



Meeting Notice

1111 Broadway, Suite 800, Oakland, CA 94607

• PH: (510) 208-7400

• www.AlamedaCTC.org

Commission Chair

Supervisor Scott Haggerty, District 1

Commission Vice Chair

Councilmember Rebecca Kaplan,
City of Oakland

AC Transit

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Alameda County

Supervisor Richard Valle, District 2
Supervisor Wilma Chan, District 3
Supervisor Nate Miley, District 4
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Mayor John Chiang

City of Pleasanton

Mayor Jerry Thorne

City of San Leandro

Vice Mayor Michael Gregory

City of Union City

Mayor Carol Dutra-Vernaci

Executive Director

Arthur L. Dao

Alameda County Technical Advisory Committee

Thursday, October 10, 2013, 1:30 p.m.

**1111 Broadway, Suite 800
Oakland, CA 94607**

Mission Statement

The mission of the Alameda County Transportation Commission (Alameda CTC) is to plan, fund and deliver transportation programs and projects that expand access and improve mobility to foster a vibrant and livable Alameda County.

Public Comments

Public comments are limited to 3 minutes. Items not on the agenda are covered during the Public Comment section of the meeting, and items specific to an agenda item are covered during that agenda item discussion. If you wish to make a comment, fill out a speaker card, hand it to the clerk of the Commission, and wait until the chair calls your name. When you are summoned, come to the microphone and give your name and comment.

Reminder

Please turn off your cell phones during the meeting. Please do not wear scented products so individuals with environmental sensitivities may attend the meeting.

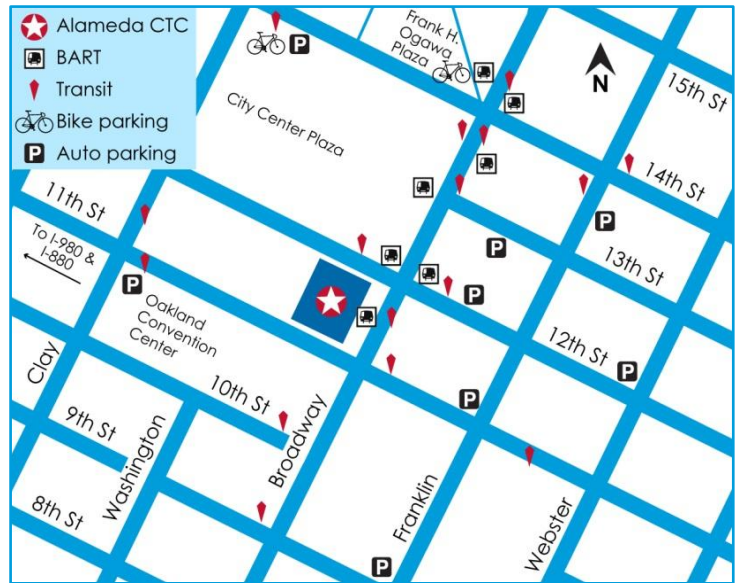
Glossary of Acronyms

A glossary that includes frequently used acronyms is available on the Alameda CTC website at www.AlamedaCTC.org/app_pages/view/8081.

Location Map

★ Alameda CTC
1111 Broadway, Suite 800
Oakland, CA 94607

Alameda CTC is accessible by multiple transportation modes. The office is conveniently located near the 12th Street/City Center BART station and many AC Transit bus lines. Bicycle parking is available on the street and in the BART station as well as in electronic lockers at 14th Street and Broadway near Frank Ogawa Plaza (requires purchase of key card from bikelink.org).



Garage parking is located beneath City Center, accessible via entrances on 14th Street between 1300 Clay Street and 505 14th Street buildings, or via 11th Street just past Clay Street. To plan your trip to Alameda CTC visit www.511.org.

Accessibility

Public meetings at Alameda CTC are wheelchair accessible under the Americans with Disabilities Act. Guide and assistance dogs are welcome. Call 510-893-3347 (Voice) or 510-834-6754 (TTD) five days in advance to request a sign-language interpreter.



Meeting Schedule

The Alameda CTC meeting calendar lists all public meetings and is available at www.AlamedaCTC.org/events/upcoming/now.

Paperless Policy

On March 28, 2013, the Alameda CTC Commission approved the implementation of paperless meeting packet distribution. Hard copies are available by request only. Agendas and all accompanying staff reports are available electronically on the Alameda CTC website at www.AlamedaCTC.org/events/month/now.

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Alameda County Technical Advisory Committee Meeting Agenda Thursday, October 10, 2013, 1:30 p.m.

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• www.AlamedaCTC.org

1. Introductions/Roll Call

Chair: Arthur L. Dao, Alameda CTC Executive Director

2. Public Comment

Staff Liaison: Matt Todd, Principal Transportation Engineer

Public Meeting Coordinator: Angie Ayers

3. Meeting Minutes

- | | | |
|--|---|---|
| 3.1. September 5, 2013 ACTAC Meeting Minutes | 1 | A |
| Recommendation: Approve the September 5, 2013 meeting minutes. | | |

4. Congestion Management Program (CMP)

- | | | |
|--|---|---|
| 4.1. Congestion Management Program: Final 2013 Annual Conformity Requirements | 9 | A |
| Recommendation: Approve the Congestion Management Program (CMP) annual conformity requirements and the Deficiency Plan status reports regarding SR 260 Posey Tube eastbound to I-880 northbound freeway connection, SR 185 northbound between 46 th and 42 nd Avenues, and Mowry Avenue eastbound from Peralta Boulevard to SR 238/Mission Boulevard | | |
| 4.2. 2013 Draft Congestion Management Program (view the hyperlink) | | A |
| Recommendation: Approve Draft 2013 Congestion Management Program | | |

5. Action Items

- | | | |
|--|----|---|
| 5.1. Measure B/Vehicle Registration Fee Compliance Reserve Policies and Monitoring Procedures | 13 | A |
| Recommendation: Approve Measure B/Vehicle Registration Fee Compliance Reserve Policies and Monitoring Procedures for administration of pass-through funds. | | |

6. Non-action Items

- | | | |
|---|----|---|
| 6.1. Sustainable Communities Technical Assistance Program (SCTAP) List of Applications Received | 27 | I |
| 6.2. Goods Movement Collaborative and Plan Update (Verbal) | | I |

6.3.	Alameda CTC Timely Use of Funds (TUF) Monitoring Reports	33	I
6.4.	Plan Bay Area Implementation/Priority Development Area Status Update (Verbal)		I
6.5.	Transportation Expenditure Plan Update (Verbal)		I
6.6.	Alameda County Federal Inactive Projects List: September 2013 Update	61	I
6.7.	Metropolitan Transportation Commission's (MTC) Local Streets and Roads Working Group (LSRWG)	67	I
6.8.	Call for Projects: MTC's Pavement Management Technical Assistance Program, Round 15 (P-TAP 15)	71	I
6.9.	The Bay Area Air Quality Management District (Air District) Bicycle Rack Voucher Project (BRVP) Program Call for Projects	83	I
6.10.	2013/14 Alameda County Technical Advisory Committee (ACTAC) Meeting Calendar	87	I

7. Legislation

7.1.	Legislative Update	89	I
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8. Staff and Committee Member Reports (Verbal)

9. Adjournment

Next Meeting: Thursday, January 9, 2014

All items on the agenda are subject to action and/or change by the committee.



Alameda County Technical Advisory Committee Fiscal Year 2013-2014

Member Agencies

AC Transit
BART
City of Alameda
City of Albany
City of Berkeley
City of Dublin
City of Emeryville
City of Fremont
City of Hayward
City of Livermore
City of Newark
City of Oakland
City of Piedmont
City of Pleasanton
City of San Leandro
City of Union City
County of Alameda

Other Agencies

Chair, Alameda CTC
ABAG
ACE
BAAQMD
Caltrans
CHP
LAVTA
MTC
Port of Oakland
Union City Transit
WETA

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Alameda County Technical Advisory Committee Meeting Minutes Thursday, September 5, 2013, 1:30 p.m.

3.1

1111 Broadway, Suite 800, Oakland, CA 94607

• 510.208.7400

• www.AlamedaCTC.org

1. Introductions/Roll Call

2. Public Comment

Dave Campbell, Advocacy Director with East Bay Bicycle Coalition (EBBC), gave an update on the bicycle ride on the San Francisco and Oakland Bay Bridge new east span. He said that EBBC is very pleased with the facility that Caltrans and the Metropolitan Transportation Commission (MTC) delivered for cycling and walking. Dave noted that both MTC and Caltrans are committed to complete the pathway to connect to Yerba Buena and Treasure Islands for bicycle and pedestrian access.

3. Meeting Minutes

3.1. Approval of Minutes of July 2, 2013

Don Frascinella (Hayward) made a motion to approve the July 2, 2013 meeting minutes. Obaid Khan (Dublin) seconded the motion. The motion carried unanimously.

4. Congestion Management Program (CMP)

4.1. 2014 State Transportation Improvement Program (STIP): Draft Regional Transportation Improvement Program (RTIP) and Interregional Transportation Improvement Program (ITIP) Candidates

Saravana Suthanthira gave an overview of the background of the CMP. She mentioned that the CMP will be approved in October. Matt Todd gave a presentation of the 2014 STIP. He mentioned that the STIP is composed of two sub-elements: the RTIP will utilize 75 percent of the STIP funds, and the ITIP will utilize 25 percent of the funds. Various Alameda CTC staff members gave a presentation that covered agenda items 4.1 through 4.4. ACTAC unanimously approved the 2014 STIP, which included the draft RTIP and ITIP.

Don Frascinella (Hayward) made a motion to request Commission approval. Kathleen Livermore (Alameda) seconded the motion. The motion carried unanimously.

4.2. 2013 Congestion Management Program: Update to Deficiency Plan Guidelines

Saravana Suthanthira gave this portion of the presentation on the update to the Deficiency Plan Guidelines.

Bruce Williams (Oakland) made a motion to request Commission approval. Amber Evans (Emeryville) seconded the motion. The motion carried unanimously.

4.3 2013 Congestion Management Program (CMP) Update to Land Use Analysis Program

Matt Bomberg gave this portion of the presentation on the Land Use Analysis Program update.

Kathleen Livermore (Alameda) made a motion to request Commission approval. Matt Nichols (Berkley) seconded the motion. The motion carried unanimously.

4.4 2013 Congestion Management Program (CMP) Draft Capital Improvement Program (CIP) Table

Hank Haugse gave this portion of the presentation on the CIP. He requested the committee provide input on the CMP draft CIP table by Friday, September 13, 2013.

4.5 Congestion Management Plan (CMP): Draft 2013 Conformity Requirements

Beth Walukas gave an update on this agenda item. She requested members provide responses to Kara Vuicich by Friday, September 6, 2013. She noted that if additional time is required, to inform Kara of the anticipated completion date.

5. Action Items

5.1. Countywide Transit Plan

Beth Walukas gave an update on both agenda items 5.1 and 5.2. She noted that since bringing the scope of work to ACTAC in July, Alameda CTC met with staff from Alameda-Contra Costa Transit District, Altamont Commuter Express, Capitol Corridor, Livermore Amador Valley Transit Authority, San Francisco Bay Area Rapid Transit District, Union City Transit, and Water Emergency Transportation Authority to review the scope of work. Beth stated that one of the key changes from those meetings was to separate the Countywide Transit Plan and the Community Based Transportation Plan (CBTP) updates for funding purposes. Beth gave a broad overview of the needs, challenges, and opportunities to develop both plans.

Public comment: Dave Campbell, Advocacy Director with EBBC requested that the transit study include safe analysis of the streets for bicycles. He also requested that Alameda CTC include bike access in the performance measures.

Matt Nichols (Berkeley) made a motion to request Commission approval to the scopes of work for the Countywide Transit Plan and the CBTP updates. Donna Lee (BART) seconded the motion. The motion carried unanimously.

5.2 Integrated Community Based Transportation Plan

Beth Walukas covered this item with agenda item 5.1.

5.3 Transportation Fund for Clean Air (TFCA) Final FY 13-14 Program

Jacki Taylor stated that the Commission approved the final TFCA program in July. She stated that Alameda CTC revised the FY13-14 program, and approval to program \$90,000 for Alameda County's Fairmont Road Class 2 bike Lanes project is needed.

Matt Nichols (Berkeley) made a motion to request Commission approval. Kathleen Livermore (Alameda) seconded the motion. The motion carried unanimously.

6. Non-action Items

6.1. Alameda CTC Work Plan Activities and Implementation Timeline

Tess Lengyel provided an overview of the agency-wide work plan and implementation timeline. She highlighted coordination and support efforts for Planning and Policy, Programming, Finance and Procurement, and Projects. She also covered the implementation timeline for fiscal year 2013-14.

6.2. Goods Movement Collaborative and Plan Update and Project Screening Criteria and List

Tess Lengyel and Beth Walukas provided an update on the Goods Movement Collaborative and Plan development. Alameda CTC will recommend the Commission approve the process for recommending projects to MTC for input into the California State Freight Mobility Plan. Beth reviewed the federal and state process for development of the plan. Tess informed the committee that the Alameda CTC Goods Movement Leadership Team met in July 2013 and a RFP for the Goods Movement Plan was released on July 1, 2013. She also updated the committee on development and implementation of key milestones for the collaborative process.

Obaid Khan (Dublin) made a motion to request Commission approval. Amber Evans (Emeryville) seconded the motion. The motion carried unanimously.

6.3. Fiscal Year 2012-13 Annual Measure B/VRF Program Compliance Workshop

Matt Todd informed the committee that the Annual Compliance Workshop is scheduled for Tuesday, September 17, 2013, from 10 a.m. to 12 p.m. at the Alameda CTC's offices.

6.4. Alameda CTC Workshop on Implementing Complete Streets Policies

Matt Bomberg gave an update on the Complete Streets workshop held on July 24, 2013. He noted that 57 people attended the workshop, including 45 local staff who represented all jurisdictions, seven other agency staff, and five members of advocacy organizations.

6.5. Regional Project Delivery Policy, Metropolitan Transportation Commission Resolution 3606

Kenneth Kao of MTC highlighted the revised regional project delivery policy for ACTAC, which was updated to ensure it remains consistent with new state and federal guidelines. Kenneth informed the committee that members can send comments to Vivek Bhat or directly to him.

6.6. Metropolitan Transportation Commission Freeway Performance Initiative/RAMP Metering Implementation in Alameda County

Saravana Suthanthira gave a presentation on the MTC Freeway Performance Initiative/Ramp Metering Implementation in Alameda County. She stated that ramp meters have been implemented along I-880, I-580, and I-680 to better manage congestion and improve safety on the freeway system. She stated that MTC,

Alameda CTC, and stakeholders are coordinating to develop a Ramp Metering Implementation Plan for meters being installed throughout the county.

6.7 Transportation Development Act Article 3 Discussion

Vivek Bhat stated that MTC recently updated the TDA Article 3 policies and procedures for bicycle and pedestrian funding. He mentioned that the TDA Article 3 meeting was held prior to the ACTAC meeting. Cheryl Chi from MTC informed the committee that MTC recently adopted the revised TDA Article 3 policies and procedures for approximate \$1.5 million in funding for bicycle and pedestrian projects. She stated that the most contentious revision is the local Bicycle and Pedestrian Advisory Committees (BPACs) review requirements. Cheryl informed the committee that at the earlier TDA Article 3 meeting, the group decided Alameda County jurisdictions will work with Alameda CTC to apply to MTC for an exemption to allow jurisdictions to use Alameda CTC's BPAC in lieu of forming a committee in their jurisdiction.

6.8 Transportation Expenditure Plan Update

Tess Lengyel updated the committee on the Transportation Expenditure Plan (TEP). She stated that the TEP Ad-Hoc Committee met on July 26, 2013 and reviewed polling questions and discussed forming a technical team that will include representatives from local jurisdictions, transit agencies, Port of Oakland, MTC, and Caltrans. Tess mentioned that focus groups representing Alameda CTC partners and stakeholders will be established.

6.9 California Transportation Commission August 2013 Meeting Summary

Vivek Bhat stated that the California Transportation Commission (CTC) met on August 6 in San Diego. He stated that three agenda items of significance pertaining to projects/programs within Alameda County were considered at the CTC meeting.

6.10 Alameda County Federal Inactive Projects List: August 2013 Update

Vivek Bhat encouraged sponsors to review the federal inactive list in the packet. He requested ACTAC members with projects on the list submit invoices to Caltrans. Vivek stated that funds may be lost if the invoices are not received by the deadline.

6.11 Metropolitan Transportation Commission's (MTC) Local Streets and Roads Working Group (LSRWG)

Vivek Bhat informed the committee that the City of San Leandro accepted the nomination to be the ACTAC representative on the LSRWG.

6.12 Final Plan Bay Area

Beth Walukas provided an update on the final Plan Bay Area, highlighting amendments, revisions, and corrections made to the plan as it was being adopted in July 2013. She noted that the next phase of Plan Bay Area will be its implementation, and staff is working with the regional agencies as implementation efforts move forward.

6.13 2013 Transportation Improvement Program (2013 TIP) Update

Jacki Taylor gave an update on the 2013 TIP. The TIP has been approved, and the OBAG amendment will be approved in November.

6.14 Safe Routes to Transit Funding Opportunity

Vivek Bhat gave an update on this agenda item. He informed the committee that Safe Routes to Transit applications must be submitted to TransForm by Monday, September 30, 2013.

7. Legislation

7.1. Legislative Positions and Update

Tess Lengyel gave an update on federal, state, and local legislative activities including updates on the federal budget continuing resolution and federal transportation issues, legislative activities and policies at the state level, and local legislative activities.

8. Staff and Committee Member Reports

Tess Lengyel requested the committee review the MTC Workshop Notice handout. She informed the committee that Alameda CTC will forward the flyer via email.

9. Adjournment and Next Meeting

The meeting adjourned at 4:20 p.m. The next meeting is:

Date/Time: Thursday, October 10, 2013 @ 1:30 p.m.

Location: Alameda CTC Offices, 1111 Broadway, Suite 800, Oakland, CA 94607

Attested by:



Angie Ayers,
Public Meeting Coordinator

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ALAMEDA COUNTY TECHNICAL ADVISORY COMMITTEE
September 5, 2013
ROSTER OF MEETING ATTENDANCE

	NAME	JURISDICTION/ ORGANIZATION	PHONE #	E-MAIL
1.	Cheryl Chi	MTC	510-817-5939	cchi@mtc.ca.gov
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3.	Keith Cooke	San Leandro	510-577-3439	kcooke@sanleandro.org
4.	Janet Chang	Piedmont	(510) 420-3050	janetchang@ci.piedmont.ca.us
5.	DAVID HUYNH	FREEMONT	(510) 494-4484	dhuynh@freemont.gov
6.	Obaid Khan	Dublin	925-833-6630	obaid.khan@dublin.ca.us
7.	Bruce Williams	Oakland	510-238-7229	bwilliams@oaklandnet.net
8.	Matt Nichols	Berkeley	510-981-7668	mnichols@cityofberkeley.info
9.	Amber Evans	Emeryville	510-596-4382	aevans@emeryville.org
10.	Beth Walukas	Alameda CTC	510/208-7405	bwalukas@alamedactc.org
11.	ARTHUR DAO	ACTC	208 7400	adao@alamedactc.org
12.	Matt Todd	ACTC	" "	mtodd@ " "
13.	Tess Lengyel	Alameda CTC	208-7428	tlengyel@alamedactc.org
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17.	Donna Lee	BART	464-6282	dlee@bart.gov
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19.	Cindy Horvath	Alameda CO Planning	510-670-6511	cindy.horvath@acgov.org
20.	Tom Ruark	Union City	(510) 675-5301	thomasr@unioncity.org
21.	Debbie Bell	Livermore	(925) 960-4541	dbell@cityoflivermore.net

NAME	JURISDICTION/ ORGANIZATION	PHONE #	E-MAIL
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24. Vanessa Lee	ATC		
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29. Dave Campbell	EAST BAY BICYCLE COALITION		dave@ebbc.org
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Memorandum

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1111 Broadway, Suite 800, Oakland, CA 94607

• PH: (510) 208-7400

• www.AlamedaCTC.org

DATE: October 3, 2013

SUBJECT: Congestion Management Program: Final 2013 Annual Conformity Requirements

RECOMMENDATION: Approve the Congestion Management Program (CMP) annual conformity requirements and the Deficiency Plan status reports regarding SR 260 Posey Tube eastbound to I-880 northbound freeway connection, SR 185 northbound between 46th and 42nd Avenues, and Mowry Avenue eastbound from Peralta Boulevard to SR 238/Mission Boulevard

Summary

Local jurisdictions are required to comply with the CMP as follows:

1. (a) For Tier 1 Land Use Analysis: submit to Alameda CTC all Notice of Preparations, EIRs and General Plan amendments;
(b) For Tier 2 Land Use Forecasts: review ABAG Projections by traffic analysis zones;
2. Complete the Traffic Demand Management (TDM) Site Design Checklist;
3. Pay annual fees; and
4. Provide Deficiency Plans and Deficiency Plan Progress Reports, as needed in some jurisdictions.

All of the jurisdictions that are required to provide a Deficiency Plan status report have done so, however the City of Berkeley's concurrence letter is still in progress. In addition, all jurisdictions have complied with the remaining three conformity requirements except for the cities of Fremont and Berkeley. Staff has been working with both jurisdictions and anticipates that the requirements will be met by the October 25, 2013 Commission meeting. Updates on the compliance status will be provided at the ACTAC meeting.

Discussion

Letters were sent to the jurisdictions requesting their confirmation of submissions related to the Tier 1 Land Use Analysis Program, updated TDM Site Design Checklists, and Deficiency Plan status reports from the responsible jurisdictions by September 6, 2013. Responses were received from all of the jurisdictions except Fremont and Berkeley. Attachment A shows the jurisdictions that have completed the annual requirements for CMP conformance.

Regarding the requirement for some jurisdictions to submit Deficiency Plans or Deficiency Plan Progress Reports, no additional CMP roadway segments were found to be deficient in 2012 based on the select link analysis conducted using the Countywide Travel Demand Model and 2012 LOS Monitoring survey data and after applying all applicable CMP exemptions. Therefore, the preparation and submission of Deficiency Plans for 2013 is not required. However, there are three ongoing Deficiency Plans from previous years, for which jurisdictions are required to send progress reports:

1. SR 260 Posey Tube eastbound to I-880 northbound freeway connection
Lead Jurisdiction: City of Oakland
Participating Jurisdictions: City of Alameda; Berkeley concurrence is in progress
Status report and letters of concurrence: Received and progress is satisfactory.
2. SR 185 northbound freeway connection between 46th and 42nd Avenues
Lead Jurisdiction: City of Oakland
Participating Jurisdictions: City of Alameda; Berkeley concurrence is in progress
Status report and letters of concurrence: Received and progress is satisfactory.
3. Mowry Avenue eastbound from Peralta Boulevard to SR 238/Mission Boulevard
Lead Jurisdiction: City of Fremont
Participating Jurisdictions: City of Newark
Status report and letter of concurrence: Received and the progress is satisfactory.

Fiscal Impact

There is no fiscal impact.

Attachments

- A. 2013 CMP Conformance: Land Use Analysis, Site Design Guidelines, Payment of Fees, and Deficiency Plans

Staff Contact

[Tess Lengyel](#), Deputy Director of Planning and Policy

[Kara Vuicich](#), Senior Transportation Planner

2013 CMP CONFORMANCE

Land Use Analysis, Site Design, Payment of Fees and Deficiency Plans

	Land Use Analysis Program			Site Design	Payment of Fees	Deficiency Plans/LOS Standards	Meets All Requirements
	Tier 1 - Ordinance Adoption	Tier 1: GPA & NOP Submittals	Tier 2- Land Use Forecasts*	Checklist Complete	Payments thru 4th Qts FY 12/13	Deficiency Plan Progress Reports and Concurrence	
Jurisdiction							
Alameda County	Yes	Yes	Yes	Yes	Yes	N/A	Yes
City of Alameda	Yes	Yes	Yes	Yes	Yes	Yes	Yes
City of Albany	Yes	Yes	Yes	Yes	Yes	N/A	Yes
City of Berkeley	Yes		Yes		Yes	In Progress	
City of Dublin	Yes	Yes	Yes	Yes	Yes	N/A	Yes
City of Emeryville	Yes	Yes	Yes	Yes	Yes	N/A	Yes
City of Fremont	Yes	Yes	Yes	Yes	Yes	Yes	Yes
City of Hayward	Yes	Yes	Yes	Yes	Yes	N/A	Yes
City of Livermore	Yes	Yes	Yes	Yes	Yes	N/A	Yes
City of Newark	Yes	Yes	Yes	Yes	Yes	Yes	Yes
City of Oakland	Yes	Yes	Yes	Yes	Yes	Yes	Yes
City of Piedmont	Yes	Yes	Yes	Yes	Yes	N/A	Yes
City of Pleasanton	Yes	Yes	Yes	Yes	Yes	N/A	Yes
City of San Leandro	Yes	Yes		Yes	Yes		Yes
City of Union City	Yes	Yes	Yes	Yes	Yes	N/A	Yes

N/A indicates that the city is not responsible for any deficiency plan in the past fiscal year.

* This requirement has been met through the CWTTP process to provide input on the development of the Sustainable Communities Strategy.

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Memorandum

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1111 Broadway, Suite 800, Oakland, CA 94607

• PH: (510) 208-7400

• www.AlamedaCTC.org

DATE: October 3, 2013

SUBJECT: Measure B/Vehicle Registration Fee Compliance Reserve Policies and Monitoring Procedures

RECOMMENDATION: Approve Measure B/Vehicle Registration Fee Compliance Reserve Policies and Monitoring Procedures for administration of pass-through funds

Summary

The Measure B/Vehicle Registration Fee (VRF) Compliance Reserve Policies and Monitoring Procedures ascertains Alameda CTC's approach to administering the evaluation for compliance with the Master Programs Funding Agreement's (MPFA) Timely Use of Funds and Reserve policies.

