

Measure B, Measure BB and Vehicle Registration Fee

## Direct Local Distributions Program Compliance Reports

Fiscal Year 2014-15



### DLD Program Overview

- **Over 50% of net revenues** generated from the Measure B, Measure BB and Vehicle Registration Fee (VRF) Programs **are returned to source** as “Direct Local Distributions” (DLDs)
- Twenty recipients (cities, transit agencies and the County)
- DLD Programs
  - Bicycle/Pedestrian
  - Local Streets and Roads (*local transportation*)
  - Transit
  - Special Transportation for Seniors and People with Disabilities (*Paratransit*)



Direct Local Distributions FY2014-15 <i>(dollars in millions)</i>				
DLD Programs	Measure B	Measure BB <sup>1</sup>	VRF	Total Funds
Local Streets and Roads <i>(Local Transportation for Measure B/BB)</i>	\$27.6	\$5.0	\$7.4	\$40.0
Mass Transit	\$26.2	\$5.4		\$31.6
Special Transportation for Senior and People with Disabilities <i>(Paratransit)</i>	\$11.1	\$2.2		\$13.3
Bicycle and Pedestrian Safety	\$4.6	\$0.8		\$5.4
<b>TOTAL</b>	<b>\$69.5</b>	<b>\$13.4</b>	<b>\$7.4</b>	<b>\$90.3</b>

1. Measure BB Distributions started April 1, 2015

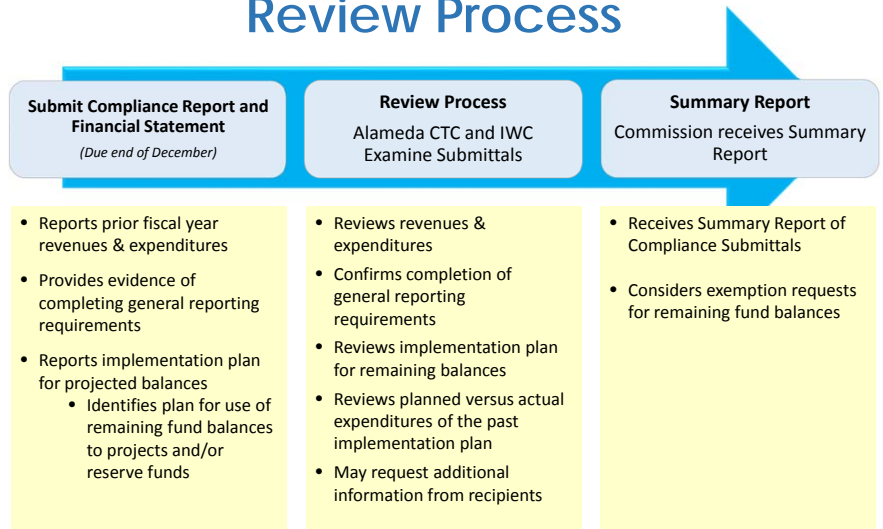


## Program Compliance Requirements

- Compliance requirements are outlined in Master Programs Funding Agreement (MPFAs)
- Recipients must submit annual Measure B/BB/VRF audited financial statements and compliance reports to document deliverables such as:
  - Revenues and Expenditures
  - Road miles and current pavement condition index
  - Articles, signage, and website information on Measure B/VRF fund activities
  - Confirmation of Updated Bike and Pedestrian Master Plans
  - Future Implementation plans using available fund balances
- This year's reporting is on Fiscal Year 2014-15 activities



# Compliance Reporting and Review Process



- Reports prior fiscal year revenues & expenditures
- Provides evidence of completing general reporting requirements
- Reports implementation plan for projected balances
  - Identifies plan for use of remaining fund balances to projects and/or reserve funds

- Reviews revenues & expenditures
- Confirms completion of general reporting requirements
- Reviews implementation plan for remaining balances
- Reviews planned versus actual expenditures of the past implementation plan
- May request additional information from recipients

