



# Meeting Notice

1111 Broadway, Suite 800, Oakland, CA 94607

• 510.208.7400

• [www.AlamedaCTC.org](http://www.AlamedaCTC.org)

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City of Oakland

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## **Executive Director**

Arthur L. Dao

# Alameda County Technical Advisory Committee

**Thursday, May 8, 2014, 1:30 p.m.**

**1111 Broadway, Suite 800  
Oakland, CA 94607**

## **Mission Statement**

The mission of the Alameda County Transportation Commission (Alameda CTC) is to plan, fund, and deliver transportation programs and projects that expand access and improve mobility to foster a vibrant and livable Alameda County.

## **Public Comments**

Public comments are limited to 3 minutes. Items not on the agenda are covered during the Public Comment section of the meeting, and items specific to an agenda item are covered during that agenda item discussion. If you wish to make a comment, fill out a speaker card, hand it to the clerk of the Commission, and wait until the chair calls your name. When you are summoned, come to the microphone and give your name and comment.

## **Recording of Public Meetings**

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## **Reminder**

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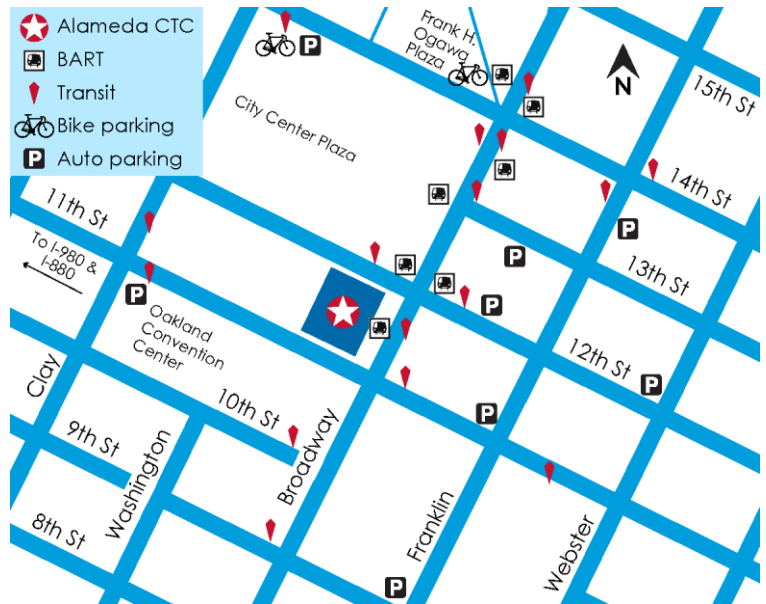
## **Glossary of Acronyms**

A glossary that includes frequently used acronyms is available on the Alameda CTC website at [www.AlamedaCTC.org/app\\_pages/view/8081](http://www.AlamedaCTC.org/app_pages/view/8081).

## Location Map

★ Alameda CTC  
1111 Broadway, Suite 800  
Oakland, CA 94607

Alameda CTC is accessible by multiple transportation modes. The office is conveniently located near the 12th Street/City Center BART station and many AC Transit bus lines. Bicycle parking is available on the street and in the BART station as well as in electronic lockers at 14th Street and Broadway near Frank Ogawa Plaza (requires purchase of key card from bikelink.org).



Garage parking is located beneath City Center, accessible via entrances on 14th Street between 1300 Clay Street and 505 14th Street buildings, or via 11th Street just past Clay Street. To plan your trip to Alameda CTC visit [www.511.org](http://www.511.org).

## Accessibility

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## Meeting Schedule

The Alameda CTC meeting calendar lists all public meetings and is available at [www.AlamedaCTC.org/events/upcoming/now](http://www.AlamedaCTC.org/events/upcoming/now).

## Paperless Policy

On March 28, 2013, the Alameda CTC Commission approved the implementation of paperless meeting packet distribution. Hard copies are available by request only. Agendas and all accompanying staff reports are available electronically on the Alameda CTC website at [www.AlamedaCTC.org/events/month/now](http://www.AlamedaCTC.org/events/month/now).

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# Alameda County Technical Advisory Committee Meeting Agenda Thursday, May 8, 2014, 1:30 p.m.

1111 Broadway, Suite 800, Oakland, CA 94607

• PH: (510) 208-7400

• [www.AlamedaCTC.org](http://www.AlamedaCTC.org)

## 1. Introductions/Roll Call

**Chair:** Arthur L. Dao, Alameda CTC Executive Director

**Staff Liaison:** Matt Todd, Principal Transportation Engineer

**Public Meeting Coordinator:** Angie Ayers

## 2. Public Comment

## 3. Administration

**Page A/I**

### 3.1. April 10, 2014 ACTAC Meeting Minutes

1 A

Recommendation: Approve the April 10, 2014 meeting minutes.

## 4. Policies and Legislation

### 4.1. Transportation Expenditure Plan Update (Verbal)

I

### 4.2. Legislative Update

5 I

## 5. Transportation Planning

### 5.1. 2014 Level of Service Monitoring Study Status Update

17 I

### 5.2. Senate Bill 743 Update(Verbal)

I

## 6. Programs/Projects/Monitoring

### 6.1. Metropolitan Transportation Commission Freeway Performance Initiative Update

21 I

### 6.2. Alameda CTC Timely Use of Funds Monitoring Reports

23 I

### 6.3. Alameda County Federal Inactive Projects List: April 2014 Update

49 I

## 7. Member Reports

### 7.1. Other Reports (Verbal)

I

## 8. Adjournment

**Next Meeting:** Thursday, June 5, 2014

All items on the agenda are subject to action and/or change by the committee.

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## **Alameda County Technical Advisory Committee Fiscal Year 2013-2014**

### **Member Agencies**

AC Transit  
BART  
City of Alameda  
City of Albany  
City of Berkeley  
City of Dublin  
City of Emeryville  
City of Fremont  
City of Hayward  
City of Livermore  
City of Newark  
City of Oakland  
City of Piedmont  
City of Pleasanton  
City of San Leandro  
City of Union City  
County of Alameda

### **Other Agencies**

Chair, Alameda CTC  
ABAG  
ACE  
BAAQMD  
Caltrans  
CHP  
LAVTA  
MTC  
Port of Oakland  
Union City Transit  
WETA

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# Alameda County Technical Advisory Committee Meeting Minutes Thursday, April 10, 2014, 1:30 p.m.

3.1

1111 Broadway, Suite 800, Oakland, CA 94607

• 510.208.7400

• www.AlamedaCTC.org

## 1. Introductions/Roll Call

Matt Todd called the meeting to order. The meeting began with introductions, and the chair confirmed a quorum. Representatives from all cities and agencies were present, except from the following: Altamont Corridor Express (ACE), Association of Bay Area Governments (ABAG), Bay Area Air Quality Management District (Air District), California Department of Transportation (Caltrans), California Highway Patrol (CHP), City of Alameda, City of Livermore, City of Oakland, City of Piedmont, Metropolitan Transportation Commission (MTC), Port of Oakland, Union City Transit, and San Francisco Bay Area Water Emergency Transportation Authority (WETA).

## 2. Public Comment

There were no public comments.

## 3. Administration

### 3.1. Approval of March 6, 2014 Minutes

*Angie Perkins Haslam (LAVTA) moved to approve the February 6, 2014 meeting minutes. Ruben Izon (Alameda County) seconded the motion. The motion passed unanimously (ACE, ABAG, Air District, Caltrans, CHP, City of Alameda, City of Livermore, City of Oakland, City of Piedmont, MTC, Port of Oakland, Union City Transit, and WETA absent).*

## 4. Policies and Legislation

### 4.1. Transportation Expenditure Plan Update

Laurel Poeton informed the committee that Alameda CTC has received approval of the Transportation Expenditure Plan (Plan) from nine cities which represent a majority of the population. She stated that the goal is to present the Plan to the Board of Supervisors in July for placement on the November 2014 ballot. Staff will go to the following city councils in April and May to seek approval:

- Piedmont on April 21, 2014
- Albany on May 5, 2014
- Pleasanton on May 20, 2014

Laurel mentioned to the committee that Alameda CTC staff will complete messaging materials for outreach purposes at the end of April. Seven different postcards are being generated as follows:

- General Plan postcard
- BART Postcard
- Biking and walking postcard
- Bus transit postcard
- Seniors and people with disabilities postcard
- Student Transit Pass Program postcard
- Street repair and traffic reduction postcard

Laurel stated that Alameda CTC will bring copies of the postcards to the next meeting. She informed the committee that Alameda CTC is performing extensive outreach, and staff provided the Commissioners with a list of outreach events, which they will assist in supporting. Staff is generating messages and sending general information to Commissioners. She requested that committee members contact her if they want to receive information for their respective Commissioners to bring to events.

The committee inquired if the fact sheets were updated based on their comments. Staff said yes, the updated fact sheets are on the website. ACTAC members confirmed that they want to be copied on messages sent to their Commissioners.

## **4.2 Legislative Update**

Tess Lengyel gave an update on federal and state initiatives. She provided an update on the federal budget, the Surface Transportation Program hearings in the U.S. Senate and House of Representatives, and legislative activities and policies on the state level, which include the Cap-and-Trade Program.

Tess discussed the bills related to the Alameda CTC legislative program and specifically explained staff's support or oppose recommendations on following three bills:

- Senate Bill (SB) 1077, Support – Vehicles: vehicle-miles-traveled charges
- Assembly Bill (AB) 2013, Oppose – Vehicles: high-occupancy vehicle lanes
- AB 2197, Support – Temporary license plate

## **5. Transportation Planning**

### **5.1. 2013 Performance Report**

Matt Bomberg informed the committee that the full 2013 Performance Report will be on the website soon. He stated that the report tracks trends and progress towards goals in all transportation plans. Matt reviewed the scope of the report and key findings. He provided statistics on commute patterns, roadways, and transit. He also updated the committee on bicycling and walking counts throughout the county as well as the next steps for monitoring.

Members stated that reducing emissions is part of future development transit fleet motorization. Can this be tracked as future analysis in the performance report? Staff said that a few years back, the Performance Report contained climate change and related metrics. Alameda CTC realized from the Countywide Transportation Plan (CWTP) that not all metrics can be monitored annually, and some need to be monitored over the long term. For example, to measure carbon emissions, modeling is required. As part of the next steps of the Congestion Management Program, Alameda CTC is looking at the performance measures used for bicycle and pedestrian counts.

Members suggested that Alameda CTC provide a different description of the Pavement Condition Index (PCI). Instead of rating the PCI as "poor or failed" use the descriptor "at risk." At the statewide level, people are using "at risk," and it would be consistent if the local levels use the same descriptors.



## **5.2. Countywide Multimodal Plans Update**

### **5.2.1. Goods Movement Update**

Tess Lengyel stated that Alameda CTC is working on three new countywide multimodal plans, and she updated the committee on each of the plans. She mentioned that Alameda CTC has a total of six countywide modal plans:

- Arterial Plan
- Bicycle Plan
- Community Based Transportation Plan
- Goods Movement Plan
- Pedestrian Plan
- Transit Plan

All of the above plans will feed into the long-range CWTP, which in turn will feed into the Regional Transportation Plan.

Tess updated the committee on the Goods Movement Technical Team meeting held prior to the ACTAC meeting. She stated that discussion took place on the Goods Movement project approach, the progress to date, vision and goals, and the initial concepts regarding the goals. Tess stated that staff will bring the vision/goals to ACTAC in June and will ask the Commission to adopt them in July.

## **6. Programs/Projects/Monitoring**

### **6.1. Alameda County Three-Year Project Initiation Document Work Plan**

Vivek Bhat stated that Caltrans requested Alameda CTC to update the Three-Year Project Initiation Document (PID) Work Plan for Alameda County. He requested that ACTAC approve the PID Work Plan update. He also stated that Alameda CTC staff was coordinating with MTC to ensure that the Express Lanes projects within Alameda County were included on either Alameda CTC's or MTC's PID list. Farid Javandel requested the Gilman Interchange Pedestrian Bridge project to be included on the list.

*Farid Javandel (Berkeley) moved to approve that Alameda CTC update the Three-Year PID Work Plan. Aleida Andrino-Chavez (Albany) seconded the motion. The motion passed unanimously (ACE, ABAG, Air District, Caltrans, CHP, City of Alameda, City of Livermore, City of Oakland, City of Piedmont, MTC, Port of Oakland, Union City Transit, and WETA absent).*

### **6.2. Development of the 2015 Transportation Improvement Program (TIP)**

Jacki Taylor gave an update on MTC's 2015 TIP Program. She notified ACTAC that the cities and County of Alameda must submit their updates to Alameda CTC by Thursday, April 24, 2014. Alameda CTC staff will submit updates on their behalf to MTC by Monday, April 28, 2014. Jacki stated that transit operators and Caltrans may submit their updates by April 28th.

### **6.3. Alameda County Federal Inactive Projects List: March 2014 Update**

Vivek Bhat provided an update on the March 2014 federal inactive list of projects. He encouraged committee members to stay current with their invoicing activity.

#### **6.4. California Transportation Commission March 2014 Meeting Summary**

Vivek Bhat stated that the March 2014 California Transportation Commission (CTC) meeting was held in Santa Ana, CA. He stated that three agenda items of significance pertaining to projects/programs within Alameda County were considered at the CTC meeting.

### **7. Member Reports**

#### **7.1. Metropolitan Transportation Commission Local Streets and Roads Working Group Update**

Keith Cooke provided an update on the March 2014 Local Streets and Roads (LSR) Working Group meeting. He informed the committee that the Pavement Technical Assistance Program applications are due by April 30, 2014. Keith mentioned that an Active Transportation Program seminar is scheduled for April 29, 2014.

#### **7.2. Other Reports**

Matt Bomberg informed the committee that the ITS Berkeley Technical Transfer Program is offering a two-day course on Complete Streets and Design on June 10 and June 11 in San Francisco. Attendees may receive credits for taking the course.

Saravana Suthanthira informed the committee that MTC recently distributed an email on the second generation of the Arterial Operations Program. She inquired if any of the cities applied for the program. It was mentioned that Hayward and San Leandro were planning on submitting a joint application for Hesperian Boulevard improvements. MTC notified the cities that because federal funds are involved, multiple jurisdictions should split the application. Saravana informed the committee that the application deadline is April 18, 2014.

Soren Fajeau told the committee that the City of Newark has an opening for an Associate Civil Engineer.

Jacki Taylor reminded the committee about the Transportation Fund for Clean Air application and call for projects.

Donna Lee provided the committee with the schedule for viewing BART's new train cars. She mentioned that the new train model will appear at various locations in Alameda, Contra Costa, San Francisco, and Santa Clara Counties.

### **8. Adjournment and Next Meeting**

The meeting adjourned at 3:30 p.m. The next meeting is:

Date/Time: Thursday, May 8, 2014 at 1:30 p.m.

Location: Alameda CTC Offices, 1111 Broadway, Suite 800, Oakland, CA 94607

Attested by:



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Angie Ayers,  
Public Meeting Coordinator



# Memorandum

4.2

1111 Broadway, Suite 800, Oakland, CA 94607

• PH: (510) 208-7400

• www.AlamedaCTC.org

**DATE:** May 5, 2014

**SUBJECT:** Legislative Update

**RECOMMENDATION:** Review positions on legislation and receive an update on state and federal legislative activities

## Summary

This memo provides an update on federal, state and local legislative activities including an update on the federal budget, federal transportation issues, legislative activities and policies at the state level, as well as an update on local legislative activities.

Alameda CTC's legislative program was approved in December 2014 establishing legislative priorities for 2014 and is included in summary format in Attachment A. The 2014 Legislative Program is divided into six sections: Transportation Funding, Project Delivery, Multi-Modal Transportation and Land Use, Climate Change, Goods Movement and Partnerships. The program was designed to be broad and flexible to allow Alameda CTC the opportunity to pursue legislative and administrative opportunities that may arise during the year, and to respond to political processes in Sacramento and Washington, DC. Each month, staff brings updates to the Commission on legislative issues related to the adopted legislative program, including recommended positions on bills as well as legislative updates.

## Background

### ***Federal Update***

The following updates provide information on activities and issues at the federal level within each category of Alameda CTC Legislative Program and include information contributed from Alameda CTC's lobbyist team (CJ Lake/Len Simon).

### ***Budget***

President Obama released a summary of his (Fiscal Year) FY15 budget request in early March. His request included a four-year, \$302 billion proposal for the reauthorization of MAP-21. Although the Administration has yet to unveil any specific legislative text,

Secretary Foxx has indicated that the Department of Transportation (DOT) will submit a formal legislative proposal to Congress in April or May.

Both the House and Senate Appropriations Committees have started the FY15 appropriations process with hearings and a major focus is on addressing the impending insolvency of the highway trust fund. The House Appropriations Committee held its first mark ups in early April. It is expected that the House and Senate will continue markups in May; the full House may also take the first appropriations bills to the floor during May.

