



MEASURE B PROGRAM COMPLIANCE REPORT

Signature Cover Sheet *Fiscal Year 2012-13*

Agency Name: San Joaquin Regional Rail Commission /
Altamont Corridor Express

Revision Number: 1

Choose the type(s) of report you are submitting (*check all that apply; Tables 1-3 are required*)


- Annual Program Compliance Report – Bicycle and Pedestrian Safety Funds
- Annual Program Compliance Report – Local Streets and Roads Funds
- Annual Program Compliance Report – Mass Transit Funds
- Annual Program Compliance Report – Paratransit Funds
- Tables 1- 3: Program Summary of Revenues, Expenditures, and Reserves (Excel workbook)

List any additional attachments in the electronic report submittal (*check all that apply*).


- Attachment A: Bicycle and Pedestrian Attachments
- Attachment B: Local Streets and Roads Attachments
- Attachment C: Mass Transit Attachments
- Attachment D: Paratransit Attachments
- Other Attachments (*clearly label additional attachments as needed*)

Certification of True and Accurate Reporting

By signing below, the agency manager and finance manager, or their designees certify the compliance information reported are true and complete to the best of their knowledge, and the **audited dollar figures matches exactly to the Measure B revenues and expenditures reported in the compliance report and Tables 1-3.**

X 
Signature
Stacey Mortensen
Executive Director

2/20/14
Date

X 
Signature
Nila Cordova
Director of Fiscal Services and
Administration

2/20/14
Date



MASS TRANSIT PROGRAM

Compliance Report Summary *Fiscal Year 2012-13*

1. Did your agency receive Measure B Mass Transit Funds in the reporting period of July 1, 2012 through June 30, 2013?

- Yes (Complete the Mass Transit section.)
 No (Do not complete the Mass Transit section and continue on.)

2. Complete the below contact information.

CONTACT INFORMATION	
Mass Transit Program Agency:	San Joaquin Regional Rail Commission
Contact Name:	Nila Cordova
Title:	Director of Fiscal Services and Administration
Phone Number:	(209)944-6226
E-mail Address:	nila@acerrail.com

3. Complete the Excel Worksheets Tables 1 to 3 for Mass Transit.
(Check the boxes below to indicate completion).

- Table 1: Measure B Revenues and Expenditures**
The values entered into Table 1 must match your agency's audited financial statements and compliance report. **Please contact Alameda CTC staff if you have questions before submitting your report. All dollar figures must match your audited financial statements and compliance report or Alameda CTC may reject your submission.**
- Table 2: Summary of Expenditures and Accomplishments**
This table describes actual expenditures and activities incurred for FY 2012-2013 (FY 12-13).
- Table 3: Summary of Planned Projects and Reserve Funds**
This table describes your agency's plan to expend any remaining Measure B funds within the four-year time table of the funding agreement through FY 12-13 thru FY 15-16.

4. **If your agency’s ending MB Pass-through balance was greater than zero, why do you have this reserve?** For instance, if you are saving a funding amount, what amount are you saving and what types of projects/programs will those dollars fund? **Refer to Reserve Fund Guidance, and complete Table 3 Summary of Planned Projects and Reserve Funds as required by the Master Programs Funding Agreement.**

ACE/SJRRRC can only expend the amount of MB operating funds approved by the ACTC Board on an annual basis. The annual amount is based on a set calculation in the coop services agreement executed in 2003 between ACE/SJRRRC, ACTC and SCVTA. Any excess MB funds received are kept in an account and used only if the receipts received from ACTC are less than required to meet ACTC’s share of the ACE Service. Excess funds are to be used for funding future expansion of the ACE Service.

5. **If applicable, why were the reported expenditures in FY 12-13 more than the amount of Measure B funds the agency received in FY 12-13?** How did you use Measure B funds from a previous fiscal year(s)?

The Cooperative Services Agreement between SJRRRC, ACTC (formerly ACCMA) and SCVTA restrict expenses to the approved Baseline Service Plan. The approved amount increases every year by the Consumer Price Index (CPI) applied to the prior year authorized amount.

6. **Describe reserve funds.** If your agency has reserve Measure B funds identified, describe your process to allocate these funds and describe in detail your plan and time frame for using those funds. In addition, if you plan to use reserves, will this require additional agency approvals, and if so, what is your approval process? **Refer to Reserve Fund guidance, and complete Table 3 Summary of Planned Projects and Reserve Funds.**

Planned use is from FY 11/12 approved by ACTC on May 23, 2013					
	FY 12/13	FY 13/14	FY 14/15	FY 15/16	FY 16/17
Measure B service Contribution		\$2,145,893	\$2,200,000	\$2,200,000	\$2,200,000
Administrative Fee		\$20,000	\$20,000	\$20,000	\$20,000
Measure B from Reserve Balance		\$655,055	\$700,000	\$700,000	\$20,306
Total		\$2,820,948	\$2,920,000	\$2,920,000	\$2,240,306
Remaining Reserve	\$2,075,361	\$1,420,306	\$720,306	\$20,306	\$ -

7. Did your agency publish an article(s) that highlight Mass Transit projects and programs funded by Measure B in an agency or Alameda CTC newsletter?

Yes No. *If no, explain in Question #11 - Additional Information.*

If yes, include a copy of the article(s) as Attachment C: Mass Transit Program Attachments and list the publication(s) and date(s) below.

Publication	Date Published	Copy Attached? (Y/N)
Transportation E-News Alameda CTC Reports	March 2013	Y

8. Did your agency include a description of the Mass Transit projects and programs funded by Measure B on its website?

Yes No. *If no, explain in Question #11 - Additional Information.*

If yes, include a printout of the website in Attachment C and provide the URL below that contains updated and accurate project information.

Website Address	Printout Attached? (Y/N)
http://www.acerail.com/About/Public-Projects/Funding-Partners	Y

9. Did your agency use signage that indicates use of Measure B funds for its Mass Transit projects and programs?

Yes No. *If no, explain in Question #11 - Additional Information.*

If yes, include photos of the signage in Attachment C and describe the signage below.

Signage Description	Photos attached? (Y/N)
Permanent placard located on all coach and cab cars directly above the wheel chair ramp.	y



10a. Describe your agency's effectiveness at meeting your planned FY 12-13 expenditures reported in the last compliance report and reasons for any variations. Agencies are expected to expend their planned expenditures from their individual projects and/or and reserve funds. **Did you expend the planned funds? Did you expend more than anticipated?**

All funds expended as budgeted except for the administration fee as billed by ACTC. This expense is budgeted for \$20,000; ACTC's invoice is typically just under this amount. The expense this year is \$17,567 leaving an unexpended amount of \$2,433.

10b. If your agency did not expend the planned amount, please provide a detailed justification on why dollars were not spent, reference specific projects.

The basis of actual expense is on services performed and billed by ACTC. Planning and budget it set at a slightly higher amount than historically billed to accommodate the expense without making adjustments.

10c. If your agency expended more than the planned amount for a particular project/reserve category, please describe any adjustments to the reserves to finance the surplus amount.

N/A



11. Provide additional information, if necessary, to further explain Measure B expenditures for the Mass Transit Program

None