Per the MPFA, recipients are required to submit Annual Program Compliance Reports that demonstrate an expenditure plan using their end-of-year fund balances (reserves) and their following year's anticipated revenue. Alameda CTC monitors these reports to verify recipients are implementing their projects relative to the plan submitted in the previous Compliance Report. If the recipient does not meet the expenditure targets of the plan, outstanding fund balances may be subject to rescission.

The Measure B/VRF Compliance Reserve Policies and Monitoring Procedures detail the approach towards executing the MPFA's provisions. The policy supports the expeditious expenditure of reserve balances, and defines the review process for recipients that may have unexpended fund balances.

Background

Master Programs Funding Agreement (MPFA)

In Spring 2012, all jurisdictions receiving Measure B and Vehicle Registration Fee (VRF) funds entered into a Master Programs Funding Agreement (MPFA) with Alameda CTC. The MPFA and its associated Implementation Guidelines outlined the pass-through funding distribution, eligible expenditures, recipient reporting requirements, policies on the Timely Use of Funds (TUF), and establishment of reserve funds. Measure B/VRF funds recipients are required to submit to Alameda CTC an Audited Financial Statement within

180 days following the close of each fiscal year and an Annual Program Compliance Report by December 31st of each calendar year.

Article 3 of the MPFA details how recipients are required to use the funds in a timely manner. Alameda CTC requires jurisdictions to provide in their Annual Program Compliance Report an implementation plan using Measure B/VRF funds. Recipients must identify the uses of their reserve balances and projected annual revenues in one or more of the following four fund categories

1. Annual Planned Projects *(must be spent annually as planned)*
2. Capital Fund Reserve *(must be expended in four years of reserve establishment)*
3. Operations Fund Reserve *(revolving fund of up to 50 percent of annual revenues)*
4. Undesignated Fund Reserve *(revolving fund of up to 10 percent of annual revenues)*

Expenditure plans reported in the prior year's Annual Program Compliance Report(s) will be evaluated against the subsequent year's reported expenditure information to determine compliance with the MPFA's TUF policy. The Annual Program Compliance Reports are reviewed by the Citizens Watchdog Committee and the Commission.

Implementing the MPFA – Reserve Policies and Monitoring Procedures

Recipients are providing an estimate of planned expenditures when reporting their implementation plan as part of the Annual Compliance Report. There may be unexpended fund balances from these planned budgets due to project bid savings, contingencies, or supplemental savings. Alameda CTC will monitor and evaluate the recipient's Measure B Program comprehensively i.e. the total summation of the planned annual expenditures across all programmatic types (Bike/Pedestrian, Local Transportation, Mass Transit, and Paratransit). Alameda CTC will use the Measure B/VRF Reserve Policies and Monitoring Procedures document to guide the monitoring of fund expenditures per the MPFA's TUF policy. The Alameda CTC expects recipients to expend all funds as originally planned with this policy providing some flexibility to account for adjustments to the reported expenditure plan.

The Measure B/VRF Reserve Policy and Monitoring Procedures defines administrative and formal approval processes for unexpended annual balances of the Planned Projects and Capital Fund Reserves at the TUF milestones. With each subsequent Annual Program Compliance Report, the recipient's reported Planned Projects are expected to be expended. Projects included in the Capital Fund Reserve must be expended within the MPFA's four year time limit (from the year the reserve was established through annual reporting process). The policy's approval process for annual unexpended balances is as follows:

1. Administrative approval required for:
 - i. Revisions and justifications of Annual balances less than 30 percent of the reported Planned Projects (cumulatively across all fund programmatic types).

- ii. Revision to projects identified in the Capital Fund Reserve including scope, projects, and funding levels.
- 2. Commission Approval required for:
 - i. Revisions and justifications of Annual balances greater than 30 percent of the reported Planned Projects (cumulatively across all programmatic types).
 - ii. Time-extension requests for funding identified in the Capital Fund Reserve after the original four year window

In each case, recipients must justify and propose balance reallocations within the Annual Program Compliance Report for unexpended balances. Alameda CTC will review the requests through the compliance reporting process.

Fiscal Impact: There is no fiscal impact.

Attachments

- A. Measure B/VRF Reserve Policies and Monitoring Procedures

Staff Contacts

[Matt Todd](#), Principal Transportation Engineer

[John Hemiup](#), Senior Transportation Engineer

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DRAFT
Measure B/Vehicle Registration Fee Compliance Report
Reserve Policies and Monitoring Procedures

Background

In April 2012, all jurisdictions receiving Measure B and Vehicle Registration Fee (VRF) funds entered into a Master Programs Funding Agreement (MPFA) with Alameda County Transportation Commission (Alameda CTC). The MPFA and its associated Implementation Guidelines outlined the pass-through funding distribution, eligible expenditures, recipient reporting requirements, policies on the timely use of funds (TUF) and establishment of reserve funds. Recipients of Measure B and VRF funds are required to submit to Alameda CTC an Audited Financial Statement within 180 days following the close of each fiscal year and an Annual Program Compliance Report due by December 31st of each calendar year.

Per Article 3 of the MPFA, jurisdictions receiving Measure B and VRF funds are required to use the funds in a timely manner. As such Alameda CTC requires jurisdictions to report in their Annual Program Compliance Report an implementation plan using Measure B/VRF funds. Information reported in the prior year's Annual Program Compliance Report(s) will be evaluated against the subsequent year's reported expenditure information to determine compliance with the MPFA's TUF policy. This process ensures the expeditious expenditure of voter-approved transportation dollars on projects and programs throughout Alameda County.

Timely Use of Funds and Reserve Fund Policies

Per the MPFA, jurisdictions must specify the annual Measure B and VRF funding expenditures, and identify a funding plan of proposed projects/programs to be funded using any remaining Measure B/VRF funds. The applicable policies are captured below:

Article 3.A. Timely Use of Funds Policy

The Timely Use of Funds (TUF) Policy requires all Measure B and VRF recipients to spend funds expeditiously or place funds into a reserve fund. Any funds not spent within the allotted time, including funds placed into reserve funds, will be subject to rescission, unless a written time extension request is submitted by the recipient and approved by Alameda CTC.

Article 3.B. Reserve Fund Policy

The Reserve Fund Policy enables Measure B and VRF recipients to establish a reserve fund for specified periods of time. This allows jurisdictions to place unexpended funds into an applicable reserve fund to demonstrate a reasonable plan to expend Measure B and VRF funds. The types of reserve funds and their eligibilities are noted in the Exhibit A: Fund Categories

Article 3.C. Rescission of Funds Policy

If the recipient does not meet the timeliness requirements, Alameda CTC may rescind any unspent funds and interest earned, unless a written time extension request is submitted by the recipient and approved by Alameda CTC.

Tracking and Monitoring Measure B/VRF Expenditures and Reserve Plans

As part of the Compliance Reporting process, jurisdictions are required to report annual planned expenditures using their fund balances into the four available fund categories listed in Exhibit A. Alameda CTC's tracking and monitoring policies is also further disseminated in the sections below.

EXHIBIT A: FUND CATEGORIES

FUND CATEGORY	MAXIMUM FUNDING ALLOTMENT	TIMELY USE OF FUNDS REQUIREMENT
1. <u>Annual Planned Projects (unreserved)</u> Recipients may report an annual implementation plan using Measure B or VRF pass-through funds.	None.	<ul style="list-style-type: none"> To ensure expeditious use of funds, Alameda CTC expects recipients to expend funds identified as annual planned projects.
2. <u>Capital Fund Reserve</u> Recipients may establish a specific capital fund reserve to fund specific large capital project(s) that could otherwise not be funded with a single's year worth of Measure B or VRF pass-through funds.	None.	<ul style="list-style-type: none"> Recipients shall expend all reserve funds by the end of three fiscal years following the fiscal year during which the reserve was established. <ul style="list-style-type: none"> <i>In the FY 11-12 Compliance Report the established Capital Fund Reserve Window is FY 12/13 through FY 15/16.</i> To ensure expeditious use of funds, Alameda CTC expects recipients to expend funds identified in the first FY of the reserve fund.
3. <u>Operations Fund Reserve</u> Recipients may establish and maintain a specific reserve to address operational issues, including fluctuations in revenues, and to help maintain transportation operations	50 percent of anticipated annual pass-through revenues	<ul style="list-style-type: none"> This is a revolving fund; therefore, unexpended funds may be reassigned in the subsequent fiscal year, but must be expended within three FY. The next reserve window is FY 13/14 through FY 16/17.
4. <u>Undesignated Fund Reserve</u> Recipients may establish and maintain a specific reserve for transportation needs over a fiscal year for grants, studies, contingency, etc.	10 percent of anticipated annual pass-through revenues	This is a revolving fund; therefore, unexpended funds may be reassigned in the subsequent fiscal year, but must be expended within three FY. The next reserve window is FY 13/14 through FY 16/17.

Annual Expenditure Threshold Guideline

Alameda CTC recognizes recipients are providing an estimate of planned expenditures when reporting their implementation plan as part of the Annual Compliance Report. As a result there may be unexpected funds balances from these planned budgets due to project bid savings, contingencies, or supplemental savings. Thus, Alameda CTC will monitor and evaluate the total summation of the planned annual expenditures across all programmatic types (*Bike/Pedestrian, Local Transportation, Mass Transit, and Paratransit*).

SECTION 1: Measure B/VRF Annual Planned Projects

Purpose of Annual Planned Projects

Through the Annual Program Compliance Report, jurisdictions may report annual planned projects using Measure B/VRF funds in the compliance reporting forms, *Table 3 Section 1 Planned Projects*.

Project Types include:

- One-year Capital Projects
- Traffic Operations
- Traffic Signal Coordination
- Slurry Seal/Pavement Rehabilitation
- Program Management

TUF Policy Implementation

- Alameda CTC will monitor the recipient's planned versus actual expenditures in the Annual Program Compliance Report.
- Alameda CTC expects recipients to expend funds identified as annual planned projects.
 - Recipient's annual reported expenditures collectively across the planned sections for all applicable programmatic types must be at least 70 percent expended to demonstrate expeditious use of Measure B/VRF funds.
 - 70 percent expenditure threshold is derived from:
 - Jurisdictions planned annual expenditures are reported in December, which is mid-way through the relevant fiscal year.
 - Permits an allowance for contingencies or unexpected cost savings.
 - Any unspent funds greater than 30 percent of the reported planned expenditures across the planned sections for all applicable programmatic categories must be justified and may be subject for rescission, unless a written time extension request is submitted by the recipient and approved by Alameda CTC (MPFA, Article 3).

Program Compliance Report Table 3

Section 1: Planned Projects (unreserved)

RESERVE TYPE DESCRIPTION	RECIPIENT'S RESPONSIBILITY	ALAMEDA CTC'S RESPONSIBILITY
<p>Projects included in this section are required to be implemented and funded as planned during the specified fiscal year.</p> <p><u>Project Types include:</u></p> <ul style="list-style-type: none"> - One-year Capital Projects - Traffic Operations - Traffic Signal Coordination - Slurry Seal/Pavement Rehabilitation - Program Management 	<p>Recipients are allowed to outline specific projects that are planned to be implemented during the specified fiscal year.</p> <p>Recipients will report these same projects/expenditures in subsequent Annual Compliance Reports and identify their delivery status.</p>	<p>Alameda CTC will review projects listed in this section through the Annual Program Compliance Report process and ensure the Recipient is adhering to the TUF Policy.</p> <p>Alameda CTC will monitor Recipient's reported planned expenditures and actual expenditures reported in the past and present Annual Program Compliance Reports. Alameda CTC will evaluate unexpended fund balances.</p>
<p><u>What happens to unexpended balances?</u></p> <ol style="list-style-type: none"> 1. All funds specified in this section must be no less than 70 percent expended collectively across the planned sections for all applicable programmatic categories. A written justification is required for unexpended balances for administrative or Commission approval. Annual balances less than 30 percent are subject to administrative approval, and balances greater than 30 percent are subject to Commission approval. 2. Any funds not expended may be subject to rescission, unless a written time extension request is submitted by the recipient and approved by Alameda CTC. 		

SECTION 2: Measure B/VRF Capital Fund Reserve

Purpose of Capital Fund Reserve

Through the Annual Program Compliance Report, jurisdictions may report planned uses of Measure B/VRF fund reserves for Capital Projects in Table 3 *Section 2 Capital Fund Reserve* of the compliance reporting forms.

The Capital Fund Reserve is for anticipated Capital Projects planned over four fiscal years. Recipients cannot reserve funds past the end of the third fiscal year immediately following the fiscal year during which the reserve was established.

Project Types include:

- Multi-year Capital Projects
- Roadway Projects
- Drainage/Facilities Projects
- Slurry Seal/Pavement Rehabilitation
- Bike/Pedestrian Projects

TUF's Implementation Policy

- Alameda CTC will track each project's proposed budget in the Capital Fund Reserve by phase and year through the Annual Program Compliance Report process.
- Alameda CTC will monitor the recipient's reported Capital Fund Reserve planned versus actual expenditures.
- Recipient's annual reported planned expenditures for the overall reserve section must demonstrate expeditious use of Measure B/VRF funds.
- Recipient may request fund adjustments from year to year within the reserve period as part of the Annual Compliance Report. However, Alameda CTC will monitor each individual project to ensure that the reported expenditures for each fiscal year are being expended within the TUF requirements.
 - Any unspent funds for individual projects and/or Capital Fund Reserve section must have a justification for the unexpended funds.
 - Recipient may reallocate funds to an outer year in the reserve window to the same project or to an alternative project.
 - Unexpended funds must be reallocated in the same Capital Fund Reserve window in which the reserve was established.
 - Any funds not expended by the end of third fiscal year immediately following the fiscal year during which the reserve was established will be rescinded, unless a written time extension request is submitted by the recipient and approved by Alameda CTC (*MPFA, Article 3*).

Program Compliance Report Table 3**Section 2: Capital Fund Reserve**

RESERVE TYPE DESCRIPTION	RECIPIENT'S RESPONSIBILITY	ALAMEDA CTC'S RESPONSIBILITY
<p>Projects included in this section are designated with Capital Fund Reserves during a reserve window over four fiscal years.</p> <p><i>Reserve Window: All funds must be expended prior to the end of the third fiscal year immediately follow the fiscal year during which the reserve was established.</i></p> <p><u>No.1 Initial Reserve Window</u> - FY 12-13 through FY 15-16</p> <p><u>No.2 Second Reserve Window</u> - FY 13-14 through FY 16-17</p> <p>The Capital Fund Reserve is for large capital project(s) that could otherwise not be funded with a year's worth of Measure B/VRF pass-through funds. All programmed funds must be expended by the end their respective fiscal year window.</p> <p><u>Project Types include:</u></p> <ul style="list-style-type: none"> - Multi-year Capital Projects - Roadway Projects - Drainage/Facilities Projects - Slurry Seal/Pavement Rehabilitation - Bike/Pedestrian Projects 	<p>Recipients are expected to report large capital projects funded with Measure B/VRF revenue over a four fiscal year reserve window.</p> <p>Any projects that require additional Measure B/VRF funding beyond the total anticipated fiscal year's revenue that is allocated in this reserve must state in the project status notes:</p> <ol style="list-style-type: none"> 1. The total project cost using Measure B/VRF funding; 2. The outstanding Measure B/VRF balance that is required to complete the project; and 3. Specify anticipated future funding using additional Measure B/VRF revenue for the project in subsequent years. <p>Recipients will report these same projects/expenditures in subsequent Annual Compliance Reports and identify their delivery status (i.e. continuing or close-out).</p>	<p>Alameda CTC will track each project proposed in the Capital Fund Reserve through the Annual Program Compliance Report process to ensure the Recipient is adhering to the TUF Policy of the MPFA.</p> <p>Alameda CTC will monitor Recipient's reported planned expenditures and actual expenditures reported in the past and present Annual Program Compliance Reports.</p> <p>Alameda CTC will evaluate unexpended fund balances.</p>
<p><u>What happens to unexpended balances?</u></p> <ol style="list-style-type: none"> 1. Recipients must expend the funds identified for projects as reported within their respective reserve window. <ol style="list-style-type: none"> a. Capital Fund Reserve balances may be forwarded to an outer year of the original set reserve window. b. As part of the Program Compliance Report, a written justification is required for unexpended balances. 2. Any funds not expended by the end of third fiscal year immediately following the fiscal year during which the reserve was established will be rescinded, unless a written time extension request is submitted by the recipient and approved by Alameda CTC. 		

SECTION 3: Measure B/VRF Operations Fund Reserve

Purpose of Operations Fund Reserve

As part of the Annual Program Compliance Report, jurisdictions may establish an operational reserve of up to 50 percent of annual Measure B/VRF fund revenues in Table 3 *Section 3 Operations Fund Reserve* of the compliance reporting forms.

The Operations Fund Reserve allowed to accounts for fluctuations in revenues, and operational adjustments.

Project Types include:

- Transit Operations
- Traffic Signal Coordination
- Street Lights Maintenance
- Roadway/Traffic Studies
- Facilities Maintenance
- General Studies

TUF's Implementation Policy

- Alameda CTC will monitor recipient's annual Operations Fund Reserve to ensure it does not exceed 50 percent of the annual Measure B/VRF revenue.
- There are no expenditure requirements for the immediate fiscal year that the reserve was established for. However, in the following fiscal year, recipients must reallocate the reserve balance to a planned project or Capital Fund Reserve project.
 - Once reallocated, any funds not expended by the end of third fiscal year immediately following the fiscal year during which the initial operating reserve was established will be rescinded, unless a written time extension request is submitted by the recipient and approved by Alameda CTC.

Program Compliance Report Table 3 Section 3: Operations Fund Reserve		
RESERVE TYPE DESCRIPTION	RECIPIENT'S RESPONSIBILITY	ALAMEDA CTC'S RESPONSIBILITY
<p>Projects and activities included in this section are designed to address operational issues, such as fluctuations in revenues, and to help maintain transportation operations.</p> <p>The total amount identified may not exceed 50 percent of anticipated annual revenue.</p> <p><u>Project Types include:</u></p> <ul style="list-style-type: none"> - Transit Operations - Traffic Signal Coordination - Street Lights Maintenance - Roadway/Traffic Studies - Facilities Maintenance - General Studies 	<p>Recipients are allowed to program up to 50 percent of anticipated annual revenue for operational projects/programs such as transit operations, traffic operations, streetlight maintenance, etc.</p> <p>Recipients may also create a reserve item for general operations. Recipients cannot program more than 50 percent of anticipated annual revenue.</p> <p>Recipients will report these same projects/expenditures in subsequent Annual Compliance Reports and identify their delivery status (i.e. continuing or close-out).</p>	<p>Alameda CTC will review the project list to determine eligibility in the operational reserve.</p> <p>Alameda CTC will ensure the programmed amount does not exceed 50 percent of anticipated annual revenue.</p> <p>Alameda CTC will monitor Recipient's reported planned expenditures and actual expenditures reported in the past and present Annual Program Compliance Reports.</p>
<p><u>What happens to unexpended balances?</u></p> <p>Unexpended Operational Fund Reserve balance may be reassigned as part of the subsequent Annual Program Compliance Reporting process.</p>		

SECTION 4: Measure B/VRF Undesignated Fund Reserve

Purpose of Undesignated Fund Reserve

As part of the Annual Program Compliance Report, jurisdictions may establish an undesignated reserve of up to 10 percent of annual Measure B/VRF fund revenues in Table 3 *Section 4 Undesignated Fund Reserve* of the compliance reporting forms.

The Undesignated Fund Reserve accounts for project contingencies or unexpected circumstances.

TUF's Implementation Policy

- Alameda CTC will monitor recipient's annual Undesignated Fund Reserve to ensure it does not exceed 10 percent of the annual Measure B/VRF revenues.
- There are no expenditure requirements for the immediate fiscal year that the reserve was established for. However, in the following fiscal year, recipients must reallocate the reserve balance to a planned project or Capital Fund Reserve project.
 - Once reallocated, any funds not expended by the end of third fiscal year immediately following the fiscal year during which the initial undesignated fund reserve was established will be rescinded, unless a written time extension request is submitted by the recipient and approved by Alameda CTC.

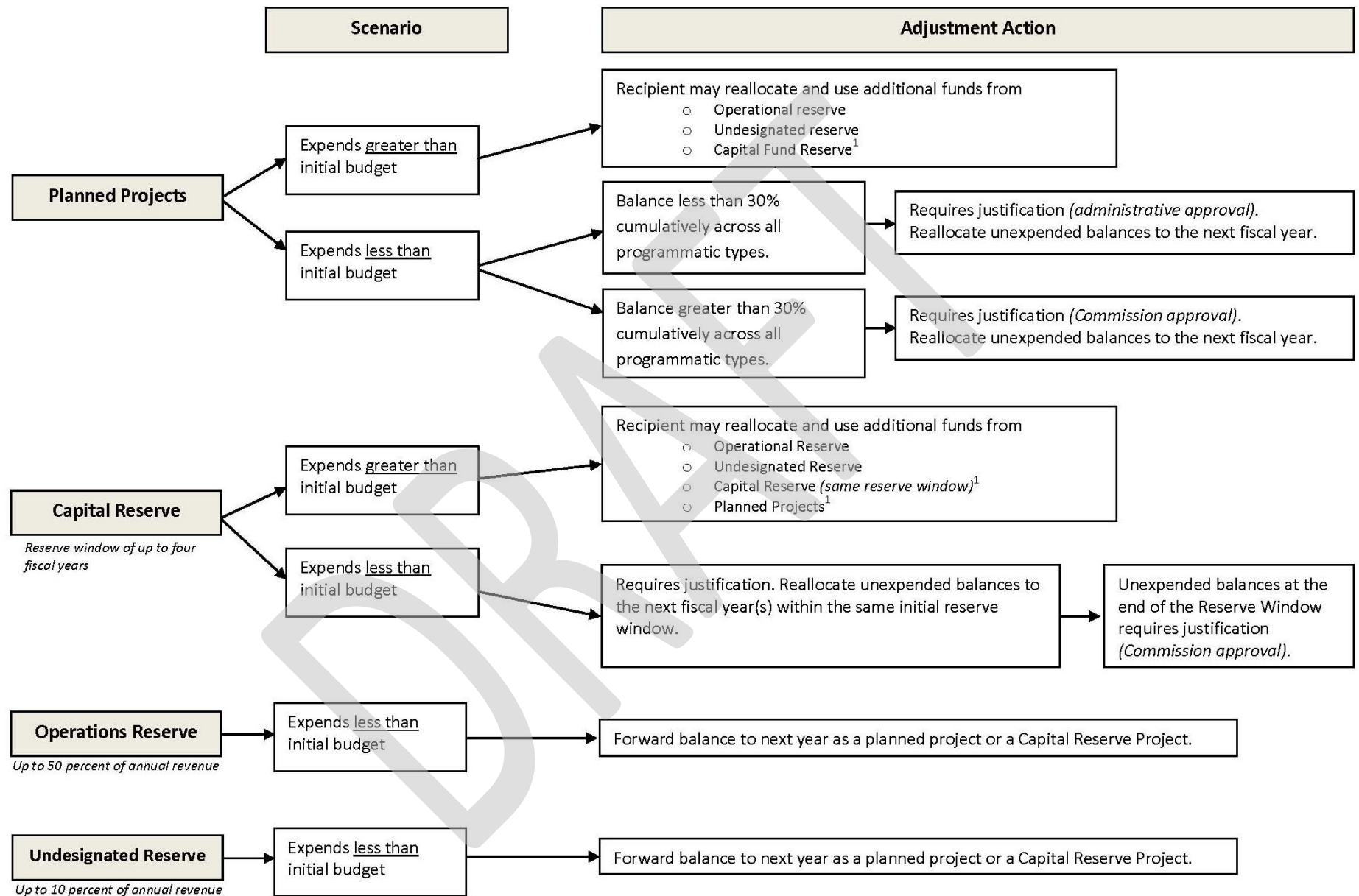
Program Compliance Report Table 3 Section 4: Undesignated Fund Reserve		
RESERVE TYPE DESCRIPTION	RECIPIENT'S RESPONSIBILITY	ALAMEDA CTC'S RESPONSIBILITY
<p>Projects included in this section are for unspecified/as-needed transportation activities such as matching funds for grants, project development work, studies for transportation purposes, or contingency funds for a project or program.</p> <p>This fund may not contain more than 10 percent of annual pass-through revenues.</p>	<p>Recipients may establish an undesignated reserve fund for yet to be defined transportation funding needs of up to 10 percent of anticipated annual revenue.</p> <p>Recipients may propose potential uses of undesignated fund reserves in the additional information or status section.</p>	<p>Alameda CTC will ensure the programmed amount does not exceed 10 percent of anticipated annual revenue.</p> <p>Alameda CTC will monitor Recipient's reported planned expenditures and actual expenditures reported in the past and present Annual Program Compliance Reports.</p>
<p><u>What happens to unexpended balances?</u></p> <p>1. Unexpended annual undesignated Fund Reserve balance may be reassigned as part of the subsequent Annual Program Compliance Reporting process.</p>		

Exhibit B: Annual Funding Adjustment Scenarios

FUND CAT.	SCENARIO	ADJUSTMENT
PLANNED PROJECTS	1. Recipient expends <u>greater than</u> initial budget <ol style="list-style-type: none"> Projects required additional funds Implemented more projects than expected 	<ul style="list-style-type: none"> Recipient may reallocate and use funds from <ul style="list-style-type: none"> Operational Reserve Undesignated Reserve Capital Fund Reserve¹
	2. Recipient expends <u>less than</u> initial budget <ol style="list-style-type: none"> Projects contained project savings or other funding sources acquired Projects were not delivered 	<p><u>Unexpended balance less than 30%</u></p> <ul style="list-style-type: none"> Recipient may reallocate unexpended balances less than 30 percent of overall planned allocation (<i>cumulatively across all programmatic types</i>) to the next fiscal year. Justification is required and reallocation subject to Alameda CTC administrative approval. <p><u>Unexpended balance greater than 30%</u></p> <ul style="list-style-type: none"> If unexpended amount is greater than 30 percent of overall planned allocation (<i>cumulatively across all programmatic types</i>). Recipient may propose to reallocate balances to the following fiscal year. Justification is required and reallocation is subject to Alameda CTC's Commission approval.
CAPITAL FUND RESERVE	3. Recipient expends <u>greater than</u> initial budget <ol style="list-style-type: none"> Projects required additional funds Implemented more projects than expected 	<ul style="list-style-type: none"> Recipient may reallocate and use funds from <ul style="list-style-type: none"> Operational Reserve Undesignated Reserve Capital Reserve (<i>same reserve window</i>)¹ Planned Projects¹
	4. Recipient expends <u>less than</u> initial budget <ol style="list-style-type: none"> Projects contained project savings or other funding sources acquired Projects were not delivered 	<p><u>Unexpended balances</u></p> <ul style="list-style-type: none"> Recipient may reallocate unexpended balances per project and/or overall planned Capital Fund Reserve allocation to the next fiscal year(s) within the same reserve window. <ul style="list-style-type: none"> Justification statements are required for fund balances and proposed reallocations. Funds must remain within the same initial Capital Fund Reserve window. Unexpended balances at the end of the four fiscal year reserve window may be subject to rescission.
OPERATIONS FUND RESERVE	5. Recipient expends <u>less than</u> initial budget	<ul style="list-style-type: none"> Operations Reserve balance to be forwarded to following fiscal year as a planned project or a Capital Reserve Project.
UNDESIGNATED FUND RESERVE	6. Recipient expends <u>less than</u> initial budget	<ul style="list-style-type: none"> Undesignated Fund Reserve balance to be forwarded to following fiscal year as a planned project or a Capital Reserve Project.