## **Policy**

### **Highway Trust Fund**

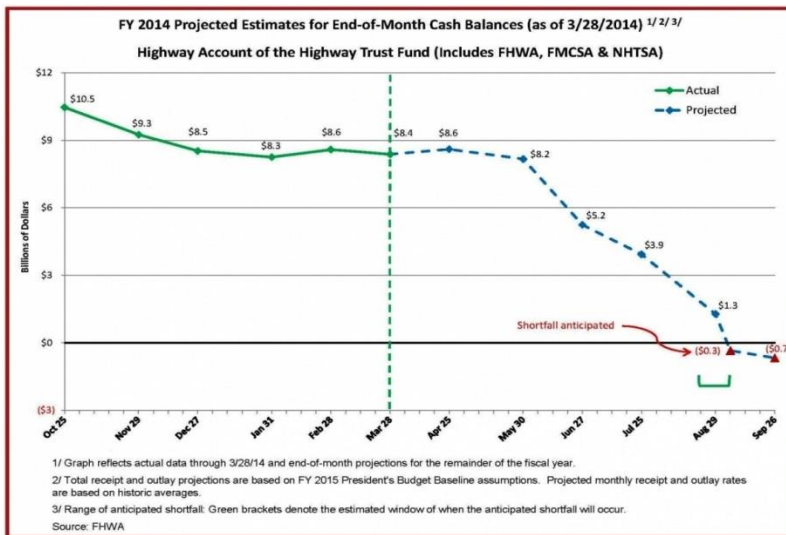
According to the Congressional Budget Office (CBO), the highway and transit accounts of the Highway Trust Fund will have insufficient revenues to meet obligations in 2015, and will result in on-going cumulative shortfalls. The Department of Transportation (DOT) has indicated that it needs at least \$4 billion in cash balances available in the highway account and at least \$1 billion in the transit account to meet obligations as they are due. Due to the need for these balances, the trust fund may have to delay some of its payments during the latter half of 2014.

The CBO established a 2014 Baseline Projection for the Highway Trust Fund that assumes the taxes allocated to the highway account will continue at their current rates and that federal funding for highways will increase at CBO's projected rate of inflation. Under current law, the Highway Trust Fund cannot incur negative balances and has no authority to borrow additional funds. To remedy these shortfalls, CBO notes that lawmakers would have to enact legislation to reduce highway funding, increase dedicated tax receipts, transfer money from the Treasury's general fund to the Highway Trust Fund, or undertake a combination of these approaches.

As reported by the DOT, the Highway Account of the Highway Trust Fund will encounter a shortfall before the end of fiscal year 2014, based on current spending as shown on Figure 1 below.

- The Highway Account began FY 2014 with approximately \$1.6 billion in cash.
- A \$9.7 billion transfer from the General Fund to the Highway Account was processed shortly after the start of the fiscal year (\$10.4 billion authorized in MAP-21, reduced by sequestration).
- The surface transportation program continues to outlay at a greater pace than receipts are coming in. As a result, the cash balance has dropped by nearly \$3.5 billion since the General Fund transfer occurred. As of March 28, 2014, the Highway Account cash balance was \$8.4 billion.

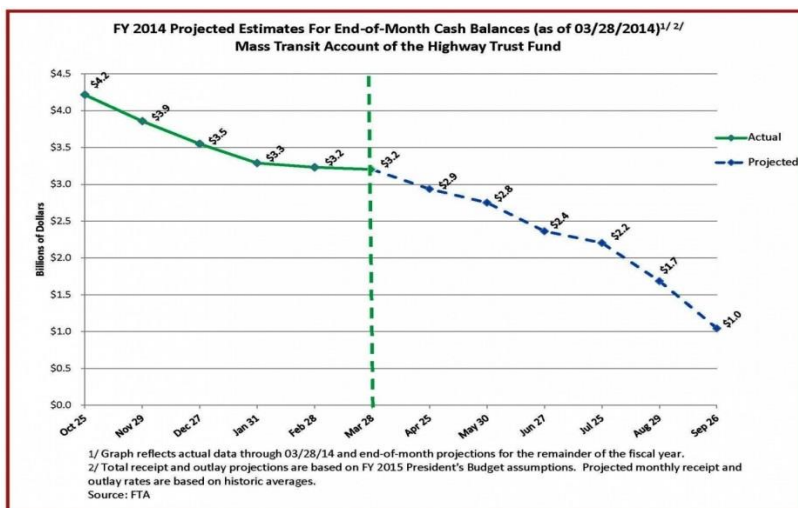
Figure 1



For the Mass Transit Account, the DOT estimates that it will have a balance of approximately \$1 billion at the end of FY 2014 as shown in Figure 2.

- The Mass Transit Account began FY 2014 with approximately \$2.5 billion in cash.
- A \$2 billion transfer from the General Fund to the Mass Transit Account was processed shortly after the start of the fiscal year (\$2.2 billion authorized in MAP-21, reduced by sequestration).
- As of March 28, 2014, the Mass Transit Account cash balance was \$3.2 billion.

Figure 2



Since 2008, almost \$50 billion has been transferred from the General Fund into the HTF to fund authorized amounts.

## **State Update**

The following update provides information on activities and issues at the state level and includes information contributed from Alameda CTC's state lobbyist, Platinum Advisors.

## **Budget**

In January, Governor Brown released his overall budget of \$154 Billion, which was \$8 billion over 13/14 budget. The budget proposed reducing the wall of debt by \$11 billion by paying off the economic recovery bonds (\$3.9 billion payment), eliminating the debt to schools for deferred payments (\$6.1 billion payment), and repaying various internal loans from special funds. The budget also proposed creating a new rainy day fund, cap and trade auction revenue expenditures, and expanding the use of Infrastructure Financing Districts by cities and counties. The Governor is expected to release his May Revise during the week of May 12<sup>th</sup>, which will include updated information on the proposed funding amounts from the January release.

Specifically for cap and trade funds, the Governor's January proposal included appropriating \$850 million in auction revenue to various programs. This amount included a \$100 million repayment of the \$500 million in auction revenue loaned to the general funding in the current fiscal year. The proposal included funds for projects in each of the issue areas identified in the expenditure plan developed last year. This included \$80 million for energy efficiency and renewable energy projects, \$20 million for green state buildings, \$20 million for agricultural projects, and \$20 million water energy efficiency. In keeping with the expenditure plan, the bulk of the funds were dedicated to transportation related projects, as follows:

- **Rail Modernization \$300 million** —\$250 million to the High Speed Rail Authority and \$50 million to Caltrans to administer for grants to existing rail operators for projects that integrate rail systems and provide connectivity to the high speed rail system.
- **Sustainable Communities \$100 million** — The Strategic Growth Council will administer this program in coordination with various departments to implement Sustainable Communities Strategies that improve transit ridership, increase active transportation, provide affordable housing near transit, as well as preserves agricultural lands and supports local planning efforts that promote infill development. A priority will be given to projects in disadvantaged communities. The SGC is made up of Office of Planning and Research, Cal STA, CalEPA, California Health and Human Services, and a public member.
- **Low Carbon Transportation \$200 million** —The Air Board will use these funds to accelerate the transition to low carbon freight and passenger transportation, with a priority for disadvantaged communities. These funds will be used to augment the Air Board's existing programs that provide rebates for zero-emission cars and vouchers for hybrid and zero-emission trucks and buses.

Over the past few months, Alameda CTC has submitted letters and testified in support of the following principles for cap and trade revenues that would support transportation investments in sustainable communities, sustainable freight and clean fuels.

- **Fund transportation now** to achieve 80% GHG reduction targets
- **Direct transportation fuels funds for transportation investments** to support public expectation of return on investments
- **Administer regionally** and allocate to regions to leverage and expand current investments
- **Build on successes** of planning and investment strategies developed and delivered by the regions and local agencies

## **Policy**

**Climate Change:** On April 28th, the California Air Resources Board closed the comment period on the update to the AB 32 Scoping Plan, which was released in February. The Scoping Plan guides development and implementation of California's greenhouse gas emission (GHG) reduction programs and is required to be updated every five years.

The Scoping Plan update focuses on the need to build upon the AB 32 framework over the coming decades and on the programs already established and includes both near- and long-term actions to address GHG reductions. The update identifies eight key sectors for ongoing action, including: Energy; Transportation, fuels, land use and infrastructure; Agriculture; Water; Waste management; Natural lands; Short-Lived Climate Pollutants (such as methane and black carbon); and Green Buildings.

The update also includes the need for establishment of a midterm statewide greenhouse gas reduction target, between the current 2020 and 2050 goals.

The Board is scheduled consider approving the proposed Scoping Plan Update at its meeting on May 22 in Sacramento. Alameda CTC submitted a comment letter on the scoping plan update with similar principles to the cap and trade ones noted above for the budgeting process, included in Attachment B.

**Senator Steinberg Proposal on Cap and Trade Funds:** Senate President Pro Tem Steinberg released a modified version of a cap and trade funding proposal from the carbon tax proposal included in his bill SB 1156. It is possible that this 8-point proposal may be incorporated into the budget process or amended into SB1156. Many details still need to be defined in the proposal, but it includes several elements that Alameda CTC has supported in policy. The following summarizes the proposal:

- **Affordable Housing and Sustainable Communities (40%)** - Half the funds must be used for affordable housing and half for implementing sustainable communities strategies. This includes investments in affordable housing, transit-oriented development, land use planning, active transportation, and high density mixed use development, transportation efficiency and demand management projects. These

funds would be distributed to regions by the SGC.

- **Public Transit Funding (30%)** - These funds would be distributed to operators based on GHG performance criteria to build and operate transit projects. At least 5% must be used for direct transit assistance to customers, such as transit passes.
- **High Speed Rail Funding (20%)** - These funds would be continuously appropriated and could be securitized.
- **State Highway and Road Rehabilitation and for Complete Streets (10%)** - These funds would be distributed based on GHG performance criteria and could be used for traffic management, roadway maintenance, and bikeways.
- **Natural resources, water, and waste (\$200 million annually)** - These funds would be appropriated annually as part of the budget act and could be used to fund water efficiency infrastructure projects, forestry and landscape issues, wetland development, waste diversion and recycling, energy efficiency, clean vehicles, and "black carbon reduction.
- **Climate Dividend (\$200 million annually)** - Allocated as part of the annual budget act, these funds could be used to provide a rebate check on monthly fuel bills or once per year rebate with motor vehicle registrations.
- **"Charge Ahead" Electric Vehicle Deployment Program (\$200 million annually)** - These funds would be used to fund demonstration programs and other financial assistance to expand the use of zero emission cars, trucks, buses and freight movement vehicles.
- **Green Bank Funding (not less than \$10 million annually)** - These funds would be used to assist in financing clean energy and other environmentally sustainable projects.

Staff will provide an update on the status of this proposal at the Commission meeting.

### **Legislation**

This year almost 2,000 bills were introduced. Staff is reviewing bills related to the Alameda CTC legislative program and will bring a series of recommendations on bill positions in the coming months. Below are staff recommendations on bills introduced this session.

[SB 1122 \(Pavley\)](#), **Sustainable communities: Strategic Growth Council**. This bill creates two cap and trade funding programs, one for the Strategic Growth Counsel to administer grants to local agencies for implementing sustainable communities and other greenhouse gas reduction plans. The second pot of funds would be allocated to MPOs on a per capita basis to be used for competitive grants for projects within the region. The regional grants would be awarded pursuant to guidelines adopted by the Strategic Growth Council. The bill was recently amended to lists the types of eligible projects for the regional funds, which include funding for public transportation operations, maintenance, and capital costs as well as transportation demand management projects. This bill supports many of the advocacy principles Alameda CTC adopted regarding cap and trade funds and staff recommends a **SUPPORT** position.

[SB 990 \(Vidak\)](#) **Transportation funds: disadvantaged small communities: 5% set aside from STIP Funding**. This bill would require counties to set aside five percent of their regional



Transportation Improvement Program county share for areas designated as disadvantaged small communities. The bill defines a disadvantaged small community as a city or census-designated place with fewer than 25,000 residents and with a median household income less than 80 percent of the statewide median household income.

This bill would require a set aside for specific areas in a county that meet the bill population size and income requirements, regardless of whether there have been identified needs through countywide planning efforts. This could complicate county planning processes and result in the inability to fund important projects while at the same time the small set asides would likely be insufficient amounts to build transportation investments in the areas defined in the bill without substantial time to accumulate funds. While Alameda County would not be affected by this bill, the bill could set up a process that could negatively affect the ability of counties to deliver projects through countywide planning efforts. Because this bill requires a set aside, it would effectively divert scarce funds and undermine the ability for counties to invest their limited state funds into countywide priorities. Alameda CTC's legislative program supports "efforts that protect against transportation funding diversions." This bill has been opposed by MTC, the Congestion Management Agency Association and the Self-Help Counties Coalition. Staff recommends an **OPPOSE** position on this bill.

**Legislative coordination efforts:** Alameda CTC is leading and participating in many legislative efforts at the local, regional, state and federal levels, including coordinating with other agencies and partners as well as seeking grant opportunities to support transportation investments in Alameda County.

**Fiscal Impact:** There is no fiscal impact due to this item. This is information only.

## **Attachments**

- A. Alameda CTC 2014 Legislation Program
- B. Alameda CTC Letter to CARB on the Scoping Plan Update

## **Staff Contact**

[Tess Lengyel](#), Deputy Director of Planning and Policy

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2014 Alameda County Legislative Program

The legislative program herein supports Alameda CTC's transportation vision adopted in the 2012 Countywide Transportation Plan described below:

“Alameda County will be served by a premier transportation system that supports a vibrant and livable Alameda County through a connected and integrated multimodal transportation system promoting sustainability, access, transit operations, public health and economic opportunities. Our vision recognizes the need to maintain and operate our existing transportation infrastructure and services while developing new investments that are targeted, effective, financially sound and supported by appropriate land uses. Mobility in Alameda County will be guided by transparent decision-making and measurable performance indicators. Our transportation system will be: Multimodal; Accessible, Affordable and Equitable for people of all ages, incomes, abilities and geographies; Integrated with land use patterns and local decision-making; Connected across the county, within and across the network of streets, highways and transit, bicycle and pedestrian routes; Reliable and Efficient; Cost Effective; Well Maintained; Safe; Supportive of a Healthy and Clean Environment.”

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Issue	Priority	Strategy Concepts
Transportation Funding	Increase transportation funding	<ul style="list-style-type: none"><li>• Support efforts to lower the two-thirds-voter threshold for voter-approved transportation measures.</li><li>• Support increasing the buying power of the gas tax and/or increasing transportation revenues through vehicle license fees, vehicle miles traveled or other reliable means.</li></ul>
	Protect and enhance voter-approved funding	<ul style="list-style-type: none"><li>• Support increased funding from new and/or flexible funding sources to Alameda County for operating, maintaining, restoring and improving transportation infrastructure and operations.</li><li>• Support efforts that protects against transportation funding diversions.</li><li>• Support increases in federal, state and regional funding to expedite delivery of Alameda CTC projects and programs.</li><li>• Support efforts that give priority funding to voter-approved measures and oppose those that negatively affect the ability to implement voter-approved measures.</li><li>• Support rewarding Self-Help Counties and states that provide significant transportation funding into transportation systems.</li><li>• Seek, acquire and implement grants to advance project and program delivery.</li><li>• Support Alameda County as the recipient of funds to implement grants and pilot programs</li></ul>
Project Delivery	Advance innovative project delivery	<ul style="list-style-type: none"><li>• Support environmental streamlining and expedited project delivery.</li><li>• Support contracting flexibility and innovative project delivery methods.</li><li>• Support HOT lane expansion in Alameda County and the Bay Area, and efforts that promote effective implementation.</li><li>• Support efforts to allow local agencies to advertise, award and administer state highway system contracts largely funded by locals</li></ul>
	Ensure cost-effective project delivery	<ul style="list-style-type: none"><li>• Support efforts that reduce project and program implementation costs by reducing or eliminating the requirements for state or other agency reimbursements to implement projects on state/regional systems.</li><li>• Support accelerating funding and policies to implement transportation projects that create jobs and economic growth</li></ul>
Multimodal Transportation and Land Use	Reduce barriers to the implementation of transportation and land use investments	<ul style="list-style-type: none"><li>• Support legislation that increases flexibility and reduces technical and funding barriers to investments linking transportation, housing and jobs.</li><li>• Support local flexibility and decision-making on land-use for transit oriented development and priority development areas.</li><li>• Support innovative financing opportunities to fund TOD and PDA implementation</li></ul>
	Expand multimodal systems and flexibility	<ul style="list-style-type: none"><li>• Support policies that provide increased flexibility for transportation service delivery through innovative, flexible programs that address the needs of commuters, youth, seniors, people with disabilities and low-income people and do not create unfunded mandates.</li><li>• Support investments in transportation for transit-dependent communities that provide enhanced access to goods, services, jobs and education.</li><li>• Support parity in pre-tax fringe benefits for public transit/vanpooling and parking.</li></ul>

Issue	Priority	Strategy Concepts
Climate Change	Support climate change legislation	<ul style="list-style-type: none"> <li>Support funding for innovative infrastructure, operations, and programs that relieve congestion, improve air quality, reduce emissions and support economic development.</li> <li>Support the expansion of funding for housing that does not conflict with or reduce transportation funding</li> </ul>
	Support cap-and-trade expenditure plan	<ul style="list-style-type: none"> <li>Support cap and trade funds derived from transportation fuels for transportation purposes.</li> </ul>
	Support emerging technologies	<ul style="list-style-type: none"> <li>Support incentives for emerging technologies, such as alternative fuels and fueling technology, and research for transportation opportunities to reduce GHG emissions.</li> </ul>
Goods Movement	Expand goods movement funding and policy development	<ul style="list-style-type: none"> <li>Support a multi-modal goods movement system and efforts that enhance the economy, local communities and the environment, and reduce impacts.</li> <li>Support a designated funding stream for goods movement.</li> <li>Support goods movement policies that enhance Bay Area goods movement planning, funding, delivery, and advocacy.</li> <li>Ensure that Bay Area transportation systems are included in and prioritized in state and federal planning and funding processes.</li> </ul>
Partnerships	Expand partnerships at the local, regional, state and federal levels	<ul style="list-style-type: none"> <li>Support efforts that encourage regional cooperation and coordination to develop, promote and fund solutions to regional transportation problems and that support governmental efficiencies and cost savings in transportation.</li> <li>Support policy development to influence transportation planning, policy and funding at the county, regional, state and federal levels.</li> <li>Support efforts to maintain and expand local-, women-, minority- and small-business participation in competing for contracts.</li> </ul>

**Commission Chair**  
Supervisor Scott Haggerty, District 1

**Commission Vice Chair**  
Councilmember Rebecca Kaplan,  
City of Oakland

**AC Transit**  
Director Elsa Ortiz

**Alameda County**  
Supervisor Richard Valle, District 2  
Supervisor Wilma Chan, District 3  
Supervisor Nate Miley, District 4  
Supervisor Keith Carson, District 5

**BART**  
Director Thomas Blalock

**City of Alameda**  
Mayor Marie Gilmore

**City of Albany**  
Mayor Peggy Thomsen

**City of Berkeley**  
Councilmember Laurie Capitelli

**City of Dublin**  
Mayor Tim Sbranti

**City of Emeryville**  
Vice Mayor Ruth Atkin

**City of Fremont**  
Mayor Bill Harrison

**City of Hayward**  
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**City of Livermore**  
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**City of Oakland**  
Vice Mayor Larry Reid

**City of Piedmont**  
Mayor Margaret Fujjoka

**City of Pleasanton**  
Mayor Jerry Thorne

**City of San Leandro**  
Councilmember Michael Gregory

**City of Union City**  
Mayor Carol Dutra-Vernaci

**Executive Director**  
Arthur L. Dao

April 28, 2014

Mary Nichols  
California Air Resources Board  
1001 "I" Street  
Sacramento, CA 95814

## **Re: Alameda CTC Comments on Scoping Plan Update**

Dear Ms. Nichols

Thank you for the opportunity to comment on the California Air Resources Board (ARB) first update of the AB 32 Scoping Plan, (*Plan Update*). The Alameda County Transportation Commission (Alameda CTC) has done significant planning and funding for projects and programs that support implementation of sustainable communities.

