



MEASURE B PROGRAM COMPLIANCE REPORT

Signature Cover Sheet *Fiscal Year 2012-13*

Agency Name:

Revision Number:

Choose the type(s) of report you are submitting (*check all that apply; Tables 1-3 are required*)

- Annual Program Compliance Report – Bicycle and Pedestrian Safety Funds
- Annual Program Compliance Report – Local Streets and Roads Funds
- Annual Program Compliance Report – Mass Transit Funds
- Annual Program Compliance Report – Paratransit Funds
- Tables 1- 3: Program Summary of Revenues, Expenditures, and Reserves (Excel workbook)

List any additional attachments in the electronic report submittal (*check all that apply*).

- Attachment A: Bicycle and Pedestrian Attachments
- Attachment B: Local Streets and Roads Attachments
- Attachment C: Mass Transit Attachments
- Attachment D: Paratransit Attachments
- Other Attachments (*clearly label additional attachments as needed*)

Certification of True and Accurate Reporting

By signing below, the agency manager and finance manager, or their designees certify the compliance information reported are true and complete to the best of their knowledge, and the **audited dollar figures matches exactly to the Measure B revenues and expenditures reported in the compliance report and Tables 1-3.**

X Nina Rannells

Signature
Nina Rannells
Executive Director

2/14/14

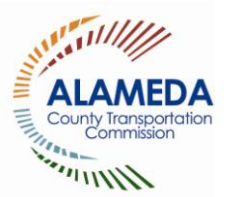
Date

X Lynne Yu

Signature
Lynne Yu
Finance and Grants Manager

2/14/14

Date



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MASS TRANSIT PROGRAM

Compliance Report Summary *Fiscal Year 2012-13*

1. Did your agency receive Measure B Mass Transit Funds in the reporting period of July 1, 2012 through June 30, 2013?

- Yes (Complete the Mass Transit section.)
 No (Do not complete the Mass Transit section and continue on.)

2. Complete the below contact information.

CONTACT INFORMATION	
Mass Transit Program Agency:	San Francisco Bay Area Water Emergency Transportation Authority (WETA)
Contact Name:	Lynne Yu
Title:	Finance and Grants Manager
Phone Number:	(415) 364-3193
E-mail Address:	yu@watertransit.ort

3. Complete the Excel Worksheets Tables 1 to 3 for Mass Transit.

(Check the boxes below to indicate completion).

- Table 1: Measure B Revenues and Expenditures**
The values entered into Table 1 must match your agency's audited financial statements and compliance report. **Please contact Alameda CTC staff if you have questions before submitting your report. All dollar figures must match your audited financial statements and compliance report or Alameda CTC may reject your submission.**
- Table 2: Summary of Expenditures and Accomplishments**
This table describes actual expenditures and activities incurred for FY 2012-2013 (FY 12-13).
- Table 3: Summary of Planned Projects and Reserve Funds**
This table describes your agency's plan to expend any remaining Measure B funds within the four-year time table of the funding agreement through FY 12-13 thru FY 15-16.

4. **If your agency’s ending MB Pass-through balance was greater than zero, why do you have this reserve?** For instance, if you are saving a funding amount, what amount are you saving and what types of projects/programs will those dollars fund? **Refer to Reserve Fund Guidance, and complete Table 3 Summary of Planned Projects and Reserve Funds as required by the Master Programs Funding Agreement.**

At June 30, 2012, WETA has a total of \$3,183,231 in unspent Measure B funds. These funds are reserved to fund transit capital replacement and rehabilitation projects for the Alameda/Oakland ferry service and the Alameda Harbor Bay ferry service.

5. **If applicable, why were the reported expenditures in FY 12-13 more than the amount of Measure B funds the agency received in FY 12-13?** How did you use Measure B funds from a previous fiscal year(s)?

N/A

6. **Describe reserve funds.** If your agency has reserve Measure B funds identified, describe your process to allocate these funds and describe in detail your plan and time frame for using those funds. In addition, if you plan to use reserves, will this require additional agency approvals, and if so, what is your approval process? **Refer to Reserve Fund guidance, and complete Table 3 Summary of Planned Projects and Reserve Funds.**

Measure B funds are reserved to support the completion of the 1) Bay Breeze Mid-Life Refurbishment project and 2) Clay Street (JLS) Terminal Improvement project in FY2014. Measure B funds are also reserved to support the replacement of 2 passenger-only vessels used in the Alameda ferry services, Harbor Bay Express II and Encinal. The Vessel Replacement project is in WETA’s FY2013/14 Capital Budget and is anticipated to be completed in FY2015/16. No additional agency approvals are needed when reserve funds are used.



7. Did your agency publish an article(s) that highlight Mass Transit projects and programs funded by Measure B in an agency or Alameda CTC newsletter?

Yes No. *If no, explain in Question #11 - Additional Information.*

If yes, include a copy of the article(s) as Attachment C: Mass Transit Program Attachments and list the publication(s) and date(s) below.

Publication	Date Published	Copy Attached? (Y/N)
Full Speed Ahead – Spring 2013 edition	April 2013	Y

8. Did your agency include a description of the Mass Transit projects and programs funded by Measure B on its website?

Yes No. *If no, explain in Question #11 - Additional Information.*

If yes, include a printout of the website in Attachment C and provide the URL below that contains updated and accurate project information.

Website Address	Printout Attached? (Y/N)
http://sanfranciscobayferry.com/measure-b-funding	Y

9. Did your agency use signage that indicates use of Measure B funds for its Mass Transit projects and programs?

Yes No. *If no, explain in Question #11 - Additional Information.*

If yes, include photos of the signage in Attachment C and describe the signage below.

Signage Description	Photos attached? (Y/N)
Sign posted at the Clay Street Terminal, in Oakland	Y
Sign posted at the Main Street Terminal, in Alameda	Y
Sign posted at the Harbor Bay Terminal, in Alameda	Y



10a. Describe your agency's effectiveness at meeting your planned FY 12-13 expenditures reported in the last compliance report and reasons for any variations. Agencies are expected to expend their planned expenditures from their individual projects and/or and reserve funds. Did you expend the planned funds? Did you expend more than anticipated?

WETA did not expend 100% of the planned Measure B funds in FY2012/13.

10b. If your agency did not expend the planned amount, please provide a detailed justification on why dollars were not spent, reference specific projects.

WETA spent only 28% of the planned Measure B amount in FY201/13.
\$103,500 in Measure B funds was planned for the Purchase of Inflatable Buoyant Apparatus (IBA). This project was to purchase IBAs for 3 vessels used in the Alameda ferry services: Encinal, Bay Breeze and Peralta. Staff has decided to remove the project from the Capital plan for the following reasons: 1) the Encinal has reach the end of its useful life and will be replaced, 2) IBAs for the Bay Breeze will be purchase as part of the Mid-Life Refurbishment project, and 3) the Peralta currently meets Coast Guard's requirements for IBAs.
Also, due to 1) the delay in project implementation and 2) the unanticipated use of \$108,000 in expiring Bridge Toll revenues (AB664 funds), WETA only spent \$218,426 of the planned \$678,981 on the Bay Breeze Mid-Life Refurbishment project.

10c. If your agency expended more than the planned amount for a particular project/reserve category, please describe any adjustments to the reserves to finance the surplus amount.

N/A

11. Provide additional information, if necessary, to further explain Measure B expenditures for the Mass Transit Program

N/A



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