	Amortized	Market
Dated Date/Coupon/Maturity	CUSIP	Par Rating	Rating	Date	Date	Cost	at Cost	Interest	Cost	Value
U.S. Treasury Bond / Note										
US TREASURY NOTES DTD 02/15/2009 1.375% 02/15/2012	912828KC3	4,800,000.00 AA+	Aaa	03/05/06	60/20/20	4,816,875.00	1.25	8,429.35	4,802,159.18	4,823,064.00
US TREASURY NOTES DTD 04/15/2009 1.375% 04/15/2012	912828KK5	3,065,000.00 AA+	Aaa	05/04/09	02/02/08	3,064,760.55	1,38	19,459.82	3,064,956.88	3,085,593.74
US TREASURY NOTES DTD 06/30/2010 0.625% 06/30/2012	912828NS5	1,110,000.00 AA+	Aaa	03/15/11	03/16/11	1,114,249.22	0.33	1,753,23	1,112,458.42	1,113,818.40
US TREASURY NOTES DTD 03/31/2011 0.750% 03/31/2013	912828QL7	1,500,000.00 AA+	Aaa	03/31/11	04/01/11	1,499,121.09	0.78	30.74	1,499,339.88	1,511,775.00
Security Type Sub-Total		10,475,000.00				10,495,005.86	1.12	29,673.14	10,478,914.36	10,534,251.14
U.S. Government Supported Corporate Debt	rate Debt		Ī						The Park of the	The second
SOVEREIGN BANK (FDIC) GLOBAL NOTE DTD 12/22/2008 2.750% 01/17/2012	846042AA7	1,000,000.00 AA+	Aaa	12/17/08	12/22/08	00.050,066	2.78	5,652.78	999,904.48	1,007,079.00
BANK OF AMERICA CORP (FDIC) GLOBAL MTN DTD 12/04/2008 3.125% 06/15/2012	06050BAA9	1,000,000.00 AA+	Aaa	12/15/08	12/18/08	1,020,390.00	2.51	9,201.39	1,004,260.46	1,020,551.00
JOHN DEERE CAPITAL CORP (FDIC) GL MTN DTD 12/19/2008 2.875% 06/19/2012	24424DAA7	2,050,000.00 AA+	Aaa	12/16/08	12/19/08	2,045,264.50	2.94	16,698.96	2,048,991.75	2,089,183.70
JPMORGAN CHASE & CO (FDIC) GLOBAL NOTE DTD 12/22/2008 2.125% 06/22/2012	481247AE4	1,000,000,00 AA+	Aaa	12/18/08	12/22/08	999,500.00	2.14	5,843.75	999,893.44	1,013,524.00
PNC FUNDING CORP (FDIC) GLOBAL NOTE DTD 12/22/2008 2.300% 06/22/2012	69351CAC7	2,350,000.00 AA+	Aaa	12/17/08	12/22/08	2,347,250,50	2.34	14,863.75	2,349,412.55	2,382,314.85
Security Type Sub-Total		7,400,000.00				7,411,455.00	2.56	52,260.63	7,402,462.68	7,512,652,55
Fuleral Agency Bond / Note								11/11		tac
FIGS GLOBAL NOTES DTG03/02/2010 1.000% 04/04/2012 Z	31398AH54	1,000,000.00 AA+	Aaa	03/25/10	03/30/10	996,280,00	1.19	4,916.67	999,051,33	1,003,932.00 1,003,932.00





Managed Account Detail of Securities Held

For the Month Ending September 30, 2011

ALAMEDA CNTY TRANSPORTATION AUTHORITY - 03068500	ATTON AUTH	10RITY - 0306850	00	The state of the s						
Security Type/Description Dated Date/Coupon/Maturity	CUSIP	S&P Par Rating	Moody's Rating	Trade Date	Settle Date	Original Cost	YTM at Cost	Accrued Interest	Amortized Cost	Market Value
Federal Agency Bond / Note									A	
FHLB TAP BONDS DTD 05/05/2005 4.375% 06/08/2012	3133XBT39	2,780,000,00 AA+	Aaa	80/50/80	80/90/80	2,812,292.48	4.04	38,176.74	2,786,156.56	2,857,531.42
FNMA GLOBAL NOTES DTD 04/19/2010 1.250% 06/22/2012	31398AP71	3,100,000,00 AA+	Aaa	07/26/11	07/27/11	3,127,776.00	0.26	10,656.25	3,122,310,24	3,121,817.80
FHLB GLOBAL BONDS DTD 06/18/2010 0.875% 08/22/2012	3133XYWB7	8,650,000.00 AA+	Aaa	05/03/11	05/04/11	8,705,792,50	0.38	8,199.48	8,688,299.78	8,695,516.30
FFCB BONDS DTD 10/15/2008 3.650% 10/15/2012	31331GDН9	4,690,000,00 AA+	Aaa	10/07/08	10/15/08	4,691,547.70	3,64	78,935,31	4,690,423.55	4,851,959.77
FANNIE MAE GLOBAL NOTES DTD 10/08/2010 0.500% 10/30/2012	31398A4T6	4,575,000.00 AA+	Aaa	10/25/10	10/26/10	4,580,124.00	0.44	9,594.79	4,577,758.45	4,588,002.15
FHLB GLOBAL BONDS DTD 10/15/2009 1.625% 11/21/2012	3133XVEM9	10,000,000.00 AA+	Aaa	05/03/11	05/06/11	10,178,600.00	0.46	58,680.56	10,132,053.00	10,148,620.00
FHLMC GLOBAL NOTES DTD 12/02/2009 1,375% 01/09/2013	3137EACG2	2,380,000.00 AA+	Aaa	02/11/11	02/14/11	2,400,634.60	0.91	7,454.03	2,393,837.06	2,411,439.80
FHLMC GLOBAL REFERENCE NOTES DTD 01/17/2003 4.500% 01/15/2013	3134A4SA3	5,625,000.00 AA+	Aaa	03/25/10	03/30/10	6,058,575.00	1.66	53,437.50	5,827,649.79	5,925,015.00
Security Type Sub-Total		42,800,000.00				43,551,622,28	1.22	270,051.33	43,217,539,76	43,603,834.24
Corporate Note			i	u.						
GENERAL ELEC CAP CORP GLOBAL SR MTN DTD 02/15/2002 5.875% 02/15/2012	36962GXS8	1,500,000.00 AA+	Aa2	02/29/08	03/02/08	1,598,040.00	4,06	11,260,42	1,509,909.63	1,528,654,50
GENERAL ELEC CAP CORP GLOBAL SR MTN DTD 10/19/2007 5.250% 10/19/2012	36962G3K8	650,000.00 AA+	Aa2	05/03/11	05/06/11	690,235.00	0.95	15,356.25	679,134.87	679,471.00
WELLS FARGO & COMPANY GLOBAL SR NOTES	949746NY3	1,000,000,00 AA-	A2	08/26/08	08/29/08	929,600.00	5.41	7,413.19	986,845.76	1,037,617.00
0181/31/2008 4.3/5% 01/31/2013										
WORS FARGO & COMPANY GLOBAL SR NOTES DTEM 1/31/2008 4.375% 01/31/2013	949746NY3	1,500,000.00 AA-	A2	05/03/11	05/06/11	1,582,515.00	1.16	11,119.79	1,563,490.85	1,556,425.50





Managed Account Detail of Securities Held

For the Month Ending September 30, 2011

ALAMEDA CNTY TRANSPORTATION AUTHORITY - 03068500	ATTON AUTHOR	TTY - 0	306850	0							
Security Type/Description Dated Date/Coupon/Maturity	CUSIP	Par	S&P Moody's Par Rating Rating	S&P Moody's Trade kating Rating Date	Trade Date	Settle Date	Original YTM Cost at Cos	YTM at Cost	Accrued Interest	Amortized Cost	Market Value
Security Type Sub-Total	4,1	4,650,000.00					4,830,390.00 2.97	2.97	45,149.65	4,739,381.11	4,802,168.00
Managed Account Sub-Total	65,	65,325,000.00	0				66,288,473.14 1.48	1.48	397,134.75	65,838,297.91	66,452,905.93
Securities Sub-Total	\$65,	\$65,325,000.00	0				\$66,288,473.14 1.48%	1.48%	\$397,134.75	\$65,838,297.91	\$66,452,905.93
Accrued Interest											\$397,134.75

\$66,850,040.68

Total Investments

PFM Asset Management LLC



Alameda County Transportation Authority Account #470

Holdings Report

As of 9/30/11

0.71 0.65 1.23 1.78 2.02 2.05 2.13 0.45 Term (yrs) Duration 0.30 1.07 1.25 1.24 1.41 1.46 1.84 1.38 1.34 0.71 0.71 Aaa AA+ Moody S&P Aaa AA+ 65.36 % 1,018,400.82 % of Port. Gain/Loss 4.65 % 3,452.05 2.71 % 4,332.82 4.12 % 56,845.86 4.85 % 99,846.67 4.04 % 3,349.26 7.65 % 20,976.21 4.75 % 126,153.39 7.87 % 180,474.48 3.31 % 58,168.61 7.89 % 142,393.01 4.55 % 115,442.64 3.93 % 92,653.03 5.06 % 114,312.79 5.90 % 29,393.83 3.75 % 36,831.40 3.93 % 27,201.97 3.52 % 23,543.25 35,584,617.96 367,330.70 3,239,336.19 3,611.25 1,923,434.60 11,888.19 Market Value Accrued Int. 2,514,787.50 45,026.04 1,483,049.08 6,063.89 2,213,457.38 51,302.22 2,196,108.60 24,062.50 4,204,183.20 4,068.75 2,605,597.50 7,968.75 4,317,112.00 10,666.67 1,804,973.30 14,455.90 4,308,416.00 31,666.67 2,457,775.40 43,077.08 2,128,348.00 32,826.39 2,735,525.00 46,041.67 2,042,410.00 19,138.89 2,143,157.10 19,322.92 50,104.17 2,615,285.00 104.16 0.34 % Mkt Price Mkt YTM 104.61 0.30 % 104.58 0.38 % 100.10 0.29 % 107.93 0.54 % 106.86 0.46 % 106.42 0.48 % 109.42 0.42 % 102.12 0.25 % 102.06 0.22 % 101.23 0.38 % 100.59 0.43 % 100.55 0.16 % 104.22 0.37 % 106.17 0.40 % 107.71 0.52 % 100.91 0.23 % 0.41% 1,502,218.18 2,080,600.00 2,035,694.97 2,317,763.00 2,156,611.52 2,562,070.00 2,515,438.33 2,223,471.60 2,192,759.34 4,176,438.00 4,183,206.99 4,407,900.00 4,136,637.52 1,811,683.20 4,411,330.00 4,166,022.99 2,397,934.00 2,342,332.76 2,776,632.50 2,621,212.21 35,812,060.48 34,566,217.14 3,209,614.80 3,209,942.36 2,027,720.00 2,005,578.60 2,178,477.00 2,115,955.13 1,899,506.00 1,899,891.35 Cost Value Book Value 2,432,425.00 2,711,595.00 2,511,335.45 2,479,444.11 Purchase Date Book Yield 06/17/2008 4.25 % 07/09/2008 4.02 % 02/04/2009 2.89 % 03/03/2009 2.69 % 03/25/2009 2.13 % 05/05/2011 0.49 % Various 3.45 % 04/09/2009 2.24 % Various 2.35 % 01/08/2009 2.44 % 2.46 % 12/08/2008 2.83 % 12/19/2008 2.01 % 1.14 % 03/10/2009 2.25 % 06/04/2009 11/16/2009 07/09/2008 1.35 % 3.99 % 0.70 % 03/31/2011 3,210,000.00 2,100,000.00 1,900,000.00 2,500,000.00 2,125,000.00 2,100,000.00 4,200,000.00 2,500,000.00 1,700,000.00 4,000,000.00 2,300,000.00 2,000,000.00 33,900,000.00 2,000,000.00 1,475,000.00 2,500,000.00 4,000,000.00 2,500,000.00 Par Value/Units Bank of America Corp FDIC Guaranteed Goldman Sachs FDIC Guaranteed Note 3,25% Due 6/15/2012 US Bancorp FDIC Guaranteed Note 2.25% Due 3/13/2012 Tennessee Valley Authority Note 6.79% Due 5/23/2012 Tennessee Valley Authority Note Tennessee Valley Authority Note 4.75% Due 8/1/2013 Wells Fargo & Company FDIC Guaranteed Note 2.125% Due 6/15/2012 FHLMC Note 4.875% Due 11/15/2013 4.625% Due 10/25/2012 4.125% Due 12/21/2012 3.625% Due 10/18/2013 FHLB Note 4.875% Due 11/18/2011 0.375% Due 12/28/2012 3.375% Due 2/27/2013 3.875% Due 7/12/2013 3.875% Due 10/7/2013 3.125% Due 6/15/2012 Security Description 2% Due 1/17/2012 6% Due 3/15/2013 FDIC INSURED US CORPORATE FHLMC Note FHLMC Note FNMA Note FHLB Note FNMA Note FFCB Note Note Total Agency 38146FAA9 0800BAA9 9**0** 3133XP2W3 880591CW0 880591DW9 3133XHPH9 31331GCS6 3133XSAE8 31331GKY4 3137EABE8 31398ASD5 3134A4UK8 91160HAA5 3137EAAZ2 880591DT6 31398A6F4 AGENCY CUSIP

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Holdings Report As of 9/30/11

Account #470

CUSIP	Security Description	Par Value/Units	Purchase Date Book Yield	Cost Value Book Value	Mkt Price Mkt YTM	Market Value Accrued Int.	% of Port. Gain/Loss	Moody S&P	Term (yrs) Duration
FDIC INSURED	FDIC INSURED US CORPORATE							300	
36967HAV9	GE Capital Corp FDIC Guaranteed Note 2.125% Due 12/21/2012	2,180,000.00	06/03/2009	2,182,071.00 2,180,716.51	102.18	2,227,415.00	4.07 %	Aaa AA+	1.23
481247AM6	JP Morgan Chase FDIC Guaranteed Note 2.125% Due 12/26/2012	3,250,000.00	04/22/2009 2.04 %	3,260,075.00 3,253,400.97	102.19 0.35 %	3,321,093.75 18,224.83	6.07 % 67,692.78	Aaa AA+	1.24
Total FDIC Inst	Total FDIC Insured US Corporate	14,640,000.00	2.21 %	14,757,463.80 14,665,484.92	0:30 %	14,896,846.64 85,054.14	27.24 % 231,361.72	Aaa AA+	0.85
MONEY MARKET FUND FI	ET FUND FI	The state of the s	10000000000000000000000000000000000000						
431114701	Highmark Govt Money Market Fund	18,297.95	Various 0.00 %	18,297.95 18,297.95	1.00	18,297,95	0.03 %	Aaa AAA	0.00
Total Money Market Fund FI	arket Fund Fl	18,297.95	N/A	18,297.95 18,297.95	0.00 %	18,297.95 0.00	0.03 % 0.00	AAA AAA	0.00
US TREASURY							T SEALER		THE OWNER
912828KA7	US Treasury Note 1.125% Due 12/15/2011	1,500,000.00	11/16/2009	1,506,919.09 1,500,684.61	100.21	1,503,222,00	2,537.39	Aaa AA+	0.21
912828GQ7	US Treasury Note 4.5% Due 4/30/2012	1,200,000.00	10/04/2007	1,215,703.13	102.51	1,230,140.40	2.28 % 28,139.76	Aaa AA+	0.58
912828HG8	US Treasury Note 3.875% Due 10/31/2012	1,225,000.00	08/27/2008 2.88 %	1,272,616.41 1,237,364.65	103.95 0.22 %	1,273,425.48	2.35 % 36,060.83	Aaa AA+	1.09
Total US Treasury	ury	3,925,000.00	2.52 %	3,995,238.63 3,940,049.90	0.17 %	4,006,787.88 47,441.98	7.37 % 66,737.98	Aaa AA+	0.60
TOTAL PORTFOLIO	ОГІО	52,483,297.95	2.39 %	54,583,060.86 53,190,049.91	0.36 %	54,506,550.43 499,826.82	100.00 % 1,316,500.52	Aaa AA+	1.18
TOTAL MARKE	TOTAL MARKET VALUE PLUS ACCRUED					55,006,377.25			



Managed Account Detail of Securities Held

For the Month Ending September 30, 2011

ALAMEDA CNTY TRANSPORTATION IMPROV AUTH - 0306851	ATION IMPR	OV AUTH - 03068	510	1		100				
Security Type/Description		S&P	Moody's	Trade	Settle	Original	ΥTΜ	Accrued	Amortized	Market
Dated Date/Coupon/Maturity	CUSIP	Par Rating	Rating	Date	Date	Cost	at Cost	Interest	Cost	Value
U.S. Treasury Bond / Note	3) 2	į	ì	Š	1		į		A Branch Service	1
US TREASURY NOTES DTD 02/15/2009 1.375% 02/15/2012	912828KC3	6,910,000.00 AA+	Aaa	05/03/11	05/04/11	6,975,051.17	0.17	12,134.75	6,940,878.72	6,943,202.55
US TREASURY NOTES DTD 05/15/2009 1.375% 05/15/2012	912828KP4	2,615,000.00 AA+	Aaa	05/03/11	05/04/11	2,645,235.94	0.25	13,581,30	2,633,268,47	2,634,816,47
US TREASURY NOTES DTD 05/31/2007 4.750% 05/31/2012	912828GU8	5,735,000.00 AA+	Aaa	06/29/11	06/30/11	5,973,808,98	0.21	91,548,46	5,907,747.49	5,908,615.66
Security Type Sub-Total		15,260,000.00				15,594,096.09	0.20	117,264.51	15,481,894.68	15,486,634.68
Federal Agency Discount Note										
FREDDIE MAC DISC NOTE 0.000% 10/24/2011	313396NJ8	9,000,000.00 A-1+	P-1	05/03/11	05/04/11	8,994,810.00	0.12	0.00	8,999,309.97	8,999,892.18
Security Type Sub-Total		9,000,000.00				8,994,810.00	0.12	00.00	8,999,309.97	8,999,892.18
Managed Account Sub-Total		24,260,000.00				24,588,906.09	0.17	117,264.51	24,481,204.65	24,486,526.86
Securities Sub-Total		\$24,260,000.00				\$24,588,906.09 0.17%	0.17%	\$117,264.51	\$24,481,204.65	\$24,486,526.86
Accrued Interest										\$117,264.51
Total Investments										\$24,603,791.37





Alameda County Transport. Improvement Authority Account #471

Holdings Report

As of 9/30/11

0.46 0.22 1.19 0.53 Term (yrs) Duration 0.22 0.24 0.24 0.45 0.48 1.13 1.25 1.24 P-1 A-1+ Aaa AA+ Aaa AA+ P-1 A-1+ Aaa AA+ Aaa AA+ Aaa AA+ Aaa AA+ Aaa AA+ Aaa AA+ Aaa AAA Moody S&P <u>-</u> A-1+ 4.66 % 4,573.07 5.36 % 5,868.34 63.98 % 7,704.46 % of Port. Gain/Loss 5.16 % 7.62 % 0.00 4.55 % 0.00 5.17 % 0.00 7.34 % 99.20 7.26 % 7.35 % 21.92 (263.30)4.84 % (1,699.50)(432.10)(463.17)1,005,915.00 18,010.42 1,673,905.19 604.87 Market Value Accrued Int. 1,614,492.80 755.56 1,045,771.00 17,000.00 1,018,083.00 5,937.50 1,176,170.30 1,138.28 14,002,009.25 52,057.72 1,134,204.87 1,611,187,20 1,131,566.18 1,591,295,10 303.47 4,219.44 999,418.61 2,354.17 100.10 0.29 % Mkt Price Mkt YTM 100.59 0.43 % 100.14 0.13 % 99.93 0.13 % 99.94 0.12 % 99.93 0.13 % 100.70 0.18 % 102.66 0.30 % 100.91 0.24 % 104.58 0.42 % 101.81 0.35 % 0.24 % 1,133,565.15 1,625,410.60 1,591,727.20 1,626,120.00 1,614,756.10 1,018,589.00 1,018,546.17 1,168,408.25 1,170,301.96 Cost Value Book Value 1,031,172.00 1,001,341.93 1,620,092.80 1,047,586.00 1,047,470.50 14,078,472.47 13,994,304.79 999,418.61 999,418.61 1,134,204.87 1,673,905.19 1,673,905.19 Purchase Date Book Yield 06/16/2011 0.13 % 0.12 % 05/17/2011 0.18 % 05/17/2011 0.18 % 09/30/2011 0.30 % 03/31/2011 0.70 % 10/28/2008 3.78 % 06/22/2011 0.13 % 06/28/2011 06/16/2011 0.13 % 05/17/2011 0.18 % 09/30/2011 0.26 % 0.48 % 1,000,000.00 1,130,000.00 1,000,000.00 1,135,000.00 1,600,000.00 1,600,000.00 1,000,000.00 1,000,000.00 1,175,000.00 13,865,000.00 1,675,000.00 1,550,000.00 Par Value/Units 4.875% Due 11/18/2011 FNMA Note 0.375% Due 12/28/2012 FNMA Discount Note 0.13% Due 12/21/2011 6.125% Due 3/15/2012 FHLMC Note 2.125% Due 3/23/2012 1.875% Due 12/7/2012 0.13% Due 12/28/2011 0.75% Due 12/21/2011 FHLMC Discount Note 0.12% Due 12/27/2011 Security Description 4.5% Due 11/15/2012 .72% Due 3/14/2012 FFCB Discount Note FHLMC Note FNMA Note FFCB Note FHLB Note FHLB Note FHLB Note Total Agency 31359MMQ3 3133XHPH9 3137EABY4 3133MTZL5 3133XYUT0 313588QU2 313396RA3 31331G2R9 313312RB8 3128X8Q62 31398A6F4 AGENCY CUSIP

FDIC INSURE	FDIC INSURED US CORPORATE			1 1 1 1 1 1 1 1 1 1 1 1 1 1 1 1 1 1 1			Service Service	3 3 3 5	F 55.7
36967HAD9	GE Capital Corp FDIC Guaranteed Note 3% Due 12/9/2011	725,000.00	06/10/2011 0.14 %	735,121.00 728,901.39	100.53 0.22 %	728,861.35 6,766.67	3.35 % (40.04)	Aaa AA+	0.19
02580HAC0	American Express Bank FDIC Guaranteed Note 3.15% Due 12/9/2011	725,000.00	06/10/2011 0.14 %	735,656.78 729,107.92	100,57 0.16 %	729,149,18 7,105,00	3.35 % 41.26	Aaa AA+	0.19
4042EPAA5	HSBC USA FDIC Guaranteed note 3.125% Due 12/16/2011	725,000.00	06/10/2011 0.14 %	735,988.83 729,490.06	100.63 0.13 %	729,577.65 6,608.07	3.35 % 87.59	Aaa AA+	0.21
91 16 0НАА5	US Bancorp FDIC Guaranteed Note 2.25% Due 3/13/2012	1,225,000.00	03/10/2009 2.25 %	1,224,853,00 1,224,978.00	100.91 0.23 %	1,236,195.27	5.63 %	Aaa AA+	0.45
61 7 70AP5	Morgan Stanley FDIC Guaranteed Note 2.25% Due 3/13/2012	00.000,066	06/28/2011 0.19 %	1,004,444.10 999,146.07	100.87 0.32 %	998,646.66	4.55 % (499.41)	Aaa AA+	0.45
38 (W)FAA9	Goldman Sachs FDIC Guaranteed Note 3.25% Due 6/15/2012	800,000.00	12/08/2008 2.83 %	811,088.00 802,231.44	102.12 0.25 %	816,964.00 7,655.56	3.75 % 14,732.56	Aaa AA+	0.71

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TALL CONTENDENTIAL

Alameda County Transport. Improvement Authority Account #471

Holdings Report As of 9/30/11

			•						
CUSIP	Security Description	Par Value/Units	Purchase Date Book Yield	Cost Value Book Value	Mkt Price Mkt YTM	Market Value Accrued Int.	% of Port. Gain/Loss	Moody S&P	Term (yrs) Duration
FDIC INSURED	FDIC INSURED US CORPORATE			The state of the s				100	
06050BAA9	Bank of America Corp FDIC Guaranteed Note 3,125% Due 6/15/2012	800,000,008	12/19/2008 2.01 %	829,896.00 806,078.15	102.06 0.22 %	816,440.80 7,361.11	3.75 % 10,362.65	Aaa AA+	0.70
949744AC0	Wells Fargo & Company FDIC Guaranteed Note 2.125% Due 6/15/2012	740,000.00	03/25/2009	739,807.60 739,957.68	101.23 0.38 %	749,127.16 4,630.14	3.43 % 9,169.48	Aaa AA+	0.70
Total FDIC Inst	Total FDIC Insured US Corporate	6,730,000.00	1.29 %	6,816,855.31 6,759,890.71	0.24 %	6,804,962.07 42,618.43	31.17 % 45,071.36	Aaa AA+	0.46
MONEY MARKET FUND FI	ET FUND FI		10 10 20 10 10 10 10 10 10 10 10 10 10 10 10 10						
431114701	Highmark Govt Money Market Fund	21,504.34	Various 0.00 %	21,504.34 21,504.34	1.00 0.00 %	21,504,34	0.10 %	Aaa AAA	0.00
Total Money Market Fund FI	arket Fund FI	21,504.34	N/A	21,504.34 21,504.34	0.00 %	21,504.34 0.00	0.10 % 0.00	Aaa AAA	0.00
US TREASURY									
912828LR9	US Treasury Note 1.375% Due 10/15/2012	1,025,000.00	09/30/2011	1,037,775.90	101.22	1,037,532.68	4.75 % (209.69)	Aaa AA+	1.04
Total US Treasury	ury	1,025,000.00	0.18 %	1,037,775.90 1,037,742.37	0.20 %	1,037,532.68 6,507.77	4.75 % (209.69)	Aaa AA+	1.04
TOTAL PORTFOLIO	0010	21,641,504.34	0.72 %	21,954,608.02 21,813,442.21	0.24 %	21,866,008.34 101,183.92	100.00 % 52,566.13	Aaa AA+	0.53
TOTAL MARKE	TOTAL MARKET VALUE PLUS ACCRUED					21,967,192.26			



1333 Broadway, Suites 220 & 300

Oakland, CA 94612

PH: (510) 208-7400

www.AlamedaCTC.org

MEMORANDUM

Date: November 21, 2011

To: Citizens Watchdog Committee (CWC)

From: Tess Lengyel, Programs and Public Affairs Manager

Subject: Summary of CWC Annual Report to the Public – Publishing and Outreach

Summary

Rather than print a large quantity of the hard-copy *9th Annual Report to the Public*, staff placed online ads in media to redirect traffic back to www.alamedactc.org for the full online report, placed a print advertisement in 15 East Bay publications, and performed a number of outreach activities, including converting the advertisement to Chinese and Spanish and emailing the condensed versions to Asian community organizations and to Hispanic community organizations. Actual 2011 costs came in \$9,446 less than for the prior year, and were \$14,472 under budget.

Media Placement

To reduce print costs and improve coverage, staff used the following media approach: Place online banner advertisements in media with a link back to the full online 9th Annual Report to the Public and place print advertisements that summarize the full report and include a URL to the report. The URL to the full report is:

http://www.alamedactc.org/files/managed/Document/4441/CWC 9th Annual Report Aug2011.pdf.

Staff requested approval from CWC Annual Report Subcommittee members regarding the media placement plan and budget (see Attachment A). Alameda CTC placed online banner ads in the following publications:

- Asianweek.com banner only (linked to both the English and Chinese versions)
- SanFrancisco.bizjournals.com (San Francisco Business Times)
- Sfgate.com
- Eastbayexpress.com

Alameda CTC also placed print advertisements with a URL to the full report in the following publications that have a combined total circulation of 1,027,808:

- Bay Area Newsgroup publications:
 - Alameda Times Star
 - o Argus
 - Oakland Tribune
 - o The Daily Review
 - o Tri-Valley Herald
- East Bay Express
- Hills newspapers:
 - o Berkeley Voice
 - o ElCerrito
 - Montclarion
 - Piedmonter
- Pleasanton Weekly
- Post Newsgroup:
 - Oakland Post/El Mundo (Berkeley Post, Richmond Post, San Francisco Post, South County Post)
- The Independent (Dublin, Livermore, Pleasanton, Sunol)
- Tri-City Voice (Fremont, Hayward, Newark, Sunol, Union City)

Print Publication

Staff worked with Autumn Press to print the full annual report in a quantity of 2,000. Staff also worked with Autumn Press to mail hardcopies of the report to 43 libraries in Alameda County, the four Alameda CTC community advisory committees, Community Advisory Working Group members, city managers, city finance managers/directors, grant sponsors, elected officials, and Commission members.

Targeted Outreach

To further spread the word about the latest CWC annual report, staff expanded its outreach efforts as follows:

- Emailed an announcement that includes a hyperlink to the full report, a hyperlinked version, and Chinese and Spanish condensed versions (http://www.alamedactc.org/app pages/view/4440) to:
 - 18 Chambers of Commerce the City of Alameda, Albany, Berkeley, Castro Valley, Dublin, Emeryville, Fremont, Hayward, Livermore, Newark, Oakland, Pleasanton, San Leandro, and Union City; four ethnic chambers including the Hispanic Chamber of Alameda County, the Oakland African American Chamber of Commerce, the Chinatown Chamber of Commerce, and the Vietnamese Chamber of Commerce
 - CWC Organizations Alameda Labor Council AFL-CIO, Sierra Club, League of Women Voters, East Bay Bicycle Coalition, and 15 CWC members
- Emailed the Chinese condensed version to 47 Asian community organizations

- Emailed the Spanish condensed version to 24 Hispanic community organizations
- Mailed the full report to:
 - Alameda CTC Commissioners 41 Commission members including the alternates
 - o Bicycle and Pedestrian Advisory Committee members 11 committee members
 - Citizens Advisory Committee members 23 committee members
 - Paratransit Advisory and Planning Committee members 22 committee members
 - All jurisdictions city managers and city finance managers/directors 38 staff members for the jurisdictions
- Wrote and e-mailed a press release, "Watchdog Committee's 9th Annual Report Highlights Transportation Sales Tax Activities," on August 25, 2011 with a link to the full report to:
 - All media in the County 55 media contacts, which included newspapers, blogs, ethnic media, radio, television, and cable
- Included an update in the November issue of the e-newsletter with a link back to the full report and the additional language versions, and emailed the e-newsletter to:
 - The full Alameda CTC full Constant Contact database 3,043 e-mail addresses
- Placed What's New information on the Alameda CTC websites that links directly to the report
- Displayed the report on information tables at public meetings that Alameda CTC hosts (on average 10 meetings per month)
- Brought the print version of the report to numerous outreach activities, for example:
 - September 11: Solano Avenue Stroll
 - September 16: 14th Annual San Leandro Senior Resource Fair
 - September 18: Newark Days: Community Information Faire
 - o October 13: St. Regis Retirement Center Annual Health Fair
 - October 20: North County Transportation Forum

Fiscal Impact

The cost of design and placement of the online and print advertisements, along with the printing and mailing of the hard copy report totaled \$35,528.

In 2010, the total costs were \$44,973. The budget for the CWC's 9th Annual Report to the Public in 2011 was \$50,000. The actual 2011 costs came in \$9,446 less than for the prior year, and were \$14,472 under budget.

Attachments:

A. CWC Annual Report Publication Cost Summary

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CWC Meeting 12/01/11 Attachment 05A1

CWC Annual Report Publications Costs

Affiliation	Newspaper	2010 Cost (Print)	2010 Cost (Web)	2010 Page	2010 Click Throughs	2010 Final Costs	2011 Page Views	2011 Click Throughs	2011 Cost (Print)	2011 Cost (Web)	2011 Final Costs
			Fi	ull Page Car	nera Ready	Full Page Camera Ready Print Version Opportunities	Opportun	ities			
Bay Area NewsGroup - East Bay	Oakland Tribune, Tri-Valley Herald, Argus, Alameda Times Star, The Daily Review	\$7,392.00	\$7,392.00 \$10,000.00	753,480	1,150	\$17,392.00			\$8,131.20		\$8,131.20
Hills Newspapers	Montclarion, ElCerrito, Berkeley Voice, Piedmonter, Alameda Journal	\$1,512.00		N/A	N/A	\$1,512.00	N/A	N/A	\$1,512.00		\$1,512.00
Combined Print and Electronic	San Francisco Business Times (Alameda County, Contra Costa County) Online: sanfrancisco.bizjournals.com	\$4,545.00	\$2,345.00	31,862	86	\$6,890.00	37,424	16	\$5,385.00	\$1,266.00	\$6,651.00

CWC Annual Report Publications Costs

\$3,000.00	\$1,569.12	\$1,279.00	\$735.00	\$2,025.00
		\$200.00		\$225.00
\$3,000.00	\$1,569.12	\$1,079.00	\$735.00	\$1,800.00
N/A	N/A		N/A	23
N/A	N/A		N/A	22,220
\$3,000.00	\$1,569.12	\$1,279.00	\$735.00	\$2,025.00
N/A	N/A		N/A	19
N/A	N/A		N/A	32,234
		\$200.00		\$225.00
\$3,000.00	\$1,569.12	\$1,079.00	\$735.00	\$1,800.00
Oakland Post/El Mundo (San Francisco Post, Berkeley Post, Richmond Post, South County Post and Marin)The Spanish version was printed in El Mundo	The Independent - Livermore, Pleasanton, Dublin, and Sunol	Pleasanton Weekly	Tri-City Voice - Fremont, Newark, Union City, Hayward, and Sunol	East Bay Express (eastbayexpress.com)
Post Newsgroup				

CWC Annual Report Publications Costs

\$3,004.60	\$336.00	\$322.00		\$2,940.00	\$1,033.50	\$2,989.43
\$700.00	\$336.00	\$322.00				
\$2,304.60				\$2,940.00	\$1,033.50	\$2,989.43
32						
43,183						
\$2,500.00	\$403.20			\$2,940.00		\$3,848.84
310						
2,727,812						
\$2,500.00 2,727,812	\$403.20	N/A				
\$0.00	\$0.00	\$0.00		\$2,940.00		\$3,848.84
SFGate.com front page banner hyperlink to ACTIA website - final cost	www.asianweek.com - web banner only (linked to the english version)	www.asianweek.com - web banner only (linked to the Chinese version)	Other Costs	Publications Design	Language 411 (translation from English to Chinese and Spanish)	Autumn Press Printing for 2,000 in full color

		\$35,528	-\$9,446	Difference	
1		\$3,049	\$35,528		
		\$32,479			
, ,					
epor osts					
VC Annual Report ublications Costs	\$879.19	\$44,973			
C An blicat					
CW Pu					
		\$29,300 \$15,673	\$44,973		
	\$879.19	\$29,300			
	Siemons Mailing 1,500 copies (Postage plus labor)				
		TOTALS:			



End-of-Year Compliance Workshop Agenda

Thursday, September 29, 2011, 10 a.m. to 12 p.m., Conference Rooms A & B 1333 Broadway, Suite 300, Oakland, CA 94612

Meeting Outcomes:

- Review the programmatic fund requirements for Measure B recipients.
- Discuss the FY 10-11 audit report due December 27, 2011.
- Review the FY 10-11 compliance report form and attachment due December 31, 2011.
- Understand new requirements and receive helpful hints regarding the report forms.
- Receive answers to questions on the compliance reporting process.

10:00 – 10:10 a.m. Matt Todd	1.	Welcome and Introductions
10:10 – 10:20 a.m. John Hemiup	2.	Review of Programmatic Fund Requirements 02 FY10-11 Compliance Presentation.pdf – Page 1 02A FY10-11 Compliance Reporting Requirements.pdf – Page 15
10:20 – 10:30 a.m. All	3.	Questions and Answers
10:30 – 10:40 a.m. Patricia Reavey	4.	Compliance Audit Report including Preferred Language 04 Compliance Checklist.pdf - Page 17 04A Preferred Audit Language.pdf - Page 19
10:40 – 10:50 a.m. All	5.	Questions and Answers
10:50 – 11:20 a.m. John Hemiup/ Tamara Halbritter	6.	Compliance Report Forms <u>06 FY10-11 Compliance Report Form.pdf</u> – Page 21 <u>06A FY10-11 Table1 Attachment.xlsx</u> – Page 49
11:20 – 11:30 a.m. John Hemiup	7.	New Requirements and Helpful Hints
11:30 – 12:00 p.m. All	8.	Questions and Answers
12 p.m.	9.	Adjournment

Staff Liaisons:

For financial questions: Patricia Reavey, Director of Finance, (510) 208-7422, preavey@alamedactc.org
For program questions: Matt Todd, Manager of Programming, (510) 208-7420, mtodd@alamedactc.org

John Hemiup, Senior Transportation Engineer, (510) 208-7414, ihemiup@alamedactc.org

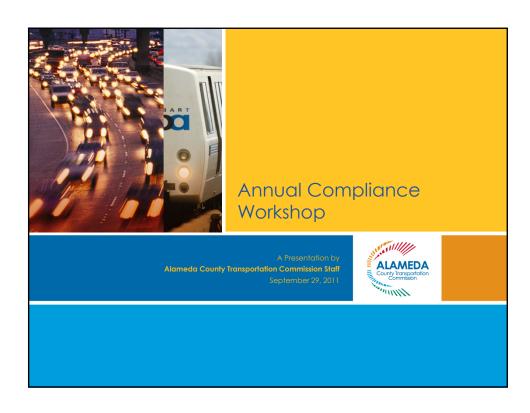
For form questions: Tamara Halbritter, Senior Project Manager, (510) 208-7475, thermal thermal through the senior Project Manager, (510) 208-7475, through through the senior Project Manager, (510) 208-7475, through through through the senior Project Manager, (510) 208-7475, through through thr

For submission questions: Carol Crossley, Grant and Compliance Program Administrator, (510) 208-7454,

ccrossley@alamedactc.org

Location Information: Alameda CTC is located in Downtown Oakland at the intersection of 14th Street and Broadway. The office is just a few steps away from the City Center/12th Street BART station. Bicycle parking is available inside the building, and in electronic lockers at 14th and Broadway near Frank Ogawa Plaza (requires purchase of key card from bikelink.org). There is garage parking for autos and bicycles in the City Center Garage (enter on 14th Street between Broadway and Clay). Visit the Alameda CTC website for more information on how to get to the Alameda CTC: http://www.alamedactc.org/directions.html.