Alameda CTC supports the *Plan Update* recommendations for multimodal investments that support Sustainable Communities and Passenger Transport, Sustainable Freight/Goods Movement and Energy/Fuels and Innovative Technologies. Our long-range plans similarly support multimodal systems to advance the implementation of these priorities to support GHG reductions. Alameda CTC makes the following overall comments on the *Plan Update* with the goal of reducing GHG emissions from transportation:

### ***Significant funding is needed now to implement transportation investments that reduce GHG emissions.***

The *Plan Update*'s recommendations include the implementation of Sustainable Communities Strategies that provide a range of mobility choices and support zero or near zero emission technologies. Alameda CTC recognizes that we must transition into new technologies and new ways of linking land use and transportation to support the state's GHG reduction goals. This will take time; however, the most efficient way for the transportation industry to achieve its GHG reduction target is to provide significant and reliable funding sources now to move the Bay Area SCS from a plan into implementation. Per Governor Brown's Executive Order [Executive Order B-16-2012](#), which specifically requires an 80 percent GHG reduction from the transportation sector, an up-front investment in infrastructure and development incentives to realize GHG emission reductions is essential. Without a significant commitment of funds this work cannot be implemented in a timely way to support the GHG reduction timelines and targets.

### ***Direct significant cap-and-trade revenues to transportation investments and administer these funds at the regional level.***

The state's new Cap-and-Trade Program represents one of the most promising opportunities for investing in transportation strategies that support GHG reductions. Given that the transportation sector accounts for 40 percent of state

GHG emissions, Alameda CTC supports directing a significant portion of cap-and-trade revenues to transportation investments.

Alameda CTC appreciates ARB's recognition of regional planning and local leadership in development of SCSs and the importance of supporting efforts both locally and regionally to implement these plans. In keeping with this key recommendation in the *Plan Update*, we recommend that ARB support that state funding for GHG reductions related to SCS implementation be administered at the regional level.

***Support successful planning and investment strategies delivered by the regions and local agencies.***

Alameda CTC is one of nine Bay Area CMAs that deliver almost \$1 billion each year for projects and programs that support the Bay Area's economy and mobility and reduce GHG emissions through cutting-edge transportation efforts such as:

- Bicycle and pedestrian infrastructure and programs
- Clean fuels and new technologies
- Highway/roadway improvements to reduce congestion and support goods movement
- Mass transit operations and capital investments
- Transportation Demand Management programs
- Transit oriented development
- Senior and disabled transportation

Bay Area voters have approved local transportation measures that fund these investments. We are held accountable to strict delivery timelines through open and public processes, and we report regularly to the public on how funds are expended. This accountability has resulted in significant investments that reduce congestion, improve access and efficiencies, and create safe, efficient, and clean transportation systems. Recognizing and rewarding the efficiency and effectiveness of our delivery processes by directing funds and administration authority to regions and local agencies will enable the state to advance its GHG reduction goals.

Alameda CTC appreciates your efforts on the AB 32 Scoping Plan Update, which will greatly influence transportation, goods movement, fuels, and infrastructure in California and change the way we perceive and address energy efficiency, waste, water, and agriculture, as well as protect our natural resources and enrich communities throughout California. We see investment in the transportation sector as a key strategy to meet the state's ambitious GHG reduction goals.

Sincerely,



for

ARTHUR L. DAO  
Alameda CTC Executive Director





# Memorandum

5.1

1111 Broadway, Suite 800, Oakland, CA 94607

• PH: (510) 208-7400

• www.AlamedaCTC.org

**DATE:** May 5, 2014

**SUBJECT:** 2014 Level of Service Monitoring Study Status Update

**RECOMMENDATION:** Receive Status Update on the 2014 Level of Service Monitoring Study

## Summary

As required by the Congestion Management Program (CMP) legislation, the Level of Service (LOS) on CMP roadways in Alameda County is monitored biennially. The last LOS Monitoring was completed in 2012. The subsequent monitoring cycle is in 2014, and monitoring the roadways for the 2014 cycle is currently underway beginning in the first week of March. Travel time data was collected for monitoring purposes using the floating car survey method until 2012. In December 2013, the Alameda CTC Commission approved using commercially available travel time data for monitoring LOS on a majority of CMP roadways starting with the 2014 monitoring cycle. Accordingly, two types of data collection methodologies are being used in the 2014 cycle. This provides a cost effective approach for LOS monitoring and an opportunity for additional monitoring due to robust data, which can allow for more analysis options. The data collection for the 2014 cycle will be completed by the first week of June and final results will be presented at the July Committee meetings, including identification of potential deficiency. The final report will be presented in September 2014. During the data collection period, draft monitoring results will be made available to ACTAC for review as they become available.

## Background

The Level of Service on CMP roadways in Alameda County is monitored biennially for both the morning and the evening peak periods. The data for the evening peak period on the CMP network (Tier 1) that is subject to CMP Conformity is used to identify deficiency as required by statute. All other data collected, such as for the morning peak period on Tier 1 and both periods on Tier 2, is used for informational purposes only.

The CMP network, shown in Attachment A, contains 232 miles of Tier 1 and 90 miles of Tier 2 roadways. Of the total 232 miles of Tier 1, 134 miles (58 percent) are interstate freeways, 71 miles (31 percent) are conventional state highways, and 27 miles (11 percent) are city/county arterials. In addition, Tier 1 roadways also include 23 freeway-to-freeway connector ramps. All Tier 2 roadways are arterials.

In December 2013, the Commission approved, based on a validation exercise, the use of commercially available data for monitoring purposes on a majority of Tier 1 roadways (all the freeways and ramps with the exception of two segments in each group) and on about two thirds of Tier 2 roadways. As a result of this decision, additional special roadways such as the three bay crossing bridges, where commercial data is available, were included for monitoring in 2014. In addition, the 2014 monitoring scope also includes monitoring of the HOV/Express Lanes (managed lanes) in the county using the floating car methodology because commercial data is not yet available for these managed lanes. The following table provides a summary of the types of data collected in 2014 for various parts of the CMP roadway network and other roadways.

<b>CMP Network</b>	<b>Miles/# Number</b>	<b>2012 Data Collection</b>	<b>2014 Data Collection</b>
Tier 1 Freeways	134	Floating Car Surveys	INRIX*
Tier 1 Arterials	98	Floating Car Surveys	Floating Car Surveys
Tier 1 Ramp Connectors	23 ramps	Floating Car Surveys	INRIX*
Tier 2 Arterials	90	Floating Car Surveys	65 miles INRIX/25 miles Floating Car Surveys
Bay Crossing Bridges	3 bridges	From Caltrans/MTC as available	INRIX
HOV/Express Lanes	TBD	Not Monitored	Floating Car Surveys

\*- Two segments for these roads and ramps that did not have adequate INRIX coverage will be monitored using floating car surveys.

For the commercial data, INRIX data is used; it is obtained without cost from the Metropolitan Transportation Commission. For the 2014 monitoring cycle, data has been downloaded beginning from the first week of March 2014. Floating car surveys began in the first week of April. Data collection will be completed by the first week of June, the final LOS results including deficiency identification will be presented in July, and the final report will be presented in September 2014.

Currently, draft preliminary results for part of the CMP network are being reviewed by Alameda CTC staff. Upon completing the review, the preliminary results will be provided to ACTAC for review, likely at or prior to the ACTAC meeting.

**Fiscal Impact:** There is no fiscal impact due to this item. This is information only.

## **Attachments**

A. CMP Tiers 1 and 2 Network

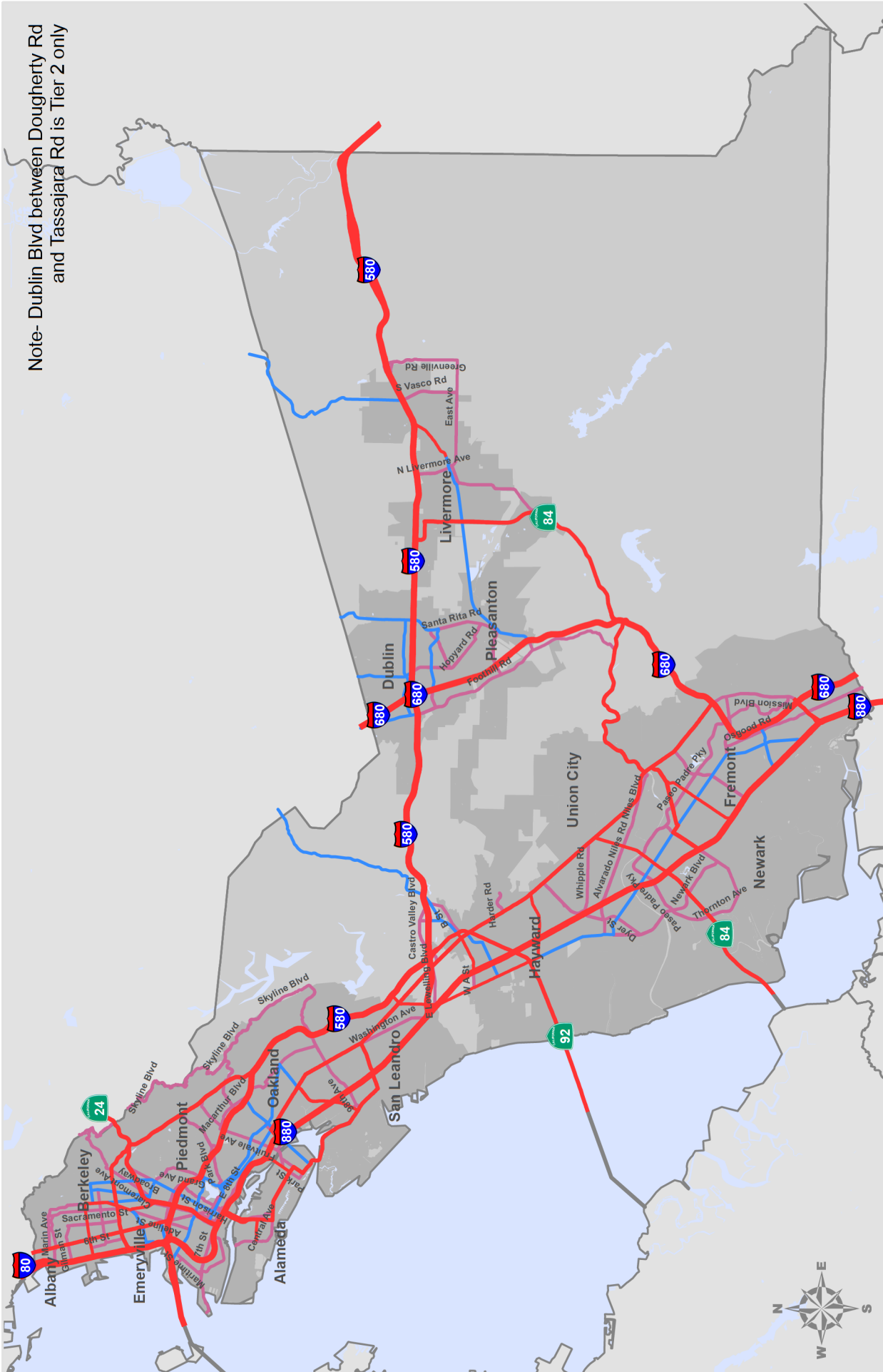
## **Staff Contacts**

[Tess Lengyel](#), Deputy Director of Planning and Policy

[Saravana Suthanthira](#), Senior Transportation Planner



Note- Dublin Blvd between Dougherty Rd and Tassajara Rd is Tier 2 only



- Legend**
- Interstate/Freeway (CMP - Tier 1 & MTS)
  - State Highway (CMP - Tier 1 & MTS)
  - Principal Arterial (CMP - Tier 1 & MTS)
  - Principal Arterial (CMP - Tier 2 & MTS)
  - MTS Routes

Figure 1 : Designated Countywide System Map



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# Memorandum

6.1

1111 Broadway, Suite 800, Oakland, CA 94607

• PH: (510) 208-7400

• www.AlamedaCTC.org

**DATE:** May 5, 2014

**SUBJECT:** Metropolitan Transportation Commission Freeway Performance Initiative Update

**RECOMMENDATION:** Receive an update on MTC's Freeway Performance Initiative

## Summary

In 2007, the Metropolitan Transportation Commission (MTC) launched the Freeway Performance Initiative (FPI) to better manage and operate the freeway system, including parallel arterial and transit systems, for purposes of reducing congestion and improving travel time and safety. MTC staff will be at the committee meeting to report on the progress to date on implementing FPI program.

## Background

FPI's goals are twofold: (1) deploy current technology to better manage the congestion on our freeway system, and (2) address and mitigate recurrent congestion (caused by bottlenecks) and non-current congestion (due to incidents). FPI includes the following program elements:

- **Ramp Metering:** Activate ramp metering along congested freeway corridors to improve travel time and safety
- **Intelligent Transportation System (ITS) Infrastructure:** Install traffic cameras, changeable message signs, traffic detectors and other related infrastructure to monitor and manage the freeway system
- **Arterial Management:** Implement traffic signal coordination, transit-priority timing, and incident/emergency clearance plans to manage major arterials
- **Incident Management:** Maintain the Freeway Service Patrol and Call Box programs, and enhance transportation agencies' and first responders' capabilities to clear traffic incidents and respond to major emergencies through freeway/arterial corridor management
- **Traveler Information/511:** Provide real-time traffic, transit and parking data for trip-planning and traveler information
- **Ongoing Operations & Maintenance:** Maintain existing and future arterial and freeway technology improvements

To date, through early ramp metering deployments by Caltrans and more recent FPI ramp metering deployment by MTC (in partnership with Caltrans and the nine county Congestion Management Agencies), there are close to 400 ramp meters activated in the counties of Alameda, Contra Costa, Santa Clara, San Mateo and Solano counties. Over the next four years, MTC expects to activate meters in these same five counties as well as for the first-time in the counties of Sonoma and Marin. In the near future, MTC plans to close "gaps" in metering on other high-priority corridors around the region. Upon completion of the baseline ramp metering program, MTC looks to implement adaptive ramp metering (similar to the adaptive ramp metering planned as part of the I-80 Integrated Corridor Management project) as well as other related ITS strategies to better manage our freeway system.

**Fiscal Impact:** There is no fiscal impact due to this item. This is information only.

### **Attachments**

A. Map of Bay Area FPI Ramp Metering Program Implementation

### **Staff Contact**

[Stewart D. Ng](#), Deputy Director of Programming and Projects

[Kanda Raj](#), Project Controls Team

[Saravana Suthanthira](#), Senior Transportation Planner



# Memorandum

6.2

1111 Broadway, Suite 800, Oakland, CA 94607

• PH: (510) 208-7400

• www.AlamedaCTC.org

**DATE:** May 5, 2014

**SUBJECT:** Alameda CTC Timely Use of Funds (TUF) Monitoring Reports

**RECOMMENDATION:** Receive an update on the current status of State Transportation Improvement Program (STIP), Federal Surface Transportation/ Congestion Mitigation and Air Quality (STP/CMAQ), and Transportation for Clean Air (TFCA) projects through the TUF monitoring reports

## Summary

The Alameda CTC monitors the projects programmed with State Transportation Improvement Program (STIP), Federal Surface Transportation/Congestion Mitigation and Air Quality (STP/CMAQ), and Transportation for Clean Air (TFCA) County Program Manager funds for compliance with the respective program requirements and provides periodic updates to the Commission. The attached Timely Use of Funds (TUF) reports are dated May 31, 2014. Project sponsors are requested to provide documentation related to the status of the required activities shown on the STIP, STP/CMAQ and TFCA TUF reports by Friday, May 16, 2014.

