



January 1, 2018

Independent Municipal Advisor Exemption

The Alameda County Transportation Commission (Alameda CTC) has retained an independent Registered Municipal Advisor. The Alameda CTC is represented by and will rely on its municipal advisor, Public Financial Management, Inc. (PFM), to provide advice on proposals from financial services firms concerning the issuance of municipal securities and municipal financial products. This notification may be relied upon through December 31, 2022. The client-service team from PFM that advises the Alameda CTC includes:

- Peter Shellenberger, Managing Director
- Darren Hodge, Director
- Daniel Ajise, Senior Managing Consultant

Peter Shellenberger is Alameda CTC's primary Contact at PFM. He can be reached by e-mail at shellenbergerp@pfm.com or phone at (415) 982-5584.

Proposals may be addressed to Alameda CTC, Attn: Patricia Reavey, Deputy Executive Director of Finance and Administration or Lily Balinton, Director of Finance, 1111 Broadway, Suite 800, Oakland, CA 94607. If the proposal received will be seriously considered by Alameda CTC, then the Alameda CTC will share the document with its municipal advisor. Please note, that aside from regulatorily mandated correspondence between an underwriter and registered advisor, the underwriter should not speak directly with or send documents directly to the municipal advisor unless specifically directed to by Alameda CTC.

A handwritten signature in blue ink that reads "Patricia Reavey". The signature is fluid and cursive, with a long horizontal stroke extending to the right.

Patricia Reavey
Deputy Executive Director of Finance and Administration