Accommodations/Accessibility: Meetings are wheelchair accessible. Please do not wear scented products so that individuals with environmental sensitivities may attend. Call (510) 893-3347 (Voice) or (510) 834-6754 (TTD) five days in advance to request a sign-language interpreter.



A Brief History

- Voters approved Measure B in 1986
- One of the first self-help counties in the state
- Reauthorized in November 2000 with 81.5% voter approval rate
- Total administration is under 4.5% with a 1% cap on administrative staff



Annual Compliance Workshop



Alameda CTC History

- First stages of merger between ACCMA and ACTIA began in July 2010
- As a joint powers authority, Alameda CTC has taken on combined roles of former agencies
- Mission is to plan, fund and deliver transportation programs and projects



Annual Compliance Workshop

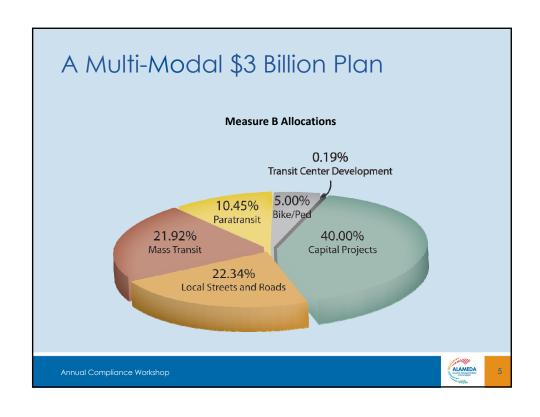


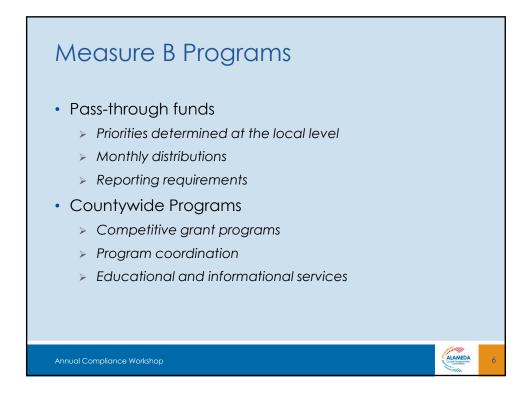
CWC Role

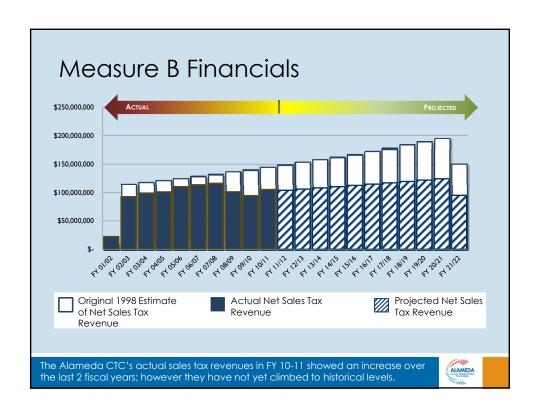
- Citizens Watchdog Committee (CWC)
 - > 17 members from throughout Alameda County
 - > CWC's authority did not change with merger
- Reviews all Measure B expenditures
- Reports directly to the public annually
- May request that recipients present a project progress report to the CWC

Annual Compliance Workshop









Financial Update

- FY 2008-09 sales tax revenues \$101.3 million
- FY 2008-09 interest income rates 4.7% ACTIA
- FY 2009-10 sales tax revenues \$94.5 million
- FY 2009-10 interest income rates 1.7% ACTIA
- FY 2010-11 sales tax revenues \$105.4 million
- FY 2010-11 interest income rates 0.6% ACTIA
- FY 2011-12 budgeted sales tax revenues \$104 million
- Next sales tax update February 2012

Annual Compliance Workshop



Dates	Action
Early September 2011	Compliance Forms Available
September 29	Compliance Workshop
December 27	Compliance Audit Due
December 31	Compliance Report, Table 1 Attachment Due
January 2012	CWC and Staff Review Audits and Reports
February 2012	Measure B Recipients Receive Status Letters and RFIs
March 2012	CWC Receives Draft Expenditure Summary Report
April 2012	Commission Receives Full Expenditure Summary Report
August 2012	CWC Releases 10 th Annual Report to the Public

Reporting Requirements

- Publish article annually in Alameda CTC newsletter or jurisdiction newsletter
- Update information on jurisdiction website
- Link to www.AlamedaCTC.org
- Signage
 - > Multiple sign templates available
 - Magnets

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Reporting Requirements

- End-of-Year Independent Audit
 - > Due December 27th
 - 180 days after end of previous fiscal year
- End-of-Year Compliance Report
 - > Due December 31st
- Available for review by the following:
 - > Alameda CTC
 - > CWC
 - > PAPCO
 - > General public

Annual Compliance Workshop



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Auditing Requirements

- Show balance sheet and revenue versus expenditure statements for each pass-through type of funding:
 - > Bicycle and Pedestrian
 - > Local Streets and Roads
 - Mass Transit
 - Paratransit
- Audit opinion that demonstrates compliance
- Alameda CTC's review includes an analysis of items such as expenditures and reserves

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- 1:

Audit Language

- MB 2000 Compliance Audit opinion
 - > Requirement per contract
 - A clear statement of compliance regarding " ... funds received and used, including plans (for unexpended funds) and reports of expenditures."
 - > Recommended language
 - In our opinion the <u>City of XXXX</u> is in compliance with the laws and regulations, contracts, and grant requirements related to Measure B 2000 funds as specified in the agreement between the <u>City</u> and the Alameda County Transportation Improvement Authority.

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Alameda CTC Commitments

- Compliance with MB 2000 and PUC 180000
- · Remit funds within 5 working days from receipt
- Annually update mileage/population formula
- Present annual revenue projections
- Conduct and present independent audit
- Notice jurisdiction not in compliance/withhold funds
- Review submitted compliance reports by end of January each year

Annual Compliance Workshop



-1

Jurisdiction Commitments

- Expend MB funds in compliance with MB 2000 and PUC 180000
- Accounting systems
 - > Segregation of MB 2000 funds
 - > Adequate internal control/audit trail
 - > Explanation of all transfers

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Jurisdiction Commitments

- Provide to Alameda CTC within 180 days
 - > Independent annual audit
 - Compliance opinion from auditors (see recommended language)
 - Certified maintained road miles
 - > Plans for unexpended funds
 - Program Compliance Report (December 31st)

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Alameda CTC Program Compliance Annual Report Submission

- Requirements
 - Submit one electronic and one hard copy version of the Compliance Audit Report by 5 p.m. on December 27, 2011
 - Submit one electronic and one hard copy version of the Program Compliance Report by 5 p.m. on December 31, 2011
- Submission guidance
 - Submit the PDF form and Table 1 Attachment by email to grants@AlamedaCTC.org
 - Installation of the latest version of Adobe Reader is necessary to complete the PDF form
 - Download Adobe Reader for free at http://get.adobe.com/reader/

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Annual Report

| Telephone | T

Alameda CTC Program Compliance Annual Report

- End-of-Year Program
 Compliance has three parts
 - 1. Independent audit
 - 2. Program Compliance Report form
 - 3. Table 1 Excel worksheet
- Submittal requirements and guidance are on page ii of the PDF form

End-of-Year Program Compliance Instructions
Reporting Period July 1, 2010 through June 30, 2011

Measure find recipients are required to substitute the Alexeds CTC, one electronic and one bart copy version of two reports for end over compliance accompliance report and an active three they ware. The dollar amounts on each of your compliance reducement must make, or Alexeds CTC will consider a compliance of comment and the compliance report, and table 1 Attachment. See galance throughout the regord forms.

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Annual Compliance Workshop

Alameda CTC Program Compliance Annual Report

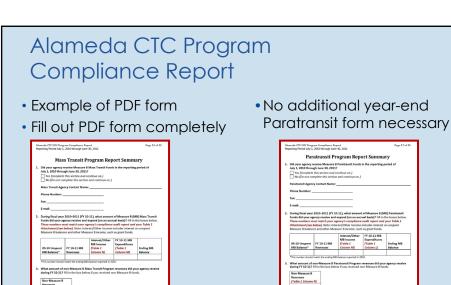
- Program Compliance Annual Report Cover Sheet
 - > Type in your agency name
 - Enter date you submit the report from the calendar
 - Check all boxes for programs that apply to your agency
 - Check all boxes to include attachments for that program (photos, article, etc.)
 - Enter name, title of agency manager
 - Sign and date hardcopy submittals only

	Reports due December 31, 2011
Age	ncy Name:
	Submitted;
Pro Aur the mu the exp	olde signatures below from authorized representatives, but led up specialized with reporting agency. On example the city france manager and but led up to the control of
	nature:
Dar	e:
Sig	nature:
Na	ne and Title of Agency Manager:
Da	e:
	ose the type(s) of report you are submitting (check all that apply; you must submit the le 1 Excel workbook attachment).
	Annual Program Compliance Report – Bicycle and Pedestrian Safety Funds
	Annual Program Compliance Report – Local Streets and Roads Funds
	Annual Program Compliance Report – Mass Transit Funds
	Annual Program Compliance Report – Paratransit Funds
	Table 1: Program Summary of Expenditures/Accomplishments (Excel workbook) – REQUIRE
List	any additional attachments in the electronic report submittal (check all that apply).
	Attachment A: Bicycle and Pedestrian Attachments
	Attachment B: Local Streets and Roads Attachments
	Attachment C: Mass Transit Attachments
	Attachment D: Paratransit Attachments
$\overline{\Box}$	Other Attachments (clearly label additional attachments as needed)

Annual Compliance Workshop



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Annual Compliance Workshop



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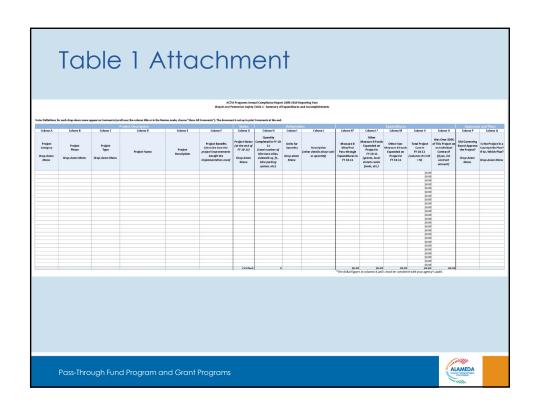
Table 1 Attachment

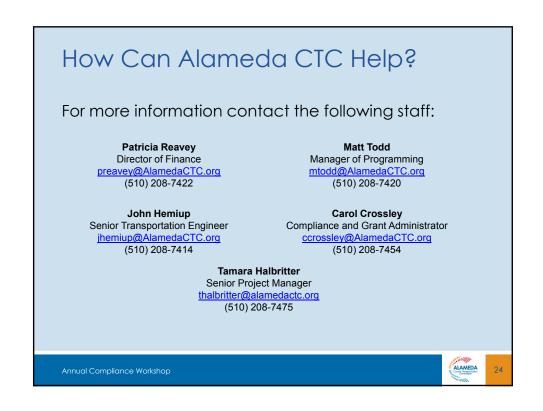
- Summary of Program Expenditure Accomplishments in Excel
- Complete Table 1 form for each Program
 - Complete separate worksheets for each program by checking tab
 - Choose from the drop-down menu that describes your project

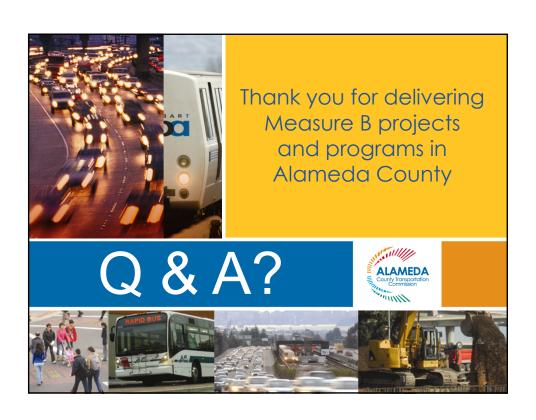
Annual Compliance Workshop



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Compliance Workshop 09/29/11 Attachment 02A



1333 Broadway, Suites 220 & 300

Oakland, CA 94612

PH: (510) 208-7400

www.AlamedaCTC.org

End-of-Year Program Compliance Reporting Requirements

Reporting Period July 1, 2010 through June 30, 2011

Note: In July 2010, the Alameda County Transportation Improvement Authority (ACTIA) merged with the Alameda County Congestion Management Agency to become the Alameda County Transportation Commission (Alameda CTC). Agencies and jurisidictions that have pass-through fund and grant agreements with ACTIA must continue to submit end-of-year program compliance reports. See page ii for submittal instructions.

Required end-of-year compliance submittals:

- **Audit:** Submit electronic version by email by 5 p.m. on **December 27, 2011**, and submit the hard copy by regular mail post-marked by December 27, 2011.
- Compliance Report: Submit electronic version by email by 5 p.m. on
 December 31, 2011, and submit the hard copy with attachments by regular mail post-marked by December 27, 2011.
- **Signage:** In the compliance report, include a description of signage and the number of signs posted. Contact us to receive the updated Alameda CTC signage.
- Website: On your website, provide a link to the Alameda CTC website (www.alamedactc.org), to inform the public about how your jurisdiction uses Measure B funds for transportation projects/programs.
- **Publications:** At a minimum, publish annually in your newsletter or Alameda CTC's e-newsletter an article for each fund type you receive. Submit articles for e-newsletter publication to Carol Crossley (ccrossley@alamedactc.org).

End-of-Year Program Compliance Instructions

Reporting Period July 1, 2010 through June 30, 2011

Measure B fund recipients are required to submit to the Alameda CTC, one electronic and one hard copy version of two reports for end-of-year compliance: a compliance report and an audit. **New this year: The dollar amounts on each of your compliance documents must match, or Alameda CTC will consider your agency out of compliance.** For example, your total local streets and roads Measure B expenditures for fiscal year 2010-2011 must be the same dollar figure on your audit, compliance report, and Table 1 Attachment. See guidance throughout the report forms.

End-of-Year Program Compliance Report Due December 31, 2011

The End-of-Year (EOY) Program Compliance Report includes a PDF report form with attachments and a Microsoft Excel Table 1 Attachment for each program. These documents are available for download at http://www.alamedactc.org/app pages/view/4624.

Electronic submission: Download the PDF form, add your agency's name (or acronym) to the file name, and save it to your hard drive. You can start work on the PDF, save your work, and finish it later. Also download and complete the Table 1 workbook. Submit one copy of both the PDF and Table 1 Attachment by email. Electronic signatures are acceptible. Include other attachments, such as photos (only two photos maximum per program fund type), articles, newsletters, signage, etc., with the electronic copy of the PDF only, not separately. Clearly label additional attachments, by letter and description, as labeled on page 1. Ensure the attachments are easily readable when reproduced in black and white. Send the email with the PDF, Table 1, and additional attachments to grants@alamedactc.org. If your files are larger than 8 MB, upload the files to Alameda CTC's FTP site and send an email to grants@alamedactc.org to notify us of the upload at:

FTP server name: ftp.actia2022.com

User: 2022

Password: Tr33inforest

Hard-copy submission: Page 1 of the EOY Program Compliance Report must have city finance manager and city manager, or the appropriate equivalent, original signatures. Postmark one hard copy of the EOY Program Compliance Report and the Table 1 workbook attachment with wet signatures by December 31, 2011.

Compliance Audit Report Due December 27, 2011

Electronic submission: Submit one copy of the Compliance Audit Report electronically. Use your jurisdiction's standard audit report format. Submit the report by attaching the file to an email and send it to grants@alamedactc.org. If the file is larger than 8 MB, upload your file to the FTP site (see above).

Hard-copy submission: Postmark one hard copy of the Compliance Audit Report, signed by an independent auditor, by December 27, 2011.

Mail hard-copy reports to:

Alameda CTC

Attn: End-of-Year Program Compliance

1333 Broadway, Suite 300

Oakland, CA 94612

E-mail reports to: grants@alamedactc.org

The Alameda CTC must receive all electronic files by their respective due dates. If you submit a draft copy in error or encounter a problem submitting the report, notify the grants administrator by e-mail grants@alamedactc.org or phone (510) 208-7454.

Compliance Workshop 09/29/11 Attachment 04



1333 Broadway, Suites 220 & 300

Oakland, CA 94612

PH: (510) 208-7400

www.AlamedaCTC.org

Checklist - Compliance Audit Report

Include auditor's opinion on compliance with Measure B contract. Use the "recommended language" (see Attachment 04A).
Ensure each category of Measure B revenues matches the FY10-11 pass-through payments or Alameda CTC's website (http://www.alamedactc.org/app pages/view/4134).
Tie each beginning fund balance with prior year ending balances.
Explain transfers in or out of Measure B-funded accounts. Reference the transfers to program reports specifying action.
Show allocation of appropriate interest revenues to Measure B-funded accounts.
Submit full independent auditor's report/comprehensive annual financial report and provide reference where it reflects the Measure B information.
Ensure the audit report is for general public use—not just city council and Alameda CTC.
Ensure the electronic submission follows the appropriate naming convention.
Complete report prior to submission date (180 days after fiscal year end). Postmark hard copy of audit report and submit electronic copy by December 27, 2011.
Checklist - Annual Program Compliance Report
Ensure that city manager and city finance manager or equivalent sign the report.
Fill in the appropriate section of the PDF form for each funding source (i.e., Bicycle and Pedestrian Safety, Local Streets and Roads, Mass Transit, and Paratransit).
Ensure financial information matches where appropriate (audit, PDF, Table 1 Attachment).
Include the appropriate attachments for each funding source in the electronic version only.
Submit the appropriate spreadsheets (fill in separate tabs of Table 1 Attachment) for each Measure B funding source.
Postmark hard copy of Annual Program Compliance Report with original signatures and Table 1 Attachment by December 31, 2011.
Submit electronic copy of compliance report and attachments, and Table 1 Attachment to Alameda CTC by December 31, 2011.

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Compliance Workshop 09/29/11 Attachment 04A



1333 Broadway, Suites 220 & 300

Oakland, CA 94612

PH: (510) 208-7400

www.AlamedaCTC.org

Compliance Audit Report Language

MB 2000 Compliance Audit opinion:

Requirement per contract:

A clear statement of compliance regarding " ... funds received and used, including plans (for unexpended funds) and reports of expenditures."

Recommended language:

In our opinion, the <u>City of XXXX</u> is in compliance with the laws and regulations, contracts, and grant requirements related to Measure B 2000 funds as specified in the agreement between the <u>City</u> and the Alameda County Transportation Improvement Authority.

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Compliance Workshop 09/29/11 Attachment 06

Oakland, CA 94612

PH: (510) 208-7400

www.AlamedaCTC.org

End-of-Year Program Compliance Reporting Requirements

Reporting Period July 1, 2010 through June 30, 2011

Note: In July 2010, the Alameda County Transportation Improvement Authority (ACTIA) merged with the Alameda County Congestion Management Agency to become the Alameda County Transportation Commission (Alameda CTC). Agencies and jurisidictions that have pass-through fund and grant agreements with ACTIA must continue to submit end-of-year program compliance reports. See page ii for submittal instructions.

Required end-of-year compliance submittals:

- Audit: Submit electronic version by email by 5 p.m. on December 27, 2011, and submit the hard copy by regular mail post-marked by December 27, 2011.
- **Compliance Report:** Submit electronic version by email by 5 p.m. on **December 31, 2011,** and submit the hard copy with attachments by regular mail post-marked by December 27, 2011.
- **Signage:** In the compliance report, include a description of signage and the number of signs posted. Contact us to receive the updated Alameda CTC signage.
- Website: On your website, provide a link to the Alameda CTC website (www.alamedactc.org), to inform the public about how your jurisdiction uses Measure B funds for transportation projects/programs.
- **Publications:** At a minimum, publish annually in your newsletter or Alameda CTC's e-newsletter an article for each fund type you receive. Submit articles for e-newsletter publication to Carol Crossley (ccrossley@alamedactc.org).

This document includes the PDF report form and instructions for submittal. Hard copy submissions must have original signatures. Email submissions must include the signatory names as well as all additional attachments.

Table of Contents

End-of-Year Program Compliance Instructions	. ii
End-of-Year Program Compliance Report	
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Local Streets and Roads Program Report Summary	
Mass Transit Progam Report Summary	L2
Paratransit Program Report Summary 1	
Optional Compliance Reporting Survey	24

End-of-Year Program Compliance Instructions

Reporting Period July 1, 2010 through June 30, 2011

Measure B fund recipients are required to submit to the Alameda CTC, one electronic and one hard copy version of two reports for end-of-year compliance: a compliance report and an audit. **New this year: The dollar amounts on each of your compliance documents must match, or Alameda CTC will consider your agency out of compliance.** For example, your total local streets and roads Measure B expenditures for fiscal year 2010-2011 must be the same dollar figure on your audit, compliance report, and Table 1 Attachment. See guidance throughout the report forms.

End-of-Year Program Compliance Report Due December 31, 2011

The End-of-Year (EOY) Program Compliance Report includes a PDF report form with attachments and a Microsoft Excel Table 1 Attachment for each program. These documents are available for download at http://www.alamedactc.org/app pages/view/4624.

Electronic submission: Download the PDF form, add your agency's name (or acronym) to the file name, and save it to your hard drive. You can start work on the PDF, save your work, and finish it later. Also download and complete the Table 1 workbook. Submit one copy of both the PDF and Table 1 Attachment by email. Electronic signatures are acceptible. Include other attachments, such as photos (only two photos maximum per program fund type), articles, newsletters, signage, etc., with the electronic copy of the PDF only, not separately. Clearly label additional attachments, by letter and description, as labeled on page 1. Ensure the attachments are easily readable when reproduced in black and white. Send the email with the PDF, Table 1, and additional attachments to grants@alamedactc.org. If your files are larger than 8 MB, upload the files to Alameda CTC's FTP site and send an email to grants@alamedactc.org to notify us of the upload at:

FTP server name: ftp.actia2022.com

User: 2022

Password: Tr33inforest

Hard-copy submission: Page 1 of the EOY Program Compliance Report must have city finance manager and city manager, or the appropriate equivalent, original signatures. Postmark one hard copy of the EOY Program Compliance Report and the Table 1 workbook attachment with wet signatures by December 31, 2011.

Compliance Audit Report Due December 27, 2011

Electronic submission: Submit one copy of the Compliance Audit Report electronically. Use your jurisdiction's standard audit report format. Submit the report by attaching the file to an email and send it to grants@alamedactc.org. If the file is larger than 8 MB, upload your file to the FTP site (see above).

Hard-copy submission: Postmark one hard copy of the Compliance Audit Report, signed by an independent auditor, by December 27, 2011.

Mail hard-copy reports to:

Alameda CTC

Attn: End-of-Year Program Compliance

1333 Broadway, Suite 300

Oakland, CA 94612

E-mail reports to: grants@alamedactc.org

The Alameda CTC must receive all electronic files by their respective due dates. If you submit a draft copy in error or encounter a problem submitting the report, notify the grants administrator by e-mail grants@alamedactc.org or phone (510) 208-7454.

End-of-Year Program Compliance Report Reports due December 31, 2011

Reports due December 31, 2011
Agency Name:
Date Submitted:
Provide signatures below from authorized representatives. Authorized representatives of the reporting agency, for example the city finance manager and the city manager or appropriate equivalent (city or county administrator or general manager), must sign below, affirming that the statements in the report package are true and complete to the best of their knowledge, and that the audited dollar figures match the Measure B expenditures reported in the compliance report and Table 1 Attachment. The hard copy submittal must have the original signatures; on the electronic version, include the name and title of the signatories.
Signature:
Name and Title of Agency Finance Manager:
Date:
Signature:
Name and Title of Agency Manager:
Date:
Choose the type(s) of report you are submitting (check all that apply; you must submit the Table 1 Excel workbook attachment).
Annual Program Compliance Report – Bicycle and Pedestrian Safety Funds
Annual Program Compliance Report – Local Streets and Roads Funds
Annual Program Compliance Report – Mass Transit Funds
Annual Program Compliance Report – Paratransit Funds
Table 1: Program Summary of Expenditures/Accomplishments (Excel workbook) – REQUIRED
List any additional attachments in the electronic report submittal (check all that apply).
Attachment A: Bicycle and Pedestrian Attachments
Attachment B: Local Streets and Roads Attachments
Attachment C: Mass Transit Attachments
Attachment D: Paratransit Attachments
Other Attachments (clearly label additional attachments as needed)

Bicycle and Pedestrian Program Report Summary

1.	Did your agency receive Measure B Bicycle and Pedestrian Safety Funds in the reporting period of July 1, 2010 through June 30, 2011?					
		Yes (Complete this section and continue on.)				
	No (Do not complete this section and continue on.)					
	Bike/Ped Program	n Agency Contact N	Name:			
	Phone Number:					
	Fax:					
	E-mail:					
2.	During fiscal year 2010–2011 (FY 10-11), what amount of Measure B (MB) Bicycle and Pedestrian Safety Funds did your agency receive and expend (on an accrual basis)? Fill in the boxes below. These numbers must match your agency's compliance audit report and your Table 1 Attachment (see below). Note: Interest/Other Income includes interest or unspent Measure B balances and other Measure B income, such as grant funds.					
	09-10 Unspent MB Balance*	FY 10-11 MB Revenues	Interest/Other MB Income (Table 1 Column L)	FY 10-11 MB Expenditures (Table 1 Column K)	Ending MB Balance	
3.	What amount of n	rring FY 10-11? Fill	cycle and Pedestria	an Program reven	u es did your n-Measure B funds.	
3A	List the specific ty (max. 255 characte	-	re B funding your	agency received.		

4.	If your agency's ending MB balance was greater than zero, why do you have this reserve and how do you plan to spend these dollars? For instance, if you are saving a percentage of funding for certain purposes, what percentage are you saving and what types of projects or programs will those dollars fund? See also questions 4A and 4B. (max. 500 characters)						
4A	List future planned Bike/Ped projects and/or programs funded by MB reserves. If your agency has reserve MB funds, as reflected in your audit, describe your plan for the entire Measure B Bicycle and Pedestrian Safety Funds balance. Describe the planned projects and/or programs and the projected schedule in the chart below. If your agency has undesignated reserve MB funds, complete question 4B. In question 10, list Measure B projects not funded by reserves. Planned Projects Funded by Measure B Reserves						
	Project	Anticipated Spend Date	MB Amount	Comments	City or Agency- approved? (Yes or No)		
-		Total:					
4B.	. Describe undesignated your process to allocat using those funds. In ac	e these funds a	and describe in plan to use rese	detail your plan and rves, will this requir	I time frame for re additional agency		

If applicable, why were the reported expenditures in FY 10-11 more than the amount of Measure B funds the agency received in FY 10-11? For instance, if your agency faced a funding shortage, how did you use reserve Measure B funds from a previous fiscal year(s)? (max. 500 characters)
Did your agency publish articles that highlight Bike/Ped projects and programs funded by Measure B in an agency or Alameda CTC newsletter?
Yes
If yes, include a copy of the newsletter(s) in Attachment A and list the publication(s) and date(s) below.
Publication(s) and Date(s):
Did your agency include a description of the Bike/Ped projects and programs funded by Measure B on its website?
Yes
If yes, include a printout of the website in Attachment A and provide the URL below that
contains updated and accurate project information.
Website Address:
Did your agency use signage that indicates use of Measure B funds for its Bike/Ped projects and programs? Yes
□ No
If yes, include photos of the signage in Attachment A and describe the signage below.
Signage Description (max. 255 characters):

9.	What type of Bike/Ped projects and programs did Measure B fund?
	To answer this question, complete the Table 1 Bike-Ped Safety tab in the Excel workbook.
	Describe in Table 1 the projects and/or programs implemented with Measure B Bicycle and
	Pedestrian Safety Funds in FY 10-11. Include up to two photographs in Attachment A.

9A. Alameda CTC uses the data from Table 1 to monitor compliance with the Master Program

City Council of be submitted projects not a	ent requirement for bicycle/pedestrian safety funds: "Once approved by the Board of Supervisors, a list of high priority bike and pedestrian projects shall to Alameda CTC prior to construction." If your agency expended funds on any pproved by your governing board in advance (as indicated in Column P of se explain how your agency prioritized the projects with public input.

10. Beyond your planned reserve expenditures, what future Bike/Ped projects and programs does your agency plan to use Measure B funds to implement? Provide a list of planned future projects and/or programs approved by your governing board that your agency plans to implement with Measure B Bicycle and Pedestrian Safety Funds. As per the Master Program Fund Agreement, all projects and programs that use Measure B Bicycle and Pedestrian Safety Funds must receive governing board approval, and your agency must submit the project list to Alameda CTC prior to implementation. A complete response to questions 10 and 10A will fulfill this requirement. You may also add projects and programs at other times during the year, via written communication with Alameda CTC. Do not include bicycle and pedestrian Measure B grant-funded projects, unless your agency uses both pass-through and grant funds for the projects.

Tidilica i rojecto i dilac	,	_	•
	Anticipated Spend		
Project	Date	MB Amount	Comments
	Total:	_	

10A.	Describe the governing board approval for future planned projects and/or programs. List the approval date of any resolutions. As applicable, describe the types of documents adopted by the resolution(s). Examples include a bicycle and/or pedestrian plan, capital improvement plan, prioritized project list, etc. For the time period, as applicable, describe the time period(s) covered by the document(s) adopted by the resolution(s).
	Resolution date(s):
	Document(s) type(s):
	Time period(s):
11.	Provide additional Bicycle and Pedestrian Program information, if necessary. If you need more room to add information for any question you answered or to further explain Measure B expenditures for any of your programs, please reference which program (and question, if applicable) below. (no character limit)

Local Streets and Roads (LSR) Program Report Summary

	Did your agency receive Measure B Local Streets and Roads Funds in the reporting period of July 1, 2010 through June 30, 2011?					
	= ' '	this section and complete this section	•			
	LSR Agency Contac	ct Name:				
	Phone Number:					
	Fax:					
	E-mail:					
2.	and Roads Funds of Fill in the boxes be and your Table 1	did your agency red low. <i>These numbe</i>	ceive and expend ers must match you elow). Note: Intere	(on an accrual bas or agency's compl est/Other Income i	sis)? iance audit report includes interest on	
	09-10 Unspent MB Balance*	FY 10-11 MB Revenues	Interest/Other MB Income (Table 1 Column L)	FY 10-11 MB Expenditures (Table 1 Column K)	Ending MB Balance	
	*This number should r	match the ending MB k	halance reported in 20	110		
3.	What amount of n FY 10-11? Fill in the Non-Measure B	non-Measure B LSR e box below if you	_		receive during	
3.	FY 10-11? Fill in the	e box below if you	_		receive during	
	Non-Measure B Revenues	e box below if you M) pes of non-Measu	received non-Mea	isure B funds.	receive during	

If your agency's ending MB balance was greater than zero, why do you have this reserve and how do you plan to spend these dollars? For instance, if you are saving a percentage of funding for certain purposes, what percentage are you saving and what types of projects or programs will those dollars fund? (max. 500 characters)							
A. List future planned	l Rike/Ped project	s and/or progra	ıms funded by M	R reserves. If your			
agency has reserve Measure B Local St programs and the preserve MB funds, by reserves.	e MB funds, as refle creets and Roads Fo projected schedule complete question	ected in your au unds balance. D e in the chart be a 4B. In question	Idit, describe you escribe the plann low. If your agen	ir plan for the entire ned projects and/or cy has undesignated B projects not funded			
Planned Projects F	Unaea by ivieasur	e B Keserves		City or Agency-			
	Anticipated			approved?			
Project	Spend Date	MB Amount	Comments	(Yes or No)			
	Total:						
your process to allo	ocate these funds a In addition, if you	and describe in plan to use rese	detail your plan a rves, will this req	uire additional agenc			
	,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,	,		,			

5.	If applicable, why were the reported expenditures in FY 10-11 more than the amount of Measure B funds the agency received in FY 10-11? For instance, if your agency faced a funding shortage, how did you use reserve Measure B funds from a previous fiscal year(s)? (max. 500 characters)
5.	Did your agency publish articles that highlight LSR projects and programs funded by Measure B in an agency or Alameda CTC newsletter? Yes
	No If yes, include a copy of the newsletter(s) in Attachment B and list the publication(s) and date(s) below.
	Publication(s) and Date(s):
7.	Did your agency include a description of the LSR projects and programs funded by Measure B on its website? Yes No
	If yes, include a printout of the website in Attachment B and provide the URL below that contains updated and accurate project information.
	Website Address:
3.	Did your agency use signage that indicates use of Measure B funds for its Local Streets and Roads projects and programs? Yes No
	If yes, include photos of the signage in Attachment B and describe the signage below. Signage Description (max. 255 characters):
).	What is the certified number of road-miles within the city's jurisdiction? This figure must be consistent with the number of miles reported to state and federal agencies:
Α	List the average pavement condition index (PCI) for the local streets in your city's jurisdiction. This figure must be consistent with the information available in Metropolitan Transportation Commission's 2011 Pothole Report for the year 2010, table "PCI for Bay Area Jurisdictions, 2006-2010" (pages 15-18). For more information, visit

10. What is your jurisd	iction's current popul	lation? This fig	gure should re	flect the pop	oulation as
of January 1, 2011:					

11. What type of LSR projects and programs did Measure B fund?

To answer this question, complete the Table 1 Local Streets and Roads tab in the Excel workbook. Describe in Table 1 the projects and/or programs implemented with Measure B LSR Funds in FY 10-11. Include up to two photographs in Attachment B.

12. Beyond your planned reserve expenditures, what future LSR projects and programs does your agency plan to use Measure B funds to implement? Provide a list of planned future projects and/or programs using Measure B LSR Funds and the projected schedule in the chart below.

	Anticipated		
Project	Spend Date	MB Amount	Comments
	·		
	 Total:		

more room to add information for any question you answered or to further explain Measure B expenditures for any of your programs, please reference which program (an question, if applicable) below. (no character limit)						

Mass Transit Program Report Summary

1.	Did your agency receive Measure B Mass Transit Funds in the reporting period of July 1, 2010 through June 30, 2011?						
	Yes (Complete this section and continue on.) No (Do not complete this section and continue on.)						
	Mass Transit Agen						
	Phone Number:						
	Fax:						
	E-mail:						
2.	During fiscal year a Funds did your ago These numbers mo Attachment (see b Measure B balance	ency receive and e ust match your age nelow). Note: Intere	xpend (on an accruency's compliance est/Other Income i	ual basis)? Fill in th audit report and y ncludes interest o	ne boxes below. Our Table 1		
	09-10 Unspent MB Balance*	FY 10-11 MB Revenues	Interest/Other MB Income (Table 1 Column N)	FY 10-11 MB Expenditures (Table 1 Column M)	Ending MB Balance		
3.	*This number should r What amount of r during FY 10-11? F	on-Measure B Ma	ss Transit Program	n revenues did you			
	Non-Measure B Revenues (Table 1 Column	0)					
3A.	List the specific ty (max. 255 characte		re B funding your a	ngency received.			

4. If your agency's ending MB balance was greater than zero, why do you have this and how do you plan to spend these dollars? For instance, if you are saving a per funding for certain purposes, what percentage are you saving and what types of programs will those dollars fund? (max. 500 characters)						
4A	List future planned Bik agency has reserve MB Measure B Mass Transi the projected schedule funds, complete questi reserves.	funds, as reflet it Funds baland in the chart b ion 4B. In ques	ected in your auce. Describe the elow. If your ago tion 10, list Mea	Idit, describe your planned projects and ency has undesignate	an for the entire d/or programs and ed reserve MB	
	Tiannea i Tojects i ana	l l l l l l l l l l l l l l l l l l l	b Reserves		City or Agency-	
		Anticipated			approved?	
	Project	Spend Date	MB Amount	Comments	(Yes or No)	
•		Total:				
4B	Describe undesignated your process to allocate using those funds. In ac approvals, and if so, wh	e these funds a	and describe in plan to use rese	detail your plan and t rves, will this require	ime frame for additional agency	

5.	If applicable, why were the reported expenditures in FY 10-11 more than the amount of Measure B funds the agency received in FY 10-11? For instance, if your agency faced a funding shortage, how did you use reserve Measure B funds from a previous fiscal year(s)? (max. 500 characters)				
6.	Did your agency publish articles that highlight Mass Transit projects and programs funded by Measure B in an agency or Alameda CTC newsletter? Yes No				
	If yes, include a copy of the newsletter(s) in Attachment C and list the publication(s) and date(s) below.				
	Publication(s) and Date(s):				
7.	Did your agency include a description of the Mass Transit projects and programs funded by Measure B on its website? Yes No				
	If yes, include a printout of the website in Attachment C and provide the URL below that contains updated and accurate project information.				
	Website Address:				
8.	Did your agency use signage that indicates use of Measure B funds for its Mass Transit projects and programs? Yes No If yes, include photos of the signage in Attachment C and describe the signage below.				
	Signage Description (max. 255 characters):				

9. What type of Mass Transit projects and programs did Measure B fund?

To answer this question, complete the Table 1 **Mass Transit** tab in the Excel workbook. Describe the projects and/or programs implemented with Measure B Mass Transit Funds in FY 10-11. Include up to two photographs in Attachment C.

10. Beyond your planned reserve expenditures, what future Mass Transit projects and programs does your agency plan to use Measure B funds to implement? Provide a list of the planned projects and/or programs using Measure B Mass Transit Funds and the projected schedule in the chart below. Do not include express bus services grant-funded projects, unless your agency uses both pass-through and grant funds for the projects.