## Background

Project sponsors are responsible for meeting the milestone deadlines associated with each of the monitored fund sources. The Timely Use of Funds (TUF) reports assign projects to zones of risk based on the status of the monitored activities at the time of the report date. Red zone projects are considered at a relatively high risk of non-compliance with the requirements. Yellow zone projects are considered at moderate risk, and Green zone at low risk. The durations included in the criteria are intended to provide adequate time for project sponsors to perform the required activities to meet the deadline(s). A project may have multiple risk factors that indicate multiple zones. Projects with multiple risk factors are listed in the zone of higher risk.

The TUF reports are provided to allow project sponsors an opportunity to review and provide updates prior to the Alameda CTC's At Risk monitoring reports, which are scheduled to be brought to the Commission in June 2014. The STIP and STP/CMAQ TUF reports are based on the information provided to the Alameda CTC's project monitoring team by project sponsors as well as information made available by other funding agencies such as the Metropolitan Transportation Commission (MTC) and Caltrans Local Assistance. For these reports, the Alameda CTC requests project sponsors provide copies of certain documents

related to the required activities to verify that the deadlines have been met. The requested documentation may include copies of documents submitted by the sponsor to agencies such as MTC, Caltrans and the California Transportation Commission (CTC). The TFCA TUF report is based by the status information on file with the Alameda CTC.

#### *STIP TUF Report*

The STIP TUF report (Attachment A) includes projects monitored for compliance with the STIP "Timely Use of Funds" provisions. The criteria for determining the project zones are listed near the end of the report. The risk zone associated with each risk factor is indicated in the tables following the report. Note that for the STIP "Complete Expenditures" deadline, sponsors must provide documentation supported by their accounting department as proof that the Complete Expenditures deadline has been met. The 2014 STIP was approved by the CTC April 2014 and is reflected in the report.

#### *STP/CMAQ TUF Report*

The STP/CMAQ TUF report (Attachment B) includes locally-sponsored, federally-funded projects monitored for compliance with the requirements set forth in MTC's Regional Project Delivery Policy (MTC Resolution 3606, revised January 2014). Appendix B of the report provides the Resolution 3606 deadlines associated with each of the required activities. Sponsors of STP/CMAQ projects are requested to note the following:

- Projects are to request a Field Review within one year of approval in the Federal Transportation Improvement Program (TIP), but no less than 12 months prior to the obligation deadline of construction funds.
- For projects programmed in Federal FY 2013/14, the deadline to submit a request for authorization (RFA) was February 1, 2014 and the deadline to obligate funding (receive E-76 or FTA transfer) was April 30, 2014.
- For projects in the three local federal Safety Programs: Highway Safety Improvement Program (HSIP), High Risk Rural Roads Program (HR3), and Safe Routes to School Program (SRTS) are included in the STP/CMAQ report. As of November 2010, MTC has been applying the provisions of MTC Resolution 3606 to all local safety programs.
- The deadline for submitting the environmental package one year in advance of the obligation deadline for right of way or construction capital funding is tracked and reported, but is not affiliated with a zone of risk.

#### *TFCA TUF Report*

The attached TFCA TUF report (Attachment C) includes active and recently completed projects programmed with Alameda County TFCA Program Manager funds and monitored for TFCA program compliance. In this report, four projects are in the Red zone with required activities due within four months of the report date. There are 21 projects in the Green zone with required activities that are not due for at least eight months. The four

recently completed projects have met the TFCA requirements monitored through the TUF report and will be removed from future reports.

#### *Next steps*

Project sponsors are requested to email documentation related to the status of the required activities shown in the attached STIP, STP/CMAQ and TFCA reports to Jacki Taylor, [jtaylor@alamedactc.org](mailto:jtaylor@alamedactc.org), by Friday, May 16, 2014. The attached TUF reports and received updates will be the basis for the next STIP, STP/CMAQ and TFCA At Risk reports scheduled to be brought to the Commission in June 2014.

**Fiscal Impact:** There is no fiscal impact due to this item. This is information only.

#### **Attachments**

- A. STIP Timely Use of Funds Report, dated May 31, 2014
- B. STP/CMAQ Timely Use of Funds Report, dated May 31, 2014
- C. TFCA Timely Use of Funds Report, dated May 31, 2014

#### **Staff Contacts**

[Vivek Bhat](#), Senior Transportation Engineer

[James O'Brien](#), Project Controls Team

[Jacki Taylor](#), Program Analyst

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**STIP Timely Use of Funds Report**  
**STIP-RIP Locally-Sponsored Alameda County Projects**

**Status Date: May 31, 2014**

**Red Zone Projects**

Index	PP No. Source	Sponsor Prog'd Amount (\$x 1,000)	Project Title Phase	FY	Required Activity	Date Req'd By	Zone	Notes	Prev Zone
1	2100F RIP-TE	Alameda Co. \$1,150	Cherryland/Ashland/Castro Valley Sidewalk Imps. Con	10/11	Accept Contract	11/1/14	R	\$1,150 Allocated 5/12/11 Awarded Nov 2011	G
2	2103 RIP	BART \$20,000	Oakland Airport Connector Con	10/11	Accept Contract	9/1/14	R	App'd into STIP and allocated 9/23/10 Awarded Oct 2010	G
3	0057J RIP RIP RIP	Caltrans \$500 \$1,100 \$400	SR-24 Caldecott Tunnel 4th Bore Landscaping Con CE PSE	13/14 13/14 12/13	Award Contract  Complete Expend	9/20/14  6/30/15	R  G	\$500K Allocated 3/20/14 \$1,100K Allocated 3/20/14 \$400K Allocated 6/11/13	R
4	2014U RIP	GGBHTD \$12,000	SF Golden Gate Bridge Barrier Con	11/12	Award Contract	6/11/14	R	\$12M Allocated 12/11/13 18-Mo Ext App'd May 12 \$20M Total RIP - \$12M Ala	R
5	2140S RIP-TE	LAVTA \$200	Rideo Bus Restoration Project Con	10/11	Accept Contract	8/10/14	R	\$200 Allocated 5/12/11 from SM County Reserve Contract Awd 8/10/11	G
6	2009K RIP	LAVTA \$4,000	Satellite Bus Operating Facility (Phases 1 & 2) Con	11/12	Accept Contract	11/7/14	R	Note 3 \$4M Alloc'd 6/23/11 PTA Contract Awd 11/7/11	G

*End of Red Zone*

**Yellow Zone Projects**

Index	PP No. Source	Sponsor Prog'd Amount (\$x 1,000)	Project Title Phase	FY	Required Activity	Date Req'd By	Zone	Notes	Prev Zone
<i>No Yellow Zone Projects</i>									

*End of Yellow Zone*

**STIP Timely Use of Funds Report**  
**STIP-RIP Locally-Sponsored Alameda County Projects**

**Status Date: May 31, 2014**

**Green Zone Projects**

Index	PP No.	Sponsor	Project Title							
	Source	Prog'd Amount (\$x 1,000)	Phase	FY	Required Activity	Date Req'd By	Zone	Notes	Prev Zone	
7	2009Z	AC Transit	East Bay Bus Rapid Transit							
	RIP	\$7,995	Con	15/16	Allocate Funds	6/30/16	G	New Project in 2014 STIP	NA	
8	2103C	BART	Daly City BART Station Intermodal Improvements							
	RIP	\$200	Con	16/17	Allocate Funds	6/30/17	G	New Project in 2014 STIP	NA	
9	2103B	BART	Downtown Berkeley BART Plaza/Transit Area Improvements							
	RIP	\$3,726	Con	16/17	Allocate Funds	6/30/17	G	New Project in 2014 STIP	NA	
10	0044C	Alameda CTC	I-880 Reconstruction, 29th to 23rd							
	RIP	\$2,000	PSE	10/11	Final Invoice/Report		NA		R	
11	0081H	Alameda CTC	RT 84 Expressway Widening (Segment 2)							
	RIP	\$47,030	Con	14/15	Allocate Funds	6/30/15	G		G	
12	0081J	Alameda CTC	East-West Connector in Fremont							
	RIP	\$12,000	Con	18/19	Allocate Funds	6/30/19	G	New Project in 2014 STIP	NA	
13	0139F	Alameda CTC	Rt 580, Landscaping, San Leandro Estudillo Ave - 141st							
	RIP-TE	\$350	Con	10/11	Accept Contract	7/26/15	G	\$350K Allocated 10/27/11 3-Mo Ext for Awd 5/23/12 Contract Awarded 7/26/12	G	
14	2100K	Alameda CTC	I-880 Landscape/Hardscape Improvements in San Leandro							
	RIP-TE	\$400	PSE	09/10	Final Invoice/Report		NA	\$400K Allocated 6/30/10 12-Mo Ext App'd April '12	R	
15	2179	Alameda CTC	Planning, Programming and Monitoring (Note 2)							
	RIP	\$1,563	Con	12/13	Complete Expend	6/30/15	G	\$1,563 Allocated 6/28/12	G	
	RIP	\$750	Con	13/14	Complete Expend	6/30/16	G	\$750K Allocated 8/6/13 effective 7/1/13 (SB184)		
	RIP	\$886	Con	16/17	Allocate Funds	6/30/17	G			
	RIP	\$750	Con	17/18	Allocate Funds	6/30/18	G			
	RIP	\$565	Con	18/19	Allocate Funds	6/30/19	G			
16	9051A	BATA	Improved Bike/Ped Connectivity to East Span SFOBB							
	RIP-TE	\$3,063	Con	16/17	Allocate Funds	6/30/17	G	Added in 2012 STIP	G	
17	2100G	Berkeley	Berkeley Bay Trail Project, Seg 1							
	RIP-TE	\$1,928	Con	10/11	Final Invoice/Report		NA	\$1,928 Allocated 12/15/11 Awarded 5/29/12	G	
18	2100H	Dublin	Alamo Canal Regional Trail, Rt 580 undercrossing							
	RIP-TE	\$1,021	Con	10/11	Final Invoice/Report		NA	\$1,021 Allocated 8/11/11 Contract Awd 2/7/12 Accepted 2/19/13	G	

**STIP Timely Use of Funds Report**  
**STIP-RIP Locally-Sponsored Alameda County Projects**

**Status Date: May 31, 2014**

**Green Zone Projects (cont.)**

Index	PP No.	Sponsor	Project Title	Phase	FY	Required Activity	Date Req'd By	Zone	Notes	Prev Zone
		Source	Prog'd Amount (\$x 1,000)							
<b>19</b>	<b>2100</b>	<b>MTC</b>	<b>Planning, Programming and Monitoring <sup>2</sup></b>							
		RIP	\$114	Con	12/13	Complete Expend	6/30/15	G	\$114 Allocated 6/27/12 effective 7/1/12 (SB184)	G
		RIP	\$122	Con	14/15	Allocate Funds	6/30/15	G		
		RIP	\$118	Con	13/14	Complete Expend	6/30/16	G	\$118K Allocated 6/11/13 effective 7/1/13 (SB184)	
		RIP	\$126	Con	15/16	Allocate Funds	6/30/16	G		
		RIP	\$131	Con	16/17	Allocate Funds	6/30/17	G		
		RIP	\$135	Con	17/18	Allocate Funds	6/30/18	G		
		RIP	\$140	Con	18/19	Allocate Funds	6/30/19	G		
<b>20</b>	<b>2103A</b>	<b>Oakland</b>	<b>Oakland Coliseum TOD</b>							
		RIP-TE	\$885	Con	10/11	Final Invoice/Report		NA	\$885 Allocated 6/23/11 Contract Awd 11/10/11 Completed 3/13/13	Y

*End of Green Zone*

**Report Notes**

**Notes:**

- 1 The "Date Req'd By" for the required activity is before the status date of this report. Sponsor is working with Caltrans, MTC and Alameda CTC to expedite/complete the required activity and/or satisfy the requirement.
- 2 PPM funds programmed in the Con phase are not subject to the typical construction phase requirements. Once PPM funds are allocated, the next deadline is "Complete Expenditures."
- 3 Transit projects receiving State-only funds are subject to project specific requirements in agreements with Caltrans (Federal funds are typically transferred to FTA grant).

**STIP Timely Use of Funds Report**  
**STIP-RIP Locally-Sponsored Alameda County Projects**

**Status Date: May 31, 2014**

**2014 STIP -Timely Use of Funds Provisions**

The Timely Use of Funds and At Risk reports monitor the STIP Timely Use of Funds Provisions included in the current STIP Guidelines as adopted by the CTC. The current Timely Use of Funds Provisions are as follows:

<b>Required Activity</b>	<b>Timely Use of Funds Provision</b>
Allocation	For all phases, by the end (June 30th) of the fiscal year identified in the STIP.
Construction Contract Award <sup>1</sup>	Within six (6) months of allocation.
Accept Contract (Construction)	Within 36 months of contract award.
Complete Expenditures	For Env, PSE, & R/W funds, costs must be expended by the end of the second FY following the FY in which the funds were allocated.
Final Invoice/Project Completion (Final Report of Expenditures)	For Env, PSE, & R/W funds, within 180 days (6 months) after the end of the FY in which the final expenditure occurred. For Con funds, within 180 Days (6 months) of contract acceptance.

**Zone Criteria**

The Timely Use of Funds and At Risk reports utilize the deadlines associated with each required activity of the STIP Timely use of Funds Provisions to assign a zone of risk. The following zone criteria was developed for each of these risk zones (Red, Yellow, & Green). For the Final Invoice, this activity is tracked but no zone of risk is assigned.

<b>Required Activity</b>	<b>Criteria Timeframes for Required Activities</b>		
	<b>Red Zone</b>	<b>Yellow Zone</b>	<b>Green Zone</b>
Allocation -Env Phase	within four months	within four to eight months	All conditions other than Red or Yellow Zones
Allocation -PS&E Phase	within six months	within six to ten months	All conditions other than Red or Yellow Zones
Allocation -Right of Way Phase	within eight months	within eight to twelve months	All conditions other than Red or Yellow Zones
Allocation -Construction Phase	within eight months	within eight to twelve months	All conditions other than Red or Yellow Zones
Construction Contract Award	within six months	within six to eight months	All conditions other than Red or Yellow Zones
Accept Contract	within six months	within six to twelve months	All conditions other than Red or Yellow Zones
Complete Expenditures	within eight months	within eight to twelve months	All conditions other than Red or Yellow Zones
Final Invoice/Project Completion (Final Report of Expenditures)	NA	NA	NA

**Other Zone Criteria**

<b>Yellow Zone</b>	STIP /TIP Amendment pending
<b>Red Zone</b>	Extension Request pending
<b>Notes:</b>	

1. Statute requires encumbrance by award of a contract for construction capital and equipment purchase within twelve months of allocation. CTC Policy is six months.

