



# Measure B End-of-Year Program Compliance Report Revised Submittals Revised Reports due February 20, 2013

Agency Name: City of Oakland REVISION NUMBER: 1

Choose the type(s) of report you are submitting (check all that apply; Tables 1-3 are required)

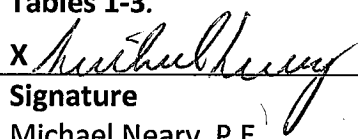
- Annual Program Compliance Report – Bicycle and Pedestrian Safety Funds
- Annual Program Compliance Report – Local Streets and Roads Funds
- Annual Program Compliance Report – Mass Transit Funds
- Annual Program Compliance Report – Paratransit Funds
- Tables 1- 3: Program Summary of Revenues, Expenditures, and Reserves (Excel workbook)

List any additional attachments in the electronic report submittal (check all that apply).

- Attachment A: Bicycle and Pedestrian Attachments
- Attachment B: Local Streets and Roads Attachments
- Attachment C: Mass Transit Attachments
- Attachment D: Paratransit Attachments
- Other Attachments (clearly label additional attachments as needed)

### Certification of True and Accurate Reporting

Authorized representatives of the reporting agency (i.e. the city manager and finance manager or their designees) must sign below affirming the **REVISED** compliance information reported are true and complete to the best of their knowledge, and the **audited dollar figures matches exactly to the Measure B revenues and expenditures reported in the compliance report and Tables 1-3.**

X   
Signature  
Michael Neary, P.E.  
Assistant Director, Public Works Agency

2/20/13  
Date

X   
Signature  
Jason Mitchell  
Agency Administrative Manager, PWA

2/20/13  
Date



**MEASURE B**

**End-of-Year  
Program Compliance  
Reporting Forms**

**Reporting Period July 1, 2011 through June 30, 2012**



## Measure B Program End-of-Year Program Compliance Reporting Period July 1, 2011 through June 30, 2012

Submittal	Due Dates
<b>Independent Audit</b>	<p style="color: red; margin: 0;"><b>Thursday December 27, 2012</b></p> <ul style="list-style-type: none"> <li>Electronic version by email by 5 p.m.</li> <li>Hard copy post-marked by due date.</li> </ul>
<b>Compliance Report</b>	<p style="color: red; margin: 0;"><b>Monday December 31, 2012</b></p> <ul style="list-style-type: none"> <li>Electronic version by email by 5 p.m.</li> <li>Hard copy with original signatures and attachments, post-marked by due date.</li> </ul>

The Alameda CTC must receive all electronic files and hardcopies by their respective due dates. If you have any questions or concerns, notify the grants administrator, John Nguyen by e-mail [grants@alamedactc.org](mailto:grants@alamedactc.org) or phone (510) 208-7419.

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# End-of-Year Program Compliance Reporting Requirements

**Reporting Period July 1, 2011 through June 30, 2012**

In July 2010, the Alameda County Transportation Improvement Authority (ACTIA) merged with the Alameda County Congestion Management Agency to become the Alameda County Transportation Commission (Alameda CTC). Agencies and jurisdictions that have Measure B pass-through fund and grant agreements with ACTIA must continue to submit end-of-year program compliance reports to the Alameda CTC.

Measure B and Vehicle Registration Fee (VRF) pass-through fund recipients are required to submit to the Alameda CTC, one electronic and one hard copy version of the following: an audit report and a compliance report.

## COMPLIANCE REPORT REQUIRED ENCLOSURES:

1. **End of the Year Compliance Report Forms for Measure B and Vehicle Registration Fee** (*as applicable*)
2. **Tables 1-3** (*for each applicable program*)
3. **Documentation of Reporting Requirements**
  - **Measure B/VRF Signage:** In the compliance report, include a description and documentation of signage and the number of signs posted.
  - **Website:** In the compliance report, include a description and documentation of where you listed a link to Alameda CTC's website ([www.alamedactc.org](http://www.alamedactc.org)) on your agency's website, and informed the public about how your jurisdiction uses Measure B funds for transportation projects/programs.
  - **Publications:** In the compliance report, include a description and documentation of an article published in your agency's newsletter or Alameda CTC's e-newsletter for each fund type you receive.

## Audit and Compliance Reporting Consistency

The dollar amounts on each of your compliance documents must match, or Alameda CTC will consider your agency out of compliance.

*For example, your audit's expenditure dollar figures for the Paratransit program must match reported figures in your Paratransit compliance report, and the Tables 1-3 Attachments. See guidance throughout the report forms.*



## Submittal Instructions

### Audit and Compliance Reports

The End-of-Year (EOY) Program Compliance Report includes a Microsoft (MS) Word Report form and a MS Excel file with tabbed Tables 1-3 for each program. These documents are available for download at [http://www.alamedactc.org/app\\_pages/view/4136](http://www.alamedactc.org/app_pages/view/4136).

