



## Memorandum

**DATE:** December 28, 2011

**TO:** Alameda County Technical Advisory Committee (ACTAC)

**FROM:** Matt Todd, Manager of Programming

**SUBJECT:** **Approval of STIP Award Deadline Time Extension Request for the Union City Intermodal Station Project, Phase II**

### Recommendation

It is recommended the Commission approve the request for a six-month time extension to the STIP award deadline for the Union City Intermodal Station, Phase II. Union City is requesting a six-month extension from December 31, 2011 to June 30, 2012.

### Summary

Union City requests a six-month time extension to the STIP award deadline from December 31, 2011 to June 30, 2012 for both \$715,000 of STIP and \$3,000,000 of STIP TE for a total of \$3,715,000, allocated on June 23, 2011, for the Construction phase of the project. The total project cost for Phase 2 is approximately \$20 million. The two extension requests are attached.

### Background

The STIP timely use of funds provisions enacted by SB 45 are intended to encourage local and regional agencies to accurately program, monitor and deliver STIP projects in a timely manner. Per the STIP Guidelines, the CTC may grant a one-time extension to each of the allocation, expenditure, award (which includes FTA transfer), and completion deadlines only if it finds that an unforeseen and extraordinary circumstance beyond the control of the responsible agency has occurred that justifies the extension. The extension will not exceed the period of delay directly attributed to the extraordinary circumstance and will in no event be for more than 20 months.

This Intermodal Station infrastructure project continues to modify and reconfigure the existing Union City BART Station to improve access for all modes - pedestrians, bicyclists, vehicles and transit - which includes the creation of an east side entrance to the Station including installation of fare gates and other automated fare collection equipment; relocation of elevators; expansion of the east platform; improvements to pedestrian circulation in and around the Station and construction of a pedestrian overpass as required by the California PUC.

The City of Union City is partnering with BART to deliver this project. The agencies have executed a cooperative agreement, under which BART will award and administer the construction contract. The funding for the project includes \$715,000 of STIP funding and \$3.0 million in STIP-TE funds which have been requested to be transferred to an FTA grant. At the time of the CTC allocation in June 2011, it was expected that the funds would be transferred to the FTA and a contract awarded within the 6-month timeframe stipulated in the STIP Guidelines.

An extension is requested due to the delay of the FTA transfer. The transfer request was not processed until November 2011 with the delay caused by issues with the transition to the new federal fiscal year (October 1<sup>st</sup> to September 30<sup>th</sup>). This has in turn delayed the contract award as BART policy prohibits advertising the project until all funding agreements are executed. Consequently, project advertisement will not occur prior to January 2012, which is the earliest the FTA transfer is anticipated to be completed. The two agencies have coordinated closely from the project's inception and will continue to work together to expedite awarding the contract.

The extension request for the \$715,000 STIP funding was submitted to Caltrans in November 2011 and may be scheduled for consideration at the January 25, 2012 CTC meeting, while the second extension request for the \$3 million STIP-TE was submitted in December 2011 and will likely be scheduled for consideration at the February 23, 2012 CTC meeting. MTC requires Alameda CTC concurrence for all STIP extension requests.

**Attachments**

Attachment A – STIP Time Extension Request for \$715,000

Attachment B – STIP Time Extension Request for \$3,000,000



34009 ALVARADO-NILES ROAD  
 UNION CITY, CALIFORNIA 94587  
 (510) 471-3232

**REQUEST FOR TIME EXTENSION  
 LOCAL HIGHWAY PROJECTS**

To: Ms. Lisa Carboni, Chief  
 District 4 State Transit Grants Branch  
 Caltrans, Office of Transit & Community Planning  
 111 Grand Avenue  
 Oakland, CA 94612

Date: November 21, 2011

PPNO: 2110A  
 PROJECT #: \_\_\_\_\_  
 EA: R738TD  
Union City Intermodal Station Phase II  
 Location: Union City  
 County: Alameda County  
 Assembly District: 18  
 Senate District: 10

Dear Ms. Carboni,

The City of Union City requests that the California Transportation Commission approve a request for a time extension for this project. Our Program Supplement number for this project is 04A0074-03.