	Anticipated		
Project	Spend Date	MB Amount	Comments
	Total:		

expenditures for any of your programs, please reference which program (and quest applicable) below. (no character limit)						:30101

Paratransit Program Report Summary

·	July 1, 2010 throu	gh June 30, 2011? this section and co		ds in the reporting p	erioa oi
	= ' '	nplete this section	•	n.)	
	Paratransit Agenc	y Contact Name:			
	Phone Number:				
	Fax:				
	E-mail:				
	Funds did your ag These numbers m Attachment (see b	ency receive and e ust match your ago pelow). Note: Inter	expend (on an a ency's compliar est/Other Incor	unt of Measure B (M ccrual basis)? Fill in t nce audit report and me includes interest o uch as grant funds.	he boxes below. your Table 1
			Interest/Othe	er FY 10-11 MB Expenditures	
	09-10 Unspent MB Balance*	FY 10-11 MB Revenues	(Table 1 Column M)	(Table 1 Column L)	Ending MB Balance
,	What amount of n		atransit Progra	n 2010. I m revenues did you ld Inon-Measure B fun	-
	Non-Measure B Revenues (Table 1 Column	N)			
۱.	List the specific ty	pes of non-Measu	re B funding yo	ur agency received.	(max. 255 characte
		_	1. 1	_	
	What additional N paratransit progra		. •	ncy receive to supporelow if you received t	•

5. If your agency's ending MB balance was greater than zero, explain why you have this reserve and how you plan to spend these dollars. In the future, how do you plan to use undesignated reserve Measure B funds? Fill in the boxes below with any operating or capital Measure B reserves, and fill in the table under question 5A.

Operating Reserve (eligible for up to three months of service funds)	Capital Reserve (may be held for up to three years)	Date of Capital Reserve Initiation	<pre>Undesignated Funds *(End MB - (operations + capital) = Undesignated)</pre>

5A. List future planned Paratransit projects and/or programs funded by MB operating or capital reserves. If your agency has reserve MB funds, **as reflected in your audit**, describe your plan for the entire Measure B Paratransit Funds balance. Describe the planned projects and/or programs and the projected schedule in the chart below. If your agency has undesignated reserve MB funds, complete question 5B. In question 17, list Measure B projects not funded by reserves.

Planned Projects Funded by Measure B Reserves

Figure 1 Djects Funded by Measure B Reserves						
	Anticipated			City or Agency-		
	Spend			approved?		
Project	Date	MB Amount	Comments	(Yes or No)		
	Total:					

5B.	Describe undesignated funds. If your agency has undesignated Measure B funds, describe
	your process to allocate these funds and describe in detail your plan and time frame for
	using those funds. In addition, if you plan to use reserves, will this require additional agency
	approvals, and if so, what is your approval process? (max. 500 characters)

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If applicable, why were the reported expenditures in FY 10-11 more than the amount of Measure B funds the agency received in FY 10-11? For instance, if your agency faced a funding shortage, how did you use reserve Measure B funds from a previous fiscal year(s)? (max. 500 characters)					
•	• .	•11 by category? Fill in the ract or miscellaneous exp			
Labor, Fringe (for recipient staff)	Admin. Costs (for printing, postage, supplies, etc.)	Contracts (see 6A below)	Transportation (expenses recipients paid not included in contracts		
Taxi Reimbursement	Meal Delivery	EBP Ticket Purchase	Miscellaneous (see 6		
Total Operating Expenses (sum of all eight categories))				
List the contracted firm each. (max. 255 charact		han one, list the amount	your agency paid to		
. Describe any miscelland (max. 255 characters)	eous expenditures belo	ow; include the amounts	for each item.		
Of these total expendit boxes below.	ures, what amount wa	as allocated for the follow	ving? Fill in the		
Management (oversight, planning, budgeting, etc.)	Customer Service and Outreach Activities	Trip Provision (direct or contracted taxis, vans, shuttles, etc.)			

9. What were your Measure B capital expenditures in FY 10-11? Fill in the box below and describe the expenditures in question 8A.

Total Capital				
Expenditures				

9A. Describe capital expenditures, such as purchase of vehicles or durable equipment, below.

(max. 255 characters)

10. What were your net revenues? The box below autopopulates based on previous entries and should equal the difference between the total Measure B plus non-Measure revenues and the total Measure B plus non-Measure B expenditures.

Net Revenues	
	\$0.00

11. Does your agency have service quality data available about reservations and trips? If so, enter the data, which may be from consumer surveys or vendors, in the applicable boxes.

Cancelled Trip Reservations (percent)	Passenger No-shows (percent)	On-time Pickups (percent)	Late Pickups (percent)	Missed Trips, Provider No- shows* (percent)	Average Ride Time (minutes)

^{*}Includes very late pickups

12. Does your agency have service quality data available about ridership?

If so, enter the data in the applicable boxes below.

Number of Registered Riders	Number of Riders Added to Program in FY 10-11	Number of Riders on Wait List	Number of Accidents and Incidents*

^{*}Report incidents resulting in any of the following: a fatality other than a suicide, injuries requiring immediate medical attention away from the scene for two or more persons, property damage equal to or exceeding \$7,500, an evacuation due to life safety reasons, or a collision at a grade crossing.

12A.	If any aspect of your responses to questions 11 or 12 needs clarifying, please explain below. (max. 550 characters)
13.	Did your agency publish articles that highlight Paratransit projects and programs funded by Measure B in an agency or Alameda CTC newsletter?
	Yes No
	If yes, include a copy of the newsletter(s) in Attachment D and list the publication(s) and date(s) below.
	Publication(s) and Date(s):
14.	Did your agency include a description of the Paratransit projects and programs funded by Measure B on its website?
	Yes No
	If yes, include a printout of the website in Attachment D and provide on the next page the URL that contains updated and accurate project information.
	Website Address:
15.	Did your agency use signage that indicates use of Measure B funds for its Paratransit projects and programs?
	Yes No
	If yes, include photos of the signage in Attachment D and describe the signage below. Signage Description (max. 255 characters):
	Signage Description (max. 255 characters).

16. What type of Paratransit projects and programs did Measure B fund?

To answer this question, complete the Table 1 **Paratransit** tab in the Excel workbook. Describe in Table 1 the projects and/or programs implemented with Measure B Paratransit Funds in FY 10-11. Include up to two photographs in Attachment D.

17. Beyond your planned reserve expenditures, what future Paratransit projects and programs does your agency plan to use Measure B funds to implement? Provide a list of planned projects and/or programs using Measure B Paratransit Pass-through Program Funds and the projected schedule in the chart below. Do not include grant-funded projects, unless your agency uses both Measure B pass-through and grant funds for the project.

	Anticipated		
Project	Spend Date	MB Amount	Comments
	Total:		

(no character lim		

Optional Compliance Reporting Survey

The Alameda CTC is very interested in your opinion on the current compliance process. We are considering consolidating the PDF and Table 1, and would like to know what type of improvements you would like to see as we move to an online, form-based reporting process.

Submit the following survey with your compliance report and attachments to the Alameda CTC by **December 31, 2011.** Put a check in the box to the right of the question that best represents your opinion on the topic. Add any comments and suggestions in the box below each question.

ompliance report PDF form or Table 1 Attachment?
Comments/suggestions: (max. 500 characters)
low would you rate the instructions within the PDF document and the Table 1
Attachment?
5. Outstanding
4. Good
3. Fair
2. Needs Improvement
1. Poor
Comments/suggestions:
What support during the compliance reporting process was most valuable or nemprovement?

١.	How valuable was the compliance workshop and how could we improve it?
	5. Outstanding
	4. Good
	2. Needs Improvement
	1. Poor
	Comments/suggestions: (max. 500 characters)
	In your opinion, what works well or does not work well about the compliance
	reporting process? (no character limit)
	In your opinion, what types of improvements to the compliance reporting process
	would you like to see (including any suggestions for online reporting)?
	(no character limit)

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Approvals and Plans	Column Q	Is the Project in a Countywide Plan? If So, Which Plan?	Drop-down Menu																								
Approval	Column P	Did Governing Board Approve the Project?	Drop-down Menu																								
	Column O	Was Over \$50K of This Project on an Individual Contract?	(if yes, list contract amount)															0			0		0				0000
	Column N		(columns K+L+M = N)	\$0.00	\$0.00	\$0.00	\$0.00	\$0.00	\$0.00	\$0.00	\$0.00	\$0.00	\$0.00	\$0.00	\$0.00	\$0.00	\$0.00	\$0.00	\$0.00	\$0.00	\$0.00	\$0.00	\$0.00	\$0.00	\$0.00	\$0.00	5
Expenditures	Column M	Other Non- Measure B Funds Expended on	Project in FY 10-11																								000
	Column L*	Other Measure B Funds Expended on Project in	(grants, local streets roads funds, etc.)																								60.00
	Column K*	Measure B Bike/Ped Pass-through	Expenditures in FY 10-11																								000
Si	Column J	Description (other details about unit	or quantity)																								
Deliverables	Column I	Units for Quantity	Drop-down Menu																								
	Column H	Quantity Completed in FY 10- 11 (total number of	sidewalk sq. ft., bike parking spaces, etc.)																								•
Status	Column G	Project Status (at the end of FY 10-11)	Drop-down Menu																								
	Column F	Project Benefits (describe how the project improvements	benefit the implementation area)																								
	Column E	Project Description																									
Project Description	Column D	Project Name																									
Pr	Column C	Project Type	Drop-down Menu																								
	Column B	Project Phase	Drop-down Menu																								
	Column A	Project Category	Drop-down Menu																								