#### **INDEPENDENT AUDIT REPORT: Due Thursday December 27, 2012**

**Electronic submission:** Submit one copy of the Independent Audit Report via email to [grants@alamedactc.org](mailto:grants@alamedactc.org).

**Hard-copy submission:** Postmark one hard copy of the Independent Audit Report, signed by an independent auditor, by Thursday December 27, 2012.

*Mail hard-copy reports to:*

Alameda CTC  
Attn: End-of-Year Program Compliance  
1333 Broadway, Suite 220  
Oakland, CA 94612

#### **EOY COMPLIANCE REPORT: Due Monday December 31, 2012**

**Electronic submission:**

1. Download and complete the MS Word Form and Excel files for Measure B and Vehicle Registration Fee Programs as applicable.
2. Include attachments, labeled appropriately as Attachments A-D as indicated on the signature page (page 1). Each attachment package should include:
  - a. Two photos maximum, per program fund type.
  - b. Documentation of articles, newsletters, signage, etc., Ensure the attachments are labeled and are easily readable when reproduced in black and white.
3. Send an email with the completed MS Word document, Excel Tables 1-3, and attachments to [grants@alamedactc.org](mailto:grants@alamedactc.org). If your files are larger than 8 MB, upload the files to Alameda CTC's FTP site below, and send an email to [grants@alamedactc.org](mailto:grants@alamedactc.org) to notify us of the upload:

FTP server name: <ftp.actia2022.com>  
Username: 2022  
Password: Tr33inforest

**Hard-copy submission:**

1. Postmark one hard copy of the EOY Program Compliance Report and the Tables 1-3 workbook attachments with original signatures by Monday December 31, 2012.
2. The signature page (page 1) must have original signatures from the city finance manager and city manager, or the appropriate equivalent or their designees.



## Policies on Timely Use of Funds and Reserve Funds

Each jurisdiction receiving Measure B and Vehicle Registration Fee (VRF) pass-through funds are required to comply with the stipulations set forth in the Master Programs Funding Agreement (MPFA) between the jurisdiction and Alameda CTC.

Measure B and VRF funds are to be expended in a timely manner, and/or placed into an appropriate reserve as indicated in the MPFA excerpts below.

### Article 3.A. Timely Use of Funds

1. Except for those funds properly placed into a reserve fund pursuant to Section B below, all Measure B and VRF funds received by RECIPIENT shall be spent expeditiously, and no expended funds beyond those included in reserves pursuant to Section B below are allowed, unless a written request is submitted to the Alameda CTC and approved by the Board.

### Article 3.B. Reserve Fund Policy

1. **Capital Fund Reserve:** RECIPIENT may establish a specific capital fund reserve to fund specific large capital project(s) that could otherwise not be funded with a single year's worth of Measure B or VRF pass-through funds.

- a. RECIPIENT may collect capital funds during not more than three years and shall expend all reserve funds prior to the end of the third fiscal year immediately following the fiscal year during which the reserve was established.

*For example, if a reserve is established at any time during FY 12-13, recipient may collect reserve funds during some or all of FY 12-13, FY 13-14, and FY 14-15, and must spend the reserve funds prior to the end of FY 15-16.*

2. **Operations Fund Reserve:** RECIPIENT may establish and maintain a specific reserve to address operational issues, including fluctuations in revenues, and to help maintain transportation operations. The total amount retained in such fund may not exceed 50 percent of anticipated annual combined revenues from Measure B and VRF funds. This fund may be a revolving fund and is not subject to an expenditure timeframe.

3. **Undesignated Fund Reserve:** RECIPIENT may establish and maintain a specific reserve for transportation needs over a fiscal year, such as matching funds for grants, project development work, studies for transportation purposes, or contingency funds for a project or program. This fund may not contain more than 10 percent of annual pass-through revenues.



# End-of-Year Program Compliance Report

## Reports due December 31, 2012

Agency Name: City of Oakland

Choose the type(s) of report you are submitting (check all that apply; Tables 1-3 are required)

- Annual Program Compliance Report – Bicycle and Pedestrian Safety Funds
- Annual Program Compliance Report – Local Streets and Roads Funds
- Annual Program Compliance Report – Mass Transit Funds
- Annual Program Compliance Report – Paratransit Funds
- Tables 1- 3: Program Summary of Revenues, Expenditures, and Reserves (Excel workbook)

List any additional attachments in the electronic report submittal (check all that apply).