## Federal Timely Use of Funds Report

Status Date: May 31, 2014

## Federally-Funded Locally-Sponsored Alameda County Projects

**Red Zone Projects**

Index	TIP ID	Sponsor	Project Title							
	Source	Prog'd Amount	Phase	FY	Required Activity	Date	Zone	Notes	Prev	
		(\$x 1,000)				Req'd By			Zone	
1	HSIP4-04-002	Alameda	Shoreline Dr - Westline Dr - Broadway Improvements							
	HSIP	\$68	PE	11/12	Liquidate Funds	06/30/14	R	\$68 Obligated 1/18/12	R	
	HSIP	\$348	Con	11/12	Liquidate Funds	06/30/16	G	See Note 2		
								\$348 Obligated 3/5/14		
2	HSIP4-04-010	Alameda	Park Street Operations Improvements							
	HSIP	\$607	Con	11/12	Obligate Funds	Note 1	R	See Note 2	R	
	HSIP	\$126	PE		Liquidate Funds	06/30/14	R	\$126 Obligated 1/18/12		
3	ALA090069	Ala County	Alameda County: Rural Roads Pavement Rehab							
	STP	\$1,815	Con	11/12	Award Contract	Note 1	R	\$1,815 Obligated 4/4/12	R	
					Liquidate Funds	04/04/18	G			
	STP	\$320	PE	10/11	Liquidate Funds	03/16/17	G	\$320 Obligated 3/16/11		
4	ALA110026	Ala County	Alameda Co - Central Unincorporated Pavement Rehab							
	STP	\$1,071	Con	11/12	Award Contract	Note 1	R	\$1,071 Obligated 4/4/12	R	
					Liquidate Funds	04/04/18	G			
	STP	\$50	PE	10/11	Liquidate Funds	03/23/17	G	\$50 Obligated 3/23/11		
5	ALA130018	Ala County	Alameda Co-Variou Streets and Roads Preservation							
	STP	\$100	PE	13/14	Obligate Funds	Note 1	R	TIP Amend 13-04	R	
	STP	\$1,565	Con	14/15	Submit Req for Auth	02/01/15	Y	See Note 3		
					Obligate Funds	04/30/15	G			
6	H3R1-04-031	Ala County	Patterson Pass Road - PM6.4 Widen or Improve Shoulder							
	HRRR	\$717	Con	12/13	Obligate Funds	Note 1	R	See Note 2	R	
	HRRR	\$101	PE	Prior	Liquidate Funds	06/30/15	G	\$101 Obligated 12/19/08		
7	HSIP2-04-024	Ala County	Castro Valley Blvd - Wisteria St Intersection and Frontage Improvements							
	HSIP	\$577	Con	11/12	Liquidate Funds	Note 1	R	See Note 2	R	
								Obligated 9/19/12		
	HSIP	\$59	PE	Prior				Obligated 8/14/09		
	HSIP	\$63	R/W	Prior				Obligated 2/15/11		
8	HSIP2-04-027	Ala County	Remove Permanent Obstacle along Shoulder (Foothill Road)							
	HSIP	\$427	Con	10/11	Complete Closeout	09/30/14	R	See Note 2	R	
								Con Obligated 8/11/13		
	HSIP	\$59	PE	Prior				PE Obligated 2/23/09		
9	SRTS1-04-001	Ala County	Fairview Elementary School Vicinity Improvements							
	SRTS	\$508	Con	10/11	Complete Closeout	Note 1	R	See Note 2	R	
								Obligated 9/19/12		
	SRTS	\$77	PE	Prior				Obligated 1/29/09		

Federal Timely Use of Funds Report  
 Federally-Funded Locally-Sponsored Alameda County Projects

Status Date: May 31, 2014

**Red Zone Projects (cont.)**

Index	TIP ID	Sponsor	Project Title	Source	Prog'd Amount (\$x 1,000)	Phase	FY	Required Activity	Date Req'd By	Zone	Notes	Prev Zone
10	ALA110007	Berkeley	City of Berkeley Transit Action Plan - TDM	CMAQ	\$10	Con	11/12	Obligate Funds	Note 1	R	Working with Caltrans and MTC to add to PE See Note 3	R
				CMAQ	\$1,990	PE	10/11	Liquidate Funds	02/22/17	G	\$1,990 Obligated 2/22/11	
11	ALA110024	Dublin	Dublin Citywide Street Resurfacing	STP	\$547	Con	11/12	Award Contract	Note 1	R	\$547 Obligated 3/16/12	R
								Liquidate Funds	03/16/18	G		
12	SRTS3-04-007	Emeryville	San Pablo Avenue 43rd to 47th Pedestrian Safety	SRTS	\$696	Con	13/14	Obligate Funds	06/17/14	R	See Note 2	R
				SRTS	\$52	PE	11/12			G	\$52 Obligated 5/4/12	
13	ALA110012	Fremont	Fremont CBD/Midtown Streetscape	CMAQ	\$1,114	Con	11/12	Award Contract	Note 1	R	\$1,114 Obligated 3/27/12	R
								Liquidate Funds	03/27/18	G		
				CMAQ	\$432	Con	10/11	Project Complete	NA		\$432 Obligated 4/13/11	
				CMAQ	\$54	Con	10/11	Project Complete	NA		\$54 Obligated 6/13/11	
14	ALA130020	Fremont	Fremont Various Streets and Roads Preservation	STP	\$2,105	Con	13/14	Obligate Funds	Note 1	R	TIP Amend 13-04 See Note 3	R
15	ALA130025	Fremont	Fremont City Center Multi-Modal Improvements	STP	\$5,333	Con	13/14	Advertise Contract	06/21/14	R	TIP Amend 13-04	R
								Award Contract	09/21/14	R	\$4,481 Obligated 3/21/14	
				STP	\$1,374	Con	14/15	Submit Req for Auth	02/01/15	Y	FY13/14 Balance added to FY 14/15	
								Obligate Funds	04/30/15	G	See Note 3	
16	HSIP1-04-005	Fremont	Install Median Barrier, Install Raised Median and Improve Delineation (Mowry)	HSIP	\$164	Con	11/12	Complete Closeout	Note 1	R	See Note 2 \$164 Obligated 3/7/13	R
				HSIP	\$35	PE	Prior				Obligated 11/28/07	
17	HSIP2-04-018	Fremont	Replace Concrete Poles with Aluminum in Median (Paseo Parkway)	HSIP	\$183		Prior	Complete Closeout	Note 1	R	See Note 2 Con Obligated 4/19/11 PE Obligated 4/8/09	R

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Federal Timely Use of Funds Report  
 Federally-Funded Locally-Sponsored Alameda County Projects

Status Date: May 31, 2014

**Red Zone Projects (cont.)**

Index	TIP ID	Sponsor	Project Title	Source	Prog'd Amount (\$x 1,000)	Phase	FY	Required Activity	Date Req'd By	Zone	Notes	Prev Zone
18	HSIP3-04-005	Fremont	Paseo Padre Parkway - Walnut to Washington - Replace Poles	HSIP	\$120	Con	12/13	Liquidate Funds	06/02/14	R	See Note 2 Con Obligated 2/16/12	Y
				HSIP	\$23	PE	Prior				PE Obligated 11/18/10	
19	HSIP3-04-006	Fremont	Paseo Padre Parkway - Walnut Ave and Argonaut Way	HSIP	\$458	Con	12/13	Liquidate Funds	06/02/14	R	See Note 2 \$458 Obligated 4/11/13	Y
				HSIP	\$59	PE	Prior				Obligated 11/22/10	
20	ALA110019	Hayward	Hayward Various Arterials Pavement Rehab	STP	\$1,336	Con	10/11	Award Contract	Note 1	R	\$1,336 Obligated 2/23/11	R
								Liquidate Funds	02/23/17	G		
21	ALA110035	Hayward	South Hayward BART Area/Dixon Street Streetscape	CMAQ	\$1,540	Con	11/12	Award Contract	Note 1	R	\$1,264 Obligated 4/4/12	R
								Liquidate Funds	04/04/18	G	Amounts per Phase Adjusted	
				CMAQ	\$260	PE	10/11	Liquidate Funds	01/18/17	G	\$536 Obligated 1/18/11	
22	ALA130010	Livermore	Livermore Various Streets Preservation	STP	\$1,053	CON	13/14	Advertise Contract	06/04/14	R	TIP Amend 13-04	R
								Award Contract	09/04/14	R	\$1,053 Obligated 3/4/14	
								Liquidate Funds	06/30/18	G		
23	ALA130016	Oakland	Oakland Complete Streets	CMAQ	\$467	PE	13/14	Obligate Funds	Note 1	R	TIP Amend 13-04	R
				CMAQ	\$3,384	Con	15/16	Submit Req for Auth	11/01/15	G		
								Obligate Funds	01/31/16	G		
24	HSIP2-04-004	Oakland	West Grand at Market, Macarthur at Fruitvale & Market at 55th Improvements	HSIP	\$223	Con	11/12	Liquidate Funds	Note 1	R	See Note 2	R
								Complete Closeout	09/30/14	Y	Obligated 6/30/11	
25	HSIP2-04-005	Oakland	Various Intersections Pedestrian Improvements	HSIP	\$81	Con	11/12	Liquidate Funds	Note 1	R	See Note 2	R
								Complete Closeout	09/30/14	Y	Obligated 7/8/11	
26	HSIP5-04-011	Oakland	W. MacArthur Blvd. between Market & Telegraph	HSIP	\$125	PE	12/13	Obligate Funds	Note 1	R	See Note 2	R
				HSIP	\$574	CON	13/14	Submit Req for Auth	08/23/15	G		
								Obligate Funds	11/23/15	G		

## Federal Timely Use of Funds Report

Status Date: May 31, 2014

## Federally-Funded Locally-Sponsored Alameda County Projects

**Red Zone Projects (cont.)**

Index	TIP ID	Sponsor	Project Title	Source	Prog'd Amount (\$x 1,000)	Phase	FY	Required Activity	Date Req'd By	Zone	Notes	Prev Zone
27	HSIP5-04-012	Oakland	98th Avenue Corridor	HSIP	\$99	PE	12/13	Obligate Funds	Note 1	R	See Note 2	R
				HSIP	\$558	CON	13/14	Submit Req for Auth	08/23/15	G		
								Obligate Funds	11/23/15	G		
28	HSIP5-04-013	Oakland	Market Street between 45th & Arlington	HSIP	\$103	PE	12/13	Obligate Funds	Note 1	R	See Note 2	R
				HSIP	\$541	CON	13/14	Submit Req for Auth	08/23/15	G		
								Obligate Funds	11/23/15	G		
29	SRTS1-04-014	Oakland	Intersection Improvements at Multiple School (5 Elem. + 1 Middle)	SRTS	\$613		Prior	Complete Closeout	Note 1	R	See Note 2 PE Obligated 3/2/08 Con Obligated 8/18/11	R
30	SRTS2-04-007	Oakland	Multiple School (5 Schools) Improvements Along Major Routes	SRTS	\$753	Con	11/12	Complete Closeout	Note 1	R	See Note 2 Con Obligated 2/3/12	R
				SRTS	\$118	PE	Prior				PE Obligated 1/26/10	
31	ALA130019	Piedmont	Piedmont Complete Streets (CS)	STP	\$129	Con	13/14	Obligate Funds	Note 1	R	See Note 3 TIP Amend 13-04	R
32	ALA110010	Port	Shore Power Initiative	CMAQ	\$3,000	Con	11/12	Award Contract	Note 1	R	\$3,000 Obligated 2/16/12	R
								Liquidate Funds	02/16/18	G		
33	ALA110027	San Leandro	San Leandro Downtown-BART Pedestrian Interface	CMAQ	\$4,298	Con	11/12	Award Contract	Note 1	R	\$4,298 Obligated 2/28/12	R
				CMAQ	\$312	PE	10/11	Liquidate Funds	12/21/16	G	\$312 Obligated 12/21/10	
34	HSIP4-04-015	San Leandro	Washington Ave / Monterey Blvd	HSIP	\$307	Con	13/14	Obligate Funds	Note 1	R	See Note 2	R
					\$66	PE	Prior				Obligated 12/15/11	
35	ALA090015	Union City	Union City Intermodal Station Infrastructure	CMAQ	\$8,692	CON	13/14	Advertise Contract	Note 1	R	\$8,692 Obligated 12/12/13	R
								Award Contract	06/12/14	R		
								Liquidate Funds	06/30/18	G		

*End of Red Zone*



## Federal Timely Use of Funds Report

Status Date: May 31, 2014

## Federally-Funded Locally-Sponsored Alameda County Projects

**Yellow Zone Projects**

Index	PP No.	Sponsor	Project Title		Date	Zone	Notes	Prev Zone				
		Source	Prog'd Amount (\$x 1,000)	Phase					FY	Required Activity	Req'd By	
36	ALA130022	Alameda	Alameda City Complete Streets									
				STP	\$505	Con	14/15	Submit Req for Auth	02/01/15	Y	See Note 3	R
								Obligate Funds	04/30/15	G		
	STP	\$130	PE	13/14	Liquidate Funds	06/30/18	G	\$130 Obligated 1/30/14				
37	ALA030002	Ala County	Vasco Road Safety Improvements Phase 1A									
				STP	\$235	ROW	14/15	Submit Req for Auth	02/01/15	Y	See Note 3	G
								Obligate Funds	04/30/15	G		
				STP	\$1,785	Con	09/10	Liquidate Funds	08/31/16	G	\$1,785 Obligated 8/31/10 Contract awarded 6/7/11	
	STP	\$478	PE	12/13	Liquidate Funds	04/17/19	G	\$478 Obligated 4/17/13				
38	ALA050035	Ala County	Cherryland/Ashland/CastroValley/Fairview SidwIkImp									
				CMAQ	\$300	Con	14/15	Submit Req for Auth	02/01/15	Y	See Note 3	R
								Obligate Funds	04/30/15	G	TIP Amend 13-03	
	CMAQ	\$130	PE	13/14	Liquidate Funds	06/30/18	G	\$130 Obligated 4/9/14				
39	SRTS1-04-002	Ala County	Marshall Elementary School Vicinity Improvements									
				SRTS	\$450	Con	12/13	Liquidate Funds	11/01/14	Y	See Note 2 Obligated 9/19/12	G
	SRTS	\$50	PE	Prior			G	Obligated 12/7/10				
40	ALA110032	BART	Downtown Berkeley BART Plaza/Transit Area Imps.									
				CMAQ	\$340	Con	14/15	Submit Req for Auth	02/01/15	Y	See Note 3	G
								Obligate Funds	04/30/15	G	TIP Amend 13-04	
				CMAQ	\$706	PE	10/11				\$706 Obligated 3/16/11	
	CMAQ	\$1,099	Con	10/11				\$1,099 Obligated 3/16/11 Transferred to FTA Grant				
41	ALA110121	BART	BART Train Car Accident Repair Project									
				STP	\$1,493	CON	14/15	Submit Req for Auth	02/01/15	Y	See Note 3	G
						Obligate Funds	04/30/15	G	TIP Amend 13-04			
42	ALA130026	Berkeley	Shattuck Complete Streets and De-couplet									
				STP	\$2,777	CON	14/15	Submit Req for Auth	02/01/15	Y	See Note 3	G
						Obligate Funds	04/30/15	G	TIP Amend 13-08			
43	ALA130012	Dublin	Dublin Boulevard Preservation									
				STP	\$470	CON	14/15	Submit Req for Auth	02/01/15	Y	See Note 3	G
						Obligate Funds	04/30/15	G	TIP Amend 13-04			

Federal Timely Use of Funds Report  
 Federally-Funded Locally-Sponsored Alameda County Projects

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**Yellow Zone Projects (cont.)**

Index	PP No.	Source	Sponsor Prog'd Amount (\$x 1,000)	Project Title Phase	FY	Required Activity	Date Req'd By	Zone	Notes	Prev Zone
44	ALA130021	STP	Emeryville \$100	Emeryville - Hollis Street Preservation Con	14/15	Submit Req for Auth Obligate Funds	02/01/15 04/30/15	Y G	See Note 3 TIP Amend 13-04	G
45	ALA130013	STP	Hayward \$1,265	Hayward - Industrial Boulevard Preservation Con	14/15	Submit Req for Auth Obligate Funds	02/01/15 04/30/15	Y G	See Note 3	R
		STP	\$70	PE	13/14	Liquidate Funds	06/30/18	G	\$70 Obligated 1/23/14	
46	ALA130011	STP	Livermore \$2,500	Livermore Relocation and Restoration of R/R Depot CON	14/15	Submit Req for Auth Obligate Funds	02/01/15 04/30/15	Y G	See Note 3 TIP Amend 13-04	G
47	ALA130027	STP	Newark \$454	Enterprise Drive Complete Streets and Road Diet CON	14/15	Submit Req for Auth Obligate Funds	02/01/15 04/30/15	Y G	See Note 3 TIP Amend 13-08	G
48	ALA130015	STP	Oakland \$571	Lake Merritt BART Bikeways Con	14/15	Submit Req for Auth Obligate Funds	02/01/15 04/30/15	Y G	See Note 3 TIP Amend 13-12	G
49	ALA130017	CMAQ	Oakland \$5,452	Oakland - Peralta and MLK Blvd Streetscape Phase I Con	14/15	Submit Req for Auth Obligate Funds	02/01/15 04/30/15	Y G	See Note 3 TIP Amend 13-04	G
50	ALA130024	STP	Oakland \$4,446	Lakeside Complete Streets and Road Diet Con	14/15	Submit Req for Auth Obligate Funds	02/01/15 04/30/15	Y G	See Note 3 TIP Amend 13-10	G
		CMAQ	\$4,754	Con	14/15	Submit Req for Auth Obligate Funds	02/01/15 04/30/15	Y G		
51	ALA130009	STP	Pleasanton \$832	Pleasanton Complete Streets CON	14/15	Submit Req for Auth Obligate Funds	02/01/15 04/30/15	Y G	See Note 3 TIP Amend 13-04	G
52	ALA130008	STP	San Leandro \$804	San Leandro Boulevard Preservation Con	14/15	Submit Req for Auth Obligate Funds	02/01/15 04/30/15	Y G	See Note 3 TIP Amend 13-06	G

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Federal Timely Use of Funds Report  
 Federally-Funded Locally-Sponsored Alameda County Projects

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**Yellow Zone Projects (cont.)**

Index	PP No.	Sponsor	Project Title	Source	Prog'd Amount (\$x 1,000)	Phase	FY	Required Activity	Date Req'd By	Zone	Notes	Prev Zone
53	ALA130023	Union City	Whipple Road Pavement Rehabilitation	STP	\$651	Con	14/15	Submit Req for Auth	02/01/15	Y	See Note 3	R
								Obligate Funds	04/30/15	G		
				STP	\$18	PE	13/14	Liquidate Funds	06/30/18	G	\$18 Obligated 11/27/13	