Notes: 1. Drawing funds from the "Planned" or "Capital Fund" fund sources should be considered after exhausting other Fund Reserves. If drawing funds from the "Planned Projects" or "Capital Fund Reserve" project(s), recipient must disclose the impact of removing funds for the project(s), and alternative funding to fulfill the original project funding plan.

ANNUAL FUNDING ADJUSTMENT SCENARIOS FLOW DIAGRAM



Notes: 1. Drawing funds from the "Planned" or "Capital Fund" fund sources should be considered after exhausting other Fund Reserves. If drawing funds from the "Planned Projects" or "Capital Fund Reserve" project(s), recipient must disclose the impact of removing funds for the project(s), and alternative funding to fulfill the original project funding plan.

“BASELINE” IMPLEMENTATION PLANS AND FUND RESERVES

The TUF policy dictates that Measure B and VRF funds must be expended expeditiously and within specified time periods as outlined for each of the reserve categories.

As such, recipients are required to submit an Annual Program Compliance Report on December 31st. This submitted report will be reviewed by Alameda CTC staff, the Citizens Watchdog Committee, and posted on the Alameda CTC’s website. Recipients may be requested to clarify reporting data and project implementation plans. Thus, recipients may be asked to modify their Annual Program Compliance Report submittal. By mid-March, recipients’ may submit revisions to the Annual Program Compliance Reports, if necessary. Revision must include information on the delivery status of planned projects and programs, and reasons for changes. These reports establish a baseline implementation plan used for evaluation to subsequent compliance reports.

The finalized (*executed*) reported information provided in the Annual Program Compliance Report’s Table 3 will be used to evaluate the recipient’s adherence to the TUF policy as described in the MPFA. Information reported in the Annual Program Compliance Report’s Table 3 will be evaluated against the subsequent year’s reported expenditure information to determine compliance with the TUF policy.

AMENDMENT REQUESTS

Alameda CTC will consider the following amendment requests:

1. Reallocation of unexpended annual balances of Planned Projects or Capital Fund Reserve at the TUF milestone.
 - a. Annual balances less than 30 percent (*cumulatively across all programmatic types*) are subject to administrative approval, and
 - b. Annual balances greater than 30 percent (*cumulatively across all programmatic types*) are subject to Commission approval.
2. Revision to projects identified in the Capital Fund Reserve including scope, project lists, and dollars.

Administrative Amendment Procedure

1. Recipients must justify and propose balance reallocations within the Annual Compliance Report for balances less than 30 percent of overall reserve sections (*cumulatively across all programmatic types*).
2. Alameda CTC will review the requests through the compliance reporting process and may request additional information, if required, prior to its determination of the request.

Formal Amendment Procedure

1. Recipients must submit a written request for a time extension and reallocation of balances greater than 30 percent of overall reserve sections (*cumulatively across all programmatic types*) to Alameda CTC.
2. Alameda CTC staff will evaluate the eligibility of time extension request and will prepare the staff report to Alameda CTC Commission.
3. Alameda CTC Commission approval is required for a formal amendment.
4. Alameda CTC staff will notify recipient of the Commission’s action in writing.



Memorandum

6.1

1111 Broadway, Suite 800, Oakland, CA 94607

• PH: (510) 208-7400

• www.AlamedaCTC.org

DATE: October 3, 2013

SUBJECT: Sustainable Communities Technical Assistance Program (SCTAP) List of Applications Received

RECOMMENDATION: Receive an update on applications received for the Sustainable Communities Technical Assistance Program (SCTAP)

Summary

The SCTAP provides significant support to Alameda County jurisdictions in the form of on-call consultant expertise for Priority Development Area (PDA) and Growth Opportunity Area (GOA) planning and implementation, complete streets policy implementation, and bicycle and pedestrian planning and engineering technical support. Areas outside of PDAs and GOAs are also eligible for bicycle and pedestrian planning and engineering technical support.

A call for projects was issued on June 4, 2013, and applications were due on September 17, 2013. A total of 22 applications totaling \$5.9 million in requested funds were received from ten different jurisdictions, AC Transit and LAVTA (see Attachment A). Alameda CTC staff as well as two additional staff members from MTC and ABAG are reviewing applications. Staff will bring a list of projects recommended for funding to the Committees and Commission in January 2014. Alameda CTC will then work with the chosen project sponsors to select consultants from the qualified list using an RFP process.

Background

In February 2013, the Commission approved the program guidelines and the allocation of funds for the SCTAP. An RFQ was released in March 2013 to solicit statements of qualifications from consultants, and a list of qualified consultants has been finalized. Staff is currently working to finalize authorization from Caltrans for expenditure of the federal funds that will be used for the program.

Alameda CTC has allocated up to \$500,000 of Measure B TCD funds which will be combined with \$296,700 of TCD Program funds already programmed to the previous TOD-TAP to provide a match for the \$3.905 million of OBAG PDA Planning and Implementation funds. In addition, \$50,000 of Measure B Bicycle and Pedestrian Safety discretionary funds is budgeted for the SCTAP in FY 2012-13. Accounting for existing project commitments, staff costs associated with managing the SCTAP, and other potential PDA Planning and Implementation activities, it is

estimated that approximately \$4 million will be available for this initial round of SCTAP projects.

Fiscal Impact

There is no fiscal impact at this time.

Attachments

- A. SCTAP 2013 Call for Projects List of Applications Received

Staff Contacts

[Tess Lengyel](#), Deputy Director of Planning and Policy

[Kara Vuicich](#), Senior Transportation Planner

Attachment A: SCTAP 2013 Call for Projects List of Applications Received

Abbreviations: PDA = Priority Development Area; CS = Complete Streets; BP = Bicycle/Pedestrian

Index	Jurisdiction	Project Type	Project Name	Project Description	Total Requested	Total Project Cost	Matching Funds
1	AC Transit	PDA	Major Corridors Study	Study to improve transit on nine corridors that serve and/or link PDAs.	\$236,000	\$750,000	\$514,000
2	AC Transit	CS	Update of Designing with Transit	Comprehensive revision of current Designing with PDAs and complete streets.	\$100,000	\$100,000	\$ -
3	Alameda (City)	PDA/CS	Clement Ave Complete St Corridor	Preliminary engineering for developing a bikeway along Clement Ave that provides a direct, commuter-oriented route linking central Alameda to the east end and beyond, including Oakland and Fruitvale BART.	\$400,000	\$400,000	\$ -
4	Albany	PDA	Citywide Parking Study and Plan	The parking study would examine existing conditions and develop a strategy for managing parking to support the city's land use objectives.	\$49,000	\$49,000	\$ -
5	Albany	PDA	Solano Ave Concept Design for Complete Streets	Develop a concept design to better address the needs of pedestrians, cyclists, transit, and autos on Albany's primary commercial corridor.	\$55,600	\$55,600	\$ -
6	Albany	PDA/BP	Kains St and Adams St Bicycle Facility Study*	Evaluate the type of bicycle facilities suitable for implementation along Kains and Adams streets in Albany. These streets serve as parallel facilities to San Pablo Ave.	\$32,800	\$32,800	\$ -
7	Emeryville	PDA/CS	Horton St Bicycle and Complete St Design Project	Project #1 is on Horton Street between 45th Street and 53rd Street and involves traffic calming and speed reduction of motor vehicles to increase bicycle and pedestrian safety. Project #2 is on Horton Street adjacent to the Amtrak station covering the area from Powell Street to 62nd Street. This part of Horton Street is designated as both Bicycle Priority and a Transit Priority Streets. There are commercial and residential uses in the area that have motor vehicle loading and parking needs that are not currently being met legally with the existing street configuration.	\$36,800	\$36,800	\$ -

6.1A

Index	Jurisdiction	Project Type	Project Name	Project Description	Total Requested	Total Project Cost	Matching Funds
8	Hayward	PDA	Hayward Downtown Specific Plan	Development of a new Downtown Specific Plan. The new Downtown Plan will replace six Downtown planning and zoning documents that were adopted between 1987 and 2002.	\$750,000	\$919,000	\$169,000
9	Hayward	PDA/CS	C St Complete Streets Improvements Project Design	Apply complete streets criteria to C St between Grand and Filbert, connecting the Downtown PDA with the Cannery PDA. The project will reduce the number of travel lanes and make improvements to bicycle and pedestrian infrastructure, including bike lanes.	\$265,000	\$265,000	\$ -
10	Hayward	PDA/CS	Main St Complete Streets Improvements Project Design	Apply complete streets design to Main St between A and C Sts in the Downtown PDA. The project will reduce the number of travel lanes and make improvements to bicycle and pedestrian infrastructure, including bike lanes.	\$280,000	\$280,000	\$ -
11	Hayward	CS	Complete Streets Implementation Procedures	Develop clear guidance and procedures for implementing complete streets policies in the planning and design phases of projects.	\$100,000	\$100,000	\$ -
12	LAVTA. Cities of Pleasanton, Dublin and Livermore	PDA	Tri-Valley Multi-Modal Access and PDA Connectivity Study	<p>The study provides a set of data and analysis that will allow local planners to design and build capital and operational projects. These projects, and the transportation system that will result from them, will implement improved service, infrastructure, safety, reliability and connectivity for all users of all modes. By working together from the initial data gathering stage of the planning process, the project sponsors hope to minimize redundant, duplicative and conflicting project goals and expenses, and provide more economical and streamlined transportation services for the Tri-Valley. Includes the following sub-projects:</p> <ol style="list-style-type: none"> 1) Tri-Valley Smart Parking Technology Study 2) Iron Horse Connectivity to BART Feasibility Study 3) Pleasanton Park & Ride Study 4) Livermore Downtown PDA Parking Management Program 5) LAVTA Onboard O-D, Modeling and Benchmarking Study 6) I-680 O-D Study 	\$1,385,000	\$1,684,200	\$299,200

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Index	Jurisdiction	Project Type	Project Name	Project Description	Total Requested	Total Project Cost	Matching Funds
13	Piedmont	CS	Complete Streets Policy Implementation	Develop revised street standards and policy implementation tools and processes.	\$46,300	\$46,300	\$ -
14	Pleasanton	BP	Feasibility Study for Pedestrian and Bicycle Bridges	Pleasanton has a system of trails adjacent to waterways. The lack of bridges at key locations prevents connectivity and access to destinations, however. Project will develop a prioritized list of bike/ped bridges.	\$25,000	\$50,000	\$25,000
15	San Leandro	CS	Complete Streets: Administrative Code and Design Guidelines Implementation Project	Develop needed procedural documents and facilitate implementation and staff training necessary for a successful complete streets program.	\$90,000	\$90,000	\$ -
16	San Leandro	PDA	San Leandro Downtown Parking Management Plan	Develop a strategy to better manage existing supply and demand and facilitate implementation of future land use and development objectives for the downtown.	\$145,000	\$175,000	\$30,000
17	Oakland	PDA/BP	Bikeway Network 2.0	Project addresses major network gaps in four bikeway corridors that extend across Oakland as well as gaps on three additional bikeways. These seven corridors comprise 37 miles of the city's bikeway network and connect all of Oakland PDAs. The scope addresses gaps along 6 miles of these roadways and at 7 additional intersections. Project would focus on "next generation" bikeway design.	\$500,975	\$500,975	\$ -
18	Oakland	PDA	CEQA Streamlining for PDA and Infill Development	The CEQA streamlining program developed through this project will apply to projects Citywide. However, the focus of the streamlining efforts will be to increase opportunities for infill development, particularly in Oakland's Priority Development Areas (PDAs)	\$375,000	\$375,000	\$ -

Index	Jurisdiction	Project Type	Project Name	Project Description	Total Requested	Total Project Cost	Matching Funds
19	Oakland	PDA	Downtown Oakland PDA Parking Plan	This project seeks to propose changes to the management of downtown parking in order to allow infill development to proceed while maintaining the availability of parking for those who need it. The goal is to take increase the efficient use of the existing parking supply, encourage “park-once” behavior, and incent walking, biking, and transit use in truly multimodal system for getting to and around downtown Oakland.	\$300,000	\$300,000	\$ -
20	Oakland	PDA	RFP for a Transportation Impact Fee Program and a Complete Streets Capital Projects List or Plan	The RFP and Complete Streets Capital Projects list developed through this project will apply Citywide, but especially to development within the PDAs. The focus of the effort will be to increase infill development by addressing transportation impacts without discouraging development. This project works hand-in-hand with the proposed CEQA streamlining program.	\$124,250	\$124,250	\$ -
21	Oakland	PDA	Equitable Transportation Infrastructure Investment Tool	The project intent is to build upon our existing transportation project prioritization tool (funded by ACTC in 2012/13) to develop equity criteria that can be used to recommend City investments and Capital Improvement Program activities of all kinds into Oakland PDAs that show the greatest social need and greatest neglect. These areas are located throughout the Potential and Priority Development Areas of Oakland.	\$229,250	\$229,250	\$ -
22	Oakland	PDA	Downtown Oakland Circulation Study and CEQA Document	The project seeks to evaluate the feasibility of converting one-way streets in downtown Oakland to two-way operation. Previous circulation studies, including the Revive Chinatown Community Transportation Plan (2002) and the Chinatown One-Way Street Conversion Study (2009) have recommended that many of the one-way streets in the Chinatown area of Downtown Oakland be considered for conversion to two-way streets. This study, on the other hand, will examine nearly all of the downtown street system.	\$400,000	\$400,000	\$ -
Total Requested					\$5,925,975	\$6,963,175	\$1,037,200

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Memorandum

6.3

1111 Broadway, Suite 800, Oakland, CA 94607

• PH: (510) 208-7400

• www.AlamedaCTC.org

DATE: October 3, 2013

SUBJECT: Alameda CTC Timely Use of Funds (TUF) Monitoring Reports

RECOMMENDATION: Receive an update on the current status of State Transportation Improvement Program (STIP), Federal Surface Transportation/ Congestion Mitigation and Air Quality (STP/CMAQ), and Transportation for Clean Air (TFCA) projects through the TUF monitoring reports

Summary

The Alameda CTC monitors the projects programmed with State Transportation Improvement Program (STIP), Federal Surface Transportation/Congestion Mitigation and Air Quality (STP/CMAQ), and Transportation for Clean Air (TFCA) County Program Manager funds for compliance with the respective program requirements and provides periodic updates to the Commission. The attached (Timely Use of Funds) TUF reports are dated December 31, 2013. Project sponsors are requested to provide documentation related to the status of the required activities shown on the STIP, STP/CMAQ and TFCA TUF reports by Friday, December 13, 2013.

Background

The Timely Use of Funds (TUF) reports assign projects to zones of risk based on the status of the monitored activities at the time of the report date. Red zone projects are considered at a relatively high risk of non-compliance with the requirements. Yellow zone projects are considered at moderate risk, and Green zone at low risk. The durations included in the criteria are intended to provide adequate time for project sponsors to perform the required activities to meet the deadline(s). A project may have multiple risk factors that indicate multiple zones. Projects with multiple risk factors are listed in the zone of higher risk.

The TUF reports are provided to allow project sponsors an opportunity to review and provide updates prior to the Alameda CTC's At Risk monitoring reports, which are scheduled to be brought to the Commission in January 2014. The STIP and STP/CMAQ TUF reports are based on the information provided to the Alameda CTC's project monitoring team by project sponsors as well as information made available by other funding agencies such as the Metropolitan Transportation Commission (MTC) and Caltrans Local Assistance. For these reports, the Alameda CTC requests project sponsors provide copies of certain documents

related to the required activities to verify that the deadlines have been met. The requested documentation may include copies of documents submitted by the sponsor to agencies such as MTC, Caltrans and the California Transportation Commission (CTC). The TFCA TUF report is based by the status information on file with the Alameda CTC.

STIP TUF Report

The attached STIP TUF report (Attachment A) includes 27 projects monitored for compliance with the STIP "Timely Use of Funds" provisions. The criteria for determining the project zones are listed near the end of the report. The risk zone associated with each risk factor is indicated in the tables following the report. Note that for the STIP "Complete Expenditures" deadline, sponsors must provide documentation supported by their accounting department as proof that the Complete Expenditures deadline has been met.

STP/CMAQ TUF Report

The attached STP/CMAQ TUF report (Attachment B) includes 64 locally-sponsored, federally-funded projects monitored for compliance with the requirements set forth in MTC's Regional Project Delivery Policy (MTC Resolution 3606, revised July 23, 2008). Appendix B of the report provides the Resolution 3606 deadlines associated with each of the required activities. Sponsors of STP/CMAQ projects are requested to note the following:

- For projects programmed in federal FY 2013/14, the deadline to submit a request for authorization (RFA) is February 1, 2014 and the deadline to obligate funding (receive E-76 or FTA transfer) is April 30, 2014.
- Projects in the three local federal Safety Programs: Highway Safety Improvement Program (HSIP), High Risk Rural Roads Program (HR3), and Safe Routes to School Program (SRTS) have been added to the STP/CMAQ report. As of November 2010, MTC has been enforcing the provisions of MTC Resolution 3606 for all local safety programs. Per MTC, sponsors with local safety funds not obligated by the deadline are ineligible for future programming.
- The deadline for submitting the environmental package one year in advance of the obligation deadline for right of way or construction capital funding is tracked and reported, but is not affiliated with a zone of risk.
- Projects with OneBayArea Grant (OBAG) STP/CMAQ funds that are programmed in FY 2013/14 and subject to the February 1, 2014 (RFA) and April 30, 2014 (E-76) deadlines are shown in Appendix C. These projects are scheduled for 2013 Transportation Improvement Program (TIP) approval in late November 2013 and will be included in the next STP/CMAQ At Risk report.

TFCA TUF Report

The attached TFCA TUF report (Attachment C) includes 31 active and seven recently completed projects programmed with Alameda County TFCA Program Manager funds and monitored for TFCA program compliance. In this report, 30 projects are in the Red

zone with required activities due within four months (of the report date of December 31, 2013). Of these, 15 will remain in the Red zone until funding agreements are executed. There is one project in the Green zone with required activities that are not due for eight months or more. The seven recently completed projects have met the TFCA requirements monitored through the TUF report and will be removed from future reports.

Next steps

Project sponsors are requested to email documentation related to the status of the required activities shown in the attached STIP, STP/CMAQ and TFCA reports to Jacki Taylor, jtaylor@alamedactc.org, by Friday, December 13, 2013. The attached TUF reports and received updates will be the basis for the next STIP, STP/CMAQ and TFCA At Risk reports scheduled to be brought to the Commission January 2014.

Fiscal Impact: There is no fiscal impact.

Attachments

- A. STIP Timely Use of Funds Report, dated December 31, 2013
- B. STP/CMAQ Timely Use of Funds Report, dated December 31, 2013
- C. TFCA Timely Use of Funds Report, dated December 31, 2013

Staff Contacts

[Matt Todd](#), Principal Transportation Engineer

[James O'Brien](#), Project Controls Team

[Jacki Taylor](#), Program Analyst

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STIP Timely Use of Funds Report
STIP-RIP Locally-Sponsored Alameda County Projects

Status Date: December 31, 2013

Red Zone Projects

Index	PP No. Source	Sponsor Prog'd Amount (\$x 1,000)	Project Title Phase	FY	Required Activity	Date Req'd By	Zone	Notes	Prev Zone
1	0057J	Caltrans	SR-24 Caldecott Tunnel 4th Bore Landscaping						
	RIP	\$1,100	CE	13/14	Allocate Funds	6/30/14	R		R
	RIP	\$500	Con	13/14	Allocate Funds	6/30/14	R		
	RIP	\$400	PSE	12/13	Complete Expend	6/30/15	G	\$400K Allocated 6/11/13	
2	2014U	GGBHTD	SF Golden Gate Bridge Barrier						
	RIP	\$12,000	Con	11/12	Allocate Funds	12/31/13	R	18-Mo Ext App'd May 12 \$20M Total RIP - \$12M Ala	R

End of Red Zone

Yellow Zone Projects

Index	PP No. Source	Sponsor Prog'd Amount (\$x 1,000)	Project Title Phase	FY	Required Activity	Date Req'd By	Zone	Notes	Prev Zone
3	2100F	Alameda Co.	Cherryland/Ashland/Castro Valley Sidewalk Imps.						
	RIP-TE	\$1,150	Con	10/11	Accept Contract	11/1/14	Y	\$1,150 Allocated 5/12/11 Awarded Nov 2011	G
4	2103	BART	Oakland Airport Connector						
	RIP	\$20,000	Con	10/11	Accept Contract	9/1/14	Y	App'd into STIP and allocated 9/23/10 Awarded Oct 2010	G
5	2140S	LAVTA	Rideo Bus Restoration Project						
	RIP-TE	\$200	Con	10/11	Accept Contract	8/10/14	Y	\$200 Allocated 5/12/11 from SM County Reserve Contract Awd 8/10/11	G
6	2009K	LAVTA	Satellite Bus Operating Facility (Phases 1 & 2)						
	RIP	\$4,000	Con	11/12	Accept Contract	11/7/14	Y	Note 3 \$4M Alloc'd 6/23/11 PTA Contract Awd 11/7/11	G
7	2103A	Oakland	Oakland Coliseum TOD						
	RIP-TE	\$885	Con	10/11	Accept Contract	11/10/14	Y	\$885 Allocated 6/23/11 Contract Awd 11/10/11	G

End of Yellow Zone

STIP Timely Use of Funds Report
STIP-RIP Locally-Sponsored Alameda County Projects

Status Date: December 31, 2013

Green Zone Projects (cont.)

Index	PP No. Source	Sponsor Prog'd Amount (\$x 1,000)	Project Title Phase	FY	Required Activity	Date Req'd By	Zone	Notes	Prev Zone
8	0044C RIP	Alameda CTC \$2,000	I-880 Reconstruction, 29th to 23rd PSE	10/11	Final Invoice/Report		NA		R
9	2100K RIP-TE	Alameda CTC \$400	I-880 Landscape/Hardscape Improvements in San Leandro PSE	09/10	Final Invoice/Report		NA	\$400K Allocated 6/30/10 12-Mo Ext App'd April 2012	R
10	2009A RIP	AC Transit \$3,705	Maintenance Facilities Upgrade Con	06/07	Project Being Removed from Report			\$3,705K Allocated 9/7/06	G
11	2009B RIP	AC Transit \$1,000	SATCOM Expansion Con	06/07	Project Being Removed from Report			\$1,000K Allocated 9/7/06	G
12	2009C RIP	AC Transit \$2,700	Berkeley/Oakland/San Leandro Corridor MIS Env	06/07	Project Being Removed from Report			\$2,700K Allocated 4/26/07	G
13	2009D RIP	AC Transit \$4,500	Bus Component Rehabilitation Con	06/07	Project Being Removed from Report			\$4.5M Allocated 7/20/06	G
14	2009Q RIP	AC Transit \$14,000	Bus Purchase Con	06/07	Project Being Removed from Report			\$14M Allocated 10/12/06	G
15	0062E RIP	Alameda CTC \$954	I-80 Integrated Corridor Mobility Env	07/08	Project Being Removed from Report			\$954 Allocated 9/5/07 Contra Costa RIP	G
16	0081H RIP RIP-TE	Alameda CTC \$34,851 \$2,179	RT 84 Expressway Widening (Segment 2) Con Con	16/17 16/17	Allocate Funds Allocate Funds	6/30/17 6/30/17	G G		G
17	0139F RIP-TE	Alameda CTC \$350	Rt 580, Landscaping, San Leandro Estudillo Ave - 141st Con	10/11	Accept Contract	7/26/15	G	\$350K Allocated 10/27/11 3-Mo Ext for Awd 5/23/12 Contract Awarded 7/26/12	G
18	2179 RIP RIP RIP	Alameda CTC \$1,563 \$750 \$886	Planning, Programming and Monitoring (Note 2) Con Con Con	12/13 13/14 16/17	Complete Expend Complete Expend Allocate Funds	6/30/15 6/30/16 6/30/17	G G G	\$1,563 Allocated 6/28/12 \$750K Allocated 8/6/13 effective 7/1/13 (SB184)	G

Page 2 of 5

STIP Timely Use of Funds Report
STIP-RIP Locally-Sponsored Alameda County Projects

Status Date: December 31, 2013

Green Zone Projects (cont.)