- Attachment A: Bicycle and Pedestrian Attachments
- Attachment B: Local Streets and Roads Attachments
- Attachment C: Mass Transit Attachments
- Attachment D: Paratransit Attachments
- Other Attachments (clearly label additional attachments as needed)

### Certification of True and Accurate Reporting

Authorized representatives of the reporting agency (i.e. the city manager and finance manager or their designees) must sign below affirming the compliance information reported are true and complete to the best of their knowledge, and the **audited dollar figures matches exactly to the Measure B revenues and expenditures reported in the compliance report and Tables 1-3.**

**X**  
\_\_\_\_\_  
**Signature**  
Michael Neary, P.E.  
Assistant Director, Public Works Agency

\_\_\_\_\_  
**Date**

**X**  
\_\_\_\_\_  
Jason Mitchell  
Agency Administrative Manager  
Public Works Agency

\_\_\_\_\_  
**Date**



# Bicycle and Pedestrian Program Compliance Report Summary

1. Did your agency receive Measure B Bicycle and Pedestrian Funds in the reporting period of July 1, 2011 through June 30, 2012?

- Yes (Complete the Bicycle/Pedestrian section.)
- No (Do not complete the Bicycle/Pedestrian section and continue on.)

2. Complete the below contact information.

CONTACT INFORMATION	
Bicycle/Pedestrian Program Agency:	Bruce Williams
Contact Name:	Senior Transportation Planner
Title:	
Phone Number:	(510) 238-7229
E-mail Address:	bwilliams@oaklandnet.com

3. Complete the Excel Worksheets Tables 1 to 3 for the Bicycle and Pedestrian Program. (Check the boxes below to indicate completion).

- Table 1: Measure B Revenues and Expenditures**  
The values entered into Table 1 must match your agency’s audit and compliance report. **Please contact Alameda CTC staff if you have questions before submitting your report. All dollar figures must match your independent audit report and compliance report or Alameda CTC may reject your submission.**
- Table 2: Summary of Expenditures and Accomplishments**  
This table describes actual expenditures and activities incurred for FY 2011-2012 (FY 11-12).
- Table 3: Summary of Planned Projects and Reserve Funds**  
This table describes your agency’s plan to expend any remaining Measure B funds within the four-year time table of the funding agreement through FY 12-13 thru FY 15-16.



4. **If your agency's ending MB Pass-through balance was greater than zero, why do you have this reserve?** For instance, if you are saving a funding amount, what amount are you saving and what types of projects/programs will those dollars fund? **Refer to Reserve Fund guidance (page iv), and complete Table 3 Summary of Planned Projects and Reserve Funds as required by the Master Programs Funding Agreement.**

In FY 11/12, Oakland spent less than it received in Measure B pass through funds, increasing our reserve to \$3.3 million. The increase in this reserve is temporary, and should be substantially abated in 12/13 and 13/14 for the following reasons:

- A bike capital project is starting in 12/13 (currently under contract)
- A sidewalk repair project is commencing in 12/13 (currently in bid award)
- A multi-intersection traffic signal/ped crossing improvement project is going to bid in 1/13

Finally, our expenditures in FY 11/12 are understated by \$100,000 due to a reimbursement to fund balance from a closed project; this funding will be reprogrammed to bike and ped projects in FY 12/13.

5. **If applicable, why were the reported expenditures in FY 11-12 more than the amount of Measure B funds the agency received in FY 11-12?** For instance, if your agency faced a funding shortage, how did you use reserve Measure B funds from a previous fiscal year(s)?

n/a

6. **Describe undesignated funds.** If your agency has undesignated Measure B funds, describe your process to allocate these funds and describe in detail your plan and time frame for using those funds. In addition, if you plan to use reserves, will this require additional agency approvals, and if so, what is your approval process? **Refer to Reserve Fund guidance (page iv), and complete Table 3 Summary of Planned Projects and Reserve Funds.**

Oakland budgets on a bi-annual basis, based on Measure B projections at the time. Undesignated funds may be funds received in excess of budget projections, or excess funds from closed projects. Oakland re-allocates any undesignated funds in the spring of each year as part of our annual budget review, according to our approved Council priorities for Measure B Bike and Ped funds. As required, third-party contracts greater than \$50,000 also require approval by Council.

7. Alameda CTC uses the data from Table 2 to monitor compliance with the Master Programs Funding Agreement requirement (Section 5.1.d): *"All projects and programs that use Measure B Bicycle and Pedestrian Safety pass-through funds must receive governing board approval prior to the jurisdiction expending the pass-through funding on the project/program."*

**If your agency expended funds on any projects not approved by your governing board in advance (as indicated in **Column R of Table 2: Governing Board Approval**), list them below and explain why your agency expended funds without agency approval.**

n/a...all Measure B expenditures are according to Council approved funding priorities.

8. **Describe the governing board approval for future planned projects and/or programs.** List the document type, time period, and resolution approval date. Examples include a bicycle and/or pedestrian plan, capital improvement plan, prioritized project list, etc.

Document Type	Time Period	Resolution Date
Measure B Bike & Ped Priorities	FY 2009/10- 12/13	11/8/2008
Oakland Bicycle Master Plan	2012-2022	12/4/2012
Oakland Pedestrian Master Plan	2002-2022	11/12/2002

9. Per Section 6 of the Master Programs Fund Agreement, Alameda CTC requires local jurisdictions to have developed and adopted a Complete Streets policy by June 30, 2013.