*End of Yellow Zone*

**Green Zone Projects**

Index	TIP ID	Sponsor	Project Title	Source	Prog'd Amount (\$x 1,000)	Phase	FY	Required Activity	Date Req'd By	Zone	Notes	Prev Zone
54	ALA110119	AC Transit	AC Transit: Spectrum Ridership Growth	CMAQ	\$1,803	Con	14/15	Liquidate Funds	06/30/18	G	See Note 3 \$1,803 Obligated 12/12/13	G
55	ALA110025	Alameda	Alameda - Otis Drive Rehabilitation	STP	\$837	Con	10/11	Liquidate Funds	06/30/15	G	\$837 Obligated 3/8/11 Awarded 5/17/11	G
56	ALA110009	Alameda CTC	Bikemobile - Bike Repair and Encouragement Vehicle	CMAQ	\$500	Con	10/11	Liquidate Funds	06/30/15	G	\$500 Obligated 3/29/11 Obligated w/ALA110033	G
57	ALA110033	Alameda CTC	Alameda County Safe Routes to School	CMAQ (OBAG)	\$2,000	Con	13/14	Liquidate Funds	06/30/18	G	TIP Amend 13-05	G
				CMAQ (RSRTS)	\$2,673	Con	13/14	Liquidate Funds	06/30/18	G		
				STP (RSRTS)	\$1,000	Con	13/14	Liquidate Funds	06/30/18	G	\$5,673 Obligated 10/24/13	
				CMAQ (RSRTS)	\$620	Con	10/11	Liquidate Funds	06/30/15	G		
				CMAQ	\$1,669	Con	10/11	Liquidate Funds	06/30/15	G	\$2,689 Obligated 3/29/11	
				STP	\$400	Con	10/11	Liquidate Funds	06/30/15	G	Obligated w/ALA110009	
58	ALA110122	Alameda CTC	Local PDA Planning - Alameda	STP	\$3,905	Con	15/16	Liquidate Funds	06/30/18	G	\$3,905 Obligated 10/29/13 TIP Amend 13-06	G
59	ALA110030	Albany	Albany - Buchanan Bicycle and Pedestrian Path	CMAQ	\$1,702	Con	11/12	Liquidate Funds	06/01/18	G	\$1,702 Obligated 6/1/12 Contract Awd 10/15/12 1st Invoice dated 5/14/13 Fed-Aid No. 5178(012)	G

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 Federally-Funded Locally-Sponsored Alameda County Projects

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**Green Zone Projects (cont.)**

Index	TIP ID	Sponsor	Project Title	Source	Prog'd Amount (\$x 1,000)	Phase	FY	Required Activity	Date Req'd By	Zone	Notes	Prev Zone
60	ALA110039	Albany	Albany - Pierce Street Pavement Rehabilitation	STP	\$117	Con	10/11	<b>Project Being Removed from Report</b> Final Invoice/ROE dated June 2012			Contract Awd 7/12/11 \$117 Obligated 5/2/11	G
61	ALA090068	BART	MacArthur BART Plaza Remodel	CMAQ	\$626	Con	10/11				\$626 Obligated 3/16/11 Transferred to FTA Grant	G
62	ALA110038	BART	BART - West Dublin BART Station Ped Access Imps	CMAQ	\$21	PE	10/11				\$21 Obligated 2/2/11	G
				CMAQ	\$839	Con	10/11				\$839 Obligated 2/2/11 Transferred to FTA Grant	
63	ALA110022	Berkeley	Berkeley - Sacramento St Rehab - Dwight to Ashby	STP	\$955	Con	10/11	<b>Project Being Removed from Report</b> Final Invoice/ROE dated Aug 2012			\$955 Obligated 3/18/11 Contract Awd 7/19/11	G
64	ALA130028	Berkeley	Hearst Avenue Complete Streets	STP	\$2,156	Con	15/16	Submit Req for Auth Obligate Funds	11/01/15 01/31/16	G G	TIP Amend 13-12	R
65	ALA130035	Berkeley	Bay Trail Shoreline Access Staging Area Project	STP	\$500	Con	15/16	Submit Req for Auth Obligate Funds	11/01/15 01/31/16	G G	TIP Amend 13-16	R
66	ALA110034	Dublin	West Dublin BART Golden Gate Drive Streetscape	CMAQ	\$580	Con	11/12	Liquidate Funds	06/01/18	G	\$580 Obligated 6/1/12 Contract Awd 9/18/12	R
				CMAQ	\$67	PE	10/11	Liquidate Funds	03/18/17	G	\$67 Obligated 3/18/11	
67	HSIP4-04-020	Fremont	Fremont Blvd / Eggers Dr	HSIP	\$275	Con	13/14	Liquidate Funds	06/30/16	G	See Note 2 \$275 Obligated 1/24/14	R
					\$41	PE	Prior				Obligated 11/8/11	
68	HSIP4-04-022	Fremont	Fremont Blvd / Alder Ave	HSIP	\$348	Con	13/14	Liquidate Funds	06/30/16	G	See Note 2 \$348 Obligated 12/31/13	R
					\$43	PE	Prior				Obligated 11/8/11	
69	HSIP5-04-007	Hayward	West "A" Street between Hathaway and Garden	HSIP	\$139	CON	13/14	Submit Req for Auth Obligate Funds	08/23/15 11/23/15	G G	See Note 2	
				HSIP	\$22	PE	12/13	Liquidate Funds	06/30/18	G	\$22 Obligated 2/18/14	R

Federal Timely Use of Funds Report  
 Federally-Funded Locally-Sponsored Alameda County Projects

Status Date: May 31, 2014

**Green Zone Projects (cont.)**

Index	TIP ID	Source	Sponsor Prog'd Amount (\$x 1,000)	Project Title Phase FY	Required Activity	Date Req'd By	Zone	Notes	Prev Zone
70	ALA110013	CMAQ	Livermore \$1,566	Iron Horse Trail Extension in Downtown Livermore Con 11/12	Liquidate Funds	04/04/18	G	\$1,241 Obligated 4/4/12 Contract Awd 7/23/12 First Invoice Dated 2/8/13 TLC Project Fed Aid (025)	G
71	ALA110015	CMAQ	Livermore \$176	Livermore Downtown Lighting Retrofit Con 10/11	Liquidate Funds	04/04/17	G	\$176 Obligated 4/4/11 Billing 1 dated 2/22/12 Fed Aid (024)	G
72	ALA110023	STP	Livermore \$1,028	Livermore - 2011 Various Arterials Rehab Con 10/11	Liquidate Funds	03/21/17	G	\$1,028 Obligated 3/21/11 Billing 1 dated 2/22/12 Fed Aid (023)	G
73	ALA110016	STP	Newark \$682	Newark - Cedar Blvd and Jarvis Ave Pavement Rehab Con 11/12	Liquidate Funds	02/17/18	G	\$682 Obligated 2/17/12 1st Invoice 11/28/12	G
74	ALA110006	STP	Oakland \$3,492	Various Streets Resurfacing and Bikeway Facilities Con 11/12	Liquidate Funds	02/16/18	G	\$3,492 Obligated 2/16/12 Awd 12/4/12	G
		STP	\$560	PE 10/11	Liquidate Funds	02/22/17	G	\$560 Obligated 2/22/11	
75	ALA110029	CMAQ	Oakland \$2,200	Oakland Foothill Blvd Streetscape Con 11/12	<b>Project Being Removed from Report</b> Funds transferred to ALA130024				R
76	ALA110014	CMAQ	Oakland \$1,700	Oakland - MacArthur Blvd Streetscape Con 10/11	Liquidate Funds	04/27/17	G	\$1.7M Obligated 4/27/11 Contract Dated 8/19/11	G
77	ALA130014	CMAQ	Oakland \$3,288	7th Street West Oakland Transit Village, Phase II Con 15/16	Submit Req for Auth Obligate Funds	11/01/15 01/31/16	G G	TIP Amend 13-04	G
78	HSIP4-04-005	HSIP	Oakland \$345 \$71	San Pablo Ave - West St - W. Grand Ave Intersections Con 13/14 PE Prior	Liquidate Funds	06/30/16	G	See Note 2 \$345 Obligated 2/20/14 Obligated 1/23/12	R
79	HSIP4-04-011	HSIP	Oakland \$398 \$87	Bancroft Ave - 94th Ave Improvements Con 13/14 PE Prior	Liquidate Funds	06/30/16	G	See Note 2 \$398 Obligated 2/20/14 Obligated 1/23/12	R
80	HSIP4-04-012	HSIP	Oakland \$738 \$162	Hegenberger Rd Intersections Con 13/14 PE Prior	Liquidate Funds	06/30/16	G	See Note 2 \$738 Obligated 2/20/14 Obligated 1/25/12	R

Federal Timely Use of Funds Report  
 Federally-Funded Locally-Sponsored Alameda County Projects

Status Date: May 31, 2014

**Green Zone Projects (cont.)**

Index	TIP ID	Sponsor	Project Title	Source	Prog'd Amount (\$x 1,000)	Phase	FY	Required Activity	Date Req'd By	Zone	Notes	Prev Zone
81	ALA110021	Pleasanton	Pleasanton Various Streets Pavement Rehab	STP	\$876	Con	10/11	Liquidate Funds	04/14/17	G	\$876 Obligated 4/14/11 Final Inv/Rep 10/30/12 Final Rep returned Prog Billing Dated 4/30/13	G
82	ALA110031	Pleasanton	Pleasanton - Foothill/I-580/IC Bike/Ped Facilities	CMAQ	\$709	Con	12/13	Liquidate Funds	05/01/19	G	\$709 Obligated 5/1/13 Contract Awd 8/20/13	R
83	ALA110020	San Leandro	San Leandro - Marina Blvd Rehabilitation	STP	\$807	Con	10/11	Liquidate Funds	03/29/17	G	\$807 Obligated 3/29/11 Contract Awd 5/5/11	G
84	HSIP5-04-019	San Leandro	Bancroft Ave/ Sybil Ave	HSIP	\$380	Con	15/16	Submit Req for Auth	08/23/15	G	See Note 2	G
								Obligate Funds	11/23/15	G	See Note 3	
								Liquidate Funds	05/23/17	G		
								Complete Closeout	11/23/17	G		
	HSIP				\$69	PE	12/13				\$69 Obligated 7/16/13	
85	SRTS3-04-017	San Leandro	Multiple Schools Bicycle and Pedestrian Safety	SRTS	\$410	Con	11/12	Liquidate Funds	03/06/16	G	See Note 2	G
								Complete Closeout	09/06/16	G	\$410 Obligated 3/22/12	
86	ALA110017	Union City	Union City - Dyer Street Rehabilitation	STP	\$861	Con	10/11	Liquidate Funds	04/13/17	G	\$861 Obligated 4/13/11 Contract Awd 6/14/11	G
87	ALA110028	Union City	Union City Blvd Corridor Bicycle Imp. Phase 1	CMAQ	\$860	Con	11/12	Liquidate Funds	03/22/18	G	\$860 Obligated 3/22/12 Contract Awd 6/12/12	G
88	ALA110036	Union City	Union City BART East Plaza Enhancements	CMAQ	\$4,450	Con	10/11	Liquidate Funds	02/02/17	G	\$4,450 Obligated 2/2/11 Contract Awd 6/28/11 FTA CA-95-X157	G
89	HSIP5-04-030	Union City	Alvarado Road between Decoto & Mann	HSIP	\$288	CON	13/14	Submit Req for Auth	08/23/15	G	See Note 2	R
								Obligate Funds	11/23/15	G		
	HSIP				\$62	PE	12/13	Liquidate Funds	06/30/16	G	\$62 Obligated 11/27/13	

*End of Green Zone*

### Monitoring Report Notes

**Notes:**

- 1** MTC Reso 3606 deadline or the Safety Program Monitoring date is before the status date of this report. Sponsor is working with Caltrans, MTC and Alameda CTC to expedite/complete the required activity.
- 2** HSIP, SRTS and HRRR projects (aka "local safety projects") are subject to the provisions included in *Project Delivery Requirements for Local Safety Programs* adopted by Caltrans Division of Local Assistance. The most recent update of the requirements is dated November 6, 2013 and included the removal of the "Project Closeout" requirement which had been included in previous versions. The Local Assistance requirements for local safety projects may be different than the timely use of funds provisions included in MTC Reso 3606. The values for "Date Req'd By" shown in this report are based on the Safety Program Delivery Status Reports - Complete Project Listing available from Caltrans Local Programs at [www.dot.ca.gov/hq/LocalPrograms/HSIP/delivery\\_status.htm](http://www.dot.ca.gov/hq/LocalPrograms/HSIP/delivery_status.htm). For the purposes of this monitoring report, the Submit Request for Authorization dates are set to three months prior to the date shown for authorization in the Safety Program Delivery Status Reports. The November 2013 update of the project delivery requirements replaced the Project Closeout requirement with the following provision, "Agencies should follow Office of Project Delivery and Accountability's guidance regarding inactive projects and close out safety projects on time." The guidance referenced, along with the November 2013 update of the project delivery requirements are available via links on the same web page as the delivery status reports. For the purposes of this monitoring report, the Liquidate Funds date is set to two State fiscal years following the fiscal year during which the funds were obligated.
- 3** Funds approved prior to January 22, 2014 and programmed in federal fiscal years prior to FFY 15/16 are not subject to the revised deadlines for Request For Authorization (RFA)/ FTA Transfer Submittal or Obligation/Authorization/Transfer to FTA approved with Resolution 3606 (Revised) on January 22, 2014. For these funds, the submittal deadline for RFA/FTA Transfer packages will remain February 1 of the FFY in which the funds are programmed, and the Obligation/Transfer deadline will remain April 30 of the FFY in which the funds are programmed. All other funds, including currently approved funds in FFY 15/16 or later and funds approved after January 22, 2014, are subject to all of the provisions of Resolution 3606 (Revised) approved on January 22, 2014.

Appendix A			
Federal At Risk Report Zone Criteria			
Required Activities per Resolution 3606 (Revised January 22, 2014)			
Required Activities Monitored by CMA <sup>(1)</sup>	Criteria Timeframes for Required Activities		
	Red Zone	Yellow Zone	Green Zone
Request Project Field Review	Project in TIP for more than nine (9) months, or obligation deadline for Con funds within 15 months.	Project in TIP for less than nine (9) months, and obligation deadline for Con funds more than 15 months away.	All conditions other than Red or Yellow Zones
Submit Environmental Package	NA	NA	NA
Approved DBE Program and Methodology	NA	NA	NA
Submit Request for Authorization (PE)	within three (3) months	within three (3) to six (6) months	All conditions other than Red or Yellow Zones
Submit Request for Authorization (R/W)	within four (4) months	within four (4) to nine (9) months	All conditions other than Red or Yellow Zones
Submit Request for Authorization (Con)	within six (6) months	within six (6) to nine (9) months	All conditions other than Red or Yellow Zones
Obligation/ FTA Transfer	within two (2) months	within two (2) to six (6) months	All conditions other than Red or Yellow Zones
Advertise Construction Contract	within three (3) months	within three (3) to six (6) months	All conditions other than Red or Yellow Zones
Award Contract	within six (6) months	within six (6) to nine (9) months	All conditions other than Red or Yellow Zones
Award into FTA Grant	within two (2) months	within two (2) to four (4) months	All conditions other than Red or Yellow Zones
Liquidate Funds	within four (4) months	within four (4) to nine (9) months	All conditions other than Red or Yellow Zones Move to Appendix D
Project Closeout	within four (4) months	within four (4) to nine (9) months	All conditions other than Red or Yellow Zones
Other Zone Criteria			
Red Zone	Projects with funds programmed in the same FY for both a project development phase (i.e. Env or PSE) and a capital phase (i.e. R/W or Con) without the project development phase(s) obligated.		
Yellow Zone	Projects with an Amendment to the TIP pending.		
Notes: <sup>1</sup> See Appendix B for more information about the Required Activities and Resolution 3606.			



**Appendix B**  
**Definitions of the Required Activities per Resolution 3606 (As revised January 22, 2014)**

<b>Index</b>	<b>MTC Resolution 3606 Requirement (as adopted January 22, 2014)</b>
<b>1</b>	<b>Request Project Field Review (Req Field Rev)</b>
	Implementing agencies are required to submit a Request for Field Review from Caltrans Local Assistance within 12 months of approval of the project in the TIP (See Note 1), but no less than 12 months prior to the obligation deadline of construction funds. This policy also applies to federal-aid projects in the STIP. The requirement does not apply to projects for which a field review would not be applicable, such as FTA transfers, regional operations projects and planning activities. Completed field review forms must be submitted to Caltrans in accordance with Caltrans Local Assistance procedures.
<b>2</b>	<b>Environmental Submittal Deadline (Sub ENV package) (See Note 2)</b>
	Implementing agencies are required to submit a complete Preliminary Environmental Study (PES) form with attachments to Caltrans for all projects no later than twelve months prior to the obligation deadline for right of way or construction funds. If the environmental process, as determined at the field review, will take longer than 12 months before obligation, the implementing agency is responsible for delivering the complete environmental submittal in a timely manner.
<b>3</b>	<b>Request For Authorization (RFA) or FTA Transfer Submittal Deadline (Sub Req for Auth) (See Note 3)</b>
	Implementing agencies are required to submit a complete Request for Authorization (RFA), or Request for FTA Transfer, package to Caltrans Local Assistance by November 1 of the Federal Fiscal Year (FFY) in which the federal funds are programmed in the TIP.
<b>4</b>	<b>Obligation/Authorization or Transfer to FTA Deadline (Obligate Funds) (See Note 3)</b>
	Federal Regional Discretionary Funding must be obligated/authorized, or transferred to a FTA grant, by January 31 of the Federal Fiscal Year (FFY) in which the federal funds are programmed in the TIP.
<b>5</b>	<b>Program Supplement Agreement (PSA) Deadline (See Note 2)</b>
	Implementing agencies must execute and return the Program Supplement Agreement (PSA) to Caltrans within 60 days following receipt of the PSA from Caltrans. It is expected that Caltrans will initiate the PSA within 30 days of obligation. The implementing agency should contact Caltrans if the PSA is not received from Caltrans within 30 days of the obligation. The PSA must be fully executed within six months from the date of obligation. This requirement does not apply to FTA transfers.
<b>6</b>	<b>Construction Advertisement/Award Deadline (Advertise Contract) and (Award Contract)</b>
	Implementing agencies must advertise construction or equipment purchase contracts within 3 months of the obligation date, and award the construction or equipment purchase contract within 6 months of the obligation date (or allocation date for funds administered by the CTC). Agencies must submit the complete award package immediately after contract award and prior to submitting the first invoice to Caltrans in accordance with Caltrans Local Assistance procedures. For FTA projects, funds must be approved/awarded in an FTA Grant within one federal fiscal year following the federal fiscal year in which the funds were transferred to FTA.