Index	PP No.	Sponsor	Project Title	Phase	FY	Required Activity	Date Req'd By	Zone	Notes	Prev Zone
		Source	Prog'd Amount (\$x 1,000)							
19	2009Y	BART	Ashby BART Station Concourse/Elevator Imps							
		RIP-TE	\$1,200	Con	07/08	Project Being Removed from Report			\$1,200 Allocated 6/26/08	G
20	9051A	BATA	Improved Bike/Ped Connectivity to East Span SFOBB							
		RIP-TE	\$3,063	Con	16/17	Allocate Funds	6/30/17	G	Added in 2012 STIP	G
21	2009W	Berkeley	Ashby BART Station Intermodal Imps							
		RIP	\$4,614	Con	07/08	Final Invoice/Report		NA	\$4,614 Allocated 6/26/08	G
		RIP	\$1,500	Con	09/10	Final Invoice/Report		NA	AB 3090 App'd 8/28/08 \$1.5M Allocated 9/10/09	
22	2100G	Berkeley	Berkeley Bay Trail Project, Seg 1							
		RIP-TE	\$1,928	Con	10/11	Accept Contract	5/29/15	G	\$1,928 Allocated 12/15/11 Awarded 5/29/12	G
23	2100H	Dublin	Alamo Canal Regional Trail, Rt 580 undercrossing							
		RIP-TE	\$1,021	Con	10/11	Accept Contract	2/7/15	G	\$1,021 Allocated 8/11/11 Contract Awd 2/7/12	G
24	2100	MTC	Planning, Programming and Monitoring ²							
		RIP	\$114	Con	12/13	Complete Expend	6/30/15	G	\$114 Allocated 6/27/12 effective 7/1/12 (SB184)	G
		RIP	\$122	Con	14/15	Allocate Funds	6/30/15	G		
		RIP	\$118	Con	13/14	Complete Expend	6/30/16	G	\$118K Allocated 6/11/13 effective 7/1/13 (SB184)	
		RIP	\$126	Con	15/16	Allocate Funds	6/30/16	G		
		RIP	\$131	Con	16/17	Allocate Funds	6/30/17	G		
25	1022	Oakland	Rte. 880 Access at 42nd Ave./High St., APD							
		RIP	\$5,990	R/W	07/08	Project Being Removed from Report			\$5.99M Allocated 12/13/07	G
26	2100C1	Oakland	MacArthur Transit Hub Improvement, 40th St							
		RIP-TE	\$193	Con	07/08	Project Being Removed from Report			\$193 Allocated 7/26/07	G
27	2110	Union City	Union City Intermodal Station							
		RIP	\$4,600	Con	07/08	Project Being Removed from Report			\$4.6M Allocated 9/5/07	G
		RIP	\$720	Con	05/06				\$720K Allocated 11/9/06	
		RIP-TE	\$5,307	Con	05/06				\$5,307K Allocated 11/9/06	
		RIP-TE	\$2,000	Con	06/07				\$2,000K Allocated 11/9/06	
		RIP	\$9,787	Con	06/07				\$9,787K Allocated 11/9/06 6-Mo Ext App'd 9/23/10 for Accept Contract - Site Imps accepted 11/19/10	

End of Green Zone

Notes:

- 1** The "Date Req'd By" for the required activity is before the status date of this report. Sponsor is working with Caltrans, MTC and Alameda CTC to expedite/complete the required activity and/or satisfy the requirement.
- 2** PPM funds programmed in the Con phase are not subject to the typical construction phase requirements. Once PPM funds are allocated, the next deadline is "Complete Expenditures."
- 3** Transit projects receiving State-only funds are subject to project specific requirements in agreements with Caltrans (Federal funds are typically transferred to FTA grant).

2012 STIP -Timely Use of Funds Provisions

The Timely Use of Funds and At Risk reports monitor the STIP Timely Use of Funds Provisions included in the current STIP Guidelines as adopted by the CTC. The current Timely Use of Funds Provisions are as follows:

Required Activity	Timely Use of Funds Provision
Allocation	For all phases, by the end (June 30th) of the fiscal year identified in the STIP.
Construction Contract Award ¹	Within six (6) months of allocation.
Accept Contract (Construction)	Within 36 months of contract award.
Complete Expenditures	For Env, PSE, & R/W funds, costs must be expended by the end of the second FY following the FY in which the funds were allocated.
Final Invoice/Project Completion (Final Report of Expenditures)	For Env, PSE, & R/W funds, within 180 days (6 months) after the end of the FY in which the final expenditure occurred. For Con funds, within 180 Days (6 months) of contract acceptance.

Zone Criteria

The Timely Use of Funds and At Risk reports utilize the deadlines associated with each required activity of the STIP Timely use of Funds Provisions to assign a zone of risk. The following zone criteria was developed for each of these risk zones (Red, Yellow, & Green). For the Final Invoice, this activity is tracked but no zone of risk is assigned.

Required Activity	Criteria Timeframes for Required Activities		
	Red Zone	Yellow Zone	Green Zone
Allocation -Env Phase	within four months	within four to eight months	All conditions other than Red or Yellow Zones
Allocation -PS&E Phase	within six months	within six to ten months	All conditions other than Red or Yellow Zones
Allocation -Right of Way Phase	within eight months	within eight to twelve months	All conditions other than Red or Yellow Zones
Allocation -Construction Phase	within eight months	within eight to twelve months	All conditions other than Red or Yellow Zones
Construction Contract Award	within six months	within six to eight months	All conditions other than Red or Yellow Zones
Accept Contract	within six months	within six to twelve months	All conditions other than Red or Yellow Zones
Complete Expenditures	within eight months	within eight to twelve months	All conditions other than Red or Yellow Zones
Final Invoice/Project Completion (Final Report of Expenditures)	NA	NA	NA

Other Zone Criteria

Yellow Zone	STIP /TIP Amendment pending
Red Zone	Extension Request pending

Notes:

1. Statute requires encumbrance by award of a contract for construction capital and equipment purchase within twelve months of allocation. CTC Policy is six months.

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Federal/Timely Use of Funds Report

Status Date: December 31, 2013

Federally-Funded Locally-Sponsored Alameda County Projects

Red Zone Projects

Index	TIP ID	Sponsor	Project Title	Source	Prog'd Amount (\$x 1,000)	Phase	FY	Required Activity	Date Req'd By	Zone	Notes	Prev Zone
1	HSIP4-04-002	Alameda	Shoreline Dr - Westline Dr - Broadway Improvements									
	HSIP				\$348	Con	11/12	Submit Req for Auth	10/11/13	R	See Note 2	R
								Complete Closeout	01/12/16	G		
	HSIP				\$68	PE	11/12	Liquidate Funds	07/12/15	G	\$68 Obligated 1/18/12	
2	HSIP4-04-010	Alameda	Park Street Operations Improvements									
	HSIP				\$607	Con	11/12	Submit Req for Auth	01/12/14	R	See Note 2	Y
								Obligate Funds	04/12/14	Y		
								Complete Closeout	04/12/16	G		
	HSIP				\$126	PE					\$126 Obligated 1/18/12	
3	H3R1-04-031	Ala County	Patterson Pass Road - PM6.4 Widen or Improve Shoulder									
	HRRR				\$717	Con	12/13	Submit Req for Auth	Note 1	R	See Note 2	R
								Obligate Funds	Note 1	R		
								Liquidate Funds	06/30/15	G		
								Complete Closeout	12/31/15	G		
	HRRR				\$101	PE	Prior	Liquidate Funds	06/30/15	G	\$101 Obligated 12/19/08	
4	HSIP2-04-024	Ala County	Castro Valley Blvd - Wisteria St Intersection and Frontage Improvements									
	HSIP				\$577	Con	11/12	Liquidate Funds	Note 1	R	See Note 2	R
								Complete Closeout	03/31/14	R	Obligated 9/19/12	
	HSIP				\$59	PE	Prior				Obligated 8/14/09	
	HSIP				\$63	R/W	Prior				Obligated 2/15/11	
5	HSIP2-04-027	Ala County	Remove Permanent Obstacle along Shoulder (Foothill Road)									
	HSIP				\$427	Con	10/11	Submit Req for Auth	Note 1	R	See Note 2	R
								Obligate Funds	Note 1	R		
								Liquidate Funds	03/30/14	R		
								Complete Closeout	09/30/14	Y		
	HSIP				\$59	PE	Prior				Obligated 2/23/09	
6	ALA090069	Ala County	Alameda County: Rural Roads Pavement Rehab									
	STP				\$1,815	Con	11/12	Award Contract	Note 1	R	\$1,815 Obligated 4/4/12	R
								Submit First Invoice	Note 1	R		
								Liquidate Funds	04/04/18	G		
	STP				\$320	PE	10/11	Liquidate Funds	03/16/17	G	\$320 Obligated 3/16/11	
7	ALA110026	Ala County	Alameda Co - Central Unincorporated Pavement Rehab									
	STP				\$1,071	Con	11/12	Award Contract	Note 1	R	\$1,071 Obligated 4/4/12	R
								Submit First Invoice	Note 1	R		
								Liquidate Funds	04/04/18	G		
	STP				\$50	PE	10/11	Liquidate Funds	03/23/17	G	\$50 Obligated 3/23/11	

Page 1 of 9

FederalTimely Use of Funds Report

Status Date: December 31, 2013

Federally-Funded Locally-Sponsored Alameda County Projects

Red Zone Projects (cont.)

Index	TIP ID	Sponsor	Project Title	Required Activity	Date	Zone	Notes	Prev Zone
	Source	Prog'd Amount (\$x 1,000)	Phase FY		Req'd By			
8	SRTS1-04-001	Ala County	Fairview Elementary School Vicinity Improvements					
	SRTS	\$508	Con 10/11	Liquidate Funds	Note 1	R	See Note 2	R
				Complete Closeout	03/31/14	G	Obligated 9/19/12	
	SRTS	\$77	PE Prior				Obligated 1/29/09	
9	ALA110033	Alameda CTC	Alameda County Safe Routes to School					
	CMAQ (OBAG)	\$2,000	Con 12/13	Obligate Funds	Note 1	R	Req for Auth submitted	G
	CMAQ (RSRTS)	\$2,673	Con 12/13	Obligate Funds	Note 1	R	Obligation pending OA	
	STP (RSRTS)	\$1,000	Con 12/13	Obligate Funds	Note 1	R		
	CMAQ (RSRTS)	\$620	Con 12/13	Liquidate Funds	03/29/17	G		
	CMAQ	\$1,669	Con 10/11	Liquidate Funds	03/29/17	G	\$2,689 Obligated 3/29/11	
	STP	\$400	Con 10/11	Liquidate Funds	03/29/17	G	Obligated w/ALA110009	
10	ALA110007	Berkeley	City of Berkeley Transit Action Plan - TDM					
	CMAQ	\$10	Con 11/12	Obligate Funds	Note 1	R	Working with Caltrans and MTC to add to PE	R
	CMAQ	\$1,990	PE 10/11	Liquidate Funds	02/22/17	G	\$1,990 Obligated 2/22/11	
11	ALA110024	Dublin	Dublin Citywide Street Resurfacing					
	STP	\$547	Con 11/12	Award Contract	Note 1	R	\$547 Obligated 3/16/12	R
				Submit First Invoice	Note 1	R		
				Liquidate Funds	03/16/18	G		
12	ALA110034	Dublin	West Dublin BART Golden Gate Drive Streetscape					
	CMAQ	\$580	Con 11/12	Submit First Invoice	Note 1	R	\$580 Obligated 6/1/12 Contract Awd 9/18/12	R
	CMAQ	\$67	PE 10/11	Liquidate Funds	03/18/17	G	\$67 Obligated 3/18/11	
13	SRTS3-04-007	Emeryville	San Pablo Avenue 43rd to 47th Pedestrian Safety					
	SRTS	\$135	Con 13/14	Submit Req for Auth	03/07/14	R	See Note 2	G
				Obligate Funds	06/17/14	G		
				Liquidate Funds	12/07/15	G		
				Complete Closeout	06/07/16	G		
	SRTS	\$52	PE 11/12			G	\$52 Obligated 5/4/12	
14	ALA110012	Fremont	Fremont CBD/Midtown Streetscape					
	CMAQ	\$1,114	Con 11/12	Award Contract	Note 1	R	\$1,114 Obligated 3/27/12	R
				Submit First Invoice	Note 1	R		
				Liquidate Funds	03/27/18	G		
	CMAQ	\$432	Con 10/11	Project Complete	NA		\$432 Obligated 4/13/11	
	CMAQ	\$54	Con 10/11	Project Complete	NA		\$54 Obligated 6/13/11	
15	HSIP1-04-005	Fremont	Install Median Barrier, Install Raised Median and Improve Delineation (Mowry)					
	HSIP	\$164	Con 11/12	Liquidate Funds	Note 1	R	See Note 2	
				Complete Closeout	03/31/14	R	\$164 Obligated 3/7/13	
	HSIP	\$35	PE Prior				Obligated 11/28/07	

Red Zone Projects (cont.)

Index	TIP ID	Sponsor	Project Title							
	Source	Prog'd Amount (\$x 1,000)	Phase	FY	Required Activity	Date Req'd By	Zone	Notes	Prev Zone	
16	HSIP2-04-018	Fremont	Replace Concrete Poles with Aluminum in Median (Paseo Parkway)							
	HSIP	\$299		Prior	Liquidate Funds	Note 1	R	See Note 2		R
					Complete Closeout	03/31/14	R			
17	HSIP4-04-020	Fremont	Fremont Blvd / Eggers Dr							
	HSIP	\$275	Con	13/14	Submit Req for Auth	Note 1	R	See Note 2		R
					Obligate Funds	01/11/14	R			
					Liquidate Funds	07/12/15	G			
					Complete Closeout	01/12/16	G			
		\$41	PE	Prior				Obligated 11/8/11		
18	HSIP4-04-022	Fremont	Fremont Blvd / Alder Ave							
	HSIP	\$348	Con	13/14	Submit Req for Auth	10/11/13	R	See Note 2		R
					Obligate Funds	01/11/14	R			
					Liquidate Funds	07/12/15	G			
					Complete Closeout	01/12/16	G			
		\$43	PE	Prior				Obligated 11/8/11		
19	ALA110019	Hayward	Hayward Various Arterials Pavement Rehab							
	STP	\$1,336	Con	10/11	Award Contract	Note 1	R	\$1,336 Obligated 2/23/11		R
					Submit First Invoice	Note 1	R			
					Liquidate Funds	02/23/17	G			
20	ALA110035	Hayward	South Hayward BART Area/Dixon Street Streetscape							
	CMAQ	\$1,540	Con	11/12	Award Contract	Note 1	R	\$1,264 Obligated 4/4/12		R
					Submit First Invoice	Note 1	R	Amounts per Phase Adjusted		
					Liquidate Funds	04/04/18	G			
	CMAQ	\$260	PE	10/11	Liquidate Funds	01/18/17	G	\$536 Obligated 1/18/11		
21	HSIP2-04-009	Hayward	Carlos Bee Blvd between West Loop Rd and Mission Blvd							
	HSIP	\$725		Prior	Liquidate Funds	Note 1	R	See Note 2		R
					Complete Closeout	03/31/14	G	Obligated 6/18/10		
22	HSIP5-04-007	Hayward	West "A" Street between Hathaway and Garden							
	HSIP	\$22	PE	12/13	Submit Req for Auth	Note 1	R	New Cycle 5 Project		R
					Obligate Funds	Note 1	R	See Note 2		
	HSIP	\$139	CON	13/14	Submit Req for Auth	08/23/15	G	See Note 3		
					Obligate Funds	11/23/15	G			
					Complete Closeout	11/23/17	G			

Red Zone Projects (cont.)

Index	TIP ID	Sponsor	Project Title							
	Source	Prog'd Amount (\$x 1,000)	Phase	FY	Required Activity	Date Req'd By	Zone	Notes	Prev Zone	
23	ALA110037	Livermore	Livermore Village Streetscape Infrastructure							
	STP	\$2,500	Con	11/12	Award Contract	Note 1	R	\$2,500 obligated 5/16/12	R	
					Submit First Invoice	Note 1	R	Pending Re-Obligation Request		
					Liquidate Funds	05/16/18	G	Fed Aid No. (022)		
24	ALA110029	Oakland	Oakland Foothill Blvd Streetscape							
	CMAQ	\$2,200	Con	11/12	Award Contract	Note 1	R	\$2,200 Obligated 4/4/12	R	
					Submit First Invoice	Note 1	R			
					Liquidate Funds	04/04/18	G			
25	HSIP2-04-004	Oakland	West Grand at Market, Macarthur at Fruitvale & Market at 55th Improvements							
	HSIP	\$223	Con	11/12	Liquidate Funds	03/30/14	R	See Note 2	G	
					Complete Closeout	09/30/14	Y	Obligated 6/30/11		
26	HSIP2-04-005	Oakland	Various Intersections Pedestrian Improvements							
	HSIP	\$81	Con	11/12	Liquidate Funds	03/30/14	R	See Note 2	G	
					Complete Closeout	09/30/14	Y	Obligated 7/8/11		
27	HSIP4-04-005	Oakland	San Pablo Ave - West St - W. Grand Ave Intersections							
	HSIP	\$345	Con	13/14	Submit Req for Auth	Note 1	R	See Note 2	Y	
					Obligate Funds	03/13/14	Y			
					Liquidate Funds	09/13/15	G			
					Complete Closeout	03/13/16	G			
		\$71	PE	Prior				Obligated 1/23/12		
28	HSIP4-04-011	Oakland	Bancroft Ave - 94th Ave Improvements							
	HSIP	\$398	Con	13/14	Submit Req for Auth	Note 1	R	See Note 2	R	
					Obligate Funds	01/11/14	R			
					Liquidate Funds	07/12/15	G			
					Complete Closeout	01/12/16	G			
		\$87	PE	Prior				Obligated 1/23/12		
29	HSIP4-04-012	Oakland	Hegenberger Rd Intersections							
	HSIP	\$738	Con	13/14	Submit Req for Auth	10/11/13	R	See Note 2	R	
					Obligate Funds	01/11/14	R			
					Liquidate Funds	07/12/15	G			
					Complete Closeout	01/12/16	G			
		\$162	PE	Prior				Obligated 1/25/12		

Red Zone Projects (cont.)

Index	TIP ID	Sponsor	Project Title							
	Source	Prog'd Amount (\$x 1,000)	Phase	FY	Required Activity	Date Req'd By	Zone	Notes	Prev Zone	
30	HSIP5-04-011	Oakland	W. MacArthur Blvd. between Market & Telegraph							
	HSIP	\$125	PE	12/13	Submit Req for Auth	Note 1	R	New Cycle 5 Project	R	
					Obligate Funds	Note 1	R	See Note 2		
	HSIP	\$574	CON	13/14	Submit Req for Auth	08/23/15	G	See Note 3		
					Obligate Funds	11/23/15	G			
					Complete Closeout	11/23/17	G			
31	HSIP5-04-012	Oakland	98th Avenue Corridor							
	HSIP	\$99	PE	12/13	Submit Req for Auth	Note 1	R	New Cycle 5 Project	R	
					Obligate Funds	Note 1	R	See Note 2		
	HSIP	\$558	CON	13/14	Submit Req for Auth	08/23/15	G	See Note 3		
					Obligate Funds	11/23/15	G			
					Complete Closeout	11/23/17	G			
32	HSIP5-04-013	Oakland	Market Street between 45th & Arlington							
	HSIP	\$103	PE	12/13	Submit Req for Auth	Note 1	R	New Cycle 5 Project	R	
					Obligate Funds	Note 1	R	See Note 2		
	HSIP	\$541	CON	13/14	Submit Req for Auth	08/23/15	G	See Note 3		
					Obligate Funds	11/23/15	G			
					Complete Closeout	11/23/17	G			
33	SRTS1-04-014	Oakland	Intersection Improvements at Multiple School (5 Elem. + 1 Middle)							
	SRTS	\$700		Prior	Liquidate Funds	Note 1	R	See Note 2	R	
					Complete Closeout	03/31/14	G	PE Obligated 3/2/08 Con Obligated 8/18/11		
34	SRTS2-04-007	Oakland	Multiple School (5 Schools) Improvements Along Major Routes							
	SRTS	\$802	Con	11/12	Liquidate Funds	09/30/13	R	See Note 2	R	
					Complete Closeout	03/31/14	G	\$753 Obligated 2/3/12		
	SRTS	\$118	PE	Prior				\$118 Obligated 1/26/10		
35	ALA110031	Pleasanton	Pleasanton - Foothill/I-580/IC Bike/Ped Facilities							
	CMAQ	\$709	Con	12/13	Award Contract	02/01/14	R	\$709 Obligated 5/1/13	Y	
					Submit First Invoice	06/01/14	G	Advertised 5/30/13		
					Liquidate Funds	05/01/19	G			

Federally-Funded Locally-Sponsored Alameda County Projects

Red Zone Projects (cont.)

Index	TIP ID	Sponsor	Project Title							
	Source	Prog'd Amount	Phase	FY	Required Activity	Date	Zone	Notes	Prev	
		(\$x 1,000)				Req'd By			Zone	
36	ALA110010	Port	Shore Power Initiative							
	CMAQ	\$3,000	Con	11/12	Award Contract	Note 1	R	\$3,000 Obligated 2/16/12	R	
					Submit First Invoice	Note 1	R			
					Liquidate Funds	02/16/18	G			
37	ALA110027	San Leandro	San Leandro Downtown-BART Pedestrian Interface							
	CMAQ	\$4,298	Con	11/12	Award Contract	Note 1	R	\$4,298 Obligated 2/28/12	R	
					Submit First Invoice	Note 1	R	Advertised		
	CMAQ	\$312	PE	10/11	Liquidate Funds	12/21/16	G	\$312 Obligated 12/21/10		
38	HSIP4-04-015	San Leandro	Washington Ave / Monterey Blvd							
	HSIP	\$307	Con	13/14	Submit Req for Auth	01/12/14	R	See Note 2	Y	
					Obligate Funds	04/12/14	Y			
					Liquidate Funds	10/12/15	G			
					Complete Closeout	04/12/16	G			
		\$66	PE	Prior				Obligated 12/15/11		
39	HSIP5-04-030	Union City	Alvarado Road between Decoto & Mann							
	HSIP	\$62	PE	12/13	Submit Req for Auth	Note 1	R	New Cycle 5 Project	R	
					Obligate Funds	Note 1	R	See Note 2		
	HSIP	\$288	CON	13/14	Submit Req for Auth	08/23/15	G	See Note 3		
					Obligate Funds	11/23/15	G			
				Complete Closeout	11/23/17	G				

*End of Red Zone***Yellow Zone Projects**

Index	PP No.	Sponsor	Project Title						
	Source	Prog'd Amount (\$x 1,000)	Phase	FY	Required Activity	Date Req'd By	Zone	Notes	Prev Zone
40	HSIP3-04-006	Fremont	Paseo Padre Parkway - Walnut Ave and Argonaut Way						
	HSIP	\$458	Con	12/13	Liquidate Funds	06/02/14	Y	See Note 2	Y
					Complete Closeout	12/02/14	G	\$458 Obligated 4/11/13	
	HSIP	\$59	PE	Prior				Obligated 11/22/10	

End of Yellow Zone

Federally-Funded Locally-Sponsored Alameda County Projects

Green Zone Projects (cont.)

Index	TIP ID	Source	Sponsor	Project Title		Required Activity	Date Req'd By	Zone	Notes	Prev Zone
			Prog'd Amount (\$x 1,000)	Phase	FY					
41	ALA110025	Alameda	Alameda	Alameda - Otis Drive Rehabilitation						
		STP	\$837	Con	10/11	Liquidate Funds	03/08/17	G	\$837 Obligated 3/8/11 Awarded 5/17/11	G
42	ALA030002	Ala County	Ala County	Vasco Road Safety Improvements Phase 1A						
		STP	\$235	ROW	14/15	Submit Req for Auth	02/01/15	G		G
						Obligate Funds	04/30/15	G		
		STP	\$1,785	Con	09/10	Liquidate Funds	08/31/16	G	\$1,785 Obligated 8/31/10 Contract awarded 6/7/11	
		STP	\$478	PE	12/13	Liquidate Funds	04/17/19	G	\$478 Obligated 4/17/13	
43	SRTS1-04-002	Ala County	Ala County	Marshall Elementary School Vicinity Improvements						
		SRTS	\$450	Con	12/13	Liquidate Funds	11/01/14	G	See Note 2	G
						Complete Closeout	04/01/15	G	Obligated 9/19/12	
		SRTS	\$50	PE	Prior			G	Obligated 12/7/10	
44	ALA110009	Alameda CTC	Alameda CTC	Bikemobile - Bike Repair and Encouragement Vehicle						
		CMAQ	\$500	Con	10/11	Liquidate Funds	03/29/17	G	\$500 Obligated 3/29/11 Obligated w/ALA110033	G
45	ALA110030	Albany	Albany	Albany - Buchanan Bicycle and Pedestrian Path						
		CMAQ	\$1,702	Con	11/12	Liquidate Funds	06/01/18	G	\$1,702 Obligated 6/1/12 Contract Awd 10/15/12 1st Invoice dated 5/14/13 Fed-Aid No. 5178(012)	G
46	ALA110039	Albany	Albany	Albany - Pierce Street Pavement Rehabilitation						
		STP	\$117	Con	10/11	Liquidate Funds	05/02/17	G	Contract Awd 7/12/11 \$117 Obligated 5/2/11	G
47	ALA090068	BART	BART	MacArthur BART Plaza Remodel						
		CMAQ	\$626	Con	10/11				\$626 Obligated 3/16/11 Transferred to FTA Grant	G
48	ALA110032	BART	BART	Downtown Berkeley BART Plaza/Transit Area Imps.						
		CMAQ	\$706	PE	10/11				\$706 Obligated 3/16/11	G
		CMAQ	\$1,099	Con	10/11				\$1,099 Obligated 3/16/11 Transferred to FTA Grant	

Federally-Funded Locally-Sponsored Alameda County Projects

Green Zone Projects (cont.)