**Does your agency have an adopted Complete Streets policy, and if so, when was it adopted by your governing board? If not, please describe your agency’s plan to develop and adopt a Complete Streets policy by the June 30, 2013 deadline.**

The City is currently moving forward with a Complete Streets Policy to be passed by City Council resolution in January 2013 in accordance with ACTC and MTC policy and guidance.

**10. Did your agency publish articles that highlight Bicycle/Pedestrian projects and programs funded by Measure B in an agency or Alameda CTC newsletter?**

Yes                       No. *If no, explain in Question #13 Additional Information.*

If yes, include a copy of the article(s) as Attachment A: Bicycle and Pedestrian Program Attachments and list the publication(s) and date(s) below.

Publication	Date Published	Copy Attached? (Y/N)
I Bike Oakland	Winter, 2012	Y
I Bike Oakland	Summer, 2012	Y

**11. Did your agency include a description of the Bicycle/Pedestrian projects and programs funded by Measure B on its website?**

Yes                       No. *If no, explain in Question #13 Additional Information.*

If yes, include a printout of the website in Attachment A and provide the URL below that contains updated and accurate project information.

Website Address	Printout Attached? (Y/N)
<a href="http://www2.oaklandnet.com/Government/o/PWA/o/EC/s/BicycleandPedestrianProgram/OAK024559">http://www2.oaklandnet.com/Government/o/PWA/o/EC/s/BicycleandPedestrianProgram/OAK024559</a>	Y

**12. Did your agency use signage that indicates use of Measure B funds for its Bicycle/Pedestrian projects and programs?**

Yes                       No. *If no, explain in Question #13 Additional Information.*

If yes, include photos of the signage in Attachment A and describe the signage below.

Signage Description	Photos attached? (Y/N)
Sign installed at Lakeshore/Lake Park Complete Streets project	Y

**13. Provide additional information, if necessary,** to further explain Measure B expenditures for the Bicycle and Pedestrian Program.

Our actual expenditures are understated by \$100,000, because our total expenditures include a \$100,000 reimbursement to the Measure B Bike and Ped fund from our City's former Redevelopment Agency that was made during project close-out. The project (8<sup>th</sup> Street Gap Closure) was completed in FY 10/11, and was intended funded partially by Oakland Redevelopment Agency Funds (\$100,000). All project expenditures had been completed by FY 10/11 and initially paid with Measure B Bike and Ped Funds; in FY 11/12 at project closeout the Redevelopment Agency funds were transferred and the Measure B fund reimbursed in line with the project funding plan. Therefore the funds show as a negative expenditure (credit).



# Local Streets and Roads (LSR) Program Compliance Report Summary

1. Did your agency receive Measure B Local Streets and Roads Funds in the reporting period of July 1, 2011 through June 30, 2012?

- Yes (Complete the LSR section.)
- No (Do not complete the LSR section and continue on.)

2. Complete the below contact information.

CONTACT INFORMATION	
LSR Program Agency: Contact Name: Title:	Bruce Williams Senior Transportation Planner
Phone Number:	(510) 238-7229
E-mail Address:	bwilliams@oaklandnet.com

3. Complete the Excel Worksheets Tables 1 to 3 for Local Streets and Roads. (Check the boxes below to indicate completion).

- Table 1: Measure B Revenues and Expenditures**  
The values entered into Table 1 must match your agency’s audit and compliance report. **Please contact Alameda CTC staff if you have questions before submitting your report. All dollar figures must match your independent audit report and compliance report or Alameda CTC may reject your submission.**
- Table 2: Summary of Expenditures and Accomplishments**  
This table describes actual expenditures and activities incurred for FY 2011-2012 (FY 11-12).
- Table 3: Summary of Planned Projects and Reserve Funds**  
This table describes your agency’s plan to expend any remaining Measure B funds within the four-year time table of the funding agreement through FY 12-13 thru FY 15-16.

4. **If your agency's ending MB Pass-through balance was greater than zero, why do you have this reserve?** For instance, if you are saving a funding amount, what amount are you saving and what types of projects/programs will those dollars fund? **Refer to Reserve Fund guidance (page iv), and complete Table 3 Summary of Planned Projects and Reserve Funds as required by the Master Programs Funding Agreement.**

Our agency has a balance of less than one-year's Measure B revenue, and we have been spending down our balance consistently for the past several years. Several of our capital projects require multi-year expenditures, and so we will always maintain some balance higher than zero in our account. However, we project nearly \$12.6 million in spending during 12/13 as several capital projects move into construction.

5. **If applicable, why were the reported expenditures in FY 11-12 more than the amount of Measure B funds the agency received in FY 11-12?** For instance, if your agency faced a funding shortage, how did you use reserve Measure B funds from a previous fiscal year(s)?