Yes		Both Plans											
Bike Parking Spaces	Intersections	Lane Miles	Linear Feet	Number of People/Passengers	Number of Unduplicated Trips	Square Feet	Vehicles Purchased	Other (describe in Column J)					
Planning in FY 10/11	Initiated in FY 10/11	Continuing or Ongoing	Closed Out in FY 10/11										
Bike Parking	Bikeways (non-Class I)	Bridges and Tunnels	Education and Promotion	Master Plan	Multiuse Paths (Class I)	Pedestrian Crossing Improvements	Safety Improvements	Sidewalks and Ramps	Signage	Signals	Staffing	Traffic Calming	Other (describe in Column E)
Construction (includes PS&E) B	Environmental B	Maintenance	Operations	Project Completion/Closeout	Scoping, Feasibility, Planning N	Other	S	S	S	S	S		
Bicycle	Bike and Ped	Pedestrian											

```
Construction (includes PS&E): Construction of a new capital project, including development of the preliminary engineering and construction documents: the plans, specifications, and estimates. Environmental Policy Act (NEPA).
                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                      Pedestrian Crossing Improvements: At-grade pedestrian crossing improvements such as crosswalks, roadway/geometric changes, or reconfiguration specifically benefiting pedestrians.
                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                      Other: Use if none of the above apply, and define the project phase by selecting Project Type (Column C) and describe the phase under Project Description (Column E).
                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                              Other: Use if none of the Project Types apply or for projects that consist of multiple types of improvements. Describe the type under Project Description (Column E).
                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                    Signals: New traffic signals or crossing signals for pedestrians and/or bicyclits, signal upgrades, countdown signals, audible signals, and video detection. Staffing: Salary and benefits for staff to support projects, programs, or services.
                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                            Bikeways (non-Class I): Bike lanes (Class III), bike boulevards, sidepaths, bike routes (Class III), at-grade bike crossings. Includes bikeway maintenance.
                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                        Sidewalks and Ramps: New sidewalks, sidewalk maintenance, curb ramps, stairs/ramps for pedestrian and Americans with Disabilities Act access.
                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                     Multiuse Paths (Class I): Pathways (Class I) for bicyclists, pedestrians, and other non-motorized modes. Includes maintenance of multiuse paths.
                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                       Choose project status on June 30, 2010: Planning in FY 09/10, Initiated in FY 09/10, Continuing or Ongoing, or Closed Out in FY 09/10.
                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                    Project Completion/Closeout: Inspection/project acceptance, final invoicing, final reporting, and processes for closing out project. Scoping, Feasibility, Planning: Early capital project phases, such as project scoping, Feasibility studies, and planning.
                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                             Safety Improvements: Infrastructure improvements for bicyclists and pedestrians not covered by other project types on the list.
                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                     Bike Parking: Bike racks and lockers, bike shelters, attended bike parking facilities, and bike parking infrastructure.
                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                               Education and Promotion: Marketing, education, information, outreach, promotional campaigns, and programs.
                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                          Signage: Warning, regulatory, wayfinding, or informational signage. Includes signage maintenance.
                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                              Maintenance: Maintenance, repairs, renovation, or upgrade of existing facility or infrastructure.
                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                            Select from the drop-down menu and add any details about the unit or quantity in Column J.
                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                       Traffic Calming: Infrastructure primarily aimed at slowing down motor vehicle traffic.
                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                    Bridges and Tunnels: Bicycle-pedestrian crossings above or below grade.
                                                                                                                                                            Bike and Ped: Project intended for both bicyclists and pedestrians.
                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                          Master Plan: Bicycle and/or pedestrian master plan development.
                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                          Choose one of: Bike Plan, Ped Plan, Both Plans, or Neither Plan.
                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                         Operations: Operations such as for bike stations.
                                                                                                                                                                                                                                                   Pedestrian: Primarily a pedestrian project.
                                                                          Bicycle: Primarily a bicycle project.
                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                               Comment: Countywide Plan(s):
                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                    Comment: Units for Quantity:
                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                     Answer Yes or No.
                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                Comment: Governing Board:
Comment: Project Category:
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Comment: Project Status:
                                                                                                                                                                                                                                                                                                                                                                                                                                                                                    Comment: Project Phase:
                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                Comment: Project Type:
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                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                               Cell: C2
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Note: Definitions for each drop-down menu appear as Comments (scroll over the column title or in the Review mode, choose "Show All Comments"). The document is set up to print Comments at the end.

	Column O	Was Over \$50K of This Project on an Individual Contract? (if yes, list contract																										\$0.00
	Colu		00	8	00	00	00	00	00	00	00	00	00	00	00	00	00	00	00	00	00	00	00	00	00	00	00	8
	Column N	Total Project Cost in FY 10-11 (columns K+L+M = N	\$0.00	\$0.00	\$0.00	\$0.00	\$0.00	\$0.00	\$0.00	\$0.00	\$0.00	\$0.00	\$0.00	\$0.00	\$0.00	\$0.00	\$0.00	\$0.00	\$0.00	\$0.00	\$0.00	\$0.00	\$0.00	\$0.00	\$0.00	\$0.00	\$0.00	\$0.00
Expenditures	Column M	Other Non- Measure B Funds Expended on Project in FY 10-11																										\$0.00 \$0.00
	Column L	Other Measure B Local Measure B Funds Streets and Expended on Roads Project in Pass-through FY 10-11 Expenditures in (grants, FY 10-11 bike/ped funds, etc.)																										\$0.00
	Column K	Measure B Local Streets and Roads Pass-through Expenditures in FY 10-11																										\$0.00
es	Column J	Description (other details about unit or quantity)																										
Deliverables	Column I	Units for Quantity <i>Drop-down</i> <i>Menu</i>																										
	Column H	Quantity Completed in FV 10- 11 (total number of street lane miles, intersections, signal improvements,	2177																									0
Status	Column G	Project Status (at the end of FY 10-11) Drop-down Menu																										TOTALS:
	Column F	Project Benefits (describe how the project improvements benefit the implementation area)																										
	Column E	Project Description																										
Project Description	Column D	Project Name																										
	Column C	Project Type <i>Drop-down Menu</i>																										
	Column B	Project Phase <i>Drop-down Menu</i>																										
	Column A	Project Category <i>Drop-down</i> Menu																										

*The dollar figures in columns K and L must be consistent with your agency's audit.

Bike Parking	Bikeways and Multiuse Paths	Bridges and Tunnels	Education and Promotion	Equipment and New Vehicles	Operations	Pedestrian Crossing Improvements	Sidewalks and Ramps	Signage	Signals	Staffing	Street Resurfacing and Maintenance	Traffic Calming
Construction (plus PS&E)	Environmental	Maintenance	Operations	Project Completion/Closeout	Scoping, Feasibility, Planning	Other						
Bike/Ped	Mass Transit	Paratransit	Streets and Roads	Other								

Number of People/Passengers Number of Unduplicated Trips Square Feet Vehicles Purchased Other (describe in Column J) Bike Parking Spaces Intersections Linear Feet Lane Miles Continuing or Ongoing Closed Out in FY 10/11 Planning in FY 10/11 Initiated in FY 10/11

Welfare to Work Other (describe in Column E)

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Operations: Operations such as transit, which may include routine maintenance and procurement, or lease of vehicles/equipment; intelligent transportation systems; or corridor system management.
                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                          Construction (includes PS&E): Construction of a new capital project, including development of the preliminary engineering and construction documents: the plans, specifications, and estimates
                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                   Pedestrian Crossing Improvements: At-grade pedestrian crossing improvements such as crosswalks, roadway/geometric changes or reconfiguration specifically benefiting pedestrians.
                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                        Environmental: Preparation of environmental documents, such as those related to the California Environmental Quality Act (CEQA) or the National Environmental Policy Act (NEPA).
                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                               multiuse pathways, at-grade bike crossings. Includes maintenance of bikeway facilities.
                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                             roject Type (Column C) and describe the phase under Project Description (Column E).
                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                               Other: Use if none of the Project Types apply or for projects that consist of multiple types of improvements. Describe the type under Project Description (Column E).
                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                               Equipment and New Vehicles: Purchase or lease of vehicles. Equipment for service improvements, such as informaiton dissemination, fare collection, etc.
                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                    Operations: Operations including traffic signal system controls/interconnection, corridor monitoring and management, and transit system operations.
                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                 Sidewalks and Ramps: New sidewalks, sidewalk maintenance, curb ramps, stairs/ramps for pedestrian and Americans with Disabilities Act access.
                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                     Other: Use if none of the above apply, and define category by selecting Project Type (Column C) and providing Project Description (Column E).
                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                      Choose project status on June 30, 2010: Planning in FY 09/10, Initiated in FY 09/10, Continuing or Ongoing, or Closed Out in FY 09/10.
                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                          Welfare to Work: Transit services to enhance transportation opportunities for persons making the transition from welfare to work.
                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                        Project Completion/Closeout: Inspection/project acceptance, final invoicing, final reporting, and processes for closing out project.
                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                    Signals: New traffic signals or crossing signals, signal upgrades, countdown signals, audible signals, or signal timing improvements.
                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                   Education and Promotion: Marketing, education, information, outreach, and promotional campaigns and programs.
                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                             Scoping, Feasibility, Planning: Early capital project phases, such as project scoping, feasibility studies, and planning.
                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                          , and bike parking infrastructure.
                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                               Street Resurfacing and Maintenance: Repaving and resurfacing of on-street surfaces, including striping.
                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                             Maintenance: Maintenance, repairs, renovation, or upgrade of existing facility or infrastructure.
                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                           Select from the drop-down menu and add any details about the unit or quantity in Column J.
                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                    Bridges and Tunnels: Crossings above or below grade for bicycles, pedestrians, and/or autos.
                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                           Traffic Calming: Infrastructure primarily aimed at slowing down motor vehicle traffic.
                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                          Bike Parking: Bike racks and lockers, bike shelters, attended bike parking facilities,
                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                   Other: Use if none of the above apply, and define the project phase by selecting P
                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                               Bikeways and Multiuse Paths: Bike lanes, bike boulevards, sidepaths, bike routes,
                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                  Staffing: Salary and benefits for staff to support projects, programs, or services.
                                                                                                                                                                                                                                                                                          Paratransit: Paratransit services for seniors and or people with disabilities.
                                                                                              Bike/Ped: Bicycle and pedestrian project, program, plan, or staffing.
                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                      Signage: Warning, regulatory, wayfinding, or informational signage.
                                                                                                                                                                                                                                                                                                                                                                                                      Streets and Roads: Streets, roads, or highways project.
                                                                                                                                                                                                   Mass Transit: Bus, ferry, rail, or shuttle project.
                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                             Comment: Units for Quantity:
Comment: Project Category:
                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                               Comment: Project Status:
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                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                               Cell: B5
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	Column Q	Was Over \$50K of This Project on an Individual Contract? (if yes, list contract																										\$0.00
			\$0.00	\$0.00	\$0.00	\$0.00	\$0.00	\$0.00	\$0.00	\$0.00	\$0.00	\$0.00	\$0.00	\$0.00	\$0.00	\$0.00	\$0.00	\$0.00	\$0.00	\$0.00	\$0.00	\$0.00	\$0.00	\$0.00	\$0.00	\$0.00	\$0.00	\$0.00
	Column P	Total Project Cost in FY 10-11 (columns M+N+O = P)	\$	\$	\$	\$	\$	\$	\$	\$	\$	\$	\$	\$	σ.	•	\$	\$	\$	φ.	\$	\$	\$	\$	\$	\$	Φ.	
Expenditures	Column O	Other Non- Measure B Funds Expended on Project in FY 10-11																										\$0.00
	Column N**	Other Measure B Funds Expended on Project in FY 10-11 (grants, etc.)																										\$0.00
	Column M**	Measure B Mass Transit Pass-through Expenditures in FY 10-11																										\$0.00
	Column L	Description (type of trips or other details)																										00.00\$ 00.00\$
	Column K*	Estimated Number of Trips Funded by Measure B FY 10-11																										0
Deliverables	Column J	Description (other details about unit or quantity)																										
	Column I	Units for Quantity <i>Drop-down</i> <i>Menu</i>																										
	Column H	Quantity Completed in FY 10- 11 (total number of trips, new vehicles, service hours, etc.)																										0
Status	Column G	Project Status (at the end of FY 10-11) Drop-down Menu																										TOTALS:
	Column F	Project Benefits (describe how the project improvements benefit the implementation area)																										
	Column E	Project Description																										
Project Description	Column D	Project Name																										
	Column C	Project Type <i>Drop-down Menu</i>																										
	Column B	Project Phase <i>Drop-down Menu</i>																										
	Column A	Project Category <i>Drop-down</i> Menu																										

*Percentage of total dollars spent to Measure B funds is relative to percentage of trips provided.

(Total \$/Measure B \$) approx. = (Total trips provided/Measure B-funded trips provided).

**The dollar figures in columns M and N must be consistent with your agency's audit.

	Construction (plus PS&E)	Education and Promotion	Planning in FY
	Environmental	Equipment and New Vehicles	Initiated in FY 1
	Maintenance	Operations C	Continuing or (
	Operations	Safety Improvements C	Closed Out in F
	Project Completion/Closeout	out Staffing	
0,	Scoping, Feasibility, Planning Welfare to Work	Welfare to Work	
J	Other	Other (describe in Column E)	

Bus Ferry Paratransit Rail Other

Bike Parking Spaces intersections Lane Miles Linear Feet Number of People/Passengers Number of Unduplicated Trips

Vehicles Purchased Other (describe in Column J)

Square Feet

Construction (plus PS&E)	Education and Promotion	Planning in FY 10/11
Environmental	Equipment and New Vehicles	Initiated in FY 10/11
Maintenance	Operations	Continuing or Ongoing
Operations	Safety Improvements	Closed Out in FY 10/11
Project Completion/Closeout	Staffing	
Scoping, Feasibility, Planning	Welfare to Work	
Other	Other (describe in Column E)	

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Operations: Vehicle operation, scheduling, dispatching, vehicle maintenance, supervision, and fare collection (including ticket or scrip printing and sales) for the purpose of carrying passengers. Includes actual operations cost and contracts to perform operations. Safety Improvements for operators, passengers, service users, facilities, and infrastructure or property.
                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                    Operations: Operations such as transit, which may include routine maintenance and procurement, or lease of vehicles/equipment; intelligent transportation systems; or corridor system management
                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                    Construction (includes PS&E): Construction of a new capital project, including development of the preliminary engineering and construction documents: the plans, specifications, and estimates. Environmental documents, such as those related to the California Environmental Quality Act (CEQA) or the National Environmental Policy Act (NEPA).
                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                    Other: Use if none of the above apply, and define the project phase by selecting Project Type (Column C) and describe the phase under Project Description (Column E).
                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                         Other: Use if none of the Project Types apply or for projects that consist of multiple types of improvements. Describe type under Project Description (Column E).
                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                  Equipment and New Vehicles: Purchase or lease of vehicles. Equipment for service improvements, such as information dissemination, fare collection, etc.
                                                                                                                                                                                                                                                                                                                                                                                                                                                       Other: Use if none of the above apply, and define the category by selecting Project Type (Column C) and providing a Project Description (Column E).
                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                    Choose project status on June 30, 2010: Planning in FY 09/10, Initiated in FY 09/10, Continuing or Ongoing, or Closed Out in FY 09/10.
                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                        Welfare to Work: Transit services to enhance transportation opportunities for persons making the transition from welfare to work.
                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                       Project Completion/Closeout: Inspection/project acceptance, final invoicing, final reporting, and processes for closing out project. Scoping, Feasibility, Planning: Early capital project phases, such as project scoping, Feasibility studies, and planning.
                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                     campaigns and programs.
                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                       Maintenance: Maintenance, repairs, renovation, or upgrade of existing facility or infrastructure.
                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                        Select from the drop-down menu and add any details about the unit or quantity in Column J.
                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                       Education and Promotion: Marketing, education, information, outreach, and promotional
                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                    Staffing: Salary and benefits for staff to support projects, programs, or services.
                                                                                                                                                                                                                                                                                Paratransit: Paratransit service for seniors and/or people with disabilities.
                                                                            Bus: Bus and/or shuttle project.
                                                                                                                                                                              Ferry: Ferry project or service.
                                                                                                                                                                                                                                                                                                                                                                   Rail: Rail project/service.
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Comment: Project Category:
                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                      Cell: G5
Comment: Project Status:
                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                              Comment: Project Phase:
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                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                            Cell: B5
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	Column Q	Was Over \$50K of This Project on an Individual Contract? (if yes, list contract amount)																										\$0.00
	Column P (Wa: Total Project of Th Cost in an FY 10-11 ((columns (i)	\$0.00	\$0.00	\$0.00	\$0.00	\$0.00	\$0.00	\$0.00	\$0.00	\$0.00	\$0.00	\$0.00	\$0.00	\$0.00	\$0.00	\$0.00	\$0.00	\$0.00	\$0.00	\$0.00	\$0.00	\$0.00	\$0.00	\$0.00	\$0.00	\$0.00	\$0.00
are Revenue	Column O	Fares (paid for travel including cash fares, To scrip/voucher purchases, fares retained by vendors or paid L+h by third-party sponsors)																										\$0.00
Expenditures and Fare Revenue	Column N	Other Non- tr Measure B Funds Expended on Project in pt FY 10-11 (includes the way general fund) tr																										\$0.00
û	Column M*	Other Measure B Funds N Expended on Project in FY 10-11 (includes gap or MSL grants, stabilization)																										\$0.00
	Column L*	Measure B Paratransit Pass-through Expenditures in FY 10-11																										\$0.00
	Column K	Number of Trips Funded by (other details about trip Measure B in FY 10-11*																										
Deliverables	Column J	Number of Trips Funded by Measure B in FY 10-11*																										0
ă	Column I	Trip Type Description (other details about trip service) Drop-down Menu																										
	Column H	Quantity Completed in FY 10-11 (total number of one-way passenger trips, tickets purchased, etc.)																										0
Status	Column G	Project Status (at the end of FY 10-11) Drop-down Menu																										TOTALS
	Column F	Project Benefits (describe how the project improvements benefit the implementation area)																										
	Column E	Project Description																										
Project Description	Column D	Project Name																										
	Column C	Project Type <i>Drop-down Menu</i>																										
	Column B	Project Phase <i>Drop-down Menu</i>																										
	Column A	Project Category <i>Drop-down</i> Menu																										

*Percentage of total dollars spent to Measure B funds is relative to percentage of trips provided.

(Total \$/Measure B \$) approx. = (Total trips provided/Measure B-funded trips provided).

**The dollar figures in columns L and M must be consistent with your agency's audit.

Capital Purchase
Customer Service and Outreach
EBP Ticket Purchase
Group Trips
Individual Demand-response Trips Management
Meal Delivery
Shuttle or Fixed-route Trips
Other (describe in Column E) Disabled Services Construction (includes PS&E)

Meals on Wheels Environmental
Senior and Disabled Ser Maintenance
Senior Services Operations
Other Project Completion/Closeout
Scoping, Feasibility, Planning
Other

Note: When updating, this page has all of the "All Workbook" entries. Except, bike/ped has the Units (Column I) for those other than paratransit.

Lift/ramp-assisted Trips
Taxi Trips
Same-day Lift/Ramp-assisted Trips
Same-day Trips
Other (describe in Column K)

Planning in FY 10/11 Initiated in FY 10/11 Continuing or Ongoing Closed Out in FY 10/11

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Individual Demand-response Trips. Taxi service, door-to-door trips, van trips, van trips, etc. Includes actual operation cost and contracts for vehicle operation, scheduling, dispatching, vehicle maintenance, supervision, and fare collection (including ticket or scrip printing and sales) for the purpose of carrying passengers.
                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                         Meal Delivery: Costs associated with vehicle operation, scheduling, dispatching, vehicle maintenance, and supervision for the purpose of delivering meals, whether provided in-house, through contracts, via taxicab, or by grantees. Shuttle or Fixed-route bus service or fixed-route bus service, for example. Includes vehicle operation and contracts. See Individual Demand-response Trips.

Other: Use if none of the above apply. Describe the Type under Project Description (Column E).
                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                             Construction (includes PS&E): Construction of a new capital project, including development of the preliminary engineering and construction documents: the plans, specifications, and estimates. Environmental Policy Act (NEPA).
                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                 Customer Service and Outreach: Staffing and benefits for customer service as well as costs associated with marketing, education, outreach, and promotional campaigns and programs.
                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                Other: Use if none of the above apply, and define the project phase by selecting Project Type (Column C) and describe the phase under Project Description (Column E).
                                                                                                                                                                                                                                                                                                                                                                                                                              Other: Use Other if none of the above apply, and define other by selecting Project Type (Column C) and providing Project Description (Column E).
                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                          EBP Ticket Purchase: Amounts paid to East Bay Paratransit for tickets plus associated costs, for example, distribution.
Group Trips: One-way passenger trips considered group trips. Includes vehicle operation and contracts. See Individual Demand-response Trips.
                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                   Choose project status on June 30, 2010: Planning in FY 09/10, Initiated in FY 09/10, Continuing or Ongoing, or Closed Out in FY 09/10.
                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                           Operations: Operations such as transit, which may include routine maintenance and procurement, or lease of vehicles/equipment.
                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                            Project Completion/Closeout: Inspection/project acceptance, final invoicing, final reporting, and processes for closing out project.
                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                              Scoping, Feasibility, Planning: Early capital project phases, such as project scoping, feasibility studies, and planning.
                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                         Other: If Trip Type is not applicable to your program, choose Other and provide a description in Column K.
                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                      Same-day Lift/ramp-assisted Trips: Trips that include lift or ramp assistance and are same-day service.
                                                                                                                                                                                                           Senior & Disabled Services: Transportation services for seniors and people with disabilities
                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                         Maintenance: Maintenance, repairs, renovation, or upgrade of existing facility or infrastri
Disabled Services: Services primarily created for mobility for people with disabilities.
                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                               Management: Staffing and benefits to manage programs, projects, and services.
                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                               Capital Purchase: Purchase of equipment, vehicles, or facilities.
                                                                                                                                                                                                                                                                                                                     Senior Services: Services primarily created for senior mobility.
                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                           Lift/ramp Assisted: Trips that include lift or ramp assistance.
                                                                                                         Meals on Wheels: Delivery of meals.
                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                    Taxi Trips: Any type of taxi trip.
                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                         Comment: Trip Type Description:
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                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                    Comment: Project Phase:
                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                     Comment: Project Type:
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End of Year Program Compliance Workshop Thursday, September 29, 2011 - 10 a.m. SIGN-IN SHEET

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End of Year Program Compliance Workshop Thursday, September 29, 2011 - 10 a.m.

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2 3 1	Joe Whitaker			Page	103



Schedule for Fiscal Year 2010-2011 End-of-Year Compliance Reporting

Objectives/Comments				CWC Compliance Report Subcommittee Meeting on 6/30 at 2 p.m.					Include general information about compliance, the deadlines, information on the compliance workshop in September, etc.	Include instructions and a survey in the report form.							This is the second email in a series of three email messages to jurisdictions.			This is a reminder message about the workshop.										
				CWC Co					Include the con	Include							This is t			This is a										
Due Date X	08/26/11	08/29/11	08/31/11	08/04/11	08/31/11	08/06/10	08/22/11	08/19/11	08/23/11	08/30/11	08/30/11	09/06/11	09/06/11	09/09/11	09/09/11	09/09/11	09/09/11	09/15/11	09/22/11	09/23/11	09/16/11	09/16/11		09/23/11	09/23/11		09/29/11	09/29/11	11/06/00	09/30/11
Sup-port	ខ		ខ	ខ	8	8	픋	폰		ខ	픋			ខ	폰	폰	Ŧ	프	王		PR, JH	ខ			Ε	i	Ξ	픋		TH, CC
Lead	Ŧ	PR, MT	Ŧ	TL, TH, CWC	Ŧ	픋	8	8	ខ	픋	8	1	PR, MT	Ŧ	ខ	ខ	ខ	8	PR, MT	ខ	CC, TH	픋		РК, ЈН	РВ, ЈН	;	ဗ	ខ	ر	PR, JH
Task	Develop plan and revise timeline for completing reporting documents and tools	Review plan/timeline	Revise plan/timeline	Review PDF report document and Table 1 Excel report document	Follow up with CWC, staff to receive additional input	Do research necessary to prepare materials	Update jurisdictions mailing list	Prepare initial save the date reminder email	Send initial compliance reminder email	Update PDF and Table 1 report forms	Update email cover letter for jurisdictions	Review report forms, instruction packet, attachments	Review email announcement	Revise report forms and instruction packet	Revise email announcement	Post instructions and reporting forms to website	Send email to jurisdictions with links to website for reporting forms and instructions	Prepare third save the date reminder email	Review third save the date reminder email	Revise and send third reminder email	Update workshop presentation	Update agenda, attachments, and audit report	checklist	Review workshop presentation	Review agenda, submittal instructions, and audit	report checklist	Revise workshop presentation	Revise agenda, submittal instructions, and audit	report checklist	Hold Compliance Workshop
No. Task Area	1 Scheduling/Planning	2 Scheduling/Planning	3 Scheduling/Planning	4 Scheduling/Planning	5 Scheduling/Planning	6 Reporting Materials	7 Reporting Materials	8 Reporting Materials	9 Reporting Materials	10 Reporting Materials	11 Reporting Materials	12 Reporting Materials	13 Reporting Materials	14 Reporting Materials	15 Reporting Materials	16 Reporting Materials	17 Reporting Materials	18 Compliance Workshop	19 Compliance Workshop	20 Compliance Workshop	21 Compliance Workshop	22 Compliance Workshop		23 Compliance Workshop	24 Compliance Workshop	:	25 Compliance Workshop	26 Compliance Workshop	27 Compliance Morkshop	28 Compliance Workshop
Task End Date		Ţ	[/]	TE/8							ττ	/6/6	j										ΙΙ	:/O	\S/	6				

Schedule for Fiscal Year 2010-2011 End-of-Year Compliance Reporting

Objectives/Comments			mary report.			those not in compliance.				PMT tracks info on deliverables such as signage, web content.		bles/charts.			visions may continue.	the CWC report.			/12.					is to PPC.					
×			Include an excerpt of the summary report.			This provides 45-day notice to those not in compliance.				PMT tracks info on deliverable		This includes developing all tables/charts			Based on committee input, revisions may continue.	This report may be similar to the CWC report.			Final memo on server by 3/30/12.					This report may be the same as to PPC.			Only revise if necessary.		
Due Date	02/27/12	03/05/12	03/05/12	03/09/12	03/12/12	03/13/12		03/20/12	03/23/12	03/26/12	01/03/12	03/02/12	03/16/12	03/23/12	03/30/12	03/22/12	03/30/12	03/29/12	03/30/12	04/02/12	04/02/12	04/06/12	04/09/12	04/13/12	04/16/12	04/19/12	04/23/12	04/25/12	
Sup-port	王					픋			픋		王	王	ខ	Ε	PMT	王	王							푠	ပ္ပ			王	
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Task	Prepare presentation for CWC	Review and edit CWC presentation	Mail out packet for CWC	Revise presentation	Present summary info to CWC	Draft compliance status and noncompliance letter (if	necessary)	Edit compliance status and noncompliance letters	Revise compliance status and noncompliance letters	Mail out letters	Begin to collect data and "roll it up"	Complete data collection and "rollups"	Develop EOY compliance summary report	Edit summary report	Revise summary report	Prepare PPC report and attachments	Prepare PPC presentation	Edit PPC report and attachments	Revise PPC report	Mail out PPC packet	Edit presentation	Revise presentation	Present summary info to PPC	Review/edit PPC report and attachments	Revise report and attachments	Mail out Commission packet	Review/edit PPC presentation	Revise presentation	
No. Task Area	54 CWC Report	55 CWC Report	56 CWC Report	57 CWC Report	58 CWC Report	59 Tracking/Reporting		60 Tracking/Reporting	61 Tracking/Reporting	62 Tracking/Reporting	63 Summary Reports	64 Summary Reports	65 Summary Reports	66 Summary Reports	67 Summary Reports	68 PPC Report	69 PPC Report	70 PPC Report	71 PPC Report	72 PPC Report	73 PPC Report	74 PPC Report	75 PPC Report	76 Commission Report	77 Commission Report	78 Commission Report	79 Commission Report	80 Commission Report	0
Task End N	7	Ţ/i	71	7/8	Ē		7	ZT/9	3/5		7	27,	/O8	E/8	Ē			7	τ/	6/	†				71	Ţ/ <u>S</u>	97/	' t	

Schedule for Fiscal Year 2010-2011 End-of-Year Compliance Reporting

Task End Date	ó	No. Task Area	Task	Lead	Sup-port	Due Date	×	Objectives/Comments
	82	82 CWC Annual Report	Meet to develop the CWC Annual Report	CWC Sub-	PMT	04/30/12		The CWC Annual Report Subcommittee meets several times during April, May,
				committee				and June to develop the report.
	83	83 CWC Annual Report	Write content for report and determine which content to include in summary advertisement	CWC/TL	PMT	05/31/12		Usually, the CWC writes the report; sometimes staff writes the report. First draft complete in May.
	84	84 CWC Annual Report	Develop graphics and design the report and summary print advertisement	Ŋ	PMT	06/01/12		Usually, Julia Chung of Publication Design designs the report. Staff and PMT provide graphics.
	85	85 CWC Annual Report	Mail out CWC packet	Ą	PMT	06/04/12		
	98	86 CWC Annual Report	Review the draft annual report and summary report "advertisement"	CWC	귇	06/11/12		The full CWC reviews the draft report and the summary advertisement.
71	87	87 CWC Annual Report	Get quotes for printing the report and placing a summary in local newspapers	Ą	PMT	06/20/12		PMT works with the CWC Annual Report Subcommittee to get approval.
t/te,	88	88 CWC Annual Report	Draft CWC memo about the report and outreach	АА/ТН	PMT	06/20/12		Include publication placement details and printing, outreach costs.
/8	89	89 CWC Annual Report	Design online banner advertisements	CC/TH	PMT	06/20/12		
	90	90 CWC Annual Report	Edit CWC memo and banner ads	TL, JH		06/27/12		
	91	91 CWC Annual Report	Revise the CWC memo and banner ads	TH/CC	PMT	06/29/12		
	92	92 CWC Annual Report	Mail out CWC packet	ΑΑ	PMT	07/02/12		Include memo, publication costs, and final report and advertisements.
	93	93 CWC Annual Report	Finalize and approve the report	CWC/TL	PMT	07/09/12		The full CWC and public review the final report at public meeting.
	94	94 CWC Annual Report	Size the advertisements and make any final revisions to report, ads, banner ads	PMT	Ŋ	07/30/12		
	95	95 CWC Annual Report	Post the report to the website	PMT		07/31/12		
	96	96 CWC Annual Report	Work with vendors to print and publish the report	PMT	ㄷ	08/31/12		Printing occurs early August and the report advertisement and online banner ads run in local publications in August.

*Note: For all agenda packet mailings, such as for Alameda CTC, CWC, and FAC, staff posts these materials to the website on the mail out day.



1333 Broadway, Suites 220 & 300

Oakland, CA 94612

PH: (510) 208-7400

www.AlamedaCTC.org

Citizens Watchdog Committee Issues Identification Process

Summary

This issues identification process outlines the responsibilities of the Citizens Watchdog Committee (CWC) and the process to bring and address issues of concern to the CWC.

CWC Responsibilities

The Citizen Watchdog Committee is charged with the following as written in the Expenditure Plan approved by voters in November 2000:

"This committee will report directly to the public and will be charged with reviewing all expenditures of the Agency [Alameda County Transportation Commission (Alameda CTC)]." The responsibilities of the committee are to:

- Hold public hearings and issue reports, on at least an annual basis, to inform Alameda County residents how the funds are being spent. The hearings are open to the public and must be held in compliance with the Brown Act, California's open meeting law, with well-publicized information announcing the hearings posted in advance.
- Have full access to the Commission's independent auditor and authority to request and review specific information and to comment on the auditor's reports.
- Publish an annual report and any comments concerning the Commission's audit
 in the local newspapers. In addition, copies of these documents must be made
 available to the public at large.

The Commission also allows the CWC to fulfill its mission by requesting information directly from Measure B fund recipients.

Review Process

The goals for any review of projects and programs by the CWC are to report to the public and make recommendations to the Alameda CTC staff and Board. To this end, the tasks for the CWC to focus on: 1) proper expenditure of Measure B funds; 2) the timely delivery of projects per contract agreements and the Expenditure Plan; and 3) adherence to the projects or programs as defined in the voter-approved Expenditure Plan.

CWC Issues Identification Process

During the review process, CWC members will use the following procedures:

- Issues that are raised by CWC members regarding financial and contract compliance issues may be legitimate topics to pursue through the request of a project or program sponsor to appear before the CWC.
- 2. Before calling on a sponsor to appear, CWC members must submit a "CWC Issues Form" (attached) to the CWC chair or vice-chair for placement on the agenda at the next CWC meeting.
- 3. CWC members may also submit CWC Issues Forms during a meeting, which the chair will take into consideration, and at his or her discretion, address at that particular meeting.
- 4. The full CWC must approve issues identified in a CWC Issues Form to address in further detail by an affirmative vote.
- CWC members may form an ad-hoc subcommittee to draft CWC questions that need answers from the project/program sponsors and to receive a presentation from a project or program sponsor specifically addressing the issues, questions, or concerns raised by the CWC.
- 6. The subcommittee should consider the resources listed below, either in preparation for the review meeting, or for examination during the meeting.

The reviews are expected to be organized, thorough and efficient, and may result in a clear recommendation for further action, if needed.

Resources for CWC (not inclusive)

- Adopted Measure B Expenditure Plan (blue book)
- Up-to-date list of project/program sponsors contacts
- Alameda CTC staff responsible for oversight of the project/program
- Information about public hearings, recent discussions, or news clippings provided by Alameda CTC staff to the CWC by mail or at meetings
- Other Alameda CTC community advisory committees (for example, the Citizens Advisory Committee, Paratransit Advisory and Planning Committee, or Bicycle and Pedestrian Advisory Committee chair-persons may be called on to address an issue.)
- Alameda CTC Auditor (for example, to request, "Are these figures reasonable/reliable?")
- Alameda CTC Executive Director (for example, to request "Is this the intention of the Expenditure Plan?")
- Alameda CTC Attorney (for example, to determine, "Is this a legal issue?")

CITIZENS WATCHDOG COMMITTEE ISSUES FORM

Alameda County Transportation Commission (Alameda CTC) 1333 Broadway, Suite 300 Oakland, California 94612 Voice: 510-893-3347 Fax: 510-893-6489

The CWC is required to review all Measure B expenditures. This form allows formal documentation of potential issues of concern regarding expenditure of Measure B funds. A concern should only be submitted to the CWC if an issue is directly related to the potential misuse of Measure B funds or non-compliance with Alameda CTC agreements or the Expenditure Plan approved by voters. This form may be used only by acting CWC members.

nte:	Date:
nme:	Name:
mail Address:	Email Address:
overnmental Agency of Concern (Include name of agency and all individuals)	Governmental Age
gency's Phone Number:	
gency's Address:	Agency's Address:
tyZip Code:	City
hich one of the following Measure B expenditures is this concern related to: lease check one) Capital Project □ Program □ Program Grant □ Administration	(Please check one)
ease explain the nature of your concern and how you became aware of it providing as uch detail as you can, including the name of the project or program, dates, times, and aces where the issues you are raising took place. (Use additional sheets of paper if cessary)	much detail as you

PROCESS -	
PROTECTION	<u>•</u>
Action Taken -	Please list other parties or agencies you have contacted in an attempt to mo
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Memorandum

DATE: August 22, 2011

TO: Finance and Administration Committee

FROM: Tess Lengyel, Deputy Director of Policy, Public Affairs and Legislation

Liz Brazil, Contract Compliance and Outreach Analyst

SUBJECT: Approval of the Semi-Annual Contract Equity Utilization Report and

Contract Award Report for January 1, 2011 through June 30, 2011

Recommendation

It is recommended that the Commission approve the attached Semi-Annual Local Business Contract Equity (LBCE) Program Utilization Report and Contract Award Report, for the period of January 1, 2011 to June 30, 2011. The contracts and contract payment data which serve as a basis for this LBCE report have been reviewed and accepted by the Commission's contract equity consultant, L. Luster and Associates.

Summary

On a semi-annual basis, Alameda CTC staff prepares the LBCE Utilization Report to provide the status and progress on the utilization of:

- 1. Local Business Enterprise (LBE) / Small Local Business Enterprise (SLBE) on active Measure B funded contracts awarded by the Commission and Sponsoring agencies; and
- 2. Minority-Owned Business Enterprise (MBE) / Woman-Owned Business Enterprise (WBE) participation on active contracts awarded by the Commission and sponsoring agencies that were exempted from the application of the Agency's LBCE Program and goals. Those Measure B funded contracts exempted from the LBCE Program and goals were those that had additional Federal and/or State funds, non-local funds, or with less than \$50,000 in contract value.

Semi-Annual Local Business Contract Equity (LBCE) Program Report with Goals

In the current reporting period there were a total of 34 active contracts with LBCE Program goals. Of these contracts, roughly 87% of payments (\$6.1 million) went to firms certified as LBE, and 25% of payments (\$1.8 million) went to firms certified as SLBE. The LBE goal of 70% and SLBE goal of 30% for Administrative contracts was exceeded. The engineering contracts exceeded the LBE goal of 70% but the 25% utilization fell short of the 30% SLBE goal. There were no construction payments for this period.

Additional information collected for contracts with LBCE Program goals include:

- Very Small Local Business Enterprise (VSLBE) 12% of payments (almost \$0.8 million)
- Disadvantaged Business Enterprise (DBE) 5% of payments (\$0.4 million)
- Minority-Owned Business Enterprise (MBE) 5% of payments (\$0.3 million)
- Woman-Owned Business Enterprise (WBE) 4% of payments (roughly \$0.3 million)

Semi-Annual Local Business Contract Equity (LBCE) Program Report without Goals

There were 60 active contracts without LBCE Program goals in this reporting period, of which roughly 36% of payments (\$11 million) went to LBE-certified firms, 3% of payments (approximately \$1 million) went to SLBE-certified firms, 2% of payments (about \$0.5 million) went to VSLBE-certified firms, 4% of payments (\$1.2 million) went to DBE-certified firms, 4% (about \$1.2 million) went to MBE-certified firms, and 1% (about \$0.4 million) went to WBE-certified firms.

Contract Award Report

During the period of January 1, 2011 through June 30, 2011, the Alameda CTC awarded a total of thirty-four (34) contracts, twenty-five (25) for administrative contracts, seven (7) for engineering contracts and two (2) construction contracts for a combined total of \$27.9 million. Of these, \$17.5 million was awarded for administrative and engineering contracts, and of that amount, \$15.8 million (91%) went to Alameda County Businesses, with \$2.1 million (12%) overall going to DBEs. Two construction contracts were awarded for a total of \$10.4 million dollars with 100% State funding.

Background

In 1989, a program for the procurement of professional services was established which set goals of 70% for LBE, 25% for MBE, and 5% for WBE.

In 1995, a program for construction contracts that set overall participation goals of 60% for LBE, 33% for MBE, and 9% for WBE was approved. Those goals were based on a disparity study in addition to extensive public input from both the prime and minority contracting communities. Specific goals are set for each construction contract, based on biddable items and the availability of LBE/MBE/WBE firms.

As a result of the passage of Proposition 209 in 1996, and the United States Department of Transportation's issuance of the final ruling on the Disadvantaged Business Enterprise program in 2000, the MBE/WBE program and goal requirements were suspended. In lieu of the suspended MBE/WBE program, two new programs were adopted: the LBE/SLBE program for contracts funded with local dollars, and the DBE program for contracts funded with federal dollars. In January 2008, a Revised LBE/SLBE Program was adopted and renamed as the Local Business Contract Equity Program.

Revisions to the LBCE Program were aimed at increasing SLBE participation in all areas of the Agency contracting opportunities, particularly in construction contracting. The revised program became effective for eligible Agency-led contracts as of February 2008 and for all eligible Sponsor-led projects awarded after July 2008.

Utilization is determined semi-annually by collecting and analyzing financial data relative to the amounts awarded and paid to LBE, SLBE, VSLBE, DBE, MBE, and WBE prime and subcontractors in three contract categories:

- Administrative Services Contracts many of the contracts in this group are annually renewed administrative services contracts to assist in the administration of the Measure B Program. These services include, but are not limited to, contract equity program, support, general counsel, federal and state legislative advocacy, auditors, financial advisors, information and computer services, and project and program and support teams.
- Engineering Services Contracts contracts in this group are primarily engineering services contracts to assist the Agency in the development and delivery of capital projects.
- Construction Contracts contracts in this group are specific to construction contracts awarded to builders of transportation facilities such as roadway and transit improvements.

Key information monitored and reported includes LBE, SLBE, VSLBE, DBE, MBE, and WBE utilization on all active contracts as of June 30, 2011.

Summary of Results for Current Reporting Period

As shown in Table 1 of this report, the LBE goal of 70% and SLBE goal of 30% were exceeded in the administrative services contract. The engineering contracts exceeded the LBE goal of 70% but the 23% utilization fell short of the SBLE goal of 30%. No construction payments for this period.

TAI		B-Funded Contracts v ntracts: Goals = 60%-7		_		-	nts	
	Number of	Paymen	ts from Ja	nuary 1, 20)11 througl	June 30,	2011	
Contract Type	Contracts	Payment Amount	LBE %	SLBE %	VSLBE %	DBE %	MBE %	WBE %
Administrative	18	\$2,048,206.54	85%	64%	37%	14%	14%	10%
Engineering	16	\$4,988,918.05	88%	23%	3%	5%	3%	3%
Construction	0	\$0	0%	0%	0%	0%	0%	0%
All Industries	34	\$7,037,124.59	87%	25%	12%	5%	5%	4%

Table 2 on the following page summarizes participation of LBE and SLBE firms, as well as DBE, MBE and/or WBE firms on contracts that were exempt from the Agency LBCE Program goals. Per policy, the Program is not applied to these contracts, either because they are jointly funded with federal and/or state or otherwise non-local funds, or because they are less than \$50,000 in contract value. Nonetheless, 36% of payments in this contract category went to certified LBE firms, 3% to SLBE firms, 2% to VSLBE firms, 4% to DBE firms, 4% to MBE firms, and 1% went to WBE firms.

TABLE	2 – Measure B-F	unded Contracts Exem	pt from I	LBCE Pro	ogram Goa	al Require	ements	
G	Number of	Payments from	n January	1, 2011 th	rough June	30, 2011		
Contract Type	Contracts	Payment Amount	LBE %	SLBE %	VSLBE %	DBE %	MBE %	WBE %
Administrative	2	\$20,866.35	0%	0%	0%	0%	0%	0%
Engineering	46	\$3,303,214.23	75%	4%	1%	10%	10%	1%
Construction ^{1,2}	12	\$27,705,360.65	31%	3%	2%	3%	3%	1%
All Industries	60	\$31,029,441.23	36%	3%	2%	4%	4%	1%

¹ Includes construction contracts pending close-out

Table 3 summarizes the overall professional services contract awards during the reporting period. The Alameda CTC awarded a total of Thirty-two (32) contracts, twenty-five (25) for administrative contracts and seven (7) for engineering contracts. There was approximate total of \$17.5 million for administrative and engineering contracts. Of these, \$15.8 million (91%) awarded to Alameda County Businesses and \$2.1 million awarded to DBEs (12%) with a total of ninety-seven (97) firms participating.

TAB	LE 3 – Pro	fessional Serv	vices Contracts	Awarded - J	anuary 1, 2011	through J	une 30, 2011	
Contract Type	Type of Funding	No. of Contracts	Total Contract Amount	Percent of Contract Amount	Amount Awarded to Alameda County Firms	% LBE Award	Amount Awarded to DBE Firms	% DBE Award
	Federal	8	\$7,772,169	44%	\$4,947,997	28%	\$1,761,218	10%
Administrative	State	0	\$0	0%	\$0	0%	\$0	0%
	Measure B	17	\$2,052,920	12%	\$3,268,850	19%	\$338,152	2%
Engineering	Federal	4	\$3,169,606	18%	\$3,169,606	18%	\$34,325	0%

² Includes construction contracts where Caltrans is the sponsor – Caltrans DBE program applies (currently race-neutral program applies to contracts included in this report)

Total		32	\$17,465,445	100%	\$15,857,203	91%	\$2,133,695	12%
	Measure B	2	\$2,580,000	15%	2,580,000	15%	\$0	0%
	State	1	\$1,890,750	11%	\$1,890,750	11%	\$0	0%

Table 4 on the following page summarizes the construction contract award, the Alameda CTC awarded two (2) construction contracts (100% State funding) for a total of \$10.4 million dollars with a total of eleven (11) firms participating.

	TABLE	4 – Constructi	on Contracts Aw	arded - Janua	ry 1, 2011 throug	h June 30,	2011	
Contract Type	Type of Funding	No. of Contracts	Total Contract Amount	Percent of Contract Amount	Amount Awarded to Alameda County Firms	% LBE Award	Amount Award to DBE Firms	% DBE Award
	Federal	0	\$0	0%	\$0	0%	\$0	0%
Construction	State	2	\$10,439,857	100%	\$41,845	0%	0\$	0%
	Measure B	0	\$0	0%	\$0	0%	\$0	0%
Total		2	\$10,439,857	100%	\$41,845	0%	\$0	0%

Reporting Process

Data collection on all active and open contracts began on January 1, 2011, by surveying prime contractors and subcontractors for verification of payment amounts and timing. For the current reporting period, 73 payment verification survey forms were sent to prime contractors and subcontractors. Approximately 64% responded during the allotted time.

Staff utilized the same method of reporting from the last reporting period—July through December 2010—which included an automated summary of processed payments by vendor report and an automated utilization report generated from an in-house database (see Attachment A – Contract Equity Utilization Report).

Regarding billing and timely receipt of payments, approximately 89% of the respondents indicated that they had not experienced any billing-related issues and 96% indicated that they had received timely payments from the project sponsors and/or prime contractors. None of the billing and payment-related issues reported to the Commission required the assistance of the Contract Equity consultant and all issues were resolved prior to the development of this report.

The participation, data, and statistics, which serve as a basis for this report, have been independently reviewed and verified by the firm L. Luster and Associates. As stated in the attached letter from L. Luster and Associates (see Attachment B – Letter of Independent Review of Semi-Annual Contract Equity Utilization Report Data), this report was found to be materially accurate and complete.

of Semi-Annual Contract Equity Utilization Report Data), this report was found to be materially accurate and complete.

Certification Update

During a review of the certification database in May, 2011, L. Luster & Associates discovered that there had been an error some time ago regarding the reporting of the aggregate number of certified firms in the database. Once the error was caught, the LBCE team recounted every firm in the database, as of June 30, 2011, a total of 284 certified firms were verified, of which 99 were LBE firms, 47 SLBE firms and 138 VSLBE firms.

Outreach Activities Update

The Alameda CTC staff participated in various community and business outreach events to encourage the business community to partake in Alameda CTC contracting opportunities and to get certified, as shown below:

Date:	Name of Event	Location	No. of Participants
January 25, 2011	Professional Outreach and	MTC Auditorium,	350+
	Networking Event	Oakland, CA	
January 27, 2011	Caltran's CalMentor Event	Caltrans District 4	100+
February 17, 2011	Oakland and East Bay	Oakland Chamber of	300+
	Procurement Fair	Commerce, Marriot Hotel,	
		Oakland	
March 2, 2011	Doing Business with the City	City of Hayward Offices	100+
	of Hayward		
April 26, 2011	BOC Contractor Technical	TJPA, 201 Mission Street,	30+
	Assistance and Workshop	San Francisco, CA	
April 28, 2011	Breakfast of Champions	Francesco's 8520 Pardee	50+
		Drive, Oakland	
May 2, 2011	City of Hayward Rotary Club	Masonic Hall, 1074 B	50+
		Street, Hayward, 94544	
June 21, 2011	San Leandro Kiwanis Club	Englander Restaurant, 101	30+
		Parrott Street, San Leandro	
		CA	
June 30, 2011	Alameda County Fair	Pleasanton, CA	1,000+

Fiscal Impacts:

Approval of this Report has no fiscal impact.

Attachments:

Attachment A – Semi-Annual Local Business Contract Equity Utilization Report

Attachment B – Letter of Independent Review of Semi-Annual Local Business Contract Equity Program Utilization Report

Page 1 of 5

ATTACHMENT A Current Reporting Period Start Date: **Contract Equity Utilization Report**

6/30/11

Reporting Period End Date:

9.75% 0.00% 9.85% 0.00% 0.00% 0.00% 86.97% 0.00% 0.00% 0.00% 0.00% 100.00% 0.00% 50.67% WBE 0.00% 13.65% 0.00% 13.79% 0.00% 0.00% 0.00% 20.67% 0.00% 100.00% 0.00% 0.00% 0.00% 0.00% 0.00% 0.00% 100.00% MBE **Current Reporting Period** 13.65% 0.00% 0.00% 13.79% 0.00% 0.00% 29.14% 0.00% 0.00% 0.00% 20.67% 0.00% 0.00% 0.00% 0.00% 0.00% 0.00% 100.00% 100.00% DBE 36.53% 0.00% VSLBE 0.88% 2.91% 0.00% 100.00% 100.00% 76.53% 0.00% 36.90% 0.00% 0.00% 100.00% 25.21% 100.00% 100.00% 100.00% 63.48% 30.02% 0.00% 100.00% 100.00% 76.53% 0.00% 64.12% 0.00% 0.00% 25.21% 100.00% 100.00% 100.00% 100.00% 100.00% 100.00% SLBE 83.67% 0.00% 100.00% 100.00% 0.00% 0.00% 84.52% 0.00% 100.00% 100.00% 100.00% 76.53% 0.00% 100.00% 99.70% 74.54% 100.00% 100.00% 100.00% 100.00% LBE 9.77% 9.84% 0.00% 0.00% 0.00% 0.00% 0.00% 72.17% 0.00% 0.00% 47.03% 0.00% 0.00% 91.48% 0.00% 0.00% 0.00% 0.00% 0.00% WBE 0.00% 11.78% 0.00% 27.83% 0.00% 47.03% 0.00% 0.00% 11.87% 0.00% 0.00% 0.00% 0.00% 100.00% 0.00% 0.00% 0.00% 0.00% 0.00% 00.001 MBE **Cumulative To Reporting End Date** 11.78% 11.87% 0.00% 0.00% 0.00% 0.00% 27.83% 0.00% 0.00% 0.00% 47.03% 0.00% 100.00% 0.00% 0.00% 0.00% 0.00% 100.00% 0.00% 0.00% 0.00% DBE 30.69% 0.00% 25.59% 30.91% 0.00% 0.00% 1.00% 1.96% 100.00% 0.00% 100.00% 100.00% 79.17% 4.02% 99.58% 100.00% 0.00% 0.00% 0.00% VSLBE 100.00% 52.70% 0.00% 59.10% 59.52% 0.00% 28.83% 100.00% 0.00% 100.00% 100.00% 79.17% 100.00% 0.00% 0.00% 0.00% 100.00% 25.59% 100.00% 100.00% 100.00% SLBE 75.34% 100.00% 79.17% 0.00% 100.00% 92.13% 100.00% 100.00% 0.00% %00.0 75.88% 0.00% 0.00% 100.00% 100.00% 100.00% 78.56% 100.00% 100.00% 100.00% LBE \$2,069,072.89 **Current Period** \$2,048,206.54 \$20,866.35 Goal Requirements (20 detail records) \$150,248.62 \$68,169.14 \$18,044.55 \$76,101.33 \$32,478.00 \$45,000.00 \$12,349.50 \$61,267.25 \$84,540.65 \$3,500.00 \$20,800.00 \$17,821.35 \$3,045.00 \$250,249.33 \$313,381.92 \$26,258.40 Payment Summary for Administrative Contracts without Goal Requirements (2 detail records) Summary for Administrative Contracts with Goal Requirements (18 detail records) \$4,246,659.68 **Total Payment** \$4,277,119.17 \$247,078.32 \$158,584.56 \$82,500.00 \$27,414.49 \$268,990.71 \$27,675.65 \$523,986.46 \$144,537.18 \$21,000.00 \$77,270.00 \$3,045.00 \$278,066.08 \$306,763.00 \$417,644.82 \$108,694.00 \$25,040.75 \$140,305.25 \$48,140.40 \$30,459.49 to Date ponsor Lead) Contract \$45,000.00 \$360,000.00 \$335,600.00 \$205,271.00 \$125,000.00 \$90,000.00 \$642,000.00 \$173,000.00 \$30,000.00 \$256,567.39 \$360,000.00 \$555,000.00 \$115,000.00 \$50,000.00 \$50,000.00 \$279,100.00 \$1,665,790.00 \$54,723.00 \$113,140.00 \$100,000.00 щ Goal Requirements of 70% for LBE and 30% for SLB Summary for all Administrative Contracts with and without Contract Type: Construction Contracts (including S A10-0002 - Nelson/Nygaard Consulting Associates ACTIA A10-0017 - Bay Area Program Management Group Contract Type: Administrative Contracts A08-0019 - CHANDLER ASSET MANAGEMENT A10-0014 - Acumen Building Enterprise, Inc. ACTIA A08-0018 - PFM ASSET MANAGEMENT, LLC A10-0008 - Moore lacofano Goltsman, Inc. A10-0016 - Anue Management Group, Inc. A10-0003 - Wendel, Rosen, Black & Dean A10-0007 - MV TRANSPORTATION INC A10-0011 - The Gibbs Law Group, P.C. A10-0009 - St. Mini Cab Corporation **Exempt of Goal Requirements** A10-0015 - L. Luster & Associates ACTIA A10-0019 - Maze and Associates A10-0012 - Patel and Associates A10-0013 - Rochelle Wheeler ACTIA A10-0023 - SIMON & CO INC A10-0006 - Francis Fruzzetti A06-0011.1 - LanLogic, Inc. A10-0018 - Lynn M Suter ACTIA A10-0005 - Bess Test Lab Number Company ACTIA ACTIA

Exempt of Goal Requirements

AUG-UUZU															
06 A06-0020 - Union City	\$12,561,000.00	\$8,657,647.26		3.23%	0.20%	0.00%	%90.0	%00.0	0.00%						
407-0001															
12 A08-0047 - RGW Construction Company	\$14,200,000.00	\$14,081,570.75	\$341,620.23	64.17%	%00.0	0.00%	0.00%	0.00%	0.00%	41.76%	0.00%	0.00%	0.00%	%00.0	0.00%
Summary for Construction Contracts (including Sponsor Lead) without Goal Requirements (2 detail records)	uding Sponsor Lead)	without Goal Req	quirements (2 de	tail records											
Total	\$2	\$22,739,218.01	\$341,620.23 40.97%	40.97%	0.08%	%00.0	0.02%	%00.0	0.00%	41.76%	0.00%	0.00%	0.00%	%00.0	%00.0
Summary for all Construction Contracts (including Sponsor Lead) with and without Goal Requirements (2 detail records)	ding Sponsor Lead) w	ith and without (Goal Requiremen	nts (2 detail	records)										
Total	7\$	\$22,739,218.01	\$341,620.23 40.97%	40.97%	0.08%	%00.0	0.02%	%00.0	0.00%	41.76%	0.00%	%00.0	%00.0	%00.0	0.00%

Tuesday, August 16, 2011

Contract Equity Utilization Report

6/30/11

ATTACHMENT A

0.00% 2.19% 1.29% 0.00% 0.00% WBE %00.0 0.00% 3.32% 2.66% 0.00% 0.00% 0.00% MBE **Current Reporting Period** 0.00% 0.00% 3.33% 0.00% 0.00% 2.68% DBE 0.00% 0.00% 0.00% 4.25% VSLBE 0.02% 1.65% 0.00% 0.00% 3.05% 0.00% %00.0 4.46% 2.27% SLBE 0.00% 0.00% 0.93% 30.65% 4.12% 0.00% 73.21% 0.00% Current Reporting Period Start Date: LBE 0.35% 0.35% 0.00% 0.00% 0.35% 0.00% 1.35% 0.00% 0.00% 0.00% 0.00% 0.00% 0.00% 0.00% WBE 0.00% 0.00% 0.00% 8.74% 0.00% 0.00% 0.00% 12.59% 0.00% 0.00% 0.00% 0.00% 0.00% 0.00% **Cumulative To Reporting End Date** MBE 0.35% 0.00% 8.84% 0.35% 12.74% 0.00% 0.00% 0.00% 0.00% 0.00% 0.00% 0.00% 0.00% 0.00% DBE 0.00% 0.00% 0.00% 0.00% 0.00% 0.18% 0.00% 0.00% 0.00% 0.00% 0.00% 0.00% 0.00% 4.25% 1.07% VSLBE 0.00% 0.00% 0.00% 0.62% 3.26% 2.64% 100.00% 0.00% 52.67% 0.00% 0.00% 0.47% 0.00% 4.46% SLBE Summary for all Cooperative Agreement with CalTrans with and without Goal Requirements (1 detail record) (\$40,000.00) 12.14% (\$40,000.00) 12.14% (\$40,000.00) 12.14% 11.42% 73.21% 97.97% 0.00% 28.82% 97.97% 100.00% %96.96 91.06% 30.27% 98.82% 97.09% LBE Summary for Cooperative Agreement with CalTrans without Goal Requirements (1 detail record) \$47,761,634.44 \$27,403,740.42 **Current Period** \$16,084,030.17 \$10,569,710.25 **Payment** Requirements (10 detail records) Summary for Funding Agreement without Goal Requirements (9 detail records) **Total Payment** \$71,055,382.00 \$69,991,740.05 \$10,569,710.25 \$33,143,717.95 \$611,413.66 \$69,991,740.05 \$533,064.23 \$36,876.46 \$382,303.15 \$894,954.39 \$69,991,740.05 \$4,100.00 \$1,368,558.58 \$533,064.23 Summary for Funding Agreement with Goal Requirements (1 detail record) to Date \$6,745,286.00 \$48,752,424.10 Contract \$57,803,367.09 \$247,436.00 \$2,141,477.30 \$750,000.00 \$1,466,833.88 \$757,323.82 \$1,613,000.00 \$19,950,000.00 Amount Contract Type: Cooperative Agreement with CalTrans Goal Requirements of 60% for LBE and 20% for SLBE Summary for all Funding Agreement with and without Goal **Contract Type: Funding Agreement Exempt of Goal Requirements Exempt of Goal Requirements** MB 238 AA09-0002 - City of Hayward 13 A06-0022 - Alameda County A09-0012 - San Leandro Reporting Period End Date: 14A A08-0003-A - ACCMA 27C AA10-0011 - ACCMA 21 4-1925 - CALTRANS 01 - Livermore 01 - Livermore Number Company 01 - ACCMA 01 - ACCMA 01 - BAR1 A08-0003-A AA10-0011 AA09-0002 A08-0045 56 A09-0013 05 A09-0012 A07-0058 A07-0038 A06-0022 19 A05-0051 23 22 23

Page 2 of 5

0.00%

11.77%

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0.00%

30.02%

97.76%

\$1,465,648.85

\$1,480,000.00

A06-0043 - Kimley-Horn and Associates Inc.

A06-0021

3.32%

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30.65%

0.92%

8.64%

1.05%

29.59%

\$27,403,740.42

\$48,294,698.67

Contract Type: Professional Services/Engineering Contracts

Goal Requirements of 70% for LBE and 30% for SLBE

Contract Equity Utilization Report

Company Comp			S	Contract Equity Utilizatio	uity	Utiliz	ation	n Report),				ATT/	ATTACHMENT	JENT	4
Company Comp	Date:				1			Curren	ıt Reporting	Period Sta	ırt Date:		./1/11	End Dat	ä	6/30/11
	Project	Contract	Total Payment	Payment		Cumula		orting End	Date			Curi	ent Repor	ting Period	_	
		Amount	to Date	Current Period	LBE	SLBE		DBE	MBE	WBE	LBE			DBE	MBE	WBE
		\$400,000.00	\$376,499.54	\$46,881.41	%20.06	%20.06	69.72%	%00.0	%00.0	%00.0	97.27%	%	97.27%	0.00%	%00:0	0.00%
Activation of the control of	A07-0001															
Noting N		\$3,831,900.91	\$3,747,412.75	\$51,816.25	83.64%	27.28%	0.32%	14.49%	8.87%	2.62%	%00.96	3.21%	0.00%	0.00%	0.00%	0.00%
		\$2,796,971.00	\$2,614,158.73	\$157,769.17	100.00%	91.71%	0.10%	9.18%	9.18%	0.00%	100.00%	%69.86	0.00%	0.00%	%00.0	0.00%
Discription	A07-0062															
Application of the problem o		\$72,475.00	\$67,562.68		100.00%	100.00%	%00.0	0.00%	%00.0	0.00%						
No. Color Particular Part	1-01															
		\$13,115,000.00	\$12,951,622.68	\$512.74	94.86%	31.93%	0.00%	33.03%	15.83%	29.66%	100.00%	0.00%	0.00%	0.00%	%00.0	0.00%
		\$2,008,977.88	\$2,008,977.88	\$8,992.00	99.37%	99.37%	0.00%	100.00%	100.00%	%00.0		100.00%		%00.001	100.00%	0.00%
AND-ORD UP Comparison Numbers \$1,142,271.13 \$1,223,477.23	A05-0001															
		\$11,442,071.19	\$10,931,477.53	\$1,265,993.80	82.60%	33.16%	0.00%	32.81%	24.14%	5.42%	85.16%	14.30%	0.00%	17.20%	%89.6	3.29%
	A07-0053															
Auto-Cate Part Pa	A09-0033 - PB	\$5,289,118.00	\$45,925.90	\$18,108.76	100.00%	%00.0	0.00%	0.00%	%00.0	%00.0	100.00%	0.00%	%00.0	0.00%	%00.0	0.00%
	28-100															
		\$500,000.00	\$196,097.25	\$121,937.02	97.79%	%62.06	84.80%	0.00%	0.00%	84.80%	96.45%	85.20%	75.55%	0.00%	%00:0	75.55%
	1-02															
ACTO ALLO MODE - BIXCO MODE - SES 5193 MEAN BY SEA		\$249,250.00	\$94,829.28	\$14,310.28	66.32%	66.32%	42.31%	42.31%	0.00%	42.31%	74.25%	74.25%	50.49%	50.49%	0.00%	50.49%
Auth-dolity Vini International/CCS \$5,537,435.24 \$5,54		\$100,000.00	\$37,919.48	\$37,919.48	49.72%	49.72%	0.00%	0.00%	0.00%	0.00%	49.72%	49.72%	0.00%	0.00%	%00.0	0.00%
Auto-Coolt-1-Ty Lin International/ICCS \$5.377.490 OR \$5.377.490 OR<	226-100															
		\$5,357,490.00	\$5,357,435.24		99.36%	29.63%	%00.0	20.13%	17.31%	2.82%						
12 - Kimilay-Hornand Ascociates inc. 2 - Kimilay-Hornand Ascociates inc. 3 - Kimila		\$8,654,950.00	\$6,078,092.78	\$3,143,978.82	93.60%	22.90%	0.00%	0.00%	%00.0	0.00%	88.89%	16.65%	0.00%	0.00%	0.00%	0.00%
2 - Minch Homes & Company, Inc. \$5,500,000.00 \$1,510,000	AA08-0001															
Particular plant and Associates Inc. S31,202,000.00 S12,000.000 S12,000.000 S12,000.000 S12,000.000 S12,000.000 S12,000.0000		\$7,500,000.00	\$6,816,057.71		90.95%	32.77%	0.00%	15.36%	10.61%	4.75%						
2 - Kimilay Horn and Associates inc. S13263,000 to S120,000.00 S	A05-0011															
### ### ### ### ### ### ### ### ### ##		\$3,262,000.00	\$1,207,000.00	\$120,698.32	92.41%	32.94%	1.66%	%99.0	%00.0	%00.0	88.96%	64.29%	11.00%	1.51%	0.00%	0.00%
td Goal Requirements 1	Summary for Professional Services/Engineerir	ng Contracts with	Goal Requireme	ents (16 detail rec	cords)											
10 G Goal Requirements	Total	\$	53,996,718.28	\$4,988,918.05	92.37%	36.84%	0.93%	24.08%	16.84%	9.86%		22.60%	3.17%	4.73%	2.64%	2.83%
02-Jacobs Construction Servies \$ \$6.638.00 \$ \$2,276.00 \$ 0.00%	Exempt of Goal Requirements															
02 - Jancols Construction Services \$6.638.00 \$2,576.00 0.00% <td>A06-0041</td> <td></td>	A06-0041															
03-Jim L lams 40-70-Men Group, LLC 556,237.00 530,259.00 52-Lea + Elliott, inc. 52,272,705.00 530,259.00 530,259.00 530,259.00 530,259.00 530,259.00 530,259.00 530,259.00 530,259.00 530,259.00 530,259.00 530,259.00 530,259.00 530,259.00 530,259.00 530,259.00 530,259.00 530,272,705.00 530,273.00 5		\$6,638.00	\$2,576.00		0.00%	0.00%	%00.0	%00:0	0.00%	%00.0						
04-The Allen Group, LLC \$\$6,237.00 \$30,259.00 100.000% 100.000% 0.		\$20,000.00	\$17,122.00		%00.0	%00.0	0.00%	%00:0	%00.0	%00.0						
O5 - Lea + Elliott, Inc. \$2,272,705.00 \$2,163,797.00 49.1% 0.74% 0.00%		\$56,237.00	\$30,259.00		100.00%	100.00%	0.00%	%00:0	%00.0	%00.0						
Obe-Earth Tech \$504,736.00 \$504,736.00 \$504,736.00 \$122,502.00 100.00% 0.00%		\$2,272,705.00	\$2,163,797.00		4.91%	0.74%	0.00%	0.74%	0.74%	0.00%						
07 - Owen, Wickersham & Wrickson, P.C. \$133,655.00 \$122,502.00 \$0.00% \$0		\$504,736.00	\$504,736.00		100.00%	0.00%	0.00%	%00:0	0.00%	0.00%						
09 - Cubic Transportation Systems, Inc. \$68,906.00 \$599,863.00 \$68,906.00 \$599,863.00 \$0.00% 0.0		\$133,655.00	\$122,502.00		0.00%	%00.0	0.00%	0.00%	%00.0	0.00%						
09-Cubic Transportation Systems, Inc. \$468,565.00 \$343,272.00 \$1.40% 0.00%		\$68,906.00	\$59,863.00		0.00%	%00.0	0.00%	0.00%	%00.0	0.00%						
10 - Freshfields Bruckhaus Derringer, LLP \$417,016.00 \$274,175.00 0.00% 0.00% 0.00% 0.00% 0.00% 0.00% 0.00% 13.40% 79.95% 4.33% 0.00% 14.86% A05-0049 - AC Transit \$3,010,265.74 \$1,824,375.25 15.25% 0.00%		\$468,565.00	\$343,272.00		1.40%	0.00%	%00.0	%00.0	0.00%	0.00%						
01 - Cambridge Systematics \$5,777,464.00 \$4,674,330.00 \$2,100,000.00 \$0.76% 7.08% 0.00% 15.89% 13.40% 2.49% 79.95% 4.33% 0.00% 14.86% 14.86% 14.86% 15.25% 0.00% 0		\$417,016.00	\$274,175.00		0.00%	0.00%	0.00%	0.00%	0.00%	%00.0						
01-Cambridge Systematics	A05-0005															
A05-0049 - AC Transit \$3,010,265.74 \$1,824,375.25 15.25% 0.00% 0.00% 0.00% 0.00%		\$5,777,464.00	\$4,674,330.00	\$2,100,000.00	80.76%	7.08%	0.00%	15.89%	13.40%	2.49%	79.95%	4.33%	0.00%	14.99%	14.86%	0.13%
A05-0049 - AC Transit \$3,010,265.74 \$1,824,375.25 15.25% 0.00% 0.00% 0.00% 0.00%	A05-0049															
		\$3,010,265.74	\$1,824,375.25		15.25%	0.00%	0.00%	0.00%	0.00%	0.00%						
	A08-0044															

Tuesday, August 16, 2011

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Report	
Utilization	
t Equity	
Contract E	

Control Cont								Curre	Current Reporting Period Start Date:	g Period Sta	art Date:		1/1/11	End Date	äi	6/30/11
Comparison Com	roject	Contract	Total Payment	Payment		Cumul	ative To Re	porting Enc	Date	Г		Cur	rent Repo	ting Perio	_	
		Amount	to Date	Current Period	LBE	SLBE	VSLBE	DBE	MBE	WBE	LBE		VSLBE	DBE	MBE	WBE
		\$31,258,500.43	\$2,669,439.15	\$400,297.88	20.70%	1.52%	0.00%	%00.0	%00.0	%00.0	21.64%	0.00%	0.00%	%00.0	%00.0	0.00%
		00 000 23	\$7.800.00		900	,000	%00 O	800	800	200						
No. 10 N		\$7,600.00	\$7,000.00	\$50,141.06	100.00%	%00.00T	0.00%	0.00%	0.00%	%00.0	100.00%	0.00%	0.00%	0.00%	0.00%	0.00%
D-initial principal partial pa																
Control Cont		\$617,412.07	\$394,960.62		7.64%	0.00%	0.00%	0.00%	0.00%	0.00%						
Control Cont		\$328,000.76	\$313,029.73		77.30%	0.00%	0.00%	0.00%	0.00%	0.00%						
Fig. 19 Fig. 1		\$9,000.00	\$1,699.92		0.00%	0.00%	0.00%	0.00%	0.00%	0.00%						
G. Carrie R Darges, Integrated S.		\$44,599.75	\$32,552.63		0.00%	0.00%	%00.0	0.00%	0.00%	0.00%						
0 Charte Burgati, in C C		\$20,000.00	\$9,415.02		0.00%	0.00%	0.00%	0.00%	0.00%	0.00%						
1.1 1.2																
0. Statistical sta	02 - Carter & Bu	\$30,000.00	\$31,436.13	\$4,172.90	100.00%	0.00%	%00.0	%00.0	%00.0	%00.0	100.00%	0.00%	0.00%	0.00%	0.00%	0.00%
04 - Fiboral Charles National Statistics Sta		\$2,592,168.00	\$353,426.86	\$96.15	90.30%	0.00%	0.00%	0.00%	%00.0	%00.0	0.00%	0.00%	0.00%	0.00%	%00.0	0.00%
December		\$1,317,470.74	\$260,145.19	\$15,127.68	100.00%	0.00%	0.00%	%00.0	%00.0	%00.0	100.00%	0.00%	0.00%	0.00%	0.00%	0.00%
Particular Par		\$4,130.98	\$4,644.12	\$3,323.61	%00.0	0.00%	0.00%	0.00%	0.00%	%00.0	0.00%	0.00%	0.00%	0.00%	0.00%	0.00%
0. 0.4 hall but when pairs with the standard consulting Associates (CALA) 0.000 (CA		\$86.13	\$86.13		%00.0	0.00%	0.00%	0.00%	%00.0	0.00%						
10 10 10 10 10 10 10 10		\$3,576.00	\$3,576.00		%00.0	0.00%	0.00%	%00.0	%00.0	0.00%						
10 - Design Community & Environment \$2,868.48 \$3,286.46 \$100.00%		\$7,404.58	\$13,099.54	\$13,099.54	0.00%	0.00%	0.00%	0.00%	%00.0	%00.0	%00.0	0.00%	0.00%	0.00%	%00.0	0.00%
13-		\$2,868.48	\$2,868.48	\$2,868.48	100.00%	0.00%	0.00%	%00.0	%00.0	%00.0	100.00%	0.00%	%00.0	0.00%	0.00%	0.00%
0.0High-high-high-high-solidates (2.54)63.00 (2.000)	-0048															
Control Cont		\$969,875.00	\$876,506.00		100.00%	3.55%	%00.0	%00.0	%00.0	%00.0						
Out-Willing Smith Associates S1,286,000 Out-Willing Smith Associates S1,286,000 Out-Willing Smith Associates S1,286,000 S18,848 Out-Willing Smith Associates S1,286,000 S18,848 Out-Willing Smith Associates S1,286,200 S18,848 Out-Willing Smith Associates Out-Willing Smith Associates S1,286,200 S18,848 Out-Willing Smith Associates Out-Willing Smith Associates Out-Willing Smith Associates Out-Willing Smith Sm		\$275,000.00	\$259,892.00		0.00%	0.00%	0.00%	0.00%	%00.0	%00.0						
0.0-Nekon/Wggand Consulting Associates 554,422.00 \$198,430.00 \$100.00% 0		\$2,300,000.00	\$2,266,090.00		7.12%	5.04%	0.00%	%00.0	%00.0	0.00%						
O7-Donal U. Dean, MCP Environmental Planning \$96,390.00 \$94,933.00 \$100.00% \$0.00%<		\$44,492.00	\$18,848.00		100.00%	0.00%	0.00%	%00.0	%00.0	%00.0						
0.2 - Kimley-Horn and Associates Inc. 1. ST50,257.79 1. SS0,261.74 1. SS0,021.79 1. SS0,021.		\$96,390.00	\$94,933.00		0.00%	0.00%	0.00%	0.00%	%00.0	0.00%						
0.02 + killey Horn and Associates Inc. 5790,23779 58,811.28 58,000.474 58,000.795 58,000.005 58,00																
04-Alameda Program Managers \$80,804.74 \$80,8		\$750,257.79	\$750,257.79	\$196,326.70	100.00%	0.00%	0.00%	%00.0	%00.0	%00.0	100.00%	0.00%	%00.0	0.00%	0.00%	0.00%
ACTC ALLO-0022 - ACCMA S811.28 \$8.811.28 \$9.000 <th< td=""><td>27B</td><td>\$80,804.74</td><td>\$80,804.74</td><td>\$3,057.30</td><td>0.00%</td><td>0.00%</td><td>0.00%</td><td>0.00%</td><td>0.00%</td><td>0.00%</td><td>0.00%</td><td>0.00%</td><td>0.00%</td><td>0.00%</td><td>%00.0</td><td>0.00%</td></th<>	27B	\$80,804.74	\$80,804.74	\$3,057.30	0.00%	0.00%	0.00%	0.00%	0.00%	0.00%	0.00%	0.00%	0.00%	0.00%	%00.0	0.00%
A09-0116 - Urban Ecology																
ACT ALLO-002 - Roberts Consulting Group, Inc. 25.5,000.00 25.5,000.0		\$8,811.28	\$8,811.28		0.00%	0.00%	0.00%	%00.0	%00.0	0.00%						
ACTC A10-0002 - Roberts Consulting Group, Inc. \$25,000.00 \$16,666.00 \$16,666.00 \$0.00% \$0.	A09-012 - ACCM	\$201,780.00	\$24,527.87		100.00%	100.00%	100.00%	%00.0	%00.0	0.00%						
ACTC 411-0029 - Roberts Consulting Group, Inc. \$25,000.00 \$16,666.00 \$16,666.00 \$10,00% \$0.00% \$0	ACTC A10-0002 -	\$25,000.00	\$25,000.00		%00.0	0.00%	0.00%	0.00%	%00.0	%00.0						
110-0002 - Moore lacefane Goltsman, Inc. \$13,700.00 \$100,0004 \$8,000.00 \$8,000.00 \$8,000.00 \$10,0007	ACTC A11-0029 -	\$25,000.00	\$16,666.00	\$16,666.00	0.00%	0.00%	0.00%	0.00%	%00.0	%00.0	0.00%	0.00%	0.00%	0.00%	0.00%	0.00%
110-0007 - Nelson\Nygaard Consulting Associates \$8,000.00 \$8,000.00 \$8,000.00 \$10,800.		\$13,700.00	\$13,700.00		100.00%	0.00%	0.00%	0.00%	0.00%	0.00%						
110-0009 - NoonTime University, Inc. \$21,600.00 \$10,80		\$8,000.00	\$8,000.00	\$8,000.00	100.00%	0.00%	0.00%	0.00%	0.00%	100.00%	100.00%	0.00%	0.00%	0.00%	0.00%	100.00%
L10-003 - ACCMA S25,000.00 S22,531.88 D.00%		\$21,600.00	\$10,800.00	\$10,800.00	0.00%	0.00%	0.00%	0.00%	0.00%	0.00%	0.00%	0.00%	0.00%	0.00%	%00.0	0.00%
ACTC A10-0003 - Nelson\Nygaard Consulting Associates \$616,000.00 \$397,482.83 \$6.50% \$6.50% \$6.50% \$6.50% \$0.00% \$0		\$25,000.00	\$22,531.88		%00.0	0.00%	0.00%	0.00%	%00.0	%00.0						
ACTC A10-0003 - Nelson\Nygaard Consulting Associates \$616,000.00 \$397,482.83 \$96.64% 6.50% 6.50% 6.50% 0.00%																
ACTC A10-0004 - Nelson\Nygaard Consulting Associates \$983,884.00 \$\$21,445.15 \$21,445.15 \$0.00% 0			\$397,482.83	\$397,482.83	96.64%	%05.9	9.50%	2.59%	%00.0	2.59%	96.64%	6.50%	6.50%	2.59%	%00.0	2.59%
AA07-XXX2 - EverGreen LandCare Inc. \$6,880.00 \$1,880.00 \$1,880.00 0.00% 0.00% 0.00% 0.00% 0.00% 0.00% 0.00% 0.00% 0.00% 0.00%	ACTC A10-0004 -		\$21,445.15	\$21,445.15	82.29%	0.00%	0.00%	0.00%	%00.0	0.00%	82.29%	0.00%	0.00%	0.00%	%00.0	0.00%
AAU7-XXX2 - EverGreen LandCare Inc. \$6,880.00 \$5,880.00 \$1,880.00 \$1,00% 0.00% 0.00% 0.00% 0.00% 0.00% 0.00% 0.00% 0.00% 0.00%	١.	000	0													
		\$6,880.00	\$6,880.00	\$1,880.00	%00.0	0.00%	0.00%	%00.0	%00.0	%00.0	0.00%	0.00%	0.00%	%00.0	0.00%	0.00%
	1-0003															

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Contract Equity Utilization Report

		Co	Contract Equity Utilizat	quity	Utiliz	ation	tion Report	ort				ATT,	ATTACHMENT A	1ENT	⋖
Reporting Period End Date: 6/30/11							Curren	Current Reporting Period Start Date:	Period Sta	rt Date:	\ 7	1/1/11	End Date:		6/30/11
Project Coni	Contract Tota	Total Payment	Payment		Cumula	tive To Rep	Cumulative To Reporting End Date	Date			Curr	ent Repor	Current Reporting Period		Ī
Number Company Amo	Amount t	to Date	Current Period	LBE	SLBE	VSLBE	DBE	MBE	WBE	LBE	SLBE \	VSLBE	DBE N	MBE	WBE
Summary for Professional Services/Engineering Contracts without Goal Requirements (46 detail records)	cts without (Goal Require	ements (46 detai	il records)											
Total	\$26,83	0,936.54	\$26,830,936.54 \$3,303,214.23 56.33%	56.33%	7.33%	0.19%	%00.9	4.67%	1.36%	75.27%	3.91%	0.78%	10.22%	9.83%	0.64%
Summary for all Professional Services/Engineering Contracts with and without Goal Requirements (62 detail records)	ts with and v	without Goal	Requirements ((62 detail r	ecords)										
Total	\$80,83	27,654.82	\$80,827,654.82 \$8,292,132.28 80.40% 27.04%	80.40%	27.04%	%69.0	18.08%	12.80%	7.04%	83.14%	15.16%	2.22%	6.91%	2.50%	1.95%
Summary of All Active Contracts with and without Goal Requirements	\$226,13	30,430.72 \$	\$226,130,430.72 \$38,066,565.82 44.36%	44.36%	11.48%	1.05%	8.66%	6.64%	3.01%	45.10%	8.95%	3.66%	4.65%	4.33%	1.88%

L. Luster & Associates

To:

Liz Brazil, Alameda CTC

From:

Joan Fisher, L. Luster & Associates

CC:

Dr. Laura Luster, L. Luster & Associates

Date:

August 8, 2011

RE:

Independent Review of ACTIA Semi-Annual Contract Equity Utilization Report Data

for the period January 1, 2011 through June 30, 2011

L. Luster & Associates (LLA) has reviewed ACTIA payment and vendor data for the period January 1, 2011 through June 30, 2011 provided by Alameda CTC staff.

LLA conducted a review of the Commission's database, physical contract/agreement files, and responses to vendor questionnaires providing quality control and data integrity for all vendor payments made within the above referenced period.

LLA met with staff and presented its findings. Staff satisfactorily responded to all issues identified and presented.

Having completed the review process, L. Luster & Associates finds no material defects in the ACTIA Semi-Annual Contract Equity Utilization Report Data for the period January 1, 2011 through June 30, 2011.



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Oakland, CA 94612

PH: (510) 208-7400

www.AlamedaCTC.org

Countywide Transportation Plan Update and Transportation Expenditure Plan Development Overview

The Alameda CTC is in the process of updating the Alameda County Countywide Transportation Plan (CWTP), a 25-year plan that lays out a strategy for addressing transportation needs for all users in Alameda County and feeds into the Regional Transportation Plan. The Alameda CTC is also developing a new Transportation Expenditure Plan (TEP) concurrently with the CWTP.

The following committees are involved in the CWTP-TEP development process:

Steering Committee: Comprised of 13 members from the Alameda CTC including representatives from the cities of Berkeley, Emeryville, Hayward, Livermore, Newark, Oakland, Pleasanton, and Union City, as well as Alameda County, BART and AC Transit. Mayor Mark Green of Union City is the chair and Councilmember Kriss Worthington of Berkeley is the vice-chair. The purpose of the Steering Committee is to lead the planning effort, which will shape the future of transportation throughout Alameda County. To view the meeting calendar, visit http://www.alamedactc.org/events/month/now.

Staff liaisons:

- Tess Lengyel, Deputy Director of Policy, Public Affairs, and Legislation, (510) 208-7428, tlengyel@alamedactc.org
- Beth Walukas, Deputy Director of Planning, (510) 208-7405, bwalukas@alamedactc.org

Technical Advisory Working Group (TAWG): Comprised of agency staff representing all areas of the County including planners and engineers from local jurisdictions, all transit operators in Alameda County, and representatives from the park districts, public health, social services, law enforcement, and education. The purpose of the Technical Advisory Working Group is to provide technical input, serve in an advisory capacity to the Steering Committee, and share information with the Community Advisory Working Group. To view the meeting calendar, visit http://www.alamedactc.org/events/month/now.

Staff liaisons:

- Beth Walukas, Deputy Director of Planning, (510) 208-7405, <u>bwalukas@alamedactc.org</u>
- Saravana Suthanthira, Senior Transportation Planner, (510) 208-7426, ssuthanthira@alamedactc.org

continued

Community Advisory Working Group (CAWG): Comprised of 27 members representing diverse interests throughout Alameda County including business, civil rights, education, the environment, faith-based advocacy, health, public transit, seniors and people with disabilities, and social justice. The purpose of the Community Advisory Working Group is to provide input on the Countywide Transportation Plan and the Transportation Expenditure Plan to meet the multi-modal needs of our diverse communities and businesses in Alameda County, serve in an advisory capacity to the Steering Committee, and share information with the Technical Advisory Working Group. To view the meeting calendar, visit http://www.alamedactc.org/events/month/now.

Staff liaisons:

- Tess Lengyel, Deputy Director of Policy, Public Affairs, and Legislation, (510) 208-7428, tlengyel@alamedactc.org
- Diane Stark, Senior Transportation Planner, (510) 208-7410, dstark@alamedactc.org



Memorandum

DATE: November 18, 2011

TO: Alameda County Transportation Commission

FROM: Planning, Policy and Legislation Committee

SUBJECT: Review of Countywide Transportation Plan (CWTP) and Transportation

Expenditure Plan and Update on Development of a Sustainable Community

Strategy (SCS)/Regional Transportation Plan (RTP)

Recommendation

This item is for information only. No action is requested.

Summary