- Receives Summary Report of Compliance Submittals
- Considers exemption requests for remaining fund balances



## Compliance Policies

- **Timely Use of Funds Policy:** The MPFA requires all DLD funds received to be spent expeditiously
- **Reserve Fund Policy:** The MPFA allows recipients to establish the following reserves
  - Capital Fund Reserve – expend funds within a four year window
  - Operation Fund Reserve – revolving reserve of up to 50% of annual revenue
  - Undesignated Fund Reserve – revolving reserve of up to 10% of annual revenue
- **Rescission of Funds Policy:** The MPFA requires recipients to return unspent funds and all interest earned thereon to Alameda CTC



## Compliance Policy Implementation

Alameda CTC's Compliance Process is guided by the Compliance Reserve Policies and Monitoring Procedures *(Commission approved in October 2013)*

### 1. Annual Planned Projects

- Recipients must expend at least 70 percent of annual planned expenditures across all fund programmatic types, collectively

### 2. Capital Fund Reserve

- Funds identified in a Capital Fund Reserve must be expended within a (4) four fiscal year window

### 3. Operation Fund and Undesignated Fund Reserves

- Annual revolving reserve, no expenditure requirement



## Program Compliance Summary Report

1. Direct Local Distributions in FY 14-15 by Alameda CTC
2. Expenditures for FY 14-15 by recipients
3. Future implementation plans using fund balances
4. Alameda CTC's compliance findings for meeting program requirements
  1. General reporting
  2. Expenditure eligibilities
  3. Timely Use of Funds and Reserve policies



# FY 14-15 Accomplishments

## MEASURE B/BB FUNDED IMPROVEMENTS

*\$72 million in MB & MBB expenditures*

Total transit trips	94 million trips
Total ADA mandated trips	0.8 million trips
Total same day trips (paratransit)	38,000 trips
Total pavement rehab.	22 million square feet
Total complete streets	10 projects underway
Total bikeways (non-class 1)	20 lane miles
Total bicycle parking/lockers	430 new spaces
Total sidewalk repairs	6 miles
Total curb ramps updated	170 ramps/truncated domes

## VRF FUNDED IMPROVEMENTS

*\$7.2 million in VRF expenditures*

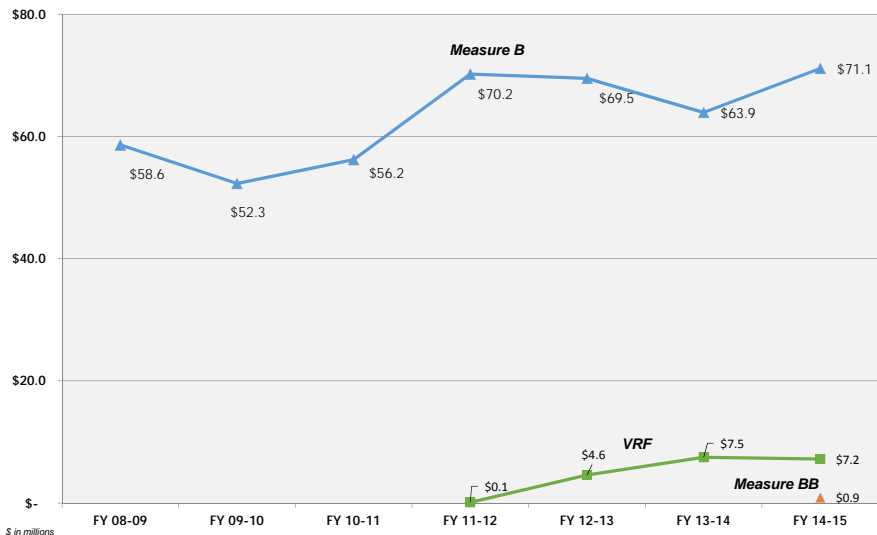
Total pavement rehab.	14 million square feet
Total signal improvements	5 intersections (ITS, signal upgrades/maintenance)



NOTES  
 \*Quantity completed are as reported by the jurisdictions, and represent a rounded value.  
 \*\*Not all improvement types or activities are shown.



