



MEASURE B PROGRAM COMPLIANCE REPORT RECEIVED

Signature Cover Sheet Fiscal Year 2013-14

DEC 22 2014

ALAMEDA CTC

Agency Name: San Francisco Bay Area Water Emergency

Revision Number: 0

Choose the type(s) of report you are submitting (check all that apply; Tables 1-3 are required)

- Annual Program Compliance Report – Bicycle and Pedestrian Safety Funds
- Annual Program Compliance Report – Local Streets and Roads Funds
- Annual Program Compliance Report – Mass Transit Funds
- Annual Program Compliance Report – Paratransit Funds
- Tables 1- 3: Program Summary of Revenues, Expenditures, and Reserves (Excel workbook)

List any additional attachments included in the submittal (check all that apply).

- Attachment A: Bicycle and Pedestrian Attachments
- Attachment B: Local Streets and Roads Attachments
- Attachment C: Mass Transit Attachments
- Attachment D: Paratransit Attachments
- Other Attachments (clearly label additional attachments as needed)

Certification of True and Accurate Reporting

By signing below, the agency manager and finance manager, or their designees certify the compliance information reported are true and complete to the best of their knowledge, and the audited dollar figures matches exactly to the Measure B revenues and expenditures reported in the compliance report and Tables 1-3.

X Nina Rannells
 Signature
 Nina Rannells
 Executive Director

12/18/2014
 Date

X Lynne Yu
 Signature
 Lynne Yu
 Finance and Grants Manager

12/17/2014
 Date

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MASS TRANSIT PROGRAM

Compliance Report Summary *Fiscal Year 2013-14*

1. Did your agency receive Measure B Mass Transit Funds in the reporting period of July 1, 2013 through June 30, 2014?

- Yes (Complete the Mass Transit section)
 No (Do not complete the Mass Transit section and continue on)

2. Complete the below contact information.

CONTACT INFORMATION	
Mass Transit Program Agency:	WETA
Contact Name:	Lynne Yu
Title:	Finance & Grants Manager
Phone Number:	(415) 364-3194
E-mail Address:	yu@watertransit.org

3. Complete the Excel Worksheets Tables 1 to 3 for the Mass Transit Program.
(Check the boxes below to indicate completion).

- Table 1: Measure B Revenues and Expenditures**
The values entered into Table 1 must match your agency's audited financial statements and compliance reports. **Please contact Alameda CTC staff if you have questions before submitting your report. All dollar figures must match your audited financial statements and compliance report or Alameda CTC may reject your submission.**
- Table 2: Summary of Expenditures and Accomplishments**
This table describes actual expenditures and activities incurred for FY 2013-2014 (FY 13-14).
- Table 3: Summary of Planned Projects and Reserve Funds**
This table describes your agency's plan to expend any remaining Measure B funds within the defined reserve policies of the funding agreement through FY 14-15 thru FY 17-18.

4. If your agency's ending MB Direct Local Distribution Program fund balance was greater than ZERO, describe why you have this fund balance and identify larger anticipated projects that will use these funds within the next year. *Indicate N/A if not applicable.*

Complete Table 3 Summary of Planned Projects and Reserve Funds.

At June 30, 2014, WETA has a total of \$3,446,424 in unspent Measure B funds. These funds are reserved to fund transit capital replacement and rehabilitation projects for the Alameda/Oakland ferry service and the Alameda Harbor Bay ferry service.

5. Did your agency expend **MORE THAN** the amount of Measure B funds received in FY 13-14? If yes, how much more did you expend using prior fund balances? *Indicate N/A if not applicable.*

No, WETA did not expend more Measure B funds than the amount received in FY2013/14. WETA received a total of \$923,069 in program distribution and earned \$2,015 in interest. During the fiscal year, WETA expended a total of \$661,891 in Measure B funds.

6. **Describe Reserve Funds.** If your agency has Measure B Reserve Funds identified, describe your process to allocate these funds and describe in detail your plan and time frame for using these funds. In addition, if the use of reserves requires additional agency approvals, what is your approval process? **Refer to Reserve Fund Guidance, and complete Table 3 Summary of Planned Projects and Reserve Funds.**

Measure B funds are reserved to support the completion of the 1) Bay Breeze Mid-Life Refurbishment project, 2) East Bay Ferry Terminals Refurbishment and 3) Vessel Replacement for the Harbor Bay Express II in FY2014/15. The Bay Breeze Mid-Life Refurbishment and the East Bay Ferry Terminals Refurbishment projects are anticipated to be completed in FY2014/15. The RFP for the Vessel Replacement project was released in September 2014 and staff anticipates contract award in Winter 2014 and project completion in FY2016/17.

No additional agency approvals are needed when reserve funds are used.

7. Did your agency publish an article(s) that highlight Mass Transit projects and programs funded by Measure B in an agency or Alameda CTC newsletter?

Yes. If yes, complete the table below and INCLUDE a copy of the article(s) as Attachment C: Mass Transit Program Attachments.

Publication	Date Published (Month/Year)	Confirm Copy Attached? (Y/N)
Full Speed Ahead	June 2014	Yes

No. If no, explain in the box below.

8. Did your agency include a description of the Mass Transit projects and programs funded by Measure B on its website?

Yes. If yes, include a printout of the website as Attachment C and provide the URL below that contains updated and accurate project information.

Website Address	Confirm Printout Copy Attached? (Y/N)
http://sanfranciscobayferry.com/measure-b-funding	Yes

No. If no, explain in the box below.

9. Did your agency use signage that indicates use of Measure B funds for its Mass Transit projects and programs?

Yes. If yes, include photos of the posted signage in Attachment C and describe the signage below.

Signage Location / Project	Confirm Photos Attached? (Y/N)
Sign posted at the Main Street Terminal, in Alameda	Yes
Sign posted at the Harbor Bay Terminal, in Alameda	Yes

No. If no, explain in the box below.

10a. Describe your agency's effectiveness at meeting your planned FY 13-14 expenditures reported in the last compliance report and reasons for any variations. Agencies are expected to expend their planned expenditures from their individual projects and/or and reserve funds. **Did your agency expend the amount planned?**

Yes, WETA expended most of the planned Measure B funds in FY2013/14.

10b. If your agency expended LESS THAN the planned amount, provide detailed justification on why dollars were not spent, and reference specific projects that did not expend the funds as planned. Indicate N/A if not applicable.

WETA spent 83% of the planned Measure B amount in FY2013/14.

Bay Breeze Mid-Life Refurbishment: \$65,880 or 10% of the planned amount was not expended in FY2013/14. The unspent total will be used to complete the project in FY2014/15.

East Bay Ferry Terminals Refurbishment: \$73,810 or 59% of the planned amount was not expended in FY2013/14. The project was delayed in FY2013/14 but is anticipated to be completed in FY2014/15.

10c. If your agency expended MORE THAN planned amount for a particular project/reserve, explain why and describe any adjustments to the reserves to finance the surplus amount. Indicate N/A if not applicable.

N/A

11. Provide additional information, if necessary, to further explain Measure B expenditures for the Mass Transit Program

N/A