This item provides information on regional and countywide transportation planning efforts related to the updates of the Countywide Transportation Plan and Sales Tax Transportation Expenditure Plan (CWTP-TEP) as well as the Regional Transportation Plan (RTP) and the development of the Sustainable Community Strategy (SCS). In September, the administrative draft CWTP was released by the CWTP-TEP Steering Committee for evaluation and comment. The administrative draft report can be found on the Alameda CTC website at: http://www.alamedactc.org/app_pages/view/3070.

The CWTP-TEP Steering Committee also approved TEP parameters and in October public outreach was conducted. This public input and the administrative draft CWTP will be the basis from which a first draft of the TEP project list will be developed in October and presented in November 2011. Both the CWTP and TEP will be modified based on comments received with the goal of presenting a draft of both Plans to the Commission at its retreat on December 16, 2011.

Discussion

Ten separate committees receive monthly updates on the progress of the CWTP-TEP and RTP/SCS, including ACTAC, the Planning, Policy and Legislation Committee (PPLC), the Alameda CTC Board, the CWTP-TEP Steering Committee, the Citizen's Watchdog Committee, the Paratransit Advisory and Planning Committee, the Citizen's Advisory Committee, and the Bicycle and Pedestrian Advisory Committee, and the Technical and Community Advisory Working Groups. The purpose of this report is to keep various Committee and Working Groups updated on regional and countywide planning activities, alert Committee members about issues and opportunities requiring input in the near term, and provide an opportunity for Committee feedback in a timely manner. CWTP-TEP Committee agendas and related documents are available on the Alameda CTC website. RTP/SCS related documents are available at www.onebayarea.org.

November 2011 Update:

This report focuses on the month of November 2011. A summary of countywide and regional planning activities for the next three months is found in Attachment A and a three year schedule for the countywide and the regional processes is found in Attachments B and C, respectively. Note that the regional schedule has been revised. Highlights at the regional level include release of preliminary draft Project Performance Assessment results and maintenance and regional program needs and investment strategies by MTC. At the county level, highlights include a summary of outreach and polling efforts on the TEP conducted in October 2011 and release of the revised CWTP project and program list and preparation of a preliminary list of projects and programs for the TEP.

1) SCS/RTP

MTC released preliminary draft results of the project performance assessment and is anticipated to release the draft scenario analysis results in December. They also released information on maintenance and regional program needs, investment strategies and next steps. Staff will be following up and responding to this information. ABAG continued work on the One Bay Area Alternative Land Use Scenarios and a comment letter is being prepared by Alameda CTC staff and will be distributed to the Commission when it is available.

2) CWTP-TEP

In October, presentations on the administrative draft CWTP and TEP parameters were made to the advisory committees and working groups. The administrative draft CWTP is found on the Alameda CTC website at http://www.alamedactc.org/app_pages/view/3070. In addition, extensive public outreach and a second poll on the CWTP and TEP occurred in October and early November to gather input on what projects and programs should be included in the TEP. Results were presented to the Community and Technical Advisory Working Groups and the Steering Committee in November. Based this outreach and on the administrative draft CWTP, a preliminary list of Transportation Expenditure Plan projects and programs was developed in November for review by the Steering Committee at its November 17, 2011 meeting.

3) Upcoming Meetings Related to Countywide and Regional Planning Efforts:

Committee	Regular Meeting Date and Time	Next Meeting
CWTP-TEP Steering Committee	Typically the 4 th Thursday of the	November 17, 2011
	month, noon	December 1, 2011
	Location: Alameda CTC offices	
CWTP-TEP Technical Advisory	2 nd Thursday of the month, 1:30 p.m.	November 10, 2011
Working Group	Location: Alameda CTC	December 8, 2011
CWTP-TEP Community Advisory	Typically the 1 st Thursday of the	November 10, 2011
Working Group	month, 2:30 p.m.	November 3, 2011
	Location: Alameda CTC	December 8, 2011
	Notes: The November 3 meeting is	
	cancelled and rescheduled jointly	
	with TAWG on November 10 and	
	December 8.	
SCS/RTP Regional Advisory Working	1 st Tuesday of the month, 9:30 a.m.	November 1, 2011
Group	Location: MetroCenter,Oakland	December 6, 2011
SCS/RTP Equity Working Group	2 nd Wednesday of the month, 11:15 a.m.	November 9, 2011
	Location: MetroCenter, Oakland	December 14, 2011
SCS Housing Methodology Committee	Typically the 4 th Thursday of the	TBD
	month, 10 a.m.	

Committee	Regular Meeting Date and Time	Next Meeting
	Location: BCDC, 50 California St.,	
	26 th Floor, San Francisco	
Alameda CTC Board Retreat	Time and Location	December 16, 2011
	8:30 a.m. Newark	

Fiscal Impact

None.

Attachments

Attachment A: Summary of Next Quarter Countywide and Regional Planning Activities

Attachment B: CWTP-TEP-RTP-SCS Development Implementation Schedule
Attachment C: OneBayArea SCS Planning Process (revised October 2011)

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Summary of Next Quarter Countywide and Regional Planning Activities (November 2011 through February 2012)

Countywide Planning Efforts (CWTP-TEP)

The three year CWTP-TEP schedule showing countywide and regional planning milestone schedules is found in Attachment B. Major milestone dates are presented at the end of this memo. During the November 2011 through February 2012 time period, the CWTP-TEP Committees will be focusing on:

- Coordinating with ABAG and local jurisdictions to provide comments on the Alternative Land Use Scenarios for the Sustainable Communities Strategy (SCS);
- Coordinating with the local jurisdictions to develop a draft Alameda County Locally Preferred SCS to test with the financially constrained transportation network in October;
- Responding to comments on the Administrative Draft and developing the Draft CWTP;
- Refining the financially constrained list of projects and programs for the Draft CWTP;
- Refining the countywide 25-year revenue projections consistent and concurrent with MTC's 25-year revenue projections;
- Developing first draft and the Draft Transportation Expenditure Plan (TEP) list of projects and programs;
- Presenting the results of October public outreach and the second poll;
- Presenting the Draft CWTP and Draft TEP to the Steering Committee and Commission for approval; and
- Beginning to seek jurisdiction approvals of the Draft TEP.

Regional Planning Efforts (RTP-SCS)

Staff continues to coordinate the CWTP-TEP with planning efforts at the regional level including the Regional Transportation Plan (MTC), the Sustainable Communities Strategy (ABAG), Climate Change Bay Plan and amendments (San Francisco Bay Conservation and Development Commission (BCDC)) and CEQA Guidelines (Bay Area Air Quality Management District (BAAQMD)).

In the three month period for which this report covers, MTC and ABAG are or will be:

- Conducting a scenario analysis of five land use options and two transportation network (Alameda CTC staff is providing input into both of these activities);
- Releasing the results of the scenario analysis and project performance assessment;
- Refining draft 25-year revenue projections;
- Finalizing maintenance needs and Regional Programs estimates; and
- Adopting a RHNA Methodology.

Staff will be coordinating with the regional agencies and providing feedback on these issues, through:

- Participating on the MTC/ABAG Regional Advisory Working Group (RAWG),
- Participating on regional Sub-committees (Equity sub-committee);
- Developing a written response to the Alternative Land Use Scenarios;
- Developing local transportation network priorities through the CWTP-TEP process; and
- Assisting in public outreach.

Key Dates and Opportunities for Input¹

The key dates shown below are indications of where input and comment are desired. The major activities and dates are highlighted below by activity:

Sustainable Communities Strategy:

Presentation of SCS information to local jurisdictions: Completed Initial Vision Scenario Released: March 11, 2011: Completed

Draft Alternative Land Use Scenarios Released: Completed (released August 26, 2011)

Preferred SCS Scenario Released/Approved: March/May 2012

RHNA

RHNA Process Begins: January 2011

Draft RHNA Methodology Released: December 2011

Draft RHNA Plan released: February 2012

Final RHNA Plan released/Adopted: July 2012/October 2012

RTP

Develop Financial Forecasts and Committed Funding Policy: Completed

Call for RTP Transportation Projects: Completed

Conduct Performance Assessment: May 2011 - November 2011

Transportation Policy Investment Dialogue: November 2011 – April 2012

Prepare SCS/RTP Plan: April 2012 – October 2012 Draft RTP/SCS for Released: November 2012 Prepare EIR: December 2012 – March 2013

Adopt SCS/RTP: April 2013

CWTP-TEP

Develop Alameda County Locally Preferred SCS Scenario: May 2011 – May 2012

Call for Projects: Completed

Administrative Draft CWTP: Completed

Preliminary TEP Program and Project list: October 2011

Draft CWTP and TEP Released: December 2011

Plans Outreach: January 2011 – June 2012 Adopt Final CWTP and TEP: May 2012 TEP Submitted for Ballot: July 2012

¹ Note that the regional schedule is being updated. Attachment A reflects the proposed revisions to the schedule while Attachment C does not. MTC will provide a revised Attachment C once the revised schedule is approved by the Commission.

Countywide Transportation Plan and Transportation Expenditure Plan Preliminary Development Implementation Schedule - Updated 6/27/11

Calendar Year 2010

						Meeting				Calendar Tear 2010	ear 2010
		20	2010			FY2010-2011			2010		
Task Alameda CTC Committee/Public Process	January February	March	April	Мау	June	July	August	Sept	Oct	Nov	Dec
Steering Committee		Establish Steering Committee	Working meeting to establish roles/ responsibilities, community working group	RFP feedback, tech working group	Update on Transportation/ Finance Issues	Approval of Community working group and steering committee next steps	No Meetings		Feedback from Tech, comm working groups	No Meetings	Expand vision and goals for County ?
Technical Advisory Working Group							No Meetings		Roles, resp, schedule, vision discussion/ feedback	No Meetings	Education: Trans statistics, issues, financials overview
Community Advisory Working Group							No Meetings		Roles, resp, schedule, vision discussion/ feedback	No Meetings	Education: Transportation statistics, issues, financials overview
Public Participation							No Meetings			Stakeholder outreach	
Agency Public Education and Outreach				Informati	 Information about upcoming	Ining CWTP Update and reauthorization	uthorization				
Alameda CTC Technical Work Technical Studies/RFP/Work timelines: All this work will be done in relation to SCS work at the regional level					Board authorization for release of RFPs	Pre-Bid meetings	Proposals reviewed	ALF/ALC approves shortlist and interview; Board approves top ranked, auth. to negotiate or NTP		Technical Work	
Polling											
Sustainable Communities Strategy/Regional Transportation Plan											
Barional Sustainable Community Stratemy Davelorment Process - Einal DTB in		Local Land Use Update P2009 begins & PDA Assessment begins						Green House Gas Target approved by CARB.	Start V	Start Vision Scenario Discussions	ussions
April 2013										Adopt methodology for Jobs/Housing Forecast (Statutory Target)	Projections 2011 Base Case Adopt Voluntary Performance
											lalgets

Countywide Transportation Plan and Transportation Expenditure Plan Preliminary Development Implementation Schedule - Updated 6/27/11

Calendar Year 2011

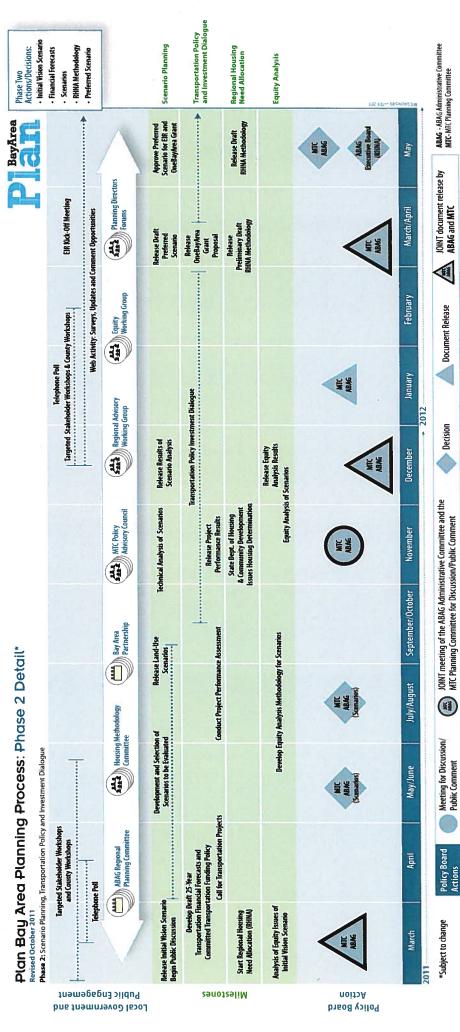
			2011	1			FY2011-2012		Ī	2011		
Task	January	February	March	April	Мау	June	July	August	Sept	Oct	Nov	Dec
Alameda CTC Committee/Public Process					l							
Steering Committee	Adopt vision and goals; begin discussion on performance measures, key needs	Performance measures, costs guidelines, call for projects and prioritization process, approve polling questions, initial vision scenario discussion	Review workshop outcomes, transportation issue papers, programs, finalize performance measures, land use discussion, call for projects update	Outreach and call for projects update (draft list approval), project and program packaging, county land use	Outreach update, project and program screening outcomes, call for projects final list to MTC, TEP strategic parameters, land use, financials, committed projects	No Meetings.	Project evaluation outcomes; outline of CWTP; TEP Strategies for project and program selection	No Meetings	1st Draft CWTP, TEP potential project and program packages, outreach and		Meeting moved to December due to holiday conflict	Review 2nd draft CWTP; 1st draft TEP
Technical Advisory Working Group	Comment on vision and goals; begin discussion on performance measures, key needs	Continue discussion on performance measures, costs guidelines, call for projects, briefing book, outreach	Review workshop outcomes, transportation issue papers, programs, finalize performance measures, land use discussion, call for projects update	Outreach and call for projects update, project and program packaging, county land use	Outreach update, project and program screening outcomes, call for projects update, TEP strategic parameters, land use, financials, committed projects	No Meetings.	Project evaluation outcomes; outline of CWTP; TEP Strategies for project and program selection	No Meetings	1st Draft CWTP, TEP potential project and program packages, outreach and polling discussion		Review 2nd draft CWTP, 1st draft TEP, poll results update	No Meetings
Community Advisory Working Group	Comment on vision and goals; begin discussion on performance measures, key needs	Continue discussion on performance measures, costs guidelines, call for projects, briefing book, outreach	Review workshop outcomes, transportation issue papers, programs, finalize performance measures, land use discussion, call for projects update	Outreach and call for projects update, project and program packaging, county land use	Outreach update, project and program screening outcomes, call for projects update, TEP strategic parameters, land use, financials, committed projects	No Meetings.	Project evaluation outcomes; outline of CWTP; TEP Strategies for project and program selection	No Meetings	1st Draft CWTP, TEP potential project and program packages, outreach and polling discussion		Review 2nd draft CWTP, 1st draft TEP, poll results update	No Meetings
Public Participation	Public Workshops in two areas of County: vision and needs; Central County Transportation Forum	Public Workshops in all areas of County: vision and needs	III areas of County: needs	East County Transportation Forum			South County Transportation Forum	No Meetings		2nd round of public workshops in County: feedback on CWTP,TEP; North County Transportation Forum	lic workshops in c on CWTP,TEP; sportation Forum	No Meetings
Agency Public Education and Outreach		Ongoing	Education and Outrea	Ongoing Education and Outreach through November 2012	er 2012			Ongoing Ed	Ongoing Education and Outreach through November 2012	ch through Novembe	er 2012	
Alameda CTC Technical Work					-							
Technical Studies/RFP/Work timelines: All this work will be done in relation to SCS work at the regional level	Feedback or	Feedback on Technical Work, Modified Vision, Preliminary projects lists	ied Vision, Preliminar	y projects lists	fin	Work with feedback on CWTP and financial scenarios	Tech	inical work refinem	Technical work refinement and development of Expenditure plan, 2nd draft CWTP	t of Expenditure pla	in, 2nd draft CWTP	
Polling		Conduct baseline poll							аша	Polling on possible Expenditure Plan Brojects & programs	Polling on possible Expenditure Plan projects & programs	
Sustainable Communities Strategy/Regional Transportation Plan												
Boxional Sustainable Community Strategy Doylongert Broces - Final PTD in			Release Initial Vision Scenario	Detailed	Detailed SCS Scenario Development		Release Detailed SCS Senarios	Fechnical Analysis of SCS Sce Adoption of Regional Housing Allocation Methodology	Technical Analysis of SCS Scenarios; Adoption of Regional Housing Needs Allocation Methodology	SCS Scenario Results/and funding discussions		Release Preferred SCS Scenario
	Discuss Call for Projects	ojects	Call for Transportation Projects and Project Performance Assessment	Call for Transportation Projects and Project Performance Assessment	Project Evaluation	uation	Draft Regional Housing Needs Allocation Methodoligy					
.34	Develop Draf	Develop Draft 25-year Transportation Financial Forecasts and Committed Transportation Funding Policy	Financial Forecasts a	and Committed								

Countywide Transportation Plan and Transportation Expenditure Plan Preliminary Development Implementation Schedule - Updated 6/27/11

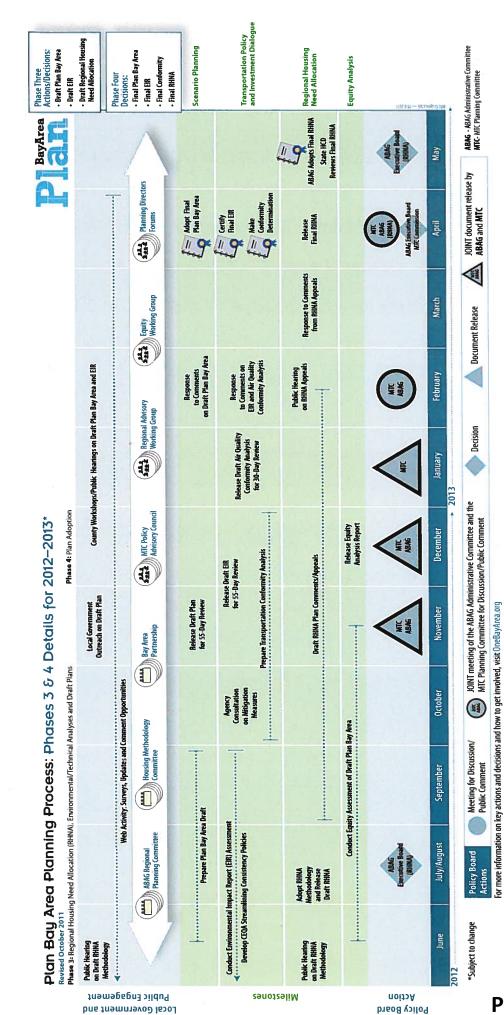
Calendar Year 2012

			2012			FY2011-2012				
100F			doroM	li.v. V		2	**************************************	, , ,	č	
Lask	January	rebruary	March	Aprii	June	yını	August	Sept	150	November
Alameda CTC Committee/Public Process Steering Committee	Full Draft TEP, Outcomes of outreach meetings	Finalize Plans N	Meetings to be detern	Meetings to be determined as needed Adopt Draft	Plans Adopt Final Plans	Expenditure Plan on Ballot				VOTE: November 6, 2012
Technical Advisory Working Group	Full Draft TEP, Outcomes of outreach meetings	Finalize Plans N	Meetings to be determined as needed	mined as needed						VOTE: November 6, 2012
Community Advisory Working Group	Full Draft TEP, Outcomes of outreach meetings	Finalize Plans	Meetings to be determined as needed	mined as needed						VOTE: November 6, 2012
Public Participation			Expenditure Plan City Council/BOS Adoption	ity Council/BOS on						VOTE: November 6, 2012
Agency Public Education and Outreach Alameda CTC Technical Work	Ongoing	Education and Outr	each Through Nover	Ongoing Education and Outreach Through November 2012 on this process and final plans	final plans	Ongoing Educati	Ongoing Education and Outreach through November 2012 on this process and final plans	ugh November 2012	2 on this process ar	nd final plans
Technical Studies/RFP/Work timelines: All this work will be done in relation to SCS work at the regional level		Finalize Plans								
Polling				Potential Go/No Go Poll for Expenditure Plan	//No Plan					
Sustainable Communities Strategy/Regional Transportation Plan			-					-		
Regional Sustainable Community Strategy Development Process - Final RTP in	Approval of Preferred SCS, Release of Regional Housing Needs Allocation Plan		Begin RTP Technical Analysis & Document Preparation			Prepare SCS/RTP Plan	_			Release Draft SCS/RTP for review
April 2013										
35										

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for more information on key actions and decisions and how to get involved, visit OneBayArea.org





BACKGROUND AND SUMMARY

FULFILLING THE PROMISE TO VOTERS

In November 2002, Alameda County voters approved Measure B, a half-cent local transportation sales tax, scheduled to sunset in 2022. Virtually all of the major projects promised to and approved by the voters in that measure are either underway or complete. Funds that go to cities and other local jurisdictions to maintain and improve local streets, provide critical transit service and services for seniors and persons with disabilities, as well as bicycle and pedestrian safety projects will continue until the Measure B expenditure plan ends in 2022. Through careful management, leveraging of other funding opportunities and consensus-based planning, the promises of the 2000 voter-approved measure have been largely fulfilled and essential operations are ongoing.

While most of the projects promised in Measure B have been implemented or are underway, the need to continue to improve the County's transportation system remains critically important. Alameda County continues to grow, while funding from outside sources have been cut or have not kept pace. Unless we act now to increase local resources for transportation, by 2035, when Alameda County's population is expected to be 24% higher than today:

- Travel models predict that vehicle miles traveled will increase by 40%
- Average morning rush hour speeds on the county's freeways will fall by 10%
- Local roads will continue to deteriorate
- Local transit systems will continue to face service cuts and fare increase, and
- Biking and walking routes, which are critical to almost every trip, will continue to deteriorate, impacting safety, public health and the environment.

This Alameda County Transportation Expenditure Plan (referred to throughout this document as the

TEP or the plan) responds to the county's continued transportation needs through the extension and augmentation of a consistent, locally generated and protected funding stream to address our system needs. A key feature of the local transportation sales tax is that it cannot be used for any purpose other local transportation needs. It cannot be taken by the State or by any other governmental agency under any circumstance, and over the life of this plan can only be used for the purposes described in the plan, or as amended.

The ballot measure supported by this plan augments and extends the existing half-cent sales tax for transportation in Alameda County known as Measure B, authorizing an additional half-cent sales tax through 2022 and extending the full cent in perpetuity. Recognizing that transportation needs change over time, this expenditure plan covers the period from inception in 2012 through June 30, 2042, programming a total of \$7.7 billion in new transportation funding. Voters will have the opportunity to review and approve updates to this plan in the future.

The expenditure plan funds critical improvements to our county's transit network, including expanding transit operations and restoring service cuts, as well as expanding the Bay Area Rapid Transit (BART) system within Alameda County to move more people on transit. It expands transportation services for seniors and people with disabilities, responding to the needs of an aging population. The plan also funds projects to relieve congestion throughout the county, moving people and goods more efficiently, by supporting strategic investments on I-880, I-680, I-580, I-80, I-238, and State Routes 84 and 92. In addition, the plan recognizes the growth in bicycle and pedestrian travel, completing major trails and bikeways, and making substantial improvements in pedestrian safety and access.

STATUS OF THE CURRENT MEASURE B **EXPENDITURE PLAN**

Voters in Alameda County have long recognized the need to provide stable and local funding for the County's transportation needs. In 1986, Alameda County voters authorized a half-cent transportation sales tax to finance improvements to the county's overburdened transportation infrastructure. An even wider margin of voters reauthorized this tax in 2000, with over 81.5% support. Detailed expenditure plans have guided the use of these funds. The current plan provides over \$100 million each year for essential operations, maintenance and construction of transportation projects. It authorized the expenditure of funds for the extension of BART to Warm Springs, rapid bus improvements throughout the county, bicycle and pedestrian trails and bridges, a Safe Routes to School Partnership, and specialized transportation services for seniors and people with disabilities. It has also provided congestion relief throughout Alameda County by widening Interstate-238, constructing the I-680 express lane, improving interchanges I-580 and I-880, and upgrading surface streets and arterial roadways.

Most of the 27 major projects authorized by the current expenditure plan have been completed or are under construction, many ahead of schedule. Certified annual audits have verified that 100% of the public funds authorized in the current plan have been spent as promised.

BENEFITS FROM THE CURRENT MEASURE B EXPENDITURE PLAN

The current local transportation sales tax has provided a substantial share of the total funding available for transportation projects in Alameda County, far exceeding annual state and federal commitments. State and federal sources have diminished and have become less valuable over time. and local sources have come to represent over 60% of the money available for transportation in the region. The current measure has been indispensible in helping to meet the county's growing needs in an era of shrinking resources.

The county's ability to keep up with street maintenance needs, such as filling potholes and repaving roadways, is fundamentally dependent on these local funds. Targeted improvements funded through the current expenditure plan such as the new express lane on I-680 and the widening of I-238 have relieved congestion on critical county commute corridors. A new Warm Springs BART station will soon open in the southern part of the county as the beginning of a new connection to Silicon Valley. The current plan has improved the safety of children getting to schools throughout the county and funded special transportation services that provide over 900,000 trips for seniors and people with disabilities every year.

These local funds have also made the county compete effectively for outside funds by providing local matching money. The existing expenditure plan has attracted supplemental funds of over \$3 billion from outside sources for Alameda County capital investments.

WHY EXTEND AND AUGMENT THE SALES TAX MEASURE NOW?

While the existing measure will remain intact through 2022, this new Alameda County Transportation Expenditure Plan (TEP) has been developed for two reasons:

- The capital projects in the existing measure have been largely completed, with many projects implemented ahead of schedule. Virtually all of the project funds in the existing measure are committed to these current projects. Without a new plan, the County will be unable to fund any new major projects to address pressing mobility needs.
- Due to the economic recession, all sources of transportation funding have declined. The decline in revenues has had a particularly significant impact on transportation services that depend on annual sales tax revenue distributions for their ongoing operations. The greatest impacts have been to the programs that are most important to Alameda County residents:
 - Reductions in local funding to transit operators combined with state and federal

- reductions, have resulted in higher fares and less service.
- Reductions in local funding to programs for seniors and persons with disabilities have resulted in cuts in these programs as the populations depending on them continue to increase.
- Local road maintenance programs have been cut, and road conditions have deteriorated for all types of users.
- Bicycle and pedestrian system improvements and maintenance of pathways have continued to deteriorate, making it more difficult to walk and bike as an alternative to driving.
- Since the recession began, bus services in Alameda County have been cut significantly, and the gap between road maintenance needs and available funding is at an all all-time high. This new expenditure plan will allow local funding to fill in the gaps created by declining state and federal revenue and will keep needed services in place and restore service cuts for many providers.

HOW THIS PLAN WAS DEVELOPED

This expenditure plan was developed in conjunction with the Alameda Countywide Transportation Plan (CWTP), the long range policy document that guides transportation investments, programs, policies and advocacy for Alameda County through 2040. A Steering Committee and two working groups (technical and community) were established to guide development of both the CWTP and the TEP over the past two years.

Public engagement and transparency were the foundations of the development of these plans. A wide variety of stakeholders, including businesses, technical experts, environmental and social justice organizations, and seniors and disabled helped shape the plan to ensure that it serves the county's diverse transportation needs. Thousands of Alameda County residents participated through public workshops and facilitated small group dialogues; a website allowed for online questionnaires, access to all project information, and submittal of comments; and

advisory committees that represent diverse constituencies were integrally involved in the plan development process from the beginning.

The TEP also benefited from a performance-based project evaluation process undertaken for the CWTP. This allowed policies and goals to be expressed in quantifiable terms and competing transportation investments to be compared to one another objectively. This led to a more systematic and analytical selection process for investment priorities.

City councils for all 14 cities in the county and the County Board of Supervisors each held public meetings and voted to support submitting this expenditure plan to the voters.

VISION AND GOALS

The development of the Countywide Transportation Plan and the Transportation Expenditure Plan began with establishing a new vision and goals for the county's transportation system:

Alameda County will be served by a premier transportation system that supports a vibrant and livable Alameda County through a connected and integrated multimodal transportation system promoting sustainability, access, transit operations, public health and economic opportunities.

The vision recognizes the need to maintain and operate the County's existing transportation infrastructure and services while developing new investments that are targeted, effective, financially sound and supported by appropriate land uses. Mobility in Alameda County will be guided by transparent decision-making and measureable performance indicators, and will be supported by these goals:

Our transportation system will be:

- Multimodal (bus, train, ferry, bicycle, walking and driving)
- Accessible, Affordable and Equitable for people of all ages, incomes, abilities and geographies
- Integrated with land use patterns and local decision-making

BACKGROUND AND SUMMARY

- Connected across the county, within and across the network of streets, highways, transit, bicycle and pedestrian routes
- Reliable and Efficient
- Cost Effective
- Well Maintained
- Safe
- Supportive of a Healthy and Clean Environment

TAXPAYER SAFEGUARDS

The commitments in this expenditure plan are underscored by a set of strong taxpayer safeguards to ensure that commitments made in the plan are met. They include an annual independent audit and report to the taxpayers; ongoing monitoring and review by an Independent Watchdog Committee; requirement for full public review and update of the plan including periodic voter approval for a new expenditure plan every 20 years after 2042; and strict limits on administrative expenses charged to these funds.

Local Funds Spent Locally

The revenue generated through this transportation sales tax will be spent exclusively on projects and programs in Alameda County. All of the projects and programs included in the expenditure plan are considered essential for the transportation needs of Alameda County.

WHAT DOES THE EXPENDITURE PLAN FUND?

THIS SECTION WILL BE UPDATED EXTENSIVELY AFTER PROJECTS AND PROGRAMS ARE REVIEWED BY THE ADVISORY COMMITTEES AND FINALIZED BY THE STEERING COMMITTEE IN NOVEMBER.

Table 1 Summary of Investments by Mode **Funds Allocated Investment Type Transit Investments Local Streets and Roads and Major Commute Routes** Highway Safety, Efficiency and Access Improvements I-8o Corridor I-880 Corridor I-580 Corridor I-680 Corridor Route 84 Corridor **Bicycle and Pedestrian Safety** Sustainable Transportation and Land Use Connections Specialized Transportation for Seniors and People with Disabilities **Community Based Transportation Planning** Freight and Economic Development **Technology and Innovation** Table 2 Investments by Part of the County **Investment Type Funds Allocated North County Investments Central County Investments South County Investments East County Investments**

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GOVERNING BOARD AND ORGANIZATIONAL STRUCTURE

Implementation of this sales tax is authorized under the Local Transportation Authority and Improvement Act, California Public Utilities Code Section 180000 et seq. In enacting this ordinance, voters will authorize the Alameda County Transportation Commission (referred to herein as the Alameda CTC) to have the responsibility to administer the tax proceeds in accordance with all applicable laws and with the Transportation Expenditure Plan (TEP). Funds collected for this tax may be spent only for the purposes identified in the TEP, or as amended. Under no circumstances may the proceeds of this transportation sales tax be applied to any purpose other than for transportation improvements benefitting Alameda County.

The Alameda County Transportation Commission was created in July 2010 through a merger of two existing agencies: the Alameda County Transportation Improvement Authority, which administered the existing Measure B half-cent transportation sales tax, and the Alameda County Congestion Management Agency, which was responsible for long-range planning and programming of transportation funds. The merger was designed to save taxpayer money by developing a single, streamlined organization focused on planning, funding and delivering countywide projects and programs with local, regional, state and federal funds in the most efficient and effective manner to serve the county's transportation needs.

GOVERNING BOARD

The Alameda CTC is governed by a Board of Directors comprised of 22 members, with the following representation:

- All five Alameda County supervisors
- Two Oakland representatives
- One representative from each of the other 13 cities

- AC Transit
- BART

Proceeds from this tax may be used only to pay for programs and projects outlined in this expenditure plan in Alameda County and may not be used for any other purpose, unless amended,. Amendments to this plan will require a two-thirds vote of the Board of Directors of the Alameda CTC, following a public hearing. In addition, each of the city councils and the County Board of Supervisors will have an opportunity to comment on any plan amendment prior to its adoption. Under no circumstances may tax revenue collected under this measure be used for any purpose other than local transportation needs and under no circumstances may these funds be appropriated by the State of California or any other governmental agency.

The Alameda CTC will hire the staff and professional assistance required to administer the tax to implement projects and programs as outlined in the expenditure plan. The total cost assigned for salaries and benefits for administrative employees shall not exceed 1% of the revenues generated by the sales tax. The total cost of administration of this tax, including all rent, supplies, consulting services and other overhead costs, will not exceed 5% of the proceeds of the tax. In addition, \$XXX has been budgeted to repay a loan from the Alameda CTC for the election costs of the Measure.

INDEPENDENT WATCHDOG COMMITTEE

The Independent Watchdog Committee will have the responsibility of reviewing and overseeing all expenditures of the Alameda CTC. The Independent Watchdog Committee (IWC) reports directly to the public.

The responsibilities of this committee are:

- The IWC must hold public hearings and issue reports, on at least an annual basis, to inform Alameda County residents how the sales tax funds are being spent. The hearings will be open to the public and must be held in compliance with the Brown Act, California's open meeting law, with information announcing the hearings well-publicized and posted in advance.
- The IWC will have full access to the Alameda CTC's independent auditor and will have the authority to request and review specific information and to comment on the auditor's reports.
- The IWC will publish an independent annual report, including any concerns the committee has about audits it reviews. The report will be published in local newspapers and will be made available to the public in a variety of forums to ensure access to this information.

IWC members are private citizens who are not elected officials at any level of government, nor public employees from agencies that either oversee or benefit from the proceeds of the sales tax. Membership is limited to individuals who live in Alameda County. Members are required to submit a statement of financial disclosure and membership is restricted to individuals without economic interest in any of the Alameda CTC's projects or programs. The IWC is designed to reflect the diversity of Alameda County. Membership is as follows:

- Two members are chosen at-large from each of the five supervisorial districts in the county (total of 10 at-large members). One member is nominated by each member of the Board of Supervisors and one additional member in each supervisorial district is selected by the Alameda County Mayors' Conference.
- Seven members are selected to reflect a balance of viewpoints across the county. These members are nominated by their respective organizations and approved by the Alameda CTC Board of Directors as follows:
 - One representative from the Alameda County Taxpayer's Association
 - One representative from the Sierra Club

- One representative from the Alameda County Labor Council
- o One representative from the East Bay Economic Development Alliance
- One representative from the Alameda County Paratransit Advisory Committee (PAPCO)
- One representative from the East Bay Bicycle Coalition
- One representative from the League of Women's Voters

The members of the IWC are expected to provide a balance of viewpoints, geography, age, gender, ethnicity and income status, to represent the different perspectives of the residents of the county.

ADVISORY COMMITTEES

The Alameda CTC is assisted by the advice of technical and public advisory committees. These committees, described below, meet regularly and are charged with carrying out important functions on behalf of the Alameda CTC.

Alameda County Transportation Advisory Committee (ACTAC)

The ACTAC is the technical advisory committee to the Alameda CTC. The ACTAC members provide technical expertise, analysis and recommendations related to transportation planning, programming and funding with the Alameda CTC Executive Director functioning as Chair. It is composed of: one staff representative of each city and the County; one staff representative of each transit operator; one staff representative each of the Alameda County Transportation Authority or its successor, the Metropolitan Transportation Commission, Caltrans and the Bay Area Air Quality Management District.

Paratransit Advisory and Planning Committee (PAPCO)

PAPCO addresses funding, planning, and coordination issues regarding specialized transportation services for seniors and persons with disabilities in Alameda County. PAPCO has the responsibility of making direct recommendations to the Board of Directors of the Alameda CTC on

funding for senior and disabled transportation services. PAPCO is supported by a Technical Advisory Committee comprised of paratransit providers in Alameda County funded by local transportation sales tax funds.

Bicycle and Pedestrian Advisory Committee (BPAC)

The BPAC reviews all competitive applications submitted to the Alameda CTC for bicycle and pedestrian safety funds from Measure B, along with the development and updating of the Alameda Countywide Pedestrian and Bicycle Plans and makes recommendations to the Alameda CTC for funding. The BPAC also provides input on countywide educational and promotional programs and other projects of countywide significance, upon request.

Other Committees

The Alameda CTC will establish other community and technical advisory committees as necessary to implement the projects and programs in the TEP and to inform and educate the public on the use of for projects and programs in the TEP.

ANNUAL REPORTING

The Alameda CTC is committed to transparency as a public agency along with its many jurisdictional partners. Each year, the Alameda CTC adopts an annual budget that projects the expected sales tax receipts, other anticipated funds and planned expenditures for administration, programs and projects. All funds collected under this tax will be subject to an annual audit. This includes independent audits of the expenditures made by local jurisdictions and fund recipients.

The Alameda CTC will also prepare an annual Strategic Plan which will identify the priority for projects and dates for project implementation based on project readiness, ability to generate leveraged funds and other relevant criteria.

Both the budget and the Strategic Plan will be adopted at a public meeting of the Alameda CTC Board of Directors.

FINANCING OF PROJECTS AND PROGRAMS

By augmenting and extending the transportation sales tax, the Alameda CTC is given the fiduciary duty of administering the proceeds of this tax for the benefit of the residents and businesses of Alameda County. Funds may be accumulated by the Alameda CTC or by recipient agencies over a period of time to pay for larger and longer-term projects. All interest income generated by these proceeds will be used for the purposes outlined in this TEP and will be subject to audits.

The Alameda CTC will have the authority to bond for the purposes of expediting the delivery of transportation projects and programs. The bonds will be paid with the proceeds of this tax. The costs associated with bonding, including interest payments, will be borne only by the capital projects included in the TEP and any programs included in the TEP that utilize the bond proceeds. The costs and risks associated with bonding will be presented in the Alameda CTC's annual Strategic Plan and will be subject to public comment before any bond sale is approved.

PLAN UPDATES

This transportation sales tax will remain in effect in perpetuity. The projects and programs in the TEP cover the period from the initiation of the tax in 2012 through June 30, 2042, a period of 30 years. Because needs change over time, the expenditure plan is intended to be revisited no later than the last general election date prior to the plan's termination date in 2042, and every 20 years thereafter.

To adopt an updated expenditure plan, the Board of Directors will appoint an Advisory Committee, representing the diverse interests of Alameda County residents, and businesses. The meetings of the Advisory Committee will be publicly noticed and the committee will be responsible for developing a public outreach process for soliciting input into the plan update.

A recommendation for the adoption of an updated expenditure plan shall require a two-thirds vote of the Alameda CTC Board of Directors and shall be referred to the cities and to Alameda County to be

placed on the ballot. The updated plan will appear on a general election ballot for endorsement of the voters, where it will require a majority vote for implementation.

RESPONSIBILITY OF FUND RECIPIENTS

All recipients of funds allocated in this expenditure plan will be required to report on the performance of the projects and programs implemented with these funds. Annual project and program performance reports for each project and program funded in this plan are required and will be made publicly available at the beginning of each calendar year.

In addition, fund recipients will conduct an annual audit to ensure that funds are managed and spent according to the requirements of this expenditure plan.



IMPLEMENTING GUIDELINES

This Transportation Expenditure Plan (TEP) is guided by principles that ensure that the revenue generated by the sales tax is spent only for the purposes outlined in this plan, in the most efficient and effective manner possible, consistent with the direction provided by the voters of Alameda County.