We spent \$1 million more than we received in FY 11/12. Our Measure B expenditures were greater than our Measure B funds received because our Agency has been making a determined effort to spend down an existing reserve, in accordance with ACTC policy. That reserve now stands at \$7.4 million, which is approximately  $\frac{3}{4}$  of a year of income. We intend to continue to spend down the reserve, while keeping a sufficient reserve for programmed capital projects and undesignated projects such as grant matching funds.

6. **Describe undesignated funds.** If your agency has undesignated Measure B funds, describe your process to allocate these funds and describe in detail your plan and time frame for using those funds. In addition, if you plan to use reserves, will this require additional agency approvals, and if so, what is your approval process? **Refer to Reserve Fund guidance (page iv), and complete Table 3 Summary of Planned Projects and Reserve Funds.**

Oakland budgets on a bi-annual basis, based on Measure B projections at the time of programming. The next budget cycle will be approved before June, 2013. Undesignated funds may be funds received in excess of budget projections, or excess funds from closed projects. Oakland re-allocates any undesignated funds in the spring of each year as part of our annual budget review, according to our approved Council priorities for Measure B Bike and Ped funds. In addition, undesignated funds provide a match source for grants. Depending on the grant source, grant applications may require pre-approval by City Council, and in all cases require Council action before acceptance. As required third-party contracts greater than \$50,000 also require approval by Council.

7. Alameda CTC uses the data from Table 2 to monitor compliance with the Master Programs Funding Agreement requirement (Section 5.d.): *"All projects and programs that use Measure B Local Streets and Roads pass-through funds must receive governing board approval prior to the jurisdiction expending the pass-through funding on the project/program."*

**If your agency expended funds on any projects not approved by your governing board in advance (as indicated in Column R of Table 2: Governing Board Approval), list them below and explain why your agency expended funds without agency approval.**

n/a. Our Council approves all Measure B funded projects and programs in adopting the bi-annual budget, which incorporates our CIP, and also through mid-cycle budget adjustments. If the project is not adopted in this process then staff must take a separate item to Council for approval, such as the case where Measure B is programmed for local match in a discretionary grant process.

8. **Describe the governing board approval for future planned projects and/or programs.** List the document type, time period, and resolution approval date. Examples include a bicycle and/or pedestrian plan, capital improvement plan, prioritized project list, etc.

Document Type	Time Period	Resolution Date
Oakland Policy Budget and CIP	FY 11/12 – 13/14	6/30/2011
Budget mid-term Update	Fy 12/13 – 13/14	6/28/2012
Oakland Bicycle Master Plan	2012-2022	12/4/2012
Oakland Pedestrian Master Plan	2002-2022	11/12/2002

9. Per Section 6 of the Master Programs Fund Agreement, Alameda CTC requires local jurisdictions to have developed and adopted a Complete Streets policy by June 30, 2013.

**Does your agency have an adopted Complete Streets policy, and if so, when was it adopted by your governing board? If not, please describe your agency’s plan to develop and adopt a Complete Streets policy by the June 30, 2013 deadline.**

The City is currently moving forward with a Complete Streets Policy to be passed by City Council resolution in January 2013 in accordance with ACTC and MTC policy and guidance.

**10. Complete the table below to describe your jurisdiction’s road miles and conditions.**

<b>Jurisdiction’s Information</b>	
<p><b>Current Population</b></p> <p>This figure should reflect the population as of January 1, 2012. Refer to the California Department of Finance’s Population Estimates:  <a href="http://www.dof.ca.gov/research/demographic/reports/estimates/e-1/view.php">http://www.dof.ca.gov/research/demographic/reports/estimates/e-1/view.php</a></p>	395,341
<p><b>Certified number of road-miles</b></p> <p>This figure must be consistent with the number of miles reported to state and federal agencies.</p>	1963
<p><b>Average pavement condition index (PCI) for agency’s local streets</b></p> <p>This figure must be consistent with the information available in Metropolitan Transportation Commission’s 2011 Pothole Report for the year 2010, table “PCI for Bay Area Jurisdictions, 2006-2010” (pages 15-18). For more information, visit  <a href="http://www.mtc.ca.gov/library/pothole_report/Pothole_Report_2011.pdf">http://www.mtc.ca.gov/library/pothole_report/Pothole_Report_2011.pdf</a>.</p>	56

**11. Did your agency publish articles that highlight Local Streets and Roads projects and programs funded by Measure B in an agency or Alameda CTC newsletter?**

Yes                       No. *If no, explain in Question #14 Additional Information.*

If yes, include a copy of the article(s) as Attachment B: Local Streets and Roads Program Attachments and list the publication(s) and date(s) below.

<b>Publication</b>	<b>Date Published</b>	<b>Copy Attached? (Y/N)</b>
<b>Alameda CTC Reports</b>	5/2012	Y



**12. Did your agency include a description of the Local Streets and Roads projects and programs funded by Measure B on its website?**

Yes                       No. *If no, explain in Question #14 Additional Information.*

If yes, include a printout of the website in Attachment B and provide the URL below that contains updated and accurate project information.