Index	TIP ID	Sponsor	Project Title	Source	Prog'd Amount (\$x 1,000)	Phase	FY	Required Activity	Date Req'd By	Zone	Notes	Prev Zone
49	ALA110038	BART	BART - West Dublin BART Station Ped Access Imps	CMAQ	\$21	PE	10/11				\$21 Obligated 2/2/11	G
				CMAQ	\$839	Con	10/11				\$839 Obligated 2/2/11 Transferred to FTA Grant	
50	ALA110022	Berkeley	Berkeley - Sacramento St Rehab - Dwight to Ashby	STP	\$955	Con	10/11	Liquidate Funds	03/18/17	G	\$955 Obligated 3/18/11 Contract Awd 7/19/11	R
51	HSIP3-04-005	Fremont	Paseo Padre Parkway - Walnut to Washington - Replace Poles	HSIP	\$120	Con	12/13	Complete Closeout	12/02/14	G	\$120 Obligated 2/16/12	G
				HSIP	\$23	PE	Prior				Obligated 11/18/10	
52	ALA110013	Livermore	Iron Horse Trail Extension in Downtown Livermore	CMAQ	\$1,566	Con	11/12	Liquidate Funds	04/04/18	G	\$1,241 Obligated 4/4/12 Contract Awd 7/23/12 First Invoice Dated 2/8/13 TLC Project Fed Aid (025)	G
53	ALA110015	Livermore	Livermore Downtown Lighting Retrofit	CMAQ	\$176	Con	10/11	Liquidate Funds	04/04/17	G	\$176 Obligated 4/4/11 Billing 1 dated 2/22/12 Fed Aid (024)	G
54	ALA110023	Livermore	Livermore - 2011 Various Arterials Rehab	STP	\$1,028	Con	10/11	Liquidate Funds	03/21/17	G	\$1,028 Obligated 3/21/11 Billing 1 dated 2/22/12 Fed Aid (023)	G
55	ALA110016	Newark	Newark - Cedar Blvd and Jarvis Ave Pavement Rehab	STP	\$682	Con	11/12	Liquidate Funds	02/17/18	G	\$682 Obligated 2/17/12 1st Invoice 11/28/12	G
56	ALA110006	Oakland	Various Streets Resurfacing and Bikeway Facilities	STP	\$3,492	Con	11/12	Liquidate Funds	02/16/18	G	\$3,492 Obligated 2/16/12 Awd 12/4/12	R
				STP	\$560	PE	10/11	Liquidate Funds	02/22/17	G	\$560 Obligated 2/22/11	
57	ALA110014	Oakland	Oakland - MacArthur Blvd Streetscape	CMAQ	\$1,700	Con	10/11	Liquidate Funds	04/27/17	G	\$1.7M Obligated 4/27/11 Contract Dated 8/19/11	G
58	ALA110021	Pleasanton	Pleasanton Various Streets Pavement Rehab	STP	\$876	Con	10/11	Liquidate Funds	04/14/17	G	\$876 Obligated 4/14/11 Final Inv/Rep 10/30/12 Final Rep returned Prog Billing Dated 4/30/13	G

Federally-Funded Locally-Sponsored Alameda County Projects

Green Zone Projects (cont.)

Index	TIP ID	Sponsor	Project Title	Source	Prog'd Amount (\$x 1,000)	Phase	FY	Required Activity	Date Req'd By	Zone	Notes	Prev Zone
59	ALA110020	San Leandro	San Leandro - Marina Blvd Rehabilitation	STP	\$807	Con	10/11	Liquidate Funds	03/29/17	G	\$807 Obligated 3/29/11 Contract Awd 5/5/11	G
60	HSIP5-04-019	San Leandro	Bancroft Ave/ Sybil Ave	HSIP	\$380	CON	13/14	Submit Req for Auth	08/23/15	G	See Note 2	R
								Obligate Funds	11/23/15	G	See Note 3	
								Liquidate Funds	05/23/17	G		
								Complete Closeout	11/23/17	G		
				HSIP	\$69	PE	12/13				\$69 Obligated 7/16/13	
61	SRTS3-04-017	San Leandro	Multiple Schools Bicycle and Pedestrian Safety	SRTS	\$410	Con	11/12	Liquidate Funds	03/06/16	G	See Note 2	G
								Complete Closeout	09/06/16	G	\$410 Obligated 3/22/12	
62	ALA110017	Union City	Union City - Dyer Street Rehabilitation	STP	\$861	Con	10/11	Liquidate Funds	04/13/17	G	\$861 Obligated 4/13/11 Contract Awd 6/14/11	
63	ALA110028	Union City	Union City Blvd Corridor Bicycle Imp. Phase 1	CMAQ	\$860	Con	11/12	Liquidate Funds	03/22/18	G	\$860 Obligated 3/22/12 Contract Awd 6/12/12	R
64	ALA110036	Union City	Union City BART East Plaza Enhancements	CMAQ	\$4,450	Con	10/11	Liquidate Funds	02/02/17	G	\$4,450 Obligated 2/2/11 Contract Awd 6/28/11 FTA CA-95-X157	G

End of Green Zone**Notes:**

- 1 MTC Reso 3606 deadline or the Safety Program Monitoring date is before the status date of this report. Sponsor is working with Caltrans, MTC and Alameda CTC to expedite/complete the required activity.
- 2 HSIP, SRTS and HRRR projects may have different timely use of funds provisions than the MTC Reso 3606 requirements. The values for "Date Req'd By" shown in this report are based on the Safety Program Delivery Status Reports - Complete Project Listing available from Caltrans Local Programs at www.dot.ca.gov/hq/LocalPrograms/HSIP/delivery_status.htm. For the purposes of this monitoring report, the Submit Request for Authorization dates are set to three months prior to the date shown for authorization in the Safety Program Delivery Status Reports, and the Liquidate Funds dates are set to six months prior to the date shown for Complete Closeout shown by Caltrans.
- 3 HSIP Cycle 5 projects are not yet included in an adopted TIP. Sponsors cannot request obligation until included in TIP. Projects with Cycle 5 programming requested in FY12/13 are shown in report with the same "Required Activity" and "Dates Required By" as other projects with FY 12/13 funding while they wait for the TIP approval.

Appendix A Federal At Risk Report Zone Criteria Required Activities per Resolution 3606 (Revised July 23, 2008)			
Required Activities Monitored by CMA ¹	Criteria Timeframes for Required Activities		
	Red Zone	Yellow Zone	Green Zone
Request Project Field Review	Project in TIP for more than nine (9) months, or obligation deadline for Con funds within 15 months.	Project in TIP for less than nine (9) months, and obligation deadline for Con funds more than 15 months away.	All conditions other than Red or Yellow Zones
Submit Environmental Package	NA	NA	NA
Approved DBE Program and Methodology	NA	NA	NA
Submit Request for Authorization (PE)	within three (3) months	within three (3) to six (6) months	All conditions other than Red or Yellow Zones
Submit Request for Authorization (R/W)	within four (4) months	within four (4) to nine (9) months	All conditions other than Red or Yellow Zones
Submit Request for Authorization (Con)	within six (6) months	within six (6) to nine (9) months	All conditions other than Red or Yellow Zones
Obligation/ FTA Transfer	within two (2) months	within two (2) to four (4) months	All conditions other than Red or Yellow Zones
Advertise Construction	within four (4) months	within four (4) to six (6) months	All conditions other than Red or Yellow Zones
Award Contract	within six (6) months	within six (6) to nine (9) months	All conditions other than Red or Yellow Zones
Award into FTA Grant	within two (2) months	within two (2) to four (4) months	All conditions other than Red or Yellow Zones
Submit First Invoice	within two (2) months	within two (2) to four (4) months	All conditions other than Red or Yellow Zones
Liquidate Funds	within four (4) months	within four (4) to nine (9) months	All conditions other than Red or Yellow Zones Move to Appendix D
Project Closeout	within four (4) months	within four (4) to nine (9) months	All conditions other than Red or Yellow Zones
Other Zone Criteria			
Red Zone	Projects with funds programmed in the same FY for both a project development phase (i.e. Env or PSE) and a capital phase (i.e. R/W or Con) without the project development phase(s) obligated.		
Yellow Zone	Projects with an Amendment to the TIP pending.		
Notes: ¹ See Appendix B for more information about the Required Activities and Resolution 3606.			

Appendix B
Definitions of the Required Activities per Resolution 3606 (As revised July 23, 2008)

Index	Definition	Deadline
1	Req Proj Field Rev	
	Per MTC Resolution 3606-Revised, "Implementing agencies are required to request a field review from Caltrans Local Assistance within 12 months of approval of the project in the TIP ¹ , but no less than 12 months prior to the obligation deadline of construction funds. This policy also applies to federal-aid projects in the STIP. The requirement does not apply to projects for which a field review would not be applicable, such as FTA transfers, regional operations projects and planning activities. Failure for an implementing agency to make a good-faith effort in requesting and scheduling a field review from Caltrans Local Assistance within twelve months of programming into the TIP could result in the funding being reprogrammed and restrictions on future programming and obligations. Completed field review forms must be submitted to Caltrans in accordance with Caltrans Local Assistance procedures."	12 months from approval in the TIP ¹ , but no less than 12 months prior to the obligation deadline of construction funds.
2	Sub ENV package	
	Per MTC Resolution 3606-Revised, "Implementing agencies are required to submit a complete environmental package to Caltrans for all projects (except those determined Programmatic Categorical Exclusion as determined by Caltrans at the field review), twelve months prior to the obligation deadline for right of way or construction funds. This policy creates a more realistic time frame for projects to progress from the field review through the environmental and design process, to the right of way and construction phase. If the environmental process, as determined at the field review, will take longer than 12 months before obligation, the implementing agency is responsible for delivering the complete environmental submittal in a timely manner. Failure to comply with this provision could result in the funding being reprogrammed. The requirement does not apply to FTA transfers, regional operations projects or planning activities."	12 months prior to the obligation deadline for RW or Con funds. (No change)
3	Approved DBE Prog	
	Per MTC Resolution 3606-Revised, "Obligation of federal funds may not occur for contracted activities (any combination of environmental/ design/ construction/ procurement activities performed outside the agency) until and unless an agency has an approved DBE program and methodology for the current federal fiscal year. Therefore, agencies with federal funds programmed in the TIP must have a current approved DBE Program and annual methodology (if applicable) in place prior to the fiscal year the federal funds are programmed in the TIP. STP/CMAQ funding for agencies without approved DBE methodology for the current year are subject to redirection to other projects after March 1. Agencies should begin the DBE process no later than January 1 to meet the March 1 deadline. Projects advanced under the Expedited Project Selection Process (EPSP) must have an approved DBE program and annual methodology for the current year (if applicable) prior to the advancement of funds."	Approved program and methodology in place prior to the FFY the funds are programmed in the TIP.
4	Sub Req for Auth	
	Per MTC Resolution 3606-Revised, "In order to ensure funds are obligated or transferred to FTA in a timely manner, the implementing agency is required to deliver a complete funding obligation / FTA Transfer request package to Caltrans Local Assistance by February 1 of the year the funds are listed in the TIP. Projects with complete packages delivered by February 1 of the programmed year will have priority for available OA, after ACA conversions that are included in the Obligation Plan. If the project is delivered after February 1 of the programmed year, the funds will not be the highest priority for obligation in the event of OA limitations, and will compete for limited OA with projects advanced from future years. Funding for which an obligation/ FTA transfer request is submitted after the February 1 deadline will lose its priority for OA, and be viewed as subject to reprogramming."	February 1 of FY in which funds are programmed in the TIP.

Appendix B
Definitions of the Required Activities per Resolution 3606 (As revised July 23, 2008)

Index	Definition	Deadline
5	Obligate Funds/ Transfer to FTA	
	Per MTC Resolution 3606-Revised, "STP and CMAQ funds are subject to an obligation/FTA transfer deadline of April 30 of the fiscal year the funds are programmed in the TIP. Implementing agencies are required to submit the completed request for obligation or FTA transfer to Caltrans Local Assistance by February 1 of the fiscal year the funds are programmed in the TIP, and receive an obligation/ FTA transfer of the funds by April 30 of the fiscal year programmed in the TIP. For example, projects programmed in FY 2007-08 of the TIP have an obligation/FTA transfer request submittal deadline (to Caltrans) of February 1, 2008 and an obligation/FTA transfer deadline of April 30, 2008. Projects programmed in FY 2008-09 have an obligation request submittal deadline (to Caltrans) of February 1, 2009 and an obligation/FTA transfer deadline of April 30, 2009. No extensions will be granted to the obligation deadline."	April 30 of FY in which funds are programmed in the TIP.
6	Execute PSA	
	Per MTC Resolution 3606, "The implementing agency must execute and return the Program Supplement Agreement (PSA) to Caltrans in accordance with Caltrans Local Assistance procedures. The agency must contact Caltrans if the PSA is not received from Caltrans within 60 days of the obligation. This requirement does not apply to FTA transfers. Agencies that do not execute and return the PSA to Caltrans within the required Caltrans deadline will be unable to obtain future approvals for any projects, including obligation and payments, until all PSAs for that agency, regardless of fund source, meet the PSA execution requirement. Funds for projects that do not have an executed PSA within the required Caltrans deadline are subject to de-obligation by Caltrans."	Within 60 days of receipt of the PSA from Caltrans, and within six months from the actual obligation date. ²
7	Advertise Contract /Award Contract/Award into FTA Grant	
	Per MTC Resolution 3606-Revised, "For the Construction (CON) phase, the construction/equipment purchase contract must be advertised within 6 months of obligation and awarded within 9 months of obligation. However, regardless of the advertisement and award deadlines, agencies must still meet the invoicing deadline for construction funds. Failure to advertise and award a contract in a timely manner could result in missing the subsequent invoicing and reimbursement deadline, resulting in the loss of funding. Agencies must submit the notice of award to Caltrans in accordance with Caltrans Local Assistance procedures, with a copy also submitted to the applicable CMA. Agencies with projects that do not meet these award deadlines will have future programming and OA restricted until their projects are brought into compliance. For FTA projects, funds must be approved/ awarded in an FTA Grant within one federal fiscal year following the federal fiscal year in which the funds were transferred to FTA."	Advertised within 6 months of obligation and awarded within 9 months of obligation. FTA Grant Award: Within 1 year of transfer to FTA.
8	Submit First Invoice / Next Invoice Due	
	Per MTC Resolution 3606-Revised, "Funds for each federally funded (Environmental (ENV/ PA&ED), Preliminary Engineering (PE), Final Design (PS&E) and Right of Way (R/W) phase and for each federal program code within these phases, must be invoiced against at least once every six months following obligation. Funds that are not invoiced at least once every 12 months are subject to de-obligation. There is no guarantee that funds will be available to the project once de-obligated. Funds for the Construction (CON) phase, and for each federal program code within the construction phase, must be invoiced and reimbursed against at least once within 12 months of the obligation, and then invoiced at least once every 6-months thereafter. Funds that are not invoiced and reimbursed at least once every 12 months are subject to de-obligation by FHWA. There is no guarantee that funds will be available to the project once de-obligated. If a project does not have eligible expenses within a 6-month period, the agency must provide a written explanation to Caltrans Local Assistance for that six-month period and submit an invoice as soon as practicable to avoid missing the 12-month invoicing and reimbursement deadline. Agencies with projects that have not been invoiced against and reimbursed within a 12-month period, regardless of federal fund source, will have restrictions placed on future programming and OA until the project is properly invoiced. Funds that are not invoiced and reimbursed against at least once every 12 months are subject to de-obligation by FHWA."	For Con phase: Once within 12 months of Obligation and then once every 6 months thereafter, for each federal program code. For all other phases: Once within 6 months following Obligation and then once every 6 months thereafter, for each phase and federal program code.

Appendix B
Definitions of the Required Activities per Resolution 3606 (As revised July 23, 2008)

Index	Definition	Deadline
8a	Inactive Projects	
	Per MTC Resolution 3606-Revised, "Most projects can be completed well within the state's deadline for funding liquidation or FHWA's ten-year proceed-to-construction requirement. Yet it is viewed negatively by both FHWA and the California Department of Finance for projects to remain inactive for more than twelve months. It is expected that funds for completed phases will be invoiced immediately for the phase, and projects will be closed out within six months of the final project invoice. Funds that are not invoiced and reimbursed at least once every 12 months are subject to de-obligation by FHWA. There is no guarantee the funds will be available to the project once de-obligated."	Funds must be invoiced and reimbursed against once every 12 months to remain active.
9	Liquidate Funds	
	Per MTC Resolution 3606-Revised, "Funds must be liquidated (fully expended, invoiced and reimbursed) within six years of obligation. California Government Codes 16304.1 and 16304.3 places additional restrictions on the liquidation of federal funds. Generally, federal funds must be liquidated (fully expended, invoiced and reimbursed) within 6 state fiscal years following the fiscal year in which the funds were appropriated. Funds that miss the state's liquidation/ reimbursement deadline will lose State Budget Authority and will be de-obligated if not re-appropriated by the State Legislature, or extended (for one year) in a Cooperative Work Agreement (CWA) with the California Department of Finance. This requirement does not apply to FTA transfers."	Funds must be liquidated within six years of obligation.
10	Estimated Completion Date/Project Closeout	
	<p>Per MTC Resolution 3606-Revised, "Implementing Agencies must fully expend federal funds on a phase one year prior to the estimated completion date provided to Caltrans. At the time of obligation, the implementing agency must provide Caltrans with an estimated completion date for that project phase. Any un-reimbursed federal funds remaining on the phase after the estimated completion date has passed, is subject to project funding adjustments by FHWA. Projects must be properly closed out within six months of final project invoice. Projects must proceed to construction within 10 years of federal authorization of the initial phase. Federal regulations require that federally funded projects proceed to construction within 10 years of initial federal authorization of any phase of the project.</p> <p>Furthermore, if a project is canceled, or fails to proceed to construction in 10 years, FHWA will de-obligate any remaining funds, and the agency is required to repay any reimbursed funds. If a project is canceled as a result of the environmental process, the agency does not have to repay reimbursed costs for the environmental activities. However, if a project is canceled after the environmental process is complete, or a project does not proceed to construction within 10 years, the agency is required to repay all reimbursed federal funds. Agencies with projects that have not been closed out within 6 months of final invoice will have future programming and OA restricted until the project is closed out or brought back to good standing by providing written explanation to Caltrans Local Assistance, the applicable CMA and MTC."</p>	<p>Est. Completion Date: For each phase, fully expend federal funds 1 year prior to date provided to Caltrans.</p> <p>Project Close-out: Within 6 months of final project invoice.</p>

Notes:

- ¹ Approval in the TIP: For administrative/ minor TIP Amendments it is the date of Caltrans approval. For formal TIP Amendments, it is the date of FHWA approval.
- ² Per DOT letter from Caltrans Local Assistance to MPOs, regarding "Procedural Changes in Managing Obligations", dated 9/15/05.

Appendix C Projects with OBAG Funding in FFY 13/14

The projects listed below are being adopted into the TIP with OBAG funding programmed in Federal Fiscal Year (FFY) 13/14. In accordance with the revised Resolution 3606 expected to be approved by MTC within the next couple of months, request for authorization packages for funds programmed in FFY 13/14 must be submitted by November 1, 2013. The funds must be obligated by February 1, 2014 to be assured priority for available Obligation Authority (OA).

TIP ID	Sponsor	Project Title	Phase	OBAG Fund Source	FY13/14 OBAG Amount (\$ x 1,000)
ALA130022	Alameda	Alameda City Complete Streets	PE	STP	\$ 130
ALA130018	Alameda County	Alameda Co-Various Streets and Roads Preservation	PE	STP	\$ 100
ALA130020	Fremont	Fremont Various Streets and Roads Preservation	CON	STP	\$ 2,105
ALA130025	Fremont	Fremont City Center Multi-Modal Improvements	CON	STP	\$ 5,333
ALA130013	Hayward	Hayward - Industrial Boulevard Preservation	PE	STP	\$ 70
ALA130010	Livermore	Livermore Various Streets Preservation	CON	STP	\$ 1,053
ALA130016	Oakland	Oakland Complete Streets	PE	STP	\$ 467
ALA130019	Piedmont	Piedmont Complete Streets (CS)	CON	STP	\$ 129
ALA130008	San Leandro	San Leandro Boulevard Preservation	CON	STP	\$ 804
ALA090015	Union City	Union City Intermodal Station Infrastructure	CON	CMAQ	\$ 8,692
ALA130023	Union City	Whipple Road Pavement Rehabilitation	PE	STP	\$ 18

<u>Project No.</u>	<u>Sponsor</u>	<u>Project Title</u>	<u>Balances</u>	<u>Required Activity</u>	<u>Date Due</u>	<u>Activity Completed (Date or Y/N)</u>	<u>Notes</u>
RED ZONE (Milestone deadline within 4 months)							
10ALA02	Alameda CTC	I-80 Corridor Arterial Management	TFCA Award	Agreement Executed	2/17/11	07/09/10	Expenditures complete Final invoice paid FMR due Oct '13
			\$ 100,000	Project Start	Mar-11	Jul-10	
			TFCA Expended	Final Reimbursement	12/31/13	Oct-12	
			\$ 100,000	FMR	Oct-13		
				Expend Deadline Met?	10/28/12	Yes	
12ALA03	Cal State - East Bay	CSUEB Second Shuttle - Increased Service Hours (FY 12/13)	TFCA Award	Agreement Executed	2/1/13	2/6/13	Expenditure deadline Oct '14 Expenditures not complete FMR due Sept '13
			\$ 56,350	Project Start	Dec-13	Sep-12	
			TFCA Expended	Final Reimbursement	12/31/15		
				FMR	Sep-13		
				Expend Deadline Met?	10/17/14		
08ALA01	Alameda CTC	Webster Street Corridor Enhancements Project	TFCA Award	Agreement Executed	1/8/09	12/16/08	Expenditure deadline Dec '13 Expenditures not complete FMR due Mar '14 3rd 1-yr extension approved
			\$ 420,000	Project Start	Jan-09	Jun-09	
			TFCA Expended	Final Reimbursement	12/31/14		
			\$ 236,372	FMR	Mar-14		
				Expend Deadline Met?	12/22/13		
09ALA01	Alameda CTC	Webster St SMART Corridors	TFCA Award	Agreement Executed	1/7/10	7/7/09	Expenditure deadline Dec '13 Expenditures not complete FMR due Mar '14 2nd extension request approved 9/27/12
			\$ 400,000	Project Start	Oct-09	Jul-09	
			TFCA Expended	Final Reimbursement	12/31/14		
			\$ 327,145	FMR	Mar-14		
				Expend Deadline Met?	12/22/13		
09ALA07	AC Transit	Easy Pass Transit Incentive Program	TFCA Award	Agreement Executed	1/7/10	12/03/09	Extension approved Oct '11 Expenditures complete Final Invoice received FMR received
			\$ 350,000	Project Start	Sep-09	Nov-09	
			TFCA Expended	Final Reimbursement	12/31/13		
			\$ 236,535	FMR	Apr-13	Apr-13	
				Expend Deadline Met?	01/13/13	Yes	
11ALA01	Alameda	Park Street Corridor Operations Improvement	TFCA Award	Agreement Executed	1/5/12	06/13/12	Expenditure deadline Nov '13 Expenditures not complete FMR due Feb '14 1-year extension requested May '13
			\$ 230,900	Project Start	Dec-12	Dec-12	
			TFCA Expended	Final Reimbursement	12/31/14		
			\$ -	FMR	Feb-14		
				Expend Deadline Met?	11/14/13		
11ALA02	Alameda County	Mattox Road Bike Lanes	TFCA Award	Agreement Executed	1/5/12	01/24/12	Expenditure deadline Nov '13 Expenditures not complete 1-year extension requested FMR due Feb '14
			\$ 40,000	Project Start	Dec-12	Dec-12	
			TFCA Expended	Final Reimbursement	12/31/14		
			\$ 11,500	FMR	Feb-14		
				Expend Deadline Met?	11/14/13		
11ALA03	Albany	Buchanan Bike Path	TFCA Award	Agreement Executed	1/5/12	06/01/12	Expenditure deadline Nov '13 Expenditures not complete FMR due Feb '14
			\$ 100,000	Project Start	Dec-12	Oct-12	
			TFCA Expended	Final Reimbursement	12/31/14		
			\$ -	FMR	Feb-14		
				Expend Deadline Met?	11/14/13		
11ALA04	Cal State - East Bay	CSUEB - 2nd Campus to BART Shuttle (FYs 11/12 & 12/13)	TFCA Award	Agreement Executed	1/5/12	11/08/11	Expenditure deadline Nov '13 Expenditures not complete FMR due Feb '14
			\$ 194,000	Project Start	Dec-12	Aug-11	
			TFCA Expended	Final Reimbursement	12/31/14		
			\$ 188,323	FMR	Feb-14		
				Expend Deadline Met?	11/14/13		
11ALA06	Fremont	North Fremont Arterial Management	TFCA Award	Agreement Executed	1/5/12	01/04/12	Expenditure deadline Nov '13 Expenditures not complete FMR due Feb '14
			\$ 256,000	Project Start	Dec-12	Nov-12	
			TFCA Expended	Final Reimbursement	12/31/14		
			\$ 82,114	FMR	Feb-14		
				Expend Deadline Met?	11/14/13		