ADMINISTRATION OF THE PLAN

- 1. Funds only Projects and Programs in TEP:
 Funds collected under this measure may be spent only for the purposes identified in the Transportation Expenditure Plan, or as amended. Under no circumstances may the proceeds of this transportation sales tax be applied to any purpose other than for transportation improvements benefitting Alameda County. The funds may not be used for any transportation projects or programs other than those specified in this plan without an amendment of the TEP.
- 2. All Decisions Made in Public Process: The Alameda County Transportation Commission (Alameda CTC) is given the fiduciary duty of administering the transportation sales tax proceeds in accordance with all applicable laws and with the TEP. Activities of the Alameda CTC will be conducted in public according to state law, through publicly noticed meetings. The annual budgets of the Alameda CTC, annual strategic plans and annual reports will all be prepared for public review. The interests of the public will be further protected by an Independent Watchdog Committee, described previously in this plan.
- 3. Salary and Administration Cost Caps: The Alameda CTC Board of Directors will have the authority to hire professional staff and consultants to deliver the projects and programs included in this plan in the most efficient and cost-effective manner. The salaries and benefits for administrative staff hired by the Alameda

CTC will not exceed 1% of the proceeds of the tax. The total of all administrative costs including overhead costs such as rent and supplies will be limited to no more than 5% of the proceeds of this tax.

- 4. Amendments Require 2/3 Support: To modify this plan, an amendment must be approved by a two-thirds vote of the Alameda CTC Board of Directors. All jurisdictions within the county will be given a minimum of 45 days to comment on any proposed TEP amendment.
- 5. Augment Transportation Funds: Pursuant to California Public Utilities Code 180001 (e), it is the intent of this expenditure plan that funds generated by the transportation sales tax be used to supplement and not replace existing local revenues used for transportation purposes.

PLAN UPDATE PROCESS

- 6. **Plan Updates**: While the transportation sales tax is intended to be collected in perpetuity, this plan recognizes that transportation needs change over time. This plan is intended to govern the expenditure of new transportation sales tax funds (not including the existing Measure B), collected from implementation in November of 2012 through June 30, 2042.
- 7. **Plan Update Schedule**: The TEP will be updated at least one time no later than the last general election prior to its expiration in 2042 and then at least once every 20 years thereafter.

- 8. **Adoption of a New Plan:** In order to adopt an updated expenditure plan, the Alameda County Transportation Commission will appoint an Expenditure Plan Update Advisory Committee, representing the diverse interests of Alameda County residents and businesses to assist in updating the plan. The meetings of this committee will be publicly noticed, and the committee will be responsible for developing a public process for soliciting input into the plan update.
- 9. A recommendation for the adoption of the updated expenditure plan shall require a twothirds vote of the Alameda CTC Board of Directors and shall be taken back to the local jurisdictions for endorsement. The plan update will appear on a general election ballot in Alameda County for approval by the voters, requiring a majority vote of the people.
- 10. All meetings at which a plan update is considered will be conducted in accordance with all public meeting laws and public notice requirements and will follow a process designed to allow for maximum public input into the development of updating the plan.

TAXPAYER SAFEGUARDS AND AUDITS

- 11. Annual Audits and Independent Watchdog Committee Review: Transportation sales tax expenditures are subject to an annual independent audit and review by an Independent Watchdog Committee. The Watchdog Committee will prepare an annual report on spending and progress in implementing the plan that will be published and distributed throughout Alameda County.
- 12. **Interest Remains within Funds:** All tax revenues and interest earned will be deposited and maintained in a separate fund. Local jurisdictions and any entity that receives these funds must also maintain them in a separate fund. All entities receiving tax funds must report annually on expenditures and progress in implementing projects and programs.

- 13. **Strict Project Deadlines**: To ensure that the projects promised in this plan can be completed in a timely manner, each project will be given a period of seven years from the first year of revenue collection (up to December 31, 2019) to receive environmental clearance approvals and to have a full funding plan for each project. Project sponsors may appeal to the Alameda CTC Board of Directors one-year time extensions.
- 14. **Timely Use of Funds**: Jurisdictions receiving funds for transit operations, on-going road maintenance, services for seniors and disabled, and bicycle and pedestrian safety projects and programs must expend the funds expeditiously and report annually on the expenditure, their benefits and future planned expenditures. These reports will be made available to the public at the beginning of each calendar year.

RESTRICTIONS ON FUNDS

- 15. No Substitution of Funds: Sales tax revenues shall be used to supplement, and under no circumstances replace, existing local revenues used for transportation purposes.
- 16. No Expenditures Outside of Alameda County: No funds shall be spent outside Alameda County, except for cases where funds have been matched by funding from the county where the expenditure is proposed, or from state and federal funds as applicable, and specific quantifiable and measureable benefits are derived in Alameda County and are reported to the public.
- 17. Environmental and Equity Reviews: All projects funded by sales tax proceeds are subject to the requirements of the California Environmental Quality Act (CEQA), Title VI of the Civil Rights Act, and other laws and regulations of federal, state and local government. All projects and programs funded with sales tax funds will be required to conform to the requirements of these regulations.

- 18. Complete Streets: It is the policy of the Alameda CTC that all transportation investments should consider the needs of all modes. All investments made on local streets and roads will conform to Complete Streets requirements and Alameda County guidelines to ensure that all modes are considered in the expenditure of local streets and roads funds.
- 19. Local Contracting and Jobs: The Alameda CTC and each agency receiving and expending transportation sales tax funds will develop a policy supporting the hiring of local contractors and residents from Alameda County in the expenditure of these funds.
- 20. Agency Commitments: To ensure the long-term success of the TEP, all recipients of funds for capital projects will be required to show the capacity to maintain and operate any capital investment prior to receiving final approval of funding.
- 21. **Eligible Project Development Phases**: All phases of a capital project, unless specifically excluded in the TEP, are considered eligible for capital project funding, including:
 - a. Project scoping and initiation
 - b. Planning and environmental analysis
 - c. Preliminary Engineering
 - d. Design
 - e. Right of way acquisition and relocation
 - f. Utilities relocation
 - g. Construction and construction engineering and management
 - h. Project evaluation
- 22. Consistency with Regional and State Plans and Laws: Projects included in the TEP shall be consistent with the adopted regional transportation plan, which is required by state law to be consistent with federal planning and programming requirements, including the consistency of transportation plans and programs with the provisions of all applicable short- and long-term land use and development plans.

23. **New Agencies:** New cities or new entities (such as new transit agencies) that come into existence in Alameda County during the life of the Plan could be considered as eligible recipients of funds through a Plan amendment

MANAGING REVENUE FLUCTUATIONS AND PROJECT FINANCING GUIDELINES

- 24. Annual Fund Programming: Actual revenues may, at times, be higher than expected in this plan due to changes in receipts, or lower than expected due to lower project costs and/or due to leveraging outside funds. Estimates of actual revenue will be programmed annually by the Alameda CTC during its annual budget process. Any excess revenue will be programmed in a manner that will accelerate the implementation of the projects and programs described in this plan, at the direction of the Alameda CTC Board of Directors.
- 25. **Fund Allocations**: Projects included in the TEP have been vetted for their feasibility and project readiness. However, should a planned project become infeasible or unfundable due to circumstances unforeseen at the time of this plan, funding will remain within a project category such as Transit, Roads, Highways, Transit Oriented Development, or Bicycle and Pedestrian Safety, and may be reallocated to other investments in the same funding category at the discretion of the Alameda CTC Board of Directors.
- 26. Leveraging Funds: Leveraging or matching of outside funding sources is strongly encouraged. Any additional transportation sales tax revenues made available through their replacement by matching funds will be spent based on the principles outlined for fund allocations described above.
- 27. **Bonding**: The Alameda CTC is permitted to accelerate project delivery through the issuance of bonds, payable from the share of sales tax revenues allocated to capital projects over the life of this plan.

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Memorandum

DATE: November 8, 2011

TO: CAWG and TAWG

FROM: Tess Lengyel, Deputy Director of Policy, Public Affairs and Legislation

Beth Walukas, Deputy Director of Planning

SUBJECT: PRELIMINARY DRAFT Expenditure Plan Investments

The attached set of graphics and tables describe a preliminary draft set of investments for an "augment and extend" transportation sales tax, to be on the ballot in 2012. The plan assumes that the existing Measure B will remain in place and will be guided by its existing expenditure plan until 2022. During this interim period, the new tax will augment Measure B by a new half-cent, governed by the new expenditure plan. After 2022, the transportation sales tax will simply be a combined 1 cent, guided by this plan. A total of \$7.66 Billion in new revenue will be generated by this tax from 2012 through 2042.

This preliminary draft plan is intended to be responsive to feedback we have received from the Steering Committee members, advisory committees and from polling and outreach. We have focused the development of the draft TEP on showing investments by mode which include capital projects, programmatic capital projects and programs that support a comprehensive, multi-modal plan, rather than focusing on a projects and programs split.

It is important to note that the draft TEP describes only one of many funding sources available for many projects and programs included in the draft TEP. For example, transit agencies get funding from local sources including the newly implemented vehicle registration fee, as well as state and federal sources and fares.

Figure 1 shows the total new measure revenue by mode. The largest single investment area is public transit, for \$3,397,100,000 or 44% of the measure (transit and paratransit), consistent with broad public support for transit projects and programs. Spending on operations and maintenance of transit was increased over our initial submittal on programs. Investments in paratransit were also increased to 10% - a slight increase over their current percentage in Measure B, roughly doubling the amount of funding available in this area. Local streets and roads, which are also strongly supported in all areas of the county, receive the second highest investment total, and 30% of new revenues, increased over the initial memo on programs. Combining the bicycle and pedestrian program at 5% of new funding with a large investment in completion of the major paths in the County results in increases over current funding for bike and pedestrian infrastructure in the County. In addition, new investments in transportation for sustainable land use, freight and economic development and technology and a focused investment program on the highway system are also accommodated in the plan.

Figure 2 summarizes the Mass Transit and Specialized Investment Program, including capital and operating funds, and including both fixed route and paratransit services. For the two large programs in this category, the table shows the amount of funding expected to go to each recipient as well as the % of transit funds and % of total funds going to each recipient. These two programs total 30% of measure funds. The remaining 14% of measure funds in this category are divided among capital projects promoting bus transit efficiency and priority, BART system modernization and expansion and regional rail enhancements.

Figure 3 summarizes the Local Streets and Roads program which includes 20% of net revenues for direct allocation to cities and the County and an \$800,000,000 program for major commute corridors, local bridge and grade crossing and seismic safety. These funds have been allocated by planning area and a list of potential projects have been identified based on the projects submitted in the call for projects in the CWTP and input from local jurisdictions. These funds can be used on other projects of significance throughout the county as well. A more detailed comparison of the current measure to future measure funds in the year 2014 is included later in the packet.

Figure 4 summarizes the investments to be made for highway efficiencies including a new freight and economic development program described previously as well as a \$600,000,000 highway efficiency improvement program that focuses on specific interchange, local access and safety improvements. These funds can be used on other projects of significance throughout the county as well.

Figure 5 summarizes the rest of the measure, including the investments in bicycle and pedestrian infrastructure and safety, sustainable land use and transportation and technology. The bicycle and pedestrian program includes over \$260,000,000 for gap closure on the three major trails in the County and two programs totaling 5% of net revenues. Direct allocations to cities and the county will essentially double under this measure, as will funds available for grants, which will also serve to fund maintenance of major trails.

Figure 6 shows the amount of funding that each agency can expect to receive from the new measure, including both project and programmatic funding. A comparison with the current measure for programmatic funding is included later in the packet in Figures 8-12.

Figure 7 shows the geographic balance of the funds that have been allocated to each planning area of the County. The graph shows that each planning area is guaranteed to receive a slightly lower share of funds than its share of population, because 25% of the measure funds are either allocated to multi area projects or have not yet been allocated. Maintaining geographic equity will be a key consideration in allocating discretionary funds.

The reminder of the packet focuses on a single year – FY 2013/14 which will be the first full year with new revenue available. Figure 8 shows the seven programs included in the plan and shows that the four large programs in the current measure will essentially double their funding by passing this measure.

Figure 9 shows the change in funding available to each of the cities and the county in 2014 if the Measure is passed for local streets and roads. Continuing the current method of allocating funding, every city will receive an 88% increase in funding available. The County will receive slightly more as a result of an adjustment factor for the County in the current methodology.

Figure 10 shows the change in revenue that each of the transit operators and other jurisdictions will receive from the transit operations and specialized transportation categories. Some of these allocations have been updated from the original memo on programs, reflecting comments received. Including both mass transit and paratransit funding, AC Transit will receive 90% more funds immediately in 2013-14 if this measure is passed. BART will

receive more than double their current allocation for paratransit, and virtually every transit operator and every city will receive significantly increased funding for needed services. Funds available for grants, including the potential student bus pass program will be secured in this line item.

Figure 11 shows the allocation of programmatic bike and pedestrian funding to each of the cities and the county in 2013-14. Each jurisdiction would receive an 80% increase in funding assuming the current allocation strategy is applied, while overall funding would essentially double.

Finally Figure 12 shows the amount of funding that would be available in 2013-14 for the remaining programs.

Next Steps

This information is being presented to the CAWG/TAWG group on November 10 and to Steering Committee on November 17 for information and discussion. Based on the direction provided by the Steering Committee, we will refine the plan and will present a complete draft of the TEP to the Steering Committee on December 1, to CAWG and TAWG on December 8th and to the full CTC Board at their retreat on December 16.

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\$2,632 (34%) \$2,332 (30%) \$2,500 \$2,000 FIGURE 1. TOTAL NEW MEASURE FUNDING (2013-42), BY MODE Total Allocation (in \$ millions) \$1,500 \$1,000 \$766 (10%) \$647 (8%) (%8) 009\$ \$530 (7%) \$500 \$77 (1%) \$77 (1%) Technology Transit Freight/Economic Dev. Highways Land Use/TOD/PDA Bike/Pedestrian **Paratransit** Local Streets & Roads

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\$3,000

FIGURE 2. SUMMARY OF NEW MEASURE FUNDING (2013-42), TRANSIT & SPECIALIZED TRANSIT

Mass Transit: Operations,		(2)	Funds	Funds
Mass Transit: Operations,	AC Transit	\$ 1,148.93	33.8%	15.0%
Mass Transit: Operations,	ACE	\$ 76.60	2.3%	1.0%
	WETA	\$ 38.30	1.1%	0.5%
Maintenance, and Safety	LAVTA	\$ 38.30	1.1%	0.5%
Program	Union City Transit	\$ 19.15	%9.0	0.2%
	Innovative grant funds, including potential youth transit pass program	\$ 210.64	6.2%	2.7%
	Sub-total	\$ 1,531.91	45.1%	20.0%
	Non-Mandated (to Planning Areas)	\$ 229.79	%8.9	3.0%
C : : : : : : : : : : : : : : : : : : :	East Bay Paratransit - AC Transit	\$ 344.68	10.1%	4.5%
Specialized Transit For Seniors	Fast Bay Paratransit - BART	\$ 114.89	3.4%	1.5%
and refools with Disabilities	Coordination and Gap Grants	\$ 76.60	2.3%	1.0%
	Sub-total	\$ 765.95	22.5%	10.0%
0 H	AC Transit Park and Ride	00.9		
	City of Alameda to Fruitvale BART BRT	\$ 9.00		
Specialized Bus Transit Efficiency and	AC Transit Bus Rapid Transit Projects in Alameda County	\$ 10.00		
Iransit (44%)	College/Broadway Corridor: Transit Priority + Broadway Streetcar	\$ 10.00		
	Sub-total	\$ 35.00	1.0%	0.5%
	Irvington BART Station	\$ 120.00		
TOY OF THE PROPERTY OF THE PRO	BayFair BART Capacity Enhancement	\$ 100.00		
BART SYSTEM MODERNIZATION AND Expansion		\$ 90.00		
Lypansion	BART to Livermore Phase I	\$ 400.00		
	Sub-total	\$ 710.00	20.9%	6.3%
	Dumbarton Rail Corridor Phase I	\$ 120.00		
	Union City Passenger Rail Station	\$ 75.00		
Regional Rail Enhancements	Freight Railroad Corridor Right of Way Preservation and Track Improvements	\$ 120.00		
	Capitol Corridor Service Expansion	\$ 40.00		
	Sub-total	\$ 355.00	10.4%	4.6%
TOTAL		\$ 3,397.86	100.0%	44.4%

FIGURE 3. SUMMARY OF NEW MEASURE FUNDING (2013-42), LOCAL STREETS & ROADS³

Mode	Investment Category	Project/Program	\$ Amount (millions)	% of Modal Funds	% of Total Funds
		North County			
		Solano Avenue Pavement resurfacing and beautification; San Pablo Avenue Improvements; Oakland Army Base Transportation Infrastructure Improvements; SR 13 Ashby Corridor; Marin Avenue Local Road Safety; Gilman Railroad Crossing; Park Street, High Street, and Fruitvale Bridge Replacement; Powell Street Bridge Widening at Christie; Outer Harbor Intermodal Terminal; 7th Street Grade Separation and Roadway Improvement	\$ 441.00		
		Central County			
Local Streets	Major Commute Corridors, Local Bridge Seismic Safety ⁴	Crow Canyon Road Safety; San Leandro LS&R Lewelling Blvd/Hesperian Blvd.; Tennyson Road Grade Separation	\$ 57.00		
& Roads		South County			
(30%)		East-West Connector in North Fremont and Union City; I-680/880 Cross Connectors; Widen Fremont Boulevard from I-880 to Grimmer Blvd.; Upgrade Relinquished Route 84 in Fremont	\$ 268.00		
		East County			
		Greenville Road widening; El Charro road construction; Dougherty Road Widening; Dublin Boulevard widening; Bernal Bridge Construction	\$ 34.00		
		Sub-total	\$ 800.00	34.3%	10.4%
	Direct Allocation to Cities and County	Local streets and roads program	\$ 1,531.91	65.7%	20.0%
	TOTAL		\$ 2,331.91	100.0%	30.4%

³ Allocations are based on existing Measure B methodology, which weights allocations by planning area FIRST and THEN allocates based 50% on population share and 50% on road miles. All population data from 2010 Census. Road mile data from "2009 Maintained Mileage Estimates by Jurisdiction." Allocations may change in future based on changes in demographics and road miles.

FIGURE 4. SUMMARY OF NEW MEASURE FUNDING (2013-42), HIGHWAY EFFICIENCY AND FREIGHT

Mode	Investment Category	Project/Program	\$ An	\$ Amount (millions)	% of Modal Funds	% of Total Funds
		I-80 Gilman Street Interchange improvements	\$	24.0		
	I-80 Improvements	I-80 Ashby Interchange improvements	\$	52.0		
		Sub-total	\$	76.00		
		SR-84/I-680 Interchange and SR-84 Widening	\$	122.0		
	I-84 Improvements	SR-84 Expressway Widening (Pigeon Pass to Jack London)	\$	10.0		
		Sub-total	\$	132.00		
		I-580/I-680 Interchange improvements	\$	20.0		
		I-580 Local Interchange Improvement Program: Central County I-580 spot				
	I-580 Improvements	intersection improvements; Interchange improvements - Greenville, Vasco, Isabel	\$	28.0		
		Avenue (Tidae 2)	€			
		Sub-total	> >	48.00		
Highway	1 680 Improvedents	I-680 HOT/HOV Lane from Route 84 to Alcosta	\$	00.09		
Efficiency &	r-ood inipiovenients	Sub-total	\$	90.09		
Freight (9%) ⁵		I-880 NB HOV/HOT Extension from A St. to Hegenberger	\$	20.0		
		I-880 Broadway Jackson Interchange and circulation improvements	\$	75.0		
		Whipple Road Interchange improvements	\$	0.09		
	L880 Improvements	I-880 Industrial Boulevard Interchange improvements	\$	44.0		
		I-880 Local Access and Safety improvements: Interchange improvements - Winton Avenue; 23rd/29th St. Oakland; 42nd Street/High Street; Route 262 (Mission)	\$	85.0		
		improvements and grade separation				
		Sub-total	\$	284.00	•	
	Highway Capital Projects	Sub-total	\$	00.009	88.7%	7.8%
	Freight & Economic Development	Freight and economic development program	\$	76.60	11.3%	1.0%
	TOTAL		9 \$	976.60	100.0%	8.8%

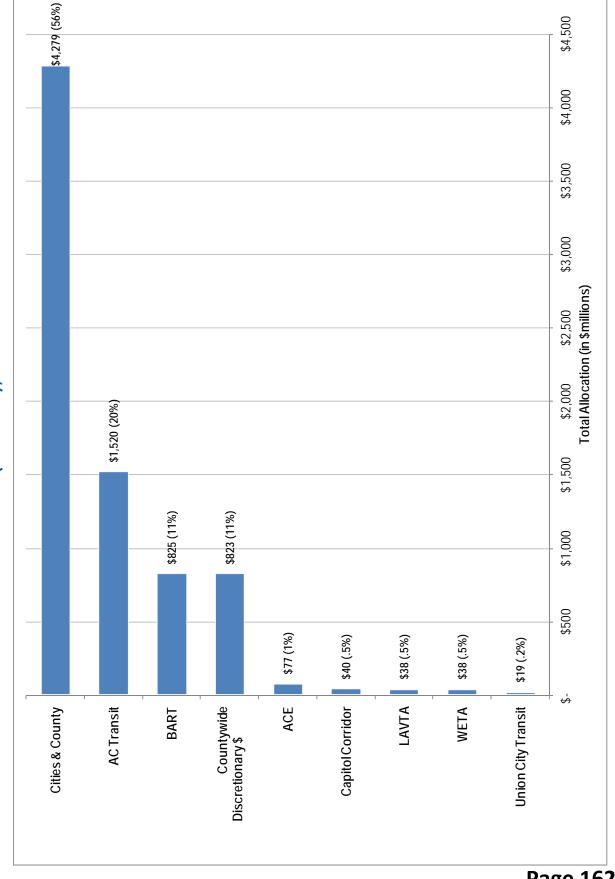
⁵ Funding for capital projects in this category may also be used for improvements on other freight or highway projects of significance in the county.

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FIGURE 5. SUMMARY OF NEW MEASURE FUNDING (2013-42), BIKE/PED, LAND USE, AND TECHNOLOGY⁶

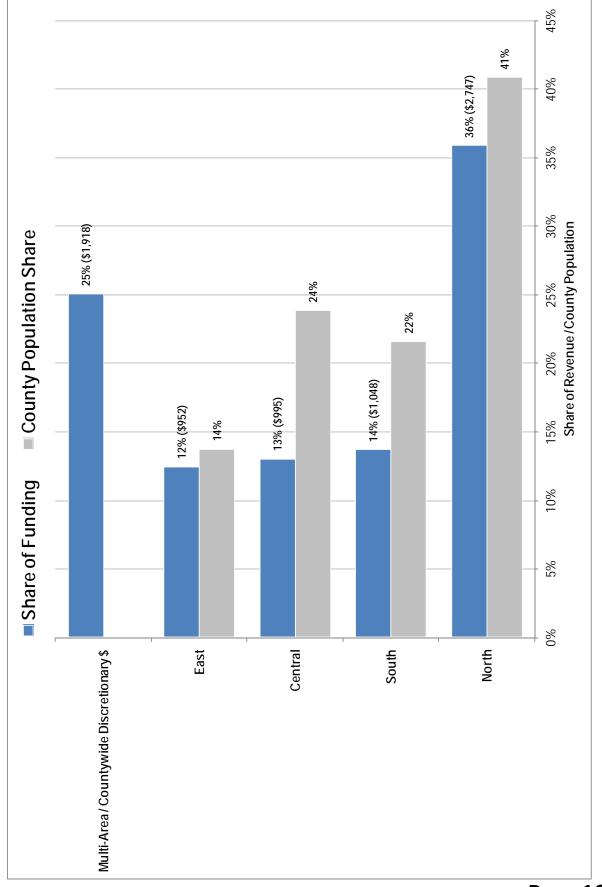
Mode Investment Category Project/Program Richard Rest Bay Samount Routing Samount Routing Samount Routing Samount Routing Samount	Project/Program Project/Program Robert R	Mode Investment Category Registration Regis							
Bicycle and Pedestrian Pedestrian Genemoay/Libration (Greenway/Libration of Diffes and County) 5 a 7,40 to 10 4 0,80% 8 5,80% 100 0% 4 0,80% 100 0% 4 0,80% 100 0% <td> Bicycle and Pedestrian Capa Course on There Major Trails: fron Horse, Bay Trail and East Bay S. 244.00 40.8% </td> <td> Bicycle and Percisstran Gage Closure on Three Majer Traits. fron Horse, Bay Trail and East Bay 5 264 00 40.8% </td> <th></th> <td>Mode</td> <td>Investment Category</td> <td>Project/Program</td> <td>\$ Amount (millions)</td> <td>% of Modal Funds</td> <td>% of Total Funds</td>	Bicycle and Pedestrian Capa Course on There Major Trails: fron Horse, Bay Trail and East Bay S. 244.00 40.8%	Bicycle and Percisstran Gage Closure on Three Majer Traits. fron Horse, Bay Trail and East Bay 5 264 00 40.8%		Mode	Investment Category	Project/Program	\$ Amount (millions)	% of Modal Funds	% of Total Funds
Pedestrian (8%) Infrastructure & Safety) Bike and Pedestrian direct allocation to Cities and County \$ 123.79 35.5% 1 TOTAL North County Ade, 98 100.0% 100.0% Sustainable Lang principle Land Use & Investments* An	Prediction Infrastructure & Safety Bite and Pedestrian grant program for regional projects and train maintenance \$ 153.19 23.7%	Pedestrian (R%) Infrastructure & Safety Bite and Pedestrian grant program for regional projects and trail maintenance \$ 28.97 35.89 (R%) TOTAL North County North County 153.19 23.7% 153.19 23.7% Sustainable Land Use & Inspectional Angelian BART Power of County (Transis Instructure Proportional Power of County Development Area (PDA) Contract County Development Area (PDA) Special County Development Area (PDA) Contract County Development Area (PDA) Special County Development Area (PDA) Contract County Development Area (PDA) Contract County Development Area (PDA) Special County Development Area (PDA) Contract County Development Area (PDA) Special County Area		Bicvcle and	Bicycle and Pedestrian	Gap Closure on Three Major Trails: Iron Horse, Bay Trail and East Bay Greenway/UPRR Corridor		40.8%	3.4%
(9%) TOTAL Bike and Pedestrian grant program for regional projects and trail maintenance \$ 153.19 23.7% TOTAL North County Add-State PDA Add-State PDA Add-State PDA 100.0% Lock Sustainable (TOD) Intrastic oriented Development Area (PDA) Transit charles Development Area (PDA) Contract County Specific Plan implementation Broadway Valdez Specific Plan implementation Broadway Valdez Specific Plan transit Center PDA 1 98.50 1 98.50 Land Use & Intrastic oriented Development Area (PDA) Central County Central County Specific Plan implementation Broadway Valdez Specific Plan implementation County S 69.00 Sustainable (Transit oriented Development Area (PDA) Central County S 22.50 S 22.50 Initiation or County (TVS) East County (Most Dount) Instructure improvements: Demonstrate Instructure improvements: Demonstrate Development Broad Downloam Dublin TOD S 229.79 43.4% ToTAL TOTAL Technology, Innovation, and Development program Technology, Innovation, and Development program \$ 76.60 100.0%	TOTAL North County Total maintenance State 18319 23.7%	TOTAL North County Total North County Sustainable Total North County Total North County Calisam/Oblated Alipori BART: West Oakland PDA/TOD Transit Enhancements: Assured Transit Center PDA Transition Transit Center PDA Tra	_	Pedestrian	Infrastructure & Safety	Bike and Pedestrian direct allocation to Cities and County		35.5%	3.0%
TOTAL North County North County North County	TOTAL North County Coliseum/Colarated Mapor BART. West Oakland PDATOD Transit Enhancements: Nachrithus BART PDAJOTOD Transit Enhancements: Transit Center PDA Transit Center and BART Plaza and Transit Area Transportation Transit Center and BART Plaza and Transit Area Transportation Towntown San Leandro 10D. Bay Fair BART Transit Village: San Leandro City South County BART Warm Springs West Side Access Improvements: Premont Boulevard Towntown San Leandro 10D. Bay Fair BART Transit Village: San Leandro City South County BART Warm Springs West Side Access Improvements: Durbarton TOD Infrastructure Improvements Sustainable Transportation Sustainable Transportation Tor NAL Tor NAL Tor NAL Tor NAL Tor NAL Tor NAL Technology. Innovation, and Development program Technology. Innovation and Development program Technology Technology. Innovation sand Development program Technology Technology. Innovation and Development program Technology the sand pedestrian funding are based on county population share. All population numbers from 2010 Census. Allocations may change in future based on changes in demographics. The Population of the PDA or TOD projects of significance in the county.	TOTAL North County Coliseum/Dakland Mark Toward Easthour Transit Criticar PDA Transit Christor PDA Transit Chris	_	(%8)		Bike and Pedestrian grant program for regional projects and trail maintenance		23.7%	2.0%
North County Coliseum/Oakland Airport BART: West Oakland PDA/TOD Transit Enhancements: Coliseum/Oakland Airport BART: West Oakland PDA/TOD and Station Capacity Transit Daw Fipering Development Area (PDA) Central County Contract County Contract Use & Transit Center and BART Transit Village: San Leandro City Crob) Infrastructure Crob) Infrastructure Sustainable Transportation (7%) Sustainable Transportation County County County County County County County Sustainable Transportation County Count	Sustainable (7%) Franchicology Technology Technology, Innovation, and Development and Develop	Colseau/Chaland Alphort BART: West Oakland PDA/TOD Transit Enhancements: Colseau/Chaland Alphort BART: West Oakland PDA/TOD Transit Enhancements: Colseau/Chaland Alphort BART PDA/TOD Transit Enhancements: Colseau/Chaland Alphort BART PDA/TOD Transit Center PDA Carrier Country Development Area (PDA) Carrier Country Countr	_		TOTAL			100.0%	8.4%
Sustainable Land Use & Transit enhancements Privated Day Transit Enhancements: Easthorn Transit Center and BART Plaza and Transit Herbancements. Easthorn Transit Enhancements: Easthorn Enabled Poly Transit Enhancements: Easthorn Enable Privative Development Area (PDA) Sustainable Land Use & Transit oriented Development Area (PDA) Transit Enhancements: Transit Center and BART Plaza and Transit Area (PDA) Transit Enhancements: Ase Merriti Specific Plan transit Center and BART Plaza and Transit Area (PDA) Transit Enhancements: Transit Center and BART Plaza and Transit Area (PDA) Central County Sustainable Transportation (7%) Sustainable Transportation Sustainable Transportation TOTAL Tothology, Innovation, and Development program Toth Development Toth Technology, Innovation, and Development program Toth Technology Technology, Innovation, and Development program Toth Technology Technology, Innovation, and Development program Toth Technology Technology, Innovation, and Development program Toth Tothology, Innovation, and Development program Tothology Technology Technology, Innovation, and Development program Tothology	Sustainable Transl of provide the Povelopment Area (PDA) Transportation (7%) Transportation (7%) Technology, Innovation, and Development and Explanation Change Program Torring Properties Sustainable Transportation (7%) Transportation Torring Development Area (PDA) Transportation Transportation (7%) Transportation Transportation Transportation Torring Development	Sustainable Transportation Tr	_			North County			
Sustainable (ToD) Infrastructure Land Use & Investments' Transportation (7%) Transit-oriented Development Area (PDA) Central County County Crop Infrastructure Downtown San Leandro TOD: Bay Fair BART Transit Village; San Leandro City StreetScape StreetScape StreetScape StreetScape StreetScape Union City Intermodal Infrastructure Improvements; Dumbarton TOD StreetScape Union City Intermodal Infrastructure Improvements; Dumbarton TOD StreetScape StreetScape Union City Intermodal Infrastructure Improvements; Dumbarton TOD StreetScape Str	Sustainable (Transtructure County (1%) Central County County Count County Cou	Sustainable (ToD) Infrastructure (TOD) Infrastructu				Coliseum/Oakland Airport BART; West Oakland PDA/TOD Transit Enhancements; MacArthur BART PDA/TOD Transit Enhancements; Eastmont Transit Center PDA Transit Enhancements; Lake Merritt Specific Plan Implementation; Broadway Valdez Specific Plan transit access; 19th St TOD; Ashby BART TOD and Station Capacity Expansion; Downtown Berkeley Transit Center and BART Plaza and Transit Area Improvements			
Sustainable (TOD) Infrastructure Levetopment (TOD) Infrastructure Land Use & Investments' Streetscape (TOD) Infrastructure South County Transportation (7%) RART Warm Springs West Side Access Improvements; Fremont Boulevard Streetscape; Union City Intermodal Infrastructure Improvements: Dumbarton TOD Infrastructure Improvements Dumbarton TOD Infrastructure Improvements Dumbarton TOD Infrastructure Improvements Dumbarton TOD Sustainable Transportation Sustainable Transportation Linkages Program TOTAL TOTAL Technology, Innovation, and Development program (1%) Technology Technology, Innovation, and Development program (1%) Technology Technology Technology Innovation, and Development program (1%)	Sustainable (10) Investments Development (10) East Early (10)	Sustainable (1991) infrastructure control Downtown San Leandro TOD: Bay Fair BART Transit Wilage: San Leandro City 5 6900 Transportation (1792) Infrastructure incestments	_		Priority Development Area (PDA)	Central County			
Transportation (7%) RART Warm Springs West Side Access Improvements; Fremont Boulevard Streetscape. Union City Intermodal Infrastructure Improvements; Dumbarton TOD Infrastructure Improvements. Du	Transportation (7%) BART Warm Springs West Side Access Improvements: Fremont Boulevard Streetscape: Union City Intermodal Infrastructure Improvements: Dumbarton TOD West Dublin and Downtown Dublin TOD Sub-total Sub-to	Transportation (7%) BART Warm Springs West Side Access Improvements: Fremont Boulevard Streetscape: Union City Intermodal Infrastructure Improvements: Dumbarton TOD Infrastructure Improvements: Dumbarton TOD Substainable Transportation Sustainable Transportation Infrastructure Improvements: Dumbarton TOD Substainable Transportation Sustainable Transportation Infrastructure Improvements: Dumbarton TOD Substainable Transportation Sustainable Transportation Infrastructure Improvements Sustainable Transportation Infrastructure Improvements: Dumbarton Substainable Transportation Linkages Program Infrastructure Improvements Sustainable Transportation Sustainable Transportation Sustainable Transportation Infrastructure Improvements Substainable Transportation Sustainable T		Sustainable	/ I ransit-orientea Development (TOD) Infrastructure	Downtown San Leandro TOD; Bay Fair BART Transit Village; San Leandro City Streetscape			
Technology Innovation, and Development Boulevard Street Side Access Improvements; Fremont Boulevard Street Scape; Union City Intermodal Infrastructure Improvements; Dumbarton TOD Infrastructure Improvements	Part Marm Springs West Side Access Improvements; Dumbarton TOD Infrastructure Improvements; Dumbarton ToD Inf	Company BART Warm Springs West Side Access Improvements: Dumbarton TOD Intrastructure Improvements: Dumbarton Substitution Intrastructure Improvements: Dumbarton Intrastructure Internation Substitution Intrastructure Internation Substitution Intrastructure Internation Substitution Intrastructure Internation Substitution Intrastructure Improvements on other PDA or TOD projects of significance in the county.	_	Transportation		South County			
TechnologyEast CountyEast CountyEast CountyEast CountyWest Dublin and Downtown Dublin TOD\$ 10.0Sustainable Transportation\$ 229.7943.4%Linkages ProgramSustainable Transportation Linkages Program\$ 229.7943.4%TOTALToTAL\$ 529.79100.0%	East County West Dublin and Downtown Dublin TOD \$ 10.0 Sustainable Transportation Sub-total \$ 300.00 56.6% TOTAL TOTAL \$ 529.79 43.4% Technology, Innovation, and (1%) Technology, Innovation and Development program \$ 76.60 100.0%	East County West Dublin and Downtown Dublin TOD Substainable Transportation Substainable	_	(%)		BART Warm Springs West Side Access Improvements; Fremont Boulevard Streetscape; Union City Intermodal Infrastructure Improvements; Dumbarton TOD Infrastructure Improvements			
West Dublin and Downtown Dublin TODSub-total\$ 300.00\$ 56.6%Sustainable Transportation\$ sustainable Transportation\$ 229.7943.4%ToTALToTAL\$ 529.79100.0%Technology, Innovation, and (1%)Technology, Innovation, and Development\$ 76.60100.0%	West Dublin and Downtown Dublin TOD \$ 10.0 Sustainable Transportation Sustainable Transportation \$ 300.00 56.6% ToTAL ToTAL \$ 529.79 43.4% Technology, Innovation, and (1%) Technology, Innovation, and Development program \$ 76.60 100.0% * All allocations for bike and pedestrian funding are based on countly population share. All population numbers from 2010 Census. Allocations may change in future based on changes in demographics.	Sustainable Transportation Sustainable Tr	_			East County			
Sustainable TransportationSub-total\$ 300.0056.6%Sustainable TransportationSustainable TransportationSustainable Transportation Linkages Program\$ 229.7943.4%TOTALToTAL\$ 529.79100.0%TechnologyTechnology, Innovation, and Development Program\$ 76.60100.0%	Sustainable Transportation \$ ub-total \$ soo.00 56.6% Linkages Program Linkages Program \$ 229.79 43.4% ToTAL ToTAL \$ 529.79 100.0% Technology Technology, Innovation, and (1%) Technology, Innovation, and Development program \$ 76.60 100.0% **A 14% **ToTAL **ToTAL **ToTAL **ToTAL **ToTAL **Tothology, Innovation, and Development program **Tothology, Innovation, and Development program **Tothology innovation and Deve	Sustainable Transportation Sustainable Transportation Linkages Program Linkages Program Linkages Program TOTAL Technology, Innovation, and Technology, Innovation, and Development program (1%) Funding for projects in this category may also be used for improvements on other PDA or TOD projects of significance in the county.	_			West Dublin and Downtown Dublin TOD			
Sustainable Transportation Linkages ProgramSustainable Transportation Sustainable Transportation\$ 229.7943.4%ToTALToTAL\$ 529.79100.0%Technology (1%)Technology, Innovation, and Development program\$ 76.60100.0%	Sustainable Transportation Sustainable Transportation \$ 229.79 43.4% Linkages Program TOTAL \$ 529.79 100.0% Technology, Innovation, and (1%) Technology, Innovation, and Development program \$ 76.60 100.0% 6 All allocations for bike and pedestrian funding are based on county population share. All population numbers from 2010 Census. Allocations may change in future based on changes in demographics. 5 76.60 100.0%	Sustainable Transportation Sustainable Transportation Sustainable Transportation 43.4% Linkages Program TOTAL \$ 529.79 100.0% Technology Technology, Innovation, and (1%) Technology, Innovation, and Development Development Development \$ 76.60 100.0% ** Total Technology Innovation, and Development	_			Sub-total		%9.95	3.9%
TOTAL \$ 529.79 100.0% Technology, Innovation, and Development program \$ 76.60 100.0% Technology Technology Development Total Tota	Technology, Innovation, and (1%) Technology, Innovation, and Development program \$ 529.79 100.0% ** Funding for projects in this category may also be used for improvements on other PDA or TOD projects of significance in the county. ** 76.60 100.0% ** Tothnology, Innovation, and Development program ** Tothnology, Innovation, and Development program	Technology (1%) Technology, Innovation, and Development program \$ 529.79 100.0% Technology (1%) Technology, Innovation, and Development program \$ 76.60 100.0% 6 All allocations for bike and pedestrian funding are based on countly population share. All population numbers from 2010 Census. Allocations may change in future based on changes in demographics. 7 Funding for projects in this category may also be used for improvements on other PDA or TOD projects of significance in the countly.			Sustainable Transportation Linkages Program			43.4%	3.0%
Technology Technology, Innovation, and Technology, Innovation, and Development program Technology, Innovation, and Development Technology, Innovation, and Development	Technology Technology, Innovation, and Technology, Innovation, and Development program \$ 76.60 100.0% (1%) Development Development Technology, Innovation, and Development Development Technology, Innovation, and Development Development Technology, Innovation, and Development Technology, Innovation	Technology (1%) Development Technology, Innovation, and Development program \$ 76.60 100.0% (1%) Development Technology, Innovation, and Development program \$ 76.60 100.0% (1%) Development Technology, Innovation, and Development Technolo	Ī		TOTAL			100.0%	%6.9
	• All allocations for bike and pedestrian funding are based on county population share. All population numbers from 2010 Census. Allocations may change in future based on changes in demographics. 7 Funding for projects in this category may also be used for improvements on other PDA or TOD projects of significance in the county.	• All allocations for bike and pedestrian funding are based on county population share. All population numbers from 2010 Census. Allocations may change in future based on changes in demographics. 7 Funding for projects in this category may also be used for improvements on other PDA or TOD projects of significance in the county.	Page		Technology, Innovation, and Development	Technology, Innovation, and Development program		100.0%	1.0%
				⁷ Funding for projects in	this category may also be used for improve	ements on other PDA or TOD projects of significance in the county.			

FIGURE 6. TOTAL NEW MEASURE FUNDING (2013-42), BY AGENCY®



8 "Countywide Discretionary \$" includes Transit Innovative Grant, TOD/PDA, Technology, Freight / Economic Development, and Paratransit Gap Grant programs to be allocated in the future.

FIGURE 7. SHARE OF TOTAL NEW FUNDING (2013-42), BY PLANNING AREA®



9 "Multi-Area / Countywide Discretionary \$" includes Transit Innovative Grant, East Bay Paratransit, Paratransit Gap Grant, TOD/PDA, Technology, and Freight / Economic Development programs to be allocated in the future.

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MEASURE B VS. MEASURE B + "AUGMENT AND EXTEND" SALES TAX MEASURE **REVENUE COMPARISON IN FY 2013-14:**

FIGURE 8. SINGLE YEAR PROGRAM TOTAL (FY 2013-14): EXISTING MEASURE B VS. EXISTING MEASURE B + NEW 1/2 CENT TAX PROGRAMMATIC SPENDING ONLY

	A	В	O		O	Е	ட	9		土		_
		Current 1/2 Cent	1/2 Cent			New 1/2 Cent	Sent			Total \$	↔	%
	Program	Recipient	%	(mil	\$ (millions)	Recipient	%	\$ (millions)	ns)	(millions)		Increase
A	Mass Transit: Operations, Maintenance, and Safety	MASS TRANSIT TOTAL	21.92%	\$	23.53	MASS TRANSIT TOTAL	%00.02	\$	21.36	\$	44.89	91%
В	Local Streets and Roads	LS & R TOTAL	22.34%	\$	23.98	LS & R TOTAL	20.00%	\$ 2	21.36	\$ 4	45.34	%68
O	Specialized Transportation for Seniors and Persons with Disabilities	SPECIALIZED TOTAL	10.45%	\$	11.22	SPECIALIZED TOTAL	10.00%	↔	10.68	\$	21.90	%26
Ω	Bicycle and Pedestrian Safety	BIKE AND PED TOTAL	2.00%	\$	5.37	BIKE AND PED TOTAL	2.00%	↔	5.34	\$ 10	10.71	%66
Ш	Sustainable Transportation and Local Land Use Linkages (currently Transit Center Development)	Grant funds to encourage development near transit centers.	0.19%	\$	0.20	Grant funds for PDA/TOD infrastructure and Trans. / Land Use	3.00%	↔	3.20	∽	3.41	1571%
4	Technology, Innovation and Development	N/A	%00.0	\$	1	Grant funds to encourage efficiency through development of new technologies and emerging projects	1.00%	\$	1.07	⇔	1.07	N/A
Ŋ	Freight and Economic Development	N/A	%00.0	↔	•	Grant funds to implement projects that increase efficiency and environmental efficacy of goods movement	1.00%	↔	1.07	⇔	1.07	N/A
	TOTALS		29.90%	\$	64.31		%00.09	9 \$	64.08	\$ 128	128.39	100%

FIGURE 9. LOCAL STREETS & ROADS PROGRAM DOLLARS IN FY 2013-1410

		e e																
_	%	Increase	%88	%88	%88	%88	%88	%88	%88	%88	%88	%88	%88	%88	%88	%88	103%	
	Total \$	ons)	2.79	0.67	4.67	0.65	0.42	3.61	3.52	1.58	0.73	16.64	19.0	1.25	2.19	1.15	4.80	
工	Tot	(millions)	\$	\$	\$	\$	\$	\$	\$	\$	\$	\$	\$	\$	\$	\$	\$	
9		\$ (millions)	1.30	0.31	2.18	0.30	0.19	1.68	1.64	0.74	0.34	7.77	0.31	0.58	1.02	0.54	2.44	
	<u>.</u>	mill)	\$	↔	\$	\$	\$	\$	\$	\$	\$	\$	\$	↔	↔	↔	\$	
ъ	New 1/2 Cent	%								20.00%								
Е	Nev	Recipient	Alameda	Albany	Berkeley	Dublin	Emeryville	Fremont	Hayward	Livermore	Newark	Oakland	Piedmont	Pleasanton	San Leandro	Union City	Alameda County	