Website Address	Printout Attached? (Y/N)
http://www2.oaklandnet.com/Government/o/PWA/o/EC/s/MeasureB/OAK022502	Y

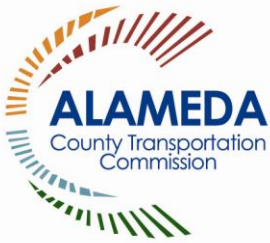
**13. Did your agency use signage that indicates use of Measure B funds for its Local Streets and Roads projects and programs?**

Yes                       No. *If no, explain in Question #14 Additional Information.*

If yes, include photos of the signage in Attachment B and describe the signage below.

Signage Description	Photos attached? (Y/N)
Highway Bridge Project funding sign	Y

**14. Provide additional information, if necessary, to further explain Measure B expenditures for the Local Streets and Roads Program.**



# Mass Transit Program Compliance Report Summary

1. Did your agency receive Measure B Mass Transit Funds in the reporting period of July 1, 2011 through June 30, 2012?

- Yes (Complete the Mass Transit section.)
- No (Do not complete the Mass Transit section and continue on.)

2. Complete the below contact information.

CONTACT INFORMATION	
Mass Transit Program Agency: Contact Name: Title:	
Phone Number:	
E-mail Address:	

3. Complete the Excel Worksheets Tables 1 to 3 for Mass Transit.

(Check the boxes below to indicate completion).

- Table 1: Measure B Revenues and Expenditures**  
The values entered into Table 1 must match your agency’s audit and compliance report. **Please contact Alameda CTC staff if you have questions before submitting your report. All dollar figures must match your independent audit report and compliance report or Alameda CTC may reject your submission.**
- Table 2: Summary of Expenditures and Accomplishments**  
This table describes actual expenditures and activities incurred for FY 2011-2012 (FY 11-12).
- Table 3: Summary of Planned Projects and Reserve Funds**  
This table describes your agency’s plan to expend any remaining Measure B funds within the four-year time table of the funding agreement through FY 12-13 thru FY 15-16.

4. **If your agency's ending MB Pass-through balance was greater than zero, why do you have this reserve?** For instance, if you are saving a funding amount, what amount are you saving and what types of projects/programs will those dollars fund? **Refer to Reserve Fund guidance (page iv), and complete Table 3 Summary of Planned Projects and Reserve Funds as required by the Master Programs Funding Agreement.**

5. **If applicable, why were the reported expenditures in FY 11-12 more than the amount of Measure B funds the agency received in FY 11-12?** For instance, if your agency faced a funding shortage, how did you use reserve Measure B funds from a previous fiscal year(s)?

6. **Describe undesignated funds.** If your agency has undesignated Measure B funds, describe your process to allocate these funds and describe in detail your plan and time frame for using those funds. In addition, if you plan to use reserves, will this require additional agency approvals, and if so, what is your approval process? **Refer to Reserve Fund guidance (page iv), and complete Table 3 Summary of Planned Projects and Reserve Funds.**

**7. Did your agency publish articles that highlight Mass Transit projects and programs funded by Measure B in an agency or Alameda CTC newsletter?**

Yes                       No. *If no, explain in Question #10 Additional Information.*

If yes, include a copy of the article(s) as Attachment C: Mass Transit Program Attachments and list the publication(s) and date(s) below.

Publication	Date Published	Copy Attached? (Y/N)

**8. Did your agency include a description of the Mass Transit projects and programs funded by Measure B on its website?**

Yes                       No. *If no, explain in Question #10 Additional Information.*

If yes, include a printout of the website in Attachment C and provide the URL below that contains updated and accurate project information.

Website Address	Printout Attached? (Y/N)