**Appendix B (cont.)**

**Definitions of the Required Activities per Resolution 3606 (As revised January 22, 2014)**

<b>Index</b>	<b>MTC Resolution 3606 Requirement (as adopted January 22, 2014)</b>
<b>7</b>	<b>Regional Invoicing and Reimbursement Deadlines - Inactive Projects (See Note 2)</b>
	Implementing agencies are required to submit a request for reimbursement at least once every 6 months from the time of obligation (E-76 authorization). Projects that have not received a reimbursement of federal funds in the previous 12 months are considered inactive with the remaining un-reimbursed balance subject to de-obligation by the FHWA with no guarantee the funds will be available to the project sponsor.
<b>8</b>	<b>State Liquidation Deadline (Liquidate Funds)</b>
	Implementing agencies must liquidate obligated funds in accordance with California Government Codes 16304.1, 16304.3, and the Local Assistance Procedures Manual. Generally, federal funds must be liquidated (i.e. fully expended, invoiced and reimbursed) within 4 state fiscal years following the fiscal year in which the funds were appropriated. CTC-administered funds must be expended within 2 state fiscal years following the fiscal year in which the funds were allocated. This requirement does not apply to FTA transfers.
<b>9</b>	<b>Project Completion / Closeout Deadline (Complete Project)</b>
	Implementing agencies must fully expend federal funds on a phase one year prior to the estimated completion date for the phase provided to Caltrans in the Request for Authorization Package. Implementing agencies must submit a Federal Report of Expenditures/Final Invoice package within six months of project completion. Projects must proceed to right of way acquisition or construction within 10 years of federal authorization of the initial phase. Note that funds managed and allocated by the CTC may have different and more stringent funding deadlines. A CTC allocated-project must fully expend those funds within 36 months of the CTC funding allocation.

**Notes:**

- 1 Approval in the TIP: For administrative/ minor TIP Amendments, the approval date is the date of Caltrans approval. For formal TIP Amendments, the approval date is the date of FHWA approval.
- 2 This required activity is not monitored or tracked in the monitoring reports prepared by the Alameda CTC.
- 3 Funds approved prior to January 22, 2014 and programmed in federal fiscal years prior to FFY 15/16 are not subject to the revised deadlines for Request For Authorization (RFA)/ FTA Transfer Submittal or Obligation/Authorization/Transfer to FTA approved with Resolution 3606 (Revised) on January 22, 2014. For these funds, the submittal deadline for RFA/FTA Transfer packages will remain February 1 of the FFY in which the funds are programmed, and the Obligation/Transfer deadline will remain April 30 of the FFY in which the funds are programmed. All other funds, including currently approved funds in FFY 15/16 or later and funds approved after January 22, 2014, are subject to all of the provisions of Resolution 3606 (Revised) approved on January 22, 2014.

**TFCA County Program Manager Funds**  
**Timely Use of Funds Report**  
 Report Date: May 31, 2014

<u>Project No.</u>	<u>Sponsor</u>	<u>Project Title</u>	<u>Balances</u>	<u>Required Activity</u>	<u>Date Due</u>	<u>Activity Completed (Date or Y/N)</u>	<u>Notes</u>
RED ZONE (Milestone deadline within 4 months)							
08ALA01	Alameda CTC	Webster Street Corridor Enhancements Project	TFCA Award	Agreement Executed	1/8/09	12/16/08	3rd extension approved Sept '12 Expenditures complete Final Invoice to be received Final Report due Jun '14
			\$ 420,000	Project Start	Jan-09	Jun-09	
			TFCA Expended	Expend Deadline Met?	12/22/13	Yes	
			\$ 236,372	Final Report	Jun-14		
				Final Reimbursement	12/31/14		
09ALA01	Alameda CTC	Webster St SMART Corridors	TFCA Award	Agreement Executed	1/7/10	7/7/09	2nd extension approved Sept '12 Expenditures complete Final Invoice to be received Final Report due Jun '14
			\$ 400,000	Project Start	Oct-09	Jul-09	
			TFCA Expended	Expend Deadline Met?	12/22/13	Yes	
			\$ 327,145	Final Report	Jun-14		
				Final Reimbursement	12/31/14		
11ALA08	Hayward	Clawiter Road Arterial Management	TFCA Award	Agreement Executed	1/5/12	02/27/12	Expenditures complete Final Invoice to be received Final Report received
			\$ 190,000.00	Project Start	Dec-12	Feb-12	
			TFCA Expended	Expend Deadline Met?	11/14/13	Yes	
			\$ -	Final Report	Feb-14	Jan-14	
				Final Reimbursement	12/31/14		
11ALA13	Alameda CTC	Alameda County Guaranteed Ride Home (GRH) Program (FYs 11/12 & 12/13)	TFCA Award	Agreement Executed	1/5/12	07/05/11	Expenditures complete Final Invoice to be received Final Report received
			\$ 245,000	Project Start	Dec-12	Jan-12	
			TFCA Expended	Expend Deadline Met?	11/14/13	Yes	
			\$ 123,214	Final Report	Feb-14	Feb-14	
				Final Reimbursement	12/31/14		
GREEN ZONE (Milestone deadline beyond 7 months)							
10ALA02	Alameda CTC	I-80 Corridor Arterial Management	TFCA Award	Agreement Executed	2/17/11	07/09/10	Expenditures complete Final invoice paid Final Report due July '15, after overall I-80 ICM project is operational.
			\$ 100,000	Project Start	Mar-11	Jul-10	
			TFCA Expended	Expend Deadline Met?	10/28/12	Yes	
			\$ 100,000	Final Report	Jul-15		
				Final Reimbursement	12/31/13	Oct-12	
10ALA04	Hayward	Traffic Signal Controller Upgrade and Synchronization	TFCA Award	Agreement Executed	2/17/11	01/26/11	1st extension approved 9/27/12 Expenditures complete Final Report due Sept '15 (2 years post-project)
			\$ 614,000	Project Start	Mar-11	Dec-10	
			TFCA Expended	Expend Deadline Met?	10/28/13	Yes	
			\$ 614,000	Final Report	Sep-15		
				Final Reimbursement	12/31/14	Jan-13	
11ALA01	Alameda	Park Street Corridor Operations Improvement	TFCA Award	Agreement Executed	1/5/12	06/13/12	1st extension approved Oct '13 Expenditure deadline Nov '14 Expenditures not complete Final Report due Feb '15
			\$ 230,900	Project Start	Dec-12	Dec-12	
			TFCA Expended	Expend Deadline Met?	11/14/14		
			\$ -	Final Report	Feb-15		
				Final Reimbursement	12/31/15		
11ALA02	Alameda County	Mattox Road Bike Lanes	TFCA Award	Agreement Executed	1/5/12	01/24/12	1st extension approved Oct '13 Expenditure deadline Nov '14 Expenditures not complete Final Report due Feb '15
			\$ 40,000	Project Start	Dec-12	Dec-12	
			TFCA Expended	Expend Deadline Met?	11/14/14		
			\$ 11,500	Final Report	Feb-15		
				Final Reimbursement	12/31/15		
11ALA07	Hayward	Post-project Monitoring/ Retiming activities for Arterial Mgmt project 10ALA04	TFCA Award	Agreement Executed	1/5/12	06/01/12	1st extension approved Oct '13 Expenditure deadline Nov '14 Expenditures not complete Final Report due Sept '15
			\$ 50,300.00	Project Start	Dec-12	Feb-12	
			TFCA Expended	Expend Deadline Met?	11/14/14		
			\$ -	Final Report	Sep-15		
				Final Reimbursement	12/31/15		
11ALA09	Oakland	Traffic Signal Synchronization along Martin Luther King Jr. Way	TFCA Award	Agreement Executed	1/5/12	03/08/12	1st extension approved Oct '13 Expenditure deadline Nov '14 Expenditures not complete Final Report due date Feb '16 (2 years post-project)
			\$ 125,000	Project Start	Dec-12	May-12	
			TFCA Expended	Expend Deadline Met?	11/14/14		
			\$ 36,489	Final Report	Feb-16		
				Final Reimbursement	12/31/15		

**TFCA County Program Manager Funds**  
**Timely Use of Funds Report**  
Report Date: May 31, 2014

<u>Project No.</u>	<u>Sponsor</u>	<u>Project Title</u>	<u>Balances</u>	<u>Required Activity</u>	<u>Date Due</u>	<u>Activity Completed (Date or Y/N)</u>	<u>Notes</u>
<b>GREEN ZONE (Milestone deadline beyond 7 months), continued</b>							
14ALA01	Alameda County	Fairmont Rd Class II Bike Lanes	TFCA Award	Agreement Executed	Jan-14	4/23/14	Expenditure deadline Oct '16 3-year expenditure period approved Expenditures not complete Final Report due Jan '17
			\$ 90,000	Project Start	Dec-14		
			TFCA Expended	Expend Deadline Met?	10/29/16		
			\$ -	Final Report	Jan-17		
14ALA02	Berkeley	Berkeley Citywide Bicycle Parking Project	TFCA Award	Agreement Executed	Jan-14	3/20/14	Expenditure deadline Oct '15 Expenditures not complete Final Report due Jan '16
			\$ 155,000	Project Start	Dec-14		
			TFCA Expended	Expend Deadline Met?	10/29/15		
			\$ -	Final Report	Jan-16		
14ALA03	East Bay Regional Park District	Iron Horse Trail Dublin/Pleasanton BART Santa Rita Road	TFCA Award	Agreement Executed	Jan-14	11/8/13	Expenditure deadline Oct '15 Expenditures not complete Final Report due Jan '16
			\$ 180,000	Project Start	Dec-14	Jul-13	
			TFCA Expended	Expend Deadline Met?	10/29/15		
			\$ -	Final Report	Jan-16		
14ALA04	Fremont	Arterial Management Stevenson Blvd	TFCA Award	Agreement Executed	Jan-14	2/4/14	Expenditure deadline Oct '15 Expenditures not complete Final Report due Jan '16
			\$ 76,000	Project Start	Dec-14		
			TFCA Expended	Expend Deadline Met?	10/29/15		
			\$ -	Final Report	Jan-16		
14ALA05	Hayward	"A" Street Signal Upgrade and Coordination	TFCA Award	Agreement Executed	Jan-14	4/23/14	Expenditure deadline Oct '15 Expenditures not complete Final Report due Jan '16
			\$ 190,000	Project Start	Dec-14		
			TFCA Expended	Expend Deadline Met?	10/29/15		
			\$ -	Final Report	Jan-16		
14ALA06	Oakland	Adeline St Bikeway Gap Closure	TFCA Award	Agreement Executed	Jan-14	3/20/14	Expenditure deadline Oct '15 Expenditures not complete Final Report due Jan '16
			\$ 51,000	Project Start	Dec-14		
			TFCA Expended	Expend Deadline Met?	10/29/15		
			\$ -	Final Report	Jan-16		
14ALA07	Oakland	CityRacks Bicycle Parking Program Phase 10	TFCA Award	Agreement Executed	Jan-14	3/20/14	Expenditure deadline Oct '15 Expenditures not complete Final Report due Jan '16
			\$ 88,000	Project Start	Dec-14		
			TFCA Expended	Expend Deadline Met?	10/29/15		
			\$ -	Final Report	Jan-16		
14ALA08	Alameda CTC	East Bay Greenway	TFCA Award	Agreement Executed	Jan-14	7/1/13	Expenditure deadline Oct '15 Expenditures not complete Final Report due Jan '16
			\$ 142,000	Project Start	Dec-14		
			TFCA Expended	Expend Deadline Met?	10/29/15		
			\$ -	Final Report	Jan-16		
14ALA09	Pleasanton	Pleasanton Trip Reduction Program (FYs 13/14 and 14/15)	TFCA Award	Agreement Executed	Jan-14	3/20/14	Expenditure deadline Oct '15 Expenditures not complete Final Report due Sept '15
			\$ 118,000	Project Start	Dec-14		
			TFCA Expended	Expend Deadline Met?	10/29/15		
			\$ -	Final Report	Jan-16		
14ALA10	San Leandro	San Leandro LINKS Shuttle (FYs 13/14 and 14/15)	TFCA Award	Agreement Executed	Jan-14	3/20/14	Expenditure deadline Oct '15 Expenditures not complete Final Report due Sept '15
			\$ 60,000	Project Start	Dec-14		
			TFCA Expended	Expend Deadline Met?	10/29/15		
			\$ -	Final Report	Jan-16		
				Final Reimbursement	12/31/16		

**TFCA County Program Manager Funds**  
**Timely Use of Funds Report**  
Report Date: May 31, 2014

<u>Project No.</u>	<u>Sponsor</u>	<u>Project Title</u>	<u>Balances</u>	<u>Required Activity</u>	<u>Date Due</u>	<u>Activity Completed (Date or Y/N)</u>	<u>Notes</u>
GREEN ZONE (Milestone deadline beyond 7 months), continued							
14ALA11	AC Transit	Route 51 Transit Signal Priority (TSP)	TFCA Award	Agreement Executed	Jan-14	4/23/14	Expenditure deadline Oct '15 Expenditures not complete Final Report due Jan '16
			\$ 123,821	Project Start	Dec-14		
			TFCA Expended	Expend Deadline Met?	10/29/15		
			\$ -	Final Report	Jan-16		
				Final Reimbursement	12/31/16		
14ALA12	Alameda CTC	Alameda County Guaranteed Ride Home and Transportation Demand Management Services Information (FYs 13/14 and 14/15)	TFCA Award	Agreement Executed	Jan-14	7/1/13	Expenditure deadline Oct '15 Expenditures not complete FMR due Jan '16
			\$ 270,000	Project Start	Dec-14		
			TFCA Expended	Expend Deadline Met?	10/29/15		
			\$ -	Final Report	Jan-16		
				Final Reimbursement	12/31/16		
14ALA13	Cal State - East Bay	Second BART to Campus Shuttle (FY 13/14)	TFCA Award	Agreement Executed	Jan-14	1/27/14	Expenditure deadline Oct '15 Expenditures not complete Final Report due Sept '14
			\$ 130,000	Project Start	Dec-14		
			TFCA Expended	Expend Deadline Met?	10/29/15		
			\$ -	Final Report	Jan-16		
				Final Reimbursement	12/31/16		
14ALA14	LAVTA	Rte 53 Ace to BART Shuttle (FYs 13/14 and 14/15)	TFCA Award	Agreement Executed	Jan-14	1/21/14	Expenditure deadline Oct '15 Expenditures not complete Final Report due Sept '15
			\$ 120,000	Project Start	Dec-14		
			TFCA Expended	Expend Deadline Met?	10/29/15		
			\$ -	Final Report	Jan-16		
				Final Reimbursement	12/31/16		
14ALA15	LAVTA	Rte 54 Ace to BART Shuttle (FYs 13/14 and 14/15)	TFCA Award	Agreement Executed	Jan-14	1/21/14	Expenditure deadline Oct '15 Expenditures not complete Final Report due Sept '15
			\$ 47,000	Project Start	Dec-14		
			TFCA Expended	Expend Deadline Met?	10/29/15		
			\$ -	Final Report	Jan-16		
				Final Reimbursement	12/31/16		
Completed Projects (will be removed from the next monitoring report)							
09ALA07	AC Transit	Easy Pass Transit Incentive Program	TFCA Award	Agreement Executed	1/7/10	12/03/09	1st extension approved Oct '11 Expenditures complete Final Invoice paid \$14,297 relinquished Final Report received
			\$ 350,000	Project Start	Sep-09	Nov-09	
			TFCA Expended	Expend Deadline Met?	01/13/13	Yes	
			\$ 335,703	Final Report	Apr-13	Apr-13	
				Final Reimbursement	01/31/14	Mar-14	
11ALA06	Fremont	North Fremont Arterial Management	TFCA Award	Agreement Executed	1/5/12	01/04/12	Expenditures complete Final Invoice paid \$84,170 relinquished Final Report received
			\$ 256,000	Project Start	Dec-12	Nov-12	
			TFCA Expended	Expend Deadline Met?	11/14/13	Yes	
			\$ 171,830	Final Report	Feb-14	Feb-14	
				Final Reimbursement	12/31/14	Mar-14	
11ALA03	Albany	Buchanan Bike Path	TFCA Award	Agreement Executed	1/5/12	06/01/12	Expenditures complete Final Invoice paid Final Report received
			\$ 100,000	Project Start	Dec-12	Oct-12	
			TFCA Expended	Expend Deadline Met?	11/14/13	Yes	
			\$ 100,000	Final Report	Feb-14	Mar-14	
				Final Reimbursement	12/31/14	04/30/14	
12ALA02	Pleasanton	Pleasanton Trip Reduction Program (FY 12/13)	TFCA Award	Agreement Executed	2/1/13	2/6/13	Expenditures complete Final Invoice paid Final Report received
			\$ 57,507	Project Start	Dec-13	Jul-12	
			TFCA Expended	Expend Deadline Met?	10/17/14	Yes	
			\$ 57,507	Final Report	Jan-14	Feb-14	
				Final Reimbursement	12/31/15	Apr-14	

**Report Milestone Notes**

Agreement Executed = Date TFCA Agreement executed by Alameda CTC  
Project Start = Date of project initiation  
Expend Deadline Met? = Expenditures were completed by established deadline (Yes/No)  
Final Report = Date final project report received by Alameda CTC  
Final Reimbursement = Date final invoice paid by Alameda CTC

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# Memorandum

6.3

1111 Broadway, Suite 800, Oakland, CA 94607

• PH: (510) 208-7400

• www.AlamedaCTC.org

**DATE:** May 5, 2014

**SUBJECT:** Alameda County Federal Inactive Projects List: April 2014 Update

**RECOMMENDATION:** Receive an update on the April 2014 Alameda County Federal Inactive Projects

## Summary

Federal regulations require that agencies receiving federal funds invoice against their obligations at least once every six months. Projects that do not have invoicing activity over a six month period are placed on the Inactive Obligation list, and those projects are at risk of deobligation of the project's federal funds unless Caltrans and the Federal Highways Administration (FHWA) receive either an invoice or a valid justification for inactivity. Caltrans is tracking inactive obligations, and updating a list of inactive projects every week. If Caltrans and FHWA do not receive adequate invoicing or justification for the project's inactivity, the project may be deobligated.