		ons)	1.49	0.36	2.49	0.35	0.22	1.92	1.88	0.84	0.39	8.88	0.36	19.0	1.17	0.61	2.36	
D	ınt	\$ (millions)	\$	\$	\$	\$	\$	\$	\$	\$	\$	\$	\$	\$	\$	\$	\$	
Э	Current 1/2 Cent	%								22.34%								
В	Curr	Recipient	Alameda	Albany	Berkeley	Dublin	Emeryville	Fremont	Hayward	Livermore	Newark	Oakland	Piedmont	Pleasanton	San Leandro	Union City	Alameda County	
А	Program	50								Local Streets	and Roads							•
											מ							

98
89
99
10 All baseline revenue for existing Measure B and new measure is projected in YOE \$s. Revenue is minus admin fees (4.5% for current measure and 5% for new measure). All current Measure B calculations AND new allocations by planning area FIRST and THEN allocates based 50% on population share and 50% on road miles. All population data from 2010 Census. Road mile data from "2009 Maintained Mileage Estimates by Jurisdiction." Allocations may change in future based on changes in demographics and road miles.

FIGURE 10. TRANSIT & SPECIALIZED TRANSIT PROGRAM DOLLARS IN FY 2013-14¹¹

	A	В	O		D	Э	4	9		ェ		_	
	Secretary	Curre	Current 1/2 Cent	=		New	New 1/2 Cent	<u>.</u>		Total \$	↔	%	
	Plogram	Recipient	%	(m	\$ (millions)	Recipient	%	\$ (millions)	(Suc	(millions)		Increase	
		AC Transit	17.29%	∨	18.56	AC Transit	15.00%	↔	16.02	\$ 34	34.58	%98	1
		ACE	2.12%	∨	2.28	ACE	1.00%	↔	1.07	\$	3.34	47%	1
		Alameda Ferries	0.78%	∨	0.84	WETA	0.50%	\$	0.53	\$	1.37	64%	r
A	Mass Transit: Operations, Maintenance, and	Express Bus Countywide	0.70%	↔	0.75	Innovative Project Grants, including potential youth transit passes	2.75%	↔	2.94	\$	3.69	391%	1
	sarety	LAVTA	%69.0	\$	0.74	LAVTA	0.50%	\$	0.53	\$ 1	1.27	72%	
		Union City Transit	0.34%	\$	0.37	Union City Transit	0.25%	\$	0.27	0 \$	0.63	73%	1
		MASS TRANSIT TOTAL	21.92%	\$	23.53	MASS TRANSIT TOTAL	20.00%	\$	21.36	\$ 44	44.89	%16	
		Non-Mandated (to Planning Areas)	3.39%	↔	3.64	Non Mandated (to Planning Areas)	3.00%	↔	3.20	9 \$	6.84	%88	
	Specialized	East Bay Paratransit - AC Transit	4.14%	↔	4.44	East Bay Paratransit - AC Transit	4.50%	\$	4.81	6 \$	9.25	108%	
O	Seniors and Persons	East Bay Paratransit - BART	1.49%	∨	1.60	East Bay Paratransit - BART	1.50%	↔	1.60	\$	3.20	100%	1
	Will Disabilities	Coordination and Gap Grants	1.43%	∨	1.54	Coordination and Gap Grants	1.00%	↔	1.07	\$	2.60	%0/	1
P		SPECIALIZED TOTAL	10.45%	↔	11.22	SPECIALIZED TOTAL	10.00%	\$	10.68	\$ 21	21.90	%26	

¹¹ All baseline revenue for existing Measure B and new measure is projected in YOE \$s. Revenue is minus admin fees (4.5% for current measure and 5% for new measure). All current Measure B calculations for new measure based on proposed % splits for transit.

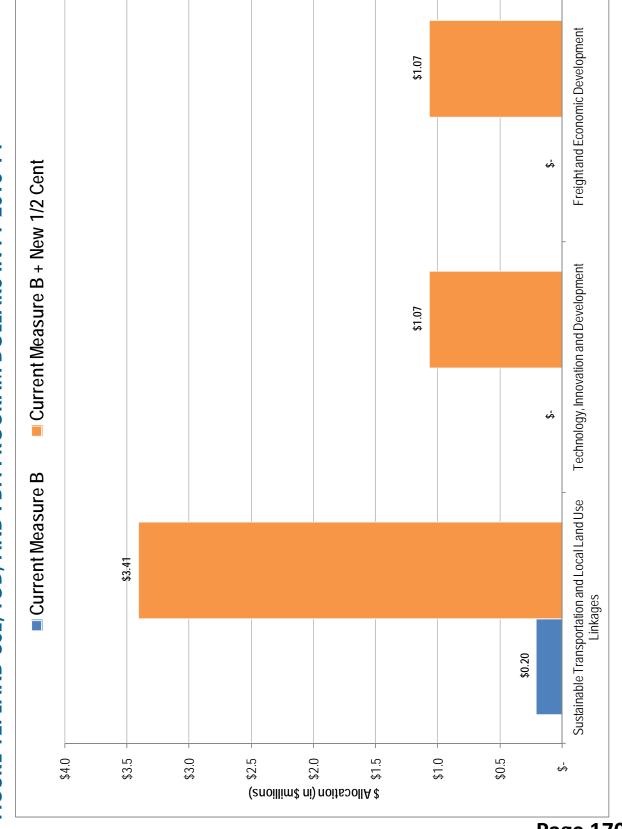
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FIGURE 11. BIKE AND PEDESTRIAN PROGRAM DOLLARS IN FY 2013-14¹²

_	%	Increase	80%	%08	80%	%08	%08	%08	%08	80%	%08	%08	%08	%08	%08	%08	%08	%08	159%	%66
	Total \$	(millions)	0.35	0.09	0.54	0.22	0.05	1.02	69.0	0.39	0.20	1.87	0.05	0.34	0.41	0.33	0.68	7.23	3.48	10.71
	<u>1</u> 0	(mil	\$	\$	\$	\$	\$	\$	\$	\$	\$	\$	\$	\$	\$	\$	\$	↔	↔	↔
9		\$ lions)	0.16	0.04	0.24	0.10	0.02	0.45	0.31	0.17	0.09	0.83	0.02	0.15	0.18	0.15	0.30	3.20	2.14	5.34
		\$ (millions)	\$	↔	\$	↔	↔	↔	↔	\$	↔	↔	↔	↔	↔	\$	\$	↔	↔	∨
F	New 1/2 Cent	%									3.00%								2.00%	2.00%
Е	New	Recipient	Alameda	Albany	Berkeley	Dublin	Emeryville	Fremont	Hayward	Livermore	Newark	Oakland	Piedmont	Pleasanton	San Leandro	Union City	Alameda County	Cities & County Sub-total	Regional planning / projects grants (1% for trails maint.)	BIKE AND PED TOTAL
D		\$ (millions)	\$ 0.20	\$ 0.05	\$ 0.30	\$ 0.12	\$ 0.03	\$ 0.57	\$ 0.38	\$ 0.22	\$ 0.11	\$ 1.04	\$ 0.03	\$ 0.19	\$ 0.23	\$ 0.19	\$ 0.38	\$ 4.03	\$ 1.34	\$ 5.37
C	Current 1/2 Cent	%									3.75%								1.25%	2.00%
В	Cr	Recipient	Alameda	Albany	Berkeley	Dublin	Emeryville	Fremont	Hayward	Livermore	Newark	Oakland	Piedmont	Pleasanton	San Leandro	Union City	Alameda County	Cities & County Sub-total	Regional planning / projects grants	BIKE AND PED TOTAL
A	Si Caro	riogiaiii										Bicycle and	Pedestrian	Safety						
													O							

¹² All baseline revenue for existing Measure B and new measure is projected in YOE \$s. Revenue is minus admin fees (4.5% for current measure and 5% for new measure). All allocations by jurisdiction for both current and new measure are based on population share of county. All population numbers from 2010 Census. Allocations may change in future based on changes in demographics.

FIGURE 12. LAND USE, TOD, AND PDA PROGRAM DOLLARS IN FY 2013-14¹³



13 All baseline revenue for existing Measure B and new measure is projected in YOE \$s. Revenue is minus admin fees (4.5% for current measure and 5% for new measure).

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Commission		#e#				
Meeting Date	Item Title	#	Item Description / Staff Recommendation	Motion	2nd	Vote
	Approval of Designation of the Existing ACTIA and ACCMA Executive Directors as Interim Co-Executive Directors	-	The Commission approved to designate the existing Executive Directors of ACTIA and ACCMA as interim co-executive directors of the Commission, to serve until an executive director is hired for the Alameda CTC.	Henson	Reid	23-0
	Adoption of the Administrative Code	7	The Commission approved the adoption of the Administrative Code with changes to Section 4.5.	Harper	Starosciak	23-0
•	Approval of the Transit Agency Fees	က	The Commission approved the Ad Hoc Committee on Merger's recommendations to assess AC Transit and BART and Alameda CTC fee.	Haggerty	Sbranti	23-0
1	Appoint/Reappoint Countywide Transportation Plan Update and Sales Tax Reauthorization Steering Committee Members	4	Staff recommend that the Commission appoint and/or reappoint members of the Countywide Transportation Plan Update and Sales Tax Reauthorization Steering Committee.	Kamena	Lai-Bitker	24-0
•	ACCMA Executive Director's Report	5.2	Staff informed the Commission that the ACCMA will submit to the register of voters the vehicle registration fee on July 23. The Commission asked if the ballot measure states that the money will stay local. The Commission authorized staff to add the word local if it's not on the ballot.	Kaplan	Javandel	25-0
	Approval of Consent	6.1	Approval of ACTIA Board Meeting Minutes of June 24, 2010	Haggerty	Henson	25-0
	Calendar	6.2	Approval of ACCMA Board Meeting Minutes, June 24, 2010			
		6.3.1	, ,			
		6.3.2	· · · · · ·			
		6.3.3				
		6.4.1	Approval of Final Countywide Safe Routes to Schools Program.			
		6.4.3	<u> </u>			

		6.5.1	Transportation and I and Use Program - Approval of Transit Oriented			
			Development Quarterly Update			
		6.5.2	Approval of Safe Routes to School Final Program			
		6.6.1	Quarterly Investment Report			
		6.6.2	Quarterly SBE, LBE, and DBE Reports			
		6.6.3	Route 84/Ardenwood Blvd Park and Ride Lot Project - (Regional Measure 2 Project 29.5) - Acceptance of Construction Contract			
		6.6.4	I-680 Express Lane Project - Authorization for ACCMA Director to			
			Negotiate and Execute Professional Services and Co-Location Contracts			
	ACTIA ALF Legislative Program	9.1	Staff recommended that the Commission support AB 2147 which was amended	Henson	Kamena	25-0
	ACCIMA PPC	10.1	The Commission approved the final CMA Block Grant program (Local	Henson	Kamena	25-0
	new Federal Act Program: Approval of Final CMA Block Grant Program		Streets and Roads, Regional Bicycle Program, and County Transportation of Livable Communities)			
	Approval of Transportation Fund for Clean Air (TFCA): FY 2010/11 Final Program	10.2	The Commission approved the TFCA FY 2010/11 final program and to authorize the Executive Director to execute any necessary agreements related to this programming	Wieckowski	Freitas	25-0
	Approval of Amendment Request: Transportation Enhancement (TE) funds for the LAVTA Rideo Bus Project	10.3.1	The Commission approved the programming of \$200,000 in STIP TE funds for LAVTA's Rideo Bus Project	Kamena	Haggerty	25-1
	Approval of 2010 STOP	10.3.2		Reid	Haggerty	25-1
	Update: BART Oakland Airport Connector Project		Alameda County STIP project list: 1) Reprogram \$10 million in STIP funds from the I-880 Safety and Operational Improvements at 23rd/29th projects to the BART OAC project. 2) Reprogram \$10 million in STIP funds from the I-880 Mission Blvd. i/c projects to the BART OAC			
9-Aug-10	Report on Closed Session	9	The Commission chose Arthur Dao as the Executive Director for the Alameda CTC			17-0
23-Sep-10	Approval of Consent	14	Minutes of July 22, 2010	Henson	Reid	19-0
	Calendar	1B	Minutes of August 9, 2010			
		10	Approval of the 2010 LOS Monitoring Study Draft Report			
		1D	Review of the I-80 Integrated Corridor System Management Plan			
		1E	Review of the I-880 Corridor System Management Plan			
		1	Approval of Transportation and Land Use Program: Revised Priority Development Area Reporting			
		16	Review of Projects Proposed for the FY 2010/11 Transportation Funds for Clean Air (TFCA) Remaining Program Balance			

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	26-0	el 27-0	k 27-0	a 23-0
	Kaplan	Javandel	Blalock	Kamena
	Lai-Bitker	Kamena	Haggerty	Henson
East 14th Street/Hesperian Blvd/15th Street Intersection Improvements - Approval of Amendment No. 2 to the Project Specific Funding Agreement with the City of San Leandro for Right-of-Way Support and Capital Phase 1-680/1-880 Cross Connector Studies - Approval of Amendment No. 3 to the Project Specific Funding Agreement with the Alameda County Congestion Management Agency for project scoping work Webster Street SMART Corridor - Approval of amendments to the funding agreements with the City of Alameda and MTC for the Webster Street SMART Corridor Project 1-880 Southbound HOV Lane Widening Project - Approval of Resolution 10-004 Authorizing the Executive Director to Execute Contracts for I-880 Southbound HOV Lane Widening Project - Approval of Amendment No. 1 to the Professional Services Agreement with Management Partners for administrative assistance during the transition of ACCMA and ACTIA to the new Alameda CTC Approval of Consultant Team for the Update of the CWTP and Development of the TEP Approval of Approval of Accida and Activative Advisory Committees Approval of ACTIA's semi-Annual LBCE/SLBE Report for the Period Langary 1, 2010 through June 30, 2010	The Commission approved staff's recommendation on the following positions for measures on the November ballot: (a) support Alameda County Measure F; (b) oppose Proposition 23; © support Proposition	The Commission approved Alameda CTC Resolution 10-003 accepting the public sponsor role for the Safe Routes to School Competitive Grant Application for the BikeMobile project, and to commit up to \$65,000 in matching funds for the \$500,000 request from the MTC SR2S competitive grant program.	The Commission approved ACTIA's FY 2009-10 Year-End Investment Report.	Minutes of September 23, 2010 Review of Draft Conformity Findings: Congestion Management Program (CMP) 2010 Approval of Executive Director Entering Into Agreement to Implement TOD/TAP Studies and Plans
± ± ± ± ± ± ± 0 ±	3A	44 4	24 24	5A 5B 5C
	Approval of Legislative Program	Approval of Alameda CTC Sponsorship for the SR2S Regional Application for the BikeMobile Project and Funding Strategy	Approval of Annual Investment Report (ACTIA)	Approval of Consent Calendar
				28-Oct-10

	2D	Approval of Funding Assistance for the Alameda County Public Works Agency Stanley Boulevard Safety and Streetscape Project			
	5E	Approval of Project Study Report/Project Initiation Document (PSR/PID) Priority List for Alameda County: Current and Projected Work Program			
	5F	Approval of Programming of the FY 2010/11 TFCA Program Remaining Balance			
	5G	Approval of TFCA Program Expenditure Deadline Extension Requests: 1) BART - Electronic Bicycle Lockers, TFCA Projects 07ALA06 and 08ALA02. 2) Alameda CTC - Webster Street Corridor Enhancements, TFCA Project 08ALA01. 3) City of Berkeley - 9th Street Bicycle Boulevard, TFCA Project 08ALA03			
	<u>T</u>	Approval of Monitoring Reports: State Transportation Improvement (STIP) Program at Risk Report Federal Surface Transportation/Congestion Mitigation and Air Quality STP/CMAQ) Program At Risk Report CMA Exchange Program Quarterly Status Report Transportation for Clean Air (TFCA) Program At Risk Report Transit Oriented Development Quarterly Progress Report			
	2	Authorization to Extend Four Measure B Grant Agreement End Dates			
	27	Acceptance of Semi-Annual Capital Projects Status Update			
	5 K	ACCMA Quarterly Financial Overview			
	2F	Approval of ACCMA's Quarterly SBE, LBE and DBE Report for the Period of July 2010 through September 30, 2010			
	2 <u>M</u>	Approval of Interagency Agreement Regarding Reimbursement and Allocation of Costs Associated with the Joint Operation of the Alameda County Transportation Commission (Alameda CTC), the Alameda County Transportation Improvement Authority (ACTIA) and the Alameda County Congestion Management Agency (CMA)			
	2N	Approval of appointments to the Community Advisory Committees			
Approval of Countywide Approach for Seeking Input on the Sustainable Communities Strategy (SCS)	78	The Commission approved a countywide approach for seeking input on and educating the Alameda County's elected bodies about SCS being developed by ABAG and MTC for the 2013 Regional Transportation Plan	Kaplan	Worthington	24-0
Approval of FY 2010-2011 Budget - First Quarter Update	98	The Commission approved the First Quarter budget update for FY 2010-11	Henson	Blalock	24-0

	Approval of a Comprehensive Benefits Program for Transition and Future Employees of the Alameda County Transportation Commission	86	The Commission approved a comprehensive benefit program for transition and new employees of the Alameda CTC.	Kamena	Blalock	20-3
2-Dec-10	Approval of Consent Calendar	2A	Minutes of October 28, 2010	Henson	Blalock	24-0
,		5B	Adoption of Conformity Findings for the 2010 Congestion Management Program			
		5C	Approval of Measure B Allocation to the PE/Environmental Phase and Approval of Amendment No. 3 to the Project Specific Funding Agreement with BART for the PE/Env Phase (A08-0048) for the I-580 Corridor/BART to Livermore Studies (ACTIA 26)	Kamena	Sbranti	24-0
		5D	Approval of ACTIA Congestion Relief Emergency Funds and ACCMA CMA TIP funds for the I-80 Integrated Corridor Mobility Project/San Pablo Avenue Arterial and Transit Improvement Project	Henson	Blalock	24-0
		5E	Approval of Measure B Congestion Relief Emergency Funds and CMA TIP funds for the I-880 North Safety and Operational Improvements at 23rd/29th Avenues Project			
		5F	Approval of CMA TIP funds to supplement budget for the I-580 San Leandro Soundwall Project			
		5G	Authorize the Executive Director to Execute the necessary Funding Agreements to deliver the Mission Boulevard/I-880 Interchange Phase 1B/2 Project I-880/Mission Boulevard (Route 26) Interchange Reconstruction (MB 196)			
	Approval of Financial	9A	Approval of First Quarter Budget Update for ACTIA Approval of Financial Audits for ACTIA	Harber	Henson	23-0
	Audits for ACTIA	5		5		2
	Approval of Financial Audits for ACTA	9B	Approval of Financial Audits for ACTA	Wieckowski	Sbranti	23-0
	Approval of Financial Audits for ACCMA	၁၉	Approval of Financial Audits for ACCMA	Henson	Haggerty	23-0
27-Jan-11	Approval of Consent	5B	Bicycle and Pedestrian Grant Funds:	Blalock		19-0
		5B1	Approval of Reallocation of Measure B Funds from the San Leandro Bay Trail Slough Bridge Project			
		5B2	Approval of Measure B Funds for Bike to Work and Step into Life Promotions and Bicycle/Pedestrian Count			

	ŀ				
	၁	Approval of Kevised Lifeline Transportation Program			
	2D	Approval of Countywide Safe Routes to School (SR2S) Program			
		Request for Proposals Release			
	2E	Review Transportation Fund for Clean Air (TFCA) Program FY			
		2011/12 Call for Projects Information			
	2F	Review of Project Study Report/PID Strategy for FY 2010/11			
	2G	Approval of Measure B Funding Allocation to the Construction Phase			
		of the I-238 Widening Project and Authorization for Executive Director			
		to Funding Agreements to reflect the Allocation (ACTIA 21)			
	2H	Approval of Measure B Funding Allocation and Other Necessary			
	· •	Actions to Obtain Designmental Ologopean for the ACTA Meaning			
		Actions to Obtain Environmental Clearance for the ACTIA Measure 5-			
		Tunded I-880/Broadway-Jackson Interchange Improvement Project			
		(ACIIA 10)			
	2	I-580 Eastbound Express Lane Project - Approval of the preparation			
		and issuance of a Request for Proposals (RFP) to obtain a System			
		Manager contract			
	2	I-580 Westbound Express Lane Project - Approval for Staff to Prepare			
		and Release a Request for Proposals (RFP) to Prepare a Project			
		Study Report			
	5K	Approval of I-580 San Leandro Soundwall Project Contract			
		Acceptance			
	2F	Approval of Revised ACTIA Sales Tax Budget for FY 201-11			
	2M	Approval of FY 2010-2011 Budget – Second Quarter Update for			
		ACCMA			
	2N	Approval of Interim Benefits Resolution			
	20	Approval of Agency Work Program and Executive Director's			
		Objectives for FY 2010-11			
	5P	Approval of Amendment No. 1 to the Professional Services	Harper	Kamena	19-0
		Agreement with the Bay Area Program Management Group, LLC			
		(A10-0017) for additional and deferred program and project			
		management services from previous fiscal year			
	5Q	Approval of the Consolidated Annually Renewed Contracts Plan for	Blalock		19-0
		Administrative Services for Fiscal Year 2011-12			
Approval of 2011	7A	Approval of schedule and summary of issues to address in the update	Reid	Haggerty	23-0
Congestion Management		of 2011 CMP			
Program (CAP) Update					
Schedule and Issues					

Approval of 2011 Alameda CTC Legislative Program	7D	Approval of the 2011 Alameda CTC Legislative Program that was approved in the PPLC meeting with the inclusion of seismic safety upgrades as part of the general funding priorities, and HOT lane implementation to include standardizing toll lane striping between state and federal requirements under project delivery priorities, and support of the Governor's budget on the gas tax swap.	Haggerty	Chiang	23-0
Approval to Interview Firms then Negotiate and Execute a Contract with the Topranked Firm for Public Opinion Research Services for Development of a Transportation Expenditure Plan	86	Approval of the top-ranked firm, EMC Research, Inc. for the Public Opinion Research Services for Development of a Transportation Expenditure Plan.	Harper	Blalock	23-0
Approval of Designation of the Alameda County Transportation Commission (Alameda CTC) Finance Director as the Treasurer/Auditor for the Alameda County Congestion Management Agency (ACCMA)	O 6	Approval of designation of the Alameda CTC Executive Director, Arthur Dao, and Finance Director, Patricia Reavey, as the officers authorized to order the deposit or withdrawal of monies in the Local Agency Investment Fund (LAIF) for ACCMA, ACTIA and ACTA.	Harper	Chiang	23-0
Calendar		Approve Allocation Deadline Extension for the City of Berkeley Bay Projects Regional Trail. 1-580 Undercrossing Project Approve Allocation Deadline Extension for the City of Berkeley Bay Requests: Approve Allocation Deadline Extension for the City of Oakland Coliseum BART Pedestrian Improvements Project Approve Allocation Deadline Extension for the City of Berkeley Bay Trail Extension Segment One Project Approve Allocation Deadline Extension for the City of Berkeley Bay Trail Extension Segment One Project Approve Allocation Deadline Extension for the City of Berkeley Bay Trail Extension Segment One Project Approve Allocation Deadline Extension for the Alameda CTC/ACCMA I-580 San Leandro Landscape Project			
	5G 5G1	Monitoring Reports: Approve State Transportation Improvement Program (STIP) At Risk Report			

	23-0	23-0
	Starosciak Haggerty	Kamena
	Kamena Reid	Henson
	Approval of staff recommendation to MTC's Call for Projects Process Approval of Staff recommendation to on California High Speed Rail	Minutes of February 24, 2011 Approval of the 2011 CMP Update: CMP Issues Review and Recommendations Approval of Amendment No. 1 to the On-Call Modeling Contract with Dowling Associates, Inc. and Extend Contract Expiration Date Approval of One Year Extension of Project Monitoring Contract with Advance Project Delivery Inc. (APDI) Approval of Certifications and Assurances for the Proposition 1B Public Transportation Modernization, Improvement, and Service Enhancement Account (PTMISEA) Program Review of Vehicle Registration Fee Program Status Approval of Deadline Extension for Environmental Clearance and/or Full Funding for Two Specific Capital Projects in the Measure B Transportation Sales Tax Program: Route 92/Clawiter-Whitesell Interchange and Reliever Route (ACTIA 15); and Dumbarton Rail Corridor (ACTIA 25) Approval of CMA TIP Funding for the East Bay SMART Corridor
562 563 564 564 564 564 564 564 564 564 564 564	7B 7E	58 56 56 56 56 56 56 56 56 56 56 56 56 56
	Approval of Staff Recommendation on MTC's Call for Projects Approval of Staff Recommendation on	Approval of Consent Calendar
		24-Mar-11

							21-2				0	22-0	22-0	23-0			24-0										
							Miley					Worthington	Green	Kamena			Worthington	ı									
							Worthington				-	Blalock	Blalock	Henson			Atkin										
Approval of Right of Way Transfer from ACTIA to Caltrans for ACTIA 12 - 1580/Castro Valley Interchanges Improvement Project Approval and Adoption of Fiscal Year 2011-2012 Alameda County	Congestion Management Agency's (ACCIMA) Member Agency Fee Schedule Approval of Loan Program Between the Alameda County	Transportation Authority (ACTA) and the Alameda County Congestion Management Agency (ACCMA)	Approval of the ACCMA's Small Business Enterprise (SBE) and Local Business Enterprise (LBE) Fiscal Year0to-Date Reports and	Disadvantaged Business Enterprise (DBE) Quarterly Report for the Period of Ortober 1, 2010 through December 31, 2010	Approval of ACTIA's Semi-Annual Local Business Contract Equity	Program Utilization Report of Local Business Enterprises and Small Business Enterprises for the Period of July 1, 2010 through December 31, 2010	Transportation Fund for Clean Air (TFCA) Programs:	Approval of Alameda CTC TFCA Program Guidelines	Approval of Alameda CTC TFCA Program FY 2011/12 Expenditure Plan	Review of Summary of the TFCA Applications Received for	ZOII/IZ Flugiaiii	Approval of Countywide Safe Routes to Schools Program Scope of Services and REP Implementation Timeline	Approval of appointments to the Community Advisory Committees	Approval of Staff Recommendation to support the following bills:	AB 57 (Beal) Metropolitan Transportation Commission	AJK 5 (Lowenthal) Transportation Revenues AB 1086 (Wieckowski) Transactions and use taxes	Minutes of March 24, 2011	Approval of 2011 Congestion Management Program (CMP) Update:	Recommendations for the CMP Level of Service Standards Regarding Roadway Network and Multimodal Level of Service	Approval of Draft FY 11/12 Measure B Strategic Plan Assumptions	Approval of Draft FY 11/12 TFCA Program	Approval of Gap Grant Funding and Grant Extensions	Approval of Three-Year Project Initiation Document Strategic Plan for	Alameda County	Approval of Resolution 11-008 Authorizing the Execution of Various	Funding Agreements Approval to Execute Master Agreement with California Highway Patrol	Approvatio Execute master agreement with Camonia ingliway I and
55	5M		2N		20		5F	5F1	5F2	5F3	ì	2 X	5P	7E			2A	2B		5C	2D	2E	2F		2G	T.C	5
							Approval of Items Pulled	trom Consent Calendar						Approval of Legislative	Positions		Approval of Consent	Calendar									
																	28-Apr-11										

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	2	Project Delivery Plans			
	511	Approval of Project Delivery Plan for I-680 Northbound HOV/Express			
		Earle Floject (ACTA flo. 6), and Allocation of Measure B furios for Preliminary Engineering/Environmental Phase			
	512	Approval of Project Delivery Plan for I-580 Westbound HOV/Express			
		Lane Project and Authorize Staff to Issue an RFP for a System Manager			
	2	Extension of Contracts			
	5J1	Approval of Amendment No. 1 to the Electronic Toll System			
		Development and Implementation Contract with Electronic			
		Transactions Consultants Corporation, to Extend Contract Expiration			
		Date for the Southbound I-680 HOV/Express Lane Project			
	572	Approval of Amendment No. 2 to the Center to Center Program			
		Communications Hub for the Tri-Valley SMART Corridor Project			
		(C2C) with DKS Associates, to Extend Contract Expiration Date			
	513	Approval of Amendment No. 4 to the I-680 Smart Carpool Lane Public			
		Education and Marketing with Solem & Associates, to Extend			
		Contract Expiration Date			
	574	Approval of Amendment No. 2 to the Contract with BKF Engineers,			
		Inc. to Prepare a PSR/PR for the I-880/Marina Blvd. Interchange			
		Improvements Project to Extend the Expiration Date			
	5K	Approval of Authorization to Award Construction Contract for I-80 ICM			
		Project - Traffic Operations System Project No. 3			
	2F	Approval of CMA TIP funds to supplement budget for the I-880			
		Southbound HOV Lane Project			
	2M	Approval of Authorization to Negotiate a System Manager Services			
		Contract and Amend the Design Contract for the San Pablo Corridor			
		Arterial and Transit Improvement Project No. 6 and the Traffic			
		Operations Systems Project No. 3 of the I-80 Integrated Corridor			
	Z Z	Mouliny Flyect Approved of Control Food Sologish to Brounds Broiset Controls			
	5	Apployal of Collocation (Percent to Floying Floying Floying Collinois and Distinct Delivery Management Services and Authorization to			
		Negotiate and Execute a Contract			
	20	Approval of Appointments to the Community Advisory Committees			
Approval of Legislative	78	Approval of Staff Recommendation to support the following bills:	Haggerty	Worthington	26-0
Positions					
		AB 153, 155, and SB 234 (Skinner, Calderon, Hancock) Expansions			
		of Sales Tax Collection from Internet Transactions			
		AB 147 (Dickinson) Subdivisions			
		AB 1308 (Miller) Highway Users Tax: appropriations of funds			
		SB 582 (Emmerson) Regional Commute Benefits Policy			
		HR 1123 (Richardson)			
		Approval of Staff Recommendation to oppose AB 392 (Alejo) Ralph	Haggerty	Green	22-4
		M. Brown Act: Posting Agendas	, , , , , , , , , , , , , , , , , , , ,		

. O		5	Approval of Vehicle Registration Fee Principles:	Haggerty	Reid	26-0
	Projects Committee Action		1. The structure of the Local Road Program component as 100%			
	Items		pass through			
			2. The inclusion of a 2-year timely use of funds requirement			
			3. The remainder of the principles recommended by PPC			
		8B	Approval for Acceptance of Semi-Annual Measure B Capital Projects	Harper	Reid	26-0
			Status Update and Approval of Funding Plans:			
			1. Acceptance of the semi-annual Measure B Capital Projects Status			
			Update for six remaining active projects from 1986 Measure B			
			Expenditure Plan and all of the Capital projects included in the 2000			
			Weasure B Expenditure Plan			
			2. Approval of the funding plans included in the project delivery			
			suffinalies for select capital projects being implemented printally by the Alameda CTC			
		8C	Approval for Acceptance of Semi-Annual ACCMA Capital Projects	Haggerty	Worthington	26-0
			Status Update and Approval of Funding Plans:			
			1. Accept the Semi-Annual Capital Projects Status Update for major			
			projects sponsored by the ACCMA and now implemented by the			
			Alameda CTC			
			2. Approve the project funding plans and project delivery schedules			
26-May-11 /	Approval of Consent		Minutes of April 28, 2011	Kamena	Haggerty	21-0
	Calendar		Approval of Guaranteed Ride Home Program Annual Evaluation			
			Review of Sustainable Community Strategy (SCS)/Regional			
			Transportation Plan (RTP) and Countywide Transportation Plan			
			(CWTP)/Transportation Expenditure Plan			
			2011 Congestion Management Program (CMP) Update:			
		5D1	Presentation on Community Design and Transportation (CDT)			
		5D2				
			Assessing Transportation Impacts by San Francisco County			
			Transportation Authority			
		2E	Review Fiscal Year 2009-2010 Audit and Compliance Reporting			
			Approval of Measure B Bicycle and Pedestrian Program Grants			
			Extension			
		5G	Approval of Vehicle Registration Fee Strategic Plan			
			Approval of CMA TIP Funding to Cover Shortfall in the ACCMA FY			
			2010-2011 Budget			
			Monitoring Reports:			
		511	Approval of STIP Program at Risk Report			
			Approval of Federal STP/CMAQ Program at Risk Report			
			Approval of CMA Exchange Program Quarterly Status Report			
			Approval of TFCA Program at Risk Report			

	I				
	3	Approval of Amendment No. 3 to ACTIA Contract No. A05-0045 with Mark Thomas & Company, Authorization to Advertise for Bids to Provide the Plant Maintenance Services Required by the Cooperative Agreement Between the Alameda CTC and Caltrans, and Authorization to Accept Property Transfer from Caltrans for the I-580 Castro Valley Interchange Improvements Project (ACTIA 12)			
	χ.	Approval of Measure B Funding Allocation to the Final Design and Right-of Way Acquisition Phase of the Route 92/Clawiter - Whitesell Interchange and Reliever Route Project and Authorization to Execute Funding Agreements (ACTIA No. 15)			
	25	Approval of Measure B Allocation, Authorization to Submit a Letter of No Prejudice Request for State Bond Funding, and Authorization to			
		Execute Amendments to Various Agreements including Amendment No. 2 to ACTIA Contract No. A05-0004 with URS Corporation for the			
		Route 84 Expressway Project in Livermore (ACTIA 24)			
		Consolidated FY 2010-2011 3rd Quarter Investment Report			
	2N	Approval of 3rd Quarter Budget Update and Statement of Revenues and Expenditures for the Alameda County Transportation			
	20	Approval of 3rd Quarter Budget Update and Statement of Revenues and Expenditures for the Alameda County Congestion Management Agency			
	5P	Approval of the Consolidated Annually Renewed Contracts and Authorization to Execute Contracts			
	2Q	Approval of Consultant Team Selected to Provide Media and Public			
		Relations Services and Authorization to Execute a Contract			
	5R	Approval of Consultant Team Selected to Provide Information Technology Services and Authorization to Execute a Contract			
	58	Approval of Community Advisory Appointment Process Restructuring			
	2T	Approval of appointments to the Community Advisory Committees			
Approval of Planning, Policy	7A	Approval of Regional Transportation Plan (RTP) and Countywide	Worthington	Henson	21-0
and Legislation Committee		Iransportation Plan (CWTP)/Iransportation Expenditure Plan (TEP) Project and program Sthmittallist			
Action Items	78	Approval of Staff Recommendation to support the following hills:	Worthington	Freitas	21-0
		AB 1134 (Bonilla) Department of Transportation Project Study) - I
		APPOILS (FOR)			
	`	Ab 892 (Carter) Depart of Transportation: Environment Review Process: Federal Pilot Program			
Approval of Programs and		Approval of 2012 STIP Development Process	Henson	Kamena	21-0
Projects Committee Action	3C	Approval of Final FY 2011/12 TFCA Program	Blalock	Freitas	21-0
Items	8D	Approval of draft FY 2011/12 Strategic Plan - Allocation Plan Measure B Canital Projects Program	Starosciak	Javandel	21-0
		D Capital Flojects - Togram		_	

23-0	23-0		22-1	23-0			
Henson	Henson		Blalock	Blalock			
Harper	Harper		Harrison	Harrison			
Minutes of May 26, 2011 Approval of 2011 Congestion Management Program (CMP): CMP Roadway Network Review of Draft Vision and Priority Networks for the Alameda Countywide Bicycle and Pedestrian Plans Presentation of Results on San Leandro Transit Oriented Development Technical Assistance Program (TOD TAP) project Approval of Allocation Request for FY 2010/11 Proposition 1B Public Transportation Modernization Improvement and Service	Enhancement Account (PTMISEA) Funds I-580 Eastbound HOV Lane Widening Project (Project 420.5)/Tri- Valley Corridor Improvement Project (MTC RM-2 Sub-Project 32.1d) - Approval of the Initial Project Report to Request Allocation of Regional Measure 2 Funds Approval of Authorization to Accept Construction Contract for the I-	Approval of Necessary Agreements for the Operations of the Bike Mobile Program in FY 2011/12 AND 2012/13	Approval of Altamont Commuter Express (ACE) Baseline Service	Approval of Altamont Commuter Express (ACE) Baseline Service Plan for FY 2011/2012 Approval of Paratransit Advisory and Planning Committee (PAPCO) Recommendations for Fiscal Year 2011/2012 Paratransit Program Plans and Budgets	Head Integrated Corridor Mobility (ICM) Project - Approval of Award of I-80 Integrated Corridor Mobility (ICM) Project - Approval of Award of the Construction Contract for the San Pablo Corridor Arterial and Transit Improvement Project No. 6 (491.6) Westbound I-580 Express Lane Project (424.1) - Approval of Consultant Team to Provide Preliminary Engineering and Environmental Document and Authorization to Execute a Contract	I-680 Sunol Express Lanes (ACTIA No. 8) - Approval of Amendment to I-680 Sunol Smart Carpool Lane Joint Powers Agreement Approval of Authorization to Execute an Agreement with the Sunol Smart Carpool Lane Joint Powers Authority for the Funding and Implementation of the I-680 Sunol Express Lanes (ACTIA No. 8)	Approval of Measure B Allocation for Preliminary Right of Way Activities for the Dumbarton Rail Corridor (ACTIA No. 25)
5A 5B 5C 5D 5E	5F	5H 5H1 5H2 5H2	5K 25	5F	5N	50 5P	5Q
Approval of Consent Calendar							
23-Jun-11							

		5R	Adoption of Staff Salary and Revised Interim Benefits Resolution for EV 2011-12 Besolution for EV 2011-12			
		5S	Approval of Amendment No. 1 to the Professional Services			
			Agreement with Francis Fruzzetti (A10-0006) for Additional Utility Coordination and Transition Assistance Services			
	Approval of Programs and	8	Approval of 2012 State Transportation Improvement Program (STIP)	Harper	Henson	20-0
	Items	8B	Review of Vehicle Registration Fee Draft Program Guidelines			
	Approval of Finance and	9B	Approval of the Proposed Fiscal Year 2011-2012 Consolidated	Blalock	Javandel	19-0
	Administration Committee		Budget for the Alameda County Transportation Commission			
28-Jul-11	Approval of Consent	2A	Minutes of June 23, 2011	Haggerty	Sbranti	19-0
	Calendar	2B	Summary of the Alameda CTC's Review and Comments on			
			Environmental Documents and General Plan Amendments Prepared			
			by Local Jurisdictions			
		2C	Approval of Transportation Fund for Clean Air (TFCA) Draft Program			
		כא	Approval of Discuss for Canital Braiant Flamont of Alamada County's			
		5	Safe Routes to School Capital Program			
		2E	Review of the 2012 State Transportation Improvement Program			
			(STIP) Fund Estimate			
		5F	Update on Programs and Vehicle Registration Fee Master Funding			
			Agreements			
		2G	Review MTC's 2010 Regional Pavement Condition Report (Pot Hole			
		i	Report)			
		2H	I-580 San Leandro Sound Wall Landscape Project - Approval of			
			Authorization to Execute All Necessary Agreements for the			
			Construction Element of the Project			
		2	Eastbound I-580 Express Lane and Auxiliary Lane Projects - Approval	Bonta	Blalock	19-0
			to Revise Funding Plan and Authorization to Execute Agreements and			
			Contracts for Environmental and Design Utilizing Tri-Valley Transportation Council (TVTC) Funds			
		על	Northholind 1-680 Express I ape Project (ACTIA No. 8) — Approval of	Hadderty	Shranti	19-0
		3	Consultant Team to Provide Project Approval and Environmental	5.000)	2
			Document and Authorization to Execute a Contract			
		5 K	Northbound I-680 Express Lane, Eastbound and Westbound I-580			
			Express Lane Projects Approval of Consultant Team to Provide			
			System Manager Services to Approved Express Lanes Network in			
			Alameda County and Authorization to Execute a Contract			
		2F	Southbound I-880 HOV Lane Project – Approval to Execute			
			Agreements and Contracts for Landscaping and Davis Street			
			Improvements			
		2M	I-880/23rd/29th Operational Improvement Project - Approval to			
			Execute Agreements for Project Right-of-Way Requirements			

	19-0	19-0					21-0			22-0	21-0	22-0	21-0			
	Blalock	Sbranti					Miley			Kamena	Miley	Kamena	Miley			
	Worthington	Haggerty				:	Spranti			Henson	Sbranti	Harper	Sbranti			
Grand – MacArthur Transportation Management System Project – Approval of CMA TIP Funds to Supplement the Project Budget	I-80 ICM Project - Approval of System Manager Services Contract and Approval of Amendment to the Design Contract for the San Pablo Corridor Arterial and Transit Improvement Project No. 6 and the Traffic Operations Systems Project No. 3	I-680 Sunol Express Lanes (ACTIA No. 8) Project – Approval of Amendment No. 2 to the Cooperative Agreement with Caltrans to Allow the Payback of the Letter of No Prejudice (LONP)	(ACTA No. 238) – Authorization to Execute Amendments to Project Funding Agreements to Transfer Funds from the Right-of-Way to the Construction Phase of the Project	Webster Street SMART Corridor Project – Approval of Amendment No. 1 to Extend the Expiration Date of the Contract with Harris & Associates to Provide Construction Management Services	10580 Castro Valley Interchange Improvements Project (ACTIA No. 12) - Approval of Various Actions to Complete and Close-Out project	FY2010-11 4th Quarter Investment Report Handout Notification	Minutes of July 28, 2011	Summary of the Alameda CTC's Review and Comments on Environmental Documents and General Plan Amendments Prepared by Local Jurisdictions	Comment letter to Bay Conservation and Development Commission (BCDC) Regarding Recommendation for Bay Plan Amendment	Review of Proposed Policies for Master Funding Agreements for Measure B and Vehicle Registration Fee Funds	Review of Draft Comment Letter to the Metropolitan Transportation Commission on the Proposed One Bay Area Block Grant Policies	Approval of the Draft List of Projects to be Programmed in the Regional Improvement Program (RIP) of the 2012 State Transportation Improvement Program (STIP)	Approval of Transportation Fund for Clean Air (TFCA) Final Program for the FY2011/12 Remaining Balance	Approval of Alameda County's Safe Routes to School Program Delivery Strategy for the Capital Project Element	Approval of the City of Fremont's Request to Extend the Agreement Expiration Date for Measure B Transit Center Development Grant	Agreement No. A07-0018, Bay Street Streetscape and Parking Project
2N	20	5P	5Q	5R	58	5T	ρĄ	5B	2C	5D	2E	5F	5G	2H	2	
							Approval of Consent	Calendar								
							22-Sep-11									

	5	Congestion Relief Emergency Fund (ACTIA No. 27) – Allocation of Remaining Programmed Balance for Studies and Analyses Related to Congested Segments and Locations on the CMP Network			
	꼿	Center to Center Program Communications Hub for the Tri-Valley SMART Corridor Project (C2C) – Approval to Extend the Expiration Date of the Funding Agreement with Metropolitan Transportation Commission			
	2F	I-680 Sunol Express Lanes (ACTIA No. 8) Project and East Bay SMART Corridor Project -Approval to Amend the Computer Servers Co-location Contract with Novani. LLC			
	2M	I-880/Mission Boulevard (Route 262) Interchange and Widening Project (ACTA MB 196) –Approval to Submit a Request for			
		Advancement of the Local Alternative Transportation Improvement Program (LATIP) Project and to Negotiate and Execute the Necessary			
	2N	Eastbound I-580 Express Lane and Auxiliary Lane Project – Approval	Haggerty	Miley	22-0
		to Revise Funding Plan and Authorization to Execute Agreements Required for Advancing Measure B Capital Program Funding			
	i		;		
	20	East Bay Greenway – Approval to Execute Amendment No. 1 to	Sbranti	Miley	21-0
	7. D	Approval of Quality Assurance Plan for Capital Projects Construction			
	5	Administered by the Alameda CTC			
	5Q	I-680 Sunol Express Lanes (ACTIA No. 8): Northbound HOV/Express			
		Lane Project - Status Update			
	5R	Approval of Support for the Metropolitan Transportation Commission's Submission of the Application to the California Transportation			
		Commission to seek authorization to implement the Bay Area			
		Regional Express Lane			
	2S	Approval of Resolution No. 11-011 RM2 Implementing Agency Resolution of Project Compliance for RM2 Funding for Preliminary			
		Right of Way Activities Dumbarton Rail Corridor Project (ACTIA No. 25)			
	5T	FY10-11 Consolidated Year-end Investment Report			
	20	Update on the FY10-11 Annual Financial Audit			
	2	Approval of the Semi-Annual Contract Equity Utilization Report and Contract Award Report for January 1, 2011 through June 30, 2011			
	2W	Update on Joint Regional Agencies Relocation Process			
	2X	Executive Director's Salary and Benefits and Objectives for FY 2011-	Haggerty	Green	22-0
Apoproval of Planning,	4Z	Approval of Draft 2011 Congestion Management Program	Kaplan	Hosterman	22-0
Policy and Legislation					
Committee Action Items					

Fiscal Year 2011-2012 Calendar of CWC Meetings and Activities

CWC meets quarterly on the second Monday from 6:30 to 8:30 p.m. at the Alameda CTC offices

July 11, 2011 CWC Meeting

- Public Hearing on CWC Annual Report
- Addressing Public Comments
- Finalizing Annual Report and Publications
- Approval of FY 2011-2012 Annual Calendar
- CWC Watch List for FY 2011-2012 (send letter to Jurisdictions reminding them of keeping CWC informed on projects/programs)

December 1, 2011 CWC Meeting

- Financial Update: Financial Statement Reporting, Quarterly Investment Report
- CWC Annual Report Outreach Summary and Publication Costs Update
- Update on Program Compliance Workshop
- Update on Semi-Annual Local Business Enterprise/Small Local Business Enterprise Program
- Quarterly Alameda CTC Commission Action Items

January 9, 2012 CWC Meeting

- Sponsor Compliance Audits and Reports Forwarded to CWC without Staff Analysis
- Presentation on Audit from Alameda CTC Auditor
- Projects, Programs, and Contracting Procedures Overview/Update
- Project Sponsor Presentations if requested
- Quarterly Alameda CTC Commission Action Items

March 12, 2012 CWC Meeting

- Summary of Sponsor Audits/Programs Report Card to CWC
- Approval of Draft Annual Report Outline
- Draft Compliance Summary and Audit Report
- Financial Update: Budget Update, Financial Statement Reporting, Quarterly Investment Report
- Update on Semi-Annual Local Business Enterprise/Small Local Business Enterprise Program
- Projects and Programs Update
- Update on Commissions Actions Affecting FY 2010-2011
- Project Sponsor Presentations if requested

April 2011 CWC Annual Report Subcommittee Meeting

Prepare Draft Annual Report

June 11, 2012 CWC Meeting

- Finalize Draft Annual Report
- Election of Officers
- Approval of Bylaws
- Final Strategic Plan
- Financial Update: Budget Update, Financial Statement Reporting, Quarterly Investment Report
- Quarterly Alameda CTC Commission Action Items
- Project Sponsor Presentations if requested

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Alameda County Transportation Commission <u>Citizens Watchdog Committee</u> Roster and Attendance Fiscal Year 2011-2012

CWC Meeting 12/01/11 Attachment 08C2

			Last	First	City	Appointed By	Term Began	Re- apptmt.	Term Expires	Mtgs Missed Since July '11*
	-	Mr.	Mr. Paxson, Chair	James	Pleasanton	East Bay Economic Development Alliance	Apr-01		N/A	0
	7	Ms.	Saunders, Vice-Chair	Harriette	Alameda	Paratransit Advisory and Planning Commission	90-Inc		N/A	0
	3	Ms.	Belchamber	Pamela	Berkeley	Alameda County Mayors' Conference, D-5	Mar-09	Apr-11	Apr-13	1
	4	Ms.	Brady	Petra Olivia	Oakland	Alameda County Mayors' Conference, D-4	Oct-11		Oct-13	0
	5	Mr.	Mr. Chavarin	Roger	Oakland	Alameda Labor Council AFL-CIO	Dec-08		N/A	0
	9	Mr.	Mr. Dubinsky	Peter "Mike"	Fremont	Supervisor Nadia Lockyer, D-2	Oct-10		Oct-12	0
	7	Mr.	Mr. Geen	Arthur B.	Oakland	Alameda County Taxpayers Association	Jan-01		N/A	1
	8	Mr.	Mr. Haussener	James	Castro Valley	Supervisor Nate Miley, D-4	Feb-10		Feb-12	0
	6	Mr.	Mr. Jensen	Erik	Oakland	East Bay Bicycle Coalition	May-10		May-12	1
	10	Ms. Lew	Lew	Jo Ann	Union City	Alameda County Mayors' Conference, D-2	Oct-07	Oct-11	Oct-13	0
	1	Mr. Z	Zukas	Hale	Berkeley	Supervisor Keith Carson, D-5	90-unf		Jun-11	0
	12		Vacancy			Alameda County Mayors' Conference, D-1				
	13		Vacancy			Alameda County Mayors' Conference, D-3				
	14		Vacancy			League of Women Voters				
	15		Vacancy			Sierra Club				
Р	16		Vacancy			Supervisor Scott Haggerty, D-1				
ag	17	_	Vacancy			Supervisor Wilma Chan, D-3				

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