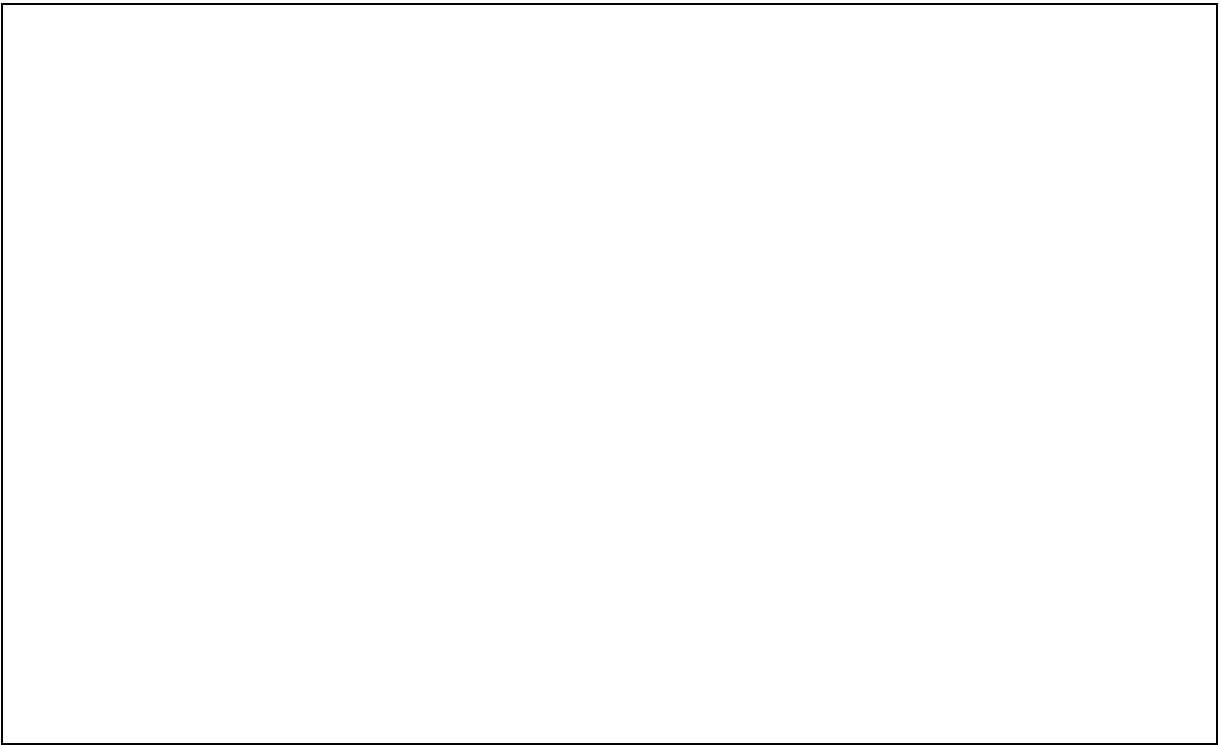
**9. Did your agency use signage that indicates use of Measure B funds for its Mass Transit projects and programs?**

Yes                       No. *If no, explain in Question #10 Additional Information.*

If yes, include photos of the signage in Attachment C and describe the signage below.

Signage Description	Photos attached? (Y/N)

**10. Provide additional information, if necessary, to further explain Measure B expenditures for the Mass Transit Program**

A large, empty rectangular box with a thin black border, intended for providing additional information to explain Measure B expenditures for the Mass Transit Program.



# Paratransit Program Compliance Report Summary

1. Did your agency receive Measure B Paratransit Funds in the reporting period of July 1, 2011 through June 30, 2012?

- Yes (Complete this Paratransit section.)
- No (Do not complete the Paratransit section and continue on.)

2. Complete the below contact information.

CONTACT INFORMATION	
Paratransit Program Agency:	City of Oakland
Contact Name:	Hakeim McGee
Title:	Senior Services Supervisor
Phone Number:	(510) 238-2311
E-mail Address:	hmcgee@oaklandnet.com

3. Complete the Excel Worksheets Tables 1 to 3 for Paratransit.

(Check the boxes below to indicate completion).

- Table 1: Measure B Revenues and Expenditures**  
The values entered into Table 1 must match your agency’s audit and compliance report. **Please contact Alameda CTC staff if you have questions before submitting your report. All dollar figures must match your independent audit report and compliance report or Alameda CTC may reject your submission.**
- Table 2: Summary of Expenditures and Accomplishments**  
This table describes actual expenditures and activities incurred for FY 2011-2012 (FY 11-12).
- Table 3: Summary of Planned Projects and Reserve Funds**  
This table describes your agency’s plan to expend any remaining Measure B funds within the four-year time table of the funding agreement through FY 12-13 thru FY 15-16.

4. **If your agency's ending MB Pass-through balance was greater than zero, why do you have this reserve?** For instance, if you are saving a funding amount, what amount are you saving and what types of projects/programs will those dollars fund? **Refer to Reserve Fund guidance (page iv), and complete Table 3 Summary of Planned Projects and Reserve Funds as required by the Master Programs Funding Agreement.**

The ending MB Pass-through balance was greater than zero due to the unbudgeted increase in Measure B pass-through funds received for the year. The reserve funds will be applied to operate base program services for FY 12/13.

5. **If applicable, why were the reported expenditures in FY 11-12 more than the amount of Measure B funds the agency received in FY 11-12?** For instance, if your agency faced a funding shortage, how did you use reserve Measure B funds from a previous fiscal year(s)?