# DLD Expenditure History



## Measure B Program FY 14-15 Expenditures & Fund Balances

Jurisdiction:	Starting MB Balance	MB Revenue	MB Interest	MB Expended	Ending MB Balance
AC Transit	\$3,064,267	\$26,446,452	\$0	\$22,936,770	\$6,573,949
BART	\$0	\$1,838,787	\$0	\$1,838,787	\$0
LAVTA	\$0	\$1,009,539	\$0	\$1,009,539	\$0
WETA	\$3,446,424	\$962,587	\$1,183	\$2,111,539	\$2,298,655
ACPWA	\$2,256,162	\$3,148,065	\$18,262	\$3,083,383	\$2,339,106
ACE	\$2,168,442	\$2,616,261	\$5,720	\$2,614,119	\$2,176,303
City of Alameda	\$2,755,714	\$2,087,429	\$11,009	\$1,784,718	\$3,069,434
City of Albany	\$129,178	\$474,686	\$144	\$225,366	\$378,642
City of Berkeley	\$2,562,623	\$3,400,115	\$1,886	\$4,018,190	\$1,946,435
City of Dublin	\$869,099	\$545,626	\$6,425	\$752,945	\$668,205
City of Emeryville	\$416,800	\$326,816	\$2,542	\$73,877	\$672,281
City of Fremont	\$3,284,761	\$3,703,121	\$10,516	\$4,797,741	\$2,200,657
City of Hayward	\$2,040,253	\$3,445,636	\$7,169	\$3,885,068	\$1,607,990
City of Livermore	\$1,930,332	\$1,194,122	\$6,998	\$1,905,080	\$1,226,372
City of Newark	\$475,201	\$758,605	\$998	\$628,243	\$606,561
City of Oakland	\$11,447,976	\$12,547,359	\$33,218	\$12,956,161	\$11,072,392
City of Piedmont	\$393,762	\$426,636	\$327	\$705,141	\$115,585
City of Pleasanton	\$1,686,098	\$1,103,473	\$14,407	\$1,273,201	\$1,530,777
City of San Leandro	\$3,420,388	\$1,852,294	\$12,552	\$1,938,335	\$3,346,899
City of Union City	\$1,142,339	\$1,628,429	\$5,000	\$2,473,651	\$302,117
<b>Total</b>	<b>\$43,489,820</b>	<b>\$69,516,036</b>	<b>\$138,356</b>	<b>\$71,011,854</b>	<b>\$42,132,358</b>



Direct Local Distributions Program Compliance Reports (FY 2014-15)

| 11

## Measure BB Program FY 14-15 Expenditures & Fund Balances

Jurisdiction:	Starting MBB Balance	MBB Revenue	MBB Interest	MBB Expended	Ending MBB Balance
AC Transit	\$0	\$5,843,198	\$0	\$0	\$5,843,198
BART	\$0	\$501,562	\$0	\$501,562	\$34,890
LAVTA	\$0	\$176,311	\$0	\$176,311	\$0
WETA	\$0	\$125,391	\$0	\$0	\$125,391
ACPWA	\$0	\$506,146	\$0	\$0	\$506,146
ACE	\$0	\$250,781	\$0	\$215,891	\$34,890
City of Alameda	\$0	\$389,207	\$0	\$0	\$389,207
City of Albany	\$0	\$88,307	\$0	\$0	\$88,307
City of Berkeley	\$0	\$634,434	\$0	\$0	\$634,434
City of Dublin	\$0	\$95,140	\$0	\$0	\$95,140
City of Emeryville	\$0	\$61,006	\$0	\$0	\$61,006
City of Fremont	\$0	\$599,542	\$0	\$0	\$599,542
City of Hayward	\$0	\$610,287	\$0	\$0	\$610,287
City of Livermore	\$0	\$209,473	\$0	\$0	\$209,473
City of Newark	\$0	\$123,198	\$0	\$0	\$123,198
City of Oakland	\$0	\$2,343,116	\$0	\$0	\$2,343,116
City of Piedmont	\$0	\$79,133	\$0	\$0	\$79,133
City of Pleasanton	\$0	\$208,325	\$0	\$0	\$208,325
City of San Leandro	\$0	\$327,542	\$0	\$0	\$327,542
City of Union City	\$0	\$257,226	\$0	\$97,342	\$159,884
<b>Total</b>	<b>\$0</b>	<b>\$13,429,323</b>	<b>\$0</b>	<b>\$991,106</b>	<b>\$12,473,107</b>