## Background

In response to FHWA's new guidance for processing Inactive Obligations, Caltrans developed new guidelines for managing federal inactive obligations. The new guidelines treat all federal-aid as well as the American Recovery and Reinvestment Act (ARRA) inactive projects equally. In order to manage these changes more proactively Caltrans changed the management of "inactive projects" as follows beginning July 1, 2013:

- If the Department does not receive an invoice for more than six months, the project will be deemed "inactive" and posted on the Department's website. Local Agencies will be notified the first time projects are posted.
- If the Department does not receive an invoice within the following six months (12 months without invoicing), the Department will deobligate the unexpended balances.
- It is the responsibility of the local agencies to work in collaboration with their respective District Local Assistance Engineer's to ensure their projects are removed from the list to avoid deobligation.
- The Inactive project listing is posted at the following website and will be updated weekly: <http://www.dot.ca.gov/hq/LocalPrograms/Inactiveprojects.htm>

**Fiscal Impact:** There is no fiscal impact due to this item. This is information only.

**Attachments**

- A. Alameda County List of Federal Inactive Projects Report dated 04/17/14
- B. Justification Form

**Staff Contact**

[Stewart Ng](#), Deputy Director of Programming and Projects

[Vivek Bhat](#), Senior Transportation Engineer



ALAMEDA COUNTY LIST OF INACTIVE OBLIGATIONS

UPDATED BY CALTRANS ON APRIL 17, 2014

Updated on 04/17/2014



Project No (newly added projects highlighted in GREEN)	Status	Agency/District Action Required	Prefix	Agency	Description	Latest Date	Authorization Date	Last Expenditure Date	Last Action Date	Total Cost	Federal Funds	Expenditure Amt	Unexpended Bal
5012087	Inactive	Submit invoice to District by 05/20/2014	CML	Oakland	66TH AVE.FROM SAN LEANDRO TO INTERNATION BLVD, STREETSCAPE	5/9/2013	3/30/2009	5/9/2013	5/9/2013	\$2,067,126.00	\$1,230,000.00	\$1,193,441.26	\$36,558.74
5012100	Inactive	Invoice returned to agency. Resubmit to District by 05/20/2014	ESPLE	Oakland	7TH STREET FROM UNION TO PERALTA STREETS, PEDESTRAIN STREETSCAPE IMPROVE	4/10/2013	8/4/2009	4/10/2013	4/10/2013	\$4,070,044.00	\$3,630,000.00	\$3,470,509.81	\$159,490.19
5012121	Inactive	Invoice under review by Caltrans. Monitor for progress.	BPMP	Oakland	HEGENBERGER RD. OVER SAN LEANDRO STREET- BRIDGE # 33C0202, BRIDGE PREVENTIVE MAINTENANCE	4/29/2013	4/29/2013		10/22/2013	\$761,250.00	\$673,935.00	\$0.00	\$673,935.00
5014037	Inactive	Invoice under review by Caltrans. Monitor for progress.	HSIPL	Alameda	SHORELINE DRIVE, WESTLINE DR, AND BROADWAY, REDUCE TRAVEL LANES FROM 4 TO 2; INSTALL BIKE LNS	4/18/2013	1/18/2012	4/18/2013	3/5/2014	\$831,454.00	\$416,400.00	\$64,542.79	\$351,857.21
5101026	Inactive	Submit invoice to District by 05/20/2014	CML	Pleasanton	I-580 AT FOOTHILL RD, FOOTHILL RD: CANYON WAY NORTH TO I-580 WB RAMP, REALIGN FREEWAY RAMPS FOR BIKE LANE GAP CLOSER	4/30/2013	4/30/2013		5/10/2013	\$3,960,000.00	\$709,000.00	\$0.00	\$709,000.00
5933028	Inactive	Submit invoice to District by 05/20/2014	STPLZ	Alameda County	OAKLAND ESTUARY (FRUITVALE AV) BR NO 33C-0147, SEISMIC RETROFIT	6/27/2013	9/1/1996	6/27/2013	6/27/2013	\$561,250.00	\$488,021.00	\$466,237.38	\$21,783.62
6273053	Inactive	Submit invoice to District by 05/20/2014	HPLUN	Alameda County Congestion Management Agency	I-880- 23RD. AVE. TO 29TH. AVE. INTERCHANGES,, REPLACE INTERCHANGES- OVERCROSS	4/11/2013	5/8/2009	4/11/2013	4/11/2013	\$2,249,750.00	\$1,787,000.00	\$1,628,695.47	\$158,304.53
6273061	Inactive	Submit invoice to District by 05/20/2014	IMDL	Alameda County Congestion Management Agency	I-580- EAST OF OVER CROSSING OF ISABEL AVE.- TO FIRST ST. IN CITY OF LIVERMORE, WIDEN THE EASTBOUND INTERSTATE 580 FOR AUX. LANES	6/13/2013	5/31/2011	6/13/2013	6/13/2013	\$1,525,000.00	\$225,000.00	\$219,947.58	\$5,052.42
6273063	Inactive	Submit invoice to District by 05/20/2014	IMDL	Alameda County Congestion Management Agency	I-580 WESTBOUND; W.OF GREENVILLE RD. O.C. TO W.OF SAN RAMON RD./ FOOTHILL O.C., PRELIMINARY ENGINEERING	6/13/2013	7/22/2011	6/13/2013	6/13/2013	\$12,600,000.00	\$250,000.00	\$243,149.99	\$6,850.01
6273065	Inactive	Submit invoice to District by 05/20/2014	STPCML	Alameda County Congestion Management Agency	COUNTY WIDE, IMPLEMENT A COUNTYWIDE SR2S PROGRAM	5/14/2013	3/29/2011	5/14/2013	5/14/2013	\$3,604,000.00	\$3,189,000.00	\$1,312,825.06	\$1,876,174.94
5012088	Future	Submit invoice to District by 08/20/2014	CML	Oakland	FRUITVALE AVE. , STREETSCAPE	9/19/2013	3/9/2009	9/19/2013	9/19/2013	\$3,406,270.00	\$2,620,000.00	\$2,256,421.23	\$363,578.77

**ALAMEDA COUNTY LIST OF INACTIVE OBLIGATIONS**

**UPDATED BY CALTRANS ON APRIL 17, 2014**

**Updated on 04/17/2014**

Project No (newly added projects highlighted in GREEN)	Status	Agency/District Action Required	Prefix	Agency	Description	Latest Date	Authorization Date	Last Expenditure Date	Last Action Date	Total Cost	Federal Funds	Expenditure Amt	Unexpended Bal
5012090	Future	Submit invoice to District by 08/20/2014	STPLZ	Oakland	PARK BLVD VIADUCTS 1, 2 & 3, BRIDGE # 33C0178, 33C0179 & 33C0180, SEISMIC RETROFIT	9/18/2013	8/17/2010	9/18/2013	9/18/2013	\$2,048,267.00	\$1,813,331.00	\$1,655,632.84	\$157,698.16
5012101	Future	Submit invoice to District by 08/20/2014	ESPL	Oakland	VARIOUS STREET AND ROADWAY REHAB., AC OVERLAY	8/7/2013	11/18/2009	8/7/2013	8/7/2013	\$1,258,949.00	\$1,255,000.00	\$1,113,896.87	\$141,103.13
5014040	Future	Invoice returned to agency. Resubmit to District by 08/20/2014	TCSPL	Alameda	INTERSECTIONS OF PARK ST/LINCOLN AVE AND PARK ST/BUENA VISTA AVE, PEDESTRIAN SAFETY TRANSPORTATION IMPROVEMENTS	9/18/2013	3/22/2013	9/18/2013	9/18/2013	\$319,633.00	\$282,885.00	\$27,950.60	\$254,934.40
5041042	Future	Invoice returned to agency. Resubmit to District by 08/20/2014	HSIPL	San Leandro	BANCROFT AVE./ SYBIL AVE., UPGRADE TRAFFIC SIGNALS	7/16/2013	7/16/2013		7/16/2013	\$77,000.00	\$69,300.00	\$0.00	\$69,300.00
5053025	Future	Invoice returned to agency. Resubmit to District by 08/20/2014	CML	Livermore	DOWNTOWN LIVERMORE, BIKE & PED. TRAIL	7/25/2013	4/4/2012	7/25/2013	7/25/2013	\$1,154,649.00	\$1,153,989.00	\$905,049.03	\$248,939.97
5057038	Future	Submit invoice to District by 08/20/2014	RPSTPLE	Berkeley	BERKELEY BAYTRAIL EXTENSION, WALKWAY & BIKELANE (TC)	7/11/2013	2/16/2012	7/11/2013	7/11/2013	\$1,870,199.00	\$1,860,199.00	\$1,093,398.84	\$766,800.16
5178013	Future	Invoice under review by Caltrans. Monitor for progress.	SRTSLNI	Albany	ELEMENTARY SCHOOLS IN CITY OF ALBANY, SAFE ROUTES TO SCHOOL PROGRAM	9/18/2013	8/16/2012	9/18/2013	9/18/2013	\$200,000.00	\$185,000.00	\$26,591.11	\$158,408.89
5354030	Future	Final Invoice under review by Caltrans. Monitor for progress.	CML	Union City	UNION CITY BLVD. - FROM SMITH ST. TO 600' SOUTH OF ALVARADO BLVD., WIDENING TO ALLOW BICYCLE LANES AND RELATED IMPRO	8/20/2013	3/22/2012	8/20/2013	8/20/2013	\$1,605,101.00	\$860,000.00	\$763,467.21	\$96,532.79
5432017	Future	Final Invoice under review by Caltrans. Monitor for progress.	HPLUL	Dublin	DUBLIN BLVD. - BETWEEN DOUGHTERY ROAD TO SCARLET, RESURFACE	9/25/2013	11/8/2012	9/25/2013	9/25/2013	\$297,000.00	\$297,000.00	\$215,127.69	\$81,872.31
5933119	Future	Submit invoice to District by 08/20/2014	SRTSL	Alameda County	MULTIPLE SCHOOLS IN EAST AND WEST OAKLAND, IMPLEMENT GOLDEN SNEAKERS PROGRAM, SAFETY PATROLS	9/25/2013	8/3/2012	9/25/2013	9/25/2013	\$500,000.00	\$500,000.00	\$35,190.82	\$464,809.18
6000025	Future	Submit invoice to District by 08/20/2014	STPLZ	San Francisco Bay Area Rapid Transit District	VARIOUS BART AERIAL STRUCTURES IN 4 COUNTIES., RETROFIT OF BART AERIAL STRUCTURES	7/9/2013	8/3/2005	7/9/2013	7/9/2013	\$30,242,133.00	\$24,756,014.00	\$19,495,915.87	\$5,260,098.13
6480003	Future	Submit invoice to District by 08/20/2014	TGR2DGL	Alameda County Transportation Commission	SAN LEANDRO ST. OAKLAND COLISEUM BART TO 85TH AVE., BICYCLE AND PEDESTRIAN PATH	9/17/2013	9/17/2012	9/17/2013	9/17/2013	\$1,348,000.00	\$1,078,400.00	\$12,880.53	\$1,065,519.47

		<b>QUARTERLY REVIEW OF INACTIVE PROJECTS JUSTIFICATION FORM SUMMARY</b>			
1. CT DIST - FEDERAL AID PROJECT NO.		2. STATE PROJECT NUMBER	3. RESPONSIBLE AGENCY		4. DATE
5. GENERAL LOCATION					
6. GENERAL DESCRIPTION OF WORK (INCLUDE PROJECT PHASES WITH OBLIGATED FUNDS)					
7. AUTHORIZATION DATE	8. FEDERAL-AID FUNDS AUTHORIZED	9. PGM CODE	10. PHASE (from E-76)	11. FEDERAL FUNDS EXPENDED TO DATE	12. UNEXPENDED FEDERAL FUNDS
TOTAL:					
13. LAST ACTIVITY (BILLING DATE)					
Important note: Caltrans and/or FHWA reserve the right to reject a Justification and deobligate the Federal Funds.					
14. JUSTIFICATION (CHECK ONE OR MORE IF APPLICABLE)					
<div><input type="checkbox"/> Litigation Filed</div> <div><input type="checkbox"/> Environmental Delays</div> <div><input type="checkbox"/> Right of way, Utility Relocation Delays</div> <div>Justification Forms without proper supporting documents will be rejected and returned to Agencies by Caltrans. Decision to accept or reject a Justification may be based exclusively on this form and supporting documentation.</div>					
15. LIST PROJECT HISTORY FROM INITIAL AUTHORIZATION OR FROM LAST BILLING. LIST CURRENT PROJECT STATUS/REASON FOR PROJECT BEING INACTIVE. PROVIDE BACKUP DOCUMENTATION.					
16. ACTIONS TAKEN TO RESOLVE EXISTING ISSUE(S)					
17. DATE ACTIVITIES TO BE RESUMED			18. DATE BILLINGS OR OTHER CORRECTIVE ACTION TO BE TAKEN (e.g. closure, withdrawal, et		
19. CURRENT COST ESTIMATE NEEDED TO COMPLETE PROJECT			20. IF ESTIMATE IS LESS THAN UNEXPENDED BALANCE, AMOUNT TO BE DEOBLIGATED (Attach copy of E-76 requesting deobligation)		
21. CONSEQUENCES IF FUNDS ARE DEOBLIGATED					
22. ADDITIONAL DOCUMENTATION (LIST ATTACHMENTS) TO SUPPORT VALIDATION OF THIS OBLIGATION					
23. AGENCY CONTACT	EMAIL	SIGNATURE	PHONE NUMBER	DATE	
24. FORM REVIEWED AND RECOMMENDED FOR APPROVAL BY:					
CT DISTRICT CONTACT NAME/TITLE		SIGNATURE	PHONE NUMBER	DATE	



## QUARTERLY REVIEW OF INACTIVE PROJECTS JUSTIFICATION FORM SUMMARY



**Please go through the check list before submitting your justification form  
( **DO NOT** leave anything blank )**

#	Information Required	Additional Information	Check
1	Enter the District number and federal project number (including the project prefix, <b>e.g.</b> STPL)		
2	Enter State Project Number, if applicable		
3	Enter Responsible Agency		
4	Enter date you've completed the form		
5	Enter route information and location description		
6	Enter work description including project phases with obligated funds		
7	Enter date when funds were authorized. Use a separate line for each phase with authorized federal funds	Refer to the current inactive list/file posted in the web <a href="http://www.dot.ca.gov/hq/LocalPrograms/InactiveProjects/QuarterlyReviewofInactiveProjects.htm">http://www.dot.ca.gov/hq/LocalPrograms/InactiveProjects/QuarterlyReviewofInactiveProjects.htm</a>	
8	Enter authorized federal funds		
9	Enter all program code(s)		
10	Enter project phase ( <b>e.g.</b> PE, RW, CON, etc.)	Use E-76 for this item	
11	Enter accumulated expenditure by program code	Refer to the current inactive list/file posted in the web <a href="http://www.dot.ca.gov/hq/LocalPrograms/InactiveProjects/QuarterlyReviewofInactiveProjects.htm">http://www.dot.ca.gov/hq/LocalPrograms/InactiveProjects/QuarterlyReviewofInactiveProjects.htm</a>	
12	Enter unexpended funds		
13	Enter last billing date		
14	Select the appropriate reason(s) for justification; for litigation filed, submit copy (with stamp) of the documents filed	<a href="http://www.dot.ca.gov/hq/LocalPrograms/InactiveProjects.htm">http://www.dot.ca.gov/hq/LocalPrograms/InactiveProjects.htm</a>	
15	List project history	Include project timeline from the time of authorization or last financial transaction to present. <b>e.g.</b> original bid rejected - costs exceeded engineer estimate by XX%	
16	Action(s) taken to resolve the issue	Explain why previous commitment has not been met. <b>e.g.</b> to be re-advertised after additional funding determinations	
17	Enter date activities to be resumed	<b>e.g.</b> Revised date for contract award	
18	Enter billing dates or other corrective action to be taken		
19	Enter current cost estimate needed to complete		
20	Enter amount to be deobligated for unneeded funds		
21	Enter reason/consequences if funds are deobligated		
22	Additional back-up documentation	Copy of environmental approval; litigation; r/w acquisition; copy of invoice; proof that they have been working on a project since initial authorization; project timeline and funding plan; PSA; etc.	
23	Enter contact person from local agency	Person prepared the justification <b>must</b> sign the form	
24	DLAE approving official	Person reviewing and approving the justification <b>must</b> sign the form	

**ANY INCOMPLETE JUSTIFICATION FORM WILL BE SENT BACK TO DLAE**