TFCA County Program Manager Funds

Timely Use of Funds Report

Report Date: December 31, 2013

<u>Project No.</u>	<u>Sponsor</u>	<u>Project Title</u>	<u>Balances</u>	<u>Required Activity</u>	<u>Date Due</u>	<u>Activity Completed</u> (Date or Y/N)	<u>Notes</u>
RED ZONE (Milestone deadline within 4 months), continued							
11ALA07	Hayward	Post-project Monitoring/ Retiming activities for Arterial Mgmt project 10ALA04	TFCA Award	Agreement Executed	1/5/12	06/01/12	Expenditure deadline Nov '13 Expenditures not complete 1-year extension requested FMR due Sept '15
			\$ 50,300.00	Project Start	Dec-12	Feb-12	
			TFCA Expended	Final Reimbursement	12/31/14		
			\$ -	FMR	Sep-15		
				Expend Deadline Met?	11/14/13		
11ALA08	Hayward	Clawiter Road Arterial Management	TFCA Award	Agreement Executed	1/5/12	02/27/12	Expenditure deadline Nov '13 Expenditures not complete FMR due Feb '14
			\$ 190,000.00	Project Start	Dec-12	Feb-12	
			TFCA Expended	Final Reimbursement	12/31/14		
			\$ -	FMR	Feb-14		
				Expend Deadline Met?	11/14/13		
11ALA09	Oakland	Traffic Signal Synchronization along Martin Luther King Jr. Way	TFCA Award	Agreement Executed	1/5/12	03/08/12	Expenditure deadline Nov '13 Expenditures not complete FMR due date Dec '15. (2 years post-project)
			\$ 125,000	Project Start	Dec-12	May-12	
			TFCA Expended	Final Reimbursement	12/31/14		
			\$ -	FMR	Dec-15		
				Expend Deadline Met?	11/14/13		
11ALA13	Alameda CTC	Alameda County Guaranteed Ride Home (GRH) Program (FYs 11/12 & 12/13)	TFCA Award	Agreement Executed	1/5/12	07/05/11	Expenditure deadline Nov '13 Expenditures not complete FMR due Feb '14
			\$ 245,000	Project Start	Dec-12	Jan-12	
			TFCA Expended	Final Reimbursement	12/31/14		
			\$ 123,214	FMR	Feb-14		
				Expend Deadline Met?	11/14/13		
12ALA02	Pleasanton	Pleasanton Trip Reduction Program (FY 12/13)	TFCA Award	Agreement Executed	2/1/13	2/6/13	Expenditure deadline Oct '14 Expenditures not complete FMR due Oct '13
			\$ 57,507	Project Start	Dec-13	Jul-12	
			TFCA Expended	Final Reimbursement	12/31/15		
				FMR	Oct-13		
				Expend Deadline Met?	10/17/14		
14ALA01	Alameda County	Fairmont Rd Class II Bike Lanes	TFCA Award	Agreement Executed	Dec-13		Agreement to be executed Expenditure deadline TBD
			\$ 90,000	Project Start	Dec-14		
			TFCA Expended	Final Reimbursement	TBD		
				FMR	TBD		
				Expend Deadline Met?	TBD		
14ALA02	Berkeley	Berkeley Citywide Bicycle Parking Project	TFCA Award	Agreement Executed	Dec-13		Agreement to be executed Expenditure deadline TBD
			\$ 155,000	Project Start	Dec-14		
			TFCA Expended	Final Reimbursement	TBD		
				FMR	TBD		
				Expend Deadline Met?	TBD		
14ALA03	East Bay Regional Park District	Iron Horse Trail Dublin/Pleasanton BART Santa Rita Road	TFCA Award	Agreement Executed	Dec-13		Agreement to be executed Expenditure deadline TBD
			\$ 180,000	Project Start	Dec-14		
			TFCA Expended	Final Reimbursement	TBD		
				FMR	TBD		
				Expend Deadline Met?	TBD		
14ALA04	Fremont	Arterial Management Stevenson Blvd	TFCA Award	Agreement Executed	Dec-13		Agreement to be executed Expenditure deadline TBD
			\$ 76,000	Project Start	Dec-14		
			TFCA Expended	Final Reimbursement	TBD		
				FMR	TBD		
				Expend Deadline Met?	TBD		
14ALA05	Hayward	"A" Street Signal Upgrade and Coordination	TFCA Award	Agreement Executed	Dec-13		Agreement to be executed Expenditure deadline TBD
			\$ 190,000	Project Start	Dec-14		
			TFCA Expended	Final Reimbursement	TBD		
				FMR	TBD		
				Expend Deadline Met?	TBD		

TFCA County Program Manager Funds

Timely Use of Funds Report

Report Date: December 31, 2013

<u>Project No.</u>	<u>Sponsor</u>	<u>Project Title</u>	<u>Balances</u>	<u>Required Activity</u>	<u>Date Due</u>	<u>Activity Completed (Date or Y/N)</u>	<u>Notes</u>
RED ZONE (Milestone deadline within 4 months), continued							
14ALA06	Oakland	Adeline St Bikeway Gap Closure	TFCA Award	Agreement Executed	Dec-13		Agreement to be executed Expenditure deadline TBD
			\$ 51,000	Project Start	Dec-14		
			TFCA Expended	Final Reimbursement	TBD		
				FMR	TBD		
				Expend Deadline Met?	TBD		
14ALA07	Oakland	CityRacks Bicycle Parking Program Phase 10	TFCA Award	Agreement Executed	Dec-13		Agreement to be executed Expenditure deadline TBD
			\$ 88,000	Project Start	Dec-14		
			TFCA Expended	Final Reimbursement	TBD		
				FMR	TBD		
				Expend Deadline Met?	TBD		
14ALA08	Oakland/ Alameda CTC	East Bay Greenway	TFCA Award	Agreement Executed	Dec-13		Agreement to be executed Expenditure deadline TBD
			\$ 190,000	Project Start	Dec-14		
			TFCA Expended	Final Reimbursement	TBD		
				FMR	TBD		
				Expend Deadline Met?	TBD		
14ALA09	Pleasanton	Pleasanton Trip Reduction Program	TFCA Award	Agreement Executed	Dec-13		Agreement to be executed Expenditure deadline TBD
			\$ 118,000	Project Start	Dec-14		
			TFCA Expended	Final Reimbursement	TBD		
				FMR	TBD		
				Expend Deadline Met?	TBD		
14ALA10	San Leandro	San Leandro LINKS Shuttle	TFCA Award	Agreement Executed	Dec-13		Agreement to be executed Expenditure deadline TBD
			\$ 60,000	Project Start	Dec-14		
			TFCA Expended	Final Reimbursement	TBD		
				FMR	TBD		
				Expend Deadline Met?	TBD		
14ALA11	AC Transit	Route 51 Transit Signal Priority (TSP)	TFCA Award	Agreement Executed	Dec-13		Agreement to be executed Expenditure deadline TBD
			\$ 123,821	Project Start	Dec-14		
			TFCA Expended	Final Reimbursement	TBD		
				FMR	TBD		
				Expend Deadline Met?	TBD		
14ALA12	Alameda CTC	Alameda County Guaranteed Ride Home and Countywide Transportation Demand Management (TDM) Services Information	TFCA Award	Agreement Executed	Dec-13		Agreement to be executed Expenditure deadline TBD
			\$ 270,000	Project Start	Dec-14		
			TFCA Expended	Final Reimbursement	TBD		
				FMR	TBD		
				Expend Deadline Met?	TBD		
14ALA13	Cal State - East Bay	Second BART to Campus Shuttle	TFCA Award	Agreement Executed	Dec-13		Agreement to be executed Expenditure deadline TBD
			\$ 130,000	Project Start	Dec-14		
			TFCA Expended	Final Reimbursement	TBD		
				FMR	TBD		
				Expend Deadline Met?	TBD		
14ALA14	LAVTA	Rte 53 Ace to BART Shuttle	TFCA Award	Agreement Executed	Dec-13		Agreement to be executed Expenditure deadline TBD
			\$ 120,000	Project Start	Dec-14		
			TFCA Expended	Final Reimbursement	TBD		
				FMR	TBD		
				Expend Deadline Met?	TBD		
14ALA15	LAVTA	Rte 54 Ace to BART Shuttle	TFCA Award	Agreement Executed	Dec-13		Agreement to be executed Expenditure deadline TBD
			\$ 47,000	Project Start	Dec-14		
			TFCA Expended	Final Reimbursement	TBD		
				FMR	TBD		
				Expend Deadline Met?	TBD		

TFCA County Program Manager Funds

Timely Use of Funds Report

Report Date: December 31, 2013

<u>Project No.</u>	<u>Sponsor</u>	<u>Project Title</u>	<u>Balances</u>	<u>Required Activity</u>	<u>Date Due</u>	<u>Activity Completed</u> (Date or Y/N)	<u>Notes</u>
GREEN ZONE (Milestone deadline beyond 7 months)							
10ALA04	Hayward	Traffic Signal Controller Upgrade and Synchronization	TFCA Award	Agreement Executed	2/17/11	01/26/11	1st ext. request approved 9/27/12 Expenditures complete FMR due Sept 2015 (2 years post-project)
			\$ 614,000	Project Start	Mar-11	Dec-10	
			TFCA Expended	Final Reimbursement	12/31/14	Jan-13	
			\$ 614,000	FMR	Sep-15		
				Expend Deadline Met?	10/28/13	Yes	
Completed Projects (will be removed from the next monitoring report)							
11ALA05	Cal State - East Bay	Transportation Demand Management Pilot Program (FY 11/12)	TFCA Award	Agreement Executed	1/5/12	11/08/11	Expenditures complete Final Invoice paid FMR received
			\$ 52,000	Project Start	Dec-12	Sep-11	
			TFCA Expended	Final Reimbursement	12/31/13	Jun-13	
			\$ 52,000	FMR	Dec-12	Dec-12	
				Expend Deadline Met?	11/14/13	Yes	
11ALA12	San Leandro	San Leandro LINKS Shuttle (FYs 11/12 & 12/13)	TFCA Award	Agreement Executed	1/5/12	11/08/11	Expenditures complete Final Invoice paid FMR received
			\$ 47,500	Project Start	Dec-12	Jul-11	
			TFCA Expended	Final Reimbursement	12/31/14	Oct-12	
			\$ 47,500	FMR	Sep-13	Aug-13	
				Expend Deadline Met?	11/14/13	Yes	
12ALA01	Oakland	Broadway Shuttle: Fri and Sat Evening Extended Service (FY 12/13)	TFCA Award	Agreement Executed	2/1/13	12/14/12	Expenditures complete Final Invoice paid FMR received
			\$ 35,300	Project Start	Dec-13	Jul-12	
			TFCA Expended	Final Reimbursement	12/31/15	Aug-13	
			\$ 35,300	FMR	Sep-13	Sep-13	
				Expend Deadline Met?	10/17/14	Yes	
12ALA04	LAVTA	Route 10 - Dublin/Pleasanton BART to Livermore ACE Station and LLNL (FY 12/13 Operations)	TFCA Award	Agreement Executed	2/1/13	1/9/13	Expenditures complete Final Invoice paid FMR received
			\$ 144,346	Project Start	Dec-13	Jul-12	
			TFCA Expended	Final Reimbursement	12/31/15	Jul-13	
			\$ 144,346	FMR	Sep-13	Aug-13	
				Expend Deadline Met?	10/17/14	Yes	
12ALA05	LAVTA	ACE Shuttle Service - Route 53 (FY 12/13 Operations)	TFCA Award	Agreement Executed	2/1/13	1/9/13	Expenditures complete Final Invoice paid FMR received
			\$ 34,180	Project Start	Dec-13	Jul-12	
			TFCA Expended	Final Reimbursement	12/31/15	Jul-13	
			\$ 34,180	FMR	Sep-13	Aug-13	
				Expend Deadline Met?	10/17/14	Yes	
12ALA06	LAVTA	ACE/BART Shuttle Service - Route 54 (FY 12/13 Operations)	TFCA Award	Agreement Executed	2/1/13	1/9/13	Expenditures complete Final Invoice paid FMR received
			\$ 30,700	Project Start	Dec-13	Jul-12	
			TFCA Expended	Final Reimbursement	12/31/15	Jul-13	
			\$ 30,700	FMR	Sep-13	Aug-13	
				Expend Deadline Met?	10/17/14	Yes	
12ALA07	BAAQMD	Port Truck Replacement Program (Model Years 2005/06)	TFCA Award	Agreement Executed	2/1/13	5/17/13	Agreement termination letter received from Air District 6/5/13. \$1.43M to be included in FY14/15 Fund Estimate.
			\$ 1,430,000	Project Start	Dec-13	NA	
			TFCA Expended	Final Reimbursement	12/31/15	NA	
			\$ -	FMR	Sep-13	NA	
				Expend Deadline Met?	10/17/14	NA	

Report Milestone Notes

Agmt Executed = Date TFCA Agreement executed

Project Start = Date of project initiation

FMR = Date Final Monitoring Report (Final Project Report) received by Alameda CTC

Exp. Deadline Met? = Expenditures completed by deadline (Yes/No)



Memorandum

6.6

1111 Broadway, Suite 800, Oakland, CA 94607

• PH: (510) 208-7400

• www.AlamedaCTC.org

DATE: October 3, 2013

SUBJECT: Alameda County Federal Inactive Projects List: September 2013 Update

RECOMMENDATION: Receive an update on the September 2013 Alameda County Federal Inactive Projects

Summary

Federal regulations require that agencies receiving federal funds invoice against their obligations at least once every six months. Projects that do not have invoicing activity over a six month period are placed on the Inactive Obligation list, and those projects are at risk of deobligation of the project's federal funds unless Caltrans and the Federal Highways Administration (FHWA) receive either an invoice or a valid justification for inactivity. Caltrans is tracking inactive obligations, and updating a list of inactive projects every week. If Caltrans and FHWA do not receive adequate invoicing or justification for the project's inactivity, the project may be deobligated.

Background

In response to FHWA's recently distributed new guidance for processing Inactive Obligations, Caltrans has developed new guidelines for managing federal inactive obligations. These new guidelines treat all federal-aid as well as the American Recovery and Reinvestment Act (ARRA) inactive projects equally. In order to manage these changes more proactively Caltrans is changing the management of "inactive projects" as follows beginning July 1, 2013:

- If the Department does not receive an invoice for more than six months, the project will be deemed "inactive" and posted on the Department's website. Local Agencies will be notified the first time projects are posted.
- If the Department does not receive an invoice within the following six months (12 months without invoicing), the Department will deobligate the unexpended balances.
- It is the responsibility of the local agencies to work in collaboration with their respective District Local Assistance Engineer's to ensure their projects are removed from the list to avoid deobligation.
- The Inactive project listing is posted at the following website and will be updated weekly: <http://www.dot.ca.gov/hq/LocalPrograms/Inactiveprojects.htm>

Fiscal Impact: There is no fiscal impact.

Attachments

- A. Alameda County List of Federal Inactive Projects Report dated 09/20/13
- B. Justification Form

Staff Contact

[Matt Todd](#), Principal Transportation Engineer

[Vivek Bhat](#), Senior Transportation Engineer

Updated on 09/20/2013

Project No (newly added projects highlighted in GREEN)	Days until Inactive	FMIS transaction or deobligation by:	Agency/District Action Required	Prefix	Agency	Description	Latest Date	Authorization Date	Last Expenditure Date	Last Action Date	Total Cost	Federal Funds	Expenditure Amt	Unexpended Bal
4430004	Inactive	10/1/2013	Records indicated project is in Final Voucher. District to verify.	ER	Alameda County	PALOMARES ROAD @ MM 8.70 " EMERGENCY OPENING & PRE. RESTO	8/31/2010	12/17/2005	8/31/2010	7/2/2013	\$349,426.00	\$316,765.00	\$304,062.21	\$12,702.79
5014031	Inactive	10/1/2013	Final invoice under review by Caltrans. Monitor for progress.	DEMO06L	Alameda	PARK STREET FROM LINCOLN TO WEBB AVE. & CENTRAL AVE. TO SAN JOSE AVE., STREETScape	8/22/2012	5/24/2008	8/22/2012	8/22/2012	\$1,320,700.00	\$1,137,600.00	\$1,130,743.65	\$6,856.35
5014035	Inactive	10/1/2013	Final invoice under review by Caltrans. Monitor for progress.	DEM08L	Alameda	PARK STREET FROM LINCOLN TO WEBB & FROM CENTRAL TO SAN JOSE, STREETScape	8/14/2012	5/4/2011	8/14/2012	8/14/2012	\$490,000.00	\$490,000.00	\$59,926.96	\$430,073.04
5053018	Inactive	10/1/2013	Records indicated project is in Final Voucher. District to verify.	ESPL	Livermore	EAST AVE.,PORTOLA ST., AND EAST STANLEY BLVD., PAVEMENT REHAB AND STREET REPA	5/17/2011	6/23/2009	5/17/2011	5/17/2011	\$1,322,261.59	\$1,322,261.59	\$1,302,329.09	\$19,932.50
5322038	Inactive	10/1/2013	Final invoice under review by Caltrans. Monitor for progress.	BPMP	Fremont	AUTO MALL PKWY/UNION PACIFIC RR BR#33C0400 , PREVENTIVE MAINTENANCE	12/21/2011	9/1/2009	12/21/2011	12/21/2011	\$8,414.00	\$7,449.00	\$4,834.68	\$2,614.32
5322039	Inactive	10/1/2013	Final invoice under review by Caltrans. Monitor for progress.	BPMP	Fremont	ALVARADO BLVD OVER ALAMEDA CO.FLOOD CHANNEL , PREVENTIVE MAINTENANCE BR#33C0059	12/21/2011	9/1/2009	12/21/2011	12/21/2011	\$29,290.00	\$25,930.00	\$14,144.86	\$11,785.14
5354008	Inactive	10/1/2013	Invoice under review by Caltrans. Monitor for progress.	STPLZ	Union City	VARIOUS LOCATIONS (SEE STATE COMMENTS) , SEISMIC RETROFIT	8/17/2012	9/1/1996	8/17/2012	8/17/2012	\$580,000.00	\$513,474.00	\$425,148.72	\$88,325.28
5933119	Inactive	10/1/2013	Invoice under review by Caltrans. Monitor for progress.	SRTSL	Alameda County	MULTIPLE SCHOOLS IN EAST AND WEST OAKLAND, IMPLEMENT GOLDEN SNEAKERS PROGRAM, SAFETY PATROLS	8/3/2012	8/3/2012		8/3/2012	\$500,000.00	\$500,000.00	\$0.00	\$500,000.00
6204063	Inactive	10/1/2013	Submit invoice by 6/27/2013. Invoice past due. Contact DLAE.	STPL	Caltrans	RTE.13 , LANDSCAPING	7/27/2012	4/6/2006	7/27/2012	7/27/2012	\$567,050.00	\$500,000.00	\$283,981.87	\$216,018.13
6273032	Inactive	10/1/2013	Submit invoice by 6/27/2013. Invoice past due. Contact DLAE.	HP21L	Alameda County Congestion Management Agency	SUNOL GRADE - ALAMEDA & SANTA CLARA CO. I-680 , CONSTRUCT SOUNDWALL	7/27/2012	3/19/2004	7/27/2012	7/27/2012	\$12,708,000.00	\$2,232,000.00	\$2,086,281.70	\$145,718.30
6273038	Inactive	10/1/2013	Invoice under review by Caltrans. Monitor for progress.	CML	Alameda County Congestion Management Agency	W GRAND AVE, MACARTHUR BLVD, 20TH/HARRISON ST , INTERCONNECT AND X-IMPROVMENTS	10/7/2011	5/17/2008	10/7/2011	10/7/2011	\$514,636.00	\$500,000.00	\$477,910.82	\$22,089.18
6273046	Inactive	10/1/2013	Submit invoice by 6/7/2013. Invoice past due. Contact DLAE.	CML	Alameda County Congestion Management Agency	ALAMEADA AND CONTRA COSTA COUNTIES , INTEGRATE FREEWAY AND ARTERIAL NETW	2/16/2012	6/22/2008	2/16/2012	2/16/2012	\$3,243,000.00	\$3,243,000.00	\$3,161,434.10	\$81,565.90
6430001	Inactive	10/1/2013	Final invoice under review by Caltrans. Monitor for progress.	STPL	Alameda County Transportation Improvement Authority	I-580-CASTRO VALLEY INTERCHANGE , RECONFIGURE ON AND OFF RAMPS	8/17/2012	4/21/2008	8/17/2012	8/17/2012	\$20,866,748.00	\$1,684,093.00	\$1,119,220.74	\$564,872.26

ALAMEDA COUNTY LIST OF INACTIVE OBLIGATIONS

UPDATED BY CALTRANS ON Septmeber 20, 2013

Updated on 09/20/2013

Project No (newly added projects highlighted in GREEN)	Days until Inactive	FMIS transaction or deobligation by:	Agency/District Action Required	Prefix	Agency	Description	Latest Date	Authorization Date	Last Expenditure Date	Last Action Date	Total Cost	Federal Funds	Expenditure Amt	Unexpended Bal
5053024	5	10/9/2013	Submit invoice by 9/9/2013. Invoice past due. Contact DLAE.	CML	Livermore	LIVERMORE DOWNTOWN, LIGHTING RETROFIT	10/9/2012	4/4/2011	10/9/2012	10/9/2012	\$257,820.00	\$176,000.00	\$149,750.62	\$26,249.38
5012089	28	11/1/2013	Invoice returned to agency. Resubmit to District THIRTY days prior to date on the left.	SRTSL	Oakland	FIVE ELEMENTARY SCH. & 1 MIDDLE SCH., SIDEWALK "BULB-OUT"	11/1/2012	3/2/2008	11/1/2012	11/1/2012	\$612,703.00	\$612,703.00	\$486,679.63	\$126,023.37
5432017	35	11/8/2013	Invoice under review by Caltrans. Monitor for progress.	HPLUL	Dublin	DUBLIN BLVD. - BETWEEN DOUGHTERY ROAD TO SCARLET, RESURFACE	11/8/2012	11/8/2012		7/26/2013	\$297,000.00	\$297,000.00	\$0.00	\$297,000.00
6057014	40	11/13/2013	Invoice under review by Caltrans. Monitor for progress.	DEM05L	Port Of Oakland	MARITIME ST. TO THE WEST AND UNION PACIFIC RR TO THE EAST, INTERMODAL STUDY	11/13/2012	11/13/2012		11/13/2012	\$983,928.00	\$983,928.00	\$0.00	\$983,928.00
6204071	43	11/16/2013	Submit invoice to District THIRTY days prior to date on left.	HPLUL	Caltrans	I-580-AL-COUNTY GREENVILLE RD TO HACIENDA DR. , WIDEN FRW TO CONSTRUCT HOV LANE .	11/16/2012	12/19/2008	11/16/2012	11/16/2012	\$55,284,014.00	\$13,000,927.00	\$9,188,353.91	\$3,812,573.09
5012110	60	12/3/2013	Submit invoice to District THIRTY days prior to date on left.	STPL	Oakland	CITYWIDE AC OVERLAY, AC PAVEMENT	12/3/2012	2/22/2010	12/3/2012	3/11/2013	\$7,121,435.00	\$4,052,000.00	\$189,561.39	\$3,862,438.61
5933090	75	12/18/2013	Submit invoice to District THIRTY days prior to date on left.	SRTSL	Alameda County	MAUDE AVE. IN VICINITY OF FAIRVIEW ELEM. SCHL, CLOSE GAPS BTWN D.ST. & KELLY	12/18/2012	1/29/2009	12/18/2012	12/18/2012	\$1,042,310.00	\$967,310.00	\$79,441.21	\$887,868.79
5322042	97	1/9/2014	Invoice under review by Caltrans. Monitor for progress.	HSIPL	Fremont	WALNUT AVE. BETWEEN FREMONT BLVD. AND PARKHURTS DR. & ARGONAUT WY. BETWEEN, CONSTRUCT ROUNDABOUT AND BICYCLE LANES	1/9/2013	11/22/2010	1/9/2013	4/11/2013	\$935,122.00	\$517,680.00	\$59,490.00	\$458,190.00
5322030	126	2/7/2014	Invoice under review by Caltrans. Monitor for progress.	HSIPL	Fremont	MOWRY AVE. AND OVERACKER INTERSECTION , INSTALL RAISED MEDIAN AND IMPROVE DELINEATION	2/7/2013	11/28/2007	2/7/2013	3/7/2013	\$221,000.00	\$198,900.00	\$30,298.89	\$168,601.11
5057019	133	2/14/2014	Records indicated project is in Final Voucher. District to verify.	CML	Berkeley	SAN PABLO AVE. CORRIDOR IN CITY OF BERKELEY , BICYCLE RELATED - OTHER	2/14/2013	4/1/2000	2/14/2013	2/14/2013	\$2,851,178.00	\$1,432,000.00	\$1,405,027.04	\$26,972.96
6481001	133	2/14/2014	Invoice under review by Caltrans. Monitor for progress.	CML	Alameda County Waste Management Authority	BAY AREA WIDE, EDUCATION AND OUTREACH FOR CLIMATE ACTION	2/14/2013	8/24/2011	2/14/2013	2/14/2013	\$980,000.00	\$867,000.00	\$309,780.41	\$557,219.59
5012085	138	2/19/2014	Invoice under review by Caltrans. Monitor for progress.	BRLS	Oakland	12TH & 14TH ST BRIDGES 33C0181 & 33C0182, BRIDGE REPLACEMENT (SEISMIC)	2/19/2013	9/1/2009	2/19/2013	2/19/2013	\$55,410,000.00	\$13,376,883.00	\$10,985,881.55	\$2,391,001.45