Direct Local Distributions Program Compliance Reports (FY 2014-15)

| 12

## VRF Program FY 14-15 Expenditures & Fund Balances

Agency/ Jurisdiction:	14-15 Starting VRF Balance	14-15 VRF Revenue	14-15 VRF Interest	14-15 VRF Expended	13-14 Ending VRF Balance
ACPWA	\$201,734	\$728,272	\$1,668	\$616,913	\$314,761
City of Alameda	\$775,835	\$331,984	\$3,025	\$400,000	\$710,844
City of Albany	\$19,932	\$81,436	\$46	\$17,961	\$83,453
City of Berkeley	\$1,115,599	\$511,302	\$1,136	\$568,129	\$1,059,908
City of Dublin	\$85,478	\$252,863	\$847	\$165,000	\$174,188
City of Emeryville	\$42,257	\$45,376	\$416	\$650	\$87,399
City of Fremont	\$695,116	\$1,069,527	\$1,082	\$1,231,140	\$534,585
City of Hayward	\$552,802	\$753,205	\$296	\$847,524	\$458,779
City of Livermore	\$558,239	\$422,370	\$3,043	\$208,858	\$774,914
City of Newark	\$423,072	\$210,779	\$844	\$155,000	\$479,695
City of Oakland	\$2,976,536	\$1,764,613	\$9,860	\$1,728,416	\$3,022,593
City of Piedmont	\$141,876	\$48,122	\$555	\$160,100	\$30,453
City of Pleasanton	\$174,602	\$364,309	\$1,996	\$382,578	\$158,329
City of San Leandro	\$499,093	\$438,826	\$1,843	\$320,010	\$619,752
City of Union City	\$849,671	\$346,881	\$6,126	\$397,746	\$804,932
<b>Total</b>	<b>\$9,111,962</b>	<b>\$7,369,866</b>	<b>\$32,783</b>	<b>\$7,200,025</b>	<b>\$9,314,585</b>



## Compliance Determination

### Compliance Determination

- All Measure B, Measure BB, and VRF recipients found to be in compliance with the program requirements

### Request for Exemptions from Timely Use of Funds Policy

- Albany and Emeryville request exemptions to permit an end-of-year fund balance greater than the 30 percent allowed of their previously planned expenditures
- Sponsors cited project delays and revised plans that resulted in actual expenditures less than previously planned
- Issues have been resolved and expenditures on unspent balances are being incurred in FY 15-16



## Recommendation / Future Tasks

### Recommendation:

- Approve FY 14-15 Measure B, Measure BB and Vehicle Registration Fee Program Compliance Reports
- Approve the Requests for Exemptions from the Timely Use of Funds and Reserve Policies to permit two agencies to contain an end-of-year balance greater than 30 percent of their previously planned expenditures

### Future Tasks

- Begin FY 15-16 Compliance Reporting Process in Fall 2016
- Begin implementing updated Timely Use of Funds Policies
- Continue monitoring compliance with 15% of MBB LSR expenditures on bike/pedestrian elements
- Continue monitoring DLD fund balances and performance/accomplishments