**A. Project description:**

This Intermodal Station infrastructure project continues to modify and reconfigure the existing Union City BART Station to improve access for all modes – pedestrians, bicyclists, vehicles and transit which includes the creation of an east side entrance to the Station (including installation of fare gates and other automated fare collection equipment); relocation of elevators, expansion of the east platform, and improvements to pedestrian circulation in and around the Station; and construction of a pedestrian overpass as required by the California PUC.

Programmed STIP PTA Funding Level by Phase (X \$1,000):

	FY 11/ 12	Total
ENV		-
PS&E		-
R/W		-
CON	715	715
<b>Total</b>	<b>715</b>	<b>715</b>

**B. Project element for which extension requested: (check appropriate box)**

Allocation       Expenditure       Award       Completion  
 (contract acceptance)

**C. Phase (component) of project: (check appropriate box or boxes)**

Environmental Studies & Permits       Plans, Specs. & Estimate      &       Right Way      of       Construction\*

City of Union City  
Time Extension Request

D. Allocation and deadline summary

Allocation Date By Phase (if applicable)	Allocated Amount By Phase (if applicable)	Original Deadline	Number of Months of Extension Requested	Extended Deadline
6/23/11	\$715,000	12/31/2011	6 months	6/30/2012

E. Reason for project delay

The City of Union City is partnering with BART to deliver this project, which will modify and reconfigure the existing BART station. The agencies have executed a cooperative agreement, under which BART will award and administer the construction contract.

The funding for the project includes \$3.0 M in TE funds which were transferred to an FTA grant. The transfer was delayed due to the transition between federal fiscal years and was not processed until November 2011. BART policy prohibits advertising the project until all funding agreements are executed. Consequently, project advertisement will not occur until January 2012 at the earliest.

The two agencies have coordinated closely from the project's inception and will continue to work together to expedite awarding the contract.

F. Status of project milestones/revised project milestones

1) Completion of Environmental Document:

CEQA - Categorical Exemption, March 2002

NEPA - Categorical Exclusion, July 2003 (FTA NEPA Revalidation, January 2006)

2) Right of Way Certification:

Indicate the date right of way was certified (or will be certified) for the project - June 2006

3) Construction:

Indicate the date the project was advertised - January 2012 (estimated)

Indicate the date the project was awarded - May 2012 (estimated)


G. Timely Use of Funds

We request that the Commission approve this request at the January 25, 2012 meeting.

H. Local Agency Certification:

This Request for Time Extension has been prepared in accordance with the *Procedures for Administering Local Grant Projects in the State Transportation Improvement Program (STIP)*. I certify that the information provided in the document is accurate and correct. I understand that if the required information has not been provided this form will be returned and the request may be delayed. Please advise us as soon as the time extension has been approved. You may direct any questions to

Mintze Cheng at (510) 675-5036  
 (name) (phone number)

Signature  Title: Public Works Director Date: November 21, 2011

Agency/Commission: CITY OF UNION CITY

City of Union City  
Time Extension Request

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I. Caltrans District State Transit Grants Branch Chief Acceptance:

I have reviewed the information submitted on the Request for Time Extension and agree it is complete and has been prepared in accordance with the *Procedures for Administering Local Grant Projects in the State Transportation Improvement Program*.

Signature: \_\_\_\_\_

Title: Chief, State Grants Branch, D-4 Transportation Planning A

Date: \_\_\_\_\_

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34009 ALVARADO-NILES ROAD  
 UNION CITY, CALIFORNIA 94587  
 (510) 471-3232

**REQUEST FOR TIME EXTENSION  
 LOCAL HIGHWAY PROJECTS**

To: Ms. Sylvia Fung, Chief  
 District 4 Local Assistance Engineer  
 Caltrans, Office of Local Assistance  
 111 Grand Avenue  
 Oakland, CA 94612

Date: December 22, 2011

PPNO: 2110A  
 PROJECT #: FTASTPLE-5354(031)  
 EA: R738A  
Union City Intermodal Station Phase II  
 Location: Union City  
 County: Alameda County  
 Assembly District: 18  
 Senate District: 10

Dear Ms. Fung,

The City of Union City requests that the California Transportation Commission approve a request for a post-fact time extension for this project.