QUARTERLY REVIEW OF **INACTIVE PROJECTS** JUSTIFICATION FORM SUMMARY



1. CT DIST - FEDERAL AID PROJECT NO.	2. STATE PROJECT NUMBER	3. RESPONSIBLE AGENCY		4. DATE	
5. GENERAL LOCATION					
6. GENERAL DESCRIPTION OF WORK (INCLUDE PROJECT PHASES WITH OBLIGATED FUNDS)					
7. AUTHORIZATION DATE	8. FEDERAL-AID FUNDS AUTHORIZED	9. PGM CODE	10. PHASE (from E-76)	11. FEDERAL FUNDS EXPENDED TO DATE	12. UNEXPENDED FEDERAL FUNDS
TOTAL:					
13. LAST ACTIVITY (BILLING DATE)					
Important note: Caltrans and/or FHWA reserve the right to reject a Justification and deobligate the Federal Funds.					
14. JUSTIFICATION (CHECK ONE OR MORE IF APPLICABLE)					
<input type="checkbox"/> Litigation Filed <input type="checkbox"/> Environmental Delays <input type="checkbox"/> Right of way, Utility Relocation Delays					
Justification Forms without proper supporting documents will be rejected and returned to Agencies by Caltrans. Decision to accept or reject a Justification may be based exclusively on this form and supporting documentation.					
15. LIST PROJECT HISTORY FROM INITIAL AUTHORIZATION OR FROM LAST BILLING. LIST CURRENT PROJECT STATUS/REASON FOR PROJECT BEING INACTIVE. PROVIDE BACKUP DOCUMENTATION.					
16. ACTIONS TAKEN TO RESOLVE EXISTING ISSUE(S)					
17. DATE ACTIVITIES TO BE RESUMED			18. DATE BILLINGS OR OTHER CORRECTIVE ACTION TO BE TAKEN (e.g. closure, withdrawal, et		
19. CURRENT COST ESTIMATE NEEDED TO COMPLETE PROJECT			20. IF ESTIMATE IS LESS THAN UNEXPENDED BALANCE, AMOUNT TO BE DEOBLIGATED (Attach copy of E-76 requesting deobligation)		
21. CONSEQUENCES IF FUNDS ARE DEOBLIGATED					
22. ADDITIONAL DOCUMENTATION (LIST ATTACHMENTS) TO SUPPORT VALIDATION OF THIS OBLIGATION					
23. AGENCY CONTACT	EMAIL	SIGNATURE	PHONE NUMBER	DATE	
24. FORM REVIEWED AND RECOMMENDED FOR APPROVAL BY:					
CT DISTRICT CONTACT NAME/TITLE		SIGNATURE	PHONE NUMBER	DATE	



QUARTERLY REVIEW OF **INACTIVE PROJECTS** JUSTIFICATION FORM SUMMARY



Please go through the check list before submitting your justification form
(**DO NOT** leave anything blank)

#	Information Required	Additional Information	Check
1	Enter the District number and federal project number (including the project prefix, e.g. STPL)		
2	Enter State Project Number, if applicable		
3	Enter Responsible Agency		
4	Enter date you've completed the form		
5	Enter route information and location description		
6	Enter work description including project phases with obligated funds		
7	Enter date when funds were authorized. Use a separate line for each phase with authorized federal funds	Refer to the current inactive list/file posted in the web http://www.dot.ca.gov/hq/LocalPrograms/InactiveProjects/QuarterlyReviewofInactiveProjects.htm	
8	Enter authorized federal funds		
9	Enter all program code(s)		
10	Enter project phase (e.g. PE, RW, CON, etc.)	Use E-76 for this item	
11	Enter accumulated expenditure by program code	Refer to the current inactive list/file posted in the web http://www.dot.ca.gov/hq/LocalPrograms/InactiveProjects/QuarterlyReviewofInactiveProjects.htm	
12	Enter unexpended funds		
13	Enter last billing date		
14	Select the appropriate reason(s) for justification; for litigation filed, submit copy (with stamp) of the documents filed	http://www.dot.ca.gov/hq/LocalPrograms/Inactiveprojects.htm	
15	List project history	Include project timeline from the time of authorization or last financial transaction to present. e.g. original bid rejected - costs exceeded engineer estimate by XX%	
16	Action(s) taken to resolve the issue	Explain why previous commitment has not been met. e.g. to be re-advertised after additional funding determinations	
17	Enter date activities to be resumed	e.g. Revised date for contract award	
18	Enter billing dates or other corrective action to be taken		
19	Enter current cost estimate needed to complete		
20	Enter amount to be deobligated for unneeded funds		
21	Enter reason/consequences if funds are deobligated		
22	Additional back-up documentation	Copy of environmental approval; litigation; r/w acquisition; copy of invoice; proof that they have been working on a project since initial authorization; project timeline and funding plan; PSA; etc.	
23	Enter contact person from local agency	Person prepared the justification must sign the form	
24	DLAE approving official	Person reviewing and approving the justification must sign the form	

ANY INCOMPLETE JUSTIFICATION FORM WILL BE SENT BACK TO DLAE



AGENDA

Topic	Estimated Time
1. Introductions (<i>Craig Tackabery, LSRWG Chair</i>)	3 min
2. Review of Working Group Minutes*	5 min
A. Partnership Local Streets and Roads Working Group – July 11, 2013* (<i>Craig Tackabery, LSRWG Chair</i>)	
B. Partnership Programming and Delivery Working Group – July 15, 2013* (<i>Eileen Ross, PDWG Chair</i>)	
3. Standing/ Programming Updates:	
A. Federal Programs Delivery Update (STP/CMAQ, STIP-TE, Local Safety)* (<i>Kenneth Kao/Marcella Aranda</i>)	5 min
• Inactive Obligations Update* (<i>The current Quarterly Inactive Obligations listing is available online at: http://www.dot.ca.gov/hq/LocalPrograms/Inactiveprojects.htm.)</i>)	
B. Legislative Update (<i>Kenneth Kao/ Rebecca Long</i>)	10 min
4. Federal/State Program Announcements:	
A. Caltrans/FHWA/CalRTPA Announcements (DLAWUA)* (<i>Memo Only</i>) (<i>Caltrans Division of Local Assistance has posted program updates/announcements to their website. Jurisdictions are encouraged to review the bulletins for program changes.</i>)	
i. Understanding NEPA Reevaluations* (<i>The Local Assistance Blog has posted an FAQ on Understanding NEPA Reevaluations at: http://davidgiongco.com/2013/07/30/nepa-reevaluations/#more-1591</i>)	
ii. Buy America Transition Plan for Utility Relocations* (<i>Caltrans has distributed a letter clarifying the FHWA's Transition Plan for Buy America compliance on Utility Relocations – off-system, plus the two letters/memos from FHWA. http://localassistance.info/2013/07/16/buy-america-in-ca/</i>)	
iii. DLA-OB 13-06 Plans, Specifications & Estimate Revised * (<i>Office Bulletin DLA-OB 13-06 Plans, Specifications & Estimate and its accompanying Exhibits have been posted to the Local Assistance website: http://www.dot.ca.gov/hq/LocalPrograms/DLA_OB/DLA_OB.htm. PS&E packages submitted to the district for approval after September 30, 2013 must comply with these new procedures.)</i>)	
iv. National Highway Performance Program (NHPP) and National Highway System (NHS) Fact Sheets* (<i>The National Highway Performance Program (NHPP) fact sheet is available online via FHWA at: http://www.fhwa.dot.gov/map21/nhpp.cfm. Additional information can be found regarding the Enhanced National Highway System at http://dot.ca.gov/hq/tsip/hseb/map21nhs.html, http://www.fhwa.dot.gov/map21/qandas/qanhs.cfm, http://www.fhwa.dot.gov/tpm/about/schedule.cfm</i>)	
v. Caltrans Local Assistance Federal-Aid Series Training Schedule (<i>Caltrans has posted its registration link and schedule for upcoming federal-aid series training sessions. The next session is in Sacramento on September 23-27, 2013. http://www.cce.csus.edu/conferences/caltrans/localAssistance/training_upcTraining.cfm</i>)	

- vi. Title II of the Americans with Disabilities Act Requirements to Provide Curb Ramps*
(FAQ's regarding the ADA Curb Ramp requirements are available online at: <http://www.ada.gov/doi-fhwa-ta.htm>)
- vii. Active Transportation Program (ATP) Trailer Bill*
(Attached is the ATP trailer bill. The same language is also included in AB 105 (assembly bill), and the associated appropriations are included in SB 95 and AB 101.)
- viii. Update to Policy for Existing State-legislated Safe Routes to School (SR2S) Projects
(An update to the SR2S Project Implementation Instructions and Construction Contract Award Information Sheet has been posted to the Local Assistance website at:
http://www.dot.ca.gov/hq/LocalPrograms/saferoutes/sr2s_instruct.htm)
- ix. Caltrans 2010 Construction Standards Webinar*
(Caltrans will be hosting a webinar on the Caltrans Contract Stands 2010 on **Thursday, September 19, 2013**. Additional information is available online at https://events-na11.adobeconnect.com/content/connect/c1/1083703907/en/events/event/shared/1084319331/event_landing.html?sco-id=1084437447&_charset_=utf-8)

5. Discussion Items:

- A. OneBayArea Grant (OBAG) Proposed Project Selection* (Craig Goldblatt) 10 min
- B. Proposed Regional Project Delivery Policy, Reso. 3606 Update Discussion (Ross McKeown) 15 min
- C. 2014 STIP Update* (Kenneth Kao) 10 min
- D. Final Draft 2012 Regional Pavement Condition Report* (Sui Tan) 15 min
- E. PTAP Update** (Christina Hohorst) 5 min
(The call for projects for P-TAP 15 is expected to be released in fall 2013. All deliverables for P-TAP 13 must be met in order to eligible to apply for P-TAP 15.
 - An invitation will be provided to all jurisdictions via email; all jurisdictions are encouraged to apply.
 - Applications are **due on October 21, 2013 by 4:00 p.m.** Apply at:
<http://www.mtcpms.org/ptap/index.html>)
- F. Federal Efficiencies Subcommittee Status Update (Kenneth Kao) 5 min
- G. 2013 LSRWG Work Plan Update 10 min
 - i. Cap and Trade Update* (Craig Tackabery)
(<http://www.arb.ca.gov/cc/scopingplan/scopingplan.htm>)
 - ii. Tech Transfer September 2013 Training Schedule* (Memo Only)

6. Informational Items: (“Memo Only” unless otherwise noted)

- A. TIP Update*
(The current TIP and subsequent TIP Revisions are available online at:
<http://www.mtc.ca.gov/funding/tip/2011/revisions.htm>)
- B. PMP Certification Status*
(Current PMP Certification status is available online at: <http://www.mtcpms.org/ptap/cert.html>).
- C. 2013 Local Streets and Roads Working Group Meeting Calendar (NOTE CHANGE)
(The 2013 Local Streets and Roads Working Group meeting calendar is available online at:
<http://www.mtc.ca.gov/meetings/agendas.htm>)
- D. 2013 Programming and Delivery Working Group Meeting Calendar (NOTE CHANGE)
(The 2013 Programming and Delivery Working Group meeting calendar is available online at:
<http://www.mtc.ca.gov/meetings/agendas.htm>)

7. Recommended Agenda Items for Next Meeting: (All)

5 min

The next LSRWG meeting:

Thursday, October 10, 2013
9:30a – 11:30a, 2nd Floor, Claremont
101-8th Street, Oakland 94607

The next PDWG meeting:

Monday, October 21, 2013
10:30a – 12:30p, 3rd Floor, Fishbowl
101-8th Street, Oakland 94607

The next Joint LSRPDWG meeting: (Note: Rescheduled from November 18)

Thursday, December 5, 2013
9:30a – 12:30p, 1st Floor, Auditorium
101-8th Street, Oakland 94607

* = Attachment in Packet ** = Handouts Available at Meeting

Contact Marcella Aranda at maranda@mtc.ca.gov if you have questions regarding this agenda.

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**METROPOLITAN
TRANSPORTATION
COMMISSION**

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WEB www.mtc.ca.gov

Memorandum

TO: Partnership Local Streets and Roads Working Group
Partnership Programming and Delivery Working Group

DATE: September 16, 2013

FR: Christina Hohorst

RE: P-TAP 15 Call for Projects

The Pavement Management Technical Assistance Program (P-TAP) provides Bay Area jurisdictions with expertise in implementing and maintaining a pavement management program, primarily the MTC StreetSaver® software. MTC has programmed over \$12 million in regional Surface Transportation Program (STP) funds during the last fourteen rounds of P-TAP. In total, MTC has funded about 570 projects and assisted all Bay Area jurisdictions with their pavement needs.

MTC is soliciting applications for Round 15 projects (see attached). The application will be available online and will be due **October 21, 2013 by 4:00 p.m.** Please follow the following link to apply for P-TAP 15: <http://new.streetsaveronline.com/forms/ptap15application>

MTC will notify grant finalists in January 2014, contingent upon Administration Committee approval. All eligible Bay Area cities and counties are encouraged to apply.

Feel free to contact me at 510-817-5869 and chohorst@mtc.ca.gov with questions.



METROPOLITAN
TRANSPORTATION
COMMISSION

LSRPDWG 09/16/13: Item 5E

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Amy Rein Worth, Chair
Cities of Contra Costa County

Dave Cortese, Vice Chair
Santa Clara County

Alicia C. Aguirre
Cities of San Mateo County

Toni Azumbardo
U.S. Department of Housing
and Urban Development

Toni Bates
Cities of Alameda County

David Campos
City and County of San Francisco

Bill Dodd
Napa County and Cities

Dorene M. Giacomini
U.S. Department of Transportation

Federal D. Glover
Cities of Contra Costa County

Scott Haggerty
Alameda County

Anne W. Halsted
San Francisco Bay Conservation
and Development Commission

Steve Kinsey
Marin County and Cities

Sam Liccardo
San Jose Mayor's Appointee

Mark Luce
Association of Bay Area Governments

Jake Mackenzie
Sonoma County and Cities

Joe Pirzynski
Cities of Santa Clara County

Jean Quan
Oakland Mayor's Appointee

Bijan Sartipi
State Business, Transportation
and Housing Agency

James P. Spering
Solano County and Cities

Adrienne J. Tissier
San Mateo County

Scott Wiener
San Francisco Mayor's Appointee

Steve Heminger
Executive Director

Ann Flemer
Deputy Executive Director, Policy

Andrew B. Fremier
Deputy Executive Director, Operations

September 16, 2013

Cities and Counties
San Francisco Bay Area

RE: Pavement Management Technical Assistance Program (P-TAP) Round 15

Dear Public Works Directors of the San Francisco Bay Area:

MTC is soliciting projects for the Pavement Management Technical Assistance Program (P-TAP) Round 15. Applications are due **Monday, October 21, 2013 by 4:00 p.m.** MTC expects to formally confirm finalists in January, contingent upon Administration Committee approval.

All eligible Bay Area cities and counties are encouraged to apply. This includes jurisdictions that previously applied for P-TAP funds but were not selected and past P-TAP recipients that may need additional funds to implement, maintain or update specific components of their pavement management program (PMP).

P-TAP provides Bay Area jurisdictions with assistance and expertise in implementing and maintaining a PMP. The program's success has enabled P-TAP to expand assistance to all Bay Area cities and counties. MTC has programmed over \$12 million in regional Surface Transportation Program (STP) funds during the last fourteen rounds of P-TAP. In total, MTC has funded about 570 projects and assisted all Bay Area jurisdictions with their pavement needs.

Jurisdictions applying for a P-TAP grant will have the option of selecting from the following types of projects: (1) Pavement Management Systems (PMS) projects, and (2) roadway design projects including the development of Plans, Specifications, and Estimates (PS&E). MTC may consider other projects related to pavement management pending availability of funds.

Through P-TAP, MTC has retained qualified consulting firms to provide assistance to Bay Area jurisdictions for eligible pavement projects. MTC will select the most appropriate firm to assign to each awarded jurisdiction based on the firm's expertise, jurisdictions' previous experience with the firm, the jurisdiction's preference, and the firm's geographic proximity with the jurisdiction. MTC does not guarantee that jurisdictions will be assigned their preferred firm.

Jurisdictions are expected to work directly with P-TAP consultants to complete the projects. Attachment A outlines the jurisdiction's and consultant's responsibilities for

their P-TAP project. By accepting a P-TAP grant, jurisdictions authorize MTC and their assigned consulting firms to inspect their roadways.

Projects will be selected on the basis of the scoring criteria consistent with MTC Resolution 4078 (Attachment B). This includes scores for the type of project; the number of centerline miles in a jurisdiction; when a jurisdiction last received a P-TAP grant; and certification status.

For Round 15, approximately \$1.5 million in federal funds is expected to be available for programming. Jurisdictions will also be awarded up to two years subscription to StreetSaver® On-line. The minimum grant amount awarded will be \$10,000 with a maximum cap of \$100,000 awarded per jurisdiction. The project amount awarded will include both the MTC's STP contribution as well as a local contribution of 20%. The local contribution includes the local match of 11.47%; the remaining 8.53% pays for the StreetSaver® subscription referenced above.

You can determine your jurisdiction's maximum eligible award amount by multiplying \$300 by the number of centerline miles in your jurisdiction. For example, if a jurisdiction has 50 centerline miles of road, then the maximum amount of project funding would be \$15,000 (the local contribution will be 20% of \$15,000, which is \$3,000).

The actual award amount for individual jurisdictions will depend on the number of eligible applications received. The local contribution requirement of 20% of the grant sum needs to be paid to MTC by the local jurisdiction prior to the start of the project, and **no later than February 14, 2014**. Failure to submit local contribution by the February 14 deadline will result in the loss of funding for the project, and removal from the P-TAP round. MTC staff will then select an alternate project for participation in this round.

All P-TAP 15 projects will be initiated in Fiscal Year 2013-14, and need to be completed by April 30, 2015. MTC will directly reimburse consultants working on P-TAP projects. Project sponsors are responsible for project costs exceeding the P-TAP grant amount. All grants are subject to availability of funds allocated for P-TAP by MTC. If your jurisdiction would like to participate in P-TAP, please complete the application form online:
<http://new.streetsaveronline.com/forms/ptap15application>

Applications are due on **October 21, 2013 by 4:00 p.m.** For additional information contact: Christina Hohorst, Project Manager, at (510) 817-5869.

Sincerely,

Alix Bockelman
Director, Programming and Allocations

AB:CH

S:\Project\Pavement Management\Projects\P-TAP\PTAP 15\Call for Projects\Call docs\PTAP 15 CFP Letter.doc

P-TAP Round 15 Grant Requirements

This document provides an overview of the grant requirements for P-TAP Round 15, including schedule deadlines and deliverables checklists for all P-TAP projects, and budget options reports, information on GIS linkage and certification letters for Pavement Management System (PMS) projects. The Regional Pavement Condition Report is also discussed.

Schedule Deadlines

<u>Task #</u>	<u>Activity/Deliverable – PMS Projects</u>	<u>Due Date</u>
1	Workscope, Schedule and Budget	June 1, 2014
2	Condition Survey Data and System Updates	October 1, 2014
3	Budget Analysis, Calculations and Reports	December 1, 2014
4	Budget Options Report (Final Report)	April 30, 2015

<u>Task #</u>	<u>Activity/Deliverable – PS&E Projects</u>	<u>Due Date</u>
1	Workscope, Schedule and Budget	June 1, 2014
2	35% of Workscope Tasks	October 1, 2014
3	95% of Workscope Tasks	December 1, 2014
4	100% of Submittal (Final Report)	April 30, 2015

Schedule Milestones

September 16, 2013	MTC advertises call for projects
October 21, 2013	Applications due to MTC
January 9, 2014	MTC notifies grant finalists after Administration Committee approval
February 14, 2014	Local contribution checks due to MTC
March/April, 2014	Projects start
May 1, 2014	Deadline to set up StreetSaver® Online account profile (new/desktop users only)
April 30, 2015	Final Report due to MTC

Deliverables Checklists

Attachments A-1 and A-2 provide examples of the deliverables checklists for PMS and PS&E projects, respectively. MTC requires that agency staff sign off on deliverables before paying consultants for work completed. The checklists provide agencies with an additional measure of quality control.

Budget Options Reports (BOR) – PMS Projects

Attachment A-3 is an outline of the required components for a BOR (e.g., final report).

GIS Linkage and Maps – PMS Projects

GIS linkage is mandatory for all PMS projects. There are two options available to meet this GIS requirement: the jurisdiction may either do the linkage in-house or ask the P-TAP consultant to

complete the links to the agency's base map. If a jurisdiction has already established a GIS map separate from the TIGER maps available in StreetSaver®, but has not yet linked the map to pavement data, MTC provides a one-time GIS mapping integration service for \$1,500 that may be included as part of the P-TAP grant amount. For more information on the GIS Mapping Integration, please go to the Pavement Management Program website:
<http://www.mtcpms.org/products/index.html>

Certification Letters – PMS Projects

Agencies are required to sign and mail their certification letters to MTC within two weeks of receipt from their P-TAP consultant. Certification letters for Round 15 are due to MTC by April 30, 2015. For more information on the certification letters, and for a sample letter, please go to:
<http://www.mtcpms.org/ptap/cert.html>

Regional Pavement Condition Report – PMS Projects

Using data from StreetSaver®, MTC performs condition summaries of the region's roadways. These summaries are published on an annual basis in MTC's Pavement Condition Index (PCI) Report, designed to provide the public with an overall assessment of the region's transportation network. In order for MTC to include your jurisdiction's current conditions, please ensure that your P-TAP consultant completes Task 2 - Condition Survey Data and System Updates - by November 30, 2014.

Feel free to contact me with any questions at 510.817.5869 and chohorst@mtc.ca.gov on P-TAP.

Attachments

- A-1) Deliverables Checklist for PMS Projects
- A-2) Deliverables Checklist for PS&E Projects
- A-3) Budget Options Report Overview

Consultant: _____ Agency: _____ Sign-off by: <u> Please print full name </u> Date: _____	Workshop, Schedule, & Budget	Condition Survey Data and System Update	Budget Analysis, Calculations & Reports	Final Project Report	Remarks
PTAP - PMS Project Deliverable Checklist					
Local Match Received					
Project Kick-Off					
Roles/Responsibilities					
Communication Protocol					
Conflict Resolution					
Traffic Control Discussed					
Expectations (Performance Review, BOR, etc)					
Scope of Work Defined					
QA/QC Plan Submitted					
Final Agreed Upon Price					
Schedule / Completion Date					
Estimate of Hours of each Task					
Jurisdiction Staff Initial - Above Work Completed					
Inventory Reviewed & Audited					
Sectionalized Streets As Needed					
M&R Update					
Performed Reinspection (___% network)					
Remediation Work Documented					
Agency participated in Field Survey? (Yes/No)					
Jurisdiction Staff Initial - Above Work Completed					
Verified Agency's budget assumptions					
Checked Interest & Inflation Rates					
Run 5-year Analysis					
Review Decision Trees					
Unit Costs Update					
Developed Multi-Year Work Plan					
Impacts Shown on GIS Maps					
Executive Summary					
Discussion of Pros/Cons of Current Practice					
Recommendations					
Discussion of Final Results w/ Agency					
Jurisdiction Staff Initial - Above Work Completed					
Final Report					
Local Acknowledgement of Data Acceptance					
Agency's DB Disconnection (Email Sui Tan)					
Prepare and Sign PMS Certification					
Jurisdiction Staff Initial - Above Work Completed					

Note: All invoices submitted with each deliverable must include:

- Project Name (PTAP 14, City or County of _____)
- Amount of Current Invoice
- Contract Amount
- Amount Invoiced to Date
- Contract Balance

Consultant: _____
Agency: _____
Sign-off By: Please print full name
Date: _____

PTAP - PS&E Project Checklist

Phase I: General
Administration

Phase II: Pavement
Evaluation Report

Phase III: Design
Development

Remarks

Phase I: General Administration

Local Match Received
Project Kick-Off Meeting
Roles/Responsibilities
Communication Protocol
Scope of Work Defined
Limit of Work Area Identified
Final Agreed Upon Price
Schedule / Completion Date
Estimate of Hours Per Task
Work Scope Delivered to MTC for Approval
Jurisdiction Staff Initial - Above Work Completed

Phase II: Pavement Evaluation Report

Deflection Testing
Coring & R-value Sampling
R-value Testing
Visual Evaluation of Surface Conditions
Drainage Problems Identified
ADA / Accessibility Problems Identified
Traffic Circulation Problems Identified
Photographs of Site Conditions
Cost Estimate Prepared for Each Segment
Recommendations Prepared From Investigation
Delivery of Pavement Evaluation Report
Jurisdiction Staff Initial - Above Work Completed

Phase III: Design Development

Scaled Base Plans Created
Utility Research
Areas of Rehabilitation/Maintenance Items Shown
Notes, Legends, etc. Included on Plans
Construction Details Included on Plans
Title Sheet w/Location Map, Legend, General Notes
Cost Estimate of all Items of Work for Each Segment
Bid Package Prepared
General and Supplemental Conditions Prepared
Technical Specifications Prepared
Delivery of PS&E at 35% completion for City Review
Meet with City Staff to Discuss Redline Comments
Delivery of PS&E at 65% completion for City Review
Meet with City Staff to Discuss Redline Comments
Delivery of PS&E at 100% completion
Jurisdiction Staff Initial - Above Work Completed

**Budget Options Report (BOR):
Components of a Quality Report
P-TAP**

■ **Audience**

- Technical level – maintenance and engineering personnel
- Policy level – Public Works Directors, City Managers, County Executives, City Councils
- MTC encourages local jurisdictions and P-TAP consultants to present results/recommendations to policy level personnel

■ **Purpose**

- Translates technical analysis into pavement repair options
- Links needs analysis with annual and multi-year programming
- Shows impacts of varying levels of budgets which may increase funding
- Provides most cost-effective pavement repair recommendations
- Facilitates securing management buy-in to obtain policy board approvals
- Provides MTC with insight into jurisdictions' pavement management programs and policies

■ **Content**

- Executive Summary
 - Background explanations to define concepts, establish the BOR context
 - Highlights current/future pavement conditions and needs
 - Highlights past funding levels for pavement maintenance and rehabilitation with estimates for current/future anticipated revenue
 - Summarizes minimum three scenarios with clear depiction of impacts:
 - Maintain annual budget estimates over the next five years
 - Maintain existing PCI over the next five years
 - Increase current PCI by five-points over the next five years
 - GIS – for three budget scenarios analyses, show impacts through the use of GIS maps in the StreetSaver® GIS Toolbox
 - **Suggestions that clearly lay out realistic options, for example:**
 - Budget
 - Maintain current funding in order to maintain PCI, or
 - Increase budget to \$X in order to improve PCI to X
 - Pavement Maintenance – promote pavement preservation principles to capture cost savings
 - Policy Recommendations – Explore possibility of assessing impact fees based on garbage/recycling truck routes
- Supporting documentation (intended for technical level audience)

■ **Recommended format**

- Length - Minimum five page executive summary to effectively communicate critical information
- Graphics - Clear summary graphics essential

SCORING CRITERIA FOR P-TAP 15 PROJECTS

<u>No.</u>	<u>Description</u>	<u>Score Range</u>	<u>Total Points</u>
1	<u>Scope of Work Requested</u>	5 to 25	25
	<i>Jurisdictions applying for Pavement Management System (PMS) projects will receive higher scores.</i>	PMS = 25 PS&E = 5	
2	<u>Centerline Miles</u>	10 to 20	20
	<i>Jurisdictions with fewer centerline miles will receive higher scores.</i>	<100 = 20 100-300 = 15 >300 = 10	
3	<u>Prior P-TAP Recipient</u>	0 to 30	30
	<i>Jurisdictions that have not recently received P-TAP funds will receive higher scores.</i>	Round 12 or earlier = 30 Round 13 = 15 Round 14 = 0	
4	<u>Certification Status</u>	10 to 25	25
	<i>Jurisdictions without current PMP certification will receive higher scores.</i>	Currently Expired = 25 Expired by year end = 15 Certified for 1-2 years = 10	
*Additional Criteria			
	<u>P-TAP Survey Completed</u>	5	5
	<i>Jurisdictions that submit their P-TAP surveys will receive a five-point bonus.</i>		
<u>Total Points Possible</u>			<u>105</u>

**METROPOLITAN TRANSPORTATION COMMISSION
PAVEMENT MANAGEMENT TECHNICAL ASSISTANCE PROGRAM (P-TAP)
APPLICATION FORM - ROUND 15**

Instructions:

Please complete all five sections. Submit application online, and email a signed PDF to Christina Hohorst at chohorst@mtc.ca.gov by 4:00 p.m. on Monday, October 21, 2013.