N/A

6. **Describe undesignated funds.** If your agency has undesignated Measure B funds, describe your process to allocate these funds and describe in detail your plan and time frame for using those funds. In addition, if you plan to use reserves, will this require additional agency approvals, and if so, what is your approval process? **Refer to Reserve Fund guidance (page iv), and complete Table 3 Summary of Planned Projects and Reserve Funds.**

N/A
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7. **List the amount of the Total Operating Expenses allocated to the following.**

<b>Category</b>	<b>Expense Amount</b> Indicate zero if none.
Management ( <i>oversight, planning, budgeting, etc.</i> )	\$305,671.84
Customer Service and Outreach Activities	\$147,960.14
Trip Provision ( <i>direct or contracted taxis, vans, shuttles, etc.</i> )	\$709,229.13
<b>TOTAL Operating Expenses:</b>	<b>\$1,162,861.11</b>



**8a. Complete the table below with available service quality data for reservations and trips. If no data is available, skip this question and complete 8b and 8c.**

<b>Cancelled Trip Reservations</b> <i>(percent)</i>	<b>Passenger No-shows</b> <i>(percent)</i>	<b>On-time Pickups</b> <i>(percent)</i>	<b>Late Pickups</b> <i>(percent)</i>	<b>Missed Trips, Provider No-shows*</b> <i>(percent)</i>	<b>Average Ride Time</b> <i>(minutes)</i>
		84	16		20

\*Includes very late pickups

**8b. Describe your complaint and commendation process.** Describe the process from beginning to end, including instructions you provide to customers for filing complaints or commendations, your document procedures, and your follow up.

Registrants are provided a riders guide that instructs the following:

- Record the date, company, driver’s name and vehicle #.
- Contact the taxi/van company management directly and/or report the information to OPED.
- OPED will document the information whether it is a complaint or commendation, then communicate with the company and place a follow up status call to the client.
- If a complaint is serious in nature with a taxi company, the matter is forwarded to the City Administrator’s Taxi Detail Office for action and/or permanent filing.
- Serious complaints with van services are handled internally at the direction of Executive Management.

Appropriate sanctions or acknowledgements result on a case by case basis.

**8c. Describe any common or recurring complaints your program has received and the program changes as a result.**

Historically, we have not experienced a great influx of complaints as we have an 85% satisfaction rate. However, majority of the issues that are reported, are communicated in responses to our annual program survey. Below are some recurring issues for the taxi and van services respectively:

Taxi:

- Some drivers are rude and not always happy to serve taxi scrip clients. They want cash.
- Make more taxi scrip available.

Van:

- Sunday service is desired.
- Make more van vouchers available.

In relation to more taxi scrip and van vouchers being available, of course that is tied to financial resources. We make every attempt to monitor revenues to look for opportunities to expand services, as well as attempts to identify other funding sources.

In order to address the various taxi driver sensitivity issues, questions will be added to the taxi permitting exam through the City's Taxi Detail Department to ensure that we emphasize how important quality customer service is to seniors and disabled persons in general, with an emphasis on taxi scrip users. We are also looking into areas that we can make the transport of taxi scrip clients more expedient and appealing to drivers.

- Sunday van service is another area of importance. Staff consistently keeps this issue at the forefront in order to hopefully address this in the near future; however, there are numerous factors that impact the ability to immediately resolve.

9. Does your agency have service quality data available about ridership? If so, enter the data in the applicable boxes below.

Number of Registered Riders	Number of Riders Added to Program in FY 11-12	Number of Riders on Wait List	Number of Accidents and Incidents*
1,325	229	0	0

*\*Report incidents resulting in any of the following: a fatality other than a suicide, injuries requiring immediate medical attention away from the scene for two or more persons, property damage equal to or exceeding \$7,500, an evacuation due to life safety reasons, or a collision at a grade crossing.*

10. Did your agency publish articles that highlight Paratransit projects and programs funded by Measure B in an agency or Alameda CTC newsletter?

- Yes       No. If no, explain in Question #13 Additional Information.

If yes, include a copy of the article(s) in Attachment D: Paratransit Program Attachments and list the publication(s) and date(s) below.

Publication	Date Published	Copy Attached? (Y/N)
Oakland's Older Americans Month Newsletter	May 2012	Y

**11. Did your agency include a description of the Paratransit projects and programs funded by Measure B on its website?**

Yes                       No. *If no, explain in Question #13 Additional Information.*

If yes, include a printout of the website in Attachment D and provide the URL below that contains updated and accurate project information.

Website Address	Printout Attached? (Y/N)
<a href="http://www2.oaklandnet.com/Government/o/DHS/s/SAD/OAK022071">http://www2.oaklandnet.com/Government/o/DHS/s/SAD/OAK022071</a>	

**12. Did your agency use signage that indicates use of Measure B funds for its Paratransit projects and programs?**

Yes                       No. *If no, explain in Question #13 Additional Information.*

If yes, include photos of the signage in Attachment D and describe the signage below.

Signage Description	Photos attached? (Y/N)
Vehicle Signage	Y
Customer Service Reception Area	Y

**13. Provide additional information, if necessary, to further explain Measure B expenditures for the Paratransit Program.**