**A. Project description:**

This Intermodal Station infrastructure project continues to modify and reconfigure the existing Union City BART Station to improve access for all modes – pedestrians, bicyclists, vehicles and transit which includes the creation of an east side entrance to the Station (including installation of fare gates and other automated fare collection equipment); relocation of elevators, expansion of the east platform, and improvements to pedestrian circulation in and around the Station; and construction of a pedestrian overpass as required by the California PUC.

**Programmed STIP TE Funding Level by Phase (X \$1,000):**

	FY 11/ 12	Total
ENV		-
PS&E		-
R/W		-
CON	3,000	3,000
<b>Total</b>	<b>3,000</b>	<b>3,000</b>

**B. Project element for which extension requested: (check appropriate box)**

Allocation       Expenditure       Award       Completion  
 (contract acceptance)

**C. Phase (component) of project: (check appropriate box or boxes)**

Environmental Studies & Permits       Plans, Specs. & Estimate       Right Way      of       Construction\*

City of Union City  
Time Extension Request

D. Allocation and deadline summary

Allocation Date By Phase (if applicable)	Allocated Amount By Phase (if applicable)	Original Deadline	Number of Months of Extension Requested	Extended Deadline
6/23/11	\$3,000,000	12/31/2011	6 months	6/30/2012

E. Reason for project delay

The City of Union City is partnering with BART to deliver this project, which will modify and reconfigure the existing BART station. The agencies have executed a cooperative agreement, under which BART will award and administer the construction contract.

The funding for the project includes \$3.0 M in TE funds which were to be transferred to an FTA grant. The transfer was delayed due to the transition between federal fiscal years and was not processed until November 2011. FHWA staff advised that the earliest FTA transfer would occur in January 2012. BART policy prohibits advertising the project until all funding agreements are executed. Consequently, project advertisement will not occur until January 2012 at the earliest.

The two agencies have coordinated closely from the project's inception and will continue to work together to expedite awarding the contract.

F. Status of project milestones/revised project milestones

1) Completion of Environmental Document:

CEQA - Categorical Exemption, March 2002

NEPA - Categorical Exclusion, July 2003 (FTA NEPA Revalidation, January 2006)

2) Right of Way Certification:

Indicate the date right of way was certified (or will be certified) for the project - June 2006

3) Construction:

Indicate the date the project was advertised - January 2012 (estimated)

Indicate the date the project was awarded - May 2012 (estimated)

G. Timely Use of Funds

We request that the Commission approve this request at the February 23, 2012 meeting.

H. Local Agency Certification:

This Request for Time Extension has been prepared in accordance with the *Procedures for Administering Local Grant Projects in the State Transportation Improvement Program (STIP)*. I certify that the information provided in the document is accurate and correct. I understand that if the required information has not been provided this form will be returned and the request may be delayed. Please advise us as soon as the time extension has been approved. You may direct any questions to

Mintze Cheng at (510) 675-5036  
(name) (phone number)

Signature  Title: Public Works Director Date: December 22, 2011

Agency/Commission: CITY OF UNION CITY



City of Union City  
Time Extension Request

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I. Regional Transportation Planning Agency/County Transportation Commission Concurrence:

**Concurred**

Signature \_\_\_\_\_  
Title \_\_\_\_\_

Metropolitan Transportation Commission Date: \_\_\_\_\_  
(Agency/Commission)

J. Caltrans District Local Assistance Engineer Chief Acceptance:

I have reviewed the information submitted on the Request for Time Extension and agree it is complete and has been prepared in accordance with the *Procedures for Administering Local Grant Projects in the State Transportation Improvement Program*.

Signature: \_\_\_\_\_

Title: Chief, Local Assistance Engineer, D-4 Office of Local Assistance

Date: \_\_\_\_\_

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