I. CONTACT AND JURISDICTION INFORMATION

1. Name of Jurisdiction:
Street Address:
2.

Primary Contact Name: <input type="text"/> Title: <input type="text"/> Phone: <input type="text"/> Fax: <input type="text"/> Email: <input type="text"/>	StreetSaver® Contact Name: <input type="text"/> Title: <input type="text"/> Phone: <input type="text"/> Fax: <input type="text"/> Email: <input type="text"/>
--	---
3. Total number of centerline miles within jurisdiction:
4. Number of full time engineering staff on payroll:
5. Number of staff working with pavement management:
- a. Have staff attended training and/or user week mtgs? Yes ☐ No ☐ (If no, skip to #6)
- b. Training classes staff received:
Month and Year:
- c. Last User Meeting Attended:

II. PAVEMENT MANAGEMENT SYSTEM

6. Do you have StreetSaver® Online v.9? Yes ☐ No ☐
- If not, which version of StreetSaver® do you have? (Pull down menu)
7. When was your certification last updated?
Please see PMS website for status: <http://www.mtcpgms.org/ptap/cert.html>
8. Have you utilized PMS consultants before? Yes ☐ No ☐
- If yes, which consultant?
- Do you have any preference for your consultant? Yes ☐ No ☐
- If yes, which consultant? Please list up to three firms.
9. Do you have a digital map (e.g., GIS) of your jurisdiction? Yes ☐ No ☐ (If no, skip to #10)
- If yes, what format?
10. Have you linked your basemap to your PMS database? Yes ☐ No ☐ (If yes, skip to #11)
- If you have not yet linked them, would you like a P-TAP consultant to do so? Yes ☐ No ☐

III. PROJECT BUDGET

11. Total Project Cost \$
Multiply # of centerline miles to be inspected by \$300 (\$10,000 Minimum and \$100,000 Maximum grant amounts).
Note: MTC has used the \$300 as a benchmark in past rounds, and this rate is subject to change.
12. Local contribution (Must be at least 20% of total project cost, Max = \$20,000) \$
Local contribution is due to MTC prior to the start of the project, and no later than February 14, 2014.
13. Does your jurisdiction have additional funds available to pay for a project that exceeds the P-TAP grant amount? Yes ☐ No ☐

* Note that MTC may consider reassigning a jurisdiction after three rounds of working with the same consultant.

IV. SCOPE OF WORK

Please select one or more Projects from No. 14-16. If you are interested in more than one project, indicate your prioritization by marking "1-3" in the boxes. Jurisdictions interested in Projects No. 15 and 16 MUST have an updated PMS in place.

☐ 14. Pavement Management System (PMS) Projects

Project will include ALL REQUIRED tasks listed (items a - i), unless otherwise agreed upon by MTC. A Budget Options Report must be completed, either by the consultant or the jurisdiction itself, and submitted to MTC by April 30, 2014.

- a. Audit Management Sections for Accuracy (e.g., functional class, number of lanes, length, width, and surface type)
- b. Update PMS Road Network (e.g., create new management sections, combine/delete sections)
- c. Inspect Management Sections (visual pavement distress surveys and measurements out in the field)

- Estimated no. of centerline miles to be inspected:

No. of centerline miles % of network

No. of PMS miles (if different from centerline) % of network

Please check all that apply: ☐ Arterials ☐ Residentials

☐ Collectors

☐ Other:

- Estimated no. of management sections to be inspected: (Regional avg = 3 sectns/lane mi)

- Estimated no. of inspection units to be inspected:

- d. Update Treatment Decision Tree and Unit Costs
- e. Update Maintenance and Repair (M&R) History
- f. Establish Pavement Needs (current PCI, backlog), & Project Future Pavement Condition
- g. Perform Budget Scenarios Analysis
 - At a minimum, run the three scenarios required for PMP certification.
- h. Complete a Budget Options Report (Budget Analysis)

(Note: BOR required with GIS Maps if full PMS-GIS linkage already established)
- i. Provide pavement linkage to GIS Map through StreetSaver's GIS Toolbox
- j. Present Report to Management and/or City Council
- k. Provide customized StreetSaver training using jurisdiction's database.

☐ 15. Pavement Design Projects - Develop Plans, Specifications & Cost Estimates (PS&E)

- a. Provide assistance in developing PS&E design work for specific pavement rehabilitation, and/or reconstruction projects. (Note: PS&E is typically 15-20% of the construction cost)

Please briefly describe the project, including the length and width of the proposed project, as well as the functional classification and current PCI of the streets involved. Note that streets eligible for P-TAP funds must be on the federal system (arterials and collectors). Residential streets are ineligible for PS&E project funds. Please also indicate if there is any work to be done beyond the general pavement.

Anticipated Construction Start Date:

☐ 16. Special Projects for MTC staff to consider funding with P-TAP grant

(Note: Only PMS and PS&E projects are currently being considered.)

V. SIGNATURE

Signature of Public Works Director

Date

Phone: _____

Name of Public Works Director (Printed)

E-mail: _____

S:\Project\Pavement Management\Projects\P-TAP\TAP 15\Call for Projects\Call docs\[P-TAP 15 Application Form.xls]P-TAP 15 Application

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Memorandum

6.9

1111 Broadway, Suite 800, Oakland, CA 94607

• PH: (510) 208-7400

• www.AlamedaCTC.org

DATE: October 3, 2013

SUBJECT: The Bay Area Air Quality Management District (Air District) Bicycle Rack Voucher Project (BRVP) Program Call for Projects

RECOMMENDATION: Receive an update on the Air District's BRVP Program Call for Projects

Summary

The Bay Area Air Quality Management District (Air District) has released a call for projects for its Bicycle Rack Voucher Project (BRVP) Program. The program provides funding for public agencies to purchase new bicycle racks that create new bicycle parking spots. Air District will review applications on a first come first served basis, funds will be awarded until January 31, 2014 or until all funds have been exhausted.

Background

The Bay Area Air Quality Management District (Air District) has developed the Bicycle Rack Voucher Project (BRVP) to provide local public agencies with access to discounted or no-cost bicycle rack equipment. The BRVP's goal is to cost effectively reduce motor vehicle emissions by expanding availability of new bicycle parking facilities in the nine-county Bay Area. Funding for the BRVP comes from the Transportation Fund for Clean Air (TFCA) Regional Fund Program.

Application material including the program requirements and application form is available from the Air District's website: <http://www.baaqmd.gov/Divisions/Strategic-Incentives/Alternative-Transportation/BRVP.aspx>

BRVP Program Highlights

- Only public agencies in the Air District's jurisdiction may apply;
- Funds are to purchase new bicycle racks that create new bicycle parking spots;
- Awards are in the form of vouchers for up to \$60 per bicycle parking spot, limited to a maximum of \$12,000 in total voucher awards per applicant; and
- Vouchers can only be redeemed for qualified equipment with vendors who are pre-approved by, and under contract with, the Air District.

- The Air District will review applications on a first come first served basis. Funds will be awarded until January 31, 2014 or until all funds have been exhausted.

For questions about the BRVP PROGRAM, please contact the Air District: phone: 415-749-8660, or email: grants@baaqmd.gov .

Fiscal Impact: There is no fiscal impact.

Attachments

A. How the BRVP program Works

Staff Contact

[Jacki Taylor](#), Program Analyst



BAY AREA
AIR QUALITY
MANAGEMENT
DISTRICT

Bicycle Rack Voucher Project (BRVP) How the BRVP Works

Public Agency submits the Application Form to the Air District.



The Air District will review a complete application **within 30 calendar days** of receipt.



If funding is approved, the Air District will issue the Public Agency a Voucher for the approved bicycle rack equipment. Please note that if equipment is being requested from more than one Vendor, the Air District will issue a separate Voucher for each Vendor.



Each Voucher will be **eligible for use for 60 days** after the Air District issues the Voucher.



To redeem the Voucher, voucher recipients must present the Voucher to the Vendor when placing their order.



The voucher recipient is required to install the equipment **within 4 months** of the equipment being delivered.



Equipment will be delivered to the voucher recipient **within 90 days** of Vendor placing the order.



Within 60 days of the installation of all equipment from the Vendor, Voucher recipient must submit an Installation Form to the Air District to confirm that the equipment has been installed in the pre-approved locations.



Voucher recipient must maintain equipment for **10 years** after the equipment is installed

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Memorandum

6.10

1111 Broadway, Suite 800, Oakland, CA 94607

• PH: (510) 208-7400

• www.AlamedaCTC.org

DATE: October 3, 2013

SUBJECT: 2013/14 Alameda County Technical Advisory Committee (ACTAC) Meeting Calendar

RECOMMENDATION: Receive an update on the proposed 2013/14 ACTAC meeting calendar

Summary

The Alameda County Technical Advisory Committee (ACTAC) historically has met on the first Tuesday of the month. ACTAC members provide technical expertise, analysis and recommendations to the Alameda CTC Board related to transportation planning and programming. Some of the items discussed at ACTAC meetings are forwarded to Alameda CTC standing committees such as the Programs and Projects Committee (PPC) and the Planning, Policy and Legislation Committee (PPLC) and subsequently to the Alameda CTC Board.

The PPC and the PPLC are held on the second Monday of the month. In order to allow better coordination for preparation and timely mail out of Committee packets, staff is proposing to reschedule the ACTAC meetings to the Thursday prior to the PPC and the PPLC standing committee meeting day. The revised ACTAC meeting dates for the remainder of FY 13/14 are detailed in the table below.

November/December 2013 meetings

Based on a review of upcoming action items, we are also proposing that the next meeting of the ACTAC will not be required until the January 2014 meeting.

2013/14 ACTAC Meeting Dates
October 10, 2013
November – No Meeting
December – No Meeting
January 9, 2014
February 6, 2014
March 6, 2014
April 10, 2014
May 8 2014
June 5, 2014

Fiscal Impact: There is no fiscal impact.

Staff Contact

[Matt Todd](#), Principal Transportation Engineer

[Vivek Bhat](#), Senior Transportation Engineer



Memorandum

7.1

1111 Broadway, Suite 800, Oakland, CA 94607

• PH: (510) 208-7400

• www.AlamedaCTC.org

DATE: October 3, 2013

SUBJECT: Legislative Update

RECOMMENDATION: Receive an update on state and federal legislative activities and provide input on draft legislative program priorities

Summary

This memo provides an update on federal, state and local legislative activities including an update on the federal budget, federal transportation issues, legislative activities and policies at the state level, as well as an update on local legislative activities.

Alameda CTC's legislative program was approved in December 2013 establishing legislative priorities for 2013 and is included in summary format in Attachment A. The 2013 Legislative Program is divided into five sections: Transportation Funding, Project Delivery, Multi-Modal Transportation and Land Use, Climate Change, and Partnerships. The program was designed to be broad and flexible to allow Alameda CTC the opportunity to pursue legislative and administrative opportunities that may arise during the year, and to respond to political processes in Sacramento and Washington, DC. Each month, staff brings updates to the Commission on legislative issues germane to the adopted legislative program, including recommended positions on bills as well as legislative updates.

Background

Federal Update

The following updates provide information on activities and issues at the federal level and include information contributed from Alameda CTC's lobbyist team (CJ Lake/Len Simon).

At the time of this writing, the shutdown of the federal government continues as the House and Senate have been unable to pass appropriations bills or a continuing resolution to fund the government in the new federal fiscal year, which began on October 1, 2013. As the stalemate and shutdown continue over Fiscal Year 2014 funding, House Republicans have shifted strategies to try and pass individual short-term bills that would fund sections of the government to soften the shutdown's blow. Their approach to this option includes trying to reduce the 2/3 threshold for passage of appropriations bills through the House Rules Committee.

Even if the House passes stand-alone bills, Senate Democrats have indicated their unwillingness to engage with House Republicans on a series of small, short term bills. Meanwhile, the Administration continues to insist on a clean continuing resolution funding the entire federal government.

The debate around funding the federal government will spill into the federal debt limit. Secretary Jack Lew stated in late September in a letter to Congress that it will need to raise the debt ceiling in mid-October (specifically no later than October 17).for the country to meet its commitments that Congress already approved.

Secretary Lew noted in his letter that by October 17th, the Treasury would have only approximately \$30 billion to meet the country's commitments, an amount which would be far short of net expenditures on certain days, which can be upward to \$60 billion. He also noted that if there is insufficient cash in the Treasury, it would be impossible for the United States to meet all of its obligations for the first time in its history. He also clarified that extending the United States borrowing authority does not increase government spending; rather, it allows the Treasury to pay for expenditures that Congress already approved.

In 2011, Congress wrestled for a long time with the debt limit legislation, and the United States' credit was downgraded.

In some of the early discussions regarding negotiations on the raising the debt limit, Republicans have noted their interest in including a number of priorities as follows:

- Allow a one-year debt limit increase
- Delay Obamacare for 1 year
- Establish a timetable for tax reform efforts
- Approve the of Keystone Pipeline project
- Increase offshore drilling in the United States

Staff will provide an update on progress with funding the government and raising the debt limit at the Commission meeting.

Policy

Highway Trust Fund: In late September, the Senate Environment and Public Works (EPW) Committee held a hearing entitled "The Need to Invest in America's Infrastructure and Preserve Federal Transportation Funding." The purpose of the hearing was to discuss the need for innovative financing and additional government assistance to build and maintain the national network of roads, highways, bridges, airports, waterways, and sea ports.

This effort continues discussions regarding how to fund transportation in the United States. During this hearing, Committee members and witnesses were in agreement that reforms have to be made to the system of transportation revenue from the ways fees are

collected and the types of fees collected. Many noted that the gas tax should be indexed to inflation as one way to address the declining buying power of gas tax revenues. Others suggested that vehicle miles traveled tax (VMT) would be a good model to use once the privacy concerns and collection issues are addressed.

Chairman Boxer noted that the Senate EPW Committee will work closely with the other Senate committees related to transportation funding, as well as the House Transportation and Infrastructure Committee, to find a solution to the financing problem. Staff will continue to provide updates on discussions at the federal level regarding how to fund transportation.

State Update

The following update provides information on activities and issues at the state level and includes information contributed from Alameda CTC's state lobbyist, Platinum Advisors.

The State Legislature finished the first year of the 2013-14 session in mid-September and is not expected to return to Sacramento until January 6th.

All bills not sent to the Governor for consideration are now considered "two-year" bills and can be revisited when the Legislature reconvenes in January. All two-year bills must be out of their house of origin by the end of January in order to remain alive. In addition, bills held on the Suspense Files in either the Senate or Assembly Appropriations Committees are also considered two-year bills. The Governor has until October 13th to sign or veto the bills sent to his desk.

Policy

AB 32 Scoping Plan: On October 1, 2013, California Air Resources Board released its Discussion Draft update of the AB 32 Scoping Plan. The existing AB 32 Scoping Plan was adopted in 2008 and focused on 2020 reduction goals. The updated plan will set the path to achieve 2050 reduction goals.

The update to the AB 32 Scoping Plan allowed CARB to review and revise the 2008 Scoping Plan, and address near and long term goals for reducing greenhouse gas emissions. The update focused on the following six sectors for post-2020 GHG emission reduction strategies:

- Energy
- Transportation, Land Use, Fuels, and Infrastructure
- Agriculture
- Water
- Waste Management
- Natural and Working Lands

The updated Scoping Plan will likely influence the Cap & Trade expenditure plan that is anticipated to be included in the Governor's 2014-15 budget proposal that he will release in January 2014. Alameda CTC and its partners are reviewing the updated Scoping Plan and will develop a set of comments on the draft document, which staff will bring to the Commission.

This discussion is particularly important for the transportation sector since it is both the source of the highest GHG emissions and includes the highest goal for GHG emission reductions: 80% reduction in transportation related greenhouse gas emissions by 2050 below 1990 levels, as required by Governor Brown's [Executive Order B-16-2012](#).

Legislation

Legislative coordination efforts: Alameda CTC is leading and participating in many legislative efforts at the local, regional, state and federal levels, including coordinating with other agencies and partners as well as seeking grant opportunities to support transportation investments in Alameda County. For the 2014 legislative platform, staff is hosting a legislative roundtable on October 9, 2013, to discuss legislative priorities in Alameda County. In addition, staff is participating at an MTC legislative discussion on October 7th and a CMA legislative discussion on October 11th. Staff will bring a proposed set of legislative priority concepts directly to the PPLC meeting, reflecting local and regional discussions, for the Commission to consider and provide input. A final legislative platform will be brought to the Commission in November for final approval at the December Commission meeting.

Fiscal Impact: There is no fiscal impact.

Attachments

A. Alameda CTC Legislation Program

Staff Contact

[Tess Lengyel](#), Deputy Director of Planning and Policy



2013 Alameda County Legislative Priorities

This legislative program supports Alameda CTC’s transportation vision adopted in the 2012 Countywide Transportation Plan described below:

“Alameda County will be served by a premier transportation system that supports a vibrant and livable Alameda County through a connected and integrated multimodal transportation system promoting sustainability, access, transit operations, public health and economic opportunities.

Our vision recognizes the need to maintain and operate our existing transportation infrastructure and services while developing new investments that are targeted, effective, financially sound and supported by appropriate land uses. Mobility in Alameda County will be guided by transparent decision-making and measurable performance indicators. Our transportation system will be: Multimodal; Accessible, Affordable and Equitable for people of all ages, incomes, abilities and geographies; Integrated with land use patterns and local decision-making; Connected across the county, within and across the network of streets, highways and transit, bicycle and pedestrian routes; Reliable and Efficient; Cost Effective; Well Maintained; Safe; Supportive of a Healthy and Clean Environment”

[This legislative program table will be updated on a monthly basis]

Issue	Priority	Strategy	Actions	Legislation
Transportation Funding	Increase transportation funding	<ul style="list-style-type: none">Support efforts to lower the two-thirds-voter threshold for voter-approved transportation measures.Support legislation that increases the buying power of the gas taxSupport efforts to increase transportation revenues through vehicle license fees, vehicle miles traveled or other reliable means.Support legislation for alternative financing methods such as high-occupancy toll lanes, and allow funds collected on the HOT lanes by the California Highway Patrol to be reinvested within that corridor.	<ul style="list-style-type: none">Leading a portion of Self-Help Counties Coalition (SHCC) efforts to reduce voter-threshold requirements	<ul style="list-style-type: none">Support positions on SCA 8 (Corbett), SCA 4 (Liu), SCA 11 (Hancock) to reduce voter threshold to 55 percent: these bills were held in Senate Appropriations;AB 210 (Wickowski) to allow Alameda CTC to place another measure on the ballot: signed by the Governor
	Protect and enhance voter-approved funding	<ul style="list-style-type: none">Support legislation that provides increased funding from new and/or flexible funding sources to Alameda County for operating, maintaining, restoring and improving transportation infrastructure and operations.Support legislation that protects against transportation funding diversions to the General Fund.Support increases in federal, state and regional funding to expedite delivery of Alameda CTC projects and programs.Support efforts that give priority funding to voter-approved measures and oppose those that negatively affect the ability to implement voter-approved measures.Support rewarding Self-Help Counties and states that provide significant transportation funding into transportation systems.Seek, acquire and implement grants to advance project and program delivery.Support Alameda County as the recipient of funds to implement pilot programs with innovative project implementation or transportation-funding mechanisms.	<ul style="list-style-type: none">On-going monitoring	<ul style="list-style-type: none">AB 431: Oppose MPO authority to place sales tax measures on the ballot for transportation, housing and open spaces: two-year billAB466: Support CMAQ current funding allocation: this bill passed through the legislature and is on the Governor’s deskAB 791: Oppose changes to current methods for adjusting the excise fuel tax: two-year bill
Project Delivery	Advance innovative project delivery	<ul style="list-style-type: none">Support legislation and policies that improve environmental streamlining and project reviews to expedite project delivery.Support legislation that improves the ability to deliver projects and programs in a timely, cost effective manner using contracting flexibility.Support innovative project delivery methods.Support HOT lane expansion in Alameda County and the Bay Area.Support policies that allow local agencies to advertise, award and administer state highway system contracts largely funded by locals	<ul style="list-style-type: none">On-going monitoring	<ul style="list-style-type: none">
	Ensure cost-effective project delivery	<ul style="list-style-type: none">Support legislation that reduces project and program implementation costs by reducing or eliminating the requirements for state or other agency reimbursements to implement projects on state/regional systems.Support legislation that accelerates funding for transportation infrastructure projects that create jobs and economic growth in Alameda County.	<ul style="list-style-type: none">On-going monitoring, and work through the SHCC to provide input to the Secretary of Transportation on streamlining project delivery	<ul style="list-style-type: none">

Issue	Priority	Strategy	Actions	Legislation
Multimodal Transportation and Land Use	Reduce barriers to the implementation of transportation and land use investments	<ul style="list-style-type: none"> Support legislation that increases flexibility and reduces technical and funding barriers to investments linking transportation, housing and jobs. Support local flexibility and decision-making on land-use for transit oriented development and priority development areas. Support innovative financing opportunities to fund TOD and PDA implementation that will increase mobility and jobs and reduce GHGs. 	<ul style="list-style-type: none"> On-going monitoring 	<ul style="list-style-type: none"> SB 391: Support ability to create a revenue stream for low-income housing that will assist with SB 375 requirements to house all income levels of the population within the region: two-year bill
	Expand multimodal systems and flexibility	<ul style="list-style-type: none"> Support policies that provide multimodal transportation systems with multiple choices and better access for all kinds of transportation users. Support policies that provide increased flexibility for transportation service delivery through innovative, flexible programs that address the needs of commuters, youth, seniors, people with disabilities and low-income people. Support flexibility in transportation delivery to address climate change, senior population growth and transit maintenance and security, without creating unfunded mandates or dramatically increasing costs. Support investments in transportation for transit-dependent communities that provide enhanced access to goods, services, jobs and education. Support parity in pre-tax fringe benefits for public transit/vanpooling and parking. 	<ul style="list-style-type: none"> On-going work with agency coordination, grant development and legislative advocacy 	<ul style="list-style-type: none">
	Support climate change legislation	<ul style="list-style-type: none"> Support climate change legislation that provides funding for innovative infrastructure, operations, programs that relieve congestion, improve air quality, reduce emissions and support economic development. Support climate change legislation that expands transit services and supports safe, efficient, clear connections to transit services, including bike/ped infrastructure. To achieve necessary increases in public transit ridership to address GHG emissions from transportation sources, support legislation that augments but does not replace transit funding, nor create unfunded mandates. 	<ul style="list-style-type: none"> On-going monitoring 	<ul style="list-style-type: none">
Climate Change	Support cap-and-trade expenditure plan	<ul style="list-style-type: none"> Engage in development of the statewide cap-and-trade expenditure plan and advocate increased transportation funding statewide and in Alameda County. 	<ul style="list-style-type: none"> Working with the SHCC, MTC the CMAs and local agencies on this effort. Submitted a letter to CARB on March 8 supporting the Transportation Coalition for Livable Communities platform 	<ul style="list-style-type: none"> AB 574: Support allocation of Cap & Trade funds to the region for distribution to support implementation of the SCS: two-year bill
	Support legislation and policies that support emerging technologies	<ul style="list-style-type: none"> Support legislation that offers incentives for emerging technologies, such as alternative fuels and fueling technology, and research for transportation opportunities to reduce GHG emissions. 	<ul style="list-style-type: none"> On-going monitoring 	<ul style="list-style-type: none">
Partnerships	Expand partnerships at the local, regional, state and federal levels	<ul style="list-style-type: none"> Support efforts that encourage regional cooperation and coordination to develop, promote and fund solutions to regional transportation problems. Support legislation and policies that promote governmental efficiencies and cost savings in transportation. Support legislation that improves the ability to enhance or augment Alameda CTC projects and programs that affect bordering counties or regional networks. Support efforts to maintain and expand local-, women-, minority- and small-business participation in competing for state and local contracts. 	<ul style="list-style-type: none"> On-going coordination at the SHCC, the Bay Area CMAs, and with Alameda CTC's local partners legislative roundtable. An updated Alameda CTC procurement policy will support business participation efforts. 	<ul style="list-style-type: none"> Support AB 14 (Lowenthal) for the creation of a state freight plan and advisory committee: Bill was signed by the Governor